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 SAMSUNG SEMICONDUCTOR, INC., SAMSUNG AUSTIN SEMICONDUCTOR, LLC,  
 16 SAMSUNG ELECTRONICS AMERICA, INC., SAMSUNG TELECOMMUNICATIONS  
 AMERICA, LLC, SAMSUNG TECHWIN CO., LTD., and SAMSUNG OPTO-ELECTRONICS  
 17 AMERICA, INC.

18  
 19 UNITED STATES DISTRICT COURT  
 20 NORTHERN DISTRICT OF CALIFORNIA  
 21 SAN FRANCISCO DIVISION

22 ADVANCED MICRO DEVICES, INC., et al.,

23 Plaintiffs and Counterdefendants,

24 v.

25 SAMSUNG ELECTRONICS CO., LTD., et al.,

26 Defendants and Counterclaimants.  
 27

CASE NO. 3:08-CV-0986-SI

**ANSWER AND COUNTERCLAIMS OF  
 DEFENDANT AND  
 COUNTERCLAIMANT SAMSUNG  
 TECHWIN CO., LTD.  
 DEMAND FOR JURY TRIAL**

1 Defendant and Counterclaimant Samsung Techwin Co., Ltd. (“STW”), by and through its  
2 counsel, answers the First Amended Complaint for Patent Infringement (“Complaint”) filed by  
3 Plaintiffs and Counterdefendants Advanced Micro Devices, Inc. (“AMD”) and ATI Technologies,  
4 ULC (“ATI”) (collectively, “Plaintiffs and Counterdefendants”) as follows:

5 **INTRODUCTION**

6 STW admits that Plaintiffs and Counterdefendants have filed this suit for patent  
7 infringement against STW and six related entities, asserting U.S. Patent No. 5,545,592 (“the ‘592  
8 patent”), U.S. Patent No. 4,737,830 (“the ‘830 patent”), U.S. Patent No. 5,248,893 (“the ‘893  
9 patent”), U.S. Patent No. 5,559,990 (“the ‘990 patent”), U.S. Patent No. 5,377,200 (“the ‘200  
10 patent”), U.S. Patent No. 5,623,434 (“the ‘434 patent”), and U.S. Patent No. 6,784,879 (“the ‘879  
11 patent”) (collectively, “the AMD patents”). STW is without knowledge or information sufficient to  
12 form a belief as to the ownership of the AMD patents and on that basis, denies the allegation as to  
13 ownership. Plaintiffs and Counterdefendants’ allegation regarding what the AMD patents  
14 “generally cover” states a legal conclusion to which STW is not required to respond. Except as  
15 expressly admitted, STW denies the allegations in the Introduction.

16 **THE PARTIES**

- 17 1. STW admits the allegations of paragraph 1 of the Complaint.  
18 2. STW admits the allegations of paragraph 2 of the Complaint.  
19 3. STW admits the allegations of paragraph 3 of the Complaint.  
20 4. STW is without sufficient information to admit or deny the allegations of paragraph  
21 4 of the Complaint and on that basis denies them.  
22 5. STW is without sufficient information to admit or deny the allegations of paragraph  
23 5 of the Complaint and on that basis denies them.  
24 6. STW is without sufficient information to admit or deny the allegations of paragraph  
25 6 of the Complaint and on that basis denies them.  
26 7. STW is without sufficient information to admit or deny the allegations of paragraph  
27 7 of the Complaint and on that basis denies them.  
28 8. STW admits the allegations of paragraph 8 of the Complaint.

1 9. STW admits the allegations of paragraph 9 of the Complaint.

2 **JURISDICTION**

3 10. STW admits that Plaintiffs and Counterdefendants purport to bring an action for  
4 patent infringement. The remaining allegations of paragraph 10 of the Complaint state legal  
5 conclusions, and STW is not required to, and does not, admit or deny such allegations.

6 11. STW denies that Defendants and Counterclaimants have committed acts of patent  
7 infringement. To the extent that the remaining allegations of paragraph 11 of the Complaint state  
8 legal conclusions, STW is not required to, and does not, admit or deny such allegations. To the  
9 extent the remaining allegations of paragraph 11 of the Complaint do not state legal conclusions,  
10 STW is without sufficient information to admit or deny such allegations and on that basis denies  
11 them.

12 **VENUE**

13 12. STW denies that SSI has committed acts of patent infringement. To the extent that  
14 the remaining allegations of paragraph 12 of the Complaint state legal conclusions, STW is not  
15 required to, and does not, admit or deny such allegations. To the extent the remaining allegations  
16 of paragraph 12 of the Complaint do not state legal conclusions, STW is without sufficient  
17 information to admit or deny such allegations and on that basis denies them.

18 **INTRADISTRICT ASSIGNMENT**

19 13. STW admits that Plaintiffs and Counterdefendants purport to bring an action for  
20 patent infringement. The remaining allegations of paragraph 13 of the Complaint state a legal  
21 conclusion which STW is not required to, and does not, admit or deny.

22 **FACTUAL BACKGROUND**

23 14. STW is without knowledge or information to form a belief as to the truth of the  
24 allegations of paragraph 14 of the Complaint and, on that basis, denies them.

25 (a) STW denies that the '592 patent was duly and legally issued. STW admits that the  
26 face of the '592 patent indicates that it issued from an application filed on February 24, 1995 and  
27 that the purported inventor listed on the face of the patent is John A. Iacononi. To the extent the  
28 remaining allegations of paragraph 14(a) of the Complaint state legal conclusions, STW is not

1 required to, and does not, admit or deny such allegations. To the extent not expressly admitted,  
2 STW denies the allegations of paragraph 14(a) of the Complaint.

3 (b) STW denies that the '830 patent was duly and legally issued. STW admits that the  
4 face of the '830 patent indicates that it issued from an application filed on January 8, 1986 and that  
5 one of the purported inventors listed on the face of the patent is Bharat D. Patel. To the extent the  
6 remaining allegations of paragraph 14(b) of the Complaint state legal conclusions, STW is not  
7 required to, and does not, admit or deny such allegations. To the extent not expressly admitted,  
8 STW denies the allegations of paragraph 14(b) of the Complaint.

9 (c) STW denies that the '893 patent was duly and legally issued. STW admits that the  
10 face of the '893 patent indicates that it issued from an application filed on January 5, 1993 and that  
11 the purported inventor listed on the face of the patent is Shinichi Sakamoto. To the extent the  
12 remaining allegations of paragraph 14(c) of the Complaint state legal conclusions, STW is not  
13 required to, and does not, admit or deny such allegations. To the extent not expressly admitted,  
14 STW denies the allegations of paragraph 14(c) of the Complaint.

15 (d) STW denies that the '990 patent was duly and legally issued. STW admits that the  
16 face of the '990 patent indicates that it issued from an application filed on September 24, 1996 and  
17 that one of the purported inventors listed on the face of the patent is Pearl P. Cheng. To the extent  
18 the remaining allegations of paragraph 14(d) of the Complaint state legal conclusions, STW is not  
19 required to, and does not, admit or deny such allegations. To the extent not expressly admitted,  
20 STW denies the allegations of paragraph 14(d) of the Complaint.

21 (e) STW denies that the '200 patent was duly and legally issued. STW admits that the  
22 face of the '200 patent indicates that it issued from an application filed on August 27, 1992 and that  
23 the purported inventor listed on the face of the patent is Michael D. Pedneau. To the extent the  
24 remaining allegations of paragraph 14(e) of the Complaint state legal conclusions, STW is not  
25 required to, and does not, admit or deny such allegations. To the extent not expressly admitted,  
26 STW denies the allegations of paragraph 14(e) of the Complaint.

27 (f) STW denies that the '434 patent was duly and legally issued. STW admits that the  
28 face of the '434 patent indicates that it issued from an application filed on July 27, 1994, and that

1 the purported inventor listed on the face of the patent is Stephen C. Purcell. To the extend the  
2 remaining allegations of paragraph 14(f) of the Complaint state legal conclusions, SEC is not  
3 required to, and does not, admit or deny such allegations. To the extent not expressly admitted,  
4 STW denies the allegations of paragraph 14(f) of the Complaint.

5 (g) STW denies that the '879 patent was duly and legally issued. STW admits that the  
6 face of the '879 patent indicates that it issued from an application filed on July 14, 1997 and that  
7 the purported inventor listed on the face of the patent is Stephen Jonathan Orr. To the extent the  
8 remaining allegations of paragraph 14(g) of the Complaint state legal conclusions, STW is not  
9 required to, and does not, admit or deny such allegations. To the extent not expressly admitted,  
10 STW denies the allegations of paragraph 14(g) of the Complaint.

11 15. STW denies the allegations of paragraph 15 of the Complaint.

12 16. To the extent the allegations of paragraph 16 of the Complaint state legal  
13 conclusions, STW is not required to, and does not, admit or deny such allegations. STW denies that  
14 STW had prior actual notice of the '592 patent no later than April 2006. STW denies that STW had  
15 prior actual notice of the '830 patent no later than March 31, 2003. STW is without knowledge or  
16 information sufficient to form a belief as to when Defendants other than STW received actual  
17 notice of the AMD patents and on that basis, denies the allegations as to actual notice of the AMD  
18 patents to those other Defendants.

19 17. STW denies the allegations of paragraph 17 of the Complaint and each of its  
20 subparts.

21 18. STW denies the allegations of paragraph 18 of the Complaint.

22 **RESPONSE TO FIRST CLAIM FOR RELIEF**

23 19. STW incorporates by reference its above responses to paragraphs 1 through 18 of the  
24 Complaint as if specifically set forth herein.

25 20. STW denies the allegations of paragraph 20 of the Complaint.

26 21. STW denies the allegations of paragraph 21 of the Complaint.

27 22. STW denies that STW had actual notice of the '592 patent since at least as early as  
28 April 2006. STW denies that AMD presented STW with a detailed PowerPoint presentation

1 outlining how Defendants' products specifically infringed claims of the '592 patent. STW denies  
2 that Plaintiffs demanded that STW license the '592 patent. STW is without knowledge or  
3 information sufficient to form a belief as to when Defendants other than STW received actual  
4 notice of the '592 patent and on that basis, denies the allegations as to actual notice of the '592  
5 patent to those other Defendants.

6 **RESPONSE TO SECOND CLAIM FOR RELIEF**

7 23. STW incorporates by reference its above responses to paragraphs 1 through 22 of the  
8 Complaint as if specifically set forth herein.

9 24. STW denies the allegations of paragraph 24 of the Complaint.

10 25. STW denies the allegations of paragraph 25 of the Complaint.

11 26. STW denies that STW had actual notice of the '830 patent since at least as early as  
12 March 31, 2003. STW denies that AMD presented STW with a detailed PowerPoint presentation  
13 outlining how Defendants' products specifically infringed claims of the '830 patent. STW denies  
14 that Plaintiffs demanded that STW license the '830 patent. STW is without knowledge or  
15 information sufficient to form a belief as to when Defendants other than STW received actual  
16 notice of the '830 patent and on that basis, denies the allegations as to actual notice of the '830  
17 patent to those other Defendants.

18 **RESPONSE TO THIRD CLAIM FOR RELIEF**

19 27. STW incorporates by reference its above responses to paragraphs 1 through 26 of the  
20 Complaint as if specifically set forth herein.

21 28. STW denies the allegations of paragraph 28 of the Complaint.

22 29. STW denies the allegations of paragraph 29 of the Complaint.

23 **RESPONSE TO FOURTH CLAIM FOR RELIEF**

24 30. STW incorporates by reference its above responses to paragraphs 1 through 29 of the  
25 Complaint as if specifically set forth herein.

26 31. STW denies the allegations of paragraph 31 of the Complaint.

27 32. STW denies the allegations of paragraph 32 of the Complaint.

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**RESPONSE TO FIFTH CLAIM FOR RELIEF**

33. STW incorporates by reference its above responses to paragraphs 1 through 32 of the Complaint as if specifically set forth herein.

34. STW denies the allegations of paragraph 34 of the Complaint.

35. STW denies the allegations of paragraph 35 of the Complaint.

**RESPONSE TO SIXTH CLAIM FOR RELIEF**

36. STW incorporates by reference its above responses to paragraphs 1 through 35 of the Complaint as if specifically set forth herein.

37. STW denies the allegations of paragraph 37 of the Complaint.

38. STW denies the allegations of paragraph 38 of the Complaint.

**RESPONSE TO SEVENTH CLAIM FOR RELIEF**

39. STW incorporates by reference its above responses to paragraphs 1 through 38 of the Complaint as if specifically set forth herein.

40. STW denies the allegations of paragraph 40 of the Complaint.

41. STW denies the allegations of paragraph 41 of the Complaint.

**RESPONSE TO PRAYER FOR RELIEF**

STW denies that Plaintiffs and Counterdefendants are entitled to any relief whatsoever from STW, either as prayed or otherwise.

**AFFIRMATIVE DEFENSES**

42. By alleging the matters set forth below as affirmative defenses, STW does not thereby allege or admit that STW has the burden of proof with respect to any of said matters.

43. For its further and separate defense to Plaintiffs and Counterdefendants' Claims and each purported cause of action therein, STW alleges as follows:

**FIRST AFFIRMATIVE DEFENSE**

**(Non-Infringement of the '592 patent)**

44. STW has not and is not infringing, contributing to the infringement of, and/or inducing infringement of any valid, enforceable claim of the '592 patent.

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**SECOND AFFIRMATIVE DEFENSE**

**(Non-Infringement of the '830 patent)**

45. STW has not and is not infringing, contributing to the infringement of, and/or inducing infringement of any valid, enforceable claim of the '830 patent.

**THIRD AFFIRMATIVE DEFENSE**

**(Non-Infringement of the '893 patent)**

46. STW has not and is not infringing, contributing to the infringement of, and/or inducing infringement of any valid, enforceable claim of the '893 patent.

**FOURTH AFFIRMATIVE DEFENSE**

**(Non-Infringement of the '990 patent)**

47. STW has not and is not infringing, contributing to the infringement of, and/or inducing infringement of any valid, enforceable claim of the '990 patent.

**FIFTH AFFIRMATIVE DEFENSE**

**(Non-Infringement of the '200 patent)**

48. STW has not and is not infringing, contributing to the infringement of, and/or inducing infringement of any valid, enforceable claim of the '200 patent.

**SIXTH AFFIRMATIVE DEFENSE**

**(Non-Infringement of the '434 patent)**

49. STW has not and is not infringing, contributing to the infringement of, and/or inducing infringement of any valid, enforceable claim of the '434 patent.

**SEVENTH AFFIRMATIVE DEFENSE**

**(Non-Infringement of the '879 patent)**

50. STW has not and is not infringing, contributing to the infringement of, and/or inducing infringement of any valid, enforceable claim of the '879 patent.



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**EIGHTH AFFIRMATIVE DEFENSE**

**(Invalidity of the '592 patent)**

51. Each claim of the '592 patent is invalid for failure to meet the statutory and decisional requirements for patentability, including, but not limited to, 35 U.S.C. §§ 101-103 and/or 112.

**NINTH AFFIRMATIVE DEFENSE**

**(Invalidity of the '830 patent)**

52. Each claim of the '830 patent is invalid for failure to meet the statutory and decisional requirements for patentability, including, but not limited to, 35 U.S.C. §§ 101-103 and/or 112.

**TENTH AFFIRMATIVE DEFENSE**

**(Invalidity of the '893 patent)**

53. Each claim of the '893 patent is invalid for failure to meet the statutory and decisional requirements for patentability, including, but not limited to, 35 U.S.C. §§ 101-103 and/or 112.

**ELEVENTH AFFIRMATIVE DEFENSE**

**(Invalidity of the '990 patent)**

54. Each claim of the '990 patent is invalid for failure to meet the statutory and decisional requirements for patentability, including, but not limited to, 35 U.S.C. §§ 101-103 and/or 112.

**TWELFTH AFFIRMATIVE DEFENSE**

**(Invalidity of the '200 patent)**

55. Each claim of the '200 patent is invalid for failure to meet the statutory and decisional requirements for patentability, including, but not limited to, 35 U.S.C. §§ 101-103 and/or 112.

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**THIRTEENTH AFFIRMATIVE DEFENSE**

**(Invalidity of the '434 patent)**

56. Each claim of the '434 patent is invalid for failure to meet the statutory and decisional requirements for patentability, including but not limited to, 35 U.S.C. §§ 101-103 and/or 112.

**FOURTEENTH AFFIRMATIVE DEFENSE**

**(Invalidity of the '879 patent)**

57. Each claim of the '879 patent is invalid for failure to meet the statutory and decisional requirements for patentability, including, but not limited to, 35 U.S.C. §§ 101-103 and/or 112.

**FIFTEENTH AFFIRMATIVE DEFENSE**

**(Statute of Limitations)**

58. Recovery on Plaintiffs and Counterdefendants' Claims is barred, in whole or in part, by the applicable statute of limitations, 35 U.S.C. § 286.

**SIXTEENTH AFFIRMATIVE DEFENSE**

**(Equitable Doctrines)**

59. Plaintiffs and Counterdefendants' Claims are barred by the equitable doctrines of laches, estoppel, waiver, implied license, and/or unclean hands.

**SEVENTEENTH AFFIRMATIVE DEFENSE**

**(Prosecution History Estoppel)**

60. Plaintiffs' and Counterdefendants' Claims for relief are barred under the doctrine of prosecution history estoppel to the extent that plaintiffs allege infringement under the doctrine of equivalents.

**EIGHTEENTH AFFIRMATIVE DEFENSE**

**(Res Judicata and Collateral Estoppel)**

61. On information and belief, some or all of Plaintiffs' and Counterdefendants' Claims are barred, in whole or in part, by the doctrines of res judicata and/or collateral estoppel.

1 **COUNTERCLAIMS**

2 **THE PARTIES**

3 62. STW is a Korean business entity with a principal place of business at 28, Sungju-  
4 Dong, Changwon-City, Gyeongsangnam-Do, 641-717, Republic of Korea.

5 63. On information and belief, AMD is a Delaware corporation with its principal offices  
6 at One AMD Place, Sunnyvale, California 94085.

7 64. On information and belief, ATI is a subsidiary of AMD and is incorporated in  
8 Alberta, Canada, with its principal place of business at 1 Commerce Valley Drive E, Markham,  
9 Ontario, L3T 7X6, Canada.

10 **JURISDICTION AND VENUE**

11 65. STW's counterclaims do not require the presence of third parties over whom this  
12 Court cannot acquire jurisdiction for adjudication. This Court has subject matter jurisdiction over  
13 these counterclaims pursuant to 28 U.S.C. §§ 1331, 1338(a), 2201, and 2202.

14 66. Venue is proper in this district pursuant to 28 U.S.C. §§ 1391(b), 1391(c), 1391(d),  
15 and 1400(b).

16 **FIRST CLAIM FOR RELIEF**

17 **(Declaratory Judgment of Non-Infringement)**

18 67. STW repeats and realleges each and every allegation set forth in paragraphs 62  
19 through 66 as if fully set forth herein.

20 68. This Claim arises under the Federal Declaratory Relief Act and the Patent Laws of  
21 the United States, and more particularly, under 28 U.S.C. §§ 2201 and 2202 and 35 U.S.C. § 1, *et*  
22 *seq.*

23 69. On February 18, 2008, Plaintiffs and Counterdefendants filed this lawsuit, and on  
24 May 1, 2008, Plaintiffs and Counterdefendants filed their First Amended Complaint adding  
25 allegations that STW infringes the AMD patents.

26 70. A justiciable controversy exists between STW on the one hand and Plaintiffs and  
27 Counterdefendants on the other hand concerning the infringement and validity of the AMD patents.

1 71. STW has not and is not now infringing, contributorily infringing, or inducing  
2 infringement of any of the AMD patents.

3 72. STW is entitled to a declaratory judgment that it has not infringed and does not  
4 infringe directly or indirectly, contributorily or by inducement, any of the AMD patents.

5 **SECOND CLAIM FOR RELIEF**

6 **(Declaratory Judgment of Invalidity)**

7 73. STW repeats and realleges each and every allegation set forth in paragraphs 62  
8 through 72 as if fully set forth herein.

9 74. This Claim arises under the Federal Declaratory Relief Act and the Patent Laws of  
10 the United States, and more particularly, under 28 U.S.C. §§ 2201 and 2202 and 35 U.S.C. § 1, *et*  
11 *seq.*

12 75. On February 18, 2008, Plaintiffs and Counterdefendants filed this lawsuit, and on  
13 May 1, 2008, Plaintiffs and Counterdefendants filed their First Amended Complaint adding  
14 allegations that STW infringes the AMD patents.

15 76. A justiciable controversy exists between STW on the one hand and Plaintiffs and  
16 Counterdefendants on the other hand concerning the infringement and validity of the AMD patents.

17 77. Each claim of the AMD patents is invalid for failure to comply with the conditions  
18 and requirements of patentability set forth in the patent statutes, including 35 U.S.C. §§ 101, 102,  
19 103, and/or 112.

20 78. STW is entitled to a declaratory judgment that the AMD patents are invalid.

21 **PRAAYER FOR RELIEF**

22 Wherefore STW prays for judgment and relief as follows:

23 a. That Plaintiffs and Counterdefendants are not entitled to the relief prayed for in their  
24 Complaint, or to any relief whatsoever; and that the Complaint be dismissed with prejudice;

25 b. That the Court grant STW declaratory judgment that the AMD patents have never  
26 been, and are not now, infringed by STW or by any other person using STW's products, and that  
27 STW has not induced infringement of or contributorily infringed the AMD patents;

28 c. That the Court grant STW declaratory judgment that the AMD patents are invalid;

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- d. That the Court adjudge this to be an “exceptional case” and award SEC attorneys’ fees pursuant to 35 U.S.C. § 285.
- e. That STW be awarded its costs of suit pursuant to 35 U.S.C. § 284; and
- f. That the Court order such other and further relief as the Court deems proper.

**DEMAND FOR JURY TRIAL**

STW demands a jury trial on all issues that may be so tried.

DATED: July 16, 2008

HELLER EHRMAN LLP

/s/Robert T. Haslam  
ROBERT T. HASLAM  
Attorneys for Defendant and Counterclaimant  
SAMSUNG TECHWIN, CO., LTD.