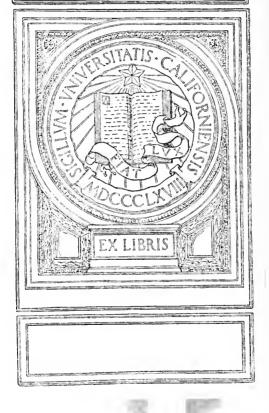


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1911



### EXPLANATORY NOTE OF FIRST EDITION

These reprints, dealing with material upon both sides of the question of Direct Primaries, were prepared especially for the use of the Minnesota High School Debating Leagne. Articles have been reprinted in whole or in part as the circumstances seemed to demand. Where a number of articles have dealt with the same material certain parts have been eliminated so as to avoid repetition. This has made it possible to put in compact and convenient form a large amount of valuable material.

These reprints will be found especially valuable to students taking part in debates on the subject, or to clubs or individuals making a study of the subject. Librarians will find it most useful since it will furnish as much information on the subject as will ordinarily be called for, and will make available a large amount of valuable material that comparatively few libraries will have.

#### EXPLANATORY NOTE OF THIRD EDITION

Although designated as a new edition of a previous compilation on Direct Primaries, this volume is practically a new work, only a very small portion having appeared in the earlier editions. In the last five years over one-half of the states have passed new laws or modified earlier ones on direct nominations; therefore, it has seemed advantageous to reprint, in the main, only such late articles as base their arguments on recent experience of those states. However, selections covering the history of the question and the experience of the other states in trying it have not been neglected.

Similarly, the bibliography has been largely confined to recently published material, thus serving as a supplement to the very complete one issued by the Library of Congress in 1905.

Thus this volume might be regarded a Part 2 of the second edition, inasmuch as it brings that issue up-to-date and adds the valuable features of Brief, Bibliography and Introduction for this still debatable topic.

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#### BRIEF

RESOLVED: That the system of direct primary nominations is preferable to that of nomination by caucus and convention.

#### Introduction

- 1. History of the movement.
- Definitions involved: primary, direct primary, open primary, closed primary, caucus and convention.

#### AFFIRMATIVE

- 1. The caucus and convention system is not satisfactory.
- Direct primaries return power of nomination to the people.
- IH. Voters attend direct primaries in large numbers. -
- IV. Direct primaries insure good candidates.
- V. Experience has shown that direct primaries are successful.

#### NEGATIVE

- I. The caucus and convention system is in harmony with our representative form of government.
- II. The caucus and convention can be made satisfactory.
- Direct primary nomination system is not a satisfactory substitute.
  - It gives one party an opportunity to nullify the intent of the other.
  - B. It promotes plurality nominations.
  - C. It creates party bitterness.
  - D. It destroys party responsibility.
  - E. It does not bring voters together to make a platform.
  - F. It gives too many opportunities for corruption.
  - G. It is unfair to country districts.
  - H. It is too costly to candidates and to tax payers.
    - I. It does not secure good officers.



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# SELECTED ARTICLES ON DIRECT PRIMARIES

#### INTRODUCTION\*

In the early days of the Republic the nominating system, as now known, did not exist. Candidates for local office were presented to the electorate upon their own announcement, upon the indorsement of mass meetings, or upon nomination by informal caucuses, while aspirants for state office were generally named by a "legislative caucus' composed of members of the party in the legislative body, or later by a "mongrel cancus" in which legislators and outside representatives of the party united to select party nominees. In the national field, candidates for president were named by the congressional caucus. After a long struggle the legislative caucus and the congressional caucus were overthrown, and a system of representative party government developed. When the delegate system was adopted, it was regarded as a great triumph for the plain people over the aristocracy. Andrew Jackson had been one of the bitterest antagonists of King Caucus, as the congressional caucus was known, and it was the Jacksonian democracy that definitely established the representative party system. 1 By 1840 the delegate convention system had been generally adopted, and entered upon its period of trial. Without interference from the law, the political party was left free to carry on the nominating process in such manner as party tradition, custom, or rules might provide.

<sup>\*</sup>This Introduction is compiled from Charles Edward Merrian's Primary Elections published by the University of Chicago Press.

The abuses that arose under a system that staked the immense spoils of party victory on the throw of a caucus held without legal regulation of any sort were numerous and varied. They ranged from brutal violence and coarse fraud to the most refined and subtle cunning, and included every method that seemed adapted to the all-important object of securing the desired majority and controlling the convention.

In short, the primary election, having become one of the most important steps in the process of government, was open to every abuse that unscrupulous men, dazzled by prospects of almost incredible wealth and dictatorial power, could devise and execute. Not all of these evils appeared in one place and at one time; but they were likely to occur at any time when factional rivalry became sufficiently intense. Especially were these abuses felt in the great cities where opportunities were largest and rewards most alluring, and where the shifting population rendered personal acquaintance among all the voters impossible.

These evils might have been remedied by action within the party, either by organized effort on the part of those opposed to such practices, or by refusal to support candidates who had been nominated by such methods. Indeed some attempts were made to regulate party affairs from within by means of party rules designed to secure order and regularity in the nomination process.

But these plans were not as a rule effective in operation and no material, or at least no adequate, improvement of conditions was apparent. The appeal of the voters was generally made to the law, and therefore the progress of primary reform may be traced through the channels of legislation.

Down to 1880 primary legislation had made but little progress. The state of California alone had a law of a comprehensive character, and this was left optional with the political parties. The Ohio law was likewise optional, and was still less complete, and the Missouri law was both optional and local. The New York and New Jersey acts were primarily intended to prohibit only the participation of illegal voters in the pri-

maries. Public regulation of party primaries had barely begun to develop, and was in a rudimentary condition.

During the decade 1880-90, the question of the legal regulation of elections occupied the attention of the public in an increasing degree. The attack upon the evils of the party system was successfully directed against the fraud and trickery in the use of the ballot, and resulted in the adoption of the Australian system in modified form.

Summing up the characteristic features of this period, it may be said that where the laws were at all complete, they were mainly optional in nature; that where mandatory, they were generally local and special; and hence that the primary was still almost wholly under party control. The appearance of the mandatory and detailed act, even though local in application, was a distinctive feature of this period.

The most important problems of this time were whether the expense of such elections should be made a public or a private charge; what form the test of party allegiance should take and by whom it should be prescribed; whether the primary should be fully assimilated to a general election and governed by identical laws; whether the primary law should be optional with parties or mandatory in its terms.

I The next period of primary reform [1890-99] covers the decade immediately following the adoption of the Australian ballot, and extends to the date marked by the passage of the regulated convention systems of Illinois, New Jersey, and New York in 1898 and the passage of the mandatory direct primary law in Minnesota in the year 1899.

The legal regulation of the convention system, however thoroughgoing and complete in its provisions, was unable to meet the demand for popular control of the party system. Despite the fact that in many cases the primary had been surrounded by practically all of the safeguards of an ordinary election, the public remained unsatisfied. Advancing even more rapidly than the movement for legal regulation of the nominating process, came the attack upon the convention system and the demand for nomination by direct vote of the party. Direct nomination, however, was by no means original with this

period, but was already a generation old. Pennsylvania had experimented with various forms of it in the 60's, and for many years it had been in use throughout the southern and western states. Here it had flourished without legal protection, except such as was involved in the recognition of nomination so made as legal nominations, which might properly be placed upon the official ballot when certified by the party authorities. This direct system was now demanded as a compulsory method of nominating candidates. None of the early enthusiasm for legal regulation of primaries was abated, but to this there was added the demand for the abolition of the indirect nominating process.

The movement was in part a democratic one, and was animated by a desire for wider popular participation in government. In this sense it was a part of a broad tendency in the direction of popular control over all the agencies of politics. The referendum, the initiative, the recall, and the direct primary are organic parts of a general growth of democratic sentiment, demanding methods by which more direct responsibility of the governor to the governed can be secured.

In the second place, the demand for the direct primary grew out of the general discontent regarding social and industrial conditions. The party system was regarded as an important element in these conditions, and popular opposition converged upon the convention as the source of much of the evil it was desired to eliminate. Startling disclosures respecting the betraval of public trust by party leaders aroused the people to a crusade for responsible party government.

In the last ten years about two-thirds of the states have enacted direct primary laws varying in types. Some of these laws have been obligatory and others optional; some have been

general in application and others merely local.

Although the main outlines of the direct primary laws are similar, yet there are important and interesting differences in detail. The method of nominating the candidates, the majority required, the formulation of a platform, are all questions of importance in recent primary laws and must be carefully scrutinized.

The forty years of primary legislation may be summarized as

follows. Starting with unregulated primaries, the advance was made to the prohibition of flagrant offenses such as bribery and illegal voting, or to optional legal regulation and control; then to compulsory regulation; then on to the abolition of the convention system, and the establishment of the direct primary; and finally we encounter the demand for the preliminary non-partisan primary as in Iowa, and for the adoption of a system of nomination by petition only, as in Wisconsin.



#### SELECTED ARTICLES

American Political Science Association, Proceedings. 1907. pp. 175-8.

Influence of the Primary Election upon Party Organization. Jesse Macv.

An important change to be effected by the primary election is found in the distinction which it enforces between state and federal politics. The earlier system of party conventions with its vast array of party machinery tended to obliterate the distinction between state and nation. The two governments which the constitution makes distinct were, in the hands of party committees, fused together in such a way as to render intelligent action on the part of the voter difficult or impossible. The new system enforces a separation and compels a distinction between state and federal politics. The convention system and the existing national committees still serve in the management of federal politics, while in the states a radically different system is adopted. This in itself enforces a difference and a contrast.

The new method also furnishes the means for partially removing the one instance of capital maladjustment in our federal constitution. I refer to the provision for the election of United States senators, which has resulted in compelling the voter, in a single act, to attempt the impossible task of expressing an opinion on the policies of two governments which the constitution makes distinct. When he votes for men to make laws for his state, it is a mere accident if these men represent his views in national politics. Through the device of a primary election it has been found possible virtually to relieve the state legislature of the responsibility of selecting United States senators. This makes it possible to develop and maintain distinct and independent policies in the states.

American Political Science Review. 2: 43-7. November, 1907.

Primary Elections and Majority Nominations, Charles K. Lush.

Under the present nominating law it is necessary that the "boss," or leader, should "eliminate" the candidates of the majority before the primaries are held, otherwise the candidate of the minority would be the nominee of the party. Under the second choice feature, which was a part of the Australian law and left off by the drafters of the Wisconsin law, the voters do this "eliminating" at the primaries. Until this opportunity of selection is placed in the hands of the people there can be no true representative government.

#### Arena. 35: 587-90. June, 1906.

Direct Primaries, Ira Cross.

To-day we find that the caucus and convention no longer express the popular will. Delegates have become the mainshafts of political machines. Corporate wealth and influence dictate the policies of the dominant parties, while candidates and office-holders, instead of being responsible to the voters, are responsible to the boss and the ring which nominate them.

All attempts at reforming the caucus and the convention have resulted in dismal failures. New York, California, and Cook county, Illinois, which have the most highly legalized caucus-systems, are still boss-ridden and machine-controlled.

There can be but one remedy,—the government must be brought back to the people. They must be given the power to directly nominate their party-candidates. If they are sufficiently intelligent to directly elect them by means of the Australian ballot, they are sufficiently intelligent to directly nominate them.

Under the caucus-system, no matter how highly legalized, the voters will not take part in making the nominations. They are not even interested, for in the caucuses they do not nominate candidates, they only elect delegates, and a delegate, no matter how hopest he may be, cannot correctly represent the wishes of

his constituents upon all, and quite often not even upon a small portion, of the candidates to be nominated in the convention. Do the facts uphold the argument? Take the cancussystem at its best and what do we find? In San Francisco, New York city, and Cook county, Illinois, which places since 1901, 1900, and 1899 respectively, have had the most highly legalized and reformed caucus-systems in the United States. an average of but 30 per cent of the voters of San Francisco. 41 per cent of those in New York, and 38 per cent of those in Cook county, Illinois, take part in making nominations. but this small number of people attend the caucuses when such great care is taken to protect the voice and the will of the people, what a handful must turn out in those states in which few if any legal regulations are thrown around the nominating machinery! Under the caucus-system the resulting government cannot represent the will of the majority. It can only represent the will of the minority, and it is to this small minority (composed though it usually is of men who are in politics for what there is in it) that our officials are directly responsible, not only for their nomination but also for their subsequent election.

On the other hand, it cannot be denied that the direct primary greatly increases the attendance at the primaries. The reason for this is that it gives the voters a real voice in making party nominations. They can express their choice upon all candidates from governor down to justice of the peace, and by this means are able to exert a direct influence upon the final results.

In Cleveland, Ohio, under the old caucus-system, only 5,000 voters took part in nominating the Republican candidates for city offices in 1892, but in 1893, when they used one of the most poorly-framed and extra-legal primary systems is maginable, over 14,000 Republicans turned out. This number increased to 23,000 in 1896, to 28,000 in 1899, and to 31,000 in 1901, the vote at the primaries during these years averaging more than 95 per cent of the vote cast by the Republicans at the subsequent elections. In Crawford county, Pennsylvania, where the direct primary has been used since 1860, the average attendance at the

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primaries has been more than 73 per cent. In the 25th Congressional district, where the system has been used since 1890. 77 per cent of the voters have made the nominations. Even where there was no contest, as was the case in 1804 and 1900. more than 62 per cent of the voters attended the primaries. What other portion of the United States can show such a record as this? "In Minneapolis," writes Mr. Day of that city, "under a highly legalized caucus-system, but 8 per cent of the voters attended the caucuses." Under the direct primary, however, or per cent of the voters attended in 1900, 85 per cent in 1902, an off-year, and 93 per cent in 1904. In Hennepin county, Minnesota, in 1904, over 97 per cent of the voters took part in making congressional nominations. In the same year the returns from eighteen counties, scattered indiscriminately throughout Minnesota (all the returns that could be obtained). showed that over 72 per cent of the voters took part in the primaries. These figures show most conclusively that the difficulty is not the apathy of the people. Their civic patriotism is as strong as it has ever been in years past. They are interested in the government and will attend the primaries, if they are but given the opportunity to directly nominate their party candidates. The difficulty lies with the caucus-system. It is indirect and inefficient.

#### Arena. 41: 550-6. August, 1909.

Direct Primaries versus Boss Rule. Isaac M. Brickner.

It may be well to ask what is a political boss, what are direct primaries, and in what way, if at all, will they relieve us of this evil. A boss, for the purposes of this paper may be defined as a man who makes politics a profession for purely personal ends, not for the purpose of holding office, but more frequently to control the actions of those who do.

Primaries are the bases upon which in the final analysis this governmental structure is reared. At the primaries we are now accustomed to elect delegates to different conventions, which in turn choose those who, if elected, will be our public servants for a period of years. The questions naturally arise:—Why have conventions at all? Why not at the primaries choose our own candidates for office? The answer to these questions answers the query. What is a direct primary? It is just exactly that. It is a primary at which the electors of a ward, eity or state as the case may be, will name those for whom the people will vote at the ensuing election.

If the people voted directly for the men who are candidates for the various offices, would it not naturally lead to a discussion of the qualifications of the various names proposed? Would it not offer to the people the opportunity of voting for the better of two men or the best of three or more? Would there not be public meetings and perhaps public debates, at which the various candidates would present the reasons why they rather than some opponent should be chosen? Would not more people thus become interested and have a direct personal reason for going to the primary, and express their preference for one candidate or the other? Would this not naturally lead to a better class of public men in office? And would not the burden of choosing the right man for the right place be thus thrown directly where it belongs, upon the shoulders of the people themselves, rather than upon those of some political boss, whose sole aim is to preserve intact the party organization, and perpetuate in power himself and his friends? It seems to me that the answer to all these questions is in the affirmative, and explains why the politicians are opposed to this method of choosing public officials.

Some may argue that all of these things can be done under the convention system. Perhaps so, but every man knows that except in rare instances, conventions might more properly be called farces. They do not represent the people at all, but simply register the will of some boss. In other words, whatever good there might have been originally in the convention idea, it is a fact that the system has broken down. The causes to some extent, it must be admitted, are to be found in the carelessness and indifference of the people themselves and their sometime foolish habit of voting a party label, but the fact remains that we are face to face with a situation. It has been well said,

by a writer on our institutions that "it profits little to know the legal rules and methods of government, unless one also knows something of the human beings who tend and direct this machinery, and who by the spirit in which they work it render it the potent instrument for good or evil to the people." Yet the character and antecedents of candidates are often overlooked when they are the one real and vital concern of every good citizen.

I have stated above that under the direct primary system, more people would become interested and attend the primaries. Statistics on a matter of this kind are in the nature of the case hard to find, but there have been some attempts made to ascertain the truth along this line. The Attorney-General of Kansas says that while the law is a new one in that state from 60 to 75 per cent of the voters attend the primaries. In Wisconsin the testimony is that 65 per cent of the voters attend. I have seen figures that indicate that in some parts of Minnesota, the attendance of voters at primaries under this system has risen as high as 90 per cent. And in Oregon at the last election for candidates, there was a very large turnout of voters, and I may add a very large turning out of discredited officials as a result. What the percentage is in states where the convention system still holds sway. I have no statistics to show, but I am strictly within the truth when I say that it seldom approaches the smallest percentage set forth above. There are many cases where it would be hard to find that ten per cent of the voters attended the primary.

Another argument advanced by the opponents of direct primaries is that a poor man would have no chance of election, as the expense attendant upon that experience would make it prohibitive. I do not believe this is true. The experience of Cansas again comes to the rescue. The Attorney-General states that in his opinion, from facts in his possession, the expenses under the system are not greater than under the convention plan, and perhaps in many instances much less. At all events, the expense can and should be regulated by law, and once the system is in vogue, the conscience of the people would see that this was done. The main thing now is to establish the

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principle. Besides under the old system, men with means have always had a decided advantage. In this state, the Late Governor Higgins' sworn statement showed an expense of \$22,000 for election to an office, the salary of which was only \$10.000 a year and the term two years. And, W. Hearst spent if reports are true, a fabulous sum in an unsuccessful attempt to be elected to the same office. What it cost him to secure the nomination from the state convention at the hands of Murphy and Connors, if known, would probably stagger people, and end the argument so far as the question of expense is concerned. Some years ago, a republican candidate for Congress, in New York state, spent about \$35,000 for election to that office which carried a salary of but \$5,000 a year for a two year term. The expense question will not win a single convert to the side of the antis, among those who have studied the question in the slightest degree.

The third stock argument of the opposition, is that there deform would be no platform. That is eliminated by the bill introduced at Albany which gives the party committees the power to frame the declaration of principles. But assuming that there would be no platform, what is the difference? Take the last campaign in New York state for example. The Democratic convention which met at Rochester, adopted an elaborate declaration of principles which viewed with alarm all that the opposition was doing and pointed with pride to what the democrats had always done when in power. Among other things they opposed government by commission. They nominated a very respectable young gentleman, Mr. Chanler for governor, and as long as Governor Hughes was in the west. Chanler was safe. But once Hughes returned home and spoke to the people. Chanler shifted his position from pillar to post, until there was not enough left of the anti-commission plank to even cause a ripple. In other words Hughes was his own platform. He stood for administrative reform of a high order, was an approved public servant in whom the people had confidence, and his opponent also was his own platform, because the people knew that every plank on which he stood was erected with the idea of winning converts to the standard to that political pair

of Siamese twins, Connors and Murphy, who controlled the convention. Possibly Chanler had he been elected, would have been free from their baneful domination, but there was nothing in his campaign to justify people in so believing.

Take Bryan for further example. Mr. Bryan has many excellent qualities and even his opponents concede, that he is honest and high-minded. But in the minds of thousands of Democrats he is associated with what they believe a system of financial heresy, advocated by him in his first campaign, and partisans though they are they cannot bring themselves to vote for a man who represents in himself the theories for which he stood when first a candidate. In other words, he too is his own platform. A platform is constructed by the average politician to catch the votes of the malcontents and of those who are out of jobs and want to feed at the public crib. Besides not one voter in ten ever reads a platform, or knows from its contents for what a candidate stands. So much for the three stock arguments of the opposition.

Much respectability is lent to the opposition to direct primaries by the fact that President Schurman of Cornell is in its ranks. President Schurman certainly stands high in the estimation of the people, as a man of principle, intelligence, scholarly attainments, and good citizenship. His attitude on any public question, is entitled to most respectful consideration, and indeed it is fair to assume that there is no abler, fairer or more high minded man in the ranks of the opposition. It is equally fair to assume that his arguments are the result of both study and conviction, and that they rank with the ablest arguments the other side can produce. Yet every point he made in his Utica speech seems easily answerable.

President Schurman started out by saying that he had agreed with Governor Hughes on the race-track question, and the public utilities bill because they were constitutional and moral questions. He disagreed with him on the question of direct primaries, because that was a practical question and political men might honestly differ. Yet President Schurman certainly did not treat it practically. He says: "From the unanimous

testimony, I have received, in western states, I learned that the system of direct nominations discourages self-respecting and independent men from entering the public service and encourages the demagogue, the self advertiser and the reckless and unscrupulous soldier of fortune."

Without attempting to dispute the assertions of Mr. Schurman, though there is ample testimony on the other side. let us carry that argument to its logical conclusion. The people are not to be trusted to select between the self-respecting man and the demagogue, they cannot pick the wheat from the chaff. Yet they have for many years carried on popular government and are choosing annually between the various candidates at each election. By what process of reasoning does President Schurman assume to argue that what they can do at election they cannot do at a primary? And if he is correct, does it not mean that the people are not fit to govern themselves at all, and representative government is a failure? And if it is, it makes no difference whether we have direct primaries or not. Let us frankly admit that we cannot govern ourselves and put a king in power at Washington. But is it not a fact that the demagogue can control a convention, especially if he happens to be a rich demagogue, a great deal more easily than he can control the people.

President Schurman further says, "that men enroll and call themselves Republicans or Democrats, honestly to select a strong candidate for their own party or dishonestly to foist upon the opposing party a weak candidate, whom they intend to vote against at the election."

It must be admitted that no system can be devised that will prevent trick like that. It is done under the present system; it would be done under any other. It is inherent to some extent in our system of government. But if it be true, that more people attend the primaries under the new system than under the old, and practically the unanimous testimony is to the effect that they do, the influence of these men will count for less than it does now. So that this argument carries its own refutation.

On the same point President Schurman says: "The baser elements of a party thus control the destinies of a commonwealth.

And so you have the anomaly of Oregon, a Republican state with a Republican legislature, just sending a Democrat to the Senate of the U. S. Such a result is not only fatal to party organization, but dangerous to political morality."

It seems to me that President Schurman was unfortunate, to say the least, in the example he cited to prove this contention. The situation in Oregon constitutes the best argument in favor of direct primaries that could be brought home to the people. The Republican candidate for the Senate was a man who in that body had been unfaithful to the interests of people and betrayed his trust. He was part of a system they wished to overthrow. How to accomplish it was the question. His Democratic opponent was a man twice chosen Governor of Oregon, a man of approved public morals, faithful to the interests of the people, and close to their hearts. The people of the state were not ready to turn the state over to the Democratic party, so they pledged the candidates to the legislature to vote for the man who received at the primaries the highest vote for senator, regardless of party affiliations. In this way they chose a Republican legislature and gave them definite, specific and binding instructions to vote for a Democrat to the Senate. I have never known public opinion to express itself so strongly or work so promptly and efficiently. In spite of heavy pressure from high Republican sources, the legislators were true to their pledges, many of them because they knew that any other course meant political death to them. It was the most stinging rebuke that could possibly have been administered to the Republican derelict senator, and the most potent argument in favor of the system which Mr. Schurman condemns.

President Schurman then delivers this very remarkable utterance: remarkable when we consider that he started with the assertion that we are dealing with a practical question:

"A convention gives opportunity for deliberation, for conference, for comparison, for weighing the merits and availability of candidates. The direct system of nominations gives the rein to the impulse of the moment, and makes deliberation difficult. It puts a premium on passing popularity. The



man who trims his sails to catch the breeze of popular favor will secure the nomination. It will almost infallibly put the destinies of the state in the hands of the city of New York, (in combination perhaps, with the city of Buffalo.) By segregating the other political units of the state, it nullifies their power and influence. A delegate convention brings together in one place representatives from every city and county in the state and consequently gives the representatives from the rural districts and smaller cities the same power in determining the final result as is enjoyed by representatives of an equal number of voters in New York City."

One would think that Mr. Schurman had never seen a convention. Deliberation and conference indeed, comparison and weighing of candidates, for sooth. The man wanted by those who control the convention, and who hold the delegates in the hollow of their hands, will win the prize, if prize it be, and no other candidates need apply. We are not concerned with what a convention might be; it is a practical question that confronts us. If conventions did what in theory it was believed they would do, the question of direct primaries would not be a burning issue. What does a convention actually do? That is the point. The committee on credentials throws out duly "end. elected delegates because some ignorant and brutal boss tells them to. The courts hold them to be a law unto themselves. There have been cases, one is reported at the convention that nominated Hearst at Buffalo, where contests were put up by the boss when the defeated delegates at the primary never wanted to contest. And the duly elected delegates marched out of the convention, one of Mr. Schurman's deliberative bodies and were not given the right to represent those whose votes had sent them there, because a boss needed a few more votes to carry his point.

New York and Buffalo, says Mr. Schurman would infallibly control the political destinies of the state. Did he ever see a convention run by Murphy and Connors on one hand, or Little Tim and the Buffalo boss of the G. O. P. on the other? I have, and if anything can beat the combination of New York

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and Buffalo, in one of those conventions, it has escaped the attention of most observers.

No, a covention may not be influenced by prejudice or passion. But it is not responsive to intelligent public opinion, nor except in the rarest instances does it ever consider the comparative claims of either candidates or sections of the state. It registers the will of a boss; adopts a meaningless declaration of principles, and adjourns to repeat the farce another year.

President Schurman also says that corruption is rampant where there are direct primaries. But it stands to reason that it is not as easy to bribe or corrupt all the electorate as it is the bosses of those who are delegates to conventions. The larger the number of people who participate in any function of government, the smaller the prospect of a corrupted franchise. Any other theory than that is based upon the assumption that most men are inherently dishonest, and that theory is rejected by the testimony of history.

Finally Mr. Schurman says "The new movement when logical analysis traces it back to its origin or forward to its goal undoubtedly contravenes the principles which were adopted by the founders of the republic."

This too will be news to most students of history. The convention system was never dreamed of in the inception of this government as the means of naming candidates. The first national convention was held in 1836.

But if it had been part of the original scheme, what difference would that make to us as practical men seeing a practical solution of a practical and pressing problem? If the conditions which obtained in 1800, do not work in 1900, is it not our duty to discard those conditions and surround ourselves with new ones?

It certainly was the scheme of the founders that the people conduct the government by taking an active part in governmental affairs. They believed in the people. Mr. Schurman evidently does not. They thought the people could intelligently pick out their own candidates. Mr. Schurman does not agree with them. They held to the opinion that the people could

be trusted to manage their own affairs and regulate their own machinery of government. Mr. Schurman differs from them in this respect.

Another argument that I have heard advanced by the opponents, of direct primaries, and they are resourceful in argument, is that instances are recorded where men defeated at the primaries offer themselves for election to defeat the primary choice. Has it never happened then that men defeated in convention have done the same thing and succeeded in defeating the convention's choice? Men of this kind, who will not abide by the rule of the majority exist in every community, and will come to the fore at times under any system. But it is no argument either way that these things have happened and will continue to happen. No machinery is perfect, but we as sensible men should try to get the best. I believe the direct primaries offer the best available solution for many of our political evils at present.

There is nothing sacred about the convention plan. There is no special sanctity that surrounds it, not even that which sometimes comes with respect for age. It was a device adopted by the people for their own convenience, and for a time it worked well. Like other machinery that becomes worthless with use and rusty with decay, it has broken down and fails to properly perform its functions. Shall we be loyal and patriotic enough to adopt new mechanism to carry on the work the decrepit convention cannot and will not any longer do?

Shall we not be large enough to try on a new scale, the old town meeting? Shall we not in other words return to first principles?

The opposition tells us men may be named that do not represent a majority-vote under the new system. A plan might be devised by which this would not be so. A second primary might be needed to name a candidate. This would entail trouble and expense. But if American citizenship is worth having, it is worth fighting and working for. If we can name ward officers by direct means, I have confidence

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enough in the people to believe we can successfully name city and state officials by the same method.

If the people have found that the convention system is wrong, who shall say them nay in their attempt to rectify it? If you point to me the example of Stephenson, in Wisconsin, as an argument against this new method, I point you to Platt and Depew in New York, as an argument against the old one.

We must not be too radical you tell us. I say in reply, let us not be too conservative when conservatism means danger. You say "let us be careful and cautious in political action lest haste shall lead us on to rocks that may wreck the ship of state." I reply, "let us get away from the rocks and shoals we have found near shore, and out onto the broad seas where the sailing is easier and where a harbor of safety at least is in full sight." You say "let us not change our methods because 'tis better to bear the ills we have than fly to others that we know not of." I reply, "we have found by experience that this proposition is not a flight to regions unknown, but is a safe and substantial anchoring on the rock bottom principles of eternal justice and right."

#### Chautauquan. 52: 324-6. November, 1908.

Defects in the Direct Nomination System.

Already the primary system has disclosed certain defects which will need to be remedied. These defects have led its foes to declare that it does more harm than good, that it has been tried and found wanting. One defect is that voters of one party vote at the primaries of another at the command of powerful politicians. This has happened in Illinois, in Missouri, in Nebraska, in Michigan, and elsewhere. To defeat progressive candidates the spoilsmen of a party make "deals" with those of other parties and secure support of voters who have no intention of changing their affiliations but are willing to lend themselves to interparty "trades" and stratagems. And even where good candidates of one party are helped at the primaries by the voters of another—and this sometimes hap-

pens—it is felt that it is unjust that men who will not help elect should have a voice in nominating.

Another defect in the primary system is the great expense to which candidates are put. The lavish expenditure of money in campaigns is an evil, for it involves practical blackmail of candidates and corporations and the creation of political obligations that cannot honorably be paid without sacrificing the interests of the people. But if the expense is merely to be shifted from machines and organizations to individuals the wealthy candidates will have an undue advantage and the poor men will be discriminated against in the operation of the whole system. The individual candidate must circulate petitions, do a little advertising, pay hall rent and incur other legitimate expenses; and he must do this twice in many instances, before the primary and before the election.

The primary system must be simplified and safeguarded against fraud and waste. It is the friends of direct nominations who must attend to these improvements, for otherwise the spoilsmen and bosses will discredit and undermine the new system by emphasizing its faults and imperfections.

#### Citizens Union.

Direct Primary Nominations: Why They Should Be Adopted for New York.

The primary, under the present indirect system, is the election by enrolled voters of party officers and delegates to a series of conventions whose principal function is to nominate candidates for public office. A direct primary is one in which the enrolled voters choose by direct vote the party candidates for public office, instead of choosing delegates to nominate those candidates.

# The Convention System

The present indirect system of nominating candidates has convinced the average citizen of the futility of attempting any

contest in the primaries, and only a small percentage of the enrolled voters go through the motions of voting for the delegates already selected for them by the leaders. The primary vote for delegates to conventions is largely cast by those who make more or less of a profession of politics. The convention, when assembled, by no means represents the will of even a respectable plurality of the party members. For instance, the Republican delegation to the state convention from Syracuse, in 1908, was opposed to the re-nomination of Governor Hughes. Several thousand postal cards sent indiscriminately to enrolled Republicans of Syracuse, however, revealed the fact that about eight out of every nine strongly desired the Governor's re-nomination.

The convention starts with the handicap of being unrepresentative. The public is handicapped in any effort to enforce its will. This is necessarily so, as long as the convention system is retained. Public opinion cannot express itself except where the issue is defined. The machine, having taken no stand prior to the primaries, and having announced no policy, there is no specific issue which can be made against the delegates which it has picked out. The public is in the position of an individual, compelled to give a power of attorney without knowing what will be done, and powerless to withdraw the power of attorney, if its use is abused. At every step in the process, the public must work in the open, while the machine leaders conceal their hand, and thrive upon the consequent inability of decent delegates to make any effective opposition.

Furthermore, the meeting-place of a convention is about the least conducive imaginable to sober consideration of merit. Rumor flies after rumor. There is neither time nor opportunity for investigation. In a few hours or days, the convention members, now brought together as a body for the first time, will again part, never to meet again as a body. While they fill the hotel lobby, swayed by this report and that, the real business of the convention is being done upstairs in a private room where the leaders make the slate. When the

slate is prepared, the convention is allowed for a few hours to look like a deliberative body.

Suppose a movement is started in opposition to the proposed final slate. Then ensues a contest to control the Committee on Credentials, and which ever faction wins, will generally dominate the convention. Contesting delegations, when necessary, appear almost out of the air, over-night, in response to a telegram, and are calmly seated.

If these tactics do not positively ensure success, there is still a chairman to be elected, and chairmen can be found who never see a member of the opposing faction rise to speak. Though a hundred men yell "No," the chairman can hear only "Yes." Though a dozen written resolutions may be started toward the chairman's desk, they are lost on the way. Finally, in the midst of an uproar in which it is impossible to hear how delegates vote, amidst hisses and cat-calls and cheers, the nominations are declared to have been made.

#### Proven Advantages of Direct Primaries

Aside from abolishing the evils which have developed in the present system of nominations the direct primary offers positive advantages over all other methods yet devised for choosing party officials and party candidates. These advantages may fairly be summarized under three heads, as follows:

- (1) It substitutes responsibility to the enrolled voters for responsibility to machine bosses.
  - (2) It is simpler than other systems.
- (3) It makes the exercise of corrupt influence over the nominating process more difficult.

#### (1) How the Direct Primary Substitutes Responsibility to the Voters for Responsibility to Bosses

The importance of this feature can hardly be over-estimated. As Governor Hughes pointed out in a recent speech in New York City, three-fourths of the members of the present legislature come from districts where the nomination of the dominant party is equivalent to election. When, in such districts,

the candidates are nominated by a method which places the real election in the hands of a few men, then in soberest earnest one may say that the forms of popular government are become but a sham. That this is clearly realized in that section of the country where one party has been longest and most indisputedly in the ascendancy is shown by the fact that in almost every state in the South the rules of the Democratic party have required for years past that its nominations for public office be made by the popular vote of its members. That Republican candidates are not nominated in the same way is due to the purely nominal importance of the minority party's selections. Seven of these southern states have gone further and provided for this method of nomination by statewide direct primary laws, four of these laws being optional and three mandatory. Why should New York continue to abdicate to party "leaders" or bosses the choice of any of its public officers?

Even if in practice under the present system conventions were not boss-ridden, and delegates felt that they owed their positions to the decision of those who participated in primaries, the system would not be truly representative unless more votes were cast in the latter than is the case at present. Commonly, the indirect primaries are not attended by more than ten per cent of the enrolled voters, and frequently by only a fraction of one per cent.

Direct primaries bring out quite regularly from 25 to 95 per cent, and in the majority party, especially where there is a sharp contest, from 55 to 85 per cent of the enrolled voters. This is because every voter has a voice in selecting party candidates. Ask a hundred men who do not attend primaries whether they would attend if they could vote directly for the candidates. Almost every man of them would probably reply in the affirmative.

In Minnesota in 1902, for example, after the direct nominations system had been extended in that state because of the success of its trial in Minneapolis, about the same vote was cast in the primaries as is cast in off-year elections. In Minneapolis in 1900, 69.2 per cent as many votes were cast in the

direct primaries as in the general election. In Duluth, in 1901, in a municipal election, 69.8 per cent of those who later voted in the election cast their votes in the primaries. In the same year the direct nomination vote in St. Paul was 94.6 per cent of the election vote. In the Dodge county primary in 1902, the direct primary vote was 28.6 per cent *more* than it had been in the general election of 1900. The vote in the Republican primaries throughout the entire state in 1902 was 78.8 per cent of the Republican vote in the election which followed. In Minneapolis in 1905, the Republicans cast 97 per cent of their votes in the primaries, and the Democrats 84 per cent.

In Crawford county, Pa., where the system has had the longest trial, the average vote cast in the direct primaries for thirty-one years was 73 per cent of the average election vote for the same period.

In the Essex county, New Jersey, primaries last year, a larger vote was cast than in the preceding election for governor.

Under the old caucus system only 5.000 Republican voters took part in the primaries in Cleveland, Ohio, in 1892. Next year the direct primary system was established in the city, and 14,000 Republicans participated. That the great interest in direct primaries is not due to their novelty is clearly shown by Cleveland, for the Republican vote at the primaries increased to 23,000 in 1896, 28,000 in 1899, and 31,000 in 1901, being then 95.5 per cent of the vote cast by the Republicans in the election which followed.

Governor Fred M. Warner of Michigan, in his inaugural address to the legislature this year, calls attention to the advantage gained under direct nominations through increasing the popular participation in primaries. In Michigan the direct nominations system is in force only for certain localities and offices. Governor Warner says:

"The greater the number of offices involved in the primary, the greater will be the interest of the voters therein. At the last primary in Michigan, 200,000 Republicans and 40,000 Democrats recorded their will."

A candidate nominated in such a primary where the vast majority of the enrolled voters actually express their preference, is forced to recognize that his responsibility is to these enrolled voters. A candidate nominated in a convention created by indirect primaries, where only an exceedingly small percentage of the enrolled voters actually attend and vote, is forced to recognize as the sponsors of his candidacy the men who, by possession of all the strategic advantages, are able to control those primaries. This difference between the two systems cannot be disputed, even by those whose confidence in the voters is so small, or whose selfish interests are so great and compelling, that they prefer any system which will limit to a few persons participation in the choice of candidates.

# (2) Wherein the Direct Primary is Simpler Than the Convention System of Making Party Nominations

Under the convention system there is a primary, followed by various meetings of delegates. Under the direct nominations system, except where a single convention may be assigned the duty of drafting a state platform, one day's primary election settles everything, and the whole cumbrous and expensive machinery of an out-worn system, with its delegates and conventions innumerable, is at one stroke, and with no loss to the community, abolished.

Enrolled voters, at present, go to the primary and caucus and cast their ballots for the party officers and for delegates to the Assembly district convention and to county, city, congressional district, senatorial district, or judicial district conventions, as the case may be. The delegates meet in the Assembly district convention and elect delegates to the state convention. This is the usual roundabout system.

When Governor L. F. C. Garvin, of Rhode Island, wrote in 1903, "a strong party organization, covering every section of the state, entails a large expenditure, and the money comes chiefly from candidates and holders of lucrative offices and the beneficiaries of legislation," he had in mind such a cumbersome and expensive system as is made necessary under our present primary law.

An illustration of the uselessness of much of the machinery of this system was furnished when a direct vote was taken in certain New York City districts on the question of whether Charles E. Hughes should receive the Republican nomination for Governor. The County Chairman considered the vote as instructions to the delegates. Why, then, should there be any delegates when we can in every primary secure the direct expression of the enrolled voters as to their choice for the nomination?

#### (3) How the Direct Primary Makes the Exercise of Corrupt Influence Over the Nominating Process More Difficult

It is easier to corrupt the few than to corrupt the many. It has never been demonstrated that the average integrity of the man who makes a business of politics is greater than the average integrity of the average citizen. Under the present system, men who have successfully made a business of politics and who control the nominations are the only ones necessary to be "seen" in order to get an undeserved nomination. Direct nominations, as has been shown, actually bring out to the primaries a large majority of the enrolled voters. This majority, voting directly for the party nominees, has been found more difficult to corrupt than the few who control the nominations under the present system.

Where there have been serious charges of corruption in the direct primaries, the fault has been the failure to place in the statutes proper provisions against corrupt practices, or with such weak provisions as appear in the laws of Wisconsin and Missouri, for example, regarding the participation of the voters of one party in the primaries of another party. In these two states (Wisconsin and Missouri) it is now being charged that the candidate of the majority party was nominated in the primaries by purchased votes. These purchased votes included, it is claimed, practically all of the purchasable element of the

minority party, which went into the primaries of the majority party and there voted for candidates. The fact is that neither of these states has any such provisions regarding party enrollment as are now in force in the State of New York. We should retain these provisions under our direct primary and will extend them to such localities as have not the full benefits of this system under our present law. This is in accordance with the recommendations of Governor Hughes. Further safeguards against corruption at the direct primary are suggested in the following recommendations of the Governor:

"That the Corrupt Practices act be extended so as to prescribe the expenses which may lawfully be incurred in connection with candidacies for nomination and to ensure the publicity of all expense.

"That the amount which may be expended by candidates for nomination be limited.

"That generally, with such changes as may be necessary for adaptation, the safeguards of the law governing general elections be extended to primary elections."

#### Fallacy of Objections to the Direct Primary

Never was the convention system abolished in any state without a severe struggle against the special interests intrenched by that system. So it is in New York; and the objections offered are in some cases so plausible that they have misled men whose sincerity is unquestioned. That there is in no place where direct nominations are used any considerable sentiment for a return to the old system, while there is a nation-wide agitation for extending the system, is a clear indication of the fallacy of these objections. Yet it may be of advantage to take up in their order some of the points most frequently raised by opponents, and to show what evidence is obtainable bearing upon them.

#### (1) As to the Question of Expense

It has been charged that by making two campaigns necessary instead of one, and rendering these campaigns more

expensive than under the convention system, the direct primary disbars the poor man in favor of the rich.

The general experience of other states fails to bear out this assertion. Governor Ford of New Jersey, in his annual message of January 12th, 1909, in which he recommends the extension of New Jersey's present direct primary law for local offices to include governor, congressman, delegates to national conventions and members of party committees, says of this assertion that it is "false and absolutely untrue." To the same effect is the statement (quoted from Collier's Weekly, January 23, 1909) of Everett Colby, former state senator of New Jersey, "that the man who last received the Democratic nomination for state senator in his county won it against the Democratic machine with an expenditure of \$250; this in a county of 350,000 inhabitants, after an aggressive campaign." United States Senator-elect Joseph L. Bristow, who was nominated in Kansas last summer by direct primaries over Chester I. Long, the candidate of the railroads and the Republican machine, says in a letter to the New Hampshire Direct Primaries Association: "Campaigning before a primary can be made as expensive as the candidate is disposed to make it. Kansas is 200 miles wide and 400 miles long, has a population of 1,800.-000 and is subdivided into 105 counties. Our United States senator this year was nominated by direct primary. One of the candidates is reported to have expended \$25,000 and the other \$3,500. The one who expended \$3,500 was nominated. Of the two candidates for governor, one spent between \$6,000 and \$7,000, and the other about \$3,500, and the one spending the smaller amount was nominated." Of this same contest William Allen White, the well-known writer and journalist, speaking from personal observation, says: "His (Bristow's) opponent, Chester I. Long, had all the money that public service corporations cared to pour into the state, and yet Long failed." Mr. Bristow himself adds, in another letter: "It does not cost any more from the practical side of politics to appeal to the people for their support than to pay the expenses attendant to conventions,"

Governor Noel of Mississippi bears similar testimony, as follows: "While primary elections involve an expense of travel, there is very little other expense. There were six candidates for governor in this state, and a canvass of fifteen months practically. My expense was about \$6,000. It need not have been over \$2,000, if the campaign had been limited, as it should have been, to about four months, and proper reports required." Ora Williams, Secretary to the Governor of Iowa, says: "The successful candidate for governor (in the recent primary election in Iowa) spent but a few hundred dollars." James A. Frear, Secretary of State of Wisconsin, says: "All of the present state officers in Wisconsin (who were nominated by direct primaries) are what would be known as men of modest means. Their selection resulted from their attitude on public questions, or their legislative record in recent years." Professor John A. Fairlie, a recognized authority on public law, says in a letter: "In a hotly contested primary the necessary expenses will be greater than in a non-contested convention; and a good primary law should contain restrictions on the amount and purposes of expenditure. Personally I succeeded in a direct primary (for the constitutional convention of Michigan) in a district covering two counties, with eleven candidates for three places, at a total expense of about \$25.00 for railroad fare."

These statements, and many others of which they are but a sample, prove conclusively that the man of limited means is not debarred by the direct primary from obtaining nomination for, or election to, public office.

Nor is the advantage of wealth greater than under other systems. What benefit did Senator Long derive from his heavy expenditure before the Kansas primaries? His opponent was nominated after spending one-seventh as much. In Washington, Senator Ankeny, the millionaire lumberman, was defeated for re-nomination by Wesley L. Jones, a comparatively poor man. Senator-elect Johnson, of North Dakota, is a farmer of moderate means, and spent almost nothing in his campaign for nomination. His three competitors are said by

him to have spent at least \$250,000 without avail. The chief instance to the contrary, relied upon by the opponents of direct primaries, is that of Senator Stephenson in Wisconsin; yet as a matter of fact this case has no bearing on the question of direct primaries in New York state, and for the following Wisconin has no law limiting the amount which may be expended by a candidate for nomination. In New York, on the other hand, Section 41z of the Penal Code provides that no candidate shall expend "for any purpose tending in any way, directly or indirectly, to promote, or aid in securing, his nomination and election," more than a certain specified amount, fixed by the act according to the office for which he is running. Moreover, the direct primary law itself, as outlined by Governor Hughes, would still further regulate the amount which might lawfully be expended in connection with candidacies for nomination. Such a remedy has now been recommended for Wisconsin by Governor Davidson. Furthermore, it is charged that Senator Stephenson's enormous campaign fund was largely spent in corruption, and this charge is being investigated by the Wisconsin legislature. It is true that to control a direct primary by corruption, when possible, is vastly more expensive, as well as more difficult, than to control an indirect primary by the same means.

The critics who dwell upon the alleged expensiveness of campaigning under direct primaries neglect to mention either the amount, the source or the character of the expenses under the present system. First, as to the amount and the source. Governor Warner of Michigan says in a letter: "I am certain that I speak within bounds when I state that there was a contest for the nomination of governor in Michigan a few years ago under the convention system, when more money was expended than will be used in the next ten years under the direct voting system." In his inaugural address to the General Assembly, January 12th, 1909, Governor Kitchin of North Carolina urges the adoption of regulated direct primaries in place of the present unregulated convention system, and says: "It ought to diminish the expenses of campaigns for

nominations. Should such expenses for legitimate purposes increase as they have increased in recent years (under the convention system) it will soon be that none but a wealthy man can hopefully aspire to our higher offices unless others pay his campaign expenses for the nomination."

What are some of these expenses under the convention system? Disregarding its peculiar liability to improper expenditures, and enumerating only such as it legitimately entails, there are first of all those incident to the campaign for the election of delegates from all parts of the state or district. Then there are the clerical expenses required to plan and arrange for the convention. Above all, there are the heavy expenses connected with the convention itself-the railroad fares of the delegates, their hotel accommodations, the rent of the convention hall, the printing, telephoning and telegraphing, and finally the decorations, music and miscellaneous entertainment provided. These things are never managed economically. Who pays for them? "The party organization," someone may answer. Yes, but where does the party organization get the money? Some light is thrown upon this question by the records of the Mazet Committee in 1900, where it was shown from sworn testimony that 28 judges had paid from \$1,500 to \$12,000 apiece—in some cases the equivalent of a year's salary—to various party organizations and campaign committees, for the purpose avowed or understood, of obtaining nomination.

All campaign contributions by candidates for judicial office have since been forbidden by section 41z (b) of the Penal Code, but the very fact that it was thought necessary to pass this law, and the law above mentioned, limiting the campaign expenses of all candidates, indicates that the cost of the convention system, nominally paid by the party organization, really falls in large part upon those seeking nomination. Nor can the expenses of a candidacy for nomination under this system properly be guaged merely by the amount paid out by the aspirant prior to his nomination. A large proportion of the party campaign fund, out of which the various expenses above

specified are defrayed, is obtained from office-holders. Forty per cent of the Democratic campaign fund in New York county in 1907 was contributed from this source. Now if dependence upon the party organization for nomination subjects a man, after his election to office, to these periodical contributions, which are to be used in large part for the payment of other men's nomination expenses, it is evident that the real cost to him of his own nomination at present is far greater than at first appears. May it not fairly be assumed that he would spend less in the long run under a system which at one blow relieved the party of the necessity of holding conventions, placed the whole cost of primary elections upon the state, and made the candidate indebted for his nomination, not to the organization, but to the party at large?

As to that portion of the expense of nomination under the convention system which in no way falls upon the candidate, but is actually paid by the party organization, is it any advantage, looked at from the broadest point of view, that it should be so paid? When a candidate pays the whole cost of his nomination himself is he apt to be more, or less, independent than if part of that cost is paid by an organization, the finances of which, as well as the control of nominations, are in the hands of a small, irresponsible group of men? It is no argument to say that, in any event, the expenses of a candidate's campaign for election are partly paid by the party, for in this latter case he is the chosen representative of the party, and, as such, entitled to its assistance. As a candidate for nomination, on the other hand, he is merely an applicant for this representative position, and if any portion of his expenses in this capacity is paid by the party the result is merely to render him the more indebted to the little group of men from whom his nomination emanates. Governor Kitchin, of North Carolina, continuing the passage from his inaugural address above quoted, says: "If others pay his (the candidate for nomination) expenses, he will feel under obligations to them, and will not be in a position to render the people his best service, especially in matters involving doubt as to the path of public duty. The public should insist on having every official free from obligation for his nomination for office except to the people."

As to the sources, other than candidates and office-holders, from which the party organization itself derives the money with which to pay the expenses of nominating conventions, Mr. Everett Colby is reported by Collier's Weekly to have said: "It is better for a poor man to stump on the streets, speak from cart-tail or soap-box, or make a house-to-house canvass, than to have him elected by money furnished by corporations or by wealthy and interested individuals."

Finally, as to the *character* of the candidate's expenses under the convention system and the direct primaries system respectively—which is of more benefit to the community as a whole, that huge sums of money should be wastefully and extravagantly spent for party conventions, and objects incidental thereto, or that similar amounts should be disbursed by the candidates for nomination themselves, in traveling about making speeches, and in printing and distributing literature in advocacy of their claims? Which form of expenditure is of greater educational value? Here, as elsewhere, one must have in mind, not conventions as they might possibly be, but conventions as they unfortunately are; and to suggest that the state conventions of recent years have exercised over the people at large an educational influence of any sort, save perhaps as horrible examples, is little short of ludicrous. On the other hand, anything which forces candidates for party nomination to go about among the voters and attempt to convince the latter that they should be selected, not only brings the candidates into closer touch with popular needs and desires, but extends to the preliminary campaign for nomination those educative features which are so often praised in connection with the campaign for election.

### (2) As to Representative Government

The argument is advanced that direct primaries are a blow at representative government. The truth is exactly the reverse. Even if the delegates to nominating conventions were chosen by the voters with as much care as would be exercised in the election of candidates for office, and were free to exercise their untrammeled judgment—neither of which assumptions is in line with the notorious facts—nevertheless, the candidates chosen by these delegates are, at best, indirectly representative of a small fraction of the party, whereas those chosen by direct primaries are directly representative of the masses of the enrolled voters who participate in the choice.

As to the *principle* involved, the following passage—from Governor Hughes' speech at the dinner of The Hughes Alliance, New York, January 22nd, 1909—is conclusive:

Representative government is government through representatives. We choose officers to do for us what we cannot do or do not think it wise to undertake, ourselves. For example, we cannot well make our laws directly, and so we elect legislators to make them for us. We cannot as a people at large execute the laws and so we select executive officers to represent us in their execution. We cannot in an assembly of the people decide judicial controversies, and so we choose judges to represent us in deciding cases.

But we do not elect men to choose our governors and our mayors and the members of the legislature for us. We elect our governors, our mayors, and our legislators direct. They are chosen by direct vote of the people. These officers are none the less representative, and we have none the less representative government, because we choose them by direct vote. If any one were now to propose that we should elect a body of men to choose our governor for us we would laugh at him. If any one saw fit to argue that this was necessary to the maintenance of representative government we should think the argument ridiculous.

Now, if we elect a governor by direct vote of the people, how is it a subversion of representative government for the enrolled voters of a party to choose their candidates for Governor by direct vote? If we elect an assemblyman in an assembly district by direct vote of the voters in that district, why should not the members of the party in that district decide directly who should be their representative as a candidate for the assembly? Is the one any the less representative government than the other?

The candidates of a party are the party representatives in running for office, as the elected officer is the representative of the people in distancing the duties of the office. If we are to make party government analogous to the general government then we should elect the party representatives by the direct vote of the members of the party.

#### (3) That State-Wide Direct Primaries Favor Populous Centres as Against Rural Districts

This argument, in various forms has been used, perhaps more widely than any other, to discredit Governor Hughes' recommendation of a direct primary law for New York state. The statement has been made by almost every opponent of the system that such a law, if adopted here, would result in New York City, Buffalo and Rochester's obtaining all the state offices, and controlling state elections, to the exclusion of the smaller cities and the rural districts.

Actual experience does not warrant any such assertion. Governor Warner of Michigan, says in a letter: "This statement that the primary system of making nominations favors the populous centers as against the scattered rural populations is not borne out by experience here in Michigan. At the last primary election there were three candidates for the Republican nomination for Governor. My chief opponent as well as myself reside in villages of less than one thousand population, while the candidate who received the smallest vote lives in the city of Detroit." The Hon, M. M. Beck, of Holton, Kansas, editor of the Holton Recorder, writes: "Eastern Kansas is thickly populated, western Kansas sparsely. In the western part of the state many large counties have only from 400 to 1,000 voters, and there is no special kick coming from western Kansas. The primary law is as popular there as in the more densely populated districts." F. S. Jackson, the attorney-general of Kansas, bears similar testimony as follows: "The primary system does not favor populous centers any more than the convention system; in fact, not so much, as under the convention system the populous centres are given very large representation. The delegates are usually chosen according to the dictates of party bosses, and, when assembled in convention, they have every opportunity for trading and arranging to cast the vote of all the populous centres together to defeat the rights of the rural population." Senator-elect Joseph L. Bristow, of Kansas, bears similar testimony: "In our state the primary did not favor the populous centres. The man best and most favorably known will receive the votes of the people, regardless of the section of the state in which he may live." In support of this statement it may be noted that, of the eight principal state officers nominated by direct primaries and elected last fall, six came from cities or towns of less than 3,000 inhabitants, one from a city of 4,851 inhabitants, and one from a city of 10,862 inhabitants. The five largest cities of the state are not represented on the state ticket.

Secretary of State James A. Frear, of Wisconsin, writes as follows: "In Wisconsin, Milwaukee. the metropolis, has over 300,000 inhabitants. The second city, Superior, has approximately 40,000. Of the five state officers elected under the primary election only two, the Lieutenant-Governor and Attorney-General, represent cities of over 5,000 inhabitants, and several of the state officers come from still smaller communities. The unsuccessful candidate for Attorney-General was from Milwaukee."

Of the 21 governors, now in office, who were chosen by direct primaries, six come from towns of less than 1,000 inhabitants, six more from cities or towns of less than 5,000, four from cities of less than 20,000. This makes sixteen out of twenty-one who come from cities of less than 20,000 inhabitants. Of the remaining five, one comes from Birmingham, Ala., population 38.415; one from Portland, Oregon, population 90.426; one from Memphis Tenn., population 102.320; one from Kansas City, Mo., population 163,752; and one from Chicago, population 1,698,575.

These statistics, which were furnished by the Secretaries of State of the several states above mentioned, are a conclusive answer to the assertion that, under the direct primaries system in New York, all the state officers would go to the large cities, and the country districts would be left unrepresented.

The sudden solicitude of convention system politicians for the country voters has its amusing side in this state. One would suppose that the conventions at present were usually strongly influenced by the country delegates, and that the tickets nominated contained at least a reasonable number of rural candidates. If, however, we look at the Republican state ticket of 1908, nominated by a state convention, we find, as shown by the foregoing table, that the candidate for Governor came from New York City, the candidate for Lieutenant-Governor from Syracuse; the candidate for Comptroller from Albany; the candidate for Treasurer from Rochester; the candidate for Secretary of State from New York City; the candidate for Attorney-General from Buffalo. Has the rural voter ever heard of these obscure hamlets? The only candidate who came from what might be called the rural portion of the state was the State Engineer and Surveyor.

Who are the leaders in the deliberations of state conventions? Those who control the machines of New York City, Buffalo, Rochester, Syracuse and Albany. A little reflection will further reveal to the fair-minded reader the fact that the cities tend to produce powerful political machines far more than do the country districts, and that any system which ensures to these machines the control of nominations, is not a system which has at heart the interests of the rural portion of the state.

If, then, it is the proved experience of other states that the large cities enjoy no preponderance in the distribution of offices, why should not the same hold true in regard to the general control over nominations? Any expectation of a contrary result must rest almost exclusively on the unsupported assertions of those party leaders who are opposed to direct primaries.

"'Large centers of population would dominate primary elections,' says Mr. Wadsworth. So they would at the general election if they voted as a unit. But large centers of population do not vote as a unit, at the general election, and neither would they—at the primary elections. Big cities probably have a greater influence under the convention system; New York county, with Erie, dominates Democratic state conventions. Tammany dominates New York City Democratic conventions. New York county is not without influence in Republican state conventions, as the effect of Representative Parsons' successful support of Governor Hughes at the last two conventions proves. If Tammany has a bare

majority of the Democratic votes in this city, it casts the whole strength of the city in a Democratic state convention. Under the direct system, if Tammany had only a bare majority, almost half the party votes of this city could co-operate with anti-Tammany elements in the rural districts. The Anti-Tammany Democrats in this city are voiceless now. Under the direct system they would be effective in the measure of whatever strength they possessed. This illustrates the fallacy of the Speaker's argument." (Quoted from an editorial in the New York Tribune of February 8th.)

What the direct primaries system will really prevent is the present dickering as to these nominations among party bosses, and their geographical distribution between the several local organizations on the same basis as appointive patronage. It may well be that under direct primaries some leaders will secure fewer offices for their henchmen than under the present system, but the average voter will shed few tears over such a result.

## (4) That "Plurality Nominations" Arc Unfair

The argument that the direct primaries system is unfair because it permits the selection of candidates by a mere plurality of the party, has a strange sound as coming from the lips of those who support the present method. If it is such a dire evil—an evil that must be prevented at all costs—that something less than an absolute majority of the enrolled party voters shall be able by direct vote to select the party candidate, what shall be said of a system under which two or three per cent of these enrolled voters in a bare majority of the election districts can name the delegates to each Assembly district convention. and a bare majority of these Assembly district conventions can in turn choose delegates to the state convention, and a bare majority of the delegates so chosen to the state convention can finally have the privilege of registering the decision previously arrived at by a dozen men in a back room! To what extent the average nomination under this system can be regarded as a nomination by the majority of the party, the reader may decide for himself. Instead of rejecting the direct primary because it fails to ensure the ideal result, namely, that all nominations shall represent the actually expressed will of a majority of the enrolled voters, we should rather hail it as the method above all others by which, under actual conditions, this ideal may be most nearly approximated!

Approach the question from another standpoint. Why should one condemn in the case of the *primary* election a criterion of popular choice what for years has been all but universally accepted as decisive at the *general* election? If a plurality of the people's votes may elect a man to public office, why should a plurality of his party's votes be insufficient to elect him candidate for that office?

# (5) That Direct Primaries Would Prevent "Fusion," and the Non-Partisan Nomination of Judges

A careful study of the facts fails to disclose any foundation for the above assertion. What is the one controlling influence which first prompted, and has more and more frequently compelled, the party leaders to adopt this policy in regard to judicial nominations? What force has generated such widespread discontent with a dominant machine as to break down the traditional partisanship of majority party leaders, and unite them with independent organizations in a "fusion" campaign? Obviously the answer is to be found in the development of public opinion. The party "leaders" have not been the leaders in this movement. Their desire has been for a straight ticket. victory and for the distribution of nominations on the basis of services rendered to the party organization. It is not they who have educated the people to disregard party lines, for the sake of independent judges or honest city government. is an increasingly enlightened public sentiment which has wrung from them a tardy and reluctant recognition. Would the direct primary system-increasing, as it does, the popular share in the nominating process, and reducing to a minimum the opportunity of narrow-minded partisanship to obstruct the operation of public opinion—he apt to decrease the frequency of nonpartisan judicial nominations or fusion tickets? Would it not rather increase the likelihood of such enlightened action?

It is perfectly possible to provide in a direct primary law that a candidate for judicial or other office may have his name placed by petition on the primary ballots of all parties.

At least a portion of the press may be trusted to bring the facts in regard to such candidacies to the knowledge of every man who reads and who takes the slightest interest in electoral campaigns. The ultimate decision, then, rests with the voters, and provided only that the situation be clearly brought to their attention, the friends of good government will be content to leave the result in their hands.

Mr. Charles H. Young, President of the Republican Club of New York City, spoke, in a recent address, as if a direct nominations law would make it impossible for party leaders so to control the action of their obstinate and blindly partisan followers as to prevail upon the latter to vote for a candidate of the opposite party. On the contrary, it will leave the average party voter-who sets far less store by regularity than does the average party leader—free to follow that course which the real leaders of opinion in the community are constantly making more familiar and more acceptable to him. Under direct primaries it might not have been possible for Pat McCarrenagainst the protests of all the newspapers—to turn down Judge Blackmar, a Republican, and nominate his personal counsel in The fact that Blackmar and Stapleton, the nonhis stead. partisan candidates, were chosen on election day, proves that the people of Brooklyn were more to be trusted in such matters than the party bosses. The only sort of "fusion" which direct primaries will render more difficult is that which Speaker Wadsworth described—either unwittingly, or because it was the only sort with which he was familiar-namely, "fusion" based on a division of the offices between the contracting party machines. The value of this sort of thing was well illustrated by the fate of the Hearst-Republican "fusion" ticket in the New York City election of 1907. However good the intentions of the Republican leaders may have been, their campaign was based on a bargain rather than on a principle. The loss of this type of "fusion" will be of little moment to the community.

#### (6) That Direct Nominations Permit the Voters of One Party to Participate in the Primaries of Another

In closing we may consider for a moment the argument that under the direct primaries system, the voters of one party are given an opportunity to take part in the primaries of another, for the purpose of forcing upon the other a weak or unfit candidate. In support of this assertion it is urged that in Missouri last fall, the purchasable element in the Republican party, by participation in the Democratic primaries, succeeded in defeating Governor Folk for the nomination for United States senator in favor of William J. Stone. Similar unfortunate results, it is said, have been experienced in Minnesota and in several other states. In order to demonstrate, however, the utter irrelevancy of this "argument." it is only necessary to call attention to the fact that there are two kinds of direct primaries -the "open primary" and the "closed primary." Under the "open primary," which is the system in force in the states above referred to, the voter who desires to take part in a primary election, on entering the polling place either asks for the party ballot, which he wishes to have given him, or in other states, is given a "blanket ballot," containing (as do the ballots at general elections in New York) the primary tickets of all the parties; or, in still other states, is handed a packet containing the separate primary ballots of all the parties, and instructed to use whichever ones he wishes, and leave the rest in the voting booth. According to all of these methods, each voter may vote for the candidates for nomination of any one party. (though obviously not for those of more than one), and no attempt is made to prevent Democrats from taking a hand in Republican nominations, or vice versa.

It is not, however, any of these forms of the "open primary" which Governor Hughes has recommended for New York state. It is the other system—the "closed primary"—which he advocates.

Under this system participation in the primaries of any party is limited by law to the members of that party. As in the case of the "open primary," however, more than one form of the "closed primary" is possible, the difference consisting in the method by which party membership is determined.

Under one form, there is no party enrollment, such as we are familiar with in New York state, but the voter, on entering the polling place at the primary election, is asked which of the several party primary ballots he wishes to have given him. He must answer in a good voice, and if any person present has reason to suppose that he is trying to obtain the ballot of a party to which he does not belong, such person may challenge him and compel him to take an oath as to his party allegiance. This provision has often been found inadequate to prevent the members of one party from participating in the primaries of another.

The second form of "closed primary"—the form which Governor Hughes recommends—is one under which the same method of party enrollment which is now in use in New York State for indirect primaries would be applied to direct primary elections. Under this form of "closed primary" no voter could participate—any more than under the present convention system—in the primaries of any party of which he was not a duly enrolled member. It is thus obvious that the argument against direct primaries, which might have some force as applied to the "open primary," or to the "closed primary" without party enrollment, has absolutely no bearing upon the direct primary law recommended by Governor Hughes.

#### Current Literature. 49: 5-7. July, 1910.

Governor Hughes's Last Political Fight.

To the objection that the elimination of the nominating convention means the destruction of party organization, Governor Hughes replies:

It is not those who seek to control party machinery for the benefit of themselves and their friends who give wholesomeness to party life and afford assurances to party success. The party life will be vigorous and its representation faithful to the extent that the rank and file of its membership, representing broadly its intelligence and spirit, have opportunity to make their wishes decisive in party action. This is not hostile to leadership that is worthy of the name; that will be encouraged. It is hostile to that

spurious leadership which seeks through the use of public offices to construct a virtual despotism, whether it be to gratify an ambition for power or to fill the pocketbook, or both.

#### Eclectic Magazine. 146: 79-82. January, 1906.

Direct Primary Nominations. William Hemstreet.

In our present spasm of political virtue it would be ungracious to discount any sincere proposition for reform unless something clearly better can be substituted. Individual independence at the late municipal election in New York City was the reaction anticipated from a long period of boss-blundering, and it showed the latent potentialities of the people. We must mark the campaign of Jerome and Hearst as instructive. They, without caucus, primary or convention, and from sheer popularity, were spontaneously nominated in spite of organized taboo. Now a multitude of reformers are urging new methods to correct nominating evils without these violent, expensive and risky revolutions.

The plan now receiving favor is that of the people at the primary directly nominating the party candidates without the aid of a convention. This would only be going backward; for two or three generations ago that was practiced when the population was sparse. The Athenian democracy made laws by a popular vote in the open forum, or market place. But great masses of people cannot come together, so they choose delegates to make their laws. It is necessarily the same with a great party. Our two great parties are here as a matter of fact, with their old-time methods, simplified, which can no more be wiped out than the mountain springs, the creeks and the rivers. Their system is an unwritten constitution of the land. It is a fact that delegates can work with more facility than the mass because they are chosen for having a broader knowledge of public necessities and individuals.

But it would seem that this direct nomination fad is only another device of political dudes for sneaking out of the caucus where the duty of the citizen commences. If independents desire to ignore the caucus and the convention, why do they not ignore, also, the other part of the system—the primary—and then nominate by popular clamor?

But the worst effect of direct nominations would be their inevitable minority rule. The independents would be so divided by a multitude of clique and personal preferences that the organized machine would easily carry off the plurality vote. Thus the party would have to go before the people with a minority candidate who is always weak. In our country the majority must rule, from stem to stern, or we shall become politically tropicalized. The political caucus is only the natural pre-consultation and pre-arrangement common to all social movement. It prevents confusion and is a simple method of pre-converging the party wish. It does not jeopardize the party by allowing some unworthy but eager candidate to push himself ahead with a plurality vote in the secret and furtive surprises of a direct primary voting. The people might have a trial of it for a year or two, but they would return to the old beaten track. It is doubtful whether even that innovation will arouse us from our apathy. All old-timers say it will not. If system is required in legislation and in the election, it is all the more required in the nominations. Direct nominations for public office would tend to break up all system.

Now a final word as to the organization of the independents without direct nominations, adhering to the old-fashioned way. The reform must begin with the people, the neighbors in each little polling or election district. It has been a trick of the politicians to make that unit of representation so small that there is no place in it for public assembly. Here is a great opportunity for the young patriot of worthy political ambition. His neighbors will be his constituency that will outnumber the machine. Let the New York City voter get from the "City Record," in the basement of the City Hall, for five cents, a printed list of all voters in his Assembly district, with their residence and politics. The board of elections of any borough will give him for nothing, or any newspaper will for ten cents, the election and primary laws. Then he is armed and equipped to go into the caucus of the machine, to

which all are invited, although if not invited, to hold a caucus of his own and draw up his ticket for the primary which the state officers there will honestly canvass. Thus, good men as delegates being chosen they would make up wise and unpurchasable conventions that no boss could control by patronage nor any aspirant by money, and that would make the present election and primary laws good enough, without amendment.

If we undertake to have a popular government we must assume popular responsibilities. The Assembly district committee with its executive member is useful in routine work, but the trouble is that by their concentrated authority they have assumed to dictate nominations and patronage. Then for this new primary district of seven election districts the board of elections could more practically find a meeting room for caucus or deliberation. A delegate from every election district in a county committee would make such a pandemonium of from 800 to 1,200 that they would quickly cry out for a boss. The above new apportionment, along with the amendment of the primary law allowing no one as a delegate or permanent committeeman who is on the public payroll, also voting in all committees and conventions by ballot only, will clear the political atmosphere.

It is these three evils that have reduced the interest of the party, namely: Indirect representation by the election district association, patronage-slavery in committees and conventions, and the crack of the machine whip through voting by voice.

But while conventions should be retained for public official nomination there might be direct voting for party administrators, because they stand in a relation to the party that a public official does to the public. The voter for them at the primary is like a delegate in a convention, voting directly for his object. If a domestic minority wins that is no concern to the public. The principle must be established that delegates should not vote for other delegates. Direct voting for public officials would be disorganization, but it would be good organization as

applied to the party administrators, such as committeemen and their officers, as that ticket is wholly cut and dried beforehand, and, to save time, the voters would assent.

We are inching along toward electoral perfection, the main step to which is a popular caucus. Without that no new rules will be effective. Let us be patient and persistent or else own up our treason to the country.

#### Forum. 42: 493-505. December, 1909.

Nomination Reform in America. Clinton Rogers Woodruff.

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Party labels having so much value and significance, and the artful politician making such powerful use of them for his own ends, the question of determining who should bear them has become a dominating one in American politics. And the demand for direct nominations is the natural fruit of the awakening consciousness of the American voter to the fact that his part in politics consisted in choosing between two or more lists of candidates set up, sometimes by rival politicians, oftentimes by the same group of men operating under two or more party banners, and in the selection of which, nine times out of ten, he had no say, or real opportunity of saying anything.

The convention was the approved means of the politician to effect his ends. Composed of men unknown to the public and of no further responsibility to those who elected them, but personally known and responsible to the politicians, the convention carried out the will and wishes of its masters and went out of existence unhonored and unsung.

In theory the party convention, like the electoral college, was admirable. Composed of representative men really reflecting the highest aspirations of their constituents, its deliberations concerning candidates would be worthy of the support of their fellow-partisans, on the basis of merit; but the opportunities for manipulation were too obvious and the convention soon became, first in the more populous centres and

then practically everywhere, what we now know it to be, the automaton of skilful manipulators.

The direct primary, which is practically the only immediate remedy suggested for the undeniable and I think generally conceded evils of the convention system, has been bitterly opposed, not only by the politicians, whose nomination monopoly has been undermined and in many instances destroyed, but by the theorist, who still regards the ideal of the convention as feasible, by the natural opponents on principle of the growth of democracy, and by some well-meaning but momentarily blinded believers in the right of the people to rule.

Nomination reform in the form of the direct primary is objected to: because it does not put the "organization" or the "machine" out of business; because it makes it virtually impossible for any one "excepting moneyed men or demagogues to be elected to office"; because it facilitates Democratic voting to make Republican candidates and Republicans helping to choose Democratic candidates; because it results in the election of a Democratic United States senator in a Republican state: because in nine times out of ten there is no issue, no platform, "not one step forward is taken in educating the people in the issues which confront them"; because it unnecessarily imposes two elections and two campaigns upon the taxpavers and upon the candidates or their friends; because the party, as such, has no voice in selecting its candidates; because the ballot is so long; because it takes so long to vote the ticket: because certain candidates unfairly profit by the alphabetical arrangement; because "it takes too blamed long to get returns" -but as the one offering this last objection was frank enough to say: "Under the old caucus one knew in a few minutes after it was over just what had been done, and in most cases one was able to tell with reasonable accuracy just what was going to be done before the caucus was held."

Practically all the objections that have been urged against the direct primary are objections which can, with equal force and effect, be urged against the convention system. The new

system, when fairly tried, tends to diminish rather than in-Those who of direct primaries speak making two elections instead of one overlook the fact that all delegates to conventions have heretofore been elected at primaries in form at least, and that therefore there were two elections under that system, as well as under the new one. It is true that under the convention system the primary elections were held under the auspices of the party and the state was under no expense, but the argument in favor of the state bearing the expense of and conducting the primary election rests upon as solid ground as the contention that the general election ballots should be prepared and distributed under public supervision and at public expense rather than by the parties.

The arguments advanced under this head are precisely the same as were urged against the Australian ballot system when it was introduced some years ago. It is now generally conceded, I believe, except by a very small and diminishing group of men, that the preparation and distribution of the ballots at the general election is a proper function and expense of the state. The fact that the old line politicians have fought this particular provision so strongly is an indication that it destroys a part of their privilege and monopoly. On principle it seems to me that there ought to be no question that all that relates to the making of nominations and the conduct of elections (the nominations being a necessary precedent to the election) should be carried on under state supervision and the expense borne by the state.

As to the objection on the ground of the length of the ballot, that is due to the great number of elective offices that voters are called upon to fill. The trouble lies not with the direct primary, but with the American custom of multiplying the number of officers to be chosen by election. One of the main arguments of Speaker Shurtleff of Illinois in opposing the Illinois direct primary law (recently declared by the supreme court of the state to be unconstitutional) was that "it would not be possible for intelligent men to vote

as they wished because the ballot would be three feet long"; but as a leading "down state" paper pointed out, the sample ballots then being distributed showed the utter absurdity of Shurtleff's argument. "True the Republican primary ballot is 'a yard long,' " if declared, "but it is by all odds the simplest ballot that Aurora voters have ever been given. All one has to do is to run his eye down the list and mark a cross in the square in front of the name of the man for whom he wishes to vote. The ballot given the voter at the general election in November with its multitude of names of men in every party is like a problem in quadratic equations as compared to the primary ballot."

The Australian ballot, which for years has been in use in Massachusetts, provides for the alphabetical arrangement of names under the head of each office, and we know that that ballot has not prevented the election of the candidates desired by a majority of the voters of the state. . . . In Philadelphia in February, 1907 at the time of the inauguration of the new primary law, the successful Republican mayoralty candidate's name began with an "R" and he was about two-thirds the way down. The name of his nearest competitor began with a "W." On the City Party and the Democratic tickets, the names of the successful mayoralty nominees began with "P."

The objection that in the large precincts, on account of the fact that it takes so long to vote, voters get tired of waiting for their turn and go home without casting their ballot is almost a trivial one. The remedy for this difficulty is extremely simple. Make more booths and shorten the ballot.

So much for what may be termed the mechanical objections. As to the averment that the new system gives opportunity for all sorts of manipulation by members of one party casting their votes for a nominee to be placed upon the ticket of the other, thus inviting the nomination of weak candidates for the express purpose of overthrowing them, experience has not shown this to be well founded. There have been instances where this has been done, but subsequent devel-

opments showed that the people actually wanted the weaker candidate.

One of the encouraging features of the discussion of the Ames episode is to be found in the editorial of the St. Paul Pioneer Press which said with great force and entire truthfulness—"There is no doubt that he (Ames) did receive a large number of Democratic votes, but there is no reason to believe he would not have received the Republican nomination. Furthermore what Democratic votes he received were bona fide, the returns of the final election indicating that he held all the Democratic votes he had received at the primaries. Now, if this means anything, it means that the citizens of both parties wanted him for mayor. It was an unwise choice, as every well-posted voter knew at the time but it was nevertheless the choice of the people of Minneapolis, and certainly that can hardly be called popular government which would deny to such an overwhelming majority as voted for Ames at the primaries and at the election the right to have the candidate and mayor it desired."

It is frequently asserted that where one party is in an overwhelming majority it can dictate the minority nominations. This is measurably true in some places, but it is not likely to continue so, inasmuch as such a policy inevitably reacts on those responsible for it. In Philadelphia in February, 1909, the dominant party nominated one of its men on the independent party's ticket by a successful diversion of its vote and invasion of the other party's camp. The Independents changed their party name and nominated their men under it, and they had a campaign issue ready made for them, and at the succeeding primary election the Republicans had all they could do to nominate their own candidates on their own ticket to attempt any outside job.

The allegation that primary election contests engender so much feeling within the party that it enters a campaign greatly handicapped merits careful consideration. The Nashville American has put the case, so far as this point is concerned, in this wise:

A few more state primaries, and Tennessee will land in the Republican column. Nothing is more conducive to party dissension, antagonism and disruption than primary elections. Tennessee has nad two state primaries, one for senator and one for governor, and they were both fair in ascertaining the popular will. But each left scars, and each weakened the party loyalty of many voters. The primary plan, if persisted in, will destroy all effective party organization. It means a campaign for the nomination and another campaign for election, with increased opposition. County primaries where one party is in an overwhelming majority may do well enough, but even then they serve to reduce the vote in the regular election, which is a bad result. The primary has been tried in congressional districts in the state, and in every instance the party has suffered. The convention plan is unpopular. There ought to be some other method of making nominations. The American has favored the election of delegates from districts to county conventions, the counties to send delegates to the congressional and state conventions, something after the plan of the primary for governor, but even that primary seems to have been an injury to the party, though it was as fair as could be. No matter what anybody has advocated or opposed, the primary system is prolific of trouble.

The editor frankly confesses that even the system he favors is likely to be prolific of soreness and, therefore, an injury to the party at the later election. Such a condition is likely to occur under any system, and after all if the people of a community do not want a man, he ought not to be forced upon them *nolens volens*.

Shortly after the defeat of David P. Jones for re-election as mayor of Minneapolis, in 1906, there was considerable hue and cry against the system. The editor of a leading paper wrote at the time that his paper had taken the position that the primary law in its present form in Minnesota was not satisfactory; that it had not produced as good results as were anticipated and that, if possible, it ought to be revised. "At the same time," he continued,

we have not settled upon any plan by which the defects of the law may be corrected. We find by experience that the primary campaign develops so much friction among members of the same party that the hostility engendered toward the successful candidate among those of his own party is so intense, that it cannot be allayed and the opposition mustered in support of the successful candidate. Ordinarily party support for a candidate is not a matter which concerns us materially in municipal elections, but there are times when party support is important. For instance, in our late municipal campaign there were two candidates for the Republican nomination—one of them Mayor Jones, who put on the "lid," stood for Sunday closing in his campaign, for the abolition of public gambling and other reforms for the promotion of the public morals. He was opposed within his own party by a man who declined to commit himself to anything in particular, but who was understood to be the candidate of the brewing interest.

Mayor Jones was successful in the primary by about 800 votes, Mayor Jones was successful in the primary by about 800 votes, but when it came to the regular election it does not appear that any of the Republicans who voted for the other Republican candidate in the primary voted for Jones. They were so thoroughly committed against him in the primary campaign that it was impossible to get them into line again. They seemed to have gone over bodily to the opposition, and Jones was defeated by 3,500 votes in the regular election. The candidate opposed to him on the Democratic ticket in the first campaign speech also declared for the "lid" and his intention to keep the saloons closed on Sunday, although his policy during a previous administration had been very although his policy during a previous administration had been very loose as to public vices. If we had had a convention, Jones would have been nominated and nominated without the bitterness of feeling which was aroused in a long primary campaign. The delegates would have been pledged to his support by their participation in the convention and practically a full party strength would have been voted for him, and he would have been elected.

To this the reply was sent—

Of course one cannot judge of your local conditions at this distance, but it would seem as if the people of Minneapolis wanted a wide-open town, and if they did I am enough of a Democrat to believe that they should have what they want, even though they may be mistaken in their desire and ambitions. You speak of the Republicans who voted against Mayor Jones in the primary as voting against him at the general election. It is to be presumed from what you write that they did this because they disapproved of his policy. If they sincerely disapproved of his policy, would they not be stultifying themselves if they voted for him simply because he bore a particular partisan badge?

You will understand, of course, that personally I am a great friend and believer in Mayor Jones, but my point is that in the last analysis the will of the people should prevail even though temporary disappointment and embarrassment may result. In short, I do not believe that there is any philosopher's stone of a

short, I do not believe that there is any philosopher's stone of a constitution or of a statute as Governor Russell put up some years

ago that will effectually save a people from themselves.

This editor's position, although honorably and honestly taken, was a mistaken one. The cause of democratic government suffered not at all, or at most only a temporary check, through Jones's defeat, whereas it would be checked and seriously hampered if the direct primary laws were curtailed.

The charge that the direct primary facilitates the election of the rich man and "renders it impossible for any except the rich man or the demagogue to be elected" cannot be seriously taken even though urged by men of such high standing in party counsels as former Secretary Leslie M. Shaw. The latter part of the objection contradicts the former. Under the direct primary there has been sufficient experience to furnish convincing replies to the contrary. Certainly neither Chamberlain of Oregon, Gore of Oklahoma, Jones of Washington, or Bristow of Kansas, can be classified as rich men nor

as demagogues, even though one may not agree with their views. Those who urge this objection cite the case of Senator Stephenson of Wisconsin, but the point loses its force when we recall the scandal in connection with the election of W. A. Clark of Montana under the old plan. Any system will afford opportunities for chicanery and corruption. The question is which affords the most resistance to such practices, the old indirect methods or the modern direct primary?

The claim that the direct primary eliminates the party platform, and the education of the electorate in the political issues of the day does not seem to be borne out by the facts. So far as one's observation goes, the system provokes rather more than less in the way of platforms and political discussion. There has certainly been a great increase in the number of organizations designed to assist in the promotion of such discussions, and to guide voters aright through the tangled mazes of the long ballot and the numerous issues involved.

As to the government by the mob, that is an argument that will find little favor in American ears, for with all our shortcomings in the matter of self-government, the charge can hardly be laid at our doors that we act like a mob. There is a considerable measure of emotionalism and no little mistaken or irrational action, but manhood suffrage has so far not been so conspicuous a failure as to lead to any general demand for its abolition. The tendency is toward a broader basis of suffrage rather than toward a more restricted one. We are committed to a government of the people and for the people, and above all by the people, and we might just as well realize it.

# Harper's Weekly. 55: 20. March 25, 1911.

Direct Primary in Action. L. J. Abbott.

The direct primary, like the initiative and referendum, the recall, or any other step toward pure democracy, is no civic cure-all. It works or fails to work, exactly as the convention

works or fails to work, depending entirely upon the men who work it.

So far as I know, it has been rejected by no state which has once adopted it. So the judgment in the aggregate is decidedly in its favor. Yet the direct primary, as it has come under my observation for a series of years, has certain palpable defects that can in a large measure be remedied.

The first question to be settled is whether you shall have an "open" or a "closed" primary law. The open primary has the names of all the candidates arranged in parallel columns upon a single ticket. This is the law that prevails in Wisconsin and Nebraska. Under such a system the elector is given a ballot with the candidates of every party upon it. In the booth he is free to vote in any column, although in Nebraska no one is permitted to cross over from one column to another. In Wisconsin, I believe, there is no such limitation.

It is readily seen that by the open primary party distinctions are quickly eradicated. This probably makes for good government. There is no question that, had it not been for thousands of Wisconsin Democrats forsaking their own candidate and voting for La Follette, that bundle of fearless energy would long ago have been relegated to private life. But on the other hand the open primary in Nebraska, this very fall, permitted thousands of "whiskey Republicans" to vote for the "wide-open" Democratic Mayor of Omaha, and thus nominate him for governor over a conservative and earnest Democrat who had made a good record as governor, and was undoubtedly the choice of a considerable majority of his party.

Again, under the open primary it is possible for one party to present but a single candidate for each office; then upon primary day most of its electors can vote for the weak candidate of the opposition, and thus make him the choice of their opponents. This is an expedient much discussed where the open primary prevails, yet I must confess that I have never known the trick to succeed, except possibly in the case of the Omaha mayor mentioned above. It should be explained that

there were two Republican candidates in Nebraska last fall, so this is not exactly a case in point.

The "closed" primary requires all electors to give their party preference when they enter the election booth. A ticket is then handed them in accordance with their previously declared party affiliation. In this way the electors of one party cannot dictate the nominees of another. But on the other hand there are many citizens who do not affiliate with any political party, who are thus disfranchised at the primary. These independent citizens are often the best educated and most thoughtful men in the community, yet under the closed primary they are tabooed from selecting men for office because they refuse to wear a party label. Again, many party men, for reasons of their own, often prefer not to disclose their party preference. They, too, are disfranchised by the closed primary, while the Wisconsin plan allows every one a choice. In this respect, as well as in the facility afforded men to shift from one party to another, the open primary is far more democratic than the closed primary that prevails throughout the South.

Having determined the kind of a primary to be adopted, the next question to be considered, and by all odds the first in importance, is the number of candidates to be subject to the primary law. This is the chief defect of every primary enactment with which I am familiar. To illustrate: In the Oklahoma primary election of August 2, 1910, the Democrats were compelled to make choice among ninety-five candidates who were aspiring for no less than thirty-seven offices. The Republicans had eighty-nine candidates seeking thirty-eight offices.

Out of this grand hodgepodge of good, bad, and indifferent, how could the elector make any intelligent choice? He was assailed with countless letters, handbills, printed speeches, newspaper articles and public addresses. In the hurlyburly of the primary it was all but impossible to get the truth regarding any aspirant for office one did not know personally.

One attending a "candidates' barbecue" just previously to the primary cannot but be nauseated by the fulsome praise candidate after candidate gives himself. A man of keen sensibilities revolts at the unseemly scramble, at the seli-laudation, and the tacking up of his half-tone picture at every cross-roads, like advertisements for patent nostrums. Men of high ideals will not enter such a race, and the field is left clear to the calloused and the demagogue. The man who wins is the hand-shaker, the "jollier," and the fellow with the Sunny Jim smile.

Now this is not true of the men at the head of the ticket. In Oklahoma a blind man, not burdened with wealth, defeated a millionaire banker for the United States Senate merely because the people understood the issue and wanted the blind man to represent them. There are five or six offices that every elector is interested in. For these, men are put forward and nominated that the people really want. But a large number of very important administrative offices are almost totally overlooked. It is true sometimes, for a particular reason, that some minor contest is brought prominently to the front, and then most of the voters will inform themselves regarding the merits of the respective candidates for this office, but this is the exception, not the rule.

I can vouch that I have heard a hundred men of intelligence ask, just previously to voting, regarding the qualifications of certain candidates for offices of highest importance. Quite as frequently the answer was, "I don't know any of 'em, I just voted for the first fellow on the list." And the first fellow on the list got the nomination. This very year an Hon. L. T. Burnes, regarding as hopeless his candidacy for State Commissioner of Insurance of Oklahoma, gave up the canvass, withdrew his name, and went off to Central America. But the ticket had been certified up to the printer, his name went on the ballot, and, beginning with "B," it happened to come first in the list. So in spite of the fact that Mr. Burnes was no longer a candidate and had left the country, he was nominated by a handsome majority.

This same fall Wisconsin outdid even this. In that state a candidate for Attorney-General was nominated who was dead. The Oklahoma aspirant for civic honors was finally located and brought back to run his race. Wisconsin could resort to no such expedient.

The remedy is not difficult to suggest: Nominate but a few of the most important officials. Let the executive appoint his executive helpers. Then hold this executive to a strict accountability for his appointees.

Has it not been shown that the direct primary, like every measure requiring the action of large bodies of people, is in theory useful and effective, but in action often crude and unsatisfactory?

#### Primary Election Law. M. G. Jeffris.

Aside from the candidates for the office of governor, comparatively little will be known of the others beyond their own immediate surroundings, and they must proceed to make themselves known if they desire to attain the first place in the wild race for office. If this bill operates as claimed by its advocates there will be many candidates. Men who are financially able will run up their lightning rod in hopes that in the jumble to follow-in this free-for-all-they will stand just a chance of being struck. A candidate is selected who receives a small plurality, but who, may be, receives less than a tenth of the votes cast. A man who would have no chance whatever if the party of the state through its representatives had selected its candidates. Would it follow that he is the best man, the most available candidate, or that he had any particular qualification for the place—not at all. Who is he bound to be? He will be the one who has best organized his forces—one who has been spending his time in politics and has the most grafters following in his train-one who can get the most newspapers to blow his horn. Will it be said that this is all true of the convention system? It is not true to any such extent at least.

In convention we assemble from all over the state. There are many men from whom to choose. We select the men whom we believe will strengthen the ticket, men well enough thought

of to draw votes, men whom the people can trust, men whose records are clear and are not subject to attack from the opposition on personal grounds. They are put in office by direct act of the party and they feel that the honor of the party is in their keeping. With a hundred and twenty years of American history how comparatively rare are the betrayals of this trust. What is going to be another inevitable result in a law such as is proposed? A candidate will see that he can save money, time, trouble, and be sure of success if he combines with other candidates. A slate will be made up among the candidates, and when you get that and it succeeds, what have you constructed? A machine. And such a machine as compared with any thing we ever have had in that line as the billion dollar steel trust compares with the country blacksmith.

Under this law great power is given to railroad corporations. They can organize enough voters swiftly and secretly to control every nomination. An individual would be powerless as against them. Under our present system they have never dared to take hand in political matters. Where nominations are made on bare pluralities their means of organization are so great that they would be able to dictate. Corruption in one county under this law would affect the entire state so far as nomination of state officers are concerned.

The last Republican state convention nominated all of the candidates by acclamation. It was generally understood throughout the state that it would be done. No contests were made. The conditions were accepted by the Republicans. Yet had this law been in force each officer would have had to have gone through all the steps required in case of a vigorous contest—and probably everything would have been contested. Your acceptable representative must fight for his seat every election. But a claim will be made that with a man in office he will be so much better known that he can easily defeat all comers. If that is so it will apply to the good servant and the ringster alike and will result in the formation of an office holder's machine. Either one of these opposite results would condemn this bill. What is going to be the situation when either party is confronted with a condition such as that which confronted

the Democratic party of this state in the last campaign? Were there good Democrats in this state who would have gone to the trouble and expense of getting out nomination papers to be nominated last fall? The party in convention had to use its utmost diplomacy to prevail upon men to fill out the ticket. If such a law as this had been in force who would have been the candidates on that ticket? Would they have been desirable men to elect? Would they have been a credit to the party they represent? There are scores of districts of political divisions, which are strongly Democratic. Are you going to get good citizens and leading Republicans to circulate nomination papers to be put upon a ticket which is foredoomed to defeat? The result would be that we would have to have caucuses and conventions to prepare for primary elections just as we now have caucuses and conventions to prepare for regular elections. We can, by caucuses and conventions, obtain the consent of men to run, although defeat is certain, men whose very presence upon the ticket strengthens every part thereof. In districts where the opposition is overwhelming the ticket of the weaker party would at least be of little credit to anyone. I well remember an instance where the Republican party of this state was confronted by a most serious situation. We wanted a strong, vigorous, well-known man at the head of the ticket that he might, if possible, bring victory out of defeat. Such a man as I have described was prevailed upon to head the ticket upon the sole condition that he was the absolute unanimous choice of the convention. Our situation was so bad that even he could not save the day, but he reorganized the party, held us together, brought out the best elements of the party and in the next campaign we were victorious. He made that run in the interests of Republicanism. I know that the campaign was a direct personal sacrifice for John C. Spooner. Where would we have been without a convention? We would have drifted further and further from our moorings and in my judgment continued to drift until we called a convention.

This bill is contrary to the theory of American institutions. We are a republic, not a democracy. Ours is a representative

form of government. You gentlemen were sent here, not to represent yourselves, but to represent your constituents. Why do they send you here, one hundred and thirty-three of you. to represent two millions of people? There are two reasons. One is that it is impossible for the two millions of people to get together and agree upon what laws shall govern them. But like the primary election, laws can be proposed and the whole people vote on them. That is not a Republican form of government. It is a democracy and has been tried and found wanting because with widely scattered individuals, every man acting for himself, it is impossible to carry on the business. When a law is submitted to the people every man examines it with reference to its immediate effect upon him, and he will insist upon having a law that is perfect from his standpoint before he will approve, and for that reason proper legislation could not be obtained. In a Republican form of government we must give and take. A law in some of its provisions may not be quite satisfactory to me. In other of its provisions it may not be quite satisfactory to you, but we must get together and agree upon something that will do substantial justice to each of us. The way we do that is by having a committee, or a legislature, or a convention to settle those questions for us. We are represented and take a personal part in it just as much as though we were personally present. There is another reason why the submission of the laws to the people is not satisfactory. A great mass of the people with their own affairs to attend to, are not capable of selecting the best provisions. They cannot hear the arguments for or against and weigh the matter. Therefore we have a legislature, we have a congress, we have a common council, we have a county board. It is all representation. It is all Republican. the theory upon which our government stands. The convention system is a part of that same machinery. We hold a caucus, we send representatives to a convention, and they formulate the principles upon which we, as a party, are going to stand, and they select from a multitude of candidates the men who are going to represent us at the coming election. Do they say that these conventions are not perfect? That they don't

always give the people the best candidates? We answer that legislatures do not always give the people the best laws. That our congresses do not always legislate just as they should. They sometimes appropriate too much money. They appropriate money to wrong uses. They adopt laws that are not beneficial, but upon the whole they do for us much better than could be done if every individual citizen should attempt to take part in the proceeding. They give us on the whole better results than could be obtained by a mass meeting of citizens, or by citizens working through a primary election from widely separated standpoints. This law is a step in the line of the introduction of the initiative and the referendum. They both follow as a logical sequence if this law is of value and is in harmony with our institutions. It is an impeachment of a Republican form of government which is guaranteed under the constitution of the United States. It means that a representative form of government is a failure—that each citizen must act upon all questions. Are the American people ready to render such a verdict? . . . The caucus system is valuable because it brings people together to talk of their common interests. Conventions are of great value in that they throw people from all over the state together and matters of common interest are discussed. It is to the interest of professional politicians that voters should be kept apart. The established bureau will furnish all desired information. Tyranny prevents the assemblage of the people. The people in making constitutions have realized the value of the right to assemble and have put that right beyond the power of abridgement by constitutional enactments.

The caucus and convention system grew and are maintained not for the primary purpose of selecting men to fill offices. They had their origin, and their maintenance is for the primary purpose of selecting and promulgating principles and of then selecting men to carry those principles into effect. Under this bill principles are relegated to the rear. Principles are of no importance. Under this bill the vital question before the people all the time is who shall fill the offices? Not what shall a party stand for, not what are its ideals, but who

shall draw the salary? And the bill is so constructed that the men who hold hardest and fastest to the ideals, and the men who believe in the establishment and maintenance of principles, are sent to the background, while the hustling, pestiferous demagogue who is brazen faced enough to chase up and down the state for votes, is the one who shall administer our affairs. The man whose sole motive is to get an office, declares for us after he has got his nomination what we stand for. Are the American people to cast down its ideals by specific acts of law?

This bill puts the cart before the horse. It makes men the all important issue. It nominates men and then permits them to say what are their principles. It makes no difference whether we approve. It is too late to change. . . . This bill will turn our so-called principles into a candidate's appeal for votes. The true American way is for the people to declare what they want and then select men who are willing and who have the capacity to supply that want. This is the natural method—it is Republican in form—it is American. This system pervades every phase of our national existence. Under it we have grown from a handful to one of the mighty nations of the earth's history. We have grown from poverty to be the bankers of the world. We have grown from half-starved common laborers to a nation of mechanics, well fed, well clothed, well housed, well educated. We are an independent and self-respecting people—all can make themselves felt in caucus and convention. The instances of our representatives betraving our trust are rare. Why then this radical change—where the need of pulling out and rejecting one of the foundation stones on which we have been building with success for more than a hundred years?

#### Primary Elections for the Nomination of all Candidates by Australian Ballot. Robert M. La Follette.

The voter, and the candidate for nomination who desires to represent the voter, must be brought within reaching distance of each other, must stand face to face.

To accomplish this we must abolish the caucus and convention by law, place the nomination of all caudidates in the

hands of the people, adopt the Australian ballot and make all nominations by direct vote at a primary election.

Surely this plan is right in principle because it is representative government pure, simple and direct. Is it practicable? Let us consider. Manifestly it cannot be claimed that the plan proposed is unwieldy or cumbersome. Compared with the existing method it is simplicity itself. At present we have one set of caucuses to nominate candidates for the assembly, another for the senate, another for county officers. another for congressmen, another for state officers, each followed by conventions, intermediate and nominating. For all these it is proposed that we have one primary election for nominations. But this will require us to hold two elections, the primary election and the general election, says the objector. True, I answer, but the primary election takes, of the voter's time only enough, to go quietly to the election booth. mark and cast his ballot, in accordance with his previously formed judgment upon the merits of the candidates. How much more likely is he to do this than to attend upon a half dozen different caucuses and twice as many conventions in a vain effort to maintain his right to representation. Besides in attending upon each of these caucuses he must take part in a prolonged struggle over the election of a chairman, the election of each delegate, and perhaps an attempt to make the delegate reflect the will of the voters by resolutions of instruction.

But says the advocate of political conventions, nomination by primary election would not distribute the places on the ticket geographically or according to nationality. I admit it. But instead, men would be nominated, who are so strong as to out-weigh all considerations of geography or nationality. Besides this, the nomination of the candidates of all parties by secret ballot upon the same day, would allow of no opportunity for the slightest advantage to either in respect to locality or nationality. More than this, all local interests are cared for by apportioning the state into senatorial and assembly districts.

But again it may be objected, that with a primary election.

there would be a large number of candidates for each office, and less than a majority would nominate. Supposing this were true, how is it in the convention? One of the common tricks of the machine is to bring out as large a number of local candidates as possible in every section of the state where the candidate they most fear is especially strong, either for the same or some other office, it matters not which, if the local candidate has following enough to enable him to name the delegates from his county, it serves the purpose. Each of these candidates is used as a mere stalking horse to fool a local constituency, and finally carry their delegation into the camp of the machine. But the reasons are all against a large number of candidates in a primary election. The temptation of having his name presented in a glorifying speech of nomination before a state convention would be wholly wanting to the candidate in a primary election. He would be reluctant to diminish his future chances by receiving only a meager local vote in such an election, and being judged upon it in comparison with candidates of real merit, and greater popular strength. Let it be admitted that a plurality might nominate, what then? Election to office is determined by a plurality vote. Is there any good reason to urge against nominations being determined in like manner. An honest plurality in a primary election would be more in harmony with the spirit of republican institutions than a dishonest machine-made-majority in a political convention. Aye, such a plurality would be more in harmony with republican institutions, than the hasty ill-considered majority-action, of a thousand excited delegates. in a political convention, wholly free from machine influenceif that were conceivable in these times.

# Literary Digest. 39: 330-2. September 4, 1909.

Value of Direct Primaries in Doubt.

An original turn in the contest over direct primaries in New York state has accentuated the fact that the subject is of national interest. The great difficulty in judging results appears to be in determining whether seeming failures indicate defects in individual laws or the impracticability of the system in general.

Perhaps the most quoted utterance in derogation of the plan is that of the Indianapolis News, which, as a former advocate, confesses its disappointment over the operation of direct primaries in its home city. Says The News:

Here we brought about the nomination of some good men for county offices a year ago, but we used occasionally to nominate some good men by the old method. To-day we have five candidates for mayor, not one of whom measures up to the standard which it was supposed we should reach under the direct primary. It is admitted on all hands that if the new machinery is retained we shall have to do something to limit expenditures, or else throw them on the public. For as things now are we have in effect two elections, two campaigns, and as a consequence two large outpour-ings of money. This of course would be a small price to pay if the results were what it was supposed they would be. But they are not, or at least they have not been so far. The good men who it was predicted would "come out," do not do so. The necessity of making two campaigns, of contributing to two campaign funds, and of twice submitting to the importunities of the 'heelers,' undoubtedly increases the reluctance of representative citizens to offer themselves.

Similarly the Baltimore American testifies that in Baltimore under a like system,

The election was a costly one to the city. It necessitated an outlay of approximately \$40,000. As about 14,000, or a little over 12 per cent, of the registered vote was poiled every vote cast cost the city about \$2.85.

The Democrats made the better showing for the reason that they drummed out every office-holder comeatable. As there are 5,000 of these employed by the city alone and quite a number in the state offices, it is not suprizing that they should have given a better account of themselves than the Republicans. The Democratic organization also put out a little money to stir up the workers, \$5 being alloted to each precinct.

Yet on the other hand, Governor Stubbs, of Kansas, is quoted as informing the New York Commission that, while before the primary election law of that state went into effect the Republican party of Kansas was controlled by an oligarchy of bosses in the interest of corporations, now, through the operation of the law:

The power has been taken out of the hands of those few men who formerly dictated the list of candidates and made the platform. It is a requirement for success in seeking public office in Kansas now for a man to prove himself honest and capable and to have something of merit to offer to the people. A man to be nominated now must be worth while and offer something for the good of the state, instead of his chief qualification being whether or not he can be handled.

Also, the Chicago Post makes merry over the recollection that the New York Commission "which is here looking for weak spots in the direct primary system does not seem to have received much aid and comfort from the Chicago men who addrest it." As for these Chicago men, it appears:

They not only insisted that the system had worked out substantially as its advocates thought, but their tart retorts to the somewhat adverse comments of the New Yorkers had the great merit of being sound as well as witty. Here, for instance, was a fair tit-

for-tat:

"In Wisconsin under the direct primary," said Judge Knapp, "the people elected to the United States Senate, over younger, abler, but poorer men, a millionaire eighty-two years of age. In New York under the old system the legislature the same year elected to the Senate Elihu Root."

"Well," said Professor Merriam, "the primary system in Wisconsin gave that state Senator La Follette and the old system in New York gave that state Senator Platt." "In Wisconsin under the direct primary," said Judge Knapp, "the

In the recent primary elections of San Francisco, The Chronicle of that city finds "much that is encouraging and much that is unfortunate," but apparently the worst features are partly due to the fact that "there is an uncomfortably large element in the city which is reckless and shameless in casting its vote," and this element can hardly be eliminated by the primary law.

### Michigan Political Science Association, Publications. 6: 31-54. March, 1905.

Forty Years of Direct Primaries. Ernest A. Hempstead.

It was in 1860 that the Republican party of Crawford county, less than six years after its organization, inaugurated the plan known as the Crawford county direct primary system. Although the party had twice carried the county, formmerly Democratic, and was seemingly well entrenched in power, its young, vigorous and, in the main, well-led organization had experienced the difficulties which beset all successful political parties. What those difficulties were are clearly set forth in the following brief resolution, offered by Dr. C. D. Ashley in the Republican county convention of June 20, 1860:

Whereas, In nominating candidates for the several county offices, it clearly is, or ought to be, the object to arrive as nearly as possible at the wishes of the majority, or at least a plurality of the Republican voters; and

Whereas, The present system of nominating by delegates, who virtually represent territory rather than votes, and who almost necessarily are wholly unacquainted with the wishes and feelings of their constituents in regard to various candidates for office, is undemocratic, because the people have no voice in it, and objectionable because men are often placed in nomination because of their location who are decidedly unpopular, even in their own districts, and because it affords too great an opportunity for scheming and designing men to accomplish their own purposes, therefore

Resolved. That we are in favor of submitting nominations directly to the people—the Republican voters—and that delegate conventions for nominating county officers be abolished, and we hereby request and instruct the county committee to issue their call in 1861, in accordance with the spirit of this resolution.

This resolution was adopted with but two dissenting votes in a convention of eighty-eight delegates representing fortyfour election districts. The system thus demanded was formulated in 1861 by a sub-committee of the county committee, and adopted by the full committee, of which the Hon. John W. Howe, an ex-member of Congress, was chairman. By popular tradition he was its real author. The rules thus put into practice, with a few amendments, have ever since been in use by the Republican party of Crawford county, although they were not formally passed upon by the voters of the party until fifteen years later. It is rather odd that these rules, providing for a popular vote system of making nominations, should have been ordered put in force by a delegate convention, and drawn and put in force by a county committee, without being referred to the voters themselves.

The rules adopted at that time provided for the nomination by popular vote of all candidates, duly announced for at least three weeks in the newspapers, the voting to take place at the regular polling place in each district between the hours of 2 and 7 p. m. on the day selected by the county committee. The voters of the party who have assembled in each district at 2 o'clock choose one of their number for judge of the election to be held, and two persons for clerks. When the polls close at 7 o'clock the board counts the votes cast, and on the following day one member, usually the judge, takes the return to the convention at the Court House in Meadville. Here the returns from the entire county are tabulated, and the result announced by the president of the Board of Return Judges,

the person receiving the highest number of votes for each office being declared the nominee of the party for that office.

For many years each candidate furnished his own ballots. About fourteen years ago the candidates for each office cooperated, printing all their names on one ballot, with instructions to the voter concerning how many were to be voted for. For the past twelve years the chairman of the county committee has printed ballots containing all the names of all the candidates announced according to the rules, grouped according to the offices, the voter erasing the names of all except those for whom he wishes to vote. Thus, without either act of the Legislature, or even a rule of the party, but by the natural process of evolution, a satisfactory solution of the ballot question was reached, and an Australian ballot adopted before its general adoption for regular elections.

The rules now in use are but slightly changed and in minor matters only from those originally adopted. Early in their history it was found necessary to limit strictly participation at the primaries to those either known to be Republean voters. or willing to pledge themselves thereafter to vote the Republican ticket; to require the use of ballot boxes (a hat or an open table serving in some places for many years); and to require lists of voters to be kept and brought to the convention of return judges, in order that in case of dispute and contest it might be possible to determine whether voters not Republicans had participated, or whether there had been fraud. To guard against fraud and the participation of other than the Republican voters, an amendment was also adopted, later, limiting the number of votes which might be lawfully cast in any district at a primary to the number cast by the party at the last preceding presidential election, making allowance for voters who had come of age since that election, and providing for the reduction of the vote pro rata among all candidates in case of excess. To the credit of the party it has not once been found necessary to enforce this amendment. The practice of "ringing in" Democratic voters or voters of other parties, stopped from the day it was made unlawful.

Two opportunties have been given the voters of Crawford county to return to the delegate system. In 1876, after a very full discussion by the press, the system was retained, receiving 1,585 votes; the Clarion county system (the Crawford county system with slight modifications,) 696; the representative delegate system, 533. The two popular vote systems received over eighty per cent of the vote cast. Not satisfied with this result, the friends of the delegate system asked for another test, and in 1879 it was made. The verdict was still more emphatic, 1,945 votes being polled for the retention of the direct primary system as against 416 for the delegate system. For the past twenty-five years no attempt has been made to supplant it, and it will doubtless endure until such time as Pennsylvania shall by general law adopt the direct primary for all nominations for all candidates of all parties.

So satisfactory has the system proven in Crawford county, that in 1888 it was adopted by a nearly unanimous vote by the Republican party of Meadville, the county seat, a city of 10,000 inhabitants, for all ward and city nominations, and has been continuously and successfully in use since.

In 1887 the system was adopted by the Republicans of the Twenty-sixth Pennsylvania congressional district, composed of the counties of Crawford and Eric. It has given entire satisfaction and is still in use, there having been no change in the boundaries of the district since the direct primary was adopted. It has resulted in an average attendance at the primaries of seventy-seven per cent of the entire Republican vote of the district as cast at the subsequent general election. Crawford county had theretofore been part of a district using the conferee system. Erie county of a district using the representative delegate system. The conferee system gave to each county constituting a Congressional district three conferees or delegates, who were generally the personal choice of the candidate who carried each county. These conferees would meet, vote for the candidates of their respective counties, fail to nominate, adjourn again, and so on until perhaps some arrangement was fixed up between the candidates themselves, the proper

order given to the conferees who, like puppets, voted as ordered, and the nomination be made at last. Occasionally arbitrators would have to be called in or the decision would be delegated to the State Committee of the party, and not infrequently no nomination would result, and two or more candidates would claim to be "it," with the result that the opposition would win over the divided party at the general election. I have personally attended many of these district conferences, as a looker on, and never knew of one that was not followed by crimination and recrimination, or charges of barter or sale, and they became such a stench that their abolition became necessary to party salvation.

Erie county, the other member of the present district which has successfully employed the direct primary at eight congressional elections, or for sixteen years, had been a portion of a district in which the representative delegate system was used. The district convention consisted of nearly 200 delegates, and the cost of their railroad fares and entertainment had to be borne by the successful candidate, with the result that only men of considerable wealth could afford to enter the contest. Occasionally these large conventions could or would make no choice at the first meeting, and a second meeting would be held, doubling the cost of the nomination to the successful candidate. The expense of conducting a canvass first in one or more counties to secure the election of delegates to the district convention, and then of the meetings of the convention itself, became a great burden to candidates.

The decision of the party leaders of the new district, formed in 1888, to have all nominations decided by the voters of the party themselves by ballot, came as a great reliei to the Republicans of Crawford county, who had become wearied by the scandals and dangers to party success of the conferee system, and to the Republicans of Erie county, who had thoroughly tried the representative delegate and district convention system, and found it sadly wanting in many respects, and burdensome to people and to candidates. The Republican voters of these two counties would feel disposed to ask for a com-

mission in lunacy for any man who would propose a return to either of the old systems. The new system has been completely vindicated by its use. The office of representative has come to be recognized as a district, not a county office. The lists are open to any candidate, and the necessary expenses of conducting a campaign for the nomination are within the means of men of moderate wealth. There are no longer drawn out contests-instead, on one day, within a few hours, the whole question is settled by the great mass of voters interestedwhat is left is the mere counting and tabulating of the vote and declaration of the result. Every successful candidate has received a clear majority of all the votes cast, not one having been nominated by a plurality. The question of whether the direct primary will successfully replace the delegate system for congressional districts can be answered most emphatically in the affirmative, if our experience in this Pennsylvania district is worth anything.

An objection urged against the direct primary is that it gives the cities an advantage over the rural districts. The city voter, it is claimed, being within easy walking distance of the polling place, can vote at the primary without interfering with his business or taking time from his work, while the rural voter, living perhaps several miles from the polling place, must lose half a day at least in order to exercise his right. The result, it is urged, is to increase the power of the city voter at the expense of the rural voter. If the primary election day is accompanied with bad weather this advantage in favor of the city is even greater. But this fault is not peculiar to the direct primary system. The rural voter labors under the same difficulty if he tries to exert his political power under the delegate system. If he would attend the caucus called to choose delegates to a convention he must go where the caucus is held. And if he does not go, those who do go choose, without his cooperation, delegates who represent him. our county the rural voters are thoroughly alive to their privileges and attend the primaries in large numbers. The most careful estimate I have been able to make indicates that about 60 per cent of the voters in the townships, 70 per cent in the cities, and .80 per cent in the boroughs (incorporated villages) usually attend the primaries.

The gravest objection to the system is that only a plurality is required to nominate, and that therefore a minority may control the nominations. In answer to this objection it may be said that in almost every state in the Union pluralities are sufficient to elect officials, and if to elect, why not to nominate? Haves, Garfield, Cleveland and Harrison were chosen Presidents of the United States by a plurality only of the popular vote. In actual practice it has been found that at least 50 per cent of the nominations under the direct primary system have been made by a majority of all the votes cast. Finally, when compared with the other systems, the objection to pluralities is found not to be peculiar to this system. Five or ten out of 50 or 100 voters residing in a district may attend the party caucus and elect a delegate. When delegates are elected there is absolutely no assurance that the result will be that desired by a majority of the people. The delegates themselves may be and generally are chosen by a plurality vote. If three delegates, for instance, run in one district, each representing a different candidate, and one receives 100 votes, another 80, and another 70, the vote of the district in the convention on the earlier ballots at least, will be given to a candidate who received only 100 out of 250 votes cast. If this candidate is dropped on later ballots, the whole strength of the district will be thrown to the candidate who received either 80 or 70 votes out of the 250 cast.

When there are only two candidates for any office, a clear majority for one or the other is, of course, ensured, tie votes being very rare. As I have said elsewhere, when there are three or more candidates, the candidate with the largest vote has, in our local experience of forty-four years, had a clear majority in at least 50 per cent of the primaries. And considering only fair probabilities it is safe to say that in at least 30 per cent more, if not 40 per cent, the leading candidate.

although receiving less than a majority, is the choice of a majority of those voting.

The delegate and convention system, by the process of dropping lower candidates, finally accomplishes a nomination by a majority—of what? Of delegates. But how has the result been accomplished? All who are familiar with the system are only too well aware. Not a delegate is chosen with reference to his preference for more than one or two candidates to be nominated. The voters of his district may especially desire the selection of one candidate, Smith, for Sheriff, we will say. They elect delegates, therefore, with special reference to the contest for Sheriff. But the delegates may be for Brown for Treasurer, and the voters, if they could express their views on the Treasurership nomination also, would not be for Brown, but for Jones. But Smith, for Sheriff, is from their town or section. He has interviewed them and talked up his merits and the lack of merit or the positive demerits of all the others who would be Sheriff; the voters become enthusiastic for Smith, and ignore all the other contests. Smith goes to the convention with these delegates, and others from his section, altogether forming quite a "bunch." And he is for Smith, first, last, and all the time. Brown and Jones and Robinson and Johnson, candidates for Treasurer, Judge, Representative in the Legislature, Congress, each has his "bunch" of delegates also, each "bunch" elected with reference to one candidate solely. The owners of the "bunches" spar for a while, search out the fellows with the largest "bunches" of unpledged delegates, then get busy, and by trades, dickers, promises of what they will do for (or to) each other, promises for next year and the year after, they finally figure out a majority of the delegates for this man and that, and this is called making nominations by "majority vote," and by the advocates of the convention system is considered superior to the system which enables every voter to make his own choice among the candidates for every office to be filled, because the latter forsooth, makes it possible for a candidate to receive the nomination who lacks a clear majority of all the votes cast.

I do not hesitate to assert that the direct primary is more effective, nine times out of ten, in securing the real choice of the majority of voters, than the convention system, with its bunches of delegates, controlled by the various candidates, and chosen with reference to their preferences for one candidate only.

No method of direct voting for candidates has yet been devised which makes impossible the nomination of candidates who might not be nominated if balloting could continue until a majority result was at last obtained. This is manifestly impracticable. But one state in the Union, Rhode Island, now requires a majority of the whole number of votes cast to elect. Pluralities are recognized as sufficient to elect in every other state. A majority of the Electoral College is required to choose a President, or, if this fails, the election is by the House of Representatives. But the Presidential Electors themselves may be and often are chosen by pluralities. It is possible that a system of primary voting may be devised which will include the expression of a second, possibly of a third choice by each voter, to be effective in the event that his first choice does not receive a majority. In the meantime, the direct primary plan need not be discarded because it does not always insure a majority vote for the successful candidates, for no other system comes any nearer accomplishing that end.

#### Primary Election Law. James G. Monahan.

I am opposed to this bill-

First. Because the provision which makes it necessary for a candidate before he can get his name printed on the primary ballot, to secure two per cent of the voters of his party to sign a petition asking him to be a candidate and those voters must reside in five precincts or townships in case of a county office, and twelve counties if for a state office, imposes upon a candidate an unnecessary expense, and will deter many modest men, with but little money, from becoming candidates; increases the activity of the boodler and professional politician,

lengthens the arm of every boss and increases the strength of every machine in the state.

Second. It will practically deprive the farmer vote from any voice in either county or state affairs. It is useless to sneer at this proposition as some do. Sneering doesn't meet the argument. When one class of voters reside within a few minutes' walk of the polls, while the other must come by teams, from one to eight miles, the handicap is too great, the conditions too unequal to contend that the farmer vote can protect itself, or candidates for state offices residing in rural counties could possibly have any show of success. Should this bill become a law, Milwaukee and the other large cities would dominate the state. In county affairs Madison and Stoughton would control in Dane county; Janesville and Beloit in Rock; Darlington and Shullsburg in Lafayette.

The date fixed for this primary election is the first Tuesday in September, when the farmers are busily engaged in threshing and cutting corn. These men must come from one to eight miles to vote. What percentage of them would leave their work to do so? The bill makes primary election day a legal holiday; also registration day in the cities. This will bring out a full vote in the cities, and as a result, candidates from the country districts will invariably be defeated. This argument is met by saying the country vote will combine against the cities. This can't be done unless a combination is made among the candidates and people outside the cities; and when this is done, you have simply built up a machine and installed some additional bosses.

Third. "Unnecessary taxation is unjust taxation." And this bill will impose a tax approximating \$150,000 upon the people of this state. A general election costs even more than this, and men who have examined this bill carefully say it will prove even more expensive than a general election.

In presidential years we will have two primary elections, one in April to nominate presidential electors and elect delegates to the various national conventions; the other in September to nominate state and county officers. This will put the

law in operation twice, for which the people will be taxed in a sum approximating \$300,000 for something which now does not cost them a cent.

This bill takes away from the people the right to Fifth. make the platform, and gives the power to the candidates for state officers, state senators and members of the assembly, and the various parties will have a candidates' instead of a peoples' platform. This is getting near to the people with a vengeance. The bill provides that "The candidates for the various state offices for senate and assembly nominated by each political party shall meet in the assembly chamber at 12 o'clock noon on the second Wednesday after the date of the primary election, and formulate a platform for their party." To us it seems that this provision is one of the lamest of this wholly bad bill. The idea that the people are going to surrender a declaration of their principles to a hundred and twenty-five candidates whose sole object is to be elected, and who would make a platform look like a crazy quilt if necessary to further that end, is a proposition too ridiculous for serious consideration.

#### Nation. 83: 48. July 19, 1906.

Primary Laws and Party Tactics.

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Now that the politicians in so many northern states are compelled to conduct campaigns under the new system, they are already forced to considerable modifications of the old strategy. Direct nominations obviously eliminate such devices as stampeding a convention, trumping up contests for seats, and the cruder dickering and trading of votes. According to the experience of cities which have used it, the direct primary also doubles, trebles, or quadruples the number of voters who participate in the making of nominations, a result which alone would offset most of the faults charged against the new system.

But besides these there are considerations of major tactics. One of the commonest methods of defeating a strong candidate for nomination has always been to put up against him a group of candidates, each drawing votes through his local

popularity, so that the first ballot in convention will fail of a choice. Then the opponents of the leading candidate combine on one man, and the thing is done. That was the scheme employed in the effort to beat Folk in Missouri. The president of the Jefferson Club in St. Louis, the popular Mayor of Kansas City, and a Supreme Court judge with a war record made their campaigns separately, expecting to find their aggregate vote larger than Folk's; but they were disappointed. In the same way the movement against Chairman Babcock of the Republican Congressional Committee employed a different candidate against him from every county. This method of fighting is now outlawed by a more effective decree than any Hague conference would make. A faction is compelled to vote in the primary for the man it wants to nominate and elect. A candidate who has a third of the votes to start with cannot be beaten by dividing the remainder among three rivals. This makes for frankness and honesty; for "piecemeal" campaigning is never inspiring.

#### Nation. 87: 131-2. August 13, 1908.

# The Primary No Cure-All.

There is a mixture of ingenuousness and deceit in the complaints of the defects in the direct primary brought out in the recent elections in Kansas. Oregon, Missouri, and Illinois. There are those who really believed that the new institution would be a panacea for all our political ills; that it would, like a magnet, draw every recalcitrant voter to the polls, where he would promptly put the rascals to flight and inaugurate an era of political purity. These innocents are now voicing their disappointment that the primary does not prevent fraud, and that in many cases, the voter being as indifferent to his new opportunity as he was to his old, the noxious machines, party and personal, are not yet completely smashed. On the other hand, the politicians are only too happy to have their doubts about the new law: they can see a hundred objections to it, and are suddenly displaying an altogether amusing solicitude

for the sanctity of the ballot and the free expression of the party's will.

From all accounts the primary was seen at its best in Kansas. There, as elsewhere, the candidates were compelled to go before the people. They met, as did Lincoln and Douglas fifty years ago, in joint debates of great length, answering questions freely and giving full accounts of themselves and their political principles. The result was a vindication of our democratic theory of government; the people chose as their servants the men whom they believed to be freest from the domination of corporations and politicians. Moreover, the interest taken was so keen that from Kansas come no such complaints of small attendance as are heard in Missouri and Illinois. Either Kansans are more patriotic, of a better type of citizenship, or their political grievances are more deeply felt. Be that as it may, they showed their intelligence precisely as did the Oregon voters who selected from a handbook of 125 pages the several dozen propositions that pleased them most and gave the best exhibition of a discriminating electorate this triumphant democracy has seen in many a year. These Oregonians chose a Democrat to represent them in Washington because they knew him as Governor and preferred him as senator to any of the candidates of the ruling Republican party. This is in itself an amazing achievement which the primary alone made possible.

If the vote was small in Illinois, it was not because of any lack of zeal on the part of the candidates. In that state, as in Tennessee and Kansas, there was not a passage of their records that was not published to the world. There was even an attempt to hold Gov. Deneen responsible for the accidental burning of a boy in a public institution. He was able to defend himself, however, by proving that it was against a radiator put in by his antagonist, ex-Gov. Yates, that the unfortunate child fell. Had it been a Deneen radiator it would have been properly safeguarded! Naturally, when the issues are so trivial, there were many stay-at-homes. In Missouri, the complaint of non-attendance and of other defects seems general.

It is thus voiced by the St. Louis *Times*, an excellent independent newspaper:

The people, as usual, were in the hands of the machine as to the cities. Later returns will be needed to show the relation of the country vote to the popular idea. Altogether, the new primary law is a disappointment and small credit to its framers. Its complications are the least of the objections that may be charged to it. There are no safeguards for the purpose of climinating the bosses and no element to attract the activity of the people. It is not what it was meant to be—a party vote for nominees—but a scramble in which Republicans may become Democrats for a day if they have no business of their own on hand. In some places Democrats voted Republican tickets for local reasons, and in others . . . Republicans stepped in and helped the Democrats. . . In St. Louis thousands were disfranchised yesterday because of their inactivity. They failed to register; others who were registered failed to reach the polls.

The *Post-Dispatch* and *Republic* take a similar view. But the *Times* sees truly that, aside from certain obvious defects, capable of remedy, the responsibility for the outcome rests with the people:

Under the new order the people cannot resort to the old trick of blanning the bosses. They will find the fault within their own household.

The primary is thus at its worst a means of fixing more clearly than ever upon the voter his responsibility for the welfare of his government. In St. Louis, not less than 63,000 voters, more than 50 per cent of the city electorate, refused to go to the polls. In Illinois the vote is reported to be so small as to give no true indication of the real strength of the two great parties; only one-third of the Chicago voters turned out. The "advisory vote" for United States Senator aroused little interest, and, as in Oregon, the defeated candidates are now insisting that the legislature is in no way bound by the outcome of the senatorial referendum. When we look south, however, to Georgia and Tennessee, it is undeniable that there at least, in the defeat of Gov. Hoke Smith, and of ex-Senator Carmack, the popular will was expressed beyond any doubt.

Serious defects the primary law has, chief among them the ability of Democrats to vote in Republican primaries and vice versa. A Republican may assert that he has experienced a change of political faith and participate in a Democratic primary, and yet there is nothing whatever, except his conscience, to prevent his voting for Republicans when he takes

his secret ballot on election day. The state cannot make a voter in a primary stick to that party in the election without restricting him in his right to bolt the ticket if his own primary chooses a man he thinks unfit. But granting all this and more besides, the primary remains, we believe, the best weapon against the boss vet invented, and the desire for it shows no signs of abatement. It must come in New York before long; the bosses' opposition to it is its best recommendation. They are well aware that if New York had this institution to-day, they could not for a moment stand in the way of the nomination of Gov. Hughes; that if they did so, they would be snowed under at the polls. Because Gov. Hughes favors direct nominations is one reason why he is hated by the bosses. The Governor knows, of course, that the primary is no cure-all: it is but another means of maintaining government by the people. The voter may neglect it, if he is as indifferent to his trust as heretofore: but if he is roused and in earnest, he can destroy the politicians who attempt to undo him.

#### Nation. 92: 232. March 9, 1911.

Cost of Direct Primaries.

The cost of the direct primary in Chicago does not appall its champions in Baltimore, in which city the direct primary has been an integral part of the reform that has raised political conditions above those which prevailed in the old Gorman-Rasin days. As the Baltimore Sun very sensibly points out, that part of the Chicago expenditure which consisted in enormous outlays by the candidates should be made impossible by law, while as for the part that falls upon the city, which was about the same per head of the population in Chicago as it is in Baltimore, "the results attained are cheap at the price. A good mayor is a splendid economy, and is cheap at almost any price."

# National Conference on Practical Reform of Primary Elections. 1898: 86-92.

Regulation of Primaries. George L. Record.

We are told to-day that nobody takes any interest in primaries. Did you ever consider why it was that a man sits at home in his slippers by his fireside in the evening and will not go around to a primary? Why is it? There must be some reason for it. Everybody has his choice for a public official and will go out at each election and you have an enormous vote then; there is no lack of interest. Why is it there is no interest in a primary? There is no interest in a primary because you do not do anything at a primary. As long as you elect a delegate at a primary you perform no function whatsoever. Just stop and think about it. Supposing the plan our fathers laid out for the choice of president was actually carried out in practice as it was intended to be. Suppose that every four years we elected so many members of the electoral college, and those men were not pledged to anybody and you did not know whether they would vote for McKipley on the Republican side, or Bryan, or anybody else-had not the slightest idea; would you take the trouble to go to the polls? Would ten per cent of the voters go to the polls to elect a small body of people at Washington, to select a president, without knowing whom they were going to vote for? No, sir. And you must have just the same interest in the primary that you have now, in the election. Have eight or ten men coming together around the corner and picking out somebody you are to rely upon, and you would not get anybody to take interest enough to leave the open fires and slippers to go around the corner in the evening; and the reason is a good one, because when you get around there you do not accomplish anything. You elect a man to go off to a convention for a week or ten days, or two or three weeks, where he is subjected to every kind of oppression, oftentimes to direct offers of money, more frequently to the promise of office or patronage, and you do not know what he is going to do, and for

whom or for what principle he is going to stand on the convention floor. I say you perform no function when you go to the primary under existing conditions, and people will never go to primaries to elect delegates under these conditions. I am satisfied of that. I have tried to get people to go to primaries and I have studied the question of why they do not go, and I am satisfied in my mind the reason they do not go is because they do not do anything when they get there which appeals to their interest. You take the average citizen in any state, from the countryman who sits upon a keg of nails in the country store to the busy lawyer in practice in the great metropolis of the state, and every living one of them has a direct positive choice for every candidate of his party and for every office in the city and state: every one of them has his choice. Ask them their choice for delegates and they would stare at you in blank amazement; they have no interest in it; it does not appeal to them. But ask them who is their choice for governor of New York state on the Republican ticket next fall and every man of this state who is a member of that party would have a direct opinion upon it; and, if you will stop and think about it, he would be rejoiced down to the bottom of his heart for the privilege of casting one vote at the primary for the candidate of his party for governor of this state. The minute you have accomplished that you have aroused interest.

Now take the next question. Another reason why we do not go to primaries is because we do not know where they are. What busy man knows where a primary is? And the average busy man in the city knows that if he goes around the corner, and leaves his slippers and his fire, five or six hundred men who run the district machine will run the thing and put up their candidate and he will poll a tremendous vote, and the other fellows will hustle around for what they can get, and even stuff the boxes if they can't get enough votes otherwise, and you have had all the labor for nothing, because the evil of every machine is not its numbers, but its willingness to commit crime in the nomination of candidates. When you have got around there you will find, as I have many

and many a time, that you cannot prevail, unless you are willing to risk the frauds which dominate that primary year after year. Then you can prevail just as well as they. I put in a year's time with what money I could get contributed, trying to elect a respectable Democrat in Jersey City, and I found the other day that provided I was willing to commit crime, to cheat at the election, and to pay men to do my will, it was perfectly easy to do it, and the reason why we cannot do it and do not do it is because we draw the line at the commission of an actual crime. That is the essence of it.

Now I would like to bring this point before you if I can, without taking too much time; that under the direct voting system-I do not say that a better class of men would be nominated: I think that is very material-but the set of men would be nominated under entirely different conditions. Just listen and see if this is not true. The average set of politicians who run a convention sit down in a room and they say "Shall we nominate So and So?" "Oh, he has got too many enemies." And one after another the names are checked off because they have got some personality and individuality, as a rule, and finally they elect some dummy who is not known, who has created no antagonisms and is just a negative character. This is the rule. We have many exceptions, but that is the rule—the average politician selects a man because he has offended nobody, and the man who has not offended somebody is not worth having in a public office. Now the people do not do that. The darlings of the people are the bold, aggressive, daring men who have offended hosts of men: and whenever the people of the United States for the choice of President vote through the caucus and the county convention and the national convention and manage to make their will carried through all that cumbersome machinery which is designed to stifle it, and nominate at a convention a man whom they choose, that man is always a positive character who has won his spurs in the field of national politics by national achievements and by the display of brains and ability and statesmanship. You would have a different

class of people; you would have a man run for office who was popular.

By the direct vote we nominate the man, and he is a man of strength among the people and stands high. He is elected by the voice of the people at a primary, by an enormous vote. He is nominated on the Democratic ticket, say, and is elected. In that case he owes nothing except to forty or fifty thousand in my town—it was 160.000 in this town. He owes nothing except to a vast number of voters, nine-tenths of whom must have supported him directly from unselfish motive, because there is no such number of offices to be given out. He wants to run again, and how does his mind work? He says "to run again I must please the people, and to please the people I must do things in office in the popular interest"; and we give him a renomination. I hope that argument will sink into your minds, because to me it is the strongest argument of this whole thing.

### National Conference on Practical Reform of Primary Elections. 1898: 96-8.

Convention Plan. Roy O. West.

The arguments offered in this paper in behalf of the convention method of nominating candidates for public office in the United States, rest upon the proposition that a political party is entitled to the benefit of the best thought of its best leadership. This truth is the more apparent now that canpaigns are usually fought as contests between representatives of ideas, not as struggles between individuals. It is also conceded that, in governments like ours, public sentiment is generally expressed and always enforced through political parties. These parties must be free to govern themselves and alert to avail themselves of every partisan advantage. If parties have not the right to maneuver attacks and skillfully repel onslaughts, this paper is without purpose. If talented party leadership is not desirable, it were better that this paper

be not read. If parties are to flounder about, aiming at nothing, they will surely achieve it.

The masses have little idea and less concern as to the probable issues of a campaign. They are influenced by personal considerations rather than by party welfare. If party leaders, of undoubted integrity, sagacity, and loyalty desire a nomination to be made, they are powerless. Likewise they cannot prevent bad and unwise nominations. They cannot reach the ear of each voter, were it not ludicrous to confide political secrets to the public. There could be no responsible head. The party could not express and do its own will. It would be limited in its choice of candidates.

It would be possible for voters who subscribed to other political faiths to influence the actions of parties, of which they were not members.

It is probably true that outside influences are equally potent in the selection of delegates to conventions. But rational primary laws, such as the Illinois General Assembly is now considering, will reduce this danger to a minimum. Moreover delegates are known, their names are published; they are responsible to their neighbors who elected them. Delegates are often instructed for whom to vote. Seldom do they violate those instructions. If they do, a new "machine" is apt to be a feature of that particular district at the next convention.

The convention affords the greatest possible latitude for choosing nominees. At the primaries, there is no limit as to the number of different delegate tickets which can be voted. If any citizen is dissatisfied with the list of delegates named on any or all tickets, he has the right to print a ticket of his own, vote it and get for it a majority of all the votes cast, if he can; or he has the privilege of erasing names and inserting others. Manifestly, the successful delegate ticket will be the one on which appear the names of the most representative, best known and most active members of the party. It ought to be so. They do the work. They should have a voice in the party councils. These men are invariably leaders of thought and action in their immediate localities. Delegates from different sections differ as do their respective con-

stituencies. A delegate from the tenement and lodging house district could not secure credentials in the boulevard district any easier than the so-called "silk stocking" could obtain a majority in some of the down-town wards. People like to be represented by officials who worship at the same altars, speak the same language, live in the same community and in the same manner. In a convention, these considerations receive proper attention. The leaders of the party consult these conflicting interests and a ticket satisfactory to a majority is agreed upon. The party can govern itself. It is a powerful, well controlled engine. With a direct vote, one class, or one race or one sect may prevail to the disadvantage of the party and the injury of the people.

What is said against nominating conventions? It is said the great body of electors do not vote. They do when public interests excite their attention; otherwise they would fail to vote under any system. "They do not know where the primary polling places are." Let them learn to read the English language if they do not know it and then read the call for the convention published in the newspapers. It is said that ballot boxes are stuffed, returns falsified and voters slugged. Let the criminal laws be enforced. They are sufficient. The same crimes have been committed again and again under the direct vote system. Another argument is that delegates are bought. The classes of men who are delegates are less subject to financial inducements than thousands of illiterate and degenerate voters, who control under the direct vote system and who can be purchased for a "drink." Finally it is said that on a delegate ticket, the voter is confronted with names, with which he is not familiar. If citizens are so exclusive and have so little patriotism that they do not know their neighbors and the chief men in the few election precincts comprising their primary districts, they ought not to have any voice in public affairs. Good citizens owe it to their country to manifest some interest in the public and the public needs.

During the years, our proud nation has grown and become more powerful. Our cosmopolitan population has been happy and prosperous. Conventions have nominated and the people have elected wise and patriotic rulers. Some mistakes have been made. They have always been corrected. In times of financial depression and unrest, conscientious and well meaning gentlemen, reinforced by discredited politicians, who hoped to ride again into power on a popular wave, have always seen the dark side of the picture, have proposed impracticable schemes to remedy evil, and, by inflaming the public mind, have enlisted many followers. With returning prosperity, the armies of unemployed being engaged again in the marts of trade, these generals have found themselves deserted by their soldiery. It will happen again. And, with the selection of delegates to conventions guarded by careful laws, this republic will continue to lead the way and our people will continue to be secure in "life, liberty, and the pursuit of happiness."

#### National Conference on Practical Reform of Primary Elections. 1898; 99-102.

Crawford County Plan in Cleveland, Thomas L. Johnson.

From our experience in Cleveland, it would seem that the ordinary individual has little fitness to judge of the ability of a candidate for office, or having the ability, little inclination to use it. This, however, may be due in a measure, to lack of information. In the practical workings of the system, the nomination seeker, who can make the most noisy canvass. who uses the largest amount of space for pictures of himself, and wonderful stories of his great love for the common people, inherited from obscure ancestors and acquired in the most humble ways of life, is liable to get the most votes, especially when he starts his convincing canvass if he has had some notoriety, either savory or unsavory. It is a consummation deyoutly to be wished by a candidate, under the Crawford county plan, that the people know his name, that he be talked about, it seeming to be of small importance whether the speech concerning him be favorable or unfavorable.

In a county having something like four hundred and fifty

thousand people, few persons are known to even a small percentage of the whole population, and it seems that almost any sort of publicity given a man, is sufficient to turn a large number towards him when he becomes a candidate for office. The voters have heard his name mentioned, they have seen it in the newspapers, and knowing no other by name, and having no immediate information as to his honesty or ability, or the honesty or ability of any other candidate, they vote for the person whose name they have seen mentioned the greater number of times. The police bench is considered one of the most advantageous places to start from in a race for any office in Cuvahoga county, from the highest judicial position, on down. And this applies to city offices, as well as county. The police judge is known to many persons; his name is much in the newspapers; he has, if he needs, a great pull with a large class of society, and when he becomes a candidate, is usually invincible in the race. Experience seems to indicate that the official who is well known can not easily be beaten by a comparatively unknown man, though the unknown man may have a fitness for the office far beyond that of the present occupant. The mayor of the city, having held the office and being known to occupy such a place seems to warrant the people in voting for such a one as a candidate, without reference to his ability, or to the ability of his competitors. This has often been observed in Cleveland campaigns. In the country districts these criticisms do not apply.

One of the reasons given for adopting this plan, and the potent one with the people, was the hope of withdrawing the nomination of candidates from the political rings or cliques which exist in every city. This purpose has failed, as this can not be accomplished in a city like Cleveland by the Crawford county plan, or at least it has not been done. In the nomination of candidates for any particular office, those who have been in office, or have controlled it, and desire to maintain their control, so direct their political energies that a few candidates out of the many are chosen, and upon these few the party workers and those interested in maintaining their hold upon the offices concentrate their work, and a particular

effort is made in their behalf, seldom without success. No proclamation is made to the people, and the desires on the part of these political managers are kept in the background, and the dear people wake up the day after the nomination and find that the politician has served his own purpose as completely as though he had controlled the delegates in a convention. This applies as well, in a measure, to the smaller counties, but in such it can not be so successfully worked.

The Crawford county plan, as already indicated, engenders much harshness and bitterness among candidates. Those seeking the nomination for the two or three principal offices absorbing the attention of the voters, and the nominations for the remaining offices are likely to, and often do go practically by default. The contest, for instance, over the nomination for mayor or police judge, will absorb the attention so completely that little notice is taken of the minor candidates. The same is true with regard to county offices.

The practice of newpaper advertising has grown to such proportions that it is quite a source of profit to the press, and considerations of added income from this source have served to blunt the editorial conscience to the faults of the system. The newspapers. I think, as a rule, favor the plan, and it is openly claimed it is because much business comes therefrom. Several efforts have been made to abandon this system in Cleveland, but the Republican press strongly oppose taking from the people their right to say who shall ask for the sovereign suffrages of our only kings, the people.

The ideal of the Crawford county plan of getting near the people, and having them freely and intelligently nominate candidates, is one to be striven for, but that ideal cannot be reached, in my judgment, by this plan, when practiced in a city as large or larger than Cleveland.

National Municipal League, Proceedings. 1904. pp. 321-7.

Method of Nomination to Public Office: An Historical Sketch.

Charles B. Spahr.

Our public affairs have come to be administered by political parties, and yet our parties, until very recently, have been without authorization or even recognition in our laws. The central principle of democratic government is that the real powers controlling the people shall be under the control of the people, and therefore the popular recognition of the fact that democratic government has come to mean party government has brought with it the popular determination that party government shall be controlled by public law to serve public ends.

In this country the center of party government and the recognized sources of its authority is the primary system of selecting party candidates and determining party policies. The origin of this system is practically contemporaneous with the origin of our national struggle for independence. It is true that, according to the memoirs of Samuel Adams, as early as 1725 his father "and twenty others used to meet, make a caucus, and lay their plans for introducing certain persons into places of trust and power." But it was not until the years just preceding the Declaration of Independence that the North End Caucus and the South End Caucus and the Middle District Caucus of Boston obtained a position of recognized power in determining the leaders and measures of the radical democracy of the New England metropolis. Samuel Adams himself is the father of the American primary system, for only in his day did the system become anything more than an informal gathering of individuals interested in political affairs. The part borne by Samuel Adams and the North End Caucus in the Revolutionary war brought the institution to the attention of sympathetic spirits all over the country. What the part was is sufficiently indicated by the following citation from Frothingham's Life and Times of Joseph Warren: "As the time approached when the tea-ships might be expected. the subject was considered in the North End Cancus. . . .

This body voted that they would oppose with their lives and fortunes the landing of any tea that might be sent to the town for sale by the East India Company."

The caucus of Samuel Adams's day, though a much more formal and formidable organization than that out of which it had grown, was itself rather of the nature of a secret meeting of men who by cooperation could obtain control of the political situation. Its honorable history at the beginning was due entirely to the public-spirited type of men who organized it. Like Franklin's little "Junto," which exercised an influence altogether out of proportion to the number or prominence of its members, it was based upon an idea of secret cooperation which can be used as effectively for bad ends as for good ones. The caucus was irresponsible, and in later days irresponsible caucuses came to be the most effective means of corrupting public life.

In the rural districts, where all the voters know one another, the evil side of the caucus has not developed so markedly as in the local towns and cities. In nearly all such districts, not only in New England, but throughout the country, the local party caucus was at first practically a town meeting of the members of the party. The next stage in the development of the system came from the desire to enable members of the party in different districts to confer together and act as a unit. The first means through which such conferences were obtained was by means of committees of correspondence; but a little later the party members of the state legislatures and of the national Congress took it upon themselves to choose party candidates for state and national offices and assumed the general direction of party affairs. During the first two decades of the last century the legislative and congressional caucuses were practically supreme, and it was felt that only through them could all sections be represented in party councils and all sections of the party act together in the contests with party antagonists.

Gradually, however, this instrument for party unity came to be regarded as a party tyrant. Members of each party in districts in which the opposition party was in the majority had, of course, no representatives in the state legislature or in the national Congress, and, therefore, were without direct representation in the party councils. Furthermore, it came to be felt that the legislators and congressmen were not responsive to popular feeling in the matter of nominations. In 1824 the popular sentiment aroused by the arbitrary rule of "King Caucus" was one of the important contributing causes to the defeat of the candidacy of William H. Crawford for the presidency.

The substitute for the legislative and congressional caucus which democratic sentiment then demanded was the convention—a system which preserved its commanding authority in all sections for one generation, and in most sections for two. The central idea of the convention system was that the members of each party should meet locally and choose delegates to county, or senatorial, or state, or national conventions, instructing them, if thought necessary, just how they should vote in these conventions. It was a further adaptation of the representative system of government to the affairs of the party: but this method of governing party affairs, like its predecessor, became more and more unsatisfactory as the years went on, as population increased and as the desire of the people for direct control of public affairs grew stronger. The mere growth of population formed an important reason why the convention system ceased to meet the needs of the people. When the population was small, the number of delegates sent to county, district, or state conventions was, relatively to the population large, and nearly every citizen knew personally the delegate who was to represent him; but when the population increased, the number of the delegates became relatively small, their personal relations to most of their constituents were remote, and the delegates came to be what the members of the legislative caucus had been before them, a small ruling class. In order, therefore, for the general electorate to regain as much control as it had formerly exercised over party affairs, it was necessary to do away with the convention system and substitute one in which the people voted directly for the men to be nominated and the measures to be supported by their party. The popularity of this reform, outside the ranks of political leaders, was, of course, in part due to the further development of the democratic spirit, which demanded that government should be directed, not by a special class of citizens, but by the whole body of citizens in order that the interests of all, poor as well as rich, might obtain equal consideration in the party councils.

This new spirit was most marked in the rural districts, and particularly among the substantial farmers in those districts. In the Northwest, as well as the East, the great body of such farmers, at least until the rise of the Populist party and the political revolution of 1806, were identified with the Republican, and therefore it was in the Republican party at the North that the demand for a primary system, in which the ordinary voters should select candidates instead of merely selecting delegates to select candidates, had its first and strongest development. In the South nearly all the farmers of this independent class were identified with the Democratic party. and therefore in the South it was in the Democratic party that the demand for direct primaries had its first and strongest development. In the South this demand was even stronger than at the North, and for this there were several reasons, the chief one being that in the South the choice of the Democratic primary is, in most sections, sure of election, and unless ordinary citizens are given a choice in the primary, they have really no voice at all as to who shall govern them and how they shall be governed. The regular election in most parts of the South is merely a listless and perfunctory ratification of what the Democratic primary has already decided upon. It being clear, therefore, at the South, that the popular control of the primary was essential to popular government, the citizens of this section early began to abridge and to overthrow the power of the delegate conventions, and to require that the nominees to all responsible offices should be chosen directly from and by the rank and file of the voters. It was in South Carolina that this system first reached logical completeness. The triumph of the reform faction of the South Carolina Democracy in the election of 1891, was followed by the destruction of the convention system and the choice of all public officials, including United States senators, was given over to the voters at the primaries. To some extent this system in South Carolina disappointed the radical Democrats who introduced it; for it was found that the primaries were more likely to select a moderate than a radical for the places of great responsibility. But the new system, like every democratic advance, so thoroughly commended itself to the mass of the people, that no one has dared to suggest a backward step. From South Carolina the system of direct primaries has extended into Georgia, into Alabama, into Mississippi, into Louisiana, into Texas and into Virginia, so that to-day nearly all through the South conventions do little more than formulate platforms; the real choice of Democratic party candidates is lodged with the people of the party.

In the North the substitution of the direct primaries for party conventions has developed somewhat slowly, but during the last few years the advance has been nearly as marked as at the South. Beginning perhaps with Crawford county in western Pennsylvania, which established direct primaries in 1860, county after county throughout the Middle West adopted the plan of having the candidates for important party nominations submit themselves to the suffrage of the voters of their party instead of being selected by conventions. This system was slowly introduced into cities of considerable size: and during the last decade, when the influence of the bosses and professional politicians in nearly all the cities reached a point no one concerned for popular self-government could longer tolerate, there has come strong demand all over the North that the selection of candidates by conventions must end and their selection by ordinary citizens take its place. In Minnesota the first important law providing for the introduction of a new system in a large city was adopted in 1800. This law was confessedly experimental, and introduced a direct primary system in the single county containing the city of Minneapolis. Two years later the Minnesota legislature extended the system so that it applied to all city, county and congressional nominations throughout the commonwealth. In

the Minnesota legislation the use of the Australian ballot was combined with the provision that the voters should vote directly for candidates instead of delegates, and wherever a reform primary system has been advocated in the North, the employment of a secret ballot furnished by the public authorities has been essentially a part of the system. After its triumph in Minnesota the direct primary gathered equal popularity in the neighboring state of Wisconsin, which a year ago, despite the antagonism of the forces which supply and handle political corporation funds, adopted the new system provided the voters should give direct sanction to the new law at a coming election. In Michigan a direct primary system has been tried in the city of Grand Rapids, and both political parties in most parts of the state have in their platforms called for a general law establishing the system everywhere. Similar gains have been made for direct primaries in Indiana and Ohio, and even greater gains in the state of Massachusetts. At first, in Massachusetts, the system of direct primaries was only applied to the selection of minor officers, but under the law enacted a year ago, all candidates for the present state legislature were chosen directly by the voters. The example of Massachusetts and Minnesota bids fair to have a far-reaching effect upon the people of other commonwealths, the demand for the displacement of nominations by a class for a system in which the whole electorate shall take direct part will soon be next to universal. Each step in the development of our nominating methods has been a step to make more real the control of public affairs by the whole electorate. All those who believe in this American ideal instinctively give their support to every movement toward its attainment.

In England the primary system has had a similar development, though a much later one. There, as well as here, the primary has been the organ of democracy, and it has been peculiarly the democratic elements in society which have furthered its development. The word "caucus" in England was not generally used until the early seventies, and then it was applied by the Tories as a term of reproach to the methods by which the Liberals of Birmingham organized their supporters

in order to carry through the civic reforms which have given that city its international reputation, and in order to secure for the Liberal party that strong representation in Parliament for which the city of Birmingham was so long famous. Liberals would have preferred to keep for their organization the name they themselves had chosen, "The Birmingham Liberal Association," for they felt keenly the discredit which had been brought upon the primary system by the abuses of this system which had been tolerated by the democracy of America, but they accepted the bad name in order to secure machinery by which common men could make their influence effective in the political life of the nation. From the city of Birmingham the plan of entrusting the management of the Liberal party to delegates elected by the whole body of Liberal voters was soon extended to other progressive centers, and soon Mr. Gladstone formally endorsed the National Association of Liberal Clubs, which has come to be the controlling power in all the affairs of the Liberal party. There, as here, the control of the party by the members of Parliament elected by it did not satisfy the needs of the new democracy; and a primary system, similar to the convention system which we are outgrowing, is now the means by which the party of progress in England agrees upon its program and selects its candidates. Years after the Liberals had accepted this institution, the Conservative party unwillingly followed in its footsteps.

# National Municipal League, Proceedings. 1908. pp. 171-3. American Municipal Tendencies. Clinton Rogers Woodruff.

It is averred by some that the new system of nomination gives opportunity for all sorts of manipulation by members of one party casting their vote for a nominee to be placed upon the ticket of the other, thus leading to the nomination of weak candidates for the express purpose of overthrowing them. This was especially a weakness of the convention system, and is likely to disappear very rapidly under the new system as the people become accustomed to exercising their

rights and the privileges of discrimination under the new system. While it must not be overlocked that the notorious Dr. Ames of Minneapolis was numinated under a direct primary and under just such manipulation as has been referred to. yet the fact that he was subsequently elected by a very large majority at the general election, indicated that the people i l'immacclis then wantel him. I di not know of any law by which a self-giverning community can be saved from itself. It must bear the brunt if the exercise of its judgment. If it wants men of the Ames type, it must be permitted to have them and learn. by litter experience, how unwise its choice is. There are public, and good people, too, who seem to think that direct a minations mean inevitably good nomination. They mean nothing if the kind. They simply mean that the gettle hand a right to express their choice directly, and with ut the inter entine if unnecessary machinery. If they don't know any lotter than to choose badly, the system

A stack objection to direct nominations has been that it produces little ment. The old system certainly produced its in the old little ment, or what was equally bad) of big men susceptible of manipulation and control. The line of progress lies in simplifying the machinery of nomination and election, and of protecting it against corruption and fraud, and then of educating the people in the exercise of the franchise. So far as I have been all to observe in the western cities and states where direct nominations have been in operation for some considerable time, the results have on the whole been very satisfant by and a very much higher grade of men, and men much more responsive to public sentiment, have been chosen.

Another objects in frequently arged against the new system is, that it produces self-advertising on the part of candidates. It is difficult to consider this charge as a serious one; because there has been self-advertising under both systems. In the one case, however, it is a direct appeal. In the other, it is an indirect appeal by a party committee or a group of citizens. It would seem, however, that if there was any advantage in the one over the other, it was in favor of the direct

appeal. Certainly there is much to be said in behalf of the English system, in which the candidate makes his appeal without equivocation to those whom he seeks to represent. The system in vogue there seems to be much more truly democratic; and while mistakes may be made, as we know they have been in the past, in the long run it will work out best for the community, for democracy, and for the highest welfare of mankind.

We must realize that we are living in a democracy, and that the election machinery must be democratic and must record the wishes of the people and be responsive to their desires. The whole trend of our government from the beginning has been to strike off the fetters binding the people, although the process has often been a skew one. Direct nominations are a step in advance; because they enable the people directly to express their wishes. No doubt they have made their mistakes, and will continue to make them; but they have had to bear the brunt of them in the past, and they must continue to bear them in the future; and this in the long run will prove to be the most effective way of building up an enlightened and efficient democracy.

## National Municipal League, Proceedings, 1910. pp. 328-39.

The Present Status of Direct Nominations, Louis M. Greelev.

The popular movement in favor of direct nominations centinues in full force. Professor Merriam, in his book on "Primary Elections" published in 1908, stated that fourteen states, to wit. Illinois, Iowa. Kansas, Louisiana. Mississippi. Missouri. Nebraska, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Washington and Wisconsin had mandatory direct primary election laws covering practically all offices; that three other states, Minnesota, Ohio and Pennsylvania had mandatory direct primary election laws covering all offices but state offices, and that fourteen other states, Alabama, Delaware, Florida, Indiana, Kentucky, Maine, Maryland, Massachusetts, Michigan, New Jersey, New York, North Carolina, Rhode Is-

land and Tennessee, had either optional direct primary election laws covering practically all offices, or else optional or mandatory direct primary election laws covering certain offices or certain localities. Since that book was published. Arizona, California, Idaho, Nevada, New Hampshire and Tennessee have passed mandatory state-wide direct primary laws. which, when Prof. Merriam wrote, had an optional direct primary law, has replaced it by a mandatory state-wide direct primary law, including practically all offices, the act being, however, optional as to county offices and as to city offices in cities having under 70,000 population. Illinois, which Prof. Merriam classed among the states having mandatory state-wide direct primary laws, including practically all offices, still belongs in that class by virtue of two new direct primary laws. one for legislative offices only, and the other for practically all offices except legislative offices, these acts being passed by a special session of the legislature in 1910, to replace the former direct primary law of 1008, which had been declared unconstitutional by the State Supreme Court.

South Dakota, portions of whose former mandatory direct primary law had been overthrown by the State Supreme Court as unconstitutional, has replaced her former statute by a new full mandatory state-wide direct primary law. So that at the present time twenty-one states and the territory of Arizona have upon their statute books direct primary laws of the most comprehensive character.

Some states having direct primary laws of limited application have brought new territory or new offices within the operation of the direct primary. In no state where the direct primary has ever gained a place on the statute book, has it lost ground. In states where the direct primary does not exist or exists in limited form, determined efforts are being put forth to introduce it or to extend its scope or applicability. A striking instance is the campaign for the direct primary conducted in the state of New York by Governor Hughes, which, though resulting in defeat in the legislature, may yet triumph through the advocacy of the direct primary by the recent Republican convention of that state.

The Governor of Colorado, in his recent message to the special session of the legislature, calls upon that body to redeem its pledges to the people by enacting a direct primary law. An active movement is on foot in Wyoming for a direct primary law.

In Nebraska, North Dakota and Washington recent legislation has excluded certain judicial offices from the direct primary and substituted therefore a non-partisan nomination. The Tennessee Act of 1909 excludes most judicial offices from its operation. On the other hand, the direct primary laws of Arizona, California. Idaho, and Nevada, all passed in 1909, include judicial offices in party primary elections. Montana has provided that judges must be nominated by petition.

The non-partisan primary or double election for municipal offices has gained considerable ground since Prof. Merriam wrote. Under this system a non-partisan direct primary election is held. At the ensuing final election all candidates, except the two highest for each office, are excluded from the ballot. The net result of the two elections seems to be the election of officers by a majority rather than a plurality vote. The system was first introduced by act of legislature of the state of Iowa, applying to cities having a commission form of government. It has since been established for commission-governed cities in Illinois, Kansas and Wisconsin, Wisconsin has also a local-option law for non-partisan direct primaries for all cities. The nonpartisan direct primary is permitted by a recent amendment to the Minnesota statute for home rule charters. It has been provided for by charter amendment in the case of Haverhill. Massachusetts, a commission-governed city. Berkeley, California. Grand Rapids, Michigan, and doubtless other cities have also adopted it.

Several of the more recent direct primary acts exclude some or all city or village offices. The Idaho act does not apply to cities, villages or towns. The Michigan act is mandatory as to city offices in cities having over 70,000 population, and is optional as to such offices with cities of smaller size. The Nebraska act applies only to cities having over 25,000 population and excludes village and township offices. The New Hampshire act excludes cities and towns.

Most or all direct primary acts exclude some or all school offices from the operation of the act.

Of the direct primary laws passed within the last two years Arizona requires the voter on challenge to make affidavit that he is affiliated with the party, and has not signed a nomination petition for candidates at the primary, of other political parties, or a nomination paper for an independent candidate. There is no registration of party affiliation. California provides for registration of party affiliation, with provisons for change of registered affiliation. The Idaho law has no requirement as to party affiliation. The voter is given the separate primary ballots of all the parties, pinned together. He votes one ballot only and returns the others to the judges of the primary who deposit them in a box provided for the purpose. The Illinois law requires the voter, on challenge, to make affidavit that he has not voted at the primary of another political party (other than a party local to a city, village or town) within two years, that he has not signed a nomination petition for a candidate at the primary of other political parties, or a nomination paper for an independent candidate, and that he is affiliated with the There is no express provision for registration of party The Michigan law provides for a registration of party affiliation (in connection with registration for final elections), with provision for change of registered affiliation. The Nevada law makes no provision for registration of party affiliation, but the voter, on challenge, must make affidavit that he intends to support the party nominees. The New Hampshire law provides for registration of party affiliation and for a change of registered party affiliation not less than ninety days prior to the primary. The Tennessee statute requires the voter, if any judge of the primary entertains a doubt as to his party affiliation, to make affidavit that he is a member of and belongs to the party (or in case the voter desires to change his party affiliation) that he now intends, in good faith, to affiliate with and become a member of the party. Wisconsin, which permits the voter to vote the ballot of any political party without regard to his party affiliation, passed, in 1909, a law providing that if all candidates for any given office on any primary ballot shall receive in the aggregate less than twenty per cent of the vote cast for the party nominee for governor at the last general election, no nominee of that party shall be placed on the ballot for the final election, but the name of the person receiving the highest vote shall be placed on that ballot as an independent candidate. The object of this law was, of course, to keep party voters from invading the primaries of other political parties. The provisions of direct primary laws passed prior to 1908 with regard to party affiliation of voters are summarized in the "Wisconsin Bulletin on Party Affiliations," by Miss Margaret A. Schaffner.

There has been a strong tendency towards limiting by law the expenses of candidates at the primary election. The most elaborate law of the kind is that of Oregon, patterned after the British laws of 1883 and 1805, adopted in 1008 by initiative. The act provides for the publication and mailing by the public authorities of campaign statements in favor of and against the primary candidate and his opponents, the candidates to pay certain fees toward defraying the cost. The act limits strictly the total amount that may be expended on behalf of candidates. Candidates and political committees and agents are required to file itemized detailed statements in prescribed form, with youchers of campaign receipts and disbursements, failure to file which prevents the candidate's name from being placed on the final election ballot. Corporate campaign contributions are prohibited. The California direct primary law has corrupt practices provisions defining permissible campaign expenses and prohibiting all others, also fixing the total permissible maximum total amount of permissible expenditures and requiring statements to be filed.

The Idaho direct primary law has corrupt practices provisions defining legitimate campaign expenses and requiring the filing of a detailed statement of receipts and expenditures. Arkansas, Connecticut, Florida and Georgia have also recently passed corrupt practices acts applicable to primary elections. Several states have recently passed acts prohibiting campaign subscriptions by corporations. Congress has passed such a law applicable to federal corporations and to congressional elections.

Iowa, Massachusetts, Missouri. Nebraska, New York, Oklahonia. and many other states have on their statute books corrupt practices acts applicable to primary elections.

The decisions down to 1909 are summarized by Prof. Merriam. They unanimously sustain the general power of the legislature to enact primary laws. The cases disagree upon the question whether a primary election is an election in the strict constitutional sense, or whether it is a method of selecting party nominees rather than an election properly so called.

The greater number of cases and the better reason support the latter view. The more recent cases generally adopt this view

Since Professor Merriam wrote, the direct primary laws of the following states have been upheld by the courts: South Dakota (except certain provisions), Oregon, Ohio, North Dakota, Wisconsin, Nevada and Idaho.

The direct primary laws of Illinois and Tennessee have been overthrown by the courts. Primary legislation has been particularly unfortunate in Illinois. The courts have overthrown no fewer than three successive primary acts, and it is not certain that the present (the fourth) act will not meet a like fate, though a recent decision (without opinion) of the State Supreme Court gives some ground for hope that the present law (or laws—for there are two) will be sustained.

The general result of recent experience with the actual working of the direct primary seems to show that where the voters are alert and interested, the direct primary will accomplish the purpose for which it was intended—the democratization of nominations, the wresting of control of party nominations from the party boss or machine. The results of the recent primaries in New Hampshire, California, Kansas and Minnesota seem to show this. In all of those states the popular will of the party voters prevailed in spite of the efforts of the office-holding machine. No doubt the sharp issue between the stand-pat and insurgent elements of the Republican party drew out an exceptionally large vote at the Republican primaries.

In California the vote for governor at the Republican pri-

mary (the only primary where there was a contest as to that office) the total vote exceeded that cast for President in 1908, and the total vote for governor at all the primaries was only 40,000 less than the total vote at the state election in 1906. The normal primary vote seems to be about fifty per cent of the normal vote at general elections in Kansas. The percentages in Nebraska, Wisconsin and Michigan are a little lower. In Minnesota the percentage varies between 25 per cent and 60 per cent.

As to the expenses of primary candidates, while exact figures seem not to be available, it is clear that they are heavy where there is a contest. Whether they are heavier than under the convention system can not be absolutely determined. seems little reason to doubt that the legitimate expenses of candidacy are, in general, heavier under the direct primary system than under the convention system, especially in the case of offices filled by the vote of an entire state or other large constituency. This is no doubt an objection to the direct primary system. As I have shown, efforts are being put forth to meet it by corrupt practices acts defining the legitimate expenses of candidates, limiting the total amount that may be expended, and requiring the filing of itemized statements by candidates and political parties. But while corrupt practices acts may lessen, they cannot wholly remove the difficulty. For the legitimate expense of canvassing a large constituency is necessarily considerable, and a corrupt practices act limiting expenses below the necessary cost of a thorough canvass would seem to be unreasonable and undesirable. Sometimes, no doubt, the main contest is at the primary and little further in the way of canvass for the general election is necessary. But in general the primary must necessarily involve the expense to the public of an extra election and to the candidate the expense of an extra canvass.

The Oregon Corrupt Practices Act provides for the publication and mailing to the voter by the state or city of a pamphlet containing campaign arguments for and against the candidates furnished by the candidates and by their opponents. For this the candidates pay a certain price per page. The

amount so paid is not sufficient to defray the entire cost, so that a large part of the expense of the canvass is in effect thrown on the public.

If the direct primary necessarily involves added expense to public and candidates, it at any rate gives the candidate an opportunity to discuss and place before the voters real, vital issues. The added expense goes toward the enlightenment of the voter.

It seems not possible to determine accurately whether or not voters of other parties vote to any considerable extent at the primaries of parties to which they do not belong. The impression prevails that this is done to a very considerable extent. The laws of the various states vary very much as to requirements and tests with respect to party affiliation. Some states like Michigan and California have so-called close primaries, where by law the party affiliation of the voter is entered upon the register of voters, with provision for change of party affiliations upon the register at stated times. Every voter must, in general, be registered with the party at the primary of which he seeks to vote. The Illinois law provides that a voter having voted at a party primary, cannot vote at the primary of any other party for two years. On the other hand, by the laws of some states the party affiliation of the voter is not registered, and the voter is simply required, in case of challenge, to make affidavit as to his having affiliated in the past with the party, or of his intention to support a majority of the party candidates at the final election. The laws of Idaho and Wisconsin permit the voter to select whichever party primary he chooses, regardless of his party affiliation. It seems to me that the Idaho and Wisconsin laws are wrong in principle, that the right to vote at the primary election should be by law strictly limited to adherents of the party, so far as this is practicable. The primary election is intended as a means of selecting party candidates. For that reason only those belonging to the party should participate. If outsiders are allowed to participate at the party primary elections, the primary elections lose all reason for being. If they are not expressions of the will of the party voters in the choice of candidates, they are nothing. I believe that tests of party affiliations should be made as rigid as practicable and inasmuch as they must necessarily be somewhat vague, I believe that a declaration of party affiliation once made should confine the voter to the primaries of that party for a considerable period.

Experience seems to show that the party convention system of nominations, except in small communities, has broken down in practice. It has everywhere come under the control of party machine. It has become merely a means of registering the will of the party bosses. It has ceased to be democratic in any sense. Efforts to improve matters by statutory regulation of the conduct of the election of delegates and of the convention itself have proved unsuccessful. It would seem that we must substitute some other system of nominations in place of the convention system, if we are to have a democratic form of government in fact as well as in name.

Of the substitutes now in sight it would seem that for general use the partisan direct primary is the most promising. The other substitutes are the non-partisan direct primary above referred to, which is in use in Des Moines and other commission-governed cities. This system no doubt works well for small cities under commission form of government. It is questionable, however, whether it would prove satisfactory for cities of metropolitan size, where the city constituencies are large and the number of offices to be filled by election large. It would seem that some form of partisan primary would prove preferable. This system of nominations has never, so far as I know, been proposed for state or congressionable offices. Boston is experimenting with the non-partisan nomination by petition. It is understood the actual result of the first election was not entirely satisfactory to those who proposed the plan. This does not prove that the plan is not a good one. The experiment is a most important and interesting one, and will be closely watched. The experiment is favored by the comparatively small number of offices to be filled by election. This system has the advantage over the partisan direct primary of obviating the necessity of a nominating election. It has the advantage

tin common with the non-partisan direct primary) of tending to exclude from municipal elections questions of national party politics. Whether it will prove popular for large cities with numerous elective offices is perhaps doubtful. That the system would ever be extended to state or congressional elections, seems most unlikely.

Whatever may be the respective merits of non-partisan nominations and the direct party primary so far as municipal nominations are concerned, it seems to me reasonably clear that the partisan direct primary is the system that has the balance of advantages in its favor so far as state offices and members of Congress are concerned. In these matters our practice of party nominations and party designations upon the ballot is too firmly fixed to be uprooted without causing dissatisfaction and confusion. So long as elective offices are so numerous and voteing constituencies so large, the party nominations and the party designations on the ballot seem necessary, or at least desirable.

No doubt the success of the partisan direct primary depends on the extent to which the party voters perform their duty of going to the polls and voting. But this is true of any system of nominations. It will not alone put an end to machine politics. so long as the multitude of minor elective offices and the lack of adequate, or of adequately enforced, civil service laws, corrupt practices laws and laws for the punishment of bribery and corruption of voters and public officials make machine politics profitable and safe. No doubt if the voters are to exercise the discriminating choice which the act of voting should imply, the number of elective offices must be greatly decreased. It is practically impossible for the voter to ascertain for himself the qualifications and respective merits of the large number of persons whose names appear on our election ballots. Real choice becomes impossible. The average voter must and does rely largely on the party name. This is an evil which the direct primary cannot cure. It is perhaps the fundamental evil of our electoral system. It is said to have given rise (together with the practice of rotation in office) to our entire nominating problem. So the lack of adequate civil service reform laws or the adequate enforcement of them has left the jobs as spoils in the hands of those who controlled the elections. Corruption in politics and in office has been safe and enormously profitable because of the insufficiency of our criminal laws and the lax enforcement of them. Our politics will not cease to be venial and corrupt until thorough-going reform is accomplished in all of these directions. It is the opportunity for spoils and corruption money that gives rise to the political machine, and it will continue to exist as long as that opportunity exists.

But admitting all this—admitting that the direct primary will go only a short way towards the reform necessary to purify our electoral system, admitting that the short ballot, the civil service reform, corrupt practices laws, and the overhauling of our criminal laws and procedure are reforms even more fundamental and important, it still remains true, as it seems to me, that direct primary is the initial reform, the logical first step in the path of reform. For to accomplish any of these other reforms we must first elect to our congress, and our legislatures, men free from boss control. The democratization of nominations is the only or the speediest way to accomplish this result. Furthermore, of all nominating systems proposed as substitutes for the convention system, the partisan direct primary, cumbersome as it is, expensive as it is, seems on the whole the most promising for political offices.

## National Municipal League, Proceedings. 1910. pp. 533-44.

Minneapolis' Experience. Stiles P. Jones.

The effect of the direct primary in Minneapolis has been to bring practically all the people of the city into participation in the nomination of officers. From 75 to 95 per cent, according to the wards of the city, or according to different conditions in different campaigns, participate in the direct primary, as against 15 to 20 per cent under the old system. That is the first result. It has absolutely eliminated the intolerable evils of the old convention system which are many and serious. It has practical-

ly eliminated the influence of the partisan political bosses. Then, best of all, perhaps, it has broken down partisan lines in the community almost to the vanishing point. We have got to the point now where strong Republican wards are electing Democratic aldermen, and a strong Republican city, of say 12,000 majority for the Republican party, elected for a fourth term. on November 8th, a Democrat for mayor. Then, finally, it has been a tremendous educational influence on the voters. It has given them an interest in their community, in their city and in politics which they never had before, and has brought to them an appreciation of their responsibilities as citizens, which they never assumed before. The educational value of the system, I believe, is its greatest asset. There are many politicians, who would put us back into the groove of the old days, but there are none who will dare run the risk of political annihilation by suggesting it in the legislature. The probability is that at the coming session of the legislature there will be a tremendous agitation, and I think it will result in extending the primary system to state officers and to United States senators. I think that the direct primary movement is almost irresistible in the state of Minnesota.

## North American. 190: 1-14. July, 1909.

The Direct Primary. Henry Jones Ford.

The master force which impels the direct primary movement now sweeping over the country is desire for popular control of government. Only partisans and reformers would be interested in it if it were offered simply as a means by which a public man, enjoying popular favor, could beat down his party opponents. The idea which commends the direct primary to the masses, and which rallies them to the support of its advocates, is that it is a means of giving power to the people. I purpose in this article to analyze this proposition, which presents the aspect of the case that concerns political science.

One continually hears the declaration that the direct primary will take power from the politicians and give it to the people.

This is pure nonsense. Politics has been, is and always will be carried on by politicians, just as art is carried on by artists. engineering by engineers, business by business men. All that the direct primary, or any other political reform, can do is to affect the character of the politicians by altering the conditions that govern political activity, thus determining its extent and quality. The direct primary may take advantage and opportunity from one set of politicians and confer them upon another set, but politicians there will always be so long as there is politics. The only thing that is open to control is the sort of politicians we shall have. . . . If graft flourishes in American politics, it is due to the existence of ample provision for that institution in our political arrangements. when any reform is proposed, we should form our judgment of its merits not by the pretences accompanying it, but by scrutiny of the conditions it will establish and by consideration of the sort of men it will tend to bring into power-that is to say, the kind of politicians it will breed.

When the direct primary is thus tested, its true character is revealed. Its pretence of giving power to the people is a mockery. The reality is that it scrambles power among faction chiefs and their bands, while the people are despoiled and oppressed. The fact that the thing is done in the name of the people, and with the pretence that it is done for the people, ought not to obscure the patent facts of the situation. It is clear that if diamonds were handed out one mile up in the air only those having airships could actually be on hand to get them. If they were handed out to first comers at a distant point in the public highway those having automobiles would practically monopolize the gift-taking. If they were regularly handed out to first comers at designated times and places in the city only those having time, means and opportunity of being first in line would actually get them, no matter how emphatically it might be announced that they should be free to all. Precisely the same holds good when offices of valuable emolument and lucrative opportunity are periodically scrambled. The hand-out may be nominally free to all, but in practice it goes to those able to obtain positions of advantage. whether by force, fraud, cajolery or favor. The existence of

such methods inevitably develops systematic and organized means of controlling the distribution and appropriating its benefits. Hence we have the boss and the machine, as regular institutions of American politics, permanent in their nature, however the personnel of their official staff may change from time to time under stress of competition. We are always pulling down bosses, because transient combinations of would-be bosses and reformers may develop strength enough to overthrow a particular boss or a particular machine. But while bosses and machines come and go, the boss and the machine are always with us. From the standpoint of the public welfare, it is the system that is important and not the individuals who act in it.

The direct primary does not remove any of the conditions that have produced the system, but it intensifies their pressure by making politics still more confused, irresponsible and costly. In its full application it is the most noxious of the reforms by which spoilsmen are generated, for it parallels the long series of regular elections with a corresponding series of elections in every regular party organization. The more elections there are, the larger becomes the class of professional politicians to be supported by the community. Hamilton's law is as constant as any law of physics, and is indeed a corollary of the axioms of physics. The evil consequences are abundantly exemplified by current political phenomena. Many are so subtle and so diffused that it is impossible to catalogue them, but some salient features of the situation may be noted, with specific instances. The following are among the effects of the direct primary:

1. Graft.—Nothing is more common than to hear it spoken of as an adventitious blemish upon American politics, whereas it is innate. It is an inevitable outcome of the system; and so long as the system endures, it will flourish in accordance with Hamilton's law. Take the case of the people of New York City, for instance. The law puts upon the community the task of filling the following administrative and judical positions under the forms of popular election: State: Governor, Lieutenant-Governor, Secretary of State, Controller, Treasurer, Attorney-General, Engineer and various judicial offices: County: Clerk, Sher-

iff, Register, District Attorney, Surrogate, Justices; City: Mayor. Controller, President of the Board of Aldermen. The New York City budget for 1909 contains an item of \$1,035,130 merely for the annual expense of holding these elections, and this is but a small part of the aggregate expense. Every candidate for a nomination must spend money. Campaign work costs heavily. Then on the eve of the election comes "dough day," when the party captain in each district receives money for expenditures in getting out the vote. Altogether the expense runs into many millions of dollars every year.

Now, there is no source of wealth but the industry and resources of the community, and hence, in one way or another, the community must bear the expense of filling the offices. So when the system is such as to entail great expenditures, it falls heavily upon the community. And that is not all. In addition to supplying the funds for electioneering outlay, the community must support a vast staff of professional politicans. This is an infliction under which the people continually groan, but the matter is settled not by their likes or dislikes, but by the conditions, and the conditions are such as to afford vast employment for engineers and stokers in running political machines, the most monstrous and complicated that the world has ever seen. So long as the system is tolerated, its incidents will have to be endured.

In the popular magazines of late there has been much about the superior economy and efficiency of democratic rule in Switzerland, New Zealand and some other countries. Well, there is a reason. And the biggest reason is that their institutions are not subjected to the graft pressure to which American institutions are subject. Not one of the offices mentioned in the foregoing schedule of New York elections is filled by popular election in Canada, England, Switzerland, Australia, New Zealand or in any other country where democratic government is genuine and not counterfeit; nor, indeed, in any other civilized country in the world. Their system of responsible appointment saves the people the many millions of dollars imposed by our system of irresponsible elections, and the advantage thus obtained in the way of public economy is immense.

The direct primary necessarily intensifies graft pressure by

multiplying elections. It proposes to parallel regular elections by an antecedent series of party elections to nominate candidates. The typical effect of the system is accurately set forth in the following extract from a Texas paper, the "Krebs Banner":

It costs a big pile of money to run for office in the new state of Oklahoma. This is to a very large extent blamed on the primary election system. The results show, it is claimed, that only wealthy men had any chance in the race for governor or United States senator. One candidate for governor is reported to have spent approximately \$75,000 and another \$50,000 in the primary election campaign. Dr. — of Enid, and — — of Guthrie, men of moderate means, got out of the race because they could not keep the financial pace set by the other candidates. Two or three of the leading candidates in the senatorial race, it is said, spent from \$30,000 to \$100,000 in the campaign for the primary nomination. When it is borne in mind that the nominees of the Democratic primaries will have to make another thorough and expensive campaign to win in the "sure enough" election over the Republicans, it becomes evident that, if the Democrats are to win, it will be at a terrible cost to the leading officers. To win the governorship will, it is estimated, cost the successful candidates \$75,000 more or less, to secure a job paying \$4,500 a year, hardly as much as the "Krebs Banner" makes for its publisher, and much smaller honors. And in the case of the United States senator, it is but little better than the governorship, though with vastly superior opportunities for getting ahead of the game by grafting.

There are all sorts of ways "for getting ahead of the game." Public men are frequently subject to attack upon charges of this character. Even the Governor of Oklahoma, a product of the direct primary, has not been exempt. Opinions will differ, of course, as to the merits of any particular case. But it is clear that, when conditions are such that administrative positions can be obtained only by large expenditure, there will be a strong inducement to find ways and means of reimbursement and compensation. The system necessarily means graft, and in all ages graft has been associated with it.

2. Irrcsponsibility.—The whole system of filling administrative and judicial positions under the forms of popular election is a violation of the constitutional principles upon which our government was founded. The fundamental principle of constitutional government is that responsibility shall attach to every act of power. Hence the Fathers attached paramount importance to the principle of executive unity, which provides a definite location of power. The Fathers were in the habit of citing as a maxim of constitutional government that "the executive is most easily confined when it is one." In pursuance

of this principle, the constitution of the United States provides that "the executive power shall be vested in a President of the United States of America." The power which any federal attorney, marshal, commissioner, collector, postmaster or other federal agent exercises is delegated by the President, and may be revoked by the President in his discretion, so that when public opinion acts upon the Presidential office it acts upon the whole administration. Political force flows full and strong in one effective channel. Under the system existing in our states, this force is dissipated among many channels, producing the morass in which we continually flounder in our state politics. Responsibility is too vague, diffused and uncertain to be effectual. Power is not definitely located anywhere. Just such consequences of the violation of the principle of executive unity were predicted by the Fathers. In "The Federalist," No. 63, written either by Hamilton or Madison, it is pointed out that, paradoxical as it may seem, there may be a want of "due responsibility in the government to the people arising from that frequency of elections which in other cases produces this responsibility."

If we turn to private business for an illustration this paradox will become easily intelligible. Suppose the shareholders of a bank should themselves elect its president, its cashier, its secretary, its auditor, its head bookkeeper, its janitor, and in addition a board of directors to pass its by-laws. Suppose that then, in addition, the shareholders in each district of its business field should elect its principal agents likewise as independent authorities. Would any responsibility for business results be left anywhere by this multiplicity of elections? Well, that is the kind of situation which is produced in the public business by the electoral arrangements peculiar to the American state. Responsible government is destroyed.

In this situation, by a prodigy of political talent, a system of party responsibility has been evolved. It is a poor substitute for representative government, for it is unconstitutional in its structure and oligarchic in its authority. It secures its revenues by processes of extortion, justified by custom in consideration of its necessities. Corporations serve

as its toil-takers, turning over to it large sums and receiving legislative favor and official protection in return. They act in this capacity willy-nilly, for the conditions are such that they must feed the brute or his teeth and claws will be on them. Notice what a ferocious onslaught was made on the railroad corporations all over the country when they cut off the supply of free passes to the politicians under the compulsion of the Federal law! So those charged with large trusteeship, having interests closely intermingled with public interests, find it necessary to spend money for political power and influence.

A president of the American Sugar Refining Company, in testifying before a Committee of the United States Senate, blurted out the naked truth about the system. He said: "It is my impression that wherever there is a dominant party, wherever the majority is large, that is the party that gets the contribution, because that is the party which controls the local matters." He explained that such contribution was made because the company had large interests to protect, and he added: "Every individual and corporation and firm, trust, or whatever you call it, does these things and we do them" (Senate Report, No. 606, Fifty-third Congress, second session, pp. 351, 352).

This virtual taxing power, conceded by custom to party organization, rests upon an unconstitutional control which is a product of conditions imposed upon the community by reformers. Those conditions have determined the characteristics and shaped the activities of the politicians. The class interests of the politicians are ordered and graduated in a way that suggests the feudal system and, indeed, is its homologue both in its origin and in its nature. It is a system of personal connection founded on reciprocal duty and service, with its own peculiar code of ethics, stringently enforced. It introduces a principle of responsibility that is gross and imperfect, but is nevertheless genuine. Party organization has a corporate interest that may be reached and acted upon by public opinion, and be held to some responsibility for results. Party government in America is, in fact, a broad-bottomed oligarchy whose administration is costly, negligent and incapable, but which at least sets up barriers against the anarchy and terror-

ism that always in the past have been the outcome of ochlocratic methods. In Greece, Rome and mediæval Italy the distribution of authority among independent authorities, by means of popular elections, made the state the scene of frequent civil wars. Apart from the United States, the only modern country which tried that system was revolutionary France, The scheme of local government devised by the Constituent Assembly and promulgated by the decree of December 14th, 1789, was based upon the principles of the direct primary and the recall asserted with logical completeness. All administrative officials were chosen by the citizens meeting in primary assemblies, and these might reassemble to recall and replace obnoxious officials. Special precautions were taken, so far as statute law can go, to make these provisions practically effective. The faction fighting that ensued soon brought about the state of things known in history as The Terror. In the present French Republic, elections are absolutely confined to the choice of representatives. America is the only country that has even been able to maintain tolerable conditions of public order when authority is split up and scattered among factions. This unique achievement stands to the credit of American politicians, and the fact is recognized by philosophical observers. Bagehot in his classic treatise on the English Constitution says that, if Americans "had not a genius for politics, if they had not a moderation in action singularly curious where a superficial speech is so violent, if they had not a regard for laws such as no great people have vet evinced and infinitely surpassing ours—the multiplicity of authorities in the American constitution would long ago have brought it to a bad end."

Our political class is inordinately numerous and inordinately expensive; but the only effectual way of curtailing their number and diminishing the burden of their support is to have less for them to do. Elections should be reduced in number. The direct primary proposes to give the politicians more to do. It provides for a series of elections in advance of the present series. And, at the same time, it strikes down party responsibility by providing that party agents shall no longer hold

their posts by efficiency, as now, but by faction favor. The practical effect will be to substitute for existing boss rule a far more corrupt, degraded and impervious sort of boss rule. The change will be analogous to that which took place in the mediæval Italian republics, when local oligarchies were succeeded by professional *condotticri*, heedless of aught save their own gains.

A transformation of this order through the direct primary is noted by the Commission that recently reported a new charter for Boston. The Commission in its report on existing conditions says:

The direct primary system was no doubt intended to abolish partisanship in municipal government, but in its practical working there is no longer the partisanship of a great organization bound theoretically by party principles and having some regard for its political responsibility in the state at large. It is a partisanship of ward organizations, calling themselves Republican or Democratic, as the case may be, but representing no municipal policies capable of formulation. . . It has made it artificially difficult to secure good nominations; it has debarred the best and most representative citizens from participation in the government; it has increased the power of money in elections; it has practically handed the city over to the ward politicians. It tends to create bad government, and to discredit the capacity of the people when congregated together in great cities to administer their municipal affairs.

That is the characteristic tendency of the direct primary everywhere. If the people do everything themselves, then they have only themselves to blame when things go wrong. In practice, government constituted on such principles means the irresponsible rule of faction. Outrages may be perpetrated for which no party organization would dare to assume responsibility. The case is illustrated by certain facts given by Judge Ben B. Lindsay, of Denver, in a pamphlet entitled "The Rule of Plutocracy in Colorado." On the principle that the people should do everything themselves, the grant of a franchise to a street railway company was submitted to the direct vote of the people. Judge Lindsay charges that the proposition as submitted was shaped in the interest of the railway company, and he says that "no more arrogant and outrageous lawlessness in stealing the property of others was ever enacted." Influential politicians of all parties and public officials were employed by the corporation to carry the prop-

osition at the polls. Judge Lindsay says that the market value of the company's securities was increased \$5,000,000 as the result of the election, so that as a business proposition the company stood to win largely even if it took millions to carry the election. Indeed, he estimates that the perpetual franchise the company aimed to secure "would be cheap at \$500,000,000." He denounces the behavior of the politicians and public officials who took fees from the company to work in its interest as treachery to the people. But what legal offence did they commit, so long as they did not practise bribery? The responsibility did not rest with them, but with the people. were employed as advocates—an entirely legitimate occupation. That the transaction was one of public debauchery, as he claims, may be admitted, but the debauchery inheres in the system. The hired advocates did what, on the principle of the direct primary, they had a perfect right to do. Judge Lindsay makes a detailed contrast between the terms obtained under this system and the terms obtained by Toronto in providing street railway service. There the public treasury receives a percentage of the gross income of the railway company, on a rising scale from eight per cent up to twenty per cent when the income reaches three million dollars. In addition, the stipulations of the contract require the company to sell tickets at the rate of eight tickets for twenty-five cents during morning and evening hours and twelve for twenty-five cents for school children. Judge Lindsay points to this as an example of what might and should be done, but he fails to draw the moral that to get Toronto results American cities should resort to Toronto means. Well, in Toronto there is no direct primary, no initiative and referendum, and no elections to fill administrative or judicial posts; but there is responsible government. Nothing is further from the truth than to describe the direct primary as a democratic institution. It is the negation of democratic rule, and nothing of the sort is found where democratic government really exists.

Plutocracy—The rule of bosses and party machines, while a poor substitute for democratic government, is better than any other substitute available in the conditions to which American

politics has been subjected. It is at least an integrating force and makes towards responsible government. The bosses correspond to "the undertakers," who are described by Lecky in his "History of England in the Eighteenth Century" as an oligarchy founded upon personal connection and "dexterity in party management." He observes that "this oligarchical connection was unpopular with the people on account of its narrowness and corruption," but he remarks that its overthrow resulted in more corruption than its ascendancy, and he holds that its influence in "binding many isolated and individual interests into a coherent and powerful organization was a real step towards parliamentary government." Since boss rule represents power founded on organized personal connection, it may admit poor men to its sphere and may select poor men for its candidates. Thus it has frequently occurred that poor men of ability have been raised to high office by dint of personal ability, and party interest is thus made subservient to public interests. The case of Abraham Lincoln is typical, But when power is conditioned upon ability to finance costly electioneering campaigns, plutocratic rule is established. One of the maxims of the Fathers was that power must exist and be trusted somewhere. Responsible government exactly defines the somewhere, but that crown of representative institutions has yet to be attained in the United States. As the late Speaker Reed frankly declared: "We have at present irresponsible government, so divided that nobody can tell who is to blame." In this situation party organization performs a great service, because it roughly locates power somewhere, thus assuming a vague but real responsibility for the behavior of government. The direct primary impairs this responsibility by making power the football of faction. Power will rest somewhere just the same, but few will know where, so that it will be released from any responsibility for results. The behavior of legislative bodies will be peculiarly exposed to irresponsible influence. is already plain that the direct primary affords means of setting up secret control. The investigation of the last senatorial election in Wisconsin showed that various members of the legislature were employed as electioneering agents.

wealthy candidate, as an incident of his earwass, could get a legislature deeply under his influence by pecuniary favors. This would be a development quite in accord with historical precedent. The magnate and his clients were a familiar political factor in the government of the Roman commonwealth when it was conducted on the lines that are now imitated in the American state.

Ochlocracy.—Historically, plutocracy and ochlocracy—the money power and the government of the mob—always appear together. It is a favorite theory of reformers that, if there were no organized control, the people would select their wisest and best for public office. This is mere sentimental cant. Favor decides choice when selection is not accompanied by direct and immediate risk of consequences. On May 7th, 1903, Governor Pennypacker vetoed a bill for popular election of mine inspectors. He said:

Their selection is to be made by the people at an election. The majority of the people, however, have no technical knowledge of mines and are engaged to other pursuits. The selection would be likely to be made upon other considerations than those of the technical capacity of the miners. They would in all probability be determined by association, by political relations and by all those influences which affect the ordinary voter. To state the proposition is enough in itself to show that this would not be likely to result in securing competent mine inspectors. No one would think of determining the selection of a physician or an engineer to run a railroad train, or the occupant of any other station requiring technical information by a popular vote at an election. In fact the selection of mine inspectors would seem properly to belong to the Executive Department of the government.

In the last New York state campaign, Governor Hughes, on similar grounds, opposed the appointment of members of the Public Service Commission by popular election. He said: "In theory commissioners might be elected, but in practice they would really be appointed by irresponsible men." These sensible comments are just as applicable to any other administrative function.

At the direct primary in Atlanta, Georgia, in June, 1908, the contest for the nomination to the office of coroner was between a blind musician and a one-armed Confederate veteran. The issue seemed to be whether blindness or lameness established the stronger claim to popular favor. The blind man won. In an interview published in the "Atlanta Journal,"

he explained that he sought the office because he needed the money. "It has always been my ambition," he said, "to go to Munich and complete my studies in music. That, of course, takes money, and the surest and fastest way of making the necessary money, as I see it, is through the coroner's job."

The system, of course, means that if the public business is attended to, the people have to support one class of officials to do the work and still another class to attend to the electioneering. Some queer mix-ups occur in the differentiation of these functions. The "Memphis Commercial Appeal," on November 18th, 1908, reports an instructive development. A Grand Jury of Shelby county. Tennessee, complained to the court that no indictments were forthcoming on presentments made. The following facts were disclosed: It had been the practice for a deputy sheriff to frame the indictments. During the campaign the candidate for sheriff was so aggrieved by remarks made by the successful candidate for attorney-general that he would not allow the deputy sheriff to prepare indictments until an acceptable apology was made. The "Commercial Appeal's" account of the affair concludes as follows:

Sheriff — said that he was perfectly willing for Mr. — to write indictments, and that at any time the demand he had made was complied with by Gen. —, the grand jury work would be re-

stored to its former status.

Gen. — says that he and his assistants will write the indictments, and that arrangements will soon be made by which the grand jurors will have all the work they can attend to on each meeting-day. The work is yet new to the attorney-general and both assistants, but they declare that they will master it in a short while.

The sort of influence which the direct primary exerts on the administration of justice is illustrated by this extract from the "Kansas City Times" of August 5th, 1908:

Carthage, Missouri, August 4.—Carthage is at a standstill in the — murder mystery. Although at least two plausible theories of the affair have been entertained by the authorities, there has not been an arrest yet. The primary elections have diverted the attention of the officers from murder to politics. While the murderer of Dr. — goes unapprehended, Carthage plays politics. Sheriff — — and — —, the constable, who have been working on the case, have suspended operations until after the primaries. primaries.

Space will not admit of further details, of which I have a copious supply drawn from actual experience. Mention, however, should not be omitted of one feature of the system, and

that is the way in which it oppresses the poor. The recent investigation of social conditions known as "The Pittsburgh Survey" directs attention to the large sums obtained by elective justices and constables by petty prosecution. The men who secure these offices are usually chiefs of local political gangs, whose influence is aggrandized by the direct-primary system. Candidates for high office solicit their support and pool interests with them. The poor are helpless against such combinations, and their only chance of tolerable security is to commend themselves to boss protection by political service as in the feudal period. In the sequence of cause and effect there may be at one end the well-meaning reformer and at the other end the poor ground down by a system they can neither comprehend nor withstand. I have yet to find an instance in which the direct primary has actually tended to promote good government, and it is only by some dire confusion of thought that good men can advocate such a pernicious nostrum.

# North American. 190: 222-30. August, 1909.

Representative Government versus the Initiative and Primary Nominations. Henry M. Campbell.

The system of direct or primary nomination of candidates for office involves substantially the same principles as the "Initiative," and is open to the same objections. Its purpose, like that of the "Initiative," is to eliminate the feature of selection by a representative body, and to permit individuals to vote directly for candidates for office—the idea being that every one can have a voice in the selection, and thus the machinations of party politicians be defeated. This system has been adopted in many of the states; and in practice has led to some results quite different from what its advocates claim for it. It has become apparent that only seekers after office become candidates for nomination—the office no longer seeks the man. The system destroys all party organization. Political policies and principles are entirely lost sight of in the confusion of individual ideas. It affords no opportunity for consideration

of the fitness of candidates. Each candidate, whether qualified or not, determines that question for himself.

Voters are limited in their choice to such persons as present themselves. If there are but two candidates, the one selected may be considered the choice of a majority of the people, as between the two; but it by no means follows that some one else would not have been more satisfactory than either if some better method of ascertaining the real wishes of the people were provided. If there are more than two candidates, as is usually the case, the almost inevitable result is that the candidate selected is the choice of but a minority of the party, and as candidates multiply, and the range of selection increases, a correspondingly reduced minority may foist upon the party a candidate who may be altogether objectionable to a large majority.

When the system is extended over a large territory and is used for the selection of candidates for the higher offices, it becomes practically impossible for the mass of voters to make any intelligent selection, while the opportunities for improper control of elections are far greater than under the convention system.

The cost of conducting a campaign for the higher offices, even if the expenses are confined to legitimate purposes, has proved to be so great that all but very wealthy men and those with powerful machines behind them are practically excluded.

The influence of the newspapers is also enormously in creased, as they afford the only practical means of information respecting the qualifications of candidates for nomination. Possibly it is because of this fact that so few adverse criticisms of the system have appeared in the public press.

These defects and weaknesses are gradually becoming apparent as the system is put into actual operation, and after a time it will inevitably be condemned as heartily as it is now being commended.

No person should be nominated as a candidate of a party unless he is the choice of a majority of such party, and no method of nomination is sound which does not provide some means by which consideration of the merits of the different candidates can be had, so as to permit an intelligent selection to be made. Opportunity for comparison of the merits of candidates and for a choice by a majority is as vital in the selection of nominees to office as discussion, deliberation and determination by a majority are in the enacting of laws. This is possible only under the representative system.

The remedy for the evils attending the operation of the representative system is, not to destroy it, but to select better representatives. Under our present system, delegates to nominating conventions are selected at caucuses, more or less informally called. It frequently happens that vicious and corrupt elements secure control of them, and decent citizens are reluctant to take part in what sometimes prove to be unseemly contests. The great majority of the people, however, are decent, law-abiding citizens; and if they would bestir themselves and perform their civic duties there would be little cause for complaint. A small part of the vigilance and attention on their part which would be required to give any efficiency whatsoever to a system of "direct" legislation or nomination would insure the election of delegates who would truly represent them; but no system will protect the people against their own indifference.

# Outlook. 60: 146. September 10, 1898.

Direct Primaries in South Carolina.

While this method prevents the "machine" from running the state, and allows the dweller in the remotest community to register his preference, it makes a long and acrimonious campaign for the higher and more dignified offices, and the successful candidate always suffers from the spirit of disrespect engendered during the campaign. As to the minor offices the plan is not so objectionable, as the campaign is shorter and the popular feelings have less time to ferment. The objections to the plan are: That in time it will lower the dignity of the higher offices, and the ablest men will not aspire to those positions which will turn them into office-beggars com-

pelled to go whining about the state, suffering from, if not bestowing, abuse. In order to preserve white supremacy, the Democrats bow to the edict of the primary, however blasting it may be to individual hopes: but under other conditions the primary would wreck any party which attempted to employ it to that wide extent prevailing here. Then, too, it prevents the formulation of any definite party policy, as each candidate makes his own platform and there are as many platforms as there are candidates.

# Outlook. 83: 821-2. August 11, 1906.

Direct Primary in the South.

The southern states have found a way by which they can successfully outwit the constitutional provision which prohibits denial of suffrage to the negroes on account of their race or color. Curiously enough, the direct primary, which is advocated as a method of political reform, effectually accomplishes by indirection the practical exclusion of the negro vote. The constitutional provision does not prevent the state from determining the method in which nominations shall be made. The nominations are made, we believe, in eleven southern states by popular primaries, and in these eleven states the Democratic party is in an overwhelming majority; and as negroes are rarely Democrats, the result is that the real election of the state officials is determined by the primary election for the candidates, at which election few or no negroes vote.

# Outlook. 90: 383-9. October 24, 1908.

Direct Primary on Trial. William B. Shaw.

When the new primary laws were enacted by the several state legislatures, more than one party boss of the only too well known type staked his reputation as a politician on the assertion that the primary could be "worked," that it would only make "the organization" invincible. Never was a pre-

diction more completely discredited. Whatever else may be said of the direct primary as it exists to-day in New Jersey, Wisconsin, Kansas, Oregon, and a dozen other states, it cannot be alleged that anybody has yet been able to manipulate it in the interest of a party machine. In the fight to defeat Colby for the New Jersey Senate the "regulars" had not only a well-disciplined, seasoned, resourceful organization, but reinforcements from the public service, insurance, and brewery corporations more formidable, perhaps, than ever before entered a like contest. Yet this combination was beaten at the polls by a candidate who asked only the privilege of stating his case to the voters. You will probably not find to-day in New Jersey either boss or "bosslet" who is friendly to the direct primary or who believes that it can be "worked."

# Outlook. 91: 91-2. January 16, 1909.

Governor Hughes on Party Nominations.

The most serious evil of the convention system is the consequence to the people at large. To the extent that party machinery can be dominated by the few, the opportunity for special interests which desire to control the administration of government, to shape the laws, to prevent the passage of laws, or to break the laws with impunity, is increased. These interests are ever at work, stealthily and persistently endeavoring to pervert the government to the service of their own ends. All that is worst in our public life finds its readiest means of access to power through the control of the nominating machinery of parties.

# Outlook. 95: 507-8. July 9, 1910.

Governor Hughes, the Legislature, and Primary Reform.
Theodore Roosevelt.

We hold that the right of popular self-government is incomplete unless it includes the right of the voters not merely to choose between candidates when they have been nominated, but also the right to determine who these candidates shall be. Under our system of party government, therefore, the voters should be guaranteed the right to determine within the ranks of their respective organizations who the candidates of the parties will be, no less than the right to choose between the candidates when the candidates are presented them. There is no desire to break down the responsibility of party organization under duly constituted party leadership, but there is a desire to make this responsibility real and to give the members of the party the right to say whom they desire to execute this leadership.

### Outlook. 97: 426-33. February 25, 1911.

Every Man His Own Campaign Manager. Emily Newell Blair.

In Missouri, where we have a primary election law, primary elections are held at the regular polling-places in each precinct on the first Tuesday in August for the nomination of all candidates to be voted for at the next November election. At least sixty days before the primary each candidate for a county office files a declaration of such candidacy with the County Clerk and pays to the Chairman of his County Committee \$5. Candidates for state offices, Congress, and Circuit Judge file such declaration with the Secretary of State, paying respectively \$100, \$50, and \$25 to the chairman of their respective state committees. This money is paid as an evidence of good faith, and goes to the party campaign fund, the expenses of the primary election (judges, clerks, etc.) being paid by the state and county as at general elections. For three weeks preceding the primary the names of the candidates on each ticket are printed in two newspapers in the county, one Republican, the other Democratic.

There are as many separate tickets as there are parties entitled to participate in the primary, the names of the candidates being in alphabetical order under the appropriate title of the respective offices and under proper party designation upon the party ticket. In cities of over one hundred thousand inhabitants the names of candidates are alternated on the bal-

lots, so that each name appears thereon substantially an equal number of times at the top, at the bottom, and at each intermediate place. Each voter receives only the ticket of the party to which he declares himself to belong. The law requires that each voter must be known to affiliate with the party named at the head of the ticket he calls for, or must obligate himself to support the nominees of that party at the following campaign. This provision presents difficulties of practical enforcement which are obvious, the ballot being secret. No person who is a candidate upon one ticket can be voted for as a candidate for such office upon any other party ticket. Such. in brief, is the law.

The primary system was tried out in Missouri for the first time over two years ago. In 1908 it was so new that office-seekers had not discovered its possibilities or how to utilize them, confining their efforts at publicity to a modest card or portrait. Then one candidate scornfully refused the suggestion that he take a small space in the country newspapers setting forth his qualifications; last year all the available advertising space (several newspapers issuing supplements) was taken by Would Be's, the advertisements ranging from a whole page of double capitals reciting the merits of a Congressional candidate, auction-wise, to a small two-line "local" of an impecunious aspirant for the office of Justice of the Peace.

But cards and newspapers are not the only means used by candidates to introduce their abilities, advantages and "availability." Between changes of films at the numerous moving picture shows likenesses of various candidates are thrown upon the screen. Letters of all kinds, from the purely personal to the circular, are sent to the voters, while handbills and posters and portraits are posted at every cross-roads.

The county in which I have studied the phenomena is in the rich mining region of the state, and contains about eighteen thousand voters. Of these less than half are farmers, the remainder miners, merchants, clerks, etc., except for the six or eight hundred negroes whose purchasable vote notoriously controls results in certain precincts. There are three large towns, one of twelve thousand, one of fifteen thousand, and

one of thirty-five thousand, and fifteen small mining camps and farming villages. The would-be-nominee must make himself, his ambitions and abilities, known to all these voters during the two months between the filing of intention (June 2) and the date of the primaries (August 2). According to the old convention system, he had at the utmost six hundred delegates to see or convince; now he must win the support of from four thousand to five thousand, the two parties. Democratic and Republican, being nearly evenly divided in number in the county's eighteen thousand votes. This means expense, work, and time. The first thing sought is publicity. This is secured by cards, placards, posters, lithographs, and newspapers; then personal appeal is tried. The first is easy compared to the second. Naturally, the candidate begins with his friends; he approaches in person all he can, and writes to those in outlying districts, soliciting their support, and asking them to say a word to their friends in his behalf. That much accomplished, he commences a man-to man canvass, day and night, in street cars, on street corners, in offices, lodge meetings, public picnics, churches, along country roads, in factories, mills and mines —wherever one or two are gathered together.

An auto, a box of cigars, a winning smile, and a pack of cards—candidate cards—are his paraphernalia, and the number of these required for eighteen thousand voters demands a rather large bank account, as do his stamps, printing, stenographer, and the workers who circulate through the county advocating his candidacy and reporting daily the public pulse.

This county offers two varieties of candidates: well-to-do men who "like politics" and are willing to invest one to three thousand dollars to secure an eight-thousand-dollar office and the prestige that goes with it; and those with enough gambling spirit to risk what they can scrape up or borrow on the chance of winning.

This year a new kind entered the race. These were the faithful clerks who, with no political pull, have been doing the actual work of the county offices for ten or twelve years at salaries ranging from eighty to one hundred dollars per month, while the elected official worked at politics or had a good

time generally. In five offices such candidates announced themselves as entitled to the office and the whole salary. Yet they must win—if win they should—by the same system the others use: that of appeal, begging, and money-spending.

The majority of the candidates might be termed professional office-seekers. Rarely an election passes by that these men do not aspire to some one or other of the county offices. It seems a chance to get something for nothing (a big mistake, they find later); the salary sounds large for this locality; the work when elected is nil. "Spoiled by office" has become a proverb, for, having once held public office and enjoyed a lucrative salary for no labor rendered, an occupation requiring real mental or physical effort becomes abhorrent.

One man on last year's primary ticket had been a successful traveling man, with some money laid by. Once he was elected to a county office, and since that time he has been a perennial candidate. Another was a prosperous farmer; after serving one term in a county office he tried the mercantile business and failed, then a brokerage business and failed; last year he was a candidate for another office. Another—But why multiply illustrations? Of the sixty-seven candidates asking nomination at the primaries, forty-seven had held office or been candidates before. Whether the fascination of the game lures them on, or the ingratiating, harmless manner they assume will not wear off, and hence unfits them for the aggressive walks of trade, is an open question. The fact remains that holding county office so affects previously successful men.

So much for the effect on the candidates. How 'does this "solicitation of your support," "desire your kind efforts in my behalf," "hope you can do something for me," affect the voter?

There were eleven county offices for which candidates were to be nominated at last year's primaries, and there were forty-two Republican candidates and twenty-one Democratic candidates. This means that each individual voter was solicited from thirty to fifty times by letter, word of mouth (more frequently by both), and by friends and hired workers of the various candidates, as well. A busy merchant intent on selling

to a customer, a doctor engaged in his practice, a farmer getting in his crops, is stopped on the street, detained in his store or office, or waylaid in his field to discuss a man's—a stranger's—private ambition to increase his income at the tax-payer's expense.

My neighbor, a deaf old man, sat on my neighbor's porch the other night.

"Ain't you sick of these office-seekers?" I heard the deaf man say.

"Sick? I'm clean disgusted," the railway conductor ansswered. "I ain't had any peace this summer."

At our boarding-house table the men were discussing the same subject the morning of the primary.

"What do you say when a candidate asks you to support him?" a young voter queried. "You can't say, 'No, I'm for the other fellow, because I like him better.' If he lives next door, or has married your sister, you have some excuse, but I'll be darned if I know what to say."

"I tell them," spoke up a genial man, "'Oh, I'm for you.' It pleases them and don't mean anything—I'm for them, but I vote where I please."

"Well," said a serious man, "I'm getting to the point where I don't vote for any man who asks me to. It's an insult to his manhood to come begging for my vote, and it's an insult to the privacy of my opinion to be asked my personal choice. I'll proclaim my principles, but not always my taste."

"Yet," replied the genial man, "I've heard men say that they would not vote for a certain candidate because he hadn't asked them. They wanted him to beg. It made them feel important."

"I think it is ruination to a man's self-respect to run for an office that does not involve a principle. There isn't a candidate running that hasn't a Uriah Heep manner that turns my stomach. I've more respect for a downright thief any day than a beggar, and what's the difference between begging for an office and for money?"

There you have the voter's attitude. He resents the attack on the privacy of his opinion; he resents having to be rude or tell a lie; but he will tell a lie if he has to. An old lady of my acquaintance used to say: "When people ask me an impertinent question, I say, 'I don't know.' It is either say that or 'None of your business,' and I prefer to lie rather than be rude. I'd rather be damned hereafter than now."

Frequently voters at a primary, in their anxiety to see some friend of the other party get a nomination, vote the other party ticket. Sometimes this is done to nominate the weaker man on the opposing ticket, so that he may be more easily beaten at the ensuing election by a favorite on the voter's party ticket. While, as has been said, the law prohibits this, there is no way of enforcing it without swearing every elector as he comes to the polls. This the judges of election seem not to care to do.

Last year the ticket called for by the Republican voter had two candidates for Congress one a "stand-patter" and the other an Insurgent, so a principle was there involved; but, passing on to the judgeship, the voter again found two candidates. He wished to vote for the more capable and honest man. Perhaps he asked some other lawyer, or perhaps he knew the two candidates personally. At any rate, the cards handed to him read just as if his choice should be a matter of political precedent or of the candidate's personal needs—not a matter of public benefit. One candidate asked the nomination because "Republican precedent demands that a short term be followed by a second," and the other because he "wants the office and his children want the salary."

The voter goes on down his ticket: State Senator and Representative involve a principle, as there is a United States Senator to be elected; Presiding Judge of County Court, Associate Judge, Probate Judge, Clerk of County Court, County Collector, Recorder of Deeds, Prosecuting Attorney, Justice of the Peace, Constable. Many of these are clerkships, pure and simple. If the voter has taken the time, he may be able to pick out the three or four really competent clerks who are candidates; if not, he makes a choice in accordance with the recommendation of some friend, and helps his friend's friend

to make a lucky grab at the public purse. Has he served his country or the public interests by his vote?

Against the primary system, then, it is urged:

1. Out of the eleven contested nominations, not one but went to the man spending the most money. The amount spent varies. A Congressional nominee in Pennsylvania published his expense account as \$41,000, the larger part of which went for "educational" purposes. In the county I have described it is estimated that the candidates spent from \$500 to 3,000; and a nomination here on either ticket is far from equivalent to an election.

2. The long-continued compaigning and soliciting of voters—from June 1 to election day in November.

3. The large majority of candidates nominated are machine men. As less than fifty per cent of those entitled to do so voted, the Boss's henchmen, as under the convention system, controlled matters, though a few free-lances slid in.

4. The bitter contests for nomination result too frequently in the defeated candidate for nomination and his friends bolting the party nominee. Out of the eleven contested nominations, not one but went

party nominee.

5. The geographical location of candidates is important in making up a county ticket. This cannot be provided for under the primary system, hence all the candidates may come from one town or vicinity, at the cost of enthusiasm for a local candidate in other

6. The nominees, when selected, are not better qualified or better citizens than those selected under the convention system. Almost of necessity they are under more obligations to a greater

number of people.

Is the primary system, so far as county offices are concerned, a failure?

I asked one candidate his experience and opinion of the system. He said: "I thought I took an interest in politics because I always voted and read what the newspapers said: but that's a small part of being interested, I've found. I am opposed to bosses, and always have been, and I concluded to run for Treasurer, It pays, I find, about eight thousand dollars a years. I thought that under the primary system I would have as good a chance as any one to get the nomination, as I have lived in the county twenty years and knew so many people, and it wouldn't cost much, anyway. I certainly would be considered qualified [he is an expert accountant]. I announced my candidacy and had several thousands cards and placards printed, and interviewed the newspapers and got a 'write-up' in each one, at cost of from ten to twenty-five dollars per write-up. My opponent did the same, and began a very active campaign over the county in an automobile. I was started then, and didn't like to quit, so I rented an

automobile and went out campaigning. I saw ninety per cent of the voters—less than fifty per cent voted at the primaries. I found there was no party organization to help a candidate for nomination, though the Boss's opinion as to who should be nominated cut a large figure—I didn't understand exactly why. I found I had to have a personal representative in each voting precinct—two or three in some—and set about securing them. I was greatly surprised to find that practically every one of the men who know the voters individually, have some influence with them, and are at the polls each election day whooping it up for some particular persons, are not only machine men, but expect and receive pay for their services. And they are absolutely necessary to success; they shape the sentiment of their community towards a candidate, and the voters of the precinct know them as active party men and look to them for information. I was in the race, and meant to win; so I got two men in each of the seventy-two precincts to work for me. So each precinct cost me from ten to twentyfive dollars for election day work alone. Now that I've got the nomination I find our County Committee is hopelessly split and wholly useless because of so much 'soreness' over candidates defeated for nomination, so I've got to continue my personal machine until election-three months-and increase it by adding the workers that were for my opponent. I've got a month's work to do to get my own party in line for me, and I ought to have that time to work on Republicans, for I've got to have a lot of Republican votes and all my own party's votes in order to win. It looks like I'll have to join forces with C-Ithe Bossl, who controls a number of the other candidates, and try to get some sort of a working organization outside the County Committee; otherwise there's no chance for any of us. I'm out about three thousand dollars now, and the real fight hasn't commenced. This primary business sounds nice, but the old convention system beats it. There we'd have had the nominations over in a day, and no time for the voters to get worked up in favor of some certain candidate and sore at his defeat."

"But," I said, "you acknowledge you have become a machine politician, so perhaps you are prejudiced."

"Every one in this county will tell you the same thing." he retorted.

"Perhaps so," I answered; "but there aren't any reformers in this county—just office-seekers."

"I guess you're right there," he agreed; "we're not reformers."

"If a born leader like Roosevelt or Garfield or La Follette wanted to fight a machine for a principle, he could succeed easier than under the convention system, couldn't he?" I asked.

"Sure thing. The primary would render a boss helpless against a Roosevelt."

That, of course, is the prime point in favor of the primary. But must we have this advantage at the expense of county politics? For the primary has debauched county politics into personal politics. It simply has turned the political machine, which had some use as a preserver of party organization, into a personal machine to graft off the county and the boss into a disburser of offices, without regard to party.

If the primary makes plain to thinking people the character of county politics, if it discloses what county office-seeking really is—an effort to work the public for a living—and points the way to correct the situation: if it does all this, in addition to offering an opportunity for real leaders to come before the people when there is a real issue, we will call it a success. But certainly office-seekers with no issue and machine bosses will never approve it.

# Outlook. 97: 945. April 29, 1911.

Public Control of Elections [in New Jersey].

By the passage of the Geran Bill, New Jersey, under the vigorous and effective lead of Governor Wilson, has not only extended the application of the direct primary, which was already in practice, under a limited form, in that State, but has put the whole machinery of nominations and elections under strong public control. The view that a political party is a volun-

tary and private association of citizens, whose organization and methods and purposes are of no concern to any but themselves. is still held, or at least professed, by some Americans; but it is fast giving way to the view that a political party is a part of the machinery of government and should be kept under the control of the whole people. This new election measure in New Jersey is a clear instance of the way in which the broader and more intelligent view is finding expression in statutory law. The Geran Act provides that the members of the Election Boards, who have charge in each district of the registering and polling of voters, must be selected from such party members as have passed a civil service examination; that their selection shall be under the supervision of the judges of the Courts of Common Pleas, and that it shall be by lot; that in case of vacancies in these boards, or removals for non-compliance with the law, the places shall be filled through appointment by the judges; that in Presidential elections there shall be an approach to the direct primary through a provision for the election of delegates committed to specific candidates for the presidency; that there shall be an approach to the direct election of United States senators by requiring each candidate for the legislature to say whether he will or will not vote for the man who is nominated for the United States Senatorship at his party's primaries; that all state officials, including the Governor, shall be nominated by direct primaries; that the state committees of the parties shall be chosen by the members of the parties at the primaries; that the party convention shall consist of the party's principal candidates, and, in a year when a Governor or when Senators are not to be elected, that the then incumbents shall be members of the convention of their own party; that voters may be enrolled as members of one party or the other (provision apparently being made only for Republican or Democratic enrollment); that no voter can change from one party to another without the intervention of a general election; that the ballot in use in the primaries shall accord with certain specified provisions, and that in elections the ballot shall be a blanket ballot containing the names of the candidates in alphabetical order, each name being printed but once, but being accompanied with party designations. The Geran Act is one of the notable achievements of Governor Wilson, for its passage is due to his leadership. It is a wholesome sign that the people are not content with merely the name of self-government, but are determined to use means to secure to themselves its substance.

# Review of Reviews. 41: 597-9. May, 1910.

Doom of the Old "Machine" Convention. Robert S. Binkerd.

For two years Governor Hughes has made the question of the direct nomination of candidates for public office a leading issue in the state of New York. In theory both direct and indirect nominations rest upon the will of the voters of a party expressed at a "primary." In the indirect system the voters elect delegates to various conventions (state, county, city, Congressional, senatorial, etc.), which nominate the candidates. In the direct system they vote directly for the men whom they wish their party to nominate.

In 1999 the New York legislature appointed a commission of its members to investigate direct primary laws in various states. This commission, with perhaps two exceptions, was composed of men whose minds were already made up; who had already defeated Governor Hughes' direct primary bill of that year: and who are generally believed to have been interested mainly in securing testimony hostile to the direct primary system.

Nevertheless, in February, 1910, the commission reported to the New York legislature: "That there is widespread and real demand for primary reform cannot be denied." Still, the commission was not for direct primaries. One of its reasons was: "Many eminent men have represented the people of this state in prominent positions, all of whom have been selected by the representative (convention) system."

Passing over the failure of the commission to comment on the general character of candidates selected by the "representative system" for positions not so prominent, the commission could have explained the "widespread and real demand for primary reform" had it amended the above sentence to read: "Many corrupt and unfit men have represented the people of this state in prominent and other positions, all of whom have been selected by the representative system."

For this so-called "representative system" has not been representative in New York state. For instance, in 1908 the Syracuse delegation to the Republican state convention was solidly opposed to the renomination of Governor Hughes. A postal-card canvass conducted indiscriminately among enrolled Syracuse Republicans revealed the fact that nine-tenths of them wished the Governor renominated.

Democratic conventions have been even more unrepresentative. No impartial observer of the Democratic state convention at Buffalo in 1906 would demand affidavits in support of the following statement by the late Senator Patrick H. McCarren,—hardly a radical reformer!—to the Kings County Democratic Committee on October 16, 1906:

There were men (delegates) thrown out of the convention who had been for years leaders of the party in their respective counties. It was necessary to unseat a certain number of delegates, and they were unseated.

Not only have nominating conventions not been representative, but they cannot be made so. Public opinion, even among the enrolled members of a party, cannot express itself except where the issue is defined. Party organizations can seldom, if ever, be compelled to take a stand before the primaries to elect delegates to conventions.

The boss or organization having taken no stand prior to the primary, and having announced no policy there is no specific issue which can be made against the delegates proposed for election. The party membership is in the position of an individual compelled to give a power of attorney without knowing what will be done, and powerless to withdraw that power of attorney if its use is abused. At every step in the process of constituting nominating conventions machine leaders conceal their hand and thrive upon the consequent inability of the decent electorate to make any effective opposition. For this reason, however they may bow at times to overpowering public sentiment, nominating conventions are representative

of the mass of the voters only by chance. Such is now the situation in New York and other states.

So generally has this been true that in the past ten years the direct primary has become the more usual system of making nominations in the United States, and in only one case has a city, a county, or a state turned back from direct nominations to the convention system.

Of the thirty-one United States senators elected in 1908, seventeen were nominated at direct primaries. Fifteen out of thirty-two governors of states elected in 1908 were so nominated, as were a majority of the "insurgent" Republican Congressmen. To speak of direct nominations as a "dangerous experiment," as has been done in New York, is only to reveal our provincialism.

### World To-Day. 19: 940-4. September, 1910.

#### Illinois Primary Election Law.

The history of primary legislation in Illinois begins with the year 1004. In that year there was a notable and historic contest for the Republican nomination for governor. In that campaign Charles S. Deneen, then state's attorney of Cook county, became the leading exponent of a reform in the old system of nominating candidates for office, under which there had been many flagrant abuses that were well recognized. There was an insistent demand on the part of certain Chicago newspapers, which had vehemently denounced the so-called party bosses for their supposed power in dictating the selection of delegates to conventions and the nomination of candidates for various offices. Mr. Denech went into the campaign as the leading champion of primary-election reform. It was still the over-shadowing issue in his mind when he was inaugurated as governor, in January, 1905; for a discussion of a proposed compulsory primary law occupied first place in his inaugural message. Through the influence of the governor and in response to a supposed popular demand, the legislature in that year enacted a primary law; but before there was an op-

portunity to put its operation to a practical test it was invalidated by the Supreme Court. So important did a primaryelection law appear to the governor that he immediately convened the general assembly in special session in April, 1906, for the purpose of passing another law. The response of the legislature was prompt. Another primary law was hurriedly put through, becoming effective July 1, 1906. Now came a great surprise to the people of the state at large. The surprise came in the extraordinary course of Governor Deneen. Scarcely had the ink dried on his signature approving the new law, when the governor boarded a train for Chicago to join a number of the old-time party bosses, who proposed that their time-honored avocation of slate-making should not be interfered with by so slight a circumstance as a primary-election law. This was some weeks before the law was to go into operation. It had been supposed that the new law would enable the people to decide for themselves upon party nominations; and that, possibly, was what the governor and his allies feared would happen unless they should make an organized effort to put through a slate of their own selection. For some weeks the governor spent a good part of his time in Chicago, helping in the slate-making. A county ticket was finally agreed upon, and it was agreed further that the governor's friend. Smulski, should have the support of the slatemakers for state treasurer.

The primary law of 1906 was not a direct plurality primary law, but divided the state into so-called delegate districts and provided for the election at the primaries of delegates to county, senatorial, congressional and state conventions. Provision was made for the instruction of these delegates for candidates for various offices, by a plurality vote. Thus, if a candidate for state office secured a majority of instructed delegates, his nomination on the first ballot was a foregone conclusion. But, after the first ballot, if no candidate received a majority vote in the convention, the delegates were released from their instructions and thereafter could vote as they pleased. In this situation came the opportunity of the political boss—an opportunity that Governor Deneen, the great champion of the popular voice in party nominations, was

quick to seize upon and use to his advantage. In the state-primary campaign Andrew Russel, of Jacksonville, had secured a plurality of the popular vote, but he lacked about one hundred votes of a majority of instructed delegates in the state convention. It is a matter of well-known political history in Illinois that Governor Deneen, foreseeing that Mr. Russel could not be nominated on the first ballot, called in his office-holders from all parts of the state on the day and evening before the convention, and through them forced into line a sufficient number of delegates to assure the nomination of his friend Smulski, who was practically without support in the convention on the first ballot outside of the Cook county delegation. Thus the governor was completely victorious as the boss of the state convention under the new primary law, which had been heralded as the death-knell of the party boss.

The primary law of 1906, like its predecessor, was shortlived. As soon as a test case reached the Supreme Court, the law was declared invalid. This was in October, 1907. The legislature had taken a recess in May and was just on the eve of reconvening. The governor at once sent a ringing message to the assembly urging the enactment of a third primary law. In the legislative fight which followed, the governor exerted all the influence of his powerful office to force through a primary law to his own personal liking. He freely threatened to wage a warfare upon any member who stood in the way of primary reform. After some months of discussion, the assembly finally enacted a direct plurality primary law. This was a far more radical measure than its immediate predecessor, for it robbed all conventions of nominating power and provided for nominations for practically every elective office by a plurality vote at the primary election. The power of the slatemakers was again in evidence, and Governor Deneen was again a conspicuous party in the constructive work of the bosses in Cook county, though his own fight for renomination, involving his very political existence, kept him so thoroughly occupied that he refrained from attempting to dictate the make-up of the state ticket. The primary law of 1908 became null and void as soon as the Supreme Court got a chance to pass

upon it. The governor, still the great champion of the people. once more called the members of the legislature together in extra session. The legislature convened in December, 1909, and, after several months of strenuous effort, enacted another direct plurality primary law, almost identical in its general provision with its immediate predecessor. Again the governor had used his influence to force the legislature into line for this law. While the bill was pending, and at a time when its enactment appeared doubtful, he had announced his purpose to make a speaking tour of the state, in order to arouse the people and defeat any legislator who had the temerity to stand out against his primary program. In fact, the governor did make several speeches in various parts of the state, but for some reason possibly because the popular response was not what he had. anticipated—he abandoned his oratorical program, But he persisted in his threat to go into the district of any member of either house who might stand in the way of primary reform.

Thus we have among the statutes of Illinois, Primary Election Law No. 4; and again we have the spectacle of Governor Deneen becoming the central figure in a party of slatemakers in Cook county, who have essayed the task of performing the important function which the primary law was supposed to have delegated to the people themselves. We witness the interesting spectacle of Charles S. Dencen, the governor of Illinois, and four other widely advertised political bosses, meeting together in secret conference and agreeing upon a county ticket for the Republican party from top to bottom. On September 15, when the primary election is to be held under the new law, the voters of the Republican party will be asked to go to the polls and ratify the slate made up by these eminent gentlemen. It is almost superfluous to point out the tremendous advantage possessed by any candidate fortunate enough to get on the slate. In this instance the slatemakers represent whatever of party organization there is in Cook county. The selections of the slatemakers are the selections of the so-called bosses. For a half dozen years we have been told in the columns of reform

newspapers—we have heard it proclaimed hundreds of times from the stump by Governor Deneen-that the way to destroy the power of the boss in politics is to make party nominations under the beneficent provisions of a primary law. Yet within a few hours after the new law goes into effect we find the biggest bosses in Cook county politics in a formidable combination to dictate the make-up of the party ticket, thus in effect nullifying completely the very purpose for which the primary law was enacted. More than that, we find Governor Deneen, the man chiefly responsible for primary legislation, the most conspicuous figure among all the bosses who have been engaged openly in the work of slatemaking. It is clear to any novice in politics that the candidate who attempts to secure a nomination against the combined influence of these powerful slatemakers must meet obstacles which few will be strong enough to overcome. Among the Democrats practically the same procedure has been followed. The old-time bosses have gotten together and made up their slate, which the rank and file are to be called upon to endorse. But candor compels us to note an essential difference between these two bands of slatemakers-that the Democratic bosses never have pretended to be the champions of primary reform and so can not be accused of the offense of hypocrisy.

Even before the passage of the present primary law, Governor Deneen was planning to thwart the will of the people and deprive them of their right to rule. Early in the special session, in January, 1910, he framed up a bipartisan plan for the express purpose of getting through some sort of a direct plurality primary law for political capital, and of putting through such a law as would prevent the people from regulating the number of nominations in each senatorial district. Sincere advocates of direct pluralities and many good lawyers held that the only proper and the only constitutional manner of drawing a bill governing nominations for the lower house was to permit each voter to indicate on his ballot whether he favored the nomination of one, of two or of three candidates by his party in his particular senatorial district. This method was known commonly as the "McGoorty Amendment," but

the governor would have none of it. He insisted that the senatorial committees of each party should determine the number of nominations in a district and thus retain control. Governor Deneen's finest stroke, however, was in the defeat of the amendment to give every candidate an even chance with every other candidate and nullify the advantages of slatemaking. This amendment provided for the rotation of candidates' names, so that John Smith's name might be at the top for the first ten ballots, second for the next ten, third for the next ten and so on, each candidate having his name appear first in the list for a given office an equal number of times. "Circular insanity" was the name given by Governor Deneen to this practical amendment, which among other things would have rendered impossible the disgraceful scene now attendant on the filing of nominating petitions.

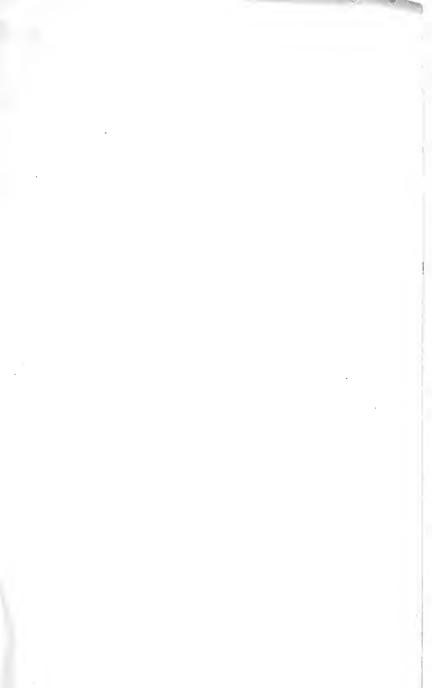












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