

1 defendants, and other representatives of a party having full and complete authority to enter into a
2 binding settlement, and the principal attorneys responsible for the litigation, must be present **in person**
3 and legally and factually prepared to discuss settlement of the case. Full authority to settle means that
4 the individuals at the ENE be authorized to fully explore settlement options and to agree at that time
5 to any settlement terms acceptable to the parties. *Heileman Brewing Co., Inc. v. Joseph Oat Corp.*,
6 871 F.2d 648, 653 (7th Cir. 1989). The person needs to have “unfettered discretion and authority” to
7 change the settlement position of a party. *Pitman v. Brinker Int’l, Inc.*, 216 F.R.D. 481, 485-486 (D.
8 Ariz. 2003). One of the purposes of requiring a person with unlimited settlement authority to attend
9 the conference is that the person’s view of the case may be altered during the face-to-face conference.
10 *Pitman*, 216 F.R.D. at 486. Limited or sum certain authority is not adequate. *Nick v. Morgan’s*
11 *Foods, Inc.*, 270 F.3d 590, 595-597 (8th Cir. 2001). **Counsel appearing without their clients**
12 **(whether or not counsel has been given settlement authority) will be cause for immediate**
13 **imposition of sanctions and will also result in the immediate termination of the conference.**

14 3. **Full Settlement Authority Required:** In addition to counsel who will try the case,
15 a party or party representative with **full settlement authority** must be present for the conference. In
16 the case of a corporate entity, an authorized representative of the corporation who is **not** retained
17 outside counsel must be present and must have discretionary authority to commit the company to pay
18 an amount up to the amount of the plaintiff’s prayer (excluding punitive damage prayers). The
19 purpose of this requirement is to have representatives present who can settle the case during the course
20 of the conference without consulting a superior. Counsel for a government entity may be excused
21 from this requirement so long as the government attorney who attends the ENE conference (1) has
22 primary responsibility for handling the case; and (2) may negotiate settlement offers which the
23 attorney is willing to recommend to the government official having ultimate settlement authority.

24 4. **Confidential ENE Statements Required:** No later than **three court days** before the
25 ENE, the parties shall submit confidential statements of five pages or less directly to the chambers of
26 Magistrate Judge Stormes outlining the nature of the case, the claims, and the defenses. **These**
27 **statements shall not be filed or served on opposing counsel.** They can be lodged via email at
28 efile_stormes@casd.uscourts.gov. If exhibits are attached and the total submission amounts to more

1 than 20 pages, a hard copy must be delivered directly to chambers.

2 5. **New Parties Must Be Notified by Plaintiff's Counsel:** Plaintiff's counsel shall give
3 notice of the ENE to parties responding to the complaint after the date of this notice.

4 6. **Case Management Under the Amended Federal Rules:** In the event the case does
5 not settle at the ENE, the parties can expect to leave the ENE with Rule 26 compliance dates or
6 deadlines. Parties shall therefore be prepared to discuss the following matters at the conclusion of the
7 ENE conference:

- 8 a. Any anticipated objections under Federal Rule of Civil Procedure 26(a)(1)(E)
9 to the initial disclosure provisions of Federal Rule of Civil Procedure
10 26(a)(1)(A-D);
11 b. The scheduling of the Federal Rule of Civil Procedure 26(f) conference within
12 24 days following the ENE:
13 c. The date of initial disclosure and the date for lodging the discovery plan within
14 14 days following the Rule 26(f) conference; and,
15 d. The scheduling of a Case Management Conference pursuant to Federal Rule
16 of Civil Procedure 16(b) within 21 days following the Rule 26(f) conference.

17 The Court will issue an order following the ENE addressing these issues and setting dates as
18 appropriate.

19 7. **Requests to Continue an ENE Conference:** Local Rule 16.1(c) requires that an ENE
20 take place within 45 days of the filing of the first answer. Requests to continue ENEs are rarely
21 granted. However, the Court will consider formal, written *ex parte* requests to continue an ENE
22 conference when extraordinary circumstances exist that make a continuance appropriate. In and of
23 itself, having to travel a long distance to appear in person is not "extraordinary." Absent extraordinary
24 circumstances, requests for continuances will **not be considered unless submitted in writing** no less
25 than seven (7) days prior to the scheduled conference.

26 Questions regarding this case or the mandatory guidelines set forth herein may be directed to
27 the Magistrate Judge's law clerks at (619) 557-5391.

28 A Notice of Right to Consent to Trial Before a United States Magistrate Judge is attached for

1 your information.

2 DATED: April 29, 2011

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A handwritten signature in cursive script, reading "Nita L. Stormes", is written over a horizontal line.

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Hon. Nita L. Stormes
U.S. Magistrate Judge
United States District Court

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NOTICE OF RIGHT TO CONSENT TO TRIAL
BEFORE A UNITED STATES MAGISTRATE JUDGE

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4 IN ACCORDANCE WITH THE PROVISIONS OF 28 U.S.C. § 636(c), YOU ARE HEREBY
5 NOTIFIED THAT A U.S. MAGISTRATE JUDGE OF THIS DISTRICT MAY, UPON THE
6 CONSENT OF ALL PARTIES, ON FORM 1A AVAILABLE IN THE CLERK'S OFFICE,
7 CONDUCT ANY OR ALL PROCEEDINGS, INCLUDING A JURY OR NON-JURY TRIAL, AND
8 ORDER THE ENTRY OF A FINAL JUDGMENT. COUNSEL FOR THE PLAINTIFF SHALL BE
9 RESPONSIBLE FOR OBTAINING THE CONSENT OF ALL PARTIES, SHOULD THEY DESIRE
10 TO CONSENT.

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12 YOU SHOULD BE AWARE THAT YOUR DECISION TO CONSENT OR NOT TO
13 CONSENT IS ENTIRELY VOLUNTARY. ONLY IF ALL PARTIES CONSENT WILL THE
14 JUDGE OR MAGISTRATE JUDGE TO WHOM THE CASE HAS BEEN ASSIGNED BE
15 INFORMED OF YOUR DECISION.

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17 JUDGMENTS OF THE U.S. MAGISTRATE JUDGES ARE APPEALABLE TO THE U.S.
18 COURT OF APPEALS IN ACCORDANCE WITH THIS STATUTE AND THE FEDERAL RULES
19 OF APPELLATE PROCEDURE.
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