

Keith W. Miller  
Jonathan A. Choa  
PAUL, HASTINGS, JANOFSKY & WALKER LLP  
75 East 55th Street  
New York, New York 10022  
(212) 318-6000

*Attorneys for Defendants UBS Securities LLC and  
UBS Financial Services, Inc.*

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

RICARDO L. SANCHEZ, On Behalf of All Others  
Similarly Situated,

Plaintiff,

- against -

UBS AG, UBS SECURITIES LLC and UBS  
FINANCIAL SERVICES, INC.,

Defendants.

CASE No.: 1:08-CV-03082 (LMM)

**DEFENDANTS' DISCLOSURE**  
**STATEMENT**  
**(Fed. R. Civ. P. 7.1)**

**PLEASE TAKE NOTICE** that pursuant to Federal Rule of Civil Procedure 7.1, the undersigned counsel for Defendants UBS Securities LLC and UBS Financial Services, Inc. in the above-captioned action hereby identifies the following parent corporations and/or publicly-held corporations that own 10% or more of its stock:

1. **UBS Securities LLC**. UBS Securities LLC is a corporation formed under the laws of Delaware. It is a 100% owned subsidiary of UBS AG, which is a publicly-traded limited company formed under the laws of Switzerland.
2. **UBS Financial Services, Inc.** UBS Financial Services Inc. is a corporation formed under the laws of Delaware. It is a 100% owned subsidiary of UBS AG, which is a publicly-traded limited company formed under the laws of Switzerland.

Dated: New York, New York

PAUL, HASTINGS, JANOFSKY & WALKER LLP

May 8, 2008

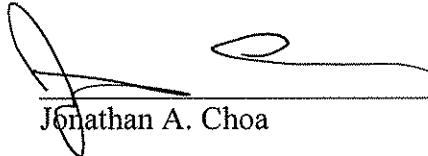
By: /s/ Jonathan A. Choa  
Jonathan A. Choa

jonathanchoa@paulhastings.com  
Keith W. Miller  
keithmiller@paulhastings.com  
75 East 55th Street  
New York, New York 10022  
(212) 318-6000

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and UBS Financial Services Inc.*

**CERTIFICATE OF SERVICE**

I, Jonathan A. Choa, a member of the bar of this Court and associated with the firm of Paul, Hastings, Janofsky & Walker LLP do hereby certify that on May 8, 2008, a true and correct copy of the foregoing Defendants' Disclosure Statement were filed electronically and served by mail on anyone unable to accept electronic filing. Notice of this filing will be sent by e-mail to all parties by operation of the Court's electronic filing system or by mail to anyone unable to accept electronic filing as indicated on the notice of electronic filing. Parties may access this filing through the Court's CM/ECF System.



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Jonathan A. Choa