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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

<p>ALLERGAN, INC.,</p> <p style="padding-left: 100px;">Plaintiff(s),</p> <p>vs.</p> <p>FERRUM FERRO CAPITAL, LLC, et al.,</p> <p style="padding-left: 100px;">Defendant(s).</p>	<p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p>	<p>Case No.: SA CV15-00992 JAK (PLAx)</p> <p>STANDING ORDERS FOR CIVIL CASES ASSIGNED TO JUDGE JOHN A. KRONSTADT</p>
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This Order incorporates the Court's:

1. Initial Standing Order for Civil Cases Assigned to Judge John A. Kronstadt (Exhibit A);
2. Initial Standing Order for Patent Cases Assigned to Judge John A. Kronstadt (Exhibit B);
3. Order Setting Rule 16(b)/26(f) Scheduling Conference (Exhibit C);
4. Schedule A: Schedule of Pretrial and Trial Dates re Order Setting Rule 16(b)/26(f) Scheduling Conference) for Civil Cases, Patent Cases and ERISA Cases (Exhibit C-1);
5. Order Re Jury/Court Trial for Cases Assigned to Judge John A. Kronstadt (Exhibit D);
6. Standing Protective Order for Patent Cases Assigned to Judge John A. Kronstadt (Exhibit E);
7. Order Re Pilot Program for Under Seal Documents (Exhibit F);

1 8. Format for Evidentiary Objections (Exhibit G); and

2 9. Format for Summary Chart for Motions for Attorney's Fees (Exhibit H). Please read
3 each Order carefully as they differ in some respects from the Local Rules.
4

5 Counsel are advised that the Court, at any time, may amend one or more of its Standing
6 Orders. It is the responsibility of counsel to refer to this Court's Procedures and Schedules
7 found on the website for the United States District Court, Central District of California
8 (www.cacd.uscourts.gov) to obtain the operative order.

9 The Court thanks the parties and their counsel for their anticipated cooperation in
10 carrying out these requirements.
11

12 Dated: June 25, 2015
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17 JOHN A. KRONSTADT
18 UNITED STATES DISTRICT JUDGE
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EXHIBIT A
INITIAL STANDING ORDER FOR CIVIL CASES ASSIGNED TO JUDGE JOHN A.
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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

Plaintiff's Name(s),)	Case No.: _____ JAK (x)
)	
Plaintiff(s),)	INITIAL STANDING ORDER FOR CIVIL
vs.)	CASES ASSIGNED TO JUDGE JOHN A.
)	KRONSTADT
Defendant's Name(s),)	
)	
Defendant(s).)	
)	
)	

This case has been assigned to the calendar of Judge John A. Kronstadt. Both the Court and counsel bear responsibility for the progress of this litigation in federal court. To “secure the just, speedy, and inexpensive determination” of this case, as called for in Fed. R. Civ. P. 1, all parties or their counsel are ordered to become familiar with the Federal Rules of Civil Procedure, the Local Rules of the Central District of California, and this Court’s Standing Orders.

THE COURT ORDERS AS FOLLOWS:

1. Service of the Complaint

The plaintiff shall promptly serve the complaint in accordance with Fed. R. Civ. P. 4 and file the proofs of service pursuant to [Local Rule 5-3](#). Although Fed. R. Civ. P. 4(m) does not require the summons and complaint to be served for 120 days, the Court expects service as

1 soon as service can reasonably be accomplished. The Court will require plaintiff to show good
2 cause to extend the service deadline beyond 120 days.

3
4 **2. Presence of Lead Counsel**

5 Lead trial counsel shall attend any scheduling, pretrial, or settlement conference set by
6 the Court unless engaged in trial. Should that occur, counsel are to file a request for alternate or
7 co-counsel to appear with a proposed order. The Court does not permit special appearances;
8 only counsel of record may appear at any proceeding.

9 **3. Ex Parte Applications**

10 Ex parte applications are solely for extraordinary relief and should be used with
11 discretion. See *Mission Power Engineering Co. v. Continental Casualty Co.*, 883 F. Supp. 488
12 (C.D. Cal. 1995). Ex parte applications that fail to conform to [Local Rule 7-19](#), including a
13 statement of opposing counsel's position, will not be considered, except on a specific showing
14 of good cause. Concurrently with service of the ex parte papers by electronic service and
15 telephonic notice, counsel shall also serve the moving party by either facsimile, email, or
16 personal service, and give notice to the moving party that opposing papers must be filed no later
17 than 24 hours (or one court day) following service. If counsel do not intend to oppose the ex
18 parte application, counsel must inform the Courtroom Deputy Clerk by telephone as soon as
19 possible.
20

21 **4. Continuances or Extensions of Time**

22 This Court is very committed to adhering to all scheduled dates. In general, this makes
23 the judicial process more efficient and less costly. Changes in dates are disfavored. Trial dates
24 set by the Court are firm and will rarely be changed. Therefore, any request, whether by
25 application or stipulation, to continue the date of any matter before this Court must be supported
26 by a sufficient basis that demonstrates good cause why the change in the date is essential.
27 Without such compelling factual support, requests to continue dates set by this Court will not be
28

1 approved. Counsel requesting a continuance must electronically file any application or
2 stipulation with a proposed order, including a detailed declaration of the grounds for the
3 requested continuance or extension of time. The Court will not consider any request that does
4 not comply with the Local Rules and this Order. Proposed stipulations extending scheduling
5 dates become effective only if, and when, this Court approves the stipulation as presented to, or
6 modified by, the Court, and an associated order is entered. Counsel should avoid submitting
7 requests for a continuance less than at least five (5) court days prior to the scheduled date that
8 is the subject of the request.
9

10 **5. TROs and Injunctions**

11 Parties seeking emergency or provisional relief shall comply with Fed. R. Civ. P. 65 and
12 [Local Rule 65-1](#). The application shall include a proof of service which complies with the Court's
13 requirements for ex parte applications or a separate request for service to be excused. The
14 Court will not rule on any application for such relief for at least 24 hours after the party subject to
15 the requested order has been served, unless service is excused. On the day the documents are
16 e-filed, counsel shall contact the Courtroom Deputy Clerk and deliver a courtesy copy in Room
17 181-L of the Clerk's Office.
18

19 **6. Cases Removed from State Court**

20 All documents filed in state court, including documents appended to the complaint,
21 answers, and motions, must be re-filed in this Court as a supplement to the notice of removal.
22 See 28 U.S.C. § 1447(a) and (b). If the defendant has not yet answered or filed a motion in
23 response to the complaint, the answer or responsive pleading filed in this Court must comply
24 with the Federal Rules of Civil Procedure and the Local Rules. If, before the case was removed,
25 a motion or demurrer in response to the complaint was pending in state court, it must be re-
26 noticed in this Court in accordance with [Local Rule 6-1](#) and [Local Rule 7](#). Counsel shall file with
27
28

1 their first appearance an original and two copies of a Notice of Interested Parties in accordance
2 with [Local Rule 7.1-1](#).

3
4 If an action is removed to this Court that contains a form pleading, *i.e.*, a pleading in
5 which boxes are checked, the party or parties utilizing the form pleading must file an appropriate
6 pleading with this Court within 30 days of receipt of the Notice of Removal. The appropriate
7 pleading referred to must comply with the requirements of Fed. R. Civ. P. 7, 7.1, 8, 9, 10 and
8 11.

9 **7. Status of Fictitiously Named Defendants**

10 This Court intends to adhere to the following procedures where a matter is removed to
11 this Court on diversity grounds with fictitiously named defendants. See 28 U.S.C. §§ 1441(a)
12 and 1447.

13
14 **a.** Plaintiff is expected to ascertain the identity of, and serve, any fictitiously named
15 defendant, within 120 days of the removal of the action to this Court.

16 **b.** If plaintiff believes (by reason of the necessity for discovery or otherwise) that
17 fictitiously named defendants cannot be fully identified within the 120-day period, an *ex parte*
18 application requesting permission to extend that period to effectuate service may be filed with
19 this Court. Such an application shall state the specific reasons for the requested extension of
20 time, including a description of all efforts made up to that time to identify and serve such
21 person(s). The *ex parte* application shall be served upon all appearing parties, and shall state
22 that appearing parties may file written comments within seven (7) days of the filing of the *ex*
23 *parte* application.

24
25 **c.** If plaintiff wants to substitute a defendant for one of the fictitiously named
26 defendants, plaintiff shall first seek the consent of counsel for all defendants (and counsel for
27 the fictitiously named party, if that party has separate counsel). If consent is withheld or denied,
28 plaintiff should file a motion on regular notice. The motion and opposition should address

1 whether the matter should thereafter be remanded to the Superior Court if complete diversity of
2 citizenship would no longer be present as a result of the addition of the new party. See U.S.C. §
3 1447(c), (d).
4

5 **8. Discovery**

6 **a. Discovery Matters Referred to Magistrate Judge**

7 All discovery matters, including all discovery motions, are referred to the assigned
8 United States Magistrate Judge. The Magistrate Judge's initials follow the District Judge's
9 initials next to the case number on this Order. All discovery-related documents must include the
10 words "DISCOVERY MATTER" in the caption to ensure proper routing. Counsel are directed to
11 contact the Magistrate Judge's Courtroom Deputy Clerk to schedule matters for hearing. Please
12 deliver mandatory chambers copies of discovery-related papers to the Magistrate Judge
13 assigned to this case rather than to this Court.
14

15 In accordance with 28 U.S.C. § 636(b)(1)(A), the Court will not reverse any order of the
16 Magistrate Judge unless it has been shown that the Magistrate Judge's order is clearly
17 erroneous or contrary to law.

18 Any party may file and serve a motion for review and reconsideration before this Court.
19 See [Local Rule 72-2](#) and [Local Rule 7-18](#). The moving party must file and serve the motion
20 within 14 days of service of a written ruling or within 14 days of an oral ruling that the Magistrate
21 Judge states will not be followed by a written ruling. The motion must specify which portions of
22 the ruling are clearly erroneous or contrary to law and support the contention with points and
23 authorities. Counsel shall deliver a conformed copy of the moving papers and responses to the
24 Magistrate Judge's Courtroom Deputy Clerk at the time of filing.
25

26 **b. Compliance with Fed. R. Civ. P. 26(a)**

27 Unless there is a likelihood that, upon motion by a party, the Court would order that any
28 or all discovery is premature, counsel should begin to conduct discovery actively before the

1 Scheduling Conference. At the very least, the parties shall comply fully with the letter and spirit
2 of Fed. R. Civ. P. 26(a) and thereby obtain and produce most of what would be produced in the
3 early stage of discovery, because at the Scheduling Conference the Court will impose firm
4 deadlines to complete discovery.
5

6 **9. Motions**

7 **a. Time for Filing and Hearing Motions**

8 Motions shall be filed in accordance with [Local Rule 6-1](#) and [Local Rule 7](#). In general,
9 this Court hears motions on Mondays, beginning at 8:30 a.m. If Monday is a national holiday,
10 motions will be heard on the following Monday. It is not necessary to clear a hearing date with
11 the Courtroom Deputy Clerk prior to the filing of a motion, but counsel shall review the Court's
12 Closed Motion Dates prior to selecting a date. Motion dates are closed when the Court's
13 calendar is full and, therefore, counsel shall not assume that a motion date(s) is available.
14

15 The Court does not require a proposed order to be e-filed with any motion unless
16 otherwise directed by the Court. A separate order will issue.

17 **b. Briefing Motions**

18 Any motion that is filed and set for a hearing to be held fewer than 35 days from the date
19 of the filing of the motion shall be briefed pursuant to [Local Rule 6-1](#) and [Local Rule 7](#). Any
20 motion that is filed and set for a hearing between 35 and 70 days from the date of the filing of
21 the motion shall be briefed according to the following schedule: (i) any opposition must be filed
22 no later than 14 days after the filing of the motion; and (ii) any reply must be filed no later than
23 21 days after the filing of the motion. Any motion that is filed and set for a hearing more than 70
24 days from the date of the filing of the motion shall be briefed according to the following
25 schedule, unless a stipulation is filed: (i) any opposition must be filed no later than 21 days after
26 the filing of the motion; and (ii) any reply must be filed no later than 35 days after the filing of the
27 motion. The Court will permit the parties to stipulate, without a court order, to a briefing schedule
28

1 for any motion that is set for a hearing to be held more than 70 days from the date it was filed so
2 long as the reply is filed no later than five (5) weeks prior to the hearing date. A stipulation
3 regarding the agreed-upon briefing schedule shall be filed with the Court within five (5) court
4 days of the date the motion is filed.
5

6 **c. Pre-Filing Requirement To Meet and Confer**

7 Counsel must comply with [Local Rule 7-3](#), which requires counsel to engage in a pre-
8 filing conference “to discuss thoroughly . . . the substance of the contemplated motion and any
9 potential resolution.” Counsel should discuss the issues to a sufficient degree that if a motion is
10 still necessary, the briefing may be directed to those substantive issues requiring resolution by
11 the Court. Counsel should resolve minor procedural or other non-substantive matters during the
12 conference. The *in propria persona* status of one or more parties does not alter this
13 requirement.
14

15 **d. Length and Format of Motion Papers**

16 Memoranda of points and authorities shall not exceed 25 pages and all footnotes shall
17 be in the same type size pursuant to [Local Rule 11-3](#). Oppositions shall not exceed 25 pages
18 and any reply shall not exceed 10 pages. All motion papers shall be filed pursuant to [Local Rule](#)
19 [11-6](#) and [Local Rule 11-3](#). Only in rare instances and for good cause shown will the Court grant
20 an application to extend these page limitations. No supplemental brief shall be filed without prior
21 leave of Court. If documentary evidence in support of or in opposition to a motion exceeds 50
22 pages, the evidence must be separately bound and tabbed and include an index. If such
23 evidence exceeds 200 pages, the documents shall be placed in a Slant D-Ring binder, with an
24 index and with each item of evidence separated by a tab divider on the right side.
25

26 Counsel shall adhere to [Local Rule 5-4.3.1](#) with respect to the conversion all pleadings
27 to a PDF so that when a pleading is e-filed, it is in the proper size and format that is PDF
28 searchable.

1 **e. Mandatory Chambers Copies**

2 Mandatory chambers copies are to be submitted pursuant to [Local Rule 5-4.5](#). The Court
3 requires copies of only: (i) initial pleadings (complaints, counterclaims, cross-claims), (ii) Joint
4 Rule 16(b)/26(f) reports with schedule of pretrial and trial dates, (iii) motion papers (motions,
5 oppositions, replies, non-oppositions, and any document relating to such), (iv) trial documents
6 (joint statement of the case, proposed voir dire, jury instructions, verdict form, joint exhibit list,
7 joint witness list, and any disputes relating to such), (v) ex parte applications relating to a
8 temporary restraining order, and (vi) presentation materials for patent cases. Mandatory
9 chambers copies must be delivered to the Clerk's Office, Room 181-L, no later than 12:00 P.M.
10 on the day following the filing of the document, with the exception of a document(s) relating to a
11 temporary restraining order, which are to be submitted the day it is filed. For under seal
12 applications, refer to the Order Re: Pilot Program For Under Seal Documents (Exh. F).
13 Furthermore, mandatory chambers copies must be printed from CM/ECF, and must include the
14 CM/ECF-generated header (consisting of the case number, document control number, date of
15 filing, page number, etc.). Chambers copies are not required to be two-hole punched or blue-
16 backed.
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19 **f. Citations to Case Law**

20 Citations to case law must identify not only the case cited, but the specific page
21 referenced. For example, if a quotation is presented, the associated page citation shall be
22 provided. Similarly, if a case is cited in support of a proposition based on language in the
23 opinion, the page(s) on which such language appears shall be provided.
24

25 **g. Citations to Other Sources**

26 Statutory references should identify with specificity the sections and subsections
27 referenced. Citations to treatises, manuals, and other materials should include the volume,
28

1 section, and pages that are referenced. Citations to prior filings in the same matter should
2 include the docket entry number, section, and pages that are referenced.

3
4 **h. Oral Argument**

5 If the Court deems a matter appropriate for decision without oral argument, the Court will
6 notify the parties in advance. [Local Rule 7-15](#).

7 **10. Specific Motions**

8 **a. Motions Pursuant to Rule 12**

9 Many motions to dismiss or to strike can be avoided if the parties confer in good faith (as
10 required by [Local Rule 7-3](#)), especially where perceived defects in a complaint, answer, or
11 counterclaim could be corrected by amendment. See *Chang v. Chen*, 80 F.3d 1293, 1296 (9th
12 Cir. 1996) (where a motion to dismiss is granted, a district court should provide leave to amend
13 unless it is clear that the complaint could not be saved by any amendment).
14

15 These principles require that plaintiff's counsel carefully evaluate defendant's
16 contentions as to the deficiencies in the complaint. In most instances, the moving party should
17 agree to any amendment that would cure the defect.

18 **b. Motions to Amend**

19 All motions to amend pleadings shall: (1) state the effect of the amendment and (2)
20 identify the page and line number(s) and wording of any proposed change or addition of
21 material. The proposed amended pleading shall be serially numbered to differentiate it from
22 previously amended pleadings.
23

24 In addition to the requirements of [Local Rule 15](#), counsel shall attach as an appendix to
25 the moving papers a "redline" version of the proposed amended pleading showing all additions
26 and deletions of material.

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c. Summary Judgment Motions

Parties need not wait until the motion cut-off date to bring motions for summary judgment or partial summary judgment. Whenever possible, the party moving for summary judgment should provide more than the minimum twenty-eight (28) day notice for motions. See [Local Rule 6-1](#). The parties should prepare papers in a fashion that will assist the Court in locating the evidence with respect to the facts (*e.g.*, generous use of tabs, tables of contents, headings, indices, etc.). The parties are to comply precisely with Local Rules [Local Rule 56-1](#) through [Local Rule 56-3](#).

i. Statements of Uncontroverted Facts and Genuine Issues

The Statement of Uncontroverted Facts and Conclusions of Law ("Statement of Uncontroverted Facts"), as required by [Local Rule 56-1](#), shall be separately lodged and identify each claim for relief on which the moving party seeks summary judgment and the legal grounds for summary judgment. In a two-column format beneath the identified claim for relief, the left-hand column shall set forth, sequentially numbered, each allegedly uncontroverted material fact as to that claim for relief, and the right-hand column shall set forth the evidence that supports the factual statement. Citation to the supporting evidence shall be specific, including reference to the exhibit, page, and line number. The Statement of Uncontroverted Facts shall be formatted based on the following examples:

Plaintiff's Claim for Relief for _____ is Barred by the Applicable Statute of Limitations. (Cite)

1. (Moving party's first undisputed fact)	(Supporting evidence citation)
2. (Moving party's second undisputed fact)	(Supporting evidence citation)

The opposing party's statement of genuine issues must be in two columns and track the movant's separate statement exactly as prepared. The left-hand column must restate the allegedly undisputed fact and the alleged supporting evidence, and the right-hand column must

1 state either that it is undisputed or disputed. The opposing party may dispute all or only a
2 portion of the statement, but if disputing only a portion, such party must clearly indicate what
3 part is being disputed, followed by the opposing party's evidence controverting the fact. To
4 demonstrate that a fact is disputed, the opposing party must briefly state why it disputes the
5 moving party's asserted fact, cite to the relevant exhibit or other evidence, and describe what it
6 is in that exhibit or evidence that refutes the asserted fact. No legal argument should be set forth
7 in this document.

8
9 The opposing party may submit additional material facts that bear on, or relate to, the
10 issues raised by the movant, which shall follow the format described above for the moving
11 party's separate statement. These additional facts shall continue in sequentially numbered
12 paragraphs and shall set forth in the right-hand column the evidence that supports that
13 statement.
14

15 **ii. Supporting Evidence**

16 No party shall submit evidence other than the specific items of evidence or testimony
17 necessary to support or controvert a proposed statement of undisputed fact. For example, entire
18 deposition transcripts, entire sets of interrogatory responses, and documents that do not
19 specifically support or controvert material in the separate statement shall not be submitted in
20 opposition to a motion for summary judgment.

21 Evidence submitted in support of or in opposition to a motion should be submitted either
22 by way of stipulation or as exhibits to declarations sufficient to authenticate the proffered
23 evidence, and should not be attached to the memorandum of points and authorities.

24 Documentary evidence as to which there is no stipulation regarding foundation must be
25 accompanied by the testimony, either by declaration or properly authenticated deposition
26 transcript, of a witness who can establish authenticity.
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1 **iii. Objections to Evidence**

2 Evidentiary objections to a declaration submitted in connection with a motion or other
3 matter shall be made in writing and served and e-filed at the same time as, but separately from
4 the opposition or reply papers. If a party disputes a fact based in whole or in part on an
5 evidentiary objection, the ground of the objection should be succinctly stated in a separate
6 statement of evidentiary objections in a three-column format:

7
8 a. The left column should include the entire declaration or deposition,
9 which shall include the highlighted, underlined, and/or bracketed portions that are being
10 objected to (including page and line number if applicable). Each objection shall be numbered
11 and located within the copy of the declaration.

12
13 b. The middle column should set forth a concise objection (e.g., hearsay,
14 lacks foundation, etc.) with a citation to the Federal Rules of Evidence or, where applicable, a
15 case citation.

16 c. The right column should provide space for the Court's entry of its ruling
17 on the objection.

18 d. A proposed order shall be filed and attached to the evidentiary
19 objections as a separate document consistent with [Local Rule 52-4.1](#) and either uploaded
20 through the CM/ECF System or emailed directly to the Court's Chambers' email at:
21 jak_chambers@cacd.uscourts.gov.

22 See Exhibit G to this Order. Counsel shall adhere to this format for any evidentiary
23 objections that are submitted to the Court for consideration.

24 **d. Motions in Limine:**

25 Motions in limine are heard at the date and time of the Final Pretrial Conference and
26 shall be e-filed and set for hearing according to [Local Rule 6-1](#). Any oppositions shall be timely
27

1 e-filed and not exceed 10 pages. A reply is not required but shall not be more than 5 pages, if e-
2 filed.

3
4 **e. Motions for Attorney's Fees:**

5 Motions for attorney's fees shall be e-filed and set for hearing according to [Local Rule 6-](#)
6 [1](#) and shall attach a summary, in table form, of the hours worked by and billing rate of each
7 attorney with title (i.e., partner, local counsel, associate, etc.). The hours worked by each
8 attorney shall be summarized by task (i.e., discovery, motion to dismiss, motion for summary
9 judgment). If the hourly rate charged by any individual attorney changed while the case was
10 ongoing, the party shall provide separate calculations for the total number of hours that the
11 attorney spent in connection with each task at each hourly rate. An editable, electronic courtesy
12 copy shall be emailed to the Court's Chambers' email at: jak_chambers@cacd.uscourts.gov
13 formatted for use with Microsoft Excel. See Exhibit H.

14
15 **11. Under Seal Documents:**

16 Effective July 8, 2013, this Court required that all under seal documents be submitted via
17 email to the Court's chambers' email address. Counsel shall refer to the Court's Order Re Under
18 Seal Pilot Program and comply with this Order. See Exhibit F.

19
20 **12. Initial Pleadings:**

21 Counsel shall comply with [Local Rule 3-2](#) and [General Order 14-01](#) when filing initial
22 pleadings. All initiating pleadings, including third-party complaints, amended complaints,
23 complaints in intervention, counterclaims and crossclaims, shall be filed as a separate
24 document.

25
26 **13. Amended Pleadings:**

27 Every amended pleading shall be serially numbered to differentiate the pleading from
28 prior pleadings. Counsel shall attach as an appendix to all amended pleadings a "redline"

1 version of the amended pleading showing all additions and deletions of material from the most
2 recent prior pleading.

3
4 **14. Notice of This Order/E-Filed Documents:**

5 Plaintiff's counsel or plaintiff (if appearing on his or her own behalf) shall immediately
6 serve this Order on all parties, including any new parties to the action. If this case came to the
7 Court by a Petition for Removal, the removing defendant(s) shall serve this Order on all other
8 parties.

9 Any document that is e-filed shall be served by mail that same day on any party or
10 attorney who is not permitted or has not consented to electronic service, with a proof of service
11 to be filed within 24 hours.

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13 **IT IS SO ORDERED.**

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16 JOHN A. KRONSTADT
17 UNITED STATES DISTRICT JUDGE
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EXHIBIT B
INITIAL STANDING ORDER FOR PATENT CASES ASSIGNED TO JUDGE JOHN A.
KRONSTADT

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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

Plaintiff's Name(s),)	Case No.: _____ JAK (x)
)	
Plaintiff(s),)	INITIAL PATENT ORDER FOR CASES
vs.)	ASSIGNED TO JUDGE JOHN A.
)	KRONSTADT
Defendant's Name(s),)	
)	
Defendant(s).)	
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These Standing Patent Rules are based on the Standing Patent Rules issued by Judge Andrew J. Guilford in September 2013, which were based largely on information obtained from over 100 patent practitioners and professors, a review of all the other local patent rules, and a review of related literature. The rules were drafted to respond to the needs of this community and to reduce transaction costs and increase procedural predictability. Two central goals were that the rules be outcome neutral and as concise as possible.

1. GENERAL PROVISIONS

1.1 Title

These are the Standing Patent Rules for cases assigned to Judge John A. Kronstadt. They should be cited as "S.P.R. ____."

///

1 **1.2 Effective Date**

2 These Standing Patent Rules take effect on April 1, 2014, and apply in cases filed on or after
3 that date. The Court may order that they also apply, with appropriate modification, to cases filed
4 before that date.
5

6 **1.3 Scope and Construction**

7 These rules apply to all cases involving a claim of infringement, non-infringement, invalidity, or
8 unenforceability of a utility patent. The Local Rules of this District shall also apply to such
9 actions, except to the extent that they are inconsistent with these Standing Patent Rules. The
10 Court will consider requests to opt out of these Standing Patent Rules, particularly when all
11 parties agree the case involves damages or other claims of less than \$2 million.
12

13 **1.4 Modification of These Rules**

14 The Court may modify or suspend these rules at any time. The Court will consider modifications
15 to these rules suggested by the parties based on the circumstances of any particular case. Such
16 party-suggested modifications shall, in most cases, be made at the scheduling conference, but
17 may be made at other times upon a showing of good cause.

18 **1.5 Confidentiality**

19 Absent a Court order, discovery cannot be withheld on the basis of confidentiality. The Court's
20 Standing Protective Order shall govern discovery unless the Court enters a different protective
21 order, which may be entered by the assigned Magistrate Judge without further order from the
22 District Judge.
23

24 **1.6 Relationship to the Federal Rules of Civil Procedure**

25 Except as provided in this paragraph or otherwise ordered, it shall not be a ground for objecting
26 to discovery requests (such as interrogatories, document requests, requests for admission, or
27 deposition questions), or declining to provide information otherwise required by Fed. R. Civ. P.
28 26(a)(1), that the discovery request or disclosure requirement is premature due to, or otherwise

1 conflicts with, these Standing Patent Rules. But a party may object to the following categories of
2 discovery requests (or decline to provide information in its initial disclosures under Fed. R. Civ.
3 P. 26(a)(1)) on the ground of prematurity under these Standing Patent Rules: (1) requests
4 seeking a party's claim construction position; (2) requests seeking from the patent claimant a
5 comparison of the asserted claims and the accused apparatus, product, device, process,
6 method, act, or other instrumentality (collectively "Accused Instrumentality"); (3) requests
7 seeking from an accused infringer a comparison of the asserted claims and the prior art; (4)
8 requests seeking from an accused infringer the identification of any advice of counsel or related
9 documents.
10

11 **2. PATENT DISCLOSURES, EARLY MEETING OF THE PARTIES, AND SCHEDULING**
12 **CONFERENCE**

13 **2.1 Disclosure of Asserted Claims and Infringement Contentions**

14 No later than 14 days after the Court issues an order setting a scheduling conference, a party
15 asserting patent infringement shall serve on all parties a Disclosure of Asserted Claims and
16 Infringement Contentions. Separately for each opposing party, the Disclosure of Asserted
17 Claims and Infringement Contentions shall contain the following information as described in
18 S.P.R. 2.1.1 through 2.1.6.
19

20 **2.1.1** Each claim of each patent in suit that is allegedly infringed by each opposing
21 party, including for each claim the applicable statutory subsections of 35 U.S.C. § 271
22 asserted.
23

24 **2.1.2** Separately for each asserted claim, each Accused Instrumentality. This
25 identification shall be as specific as reasonably possible.

26 **2.1.3** A chart identifying specifically where each limitation of each asserted claim is
27 found within each Accused Instrumentality, including, for each limitation that such party
28 contends is governed by 35 U.S.C. § 112(6)/(f), the identity of the structure(s), act(s), or

1 material(s) in the Accused Instrumentality that performs the claimed function, and
2 whether each limitation of each asserted claim is alleged to be literally present or
3 present under the doctrine of equivalents in the Accused Instrumentality.
4

5 **2.1.4** For any patent that claims priority to an earlier application, the priority date
6 allegedly applicable to each asserted claim.

7 **2.1.5** If a party claiming patent infringement wishes to preserve the right to rely, for any
8 purpose, on the assertion that its own apparatus, product, device, process, method, act,
9 or other instrumentality practices the claimed invention, the party shall identify,
10 separately for each asserted claim, each such apparatus, product, device, process,
11 method, act, or other instrumentality that incorporates or reflects that particular claim.

12 **2.1.6** If a party claiming patent infringement alleges willful infringement, the basis for
13 such allegation.
14

15 **2.2 Document Production Accompanying Disclosure**

16 With the Disclosure of Asserted Claims and Infringement Contentions, the party claiming patent
17 infringement shall produce the following items described as set forth in S.P.R. 2.2.1 through
18 2.2.3, identifying the documents corresponding to each category by production number.

19 **2.2.1** A copy of the file history for each patent in suit.

20 **2.2.2** All documents evidencing ownership of the patent rights by the party asserting
21 patent infringement.
22

23 **2.2.3** If a party identifies instrumentalities under S.P.R. 2.1.5, documents sufficient to
24 show the operation of any aspects or elements of such instrumentalities the patent
25 claimant relies upon as embodying any asserted claims.

26 **2.3 Early Meeting of Counsel**

27 No later than 14 days after the service of the materials required by S.P.R. 2.1 and 2.2, counsel
28 for the parties shall exchange Fed. R. Civ. P. 26(a)(1)(A) initial disclosures and meet in person

1 or telephonically to prepare for the scheduling conference and prepare the joint Fed. R. Civ. P.
2 16(b)/26(f) report. Both sides have the duty to schedule the meeting and comply with this
3 paragraph, with plaintiff initiating the process. The parties should consider, where applicable,
4 consolidation of related cases, including for trial, and transfer for pretrial purposes through the
5 Judicial Panel on Multidistrict Litigation. The parties should consider the Federal Circuit Advisory
6 Committee's Model Order Limiting Excess Patent Claims and Prior Art. The parties should
7 discuss ADR timing, recognizing that settlement decisions, like other business decisions, are
8 often made with incomplete information. Where necessary in multi-defendant cases and upon a
9 showing of good cause, counsel may apply for a reasonable extension of time to hold the early
10 meeting of counsel. The parties shall submit their joint Fed. R. Civ. P. Rule 16(b)/ 26(f) report no
11 later than 14 days after the early meeting of counsel. See Exhibit C-1.
12
13

14 **2.4 Scheduling Conference**

15 A scheduling conference will be held on the date set by the Court. Usually, each side will have
16 the opportunity to present briefly any potentially dispositive issues it wishes to bring to the
17 Court's attention and to discuss its position on disputed scheduling issues. The presentations
18 are intended to allow the Court to make informed decisions on scheduling and potential
19 modifications of these rules. At the scheduling conference, the Court will issue a scheduling
20 order.
21

22 **2.5 Invalidity Contentions**

23 No later than 14 days after the scheduling conference, each party opposing a claim of patent
24 infringement shall serve on all parties Invalidity Contentions containing the following information
25 as described in S.P.R. 2.5.1 through 2.5.4.

26 **2.5.1** The identity of each item of prior art that allegedly anticipates each asserted
27 claim or renders it obvious. Each prior art patent shall be identified by its number,
28 country of origin, and date of issue. Each prior art publication shall be identified by its

1 title, date of publication, author, and publisher. Prior art under 35 U.S.C. § 102(b)/(a)
2 shall be identified by specifying the item offered for sale or publicly used or known, the
3 date the offer or use took place or the information became known, and the identity of the
4 person or entity which made the use or which made and received the offer, or the person
5 or entity which made the information known or to whom it was made known. Prior art
6 under 35 U.S.C. § 102(f), or other claim of derivation, shall be identified by providing the
7 name of the person(s) from whom and the circumstances under which the invention or
8 any part of it was derived. Prior art under 35 U.S.C. § 102(g) shall be identified by
9 providing the identities of the person(s) or entities involved in and the circumstances
10 surrounding the making of the invention before the patent applicant(s).
11

12 **2.5.2** Whether each item of prior art anticipates each asserted claim or renders it
13 obvious. If obviousness is alleged, an explanation of why the prior art renders the
14 asserted claim obvious, including an identification of any combinations of prior art
15 showing obviousness.
16

17 **2.5.3** A chart identifying where specifically in each alleged item of prior art each
18 limitation of each asserted claim is found, including for each limitation that such party
19 contends is governed by 35 U.S.C. § 112(6)/(f), the identity of the structure(s), act(s), or
20 material(s) in each item of prior art that performs the claimed function.
21

22 **2.5.4** Any grounds of invalidity based on 35 U.S.C. § 101, indefiniteness under 35
23 U.S.C. § 112(2)/(b), or enablement or written description under 35 U.S.C. § 112(1)/(a) of
24 any of the asserted claims.

25 **2.6 Document Production Accompanying Invalidity Contentions**

26 With the Invalidity Contentions, the party opposing a claim of patent infringement shall produce
27 the items described as follows in S.P.R. 2.6.1 through 2.6.2, identifying the documents
28 corresponding to each category by production number.

1 **2.6.1** Source code, specifications, schematics, flow charts, artwork, formulas, or other
2 documentation sufficient to show the operation of any aspects or elements of an
3 Accused Instrumentality identified by the patent claimant's S.P.R. 2.1.3 chart.

4 **2.6.2** A copy or sample of the prior art identified under S.P.R. 2.5.1 that does not
5 appear in the file history of the patent(s) at issue. To the extent any such item is not in
6 English, an English translation of the portion relied upon shall be produced.

7
8 **2.7 Disclosure Requirement in Patent Cases for Declaratory Judgment of Invalidity**
9 **Where No Claim of Infringement Has Been Made**

10 In all cases where a party files a complaint or other pleading seeking a declaratory judgment
11 that a patent is invalid, S.P.R. 2.1 and 2.2 shall not apply unless and until a claim for patent
12 infringement is made, and the party asserting patent infringement shall have 28 days (instead of
13 14 days) after the order setting the scheduling conference to make its disclosures pursuant to
14 S.P.R. 2.1 and 2.2. If the defendant does not assert a claim for patent infringement in its answer
15 to the complaint, the party seeking a declaratory judgment of invalidity shall serve upon each
16 opposing party its S.P.R. 2.5 and 2.6 disclosures no later than 14 days after the order setting
17 the scheduling conference.

18
19 **3. CLAIM CONSTRUCTION**

20 **3.1 Exchange of Proposed Terms for Construction**

21 No later than 14 days after the S.P.R. 2.5 and 2.6 disclosures, each party shall serve on each
22 other party a list of claim terms the party contends should be construed by the Court, and
23 identify any claim term the party contends should be governed by 35 U.S.C. § 112(6)/(f). The
24 parties shall then work to limit the terms in dispute by narrowing or resolving differences, and to
25 jointly identify the 10 terms likely to be most significant to the case.

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1 **3.2 Exchange of Claim Constructions and Extrinsic Evidence**

2 No later than 14 days after the exchange of the S.P.R. 3.1 lists, the parties shall simultaneously
3 exchange proposed constructions of each term identified by either party for claim construction.
4

5 Each such construction shall also, for each term that any party contends is governed by 35
6 U.S.C. § 112(6)/(f), identify the structure(s), act(s), or material(s) corresponding to that term's
7 function. At the same time the parties exchange their constructions, each party shall also
8 identify all references from the specification or prosecution history that support its proposed
9 construction and designate any supporting extrinsic evidence including, without limitation,
10 dictionary definitions, citations to learned treatises and prior art, and testimony of percipient and
11 expert witnesses. Extrinsic evidence shall be identified by production number and by producing
12 a copy if not previously produced. For any supporting witness, percipient or expert, the
13 identifying party shall also provide a declaration containing that witness' testimony regarding
14 claim construction. The parties shall then meet and confer to narrow the issues and finalize
15 preparation of a Joint Claim Construction and Prehearing Statement.
16

17 **3.3 Completion of Claim Construction Discovery**

18 No later than 28 days after service of the material required by S.P.R. 3.2, the parties shall
19 complete all discovery desired for claim construction, including any depositions of fact and
20 expert witnesses regarding claim construction.
21

22 **3.4 Joint Claim Construction and Prehearing Statement**

23 No later than seven days after the completion of claim construction discovery, the parties shall
24 complete and file a Joint Claim Construction and Prehearing Statement. The Joint Claim
25 Construction and Prehearing Statement shall contain the information described as follows in
26 S.P.R. 3.4.1 through 3.4.5.

27 **3.4.1** The parties' agreed constructions.
28

1 **3.4.2** A chart showing each party's proposed construction of each disputed term,
2 together with an identification of all references from the specification or prosecution
3 history that support that construction, and an identification of any extrinsic evidence
4 supporting its proposed construction or undermining any other party's proposed
5 construction, including, but not limited to, dictionary definitions, citations to learned
6 treatises and prior art, and testimony of percipient and expert witnesses.

7 **3.4.3** An identification of up to 10 terms whose construction will be most significant to
8 the case. If the parties cannot agree on the 10 most significant terms, the parties shall
9 identify the ones they agree are most significant and then they may evenly divide the
10 remainder. While the Court may in its discretion construe more than 10 terms, the total
11 terms identified by all parties as most significant cannot exceed 10. For example, in a
12 case involving two parties, if the parties agree upon the identification of five terms as
13 most significant, each may only identify two additional terms as most significant. A failure
14 to make a good faith effort to narrow the instances of disputed terms or otherwise
15 participate in the meet and confer process of any of the provisions in S.P.R. 3 may
16 expose counsel to sanctions, including under 28 U.S.C. § 1927.

17 **3.4.4** Whether the party believes it will need more than 45 minutes total for all its
18 presentation at the claim construction hearing, and if so, how much time, and why more
19 time is necessary.

20 **3.4.5** Whether any party proposes to call one or more witnesses at the claim
21 construction hearing, the identity of each such witness, and for each witness, a summary
22 of the testimony including, for any expert, each opinion to be offered on claim
23 construction.
24
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1 **3.5 Claim Construction Briefs**

2 No later than seven days after they file the Joint Claim Construction and Prehearing Statement,
3 the parties shall file simultaneous opening claim construction briefs of not more than 25 pages.

4 Not later than 14 days after the opening briefs, the parties shall file simultaneous responsive
5 briefs of not more than 10 pages. With its responsive brief, each party shall submit any
6 presentation material (such as demonstrative exhibits, including PowerPoint or other slide
7 presentations) it wishes to use at the claim construction hearing, and may submit a technology
8 tutorial of no more than 20 minutes in length on CD-ROM, DVD, or USB thumb drive. At the
9 claim construction hearing, the Court will not accept, and will not permit the parties to use, any
10 presentation material that was not submitted with the briefing. If a party wishes to use a physical
11 exhibit at the claim construction hearing, it shall file with its responsive brief photographs of the
12 physical exhibit along with a statement that it intends to use the physical exhibit at the hearing.
13

14 Concurrently with the filing of the responsive briefs, the parties shall jointly lodge with the Court
15 the material described as follows in S.P.R. 3.5.1 through 3.5.3.
16

17 **3.5.1** A chart in Word or WordPerfect format providing the parties' proposed
18 constructions of each disputed term, with a column for the Court's construction.

19 **3.5.2** An annotated copy of the certified file history for each asserted patent. Each file
20 history shall be printed double-sided, indexed, tabbed, and compiled in a three-ring
21 binder. Each office action, response, filing, or other communication shall be given a
22 separate tab, with the date of each clearly designated. Portions of the file history relied
23 upon by the patentee for claim construction shall be highlighted in yellow. Portions of the
24 file history relied upon by the accused infringer(s) shall be highlighted in blue. Portions of
25 the file history relied upon by both sides shall be highlighted in green.
26

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1 **3.5.3** An electronic copy of each file history on a CD-ROM, DVD, or USB thumb drive.
2 Each file history shall be a single PDF file with bookmarks corresponding to the tabs on
3 the paper copy, and containing the highlighting present on the paper copy.
4

5 **3.6 Claim Construction Hearing**

6 Subject to the Court's calendar, approximately 119 days (17 weeks) after the scheduling
7 conference, the Court will conduct a claim construction hearing. Each side shall have 45
8 minutes for its presentation, subject to enlargement at the Court's discretion. Again, parties may
9 not use at the hearing any presentation material they did not previously submit in accordance
10 with S.P.R. 3.5.

11 **4. FINAL CONTENTIONS, EXPERT REPORTS, DISCLOSURE OF ADVICE OF**
12 **COUNSEL, AND DISPOSITIVE MOTION DEADLINE**

13 **4.1 Final Infringement Contentions and Expert Reports**

14 No later than 28 days after the Court construes the claims, the party claiming patent
15 infringement shall serve the information described as follows in S.P.R. 4.1.1 and 4.1.2. The
16 deadlines provided in this rule do not excuse the requirement to supplement disclosures and
17 discovery responses promptly. If a party receiving Final Infringement Contentions believes that
18 amendments were made without good cause, it may move the Court to strike them.
19

20 **4.1.1** All Rule 26 expert reports on issues where the party claiming patent infringement
21 bears the burden of proof.

22 **4.1.2** A statement that its S.P.R. 2.1 contentions are its Final Infringement Contentions,
23 or in the alternative, Final Infringement Contentions that amend its S.P.R. 2.1
24 contentions. A party serving Final Infringement Contentions that amend its prior
25 contentions shall also provide a redline against its prior contentions and a statement of
26 reasons for each amendment. Amendments are subject to a good cause standard but do
27 not require prior Court approval where they are made due to a claim construction by the
28

1 Court different from that proposed by the party seeking amendment, or recent discovery
2 of nonpublic information about the Accused Instrumentality that was not discovered,
3 despite diligent efforts, before the service of the Infringement Contentions.
4

5 **4.2 Final Invalidation Contentions and Expert Reports**

6 No later than 28 days after service of the Final Infringement Contentions, the party opposing a
7 claim of patent infringement shall serve the information described as follows in S.P.R. 4.2.1 and
8 4.2.2. The deadlines provided in this rule do not excuse the requirement to supplement
9 disclosures and discovery responses promptly. If a party receiving Final Invalidation Contentions
10 believes that amendments were made without good cause, it may move the Court to strike
11 them.
12

13 **4.2.1** All Rule 26 expert reports on issues where the party opposing a claim of patent
14 infringement bears the burden of proof.

15 **4.2.2** A statement that its S.P.R. 2.5 contentions are its Final Invalidation Contentions, or
16 in the alternative, Final Invalidation Contentions that amend its S.P.R. 2.5 contentions. A
17 party serving Final Invalidation Contentions that amend its prior contentions shall also
18 provide a redline against its prior contentions and a statement of reasons for each
19 amendment. Amendments are subject to a good cause standard but do not require prior
20 Court approval where they are made due to a claim construction by the Court different
21 from that proposed by the party seeking amendment, or recent discovery of material
22 prior art that was not discovered, despite diligent efforts, before the service of the
23 Invalidation Contentions.
24

25 **4.3 Rebuttal Expert Reports and Close of Discovery**

26 Rebuttal expert reports are due no later than 28 days after service of the respective S.P.R. 4.1
27 and 4.2 reports. Discovery closes 28 days after service of the latest set of rebuttal reports. This
28 will typically be approximately 119 days (17 weeks) after the claim construction hearing.

1 Amendments to or supplementation of expert reports after Standing Patent Rules deadlines are
2 presumptively prejudicial and shall not be allowed absent prior leave of court upon a showing of
3 good cause that the amendment or supplementation could not reasonably have been made
4 earlier and that the opposing party is not unfairly prejudiced.
5

6 **4.4 Advice of Counsel**

7 No later than 28 days after service by the Court of its claim construction ruling, each party
8 relying upon advice of counsel as part of a patent-related claim or defense for any reason shall
9 produce the information described as follows in S.P.R. 4.4.1 through 4.4.3. A party not
10 complying with this rule shall not be permitted to rely on advice of counsel for any purpose
11 absent a stipulation of all parties or order of the Court.
12

13 **4.4.1** Any written advice and related documents for which the attorney-client and
14 work-product protection have been waived.

15 **4.4.2** A written summary of any oral advice and related documents for which the
16 attorney-client and work-product protection have been waived.

17 **4.4.3** A privilege log identifying any other documents, except those authored by
18 counsel acting solely as trial counsel, relating to the subject matter of the advice that the
19 party is withholding on the grounds of attorney-client privilege or work-product
20 protection.
21

22 **4.5 Final Day for Filing Dispositive Motions**

23 All dispositive motions shall be filed no later than 28 days after the close of discovery.

24 **5. TRIAL**

25 **5.1 Standard Trial Procedures**

26 Generally, trial procedure is governed by this Court's Specific Order on Jury/Court Trial and the
27 Central District of California's Local Rules.
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5.2 Other Possible Trial Procedures

The Court is open to creative trial procedures, such as imposing time limits, allowing short statements introducing each witness’s testimony before examination, allowing questions from the jury, and giving the jury a full set of instructions before the presentation of evidence.

IT IS SO ORDERED.

JOHN A. KRONSTADT
UNITED STATES DISTRICT JUDGE

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EXHIBIT C
ORDER SETTING RULE 16(b)/26(f) SCHEDULING CONFERENCE

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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

Plaintiff's Name(s),)	Case No.: _____ JAK (x)
)	
Plaintiff(s),)	ORDER SETTING RULE 16(b)
vs.)	SCHEDULING CONFERENCE
)	
Defendant's Name(s),)	
)	
Defendant(s).)	
)	
)	

This case has been assigned to Judge John A. Kronstadt. This Order applies to all parties in this action, whether or not they are represented by counsel. "Counsel," as used in this Order, also refers to parties who are representing themselves. If plaintiff has not already served the complaint (or any amendment thereto) on each defendant, plaintiff shall promptly do so and shall file proofs of service within three (3) days thereafter. Defendant(s) also shall timely serve and file their responsive pleadings and file proofs of service within three (3) days thereafter.

This matter is set for a scheduling conference on the above date. The conference will be held pursuant to Fed. R. Civ. P. 16(b) and Fed. R. Civ. P. 26(a). The parties are reminded of their obligations under Fed. R. Civ. P. 26(a) to disclose information (without awaiting a discovery request) and under Rule 26(f) to confer on a discovery plan not later than twenty-one (21) days

1 prior to the scheduling conference and to file a report with the Court entitled “Joint Rule
2 16(b)/26(f) Report” not later than ten (10) days before the date set for the scheduling
3 conference. Please comply with these requirements; it will simplify the Scheduling Conference.
4

5 The Court encourages counsel to begin to conduct discovery actively before the
6 Scheduling Conference. The Court encourages prompt, early discovery because at the
7 Scheduling Conference the Court will set firm deadlines to complete discovery. Even if there is
8 no agreement to conduct discovery prior to the Scheduling Conference, the parties shall comply
9 fully with the letter and spirit of Fed. R. Civ. P. 26(a) and thereby obtain and produce most of
10 what would be produced in the early stage of discovery.
11

12 **1. Joint Rule 16(b) Report.**

13 The Joint Rule 16(b)/26(f) Report, which shall be filed not later than one (1) week before
14 the scheduling conference, shall be drafted by plaintiff’s counsel (unless the parties agree
15 otherwise or unless plaintiff is self-represented, in which case defendant’s counsel), but shall be
16 submitted and signed jointly. “Jointly” contemplates a single report, regardless of how many
17 separately-represented parties there are. The Joint Rule 16(b)/26(f) Report shall report on all
18 matters enumerated below, which include those required to be discussed by Rule 26(f) and
19 [Local Rule 26](#). The Joint Rule 16(b)/26(f) Report should set forth the following information under
20 section headings corresponding to those in this Order:
21

- 22 a. Statement of the case: A short synopsis (not to exceed two (2) pages) of the main
23 claims, counterclaims, and affirmative defenses.
24 b. Subject matter jurisdiction: A statement of the specific basis of federal jurisdiction,
25 including supplemental jurisdiction.
26 c. Legal issues: A brief description of the key legal issues, including any unusual
27 substantive, procedural or evidentiary issues.
28

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1 d. Parties, and Non-Party Witnesses: A list of parties and percipient witnesses on the main
2 issues in the case. For conflict purposes, corporate parties must identify all subsidiaries,
3 parents, and affiliates.
4

5 e. Damages: The realistic range of provable damages.

6 f. Insurance: Whether there is insurance coverage.

7 g. Motions: A statement of the likelihood of motions seeking to add other parties or claims,
8 file amended pleadings or transfer venue.

9 h. Manual for Complex Litigation: Whether all or part of the procedures of the Manual for
10 Complex Litigation should be utilized.

11 i. Status of Discovery: A discussion of the present state of discovery, including a summary
12 of completed discovery.
13

14 j. Discovery Plan: A detailed discovery plan, as contemplated by Rule 26(f), including the
15 identity of all anticipated deponents and dates by which their depositions are to be completed (if
16 possible), anticipated written discovery requests, including requests for admission, document
17 requests, and interrogatories, and a schedule for completion of all discovery. State what, if any,
18 changes in the disclosures under Rule 26(a) should be made, the subjects on which discovery
19 may be needed and whether discovery should be conducted in phases or limited in some
20 manner, whether applicable limitations should be changed or other limitations imposed, and
21 whether the Court should enter other orders. Please note that a statement to the effect that
22 discovery will be conducted as to all claims and defenses, will not satisfy this requirement.
23

24 k. Discovery cut-off: A proposed discovery cut-off date. This means the final day for
25 completion of non-expert discovery, including resolution of all discovery motions. The last day to
26 hear discovery motions shall be the same as the last day to hear all motions. See Exh. C-1.

27 l. Expert discovery: Proposed dates for expert witness disclosures (initial and rebuttal) and
28 expert discovery cut-off under Rule 26(a)(2).

1 m. Dispositive motions: A description of the issues or claims that any party believes may be
2 determined by motion for summary judgment or motion in limine.

3
4 n. Settlement: A statement of what settlement discussions or written communications have
5 occurred (excluding any disclosure or discussion of the substantive matters or terms discussed)
6 and a statement pursuant to [Local Rule 16-15.4](#) about selecting a settlement mechanism under
7 that Rule. In light of the Court's participation in the ADR Program, no case will proceed to trial
8 unless all parties with full authority to settle the case – including, as to each corporate party, an
9 officer who has such authority – have appeared personally at a settlement conference. The
10 Court will discuss the proposed ADR procedure with the parties at the time of the Scheduling
11 Conference and will issue the ADR-12 order once the settlement method is selected. For
12 information about the Court's ADR Program, review [General Order 11-10](#), which is located on
13 the "ADR" page of the Court's website.
14

15 o. Trial estimate: A realistic estimate of the time required for trial and whether trial will be by
16 jury or by the Court. Each side should specify (by number, not by name) how many witnesses it
17 contemplates calling. If the time estimate for trial given in the Joint Rule 26(f) Report exceeds
18 five (5) court days, counsel shall be prepared to discuss in detail the estimate.

19 p. Trial counsel: The name(s) of the attorney(s) who will try the case, including those who will be
20 lead trial counsel.

21 q. Independent Expert or Master: Whether this is a case in which the Court should consider
22 appointing a Master pursuant to Rule 53 or an independent scientific expert.

23
24 r. Timetable: Please complete the Schedule of Pretrial and Trial Dates form attached as
25 Exhibit A to this Order (Exhibit C-1) and attach it to the Joint Rule 16(b)/26(f) Report. The
26 entries in the "Weeks Before Trial" column reflect what the Court believes are appropriate for
27 most cases and will allow the Court to rule on potentially dispositive motions sufficiently far in
28 advance of the pretrial conference. The form is designed to enable counsel to ask the Court to

1 set different last dates by which the key requirements must be completed. Each side should fill
2 in the month, day, and year it requests for each event. *E.g.*, for the expert discovery cut-off it
3 might be “10/7/12” for plaintiff and “10/28/12” for defendant, if they cannot agree. Each entry
4 proposing a Court date shall be on a Monday, except the trial date, which will be a Tuesday.
5 Counsel should ensure that requested dates do not fall on a court holiday. At the conference,
6 the Court will review this form with counsel in determining the dates that will be set in the case.
7

8 The cut-off date for motions is the last date on which motions may be heard, not filed. The Court
9 is not likely to continue this date, and will not do so unless the trial date is also continued.

10 Counsel shall refer to the Court’s “Order on Court/Jury Trial.” See Exhibit D. This Order will set
11 forth all pre-trial and trial obligations of counsel, and, where applicable, the deadlines for each.

12 s. Other issues: A statement of any other issues affecting the status or management of the
13 case (*e.g.*, unusually complicated technical or technological issues, disputes over protective
14 orders, extraordinarily voluminous document production, non-English speaking witnesses, ADA-
15 related issues, discovery in foreign jurisdictions) and any proposals concerning severance,
16 bifurcation, or other ordering of proof.

17 t. Patent cases: Propose dates and methodology for claim construction and *Markman*
18 hearings. See *also* Exhibit B.

19 u. Do the parties wish to have a Magistrate Judge preside? Under 28 U.S.C. § 636, the
20 parties may consent to have a Magistrate Judge preside over an entire action, not just
21 discovery. See [General Order 12-01](#) and [General Order 12-02](#). The parties may select a
22 Magistrate Judge from the Voluntary Consent List and comply with the requirements outlined in
23 [General Order 12-01](#) and [General Order 12-02](#) and those outlined on the Court’s website:
24 [http://www.cacd.uscourts.gov/judges-requirements/court-programs/voluntary-consent-list-civil-](http://www.cacd.uscourts.gov/judges-requirements/court-programs/voluntary-consent-list-civil-cases-magistrate-judges-program)
25 [cases-magistrate-judges-program.](http://www.cacd.uscourts.gov/judges-requirements/court-programs/voluntary-consent-list-civil-cases-magistrate-judges-program)
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1 **2. Scheduling Conference.** The Scheduling Conference will be held in Courtroom 750 of
2 the Roybal Federal Courthouse at 255 East Temple Street. Counsel shall comply with the
3 following with respect to the Scheduling Conference:
4

5 a. **Participation.** The lead trial attorney for each party shall attend the Scheduling
6 Conference unless such counsel is engaged in trial, on vacation, or excused for good cause
7 following a written request addressed to the Clerk in advance of the Scheduling Conference.

8 b. **Continuance.** A continuance of the Scheduling Conference will be granted only for good
9 cause, following a written request with a proposed order to be e-filed at least one (1) week in
10 advance of the Scheduling Conference.

11 c. **Use of Conference Telephone.** In general, the Court prefers in-person appearances.
12 However, if one or more of the lead counsel has his or her office outside of Los Angeles County,
13 or under other appropriate circumstances, the Court may, upon the request of one or more
14 counsel, conduct the status conference by conference telephone call. Any request for a
15 telephonic appearance must be made at least three (3) court days before the scheduled hearing
16 by contacting the Courtroom Deputy Clerk for further instructions. The Court does not entertain
17 a telephonic appearance if the Scheduling Conference is set at the same date and time as a
18 motion unless it is an exceptional and unanticipated circumstance. Any request for a telephonic
19 appearance due to such circumstances must be e-filed at least five (5) court days before the
20 scheduled hearing and shall include a declaration from counsel setting forth the basis for the
21 request with a proposed order. The Court does not use Court Call. If the request is granted, the
22 order will include the information for the call. Please note that cell phones or the use of speaker
23 phones are not permitted for any telephonic appearance.
24

25 **3. Protective Orders.** If you seek a protective order, please use your best efforts to
26 propose it to opposing counsel before the Scheduling Conference.
27
28

1 **4. Notice to be Provided by Counsel.** Plaintiff's counsel or, if plaintiff is self-represented,
2 defendant's counsel, shall serve this Order on any parties who first appear after the date of this
3 Order and to parties who are known to exist but have not yet entered appearances.
4

5 **5. Disclosures to Clients.** Counsel are ordered to deliver to their respective clients a copy
6 of this Order and of the Court's Scheduling and Case Management Order, which will set forth
7 the schedule that the Court establishes at the Scheduling Conference.
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9 **IT IS SO ORDERED.**

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11 JOHN A. KRONSTADT
12 UNITED STATES DISTRICT JUDGE
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EXHIBIT C-1

EXHIBIT A TO ORDER SETTING RULE 16(b)/26(f) SCHEDULING CONFERENCE:
SCHEDULE OF PRETRIAL AND TRIAL DATES FOR:

1. CIVIL CASES
2. PATENT CASES
3. ERISA CASES

EXHIBIT A - SCHEDULE OF PRETRIAL AND TRIAL DATES FOR CIVIL CASES

Case No.:					
Case Name:					
Hearings:					
_____ Jury Trial _____ Court Trial (Tuesday at 9:00 a.m.) Duration Estimate: _____ Days / _____ Weeks	Plaintiff(s) Request	Defendant(s) Request	Court Order		
Final Pretrial Conference and Exhibit Conference: (Monday at 3:00 p.m.) <i>(Two weeks before the trial)</i>					
Deadlines for Bench Trials Only:		Weeks Before Trial	Plaintiff(s) Request	Defendant(s) Request	Court Order
Anticipated Ruling to be Issued by Court	2				
Last Date to File Objections to Direct Testimony Declarations	3				
Last Date to File Direct Testimony Declarations	4				
Deadlines:		Weeks Before Trial	Plaintiff(s) Request	Defendant(s) Request	Court Order
Last Date to Hear Motions (not file) <i>(including discovery motions)</i>	8				
Expert Discovery Cut-Off	8				
Expert Disclosure (Rebuttal)	10				
Expert Disclosure (Initial)	12				
Non-Expert Discovery Cut-Off	14				
Last Date to Add Parties/Amend Pleadings					

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Settlement Procedure Selection: <i>(ADR-12 Form will be completed by Court after scheduling conference)</i>	Plaintiff(s) Request	Defendant(s) Request	Court Order
1. Magistrate Judge 2. Attorney Settlement Officer Panel 3. Outside ADR/Non-Judicial (Private)			
Last day to conduct settlement conference/mediation			
Notice of Settlement / Joint Report re Settlement <i>(10 days before PMSC)</i>			
Post Mediation Status Conference: (Monday at 1:30 pm) <i>(14 days after the last day to conduct settlement)</i>			

EXHIBIT A: SCHEDULE OF PRETRIAL AND TRIAL DATES FOR PATENT CASES

Case No.:	
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Case Name:	
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	Plaintiff's Request	Defendant's Request	Court Order
[] Jury Trial [] Court Trial): (Tuesday at 9:00 a.m.) Time Estimate: ____ Days / ____ Weeks			
Final Pretrial Conference and Status Conference re Exhibits: (Monday at 3:00 p.m.) <i>2 weeks before the trial date</i>			
Post Mediation Status Conference: (Monday at 1:30 p.m.) <i>30 days before the cut-off date</i>			
Last day to conduct a settlement conference/mediation Selection of Settlement Procedure: 1. Magistrate Judge 2. Attorney Settlement Officer Panel 3. Outside ADR/Non-Judicial/Private Neutral Selection: _____			

Deadlines:	Weeks After Listed Event	Plaintiff(s) Request	Defendant(s) Request	Court Order
Infringement Contentions (S.P.R. 2.1, 2.2)	2 (After Order Setting Scheduling Conference)			
Early Meeting of the Parties, Initial Disclosures (S.P.R. 2.3)	4 (After Order Setting Scheduling Conference)			
Joint Rule 26(f) Report (S.P.R. 2.3)	6 (After Order Setting Scheduling Conference)			
Scheduling Conference (S.P.R. 2.4)	[set by Court]			
Invalidity Contentions (S.P.R. 2.5, 2.6)	2 (After Scheduling Conference)			

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Exchange of Claim Terms (S.P.R. 3.1)	4 (After Scheduling Conference)			
Exchange Proposed Constructions and Evidence (S.P.R. 3.2)	6 (After Scheduling Conference)			
Complete Claim Construction Discovery (S.P.R. 3.3)	10 (After Scheduling Conference)			
Joint Markman Prehearing Statement (S.P.R. 3.4)	11 (After Scheduling Conference)			
Simultaneous Opening Markman Briefs (S.P.R. 3.5)	12 (After Scheduling Conference)			
Simultaneously Responding Markman Briefs, Tutorials, and Presentation Materials (S.P.R. 3.5)	14 (After Scheduling Conference)			
Markman Hearing (S.P.R. 3.6)	17 (After Scheduling Conference)			
Markman Decision	[set by Court]			
Patentee Files Final Infringement Contentions, Expert Reports on issues Where Patentee has Burden of Proof, All Parties File Advice of Counsel Disclosures (S.P.R. 4.1, 4.4)	4 (After Markman Decision)			
Accused Infringer Files Final Invalidity Contentions, Rebuttal Expert Reports, and Opening Expert Reports Where Accused Infringer has Burden of Proof (S.P.R. 4.2)	8 (After Markman Decision)			

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Patentee’s Rebuttal Expert Reports on Issues Where Accused Infringer has Burden of Proof (S.P.R. 4.3)	12 (After Markman Decision)			
Discovery Cut-Off (S.P.R. 4.3)	16 (After Markman Decision)			
Last Date to File Dispositive Motions (S.P.R. 4.5)	20 (After Markman Decision)			
Last Date to Hear Motions (not file)	27 (After Markman Decision)			
Last Date to Add Parties/Amend Pleadings				
Settlement Procedure Selection: <i>(ADR-12 Form will be completed by Court after scheduling conference)</i>		Plaintiff(s) Request	Defendant(s) Request	Court Order
1. Magistrate Judge 2. Attorney Settlement Officer Panel 3. Outside ADR/Non-Judicial (Private)				
Last day to conduct settlement conference/mediation				
Notice of Settlement / Joint Report re Settlement <i>(10 days before PMSC)</i>				
Post Mediation Status Conference: (Monday at 1:30 pm) <i>(14 days after the last day to conduct settlement)</i>				

EXHIBIT A - SCHEDULE OF PRETRIAL AND TRIAL DATES FOR ERISA CASES

Case No.:	
Case Name:	

Hearings:	Plaintiff(s) Request	Defendant(s) Request	Court Order
Court Trial/Hearing on Administrative Record: (Tuesday at 9:00 a.m.) Duration Estimate: ____ Days / ____ Weeks			
Final Pretrial Conference: (Monday at 3:00 p.m.) <i>(Two weeks before the trial)</i>			
Simultaneous Responsive Briefs			
Simultaneous Opening Briefs			
Last Date to Add Parties/Amend Pleadings			
Settlement Procedure Selection: <i>(ADR-12 Form will be completed by Court after scheduling conference)</i>	Plaintiff(s) Request	Defendant(s) Request	Court Order
1. Magistrate Judge 2. Attorney Settlement Officer Panel 3. Outside ADR/Non-Judicial (Private)			
Last day to conduct settlement conference/mediation			
Notice of Settlement / Joint Report re Settlement <i>(10 days before PMSC)</i>			
Post Mediation Status Conference: (Monday at 1:30 pm) <i>(14 days after the last day to conduct settlement)</i>			

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EXHIBIT D
ORDER RE JURY/COURT TRIAL FOR CASES ASSIGNED TO JUDGE JOHN A.
KRONSTADT

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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

Plaintiff's Name(s),)	Case No.: _____ JAK (x)
)	
Plaintiff(s),)	ORDER RE JURY/COURT TRIAL FOR
vs.)	CASES ASSIGNED TO JUDGE JOHN A.
)	KRONSTADT
Defendant's Name(s),)	
)	
Defendant(s).)	
)	
)	

A. SCHEDULING:

1. **In General:** The last day for hearing on any motion to join other parties or to amend the pleadings shall be specified in the Scheduling Order. All unserved parties shall be dismissed no later than the date set for the Final Pretrial Conference.

2. **Motions for Summary Judgment or Partial Summary Judgment:** Motions for Summary Judgment or Partial Summary Judgment shall be heard no later than the last day for hearing motions, as set forth in the Scheduling Order.

3. **Settlement Procedures:** It is the policy of the Court to encourage disposition of civil litigation by settlement when such is in the best interest of the parties. The Court favors any reasonable means to accomplish this goal. Pursuant to [Local Rule 16-15](#), in every civil case, the parties shall select a settlement procedure. If counsel have received a Notice to Parties of

1 Court-Directed ADR Program (Form ADR-08), the case presumptively will be referred to the
2 Court Mediation Panel or to private mediation. See [General Order 11-10, §5.1](#) and [Local Rule](#)
3 [26-1\(c\)](#). The Court will discuss the proposed ADR procedure with the parties at the time of the
4 Scheduling Conference and will issue the ADR-12 form once the settlement method is selected.
5 For information about the Court's ADR Program, review [General Order 11-10](#), which is located
6 on the "ADR" page of the Court's website.
7

8 4. **Discovery Cut-Off**: The Scheduling Order establishes a cut-off date for discovery in
9 this action. This is not the date by which discovery requests must be served; it is the date by
10 which all discovery is to be completed. The Court will not approve stipulations between counsel
11 that permit responses to be served after the cut-off date, except in extraordinary circumstances.
12

13 5. **Discovery Motions**: Any motion with respect to the inadequacy of responses to
14 discovery must be heard by the date set for the last day to hear motions. Whenever possible,
15 the Court expects counsel to resolve discovery issues among themselves in a courteous,
16 reasonable, and professional manner. Thus, the Court expects that counsel will strictly adhere
17 to the Civility and Professional Guidelines adopted by the United States District Court for the
18 Central District of California.

19 **B. FINAL PRETRIAL CONFERENCE:**

20 This case has been placed on the calendar for a Final Pretrial Conference pursuant to
21 Fed. R. Civ. P. 16. Strict compliance with the requirements of the Federal Rules of Civil
22 Procedure and the Local Rules is mandatory. Counsel shall file carefully prepared Memoranda
23 of Contentions of Fact and Law (which may also serve as the parties' respective trial briefs) and
24 a Final Pretrial Conference Order in accordance with the provisions of [Local Rules 16-4 and 16-](#)
25 [7](#). The Memoranda of Contentions of Fact and Law will be served no later than twenty-one (21)
26 days before the Pretrial Conference. The Final Pretrial Conference Order will be lodged no later
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1 than eleven (11) days before the Final Pretrial Conference. The form of the Final Pretrial
2 Conference Order will be in the form set forth in [Appendix A](#) to the Local Rules.

3
4 **C. PREPARATION FOR TRIAL**

5 The Court ORDERS that all counsel comply with the following rules in their preparation
6 for trial:

7 1. **Motions In Limine**: All motions in limine will be heard at the Final Pretrial
8 Conference. The purpose of these motions is to alert the Court to significant evidentiary issues
9 that can be addressed and resolved prior to trial. All motions in limine must be filed and served
10 in compliance with [Local Rule 6-1](#). Any oppositions shall be timely e-filed and not exceed ten
11 (10) pages. A reply is not required but shall not be more than five (5) pages, if e-filed. A
12 proposed order is not required to be e-filed with the motion(s).

13
14 2. **Statement of the Case (Jury Trials)**: Counsel shall meet and confer 14 calendar
15 days prior to the Final Pretrial Conference to determine if, in lieu of a Joint Statement of the
16 Case, they will stipulate to having each side make a brief (less than five minute) mini-opening
17 statement to the panel of prospective jurors prior to the commencement of voir dire. Such mini-
18 opening statements are not to be argument, but rather a summary of the evidence that each
19 side intends to present. If all parties do not stipulate to the use of mini-opening statements, they
20 shall meet and confer and seek to reach agreement on a Joint Statement of the Case to be read
21 by the Court to the panel of prospective jurors prior to the commencement of voir dire. Counsel
22 shall file the joint statement of the case no later than ten (10) calendar days prior to the Final
23 Pretrial Conference. If the parties cannot agree to such a joint statement, they shall file a
24 “Disputed Joint Statement of the Case,” which shall include each party’s respective proposed
25 statement, together with a “redline” comparing the statements. The parties shall deliver a
26 courtesy copy pursuant to [Local Rule 5-4.5](#). A final version of the joint statement shall be
27 provided to the Court on the first day of trial.
28

1 3. **Voir Dire (Jury Trials)**: Counsel may submit proposed voir dire questions that are
2 unique to the particular trial. Each party may submit their respective questions ten (10) calendar
3 days prior to the Pretrial Conference.
4

5 4. **Witness Lists**: A witness list shall be prepared in compliance with [Local Rule 16-5](#)
6 and shall be filed no later than ten (10) calendar days prior to the Final Pretrial Conference.
7 Counsel shall submit the names of the witnesses in the order that they are expected to testify,
8 and will provide, to the extent possible, an accurate estimate of the time needed for each
9 witness for direct, cross, redirect and re-cross. Counsel will also provide a brief summary of
10 each witness' testimony. If more than one witness is offered on the same subject, the summary
11 should be sufficiently detailed to allow the Court to determine if the testimony is cumulative.
12

13 Counsel shall set forth the information about the amount of time that is expected for the
14 testimony of each witness on a jointly-prepared document with five columns: (i) name of the
15 witness; (ii) estimated time for direct examination (in hours, with portions thereof stated in
16 decimal form, e.g., "1.5 hours," if the estimate is for one hour and 30 minutes) ; (iii) estimated
17 time for cross-examination; (iv) estimated time for re-direct examination; and (v) total time for
18 the witness. At the bottom of each page the total time for all witnesses on that page shall be
19 stated, with the grand total of all time stated on the final page of the chart.
20

21 At the time of trial, counsel shall provide three (3) copies of the witness lists in the order
22 in which the witnesses will be called to testify and three (3) copies of witness lists in alphabetical
23 order.
24

25 5. **Jury Instructions**: In a jury trial, jury instructions are to be filed no later than ten (10)
26 calendar days prior to the Final Pretrial Conference. The parties shall make every attempt to
27 agree upon jury instructions before submitting proposals to the Court. The Court prefers CACI
28 or Ninth Circuit model instructions. Counsel shall exchange proposed jury instructions (general
and special) twenty-one (21) calendar days prior to the Final Pretrial Conference. Counsel shall

1 exchange any objections to the instructions fourteen (14) calendar days prior to the Final
2 Pretrial Conference. Counsel shall meet and confer with the goal of reaching an agreement on
3 one set of joint jury instructions, which shall be filed no later than ten (10) calendar days before
4 the Final Pretrial Conference. If the parties disagree over any proposed jury instruction(s), the
5 parties shall file: (i) one set of proposed jury instructions to which all parties agree; and (ii) one
6 set of disputed jury instructions, which shall include a “redline” of any disputed language and/or
7 the factual or legal basis for each party’s respective position as to each disputed instruction.
8 Where appropriate, organize the disputed instructions by subject, so that the instructions that
9 address the same or similar issues are presented sequentially. The parties shall deliver a
10 courtesy copy of these documents pursuant to [Local Rule 5-4.5](#). A final “clean” version of the
11 jury instructions, which shall include the pre-instructions and the text of each instruction
12 (eliminating titles, supporting authority, indication of party proposing, etc.) shall be provided to
13 the Court on the first day of trial and sent via email in either Word or Word Perfect to the Court’s
14 Chambers’ email at: jak_chambers@cacd.uscourts.gov.

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17 **6. Verdict Form(s) in Jury Trials:** The parties shall make every attempt to agree upon
18 a verdict form before submitting proposals to the Court. Counsel shall file a proposed verdict
19 form(s) no later than ten (10) calendar days prior to the Final Pretrial Conference. If the parties
20 are unable to agree on a verdict form, the parties shall file one document titled “Competing
21 Verdict Forms” which shall include: (i) the parties’ respective proposed verdict form; (ii) a
22 “redline” of any disputed language; and (iii) the factual or legal basis for each party’s respective
23 position if the entire form is being disputed. The parties shall deliver a courtesy copy of these
24 documents pursuant to [Local Rule 5-4.5](#). A final version of the verdict form shall be provided to
25 the Court on the first day of trial and sent via email in either Word or Word Perfect to the Court’s
26 Chambers’ email at: jak_chambers@cacd.uscourts.gov.

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1 7. **Exhibits:**

2 a. **Exhibit List:** A joint exhibit list will be prepared in compliance with
 3 the example below and [Local Rule 16-6](#).
 4

<u>JOINT EXHIBIT LIST</u>					
Case Name:					
Case Number:					
No. of Exhibit	Description	Stip. to Authen.	Stip. to Admiss.	Date Identified	Date Admitted

5 The joint exhibit list will contain the information required by Fed. R. Civ. P. 26(a)(3)(A).
 6 Lead trial counsel shall meet and confer at least fourteen (14) calendar days before the Final
 7 Pretrial Conference to discuss and seek to agree, to the extent possible, on issues including
 8 foundation, and admissibility of proposed exhibits. A joint exhibit list, the format of which shall
 9 comply with [Local Rule 16-6.1](#), shall be filed ten (10) calendar days prior to the Final Pretrial
 10 Conference. Counsel shall file a “Notice of Disputed Exhibits,” if applicable, which shall set forth
 11 the basis for any disputed exhibit(s). Counsel shall confer so that there are no duplicate exhibits.
 12

13 b. **Exhibit Preparation:** One (1) original (witness copy) and one (1)
 14 copy (bench copy) shall be presented to the Courtroom Deputy Clerk on the first day of trial.
 15 Each binder shall be clearly labeled on the spine to include the case name, party, and volume
 16 number. The Court does not require specific exhibit tags so long as each document is bate-
 17 stamped and separated with a divider that is numbered. Each party shall use a different number
 18 sequence and shall comply with [Local Rule 26-3](#).
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1 8. **(Court Trials): Findings of Fact and Conclusions of Law; Declarations:** For any
2 matter requiring findings of fact and conclusions of law, counsel for each party shall lodge and
3 serve proposed findings of fact and conclusions of law as promptly as possible in compliance
4 with [Local Rule 52](#). In addition, counsel must submit electronic versions (in Word or Word
5 Perfect) to the Court at the following email address: jak_chambers@cacd.uscourts.gov. When
6 ordered by the Court in a particular case, each party shall, at least fourteen (14) calendar days
7 prior to the Final Pretrial Conference, file declarations containing the direct testimony of each
8 witness whom that party intends to call at trial. If such declarations are filed, each party shall file
9 any evidentiary objections to the declaration(s) submitted by any other party at least seven (7)
10 calendar days prior to the Final Pretrial Conference. If any party submits such objections, they
11 shall be submitted in the following three-column format: (i) the left column should contain a
12 verbatim quote of each statement objected to (including page and line number); (ii) the middle
13 column should set forth a concise objection (e.g., hearsay, lacks foundation, etc.) with a citation
14 to the Federal Rules of Evidence or, where applicable, a case citation; and (iii) the right column
15 should provide space for the Court's entry of its ruling on the objection. Counsel shall refer to
16 the Court's Initial Standing Order and Exhibit G for the proper format.

19 **D. ATTORNEY AND PARTY CONDUCT AT TRIAL:**

20 1. **Trial Schedule:** Trials are generally conducted Tuesday through Friday. The Court
21 will adopt a particular time schedule on a case-by-case basis. In general, the schedule will be
22 either: (i) from 8:30 a.m. to 2:30 p.m. with two or three 20-minute breaks; or (ii) from 9:00 a.m. to
23 4:00 p.m., with a 15-minute break in both the morning and the afternoon, and a one-hour lunch
24 break. This schedule may be changed for each trial and during each trial depending on the
25 Court's determination based on the scheduling needs of jurors, witnesses, counsel or the Court.

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1 **2. Trial Conduct:**

2 **a. Jury Selection**

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4 1. The Court will seat as prospective jurors the same number of
5 jurors who will serve at trial. The Court will conduct voir dire of this panel, which may include
6 questions proposed by counsel. After any potential juror is excused for cause, a replacement
7 juror will be placed in the vacant seat and will respond to voir dire. Once a panel is in place to
8 which there are no remaining or unadjudicated “for cause” challenges, counsel for each side will
9 be permitted to exercise their respective peremptory challenge(s) through the Court. Upon the
10 exercise of a peremptory challenge, a replacement juror will be seated, will respond to voir dire
11 and will be subject to a “for cause” challenge before any remaining peremptory challenge is
12 exercised.

13
14 **b. Opening Statements, Examining Witnesses, and Summation**

15 1. At the end of each day, counsel presenting his or her case shall
16 advise opposing counsel of the witnesses expected to testify the following day with an estimate
17 of the length of direct examination for each witness. Opposing counsel shall provide an estimate
18 of the length of cross-examination for each witness. Cooperation of counsel will ensure a
19 smooth flow of witnesses. It is the responsibility of all counsel to arrange the appearance of
20 witnesses in order to avoid delay.

21 2. Opening statements, examination of witnesses, and summation
22 will be from the lectern only. Counsel must not consume time by writing out words or drawing
23 charts or diagrams. Counsel may do so in advance and explain that the item was prepared
24 earlier to save time as ordered by the Court.

25 3. The Court will honor reasonable time estimates for opening and
26 closing presentations to the jury.
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1 4. In jury trials, where a party has more than one lawyer, only one
2 may conduct the direct or cross-examination of a given witness.

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4 5. If a witness is on the stand when a recess is taken, it is
5 counsel's duty to have the witness back on the stand, ready to proceed, when the court session
6 resumes.

7
8 6. If a witness was on the stand at adjournment, it is counsel's
9 duty to have the witness adjacent to, but not on, the stand, ready to proceed when the court
10 session resumes.

11 7. It is counsel's duty to notify the Courtroom Deputy Clerk in
12 advance if any witness should be accommodated in an appropriate manner due to any disability
13 or other physical need.

14 8. The Court attempts to accommodate physicians, scientists, and
15 all other professional witnesses and will, except in extraordinary circumstances, permit them to
16 testify out of order. The Court may do the same with respect to non-party witnesses who have
17 work commitments. Counsel must anticipate any such possibility and discuss it with opposing
18 counsel. If there is objection to having a particular witness called out of order, counsel shall
19 confer with the Court in advance.

20 **c. Objections and General Decorum**

21 1. When objecting, counsel must rise to state the objection and
22 state only that counsel objects and the legal ground for objection. If counsel wishes to argue an
23 objection further, counsel must ask for permission to do so; the Court may or may not grant a
24 request for conference at sidebar. The Court strongly discourages sidebars because they cause
25 an inefficient use of jury time. Instead, evidentiary issues should be anticipated in advance of
26 trial and should be addressed through motions in limine and/or in connection with the rulings on
27 exhibits.
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2. Counsel must not approach the Courtroom Deputy Clerk or the witness stand without specific permission. When permission is given, counsel shall return to the lectern when the purpose of the permission is finished. Counsel must not engage in questioning a witness at the witness stand absent specific approval by the Court.

3. Counsel must address all remarks to the Court. Counsel are not to address the Courtroom Deputy Clerk, the Reporter, persons in the audience, or opposing counsel. If counsel wishes to speak with opposing counsel, counsel must ask permission to talk off the record. Any request for the re-reading of questions or answers shall be addressed to the Court, not to the court reporter.

4. Counsel must not make an offer of stipulation unless counsel already has conferred with opposing counsel and has reason to believe the stipulation will be acceptable.

5. On the first day of trial counsel shall advise the Court of any commitments that may result in counsel's absence or late arrival on any day of the trial.

d. Exhibits

1. Each counsel should keep counsel's own list of exhibits and should keep track when each has been admitted in evidence.

2. Each counsel is responsible for any exhibits that counsel secures from the Courtroom Deputy Clerk and, during all recesses and noontime and afternoon adjournments, counsel must return all exhibits in counsel's possession to the Courtroom Deputy Clerk.

3. An exhibit not previously marked should, at the time of its first mention, be accompanied by a request that the Courtroom Deputy Clerk mark it for identification. To save time, counsel must show a new exhibit to opposing counsel before it is mentioned in Court.

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4. Counsel should move exhibits into evidence as soon as admissibility is established, while they are freshly in the minds of all participants. If there is an objection, the motion to admit will be dealt with at the next available recess. In jury trials, no exhibit shall be read or displayed to the jury until admitted absent a pre-trial stipulation by counsel or a ruling by the Court.

5. Absent unusual circumstances, counsel must not ask witnesses to draw charts or diagrams nor ask the Court's permission for a witness to do so. If counsel wishes to question a witness in connection with graphic aids, the material must be fully prepared before the court session starts.

e. Depositions:

1. All depositions that will be used in the trial, either as evidence or for impeachment, must be signed and lodged with the Courtroom Deputy Clerk on the first day of trial or such earlier date as the Court may order. For any deposition in which counsel is interested, counsel should check with the Courtroom Deputy Clerk to confirm that the Courtroom Deputy Clerk has the transcript and that the transcript is properly signed.

2. In using depositions for impeachment, counsel shall first announce both the beginning and ending page and line references of the passage desired to be read, and allow opposing counsel an opportunity to state any objection. If an objection is made, counsel shall await a ruling before proceeding. In addition, counsel who has not requested the reading shall advise the Court whether counsel requests a ruling as to any objection(s) made on the record or reserved during the deposition testimony at issue. In reading deposition testimony, counsel shall use one of the following procedures:

a. If counsel wishes to read the questions and answers as alleged impeachment and ask the witness no further questions on that subject, counsel may merely read the relevant portions of the deposition into the record.

1
2 b. If counsel wishes to ask the witness further questions on
3 the subject matter (this does not include a question whether the witness's testimony has
4 changed between deposition and trial) the deposition is placed in front of the witness and the
5 witness is told to read silently the pages and lines involved. Then counsel may either ask the
6 witness further questions on the matter and thereafter read the quotations or read the
7 quotations and thereafter ask further questions. Counsel should have an extra copy of the
8 deposition for this purpose.

9 3. Where a witness is absent and the witness' testimony is offered
10 by deposition, please observe the following procedure. A reader should occupy the witness
11 chair and read the testimony of the witness while the examining lawyer asks the questions.

12 f. **Advance Notice of Evidentiary or Difficult Questions**

13
14 If during trial, counsel has reason to anticipate that a difficult and
15 unexpected question of law or evidence will raise legal argument, requiring research and/or
16 briefing, counsel must give the Court advance notice. Counsel are directed to notify the
17 Courtroom Deputy Clerk at the day's adjournment if an unexpected legal issue arises that could
18 not have been foreseen and addressed by a motion in limine (see Fed. R. Evid. 103). To the
19 maximum extent possible, such matters shall be taken outside normal trial hours (*e.g.*, recess,
20 before or after the trial day).

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22 **IT IS SO ORDERED.**

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24 _____
25 JOHN A. KRONSTADT
26 UNITED STATES DISTRICT JUDGE
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EXHIBIT E
STANDING PROTECTIVE ORDER FOR CASES
ASSIGNED TO JUDGE JOHN A. KRONSTADT

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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

Plaintiff's Name(s),)	Case No.: _____ JAK (x)
)	
Plaintiff(s),)	STANDING PROTECTIVE ORDER FOR
vs.)	CASES ASSIGNED TO JUDGE JOHN A.
)	KRONSTADT
Defendant's Name(s),)	
)	
Defendant(s).)	
)	
)	

**NOTE: PURSUANT TO § 1.5 OF THE INITIAL PATENT ORDER FOR CASES
ASSIGNED TO JUDGE JOHN A. KRONSTADT, THIS ORDER SHALL GOVERN
DISCOVERY IN PATENT CASES UNLESS THE COURT ENTERS A DIFFERENT
PROTECTIVE ORDER, WHICH MAY BE ENTERED BY THE ASSIGNED MAGISTRATE
JUDGE WITHOUT FURTHER ORDER FROM THE DISTRICT JUDGE. SUBMISSION OF A
DOCUMENT APPEARING TO BE THIS FORM SHALL BE A CERTIFICATION THAT IT IS
THIS FORM UNLESS CLEARLY NOTED OTHERWISE IN THE TITLE ABOVE WITH THE
WORD "(MODIFIED)."**

1 **1. PURPOSE AND LIMITS OF THIS ORDER**

2 Discovery in this action is likely to involve confidential, proprietary, or private information
3 requiring special protection from public disclosure and from use for any purpose other than this
4 litigation. Thus, the Court enters this Protective Order. This Order does not confer blanket
5 protections on all disclosures or responses to discovery, and the protection it gives from public
6 disclosure and use extends only to the specific material entitled to confidential treatment under
7 the applicable legal principles. This Order does not automatically authorize the filing under seal
8 of material designated under this Order. Instead, the parties must comply with [Local Rule 79-5.1](#)
9 and this Court's Order Re Pilot Program for Under Seal Documents (See Exhibit F) if they seek
10 to file anything under seal. This Order does not govern the use at trial of material designated
11 under this Order.
12

13 **2. DESIGNATING PROTECTED MATERIAL**

14 **2.1 Over-Designation Prohibited.** Any party or non-party who designates
15 information or items for protection under this Order as "CONFIDENTIAL," "HIGHLY
16 CONFIDENTIAL – ATTORNEY EYES ONLY," or "HIGHLY CONFIDENTIAL – SOURCE CODE"
17 (a "designator") must only designate specific material that qualifies under the appropriate
18 standards. To the extent practicable, only those parts of documents, items, or oral or written
19 communications that require protection shall be designated. Designations with a higher
20 confidentiality level when a lower level would suffice are prohibited. Mass, indiscriminate, or
21 routinized designations are prohibited. Unjustified designations expose the designator to
22 sanctions, including the Court's striking all confidentiality designations made by that designator.
23 Designation under this Order is allowed only if the designation is necessary to protect material
24 that, if disclosed to persons not authorized to view it, would cause competitive or other
25 recognized harm. Material may not be designated if it has been made public, or if designation is
26 otherwise unnecessary to protect a secrecy interest. If a designator learns that information or
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1 items that it designated for protection do not qualify for protection at all or do not qualify for the
2 level of protection initially asserted, that designator must promptly notify all parties that it is
3 withdrawing the mistaken designation.
4

5 **2.2 Manner and Timing of Designations.** Designation under this Order
6 requires the designator to affix the applicable legend (“CONFIDENTIAL,” “HIGHLY
7 CONFIDENTIAL – ATTORNEY EYES ONLY,” or “HIGHLY CONFIDENTIAL – SOURCE
8 CODE”) to each page that contains protected material. For testimony given in deposition or
9 other proceeding, the designator shall specify all protected testimony and the level of protection
10 being asserted. It may make that designation during the deposition or proceeding, or may
11 invoke, on the record or by written notice to all parties on or before the next business day, a
12 right to have up to 21 days from the deposition or proceeding to make its designation.
13

14 **2.2.1** A party or non-party that makes original documents or materials available
15 for inspection need not designate them for protection until after the inspecting party has
16 identified which material it would like copied and produced. During the inspection and before the
17 designation, all material shall be treated as HIGHLY CONFIDENTIAL – ATTORNEY EYES
18 ONLY. After the inspecting party has identified the documents it wants copied and produced,
19 the producing party must designate the documents, or portions thereof, that qualify for
20 protection under this Order.
21

22 **2.2.2** Parties shall give advance notice if they expect a deposition or other
23 proceeding to include designated material so that the other parties can ensure that only
24 authorized individuals are present at those proceedings when such material is disclosed or
25 used. The use of a document as an exhibit at a deposition shall not in any way affect its
26 designation. Transcripts containing designated material shall have a legend on the title page
27 noting the presence of designated material, and the title page shall be followed by a list of all
28 pages (including line numbers as appropriate) that have been designated, and the level of

1 protection being asserted. The designator shall inform the court reporter of these requirements.
2 Any transcript that is prepared before the expiration of the 21-day period for designation shall be
3 treated during that period as if it had been designated HIGHLY CONFIDENTIAL – ATTORNEY
4 EYES ONLY unless otherwise agreed. After the expiration of the 21-day period, the transcript
5 shall be treated only as actually designated.
6

7 **2.3 Inadvertent Failures to Designate.** An inadvertent failure to designate
8 does not, standing alone, waive protection under this Order. Upon timely assertion or correction
9 of a designation, all recipients must make reasonable efforts to ensure that the material is
10 treated according to this Order.

11 **3. CHALLENGING CONFIDENTIALITY DESIGNATIONS**

12 All challenges to confidentiality designations shall proceed under [Local Rule 37-1](#)
13 through [Local Rule 37-4](#).
14

15 **4. ACCESS TO DESIGNATED MATERIAL**

16 **4.1 Basic Principles.** A receiving party may use designated material only for
17 this litigation. Designated material may be disclosed only to the categories of persons and under
18 the conditions described in this Order.

19 **4.2 Disclosure of CONFIDENTIAL Material Without Further Approval.**

20 Unless otherwise ordered by the Court or permitted in writing by the designator, a receiving
21 party may disclose any material designated CONFIDENTIAL only to:

22 **4.2.1** The receiving party's outside counsel of record in this action and
23 employees of outside counsel of record to whom disclosure is reasonably necessary;

24 **4.2.2** The officers, directors, and employees of the receiving party to whom
25 disclosure is reasonably necessary, and who have signed the Agreement to Be Bound (Exhibit
26 E-1);
27
28

1 **4.2.3** Experts retained by the receiving party's outside counsel of record to
2 whom disclosure is reasonably necessary, and who have signed the Agreement to Be Bound
3 (Exhibit E-1);
4

5 **4.2.4** The Court and its personnel;

6 **4.2.5** Outside court reporters and their staff, professional jury or trial
7 consultants, and professional vendors to whom disclosure is reasonably necessary, and who
8 have signed the Agreement to Be Bound (Exhibit E-1);

9 ///

10 **4.2.6** During their depositions, witnesses in the action to whom disclosure is
11 reasonably necessary and who have signed the Agreement to Be Bound (Exhibit E-1); and

12 **4.2.7** The author or recipient of a document containing the material, or a
13 custodian or other person who otherwise possessed or knew the information.
14

15 **4.3 Disclosure of HIGHLY CONFIDENTIAL – ATTORNEY EYES ONLY**
16 **and HIGHLY CONFIDENTIAL – SOURCE CODE Material Without Further Approval.** Unless
17 permitted in writing by the designator, a receiving party may disclose material designated
18 HIGHLY CONFIDENTIAL – ATTORNEY EYES ONLY or HIGHLY CONFIDENTIAL – SOURCE
19 CODE without further approval only to:

20 **4.3.1** The receiving party's outside counsel of record in this action and
21 employees of outside counsel of record to whom it is reasonably necessary to disclose the
22 information;

23 **4.3.2** The Court and its personnel;

24 **4.3.3** Outside court reporters and their staff, professional jury or trial
25 consultants, and professional vendors to whom disclosure is reasonably necessary, and who
26 have signed the Agreement to Be Bound (Exhibit E-1); and
27
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1 **4.3.4** The author or recipient of a document containing the material, or a
2 custodian or other person who otherwise possessed or knew the information.

3
4 **4.4 Procedures for Approving or Objecting to Disclosure of HIGHLY**
5 **CONFIDENTIAL – ATTORNEY EYES ONLY or HIGHLY CONFIDENTIAL – SOURCE CODE**
6 **Material to In-House Counsel or Experts.** Unless agreed to in writing by the designator:

7 **4.4.1** A party seeking to disclose to in-house counsel any material designated
8 HIGHLY CONFIDENTIAL – ATTORNEY EYES ONLY must first make a written request to the
9 designator providing the full name of the in-house counsel, the city and state of such counsel's
10 residence, and such counsel's current and reasonably foreseeable future primary job duties and
11 responsibilities in sufficient detail to determine present or potential involvement in any
12 competitive decision-making. In-house counsel are not authorized to receive material
13 designated HIGHLY CONFIDENTIAL – SOURCE CODE.
14

15 **4.4.2** A party seeking to disclose to an expert retained by outside counsel of
16 record any information or item that has been designated HIGHLY CONFIDENTIAL –
17 ATTORNEY EYES ONLY or HIGHLY CONFIDENTIAL – SOURCE CODE must first make a
18 written request to the designator that (1) identifies the general categories of HIGHLY
19 CONFIDENTIAL – ATTORNEY EYES ONLY or HIGHLY CONFIDENTIAL – SOURCE CODE
20 information that the receiving party seeks permission to disclose to the expert, (2) sets forth the
21 full name of the expert and the city and state of his or her primary residence, (3) attaches a copy
22 of the expert's current resume, (4) identifies the expert's current employer(s), (5) identifies each
23 person or entity from whom the expert has received compensation or funding for work in his or
24 her areas of expertise (including in connection with litigation) in the past five years, and (6)
25 identifies (by name and number of the case, filing date, and location of court) any litigation
26 where the expert has offered expert testimony, including by declaration, report, or testimony at
27 deposition or trial, in the past five years. If the expert believes any of this information at (4) - (6)
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1 is subject to a confidentiality obligation to a third party, then the expert should provide whatever
2 information the expert believes can be disclosed without violating any confidentiality
3 agreements, and the party seeking to disclose the information to the expert shall be available to
4 meet and confer with the designator regarding any such confidentiality obligations.
5

6 **4.4.3** A party that makes a request and provides the information specified in
7 paragraphs 4.4.1 or 4.4.2 may disclose the designated material to the identified in-house
8 counsel or expert unless, within seven days of delivering the request, the party receives a
9 written objection from the designator providing detailed grounds for the objection.

10 **4.4.4** All challenges to objections from the designator shall proceed under [Local](#)
11 [Rule 37-1](#) through [Local Rule 37-4](#).

12 **5. SOURCE CODE**

13 **5.1 Designation of Source Code.** If production of source code is necessary,
14 a party may designate it as HIGHLY CONFIDENTIAL – SOURCE CODE if it is, or includes,
15 confidential, proprietary, or trade secret source code.
16

17 **5.2 Location and Supervision of Inspection.** Any HIGHLY CONFIDENTIAL
18 – SOURCE CODE produced in discovery shall be made available for inspection, in a format
19 allowing it to be reasonably reviewed and searched, during normal business hours or at other
20 mutually agreeable times, at an office of the designating party's counsel or another mutually
21 agreeable location. The source code shall be made available for inspection on a secured
22 computer in a secured room, and the inspecting party shall not copy, remove, or otherwise
23 transfer any portion of the source code onto any recordable media or recordable device. The
24 designator may visually monitor the activities of the inspecting party's representatives during
25 any source code review, but only to ensure that there is no unauthorized recording, copying, or
26 transmission of the source code.
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1 **5.3 Paper Copies of Source Code Excerpts.** The inspecting party may
2 request paper copies of limited portions of source code that are reasonably necessary for the
3 preparation of court filings, pleadings, expert reports, other papers, or for deposition or trial. The
4 designator shall provide all such source code in paper form, including Bates numbers and the
5 label "HIGHLY CONFIDENTIAL – SOURCE CODE."
6

7 **5.4 Access Record.** The inspecting party shall maintain a record of any
8 individual who has inspected any portion of the source code in electronic or paper form, and
9 shall maintain all paper copies of any printed portions of the source code in a secured, locked
10 area. The inspecting party shall not convert any of the information contained in the paper copies
11 into any electronic format other than for the preparation of a pleading, exhibit, expert report,
12 discovery document, deposition transcript, or other Court document. Any paper copies used
13 during a deposition shall be retrieved at the end of each day and must not be left with a court
14 reporter or any other unauthorized individual.
15

16 **6. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED**
17 **IN OTHER LITIGATION**

18 **6.1 Subpoenas and Court Orders.** This Order in no way excuses non-
19 compliance with a lawful subpoena or court order. The purpose of the duties described in this
20 section is to alert the interested parties to the existence of this Order and to give the designator
21 an opportunity to protect its confidentiality interests in the court where the subpoena or order
22 issued.
23

24 **6.2 Notification Requirement.** If a party is served with a subpoena or a court
25 order issued in other litigation that compels disclosure of any information or items received by
26 that party in this action and designated in this action as CONFIDENTIAL, HIGHLY
27 CONFIDENTIAL – ATTORNEY EYES ONLY, or HIGHLY CONFIDENTIAL – SOURCE CODE,
28 that party must do the following.

1 **6.2.1** Promptly notify the designator in writing. Such notification shall include a
2 copy of the subpoena or court order.

3
4 **6.2.2** Promptly notify in writing the party who caused the subpoena or order to
5 issue in the other litigation that some or all of the material covered by the subpoena or order is
6 subject to this Order. Such notification shall include a copy of this Order.

7 **6.2.3** Cooperate with all reasonable procedures sought by the designator
8 whose material may be affected.

9 **6.3 Wait For Resolution of Protective Order.** If the designator promptly
10 seeks a protective order, the party served with the subpoena or court order shall not produce
11 any information designated in this action as CONFIDENTIAL, HIGHLY CONFIDENTIAL –
12 ATTORNEY EYES ONLY or HIGHLY CONFIDENTIAL – SOURCE CODE before a
13 determination by the court where the subpoena or order issued, unless the party has obtained
14 the designator's permission. The designator shall bear the burden and expense of seeking
15 protection of its confidential material in that court.
16

17 **7. UNAUTHORIZED DISCLOSURE OF DESIGNATED MATERIAL**

18 If a receiving party learns that, by inadvertence or otherwise, it has disclosed designated
19 material to any person or in any circumstance not authorized under this Order, it must
20 immediately (1) notify in writing the designator of the unauthorized disclosures, (2) use its best
21 efforts to retrieve all unauthorized copies of the designated material, (3) inform the person or
22 persons to whom unauthorized disclosures were made of all the terms of this Order, and (4) use
23 reasonable efforts to have such person or persons execute the Agreement to Be Bound (Exhibit
24 E-1).
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1 **8. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE**
2 **PROTECTED MATERIAL**
3

4 When a producing party gives notice that certain inadvertently produced material is
5 subject to a claim of privilege or other protection, the obligations of the receiving parties are
6 those set forth in Fed. R. Civ. P. 26(b)(5)(B). This provision is not intended to modify whatever
7 procedure may be established in an e-discovery order that provides for production without prior
8 privilege review pursuant to Fed. R. Evid. 502(d) and (e).

9 **9. FILING UNDER SEAL**
10

11 Without written permission from the designator or a Court order, a party may not file in
12 the public record in this action any designated material. A party seeking to file under seal any
13 designated material must comply with [Local Rule 79-1](#). Filings may be made under seal only
14 pursuant to a court order authorizing the sealing of the specific material at issue. The fact that a
15 document has been designated under this Order is insufficient to justify filing under seal.
16 Instead, parties must explain the basis for confidentiality of each document sought to be filed
17 under seal. Because a party other than the designator will often be seeking to file designated
18 material, cooperation between the parties in preparing, and in reducing the number and extent
19 of, requests for under seal filing is essential. If a **receiving party's** request to file designated
20 material under seal pursuant to [Local Rule 79-5.1](#) is denied by the Court, then the receiving
21 party **may file the material in the public record** unless (1) **the designator** seeks
22 reconsideration within four days of the denial, or (2) as otherwise instructed by the Court.
23

24 **10. FINAL DISPOSITION**
25

26 Within 60 days after the final disposition of this action, each party shall return all
27 designated material to the designator or destroy such material, including all copies, abstracts,
28 compilations, summaries, and any other format reproducing or capturing any designated
material. The receiving party must submit a written certification to the designator by the 60-day

1 deadline that (1) identifies (by category, where appropriate) all the designated material that was
2 returned or destroyed, and (2) affirms that the receiving party has not retained any copies,
3 abstracts, compilations, summaries, or any other format reproducing or capturing any of the
4 designated material. This provision shall not prevent counsel from retaining an archival copy of
5 all pleadings, motion papers, trial, deposition, and hearing transcripts, legal memoranda,
6 correspondence, deposition and trial exhibits, expert reports, attorney work product, and
7 consultant and expert work product, even if such materials contain designated material. Any
8 such archival copies remain subject to this Order.
9

10
11 **IT IS SO ORDERED.**

12 Dated:

13 _____
14 [NAME]
15 UNITED STATES DISTRICT/MAGISTRATE JUDGE
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EXHIBIT E-1

AGREEMENT TO BE BOUND

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4 I, _____ [print or type full name], of _____
5 [print or type full address], declare under penalty of perjury that I have read in its entirety and
6 understand the Protective Order that was issued by the United States District Court for the
7 Central District of California on _____ [date] in the case of _____ **[insert formal**
8 **name of the case and the number and initials assigned to it by the court]**. I agree to
9 comply with and to be bound by all the terms of this Protective Order, and I understand and
10 acknowledge that failure to so comply could expose me to sanctions and punishment for
11 contempt. I solemnly promise that I will not disclose in any manner any information or item that
12 is subject to this Protective Order to any person or entity except in strict compliance with this
13 Order.
14

15 I further agree to submit to the jurisdiction of the United States District Court for the
16 Central District of California for the purpose of enforcing this Order, even if such enforcement
17 proceedings occur after termination of this action.

18 I hereby appoint _____ [print or type full name] of
19 _____ [print or type full address and telephone
20 number] as my California agent for service of process in connection with this action or oh any
21 proceedings related to enforcement of this Order.
22

23 Date: _____

24 City and State where sworn and signed: _____

25 Printed name: _____

26 [printed name]

27 Signature: _____

28 [signature]

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EXHIBIT F

ORDER RE PILOT PROGRAM FOR UNDER SEAL DOCUMENTS

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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

Plaintiff's Name(s),)	Case No.: _____ JAK (x)
)	
Plaintiff(s),)	ORDER RE PILOT PROGRAM FOR UNDER
vs.)	SEAL DOCUMENTS
)	
Defendant's Name(s),)	
)	
Defendant(s).)	
)	
)	

This Court is participating in a pilot program with respect to the submission of all under seal documents. Such documents shall be presented to the Court as follows:

I. FOR REQUESTS TO SEAL DOCUMENTS ONLY, NOT THE APPLICATION AND ORDER:

1. Electronically file the application to seal and the declaration giving notice or proof of service.

Attach a proposed order to the electronically filed application (standard procedure for filing application with a proposed order pursuant to [Local Rule 52-4.1](#)).

3. Indicate which of the three following actions will be taken if the application is denied:

- 1 a. Counsel will file the document(s) in their entirety for public view and
- 2 consideration by the Court;
- 3
- 4 b. Counsel will contact the Courtroom Deputy Clerk to pick up the chambers'
- 5 cop(ies) of the document(s) within 24 hours; or
- 6 c. Counsel will request that the Courtroom Deputy Clerk destroy the
- 7 chambers' cop(ies) of the document(s).

8 Note: If counsel opt for (b) above and do not contact the Courtroom
9 Deputy Clerk within 24 hours, the documents will be destroyed.

- 10 4. After electronically filing the application and proof of service, send an e-mail to :
- 11 jak_chambers@cacd.uscourts.gov with an attachment containing: (i) an Adobe
- 12 PDF version of the application to seal and declaration giving notice or proof of
- 13 service; (ii) a Word or WP version of the proposed order (including the proposed
- 14 action to be taken if the application is denied (see 3., above)); and (iii) an Adobe
- 15 PDF of the document(s) to be filed under seal with a caption page clearly marked
- 16 "UNDER SEAL." The subject line of the email should include: (a) the case
- 17 number; (b) the name of the represented party; and (c) the words "UNDER SEAL
- 18 REQUEST." If the size of the email requires a second email that is a continuation
- 19 of the under seal document(s), the subject line shall also include "Part 1" or "Part
- 20 2," etc.
- 21
- 22 5. A non-blue backed, tabbed (if appropriate) mandatory paper chambers' copy of
- 23 the document(s) listed above (together in one envelope) must be delivered to this
- 24 Court's courtesy box, outside of Room 181-L, 255 East Temple St., Los Angeles,
- 25 California by noon on the day after submission. The envelope shall include the
- 26 words "COURTESY COPIES FOR UNDER SEAL REQUEST."
- 27
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1 **II. FOR REQUESTS TO SEAL THE APPLICATION, ORDER AND DOCUMENT(S):**

- 2
- 3 1. Electronically file a NOTICE OF MANUAL FILING indicating that the following have
- 4 been submitted to the Court: (i) an application to seal; (ii) a declaration giving notice
- 5 or proof of service; (iii) a proposed order; and (4) the documents to be placed under
- 6 seal.
- 7 2. Send an email to the chambers' email at jak_chambers@cacd.uscourts.gov with an
- 8 attachment containing: (i) an Adobe PDF version of the application to seal and
- 9 declaration giving notice or proof of service; (ii) a Word or WP version of the
- 10 proposed order (including the proposed action to be taken if the application is
- 11 denied); and (iii) an Adobe PDF of the document(s) to be filed under seal with a
- 12 caption page, clearly marked "UNDER SEAL." The subject line of the email should
- 13 include: (a) the case number; (b) the name of the represented party; and (c) the
- 14 words "UNDER SEAL REQUEST." If the size of the email requires a second email
- 15 that is a continuation of the under seal document(s), the subject line shall also
- 16 include "Part 1" or "Part 2," etc.
- 17
- 18 3. A non-blue backed, tabbed (if appropriate) mandatory paper chambers' copy of the
- 19 documents listed above (all in one envelope) must be delivered to this Court's
- 20 courtesy box, outside of Room 181-L, 255 East Temple St., Los Angeles, California
- 21 by noon on the day after submission. The envelope shall include the words
- 22 "COURTESY COPIES FOR UNDER SEAL REQUEST."
- 23

24 **III. OTHER IMPORTANT INFORMATION REGARDING APPLICATIONS TO FILE**

25 **UNDER SEAL:**

- 26 1. All applications must provide the reason(s) why the parties' interest in maintaining
- 27 the confidentiality of the document(s) outweighs the public's right of access to
- 28 materials submitted in connection with a judicial proceeding.

- 1 2. Any sealed document must clearly mark the information that is confidential or
2 privileged via highlighting in color and/or using brackets.
- 3
4 3. All applications must identify which portions of the documents to be filed under seal
5 are confidential.
- 6 4. If a party submits an application to file under seal because all or some of the
7 materials have been designated as confidential by another party pursuant to a
8 protective order, **the application will be denied** as to those materials unless the
9 Court receives, from the party who has designated the documents confidential, a
10 document providing: (i) the reasons the document should be filed under seal; and (ii)
11 the portions within the document that are confidential, within 48 hours from the notice
12 of filing. This document shall be entitled: "ADDENDUM TO APPLICATION TO FILE
13 UNDER SEAL PURSUANT TO PROTECTIVE ORDER."
14
- 15 5. The title of the pleading will be placed on the public docket entry. For example:
16 "Declaration of John Doe, Exhibit A." If approved, the document itself will be sealed
17 and not viewable by the public, but the entry (title) will be indicated in the docket
18 entry, which may be viewed by the public.
- 19 6. Counsel shall adhere to [Local Rule 5-4.3.1](#) with respect to the size of the PDF and,
20 prior to submitting any document(s) to the Court for consideration, shall review each
21 such document to confirm that none is presented with any missing pages.
- 22 7. All PDF documents shall be searchable.
- 23 8. Exhibits can either be attached to the document to which it refers or submitted as a
24 separate Adobe PDF. Any separate filing shall contain a title page pursuant to [Local](#)
25 [Rule 11-3.8](#), which shall indicate the exhibits attached thereto, e.g., "Exhibits 1-10 to
26 Defendant's Motion to Dismiss".
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9. Proposed orders granting or denying a motion are not required.

IT IS SO ORDERED.

JOHN A. KRONSTADT
UNITED STATES DISTRICT JUDGE

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EXHIBIT G

FORMAT FOR EVIDENTIARY OBJECTIONS

EXHIBIT G: FORMAT FOR EVIDENTIARY OBJECTIONS

Declaration/Testimony of _____	Objection	Ruling
<p>Example 1: Entire Declaration of John Smith</p> <p>Start of Deposition.....</p> <p>.....[1. Language subject to objection]</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....[2.</p> <p>Language subject to objection]</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>[3. Language subject to objection]</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>End of Declaration.</p>	<p>1. Eg., Hearsay, cite.</p> <p>2. Eg., Lacks foundation, cite.</p> <p>3. Eg., Hearsay, cite.</p>	<p>1. Sustained / Overruled</p> <p>2. Sustained / Overruled</p> <p>3. Sustained / Overruled</p>

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EXHIBIT H

FORMAT OF SUMMARY CHART TO BE ATTACHED TO MOTIONS FOR ATTORNEY'S FEES

EXHIBIT H: FORMAT FOR ATTORNEY’S FEES SUMMARY CHART

Attorney	Rate	HOURS BY TASK		TOTALS
<u>Attorney 1</u> (position)		Task	Total Hours Spent by Attorney on Task	Hours: Amount:\$
		i.e., Motion to Dismiss		
		Discovery		
		Deposition		
		Task 4		
		Task 5 (etc.)		
<u>Attorney 2</u> (position)		Motion for Summary Judgment		Hours: Amount:\$
		Travel		
		Task 3		
		Task 4		
		Task 5 (etc.)		
<u>Attorney 3</u> (position)		Task 1		Hours: Amount:\$
		Task 2		
		Task 3		
		Task 4		
		Task 5		
Total				Hours: Amount: \$

In addition to the summary chart, the party requesting fees shall submit an Excel spreadsheet that contains all time entries for which fees are sought. Those time entries should be categorized by attorney and task in a manner that corresponds with the summary table provided.