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NARRATIVE AND CRITICAL
HISTORY OF AMERICA

The United States

OF

North America

PART II



NARRATIVE AND CRITICAL
HISTORY OF AMERICA

EDITED

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VOL. VII

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[The cut on the title shows the obverse of the seal of the United States as first designed.]

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NARRATIVE AND CRITICAL
HISTORY OF AMERICA

CHAPTER I.

THE UNITED STATES OF AMERICA,
1775-1782.

THEIR POLITICAL STRUGGLES AND RELATIONS WITH EUROPE.

BY EDWARD J. LOWELL,

Massachusetts Historical Society.

IT is the object of this chapter to describe the attempts made by the United States, during the earlier part of the Revolutionary War, to obtain recognition and aid from foreign countries, and to raise the money necessary for carrying on the struggle. The diplomatic situation in Europe will also be considered in so far as it affected the war. The final negotiations by which the conditions of peace were arranged, will be found in the succeeding chapter.

The second half of the eighteenth century was a time of intellectual and moral revolution. The ideas of men on their relations to each other were undergoing a fundamental change. The European nations, on the awakening of their minds in the fifteenth century, had at first turned principally to the consideration of theological and religious subjects. For two hundred years these had occupied them, almost to the exclusion of other ideas. But after the middle of the seventeenth century religious quarrels had lost some of their interest. The various parties of the Church had divided Christendom among themselves. The eyes of Europe were no longer directed to the skies, but turned on the world about. The corruption of the Church was forgotten in the corruption of the State. Men had learned to inquire curiously into their relation to God; they were no longer afraid to consider their relations to each other.

But while active-minded and fearless men were questioning all things on earth, the governments of Continental Europe were still conducted according to the old ideas, with the general acquiescence of the governed. Men read and praised the *Spirit of the Laws* and the *Social Contract*, but they

lived contented under despots. New notions on the most important social and political relations of life were accepted and proclaimed by persons deeply interested in the old order of things. For centuries everything — everything, at least, worth naming — had first been undertaken by the great, or had not presumed to succeed without their patronage. Could it be otherwise with equality? The sentimentalists of the upper classes, good-natured and polite even when they were hard-hearted, had dreamed of a powdered and beribboned equality, with high-heeled shoes and a garlanded crook, — equality of the sheep, and yet superiority of the shepherds. “The general will is always upright, and always tends to public usefulness,”¹ even if little mistakes be made as to methods.

The American Revolution has been called the last of the political, as distinguished from the social revolutions. The principal reason of its being so was probably the fact that the social revolution had already taken place in America. The inhabitants of the Northern colonies, at least, were small freeholders, equal before the laws in so far as their rights to liberty and property were concerned. Slavery and bond-service, where they existed, affected in those colonies but a small part of the population. There were no feudal dues. At the North, therefore, no social revolution was possible. At the South such a revolution was not to come for more than eighty years. Yet it was the sympathy of the French aristocrats with the equality which they partly saw and partly imagined in America that strengthened the hands of an ambitious minister, and procured for the United States their only ally during the doubtful years of the war.

In England, political and social questions had at an early time been involved in religious questions. They had therefore been brought forward gradually, with the most fortunate results. The English, always a turbulent and stiff-necked people, have become in modern times the models of political conservatism, not because they have changed less than other nations since the Renaissance, — they have perhaps changed more than any, — but because they have taken two centuries to go over the road which the Continent has endeavored to travel in a few years.

From the Peace of Westphalia in 1648 to the Peace of Paris in 1763, France held unquestionably the first place in Europe. In arms she proved unequal to face the whole civilized world at once; but it was seldom, indeed, that a single antagonist dared to attack her. Her principal rival on land was Austria. At sea, Spain and England sometimes surpassed her in importance. Yet her colonies in America rivalled in extent those of either maritime power, and in Asia she contended for supremacy. Her intellectual and moral hegemony was yet more striking. Princes and courts modelled themselves on those of Versailles. The wit and learning of the Continent were content to reflect the light of Paris. In England alone did a vigorous school of native literature exist. But early in the second half of the eighteenth century French predominance received a check. As the

¹ Rousseau, *Contrat Social*, liv. ii. ch. 3

result of the Seven Years' War France lost most of her colonies, and witnessed the establishment of a new Continental power, destined to share in the decision of European questions. Farther east another great power was forming; while Spain, under its Bourbon king, the natural ally of France, was falling into the second rank. The condition of all these countries had some effect on the American Revolution; let us therefore briefly consider it.

In the course of the Seven Years' War France had seen her navy beaten and destroyed, and her armies defeated. By the Peace of Paris (1763) she surrendered all her possessions in North America,¹ and nearly all her pos-



LOUIS XVI.*

sessions in the East. As to the French finances, while everybody agreed that they were in a very bad way, no one appears to have known very particularly about them. The expenditure is thought to have exceeded the revenue, at the close of the reign of Louis XV, by something between twenty and forty million livres (or francs), but the amount is doubtful.

In 1774 a new monarch came to the throne of France. He was twenty years of age. He had the best possible intentions. He had sense enough

¹ Except the small islands of St. Pierre and Miquelon, near Newfoundland, which were retained by her.

* [From the *European Magazine*, Nov., 1789. Cf. *Harper's Mag.*, lvi. 333. — Ed.]

generally to recognize the right. He had absolutely no strength of will in enforcing his own judgment. From the grosser vices, unless from those of the table, he was, for a prince, singularly free. His first impulse had been to appoint a virtuous and stern prime minister; but after the commission was actually in the hands of the messenger, Louis had recalled it at the instance of one of his aunts, an ignorant and bigoted woman, who during the preceding reign had been kept completely aloof from public affairs. The royal favor, thus diverted, had fallen upon the Comte de Maurepas, an old courtier of the kind which the reign of Louis XV had bred plentifully in the neighborhood of Versailles; a man who had charming manners, and absolutely no political principles. It is said, moreover, that his exalted position was not given to him by the king intentionally. Louis called the old courtier to consult with him on public business. Maurepas calmly assumed that he was prime minister. The story is so consonant with the character of the king that it may well be true.¹ Maurepas had been exiled from the court twenty-five years before the death of Louis XV for writing satirical verses about the Pompadour. He had borne his banishment to his own estate with cheerfulness, but had fully determined never to renew it. A quiet life and a firm seat in office were the first objects of his administration.

As prime minister the Comte de Maurepas exercised the principal influence over French policy. His position, however, was not commanding. The government was not one by cabinet; indeed, such a government did not yet exist in its entirety, even in England. M. de Maurepas could generally cause the dismissal of any other minister; but each minister, in his own department, was responsible only to the king. Only one, beside the chief, succeeded in keeping his place throughout the American war. This one was the Comte de Vergennes, who managed the foreign affairs. This man was, at the time of the accession of Louis XVI, in his fifty-fourth year, and had been trained in diplomacy from his youth. Grave in manner, laborious and methodical, he could keep his plans secure in his own breast until the time came for their accomplishment. Honestly devoted to the interests of France as he understood them, and without a sentiment or a principle in favor of any other country, he appears to have been from the first inclined to wish success to the colonies, in order to humble England; but he was determined to take no rash step. His political morality was that of the diplomats of his age, among whom words did not mean quite what they did to ordinary men; and he was not above employing spies among his friends, as well as among his enemies.²

¹ See *Mémoires de Madame Campan*, i. 80, 81 n., in the *Collection des Mémoires relatifs à la Révolution française*, Paris, 1822. See also the article on Maurepas in the Duc de Lévis' *Souvenirs et Portraits*.

² Concerning Vergennes and his character, consult the *Mémoires* of the Comte de Ségur, i. 254; the *Life of J. Adams*, by C. F. Adams,

in the *Works of J. Adams*, vol. i. p. 299; and Bancroft's *History*, vii. 89, 90. [Cf. also C. C. de Rulhière's *Portrait du Comte de Vergennes* (Paris? 1788,—also in his *Œuvres*); "Vergennes et sa politique" and "et ses apologistes" in *Revue Historique*, xv. 373, xvi. 327; and sketch by John Jay in *Mag. of Amer. Hist.*, Jan., 1885.—Ed.]

In the first year of the reign public opinion caused the king to call to the controllership of the treasury a man in whom the virtues and the faults of the best Frenchmen of his day were strikingly united. Turgot was born in Paris in 1727. Persuaded by his family to study theology, he published, while still a student, a remarkable treatise, in which, the love of this world getting the better of the love of another, he showed that the Christian religion has contributed to the material welfare of mankind. In the same year, 1750, he predicted, in another treatise, the separation of the American colonies from their mother countries; "for colonies," said he, "are like fruits, which hold to the tree only until they are ripe."¹ Widely accomplished, Turgot was at once a philosopher and a free-trader. As the administrator of a province he effected great reforms in the direction of the liberty of the subject, and equalized the incidence of taxation. But he had not the tact and the practical wisdom by which his enlightened ideas could be made comprehensible to the bulk of the people, or tolerable to the interested few whose privileges were disturbed. Turgot fell from power in May, 1776, but was not without influence on the course of affairs during the first months of the American Revolution.

Of the condition of the population of France, where the rich were almost exempt from taxation, while the tax-gatherer took from the small farmer more than a half of his income,² and the authorities called on him for bodily services on the roads and elsewhere, much has been written. In a country naturally one of the richest and most fertile in the world, the mass of the population was sunk in poverty. Such was the fertility of the land that every honest effort of good administration produced a marked improvement in public affairs. But the king had not the strength to stand by any honest effort. Government by a strong despot is often tolerable; government by the strong minister of a weak despot may be almost as good as the other; but government by a band of intriguing courtiers, no one of whom can obtain a complete ascendancy, is necessarily detestable, and to such a government France had been subjected since the death of Louis XIV in 1715, — we may almost say since the death of Richelieu in 1642.³

The throne of Spain was occupied by Charles III, a well-meaning prince, whose long residence in Italy as Duke of Parma and as king of the two Sicilies, while it had taught him many things, had put him out of sympathy with the Spanish character. He was, throughout his reign, torn by opposite opinions, which prevented him from following a decided line of policy. Liberal in his ideas of government, he supported for a time several intelligent ministers, but he gave great weight to the counsels of an ignorant

¹ *Œuvres de M. Turgot*, Paris, 1808-1811, ii. 19, 66.

² Taine, *Origines de la France Contemporaine*, *L'Ancien Régime*, i. 543.

³ [Contrast, as to Louis XVI, the views of Capefigue and Bancroft. — ED.]

confessor. He drove out the Jesuits, who had long assumed an undue power in the state; but he allowed the Inquisition to dispose of the liberties, if not of the lives, of his most valuable servants.¹ He recognized the need of peace for Spain, but he loved France, he wanted Gibraltar, and he was thus twice led into war with England. He allowed his minister to write to London that he considered the independence of the American colonies no less injurious to Spain than to Britain, he refused to ally himself with the revolted provinces, but he attacked the mother country when trying to put them down.

Charles III had found the taxes in a very onerous and most complicated condition. They were imposed without system, and collected without honesty. The sale of salt was a monopoly of the government, and every town and village was obliged to consume a fixed quantity. Other articles of common use could not be sold without the payment of a tax, repeated at every sale, and both buyer and seller were obliged to report their transactions to the officers. The amount of the repeated duties soon exceeded the original price of the article. An army of excisemen, of all ranks and under various names, collected these dues, or were bribed to shut their eyes. Every officer might interpret the laws according to his own whim, as an instrument of oppression or corruption.

The king undertook to remedy this state of things by simplifying the taxes; but in 1777 his reforms extended only to Castile. His debts were few, but his credit bad. The revenue and the expenditure were nearly balanced, amounting to something over five million pounds sterling a year. There were ten or eleven million inhabitants in the kingdom.²

From Spain we turn to the opposite extremity of the Continent, where a mighty empire was just taking its place among European nations. Catherine II was by birth a petty German princess, in whose family eccentricity was pushed to the verge of madness. Her vices were shocking even to the lax morality of the courts of that age, and her amours will hardly seem the more pardonable to the moralist in that she made them serve her ambition. That ambition was wide. It prompted her at once to renew in her own person the Empire of the East, and to civilize in some measure the empire that she already governed. The former object was probably the nearer to her heart; and had she had to contend only against the feeble successor of Mahomet II, she might perhaps have seen her wish fulfilled. But then, as now, the Christian powers were the support of the Turk.

Next to Russia, among the northern powers, came the comparatively small country which the hardihood of its inhabitants and the genius of its king had recently raised to the first rank. Neither men nor money were abun-

¹ See the affair of Olavide in Rosseeuw St. Hilaire's *Histoire d'Espagne*, xiii. 124-127.

² George Grenville, p. 23 n. *The Present State of the Nation* (London, 1769); *Encyclopédie Methodique*, lvi. 77.



FRIDERICUS II. BORUSSORUM REX.

FREDERICK II.*

dant ; but while the former had poured out their blood like water whenever the service of their prince demanded it, the latter had never been expended but when a strict necessity or a prudent policy required the outlay. By exacting the most unsparing sacrifices from his people, and by administering the funds thus obtained with the greatest prudence, Frederick had come

* [After a print "Fridericus II. Borussorum Rex. Daniel Chodowiecki pinxit, Berolini. Daniel Berger sculpsit, Berolini, 1777." — ED.]

out of the Seven Years' War with no more debts than could be paid in the first year of peace. He went forward in the same economical course ; remitting some taxes to the provinces on which the war had pressed most heavily, and encouraging manufactures, supporting a state bank, and planting forests, where the very trees, in their long, straight lines, might remind the wayfarer of Prussian discipline. The revenues of the state were reckoned at about eight million pounds sterling per annum, and it was supposed that more than a third of this sum was laid by, year after year.¹ Frederick considered himself ill-treated by England, which under Bute's administration had abandoned her ally in the negotiations which ended the Seven Years' War. A new cause of bitterness had occurred in 1772. The king was anxious to obtain possession of the city and harbor of Dantzic, and had negotiated with Russia for that purpose. Catherine II had taken the ground that Russia had guaranteed the independence of the free city. Frederick did not believe in the sincerity of so disinterested a motive, and attributed his difficulties to England's commercial jealousy. His policy kept him at peace, but his feelings sometimes found expression on the American side.

The great country which has in recent times risen to prominence under the name of Germany was in the eighteenth century cut up into nearly three hundred states, most of them small and despotic. A national sentiment was but slightly encouraged by the loose bonds of the German Empire. A national literature was just coming into being. Of two or three petty sovereigns, whose nefarious traffic in men brought them into close connection with the American war, I shall speak later. In the general politics of Europe the petty German states were an inconsiderable factor.

The republic of the Netherlands numbered at this time some two millions of inhabitants, crowded together in a very narrow space. The country was important, however, by its colonies, its commerce, and its wealth. The debt was large and the taxes heavy, but the funds, bearing two and a half per cent. interest, stood above par. Living was expensive ; but the citizen enjoyed more liberty than elsewhere, and the press was so free as to have made Amsterdam a literary centre for liberal ideas, as well as a place of refuge for liberal politicians. The constitution of the Netherlands was federal. The central governing body was the States-General, in which votes were taken by provinces, in accordance with the same vicious system which at first was adopted in the United States of America. Of these provinces there were seven ; but that of Holland was so much the most important as somewhat to counterbalance the broad and ill-defined rights of smaller provinces and of cities. The chief executive officers of the Netherlands at this time were under English influence.

¹ Frederick's own account of his finance at this period gives no figures of revenue, etc., but is very interesting for his general administration (*Œuvres Complètes*, ed. 1792, iv. 247-270).

In England, as in France, a young king had come to the throne, not many years before, hailed with genuine pleasure and not ill-founded hope. Like Louis, George was the successor of a dissolute grandfather. Like him, he was virtuous, religious, well-meaning. Unlike the French king, the king of England had agreeable manners. In character, George was impetuous, headstrong, self-willed, where Louis was weak and undecided. With half of George's obstinacy, Louis might perhaps have kept his head; with half of Louis' pliancy, George might perhaps have kept America.

The rights of the king of England are far greater in legal theory than since the revolution of 1688, they have been in practice. All those acts, indeed, which are done in the king's name may by the inconsiderate be supposed to proceed from the king's volition. A large and respectable party still held, in the time of George III, that the king's right was divine, while the rights of his subjects were of human origin. The king's person was regarded with almost superstitious veneration. It was not so many years since a sovereign had touched for scrofula. Dr. Johnson was the first literary man in England, and his estimate of his own importance was as high as his Toryism; yet Boswell scarcely exaggerates in speaking of the attitude of the doctor toward the king as "tempered with reverential awe." If this was the position of a singularly sturdy and independent man of letters, with too much native pride and good taste to flatter or to "bandy civilities with his sovereign," what was likely to be the attitude of the crowd of courtly clergymen, bred in the doctrines of divine right, or of professional courtiers, seeking to profit by royal favors? "The king governs the kingdom," says Blackstone; "statesmen, who administer affairs, are only his ministers." George III had heard the words of the jurist, and had mistaken them for the expression of an actual right, instead of understanding them to embody a legal fiction.¹ He wanted to be a king like other kings, as absolute in England as in Hanover, where indeed he was but an elector, yet had no one to gainsay him.

The readiest way to increase the power of the crown was to weaken that of the ministry; to have a royal policy; and to use influence, intrigue, and corruption, both in and out of Parliament, to break down any public servant who should oppose it. In order to do this, much of the patronage which was nominally in the crown, but which during the preceding reign had really been in the hands of the ministers, was resumed by the king in person. By such means, and by others less unworthy, a body of personal dependants, outside of the great Whig and Tory parties, was formed and supported. It had hardly as yet become a fixed rule of government that the whole cabinet should be of one political mind. The strange and elaborate modern system, in which the state is ruled alternately by one of two

¹ Blackstone, book I. ch. 7. "The *Commentaries* of Blackstone were not published until George III had been for some time on the throne; but Bute had obtained a considerable portion of them in manuscript from the author, for the purpose of instructing the prince in the principles of the constitution" (Lecky, *History of England in the 18th Century*, iii. 17).

contending parties, and in which government depends for its stability on there being not more than two such parties, and for its purity on the fact that the one that is out is strong enough to be a constant menace to the one that is in, had not then received its full development. George III was able, without seriously scandalizing his subjects, to form a group of "King's Friends," intended to rule the two factions. The House of Commons, occupied by a small oligarchy, was known to the intelligent to be the true centre of power, but the bulk of the people honestly believed themselves to be governed by their king, and probably preferred his rule to that of his servants.¹

George's chief rival in power, at the beginning of his reign, was William Pitt. A man of the greatest genius and of unimpeachable public honesty, Pitt was at that moment conducting with wonderful success a war in which England had almost completely driven France from America and from Hindostan, and, with the assistance of the states of northern Europe, had forced her back upon that continent. But Pitt's arrogant manners and love of power had alienated his colleagues and offended the king. In spite of his popularity, the great war-minister was driven from office within a year of the time of George's accession. Less than eighteen months afterwards, the Peace of Paris (1763), concluded by the King's Friends, irritated and humbled the enemies of England and alienated her ally, without satisfying her people. "Now my son is king of England!" cried the mother of George III; and in truth the reign of that monarch had begun as it was to continue. For seven years a series of weak, unpopular, and divided ministries followed each other. How they drove the American colonies into rebellion has been told in another chapter.² In 1768 the King's Friends came permanently into power under the Duke of Grafton, who was followed in 1770 by Lord North. The triumph of the king was complete. For thirteen disastrous years George III governed England himself, and his ministers were indeed his servants. Those years ended with the fall of Yorktown.

The House of Commons at this time was elected by a very small part of the English nation. With a population of eight millions, there were but a hundred and sixty thousand voters. Nor did these make an unbiased choice. The seats in the House were at the disposal of the king, of the ministers, of peers, of rich commoners. Large sums were spent in influencing elections, large sums in buying votes in the House. But with all these limitations, Parliament still represented, in a measure, the enlightened opinion of Englishmen. Some of the private patrons, or of the members themselves, were so honorable, or so rich, as to be above the reach of bribes. Thus a respectable minority, at least, was maintained, strong enough to protest against acts repugnant to the conscience or the passions of the nation, though not to prevent them. This minority numbered among

¹ [Morley (*Burke*, ch. 5) thinks the British people were all with the king in his moves against the colonies. — ED.]

² Vol. VI. ch. i.

its members at the time of the American war two of the greatest names in English history, — William Pitt, now created Earl of Chatham, and Edmund Burke. These men, with many others of less note, were at first favorable to the demands of the American colonies, partly because they believed that the cause of English liberty was wrapped up in those demands. Should the king regain the power of choosing his own ministers, should those ministers enforce their claim to tax British subjects who were not represented in Parliament, a long step would be taken toward the establishment of despotism in England. Nor were the fears of these men chimerical. In every country in Western Europe deliberative assemblies had once existed with substantial powers; in every important country but Holland such assemblies had either disappeared or had lost most of their control over national affairs.

The debt of England in 1775 was one hundred and thirty-five million pounds sterling. But so admirably had the finances been managed that the rate of interest was but little above three per cent. The army and navy were inadequate to the protection of a dominion which in America embraced the larger part of a continent, and in Asia already extended over many populous nations. In 1774 the number of seamen was reduced to 16,000, and of soldiers to 17,500. Nor was it easy to raise troops. England was already the great commercial and manufacturing country of the world. Her people were brave, and ready to endure necessary hardships, but they were in the main too comfortable in their homes to be eager to serve in the ranks for the scanty pay and scantier comforts of private soldiers.

From the beginning of 1775 the House of Commons was chiefly occupied with American affairs. The members opposed to the administration recognized that it was the battle of English freedom which they were fighting.¹ The weight of eloquence was on their side, but the greater number of votes were at the disposal of his majesty's government. Fox might keep the House interested, or Burke, with words of more real value, might drive it to dinner; but the result of every division was foreordained. In the Lords, similar scenes were enacted, with the same result. The Earl of Chatham, on the 1st of February, introduced a bill conceding most of the demands of the Americans, but maintaining the right of Parliament to keep troops in the colonies. Taxation was to be committed to a Congress, to sit at Philadelphia in May. After a warm debate the bill was rejected by sixty-one votes to thirty-two.²

In February an act was introduced in the Commons, by Lord North, to limit the commerce of New England, and to prevent her people from fishing upon the Banks of Newfoundland or in the Gulf of St. Lawrence.³ The latter provision was purely penal, and was a response to the resolutions of non-intercourse passed by the Continental Congress; for it was highly

¹ Cf. ch. i. of Vol. VI.

² Almon's *Parl. Reg.*, ii. 17-33.

³ Almon's *Parl. Reg.*, i. 193.

unfit, said the preamble, "that the inhabitants of said provinces and colonies should enjoy the same privileges of trade and the same benefits and advantages to which his majesty's faithful and obedient subjects are entitled." The governors of the colonies might suspend the act by proclamation, if it appeared that for one month merchandise had been freely imported into their colonies. The bill finally passed the Lords on the 21st of March.¹ On the 9th of that month a similar bill had been introduced into the House of Commons, to limit the trade of New Jersey, Pennsylvania, Maryland, and South Carolina.² It is noticeable that New York was not included in these penal measures, that province being considered less disaffected than the others.³



ADMIRAL LORD HOWE.*

The ministers, during this winter, consulted indirectly with Dr. Franklin. It was hoped that a plan might be agreed upon between the doctor and Lord Howe, which, reinforced in America by the personal popularity of the one and the family popularity of the other, might lead to a reconciliation between England and the colonies.⁴ The views of Franklin and of the ministry were, however, too far apart. Nothing came of the several plans prepared, and in the middle of March the doctor started on his return to America. Before his departure he had an interview with the French minister, who pointedly reminded him that France had contributed to the independence of the Netherlands.⁵ To Franklin, the separation of England and America already seemed inevitable.⁶

¹ Statutes at Large, 15 Geo. III, ch. 10.

² Almon's *Parl. Reg.*, i. 312; 15 Geo. III, ch. 18.

³ Almon's *Parl. Reg.*, ii. 85.

⁴ Sparks's *Franklin*, i. 377-391.

⁵ Bancroft, vii. 262, 263.

⁶ Franklin, after his return, in the summer and autumn of 1776, corresponded with Lord Howe, who wished to bring about the submission of the colonies, and on the 11th of September a conference was held on Staten Island between his lordship and Franklin, J. Adams and Edw. Rutledge. These negotiations led to no result (*Franklin's Works*, i. 414).

[Lord Howe, when off the Massachusetts coast, June 20, 1776, prepared a proclamation of pardon (*V. H. State Papers*, viii. 150), and issued it on his arrival at Sandy Hook in July, and caused it to be industriously circulated (Parton's *Franklin*, ii. 136; letter of July 22, 1776, in *Sparks*

MSS., no. xlix. vol. ii.). There is a copy in the Mass. Hist. Soc. library (*Miscellanies*, 1632-1795, p. 125). Howe also dispatched a letter to Jos. Reed, who sent it to Congress (Reed's *Recd.*, i. 197). Bancroft contends that Reed was inclined to an "accommodation" (*Joseph Reed*, 14). Howe sought to address the American general as "George Washington, Esq.," on this matter, and his letter was returned (Sparks's *Washington*, iv. 599; S. B. Webb's journal in *Gay, Pop. Hist. U. S.*, iii. 496; Reed's *Recd.*, i. 205; Drake's *Know.*, 131). Sir William Howe issued a proclamation of pardon, Aug. 23 (Force, 5th ser., i. 1121; *V. H. State Papers*, viii. 318). After the battle of Aug. 27, on Long Island, Howe sent his prisoner Sullivan to Congress with a message of conciliation. Cf. Patrick Henry on this, in *Sparks MSS.*, no. xlix. vol. ii. (Sept. 20, 1776). John Adams says many were duped by it (*Familiar letters*, 192, 223). Then

* [From Doyle's *Official Baronage*, ii. 213. The portrait in Sir John Barrow's *Life of Richard, Earl Howe* (London, 1838) represents him in advanced years. Gainsborough's picture is in Lodge's *Portraits-Copley's* picture of him was engraved by William Sharp. There is a cut in the *European Mag.*, vol. ii. (1782) p. 432. Cf. E. P. Brenton's *Naval History* (1837), i. 123. — ED.]

The circumstances under which the Congress of 1775 met were very different from those under which that of 1774 had separated, for, in the interval, bloodshed had taken place. At first the task of organizing defence was largely left to the several States. On the day when the credentials of members were read, a letter from the Provincial Congress of Massachusetts Bay announced that the province had voted to raise 13,600 men and one hundred thousand pounds of lawful money, and called on the continent to support the common cause and to assist in giving currency to the notes.¹ The issue of paper money was in fact the favorite scheme of the colonies. In the year 1775 every one of them had recourse to it.² The first month of its meeting was not ended before the Continental Congress was busied with plans for issuing paper. Before these could be perfected, six thousand pounds were borrowed, "for the use of America," apparently in Philadelphia, and the money applied to the purchase of gunpowder for the Continental army. This appears to have been the first financial operation of what was to become the United States.³

It was the advice of Franklin that Congress should continue to raise money by loans, rather than by an emission of bills. The paper currency was, however, preferred by the people and by the delegates. "Do you think, gentlemen," cried one, "that I will consent to load my constituents with taxes, when we can send to our printer and get a waggon-load of money, one quire of which will pay for the whole?"⁴ But a majority of the members of Congress had a clearer idea of what was necessary for the public credit. The issue of bills voted in June and July amounted to three million dollars, and the several States were requested to redeem their shares from the year 1779 to 1782.⁵ In December, 1775, another emission was ordered, also of three million dollars. These notes were to be redeemed by the States during the four years beginning with 1783.⁶ During the years

came the meeting of Franklin, Adams, and Rutledge with Howe near Amboy (view of the house where they met, in Gay, iii. 512). There are accounts of the interview in Sparks's *Franklin*, i. 412; v. 97; viii. 187; and in *John Adams's works*, iii. 75; ix. 443. Cf. Parton's *Franklin*, ii. 137, 141. The committee reported, Sept. 17, that nothing but submission would suffice (*Journal of Congress*, i. 477). Howe's report is in Almon's *Remembrancer*, viii. 259, and *Parliamentary Register*, viii. 249. Cf. further on the matter, *John Adams's works*, i. 237; Wells's *Sam. Adams*, ii. 443; Amory's *Sullivan*, 30; Reed's *Reed*, i. ch. 12; Read's *Geo. Read*, pp. 174, 180, 190; Lossing's *Schuyler*, ii. 37; Force, 4th ser., vi.; 5th ser., i., ii.; Bancroft, viii. 360; ix. 37, 116; Mahon, vi. 107, 112; Barrow's *Earl Howe*, ch. 4; Sargent's note in his *Stansbury and Odell*, p. 134.

For the proclamation of Sir Wm. and Lord Howe, issued Nov. 30, 1776, see original in Mass. Hist. Soc. library (*Proc.*, xii. 186); printed in

N. Y. Gazette, Dec. 16, 1776; in Force's *Archives*, in Moore's *Diary of the Rev.*, 352. Cf. Sabin, viii. pp. 485, 486. In the *Sparks MSS.*, no. lv., is a series of transcripts, from the State Paper Office, of the instructions (May 6, 1776) of the Commissioners, the letters between them and Lord Geo. Germain, loyalists' memorials to the Commissioners, and also an account of their interview with the Committee of Congress, annotated by Howe, after a MS. in the handwriting of Henry Strachey, secretary, belonging to Geo. H. Moore. — Ed.]

¹ *Journal of Congress*, May 11th, 1775.

² Albert S. Bolles's *Financial Hist. of the U. S. from 1774 to 1789*, 147.

³ *Secret Journals of Congress — Domestic*, June 3d, 1775.

⁴ Pelatiah Webster, *Political Essays*, p. 7 n.

⁵ *Journals of Congress*, June 22d, July 25th and 29th, 1775.

⁶ December 26, 1775.

1776 and 1777 the issue of paper money went on with increased velocity, so that when the alliance with France was made public in 1778, the amount



JOHN HANCOCK, PRESIDENT OF CONGRESS. (*Impartial History*, London Ed.) *

of Continental paper in circulation was fifty-five million, five hundred thousand dollars.¹ As a matter of course, such large emissions caused a depre-

¹ Bolles, i. 59.

* There is an early engraving in the *European Mag.*, 1783.

ciation, which, insignificant in 1776, went on increasing. In 1777 one silver dollar was worth from two to four paper dollars, in 1778 from four to six, and in 1779 from eight to thirty-eight and a half.¹

The refusal to take the Continental money at its face value was at first regarded as unpatriotic and criminal. In December, 1776, Washington was authorized to arrest and confine persons who would not receive the money, and to seize their goods when wanted for the army. Putnam, whom the fugitive Congress had left in command at Philadelphia, did actually close and pillage some shops in the city.² Meanwhile, people who had goods to sell could not bring themselves to look on the promises of a Congress, which had just fled from its capital city, as being as good as silver. The Quakers said that it was against their conscience to take bills issued in support of a war. The scruples of other persons were perhaps as heartfelt. Commerce was arrested. The very innkeepers took down their signs. Men were brought back to the primitive process of barter. Prices rose as the currency sank, but at a faster rate. Other circumstances besides the depreciation contributed to raise the value of commodities, so that it is said that even a silver dollar would at one time buy but a third as much as before the war. The rise of prices was, of course, attributed to the machinations of the Tories, and *maxima* were set in most States by law.³

The issue of paper money was not the only expedient adopted. Others were tried, but with little result. A loan of five million dollars and a lottery were proposed in 1776, but they do not seem to have brought in much. The States were called upon to advance money at six per cent. interest. A little was collected; Georgia, in particular, came forward with alacrity; but resistance to taxation was the cause of the war, and the people were unwilling to be taxed to carry on hostilities. The attempts of Congress to obtain the concurrence of the States in imposing an import duty of five per cent., in order to pay the debts of the federation, were frustrated by Rhode Island, and finally abandoned. Confiscation of the property of Tories was a more agreeable device. It was resorted to by all the state governments. How much property was taken in this way cannot be known, but the English government subsequently gave compensation to the loyalists to an amount exceeding three millions sterling. But it is not probable that the gain of those who confiscated was nearly as great as the loss of those who were driven away. Real estate, disposed of in troubled times by forced sale, seldom brings good prices.

Like Congress, the state legislatures had recourse to issues of paper money. Individuals gave tokens and certificates for small change. These practices, however, soon came to an end. On the 15th of February, 1777, Congress advised the States to stop issuing bills, and to rely upon those of

¹ Jefferson's table, given in Henry Phillips Jr., *Historical sketches of American paper currency*, Roxbury, 1865-1866, ii. 199.

² Bolles, i. 119.

³ See Bolles's chapters on "How paper money was received," and on "Limitation of Prices;" also a letter from John Adams to Elbridge Gerry, 6th Dec., 1777, *Works*, ix. 469.

the general government. The States generally followed this advice. The amount of specie which came into the coffers of Congress during the earlier part of the war was remarkably small. The French contributions of that time were mostly spent in Europe. Of gold and silver the government of the United States received into its treasury but \$78,666 in 1778, and \$73,000 in 1779.¹

During the year 1775 public opinion was becoming more definite in England, where national and military pride were aroused by the actual outbreak of hostilities. Many men who in 1774 had not approved of the conduct of ministers toward the colonies now thought it too late to look back or to inquire into past causes. Such persons held that government must be supported at any rate; that the dominions of the crown must be preserved at all hazards; and that, whoever had been right in the beginning, the insolence of the Americans now deserved chastisement. The clergy, the army, the lawyers, were generally of this party. The opinion of literary men was divided, but the great names of Johnson, of Gibbon, and of Robertson lent their weight to the ministerial side.

The merchants whose business lay in America, and indeed a majority of the inhabitants of the great trading cities of London and Bristol, still wished that conciliatory measures might be adopted. Some other traders, however, saw the preparations for a war, the letting of their ships for transports, and the profits of army contractors with great pleasure. The common people were apathetic and uninterested. Recruiting, both in England and Ireland, went on very slowly, in spite of great efforts on the part of the military authorities. This circumstance might have been fatal to the hope of subduing the colonies, had the king of England been obliged to rely exclusively on his own dominions for soldiers.²

There was, however, no such necessity. The idea that governments are made for the subject, not subjects for the government, was on the continent of Europe still confined to the heads of philosophers, and had not seriously influenced practical politicians. The despots, large and small, looked on their countries as their farms; on their nations as their flocks and herds. The few and weak republics, the numerous free cities of the German Empire, were mostly governed by oligarchies as despotic as the neighboring petty princes. The subject, whether of a German serene highness or of a Swiss aristocratic canton, could be called on to perform military service at the will of his sovereign.³ That sovereign might use his soldiers for ambition or for profit. He might seek alliances and try to rob his neighbors of terri-

¹ Samuel Breck's *Historical Sketch of Continental Paper Money*, Philadelphia, 1843, p. 13.

² On the state of public opinion at this time, see the *Annual Register for 1776*, p. 38. The article is attributed to Burke. See also Lecky, *England in the XVIIIth Century*, iii. 529.

³ Seven hundred thousand Swiss soldiers had been in the French service since 1474. In 1777 there were about sixteen thousand of them,—a smaller number than at any time since 1488. The cantons had received in subsidies over ninety-six million florins in three centuries (Schlözer's *Briefwechsel*, vi. 67-82).

tory; or he might part with his troops for subsidies, and spend his money on his palace, his mistresses, or his journeys to Paris or to Italy. The former employment of his men was considered the more honorable, but not the more legal. Among these petty sovereigns the king of England had relations and a home. In his character of Elector of Hanover he was one of them himself. George III was an Englishman in feeling, as his grandfather and predecessor had not been; but so far was he from recognizing the right of his subjects to fight only in their own quarrels, that one of the first of his preparations for war consisted in sending five battalions of his Hanoverian soldiers to garrison the English fortresses of Gibraltar and Port Mahon.¹ An equal number of Englishmen were thus released for service in America. It was quite practicable to pick up an army piecemeal among the small princes of Germany; but a larger scheme presented itself. Catherine II of Russia had concluded, in 1774, an advantageous peace with the Porte. A reduction of her army seemed desirable, and George III conceived the idea that she might follow the example of the petty princes, and let out a part of it to Great Britain. The Empress Elizabeth had once accepted a subsidy from England:² why should not Catherine go a step farther? The king hoped to get twenty thousand men. He was willing to take fifteen or ten thousand. Nor was he inclined to be stiff as to terms; but he is said to have insisted on the condition that the Russians should serve, not as auxiliaries, but as mercenaries, and that the Russian general should be absolutely under the command of the British.³ George little understood the extraordinary woman who occupied the Russian throne. Catherine received the proposal of the king with scant courtesy. "I should not be able," she wrote, "to help reflecting on the consequences which would result for our own dignity and for that of the two monarchies and the two nations, from this junction of our forces, simply to calm a rebellion which is not supported by any foreign power." The king's letter had been written with his own hand; Catherine's answer was in the writing of a secretary. This breach of politeness was taken to heart by the dull, proud king.⁴

There was in the service of Holland a brigade of about twenty-one

¹ Twenty-three hundred and sixty-five men. Col. Faucitt was sent to Germany to muster them into the English service. His instructions are dated Aug. 11, 1775. The men were embarked on the 2d and 6th of October. George III received no subsidy for lending his troops; he only asked to be reimbursed for levy-money and expenses (*Corres. of King George III with Lord North*, 2 vols., London, 1867, i. 257-260).

² Koch, *Histoire abrégée des Traités de Paix*, &c., iii. 14.

³ See a letter of Gibbon to Holroyd, October 14, 1775, in the *Miscellaneous Works of Edward Gibbon* (2 vols., London, 1796), i. 495.

⁴ Mr. Bancroft has devoted his fiftieth chapter to this negotiation. The remarks of Frederick the Great are worth noticing: "Les Anglais ont

manqué de tout temps d'art et de souplesse dans leurs négociations; attachés avec acharnement à leurs intérêts, ils ne savent pas flatter ceux des autres; ils pensent qu'en offrant des guinées, ils peuvent tout obtenir. Ils s'adressèrent d'abord à l'impératrice de Russie, et la choquèrent d'autant plus par leurs demandes que la fierté de cette princesse regardait comme bien au dessous d'elle, d'accepter des subsides d'une autre puissance" (*Œuvres*, ed. 1792, iv. 291). See also *Corres. of King George III with Lord North*, i. 282. It would seem, however, that the Empress and her ministers had used expressions in August, 1775, before absolute proposals were made, which justified the English ambassador in believing that such proposals might be accepted (Bancroft, viii. 107).

hundred men, composed of soldiers of all nations, but officered entirely by Scotchmen. King George applied to the Prince of Orange to lend him this brigade. The terms of the request were haughty and threatening, and the States-General were not inclined to grant it. The matter was long postponed by them, and at last an answer was sent to the effect that the brigade might be lent, but only on condition that it should not serve outside of Europe. Thereupon the request was allowed to drop.¹ A certain number of the troops afterwards obtained were embarked from Dutch ports.

The British king and his cabinet now had recourse to a set of princes who were neither so great nor so proud as to despise his subsidies. Colonel William Faucitt, who had been sent to Germany to muster the Hanoverians into the English service, was trusted with a mission. He was to obtain men—where England had often obtained them before—of the poor relations of the house of Hanover.² The Earl of Suffolk, who as Secretary of State directed the negotiations, underrated the product of the German stockyards. He hoped to get three or four thousand men from Brunswick and five thousand from Cassel.³ In November, 1775, he sent the following instructions to Faucitt: "Your point is to get as many as you can. I own to you my own hopes are not very sanguine in the business you are going upon: therefore, the less you act ministerially before you see a reasonable prospect of succeeding, the better. Get as many men as you can; it will be much to your credit to procure the most moderate terms, though expense is not so much the object in the present emergency as in ordinary cases. Great activity is necessary, as the king is extremely anxious; and you are to send one or two messengers from each place, Brunswick and Cassel, the moment you know whether troops can be procured or not, without waiting for the proposal of terms."⁴

Colonel Faucitt received this letter on the 24th of November, in Stade,⁵ and set off within a few hours. The nights were long and dark, and the

¹ Bancroft, viii. 251.

² See an interesting letter from Sir J. Yorke to Lord Suffolk, quoted in the appendix to the 1st edition of Kapp's *Soldatenhandel*, from State Paper Office, Holland, vol. 502, no. 55 (private). Sir J. Yorke is described in Wraxall's *Memoirs* (Scribner, 1884), i. 130.

³ George III wrote to Lord North, Nov. 12, 1775: "I have no objection to the Landgrave of Hesse Cassel and the Duke of Brunswick being addressed for troops to serve in America; the former may perhaps be persuaded, but the latter I should think will decline; but the duke's troops certainly shewed so much want of courage in the late war, that I think Carleton, who can have but a small number of British troops, ought to have the Hessians" (*Corres.*, i. 293). Carleton got the Brunswickers.

⁴ *Corres. of George III with Lord North*, i. 294, n.

⁵ He was just then mustering into the Eng-

lish service some men whom a certain Lieut.-Col. Scheither had enlisted on his own account. This officer, a Hanoverian, had undertaken to raise four thousand soldiers. The whole of Germany at this time was covered with the recruiting stations of various powers, and competition was brisk. Lieut.-Col. Scheither's offers and the prospect of service in America would appear not to have been very attractive, for he had only brought together one hundred and fifty recruits. He seems to have acted under some difficulties, and not to have received the full countenance of George III in his character of Elector of Hanover. The laws of the empire against recruiting for foreign service were strict, and his majesty perceived that, "in plain English," they were turning him into a kidnapper which he "could not think a very honourable occupation" (*Corres. of George III with Lord North*, i. 292, 297). Scheither received ten pounds a head for his recruits.

road seemed to the impatient envoy the worst in Europe. It took him five days to get to Brunswick, a journey of about a hundred miles.

The government of Brunswick was in 1775 divided between the reigning duke, Charles, and his son, Charles William Ferdinand. The former had come to the throne some forty years before, and his reign had been ambitious and extravagant.¹ In 1773 the duke's oldest son had come to his assistance. Prince Charles William Ferdinand had inherited Prussian thrift along with the fine blue eyes which might remind those who saw him of his famous uncle, the great Frederick.² The duke his father might not draw a thaler without his signature. It was to the prince, therefore, that Colonel Faucitt's mission was really addressed.

The colonel was no stranger in Brunswick. He had served under the prince in the Seven Years' War. Immediately on his arrival he presented himself at the palace. Charles William Ferdinand was not very encouraging. For his own part, he would be happy to assist his brother-in-law, but he could not answer for the duke. Might not the troops be used in Ireland, or, some of them at least, at Gibraltar? He advised Faucitt not to appear in his public capacity until he was sure that the duke would accept the king's offer. The sight of the troops, said the prince two days later, was the only pleasure of his father's old age.³

Faucitt was kept waiting, however, only three days. The duke received him graciously, and referred him to his minister, Féronce, with whom to make a bargain. Negotiations went on speedily. The treaty, dating as of the 9th of January, 1776, was finally ratified on the 18th of February. Both Faucitt and Féronce received presents, on the ratification, from the courts with which they had negotiated. This appears to have been done openly. The gift of the Duke of Brunswick to Faucitt was a diamond ring worth a hundred pounds. Féronce received money, but the amount is not known.⁴

The treaty provided that the Duke of Brunswick should yield to the king

¹ A brother-in-law of Frederick the Great, he had assisted that monarch in the Seven Years' War with an army of 16,000 men, while his duchy numbered but 150,000 inhabitants. Nor did money go for soldiers alone. A college was founded and reforms were undertaken. The Italian opera, the French ballet, the German drama, found their home in the city of Brunswick, which Duke Charles had paved and lighted. A debt of twelve million thalers was contracted, while the yearly income of the duchy was only a million and a half.

² He made the most of his personal appearance, practising before the looking-glass the various rôles of gracious sovereign, serious statesman, tender friend, or fiery soldier. Crafty and able, he was a favorite with his uncle of Prussia, in whose army he served, and in whose concerts he played first violin. He had married the Prin-

cess Augusta, the older sister of George III, and had received with her a dowry of £80,000, with an annuity of £5,000 chargeable on the Irish revenue, and £3,000 on the revenue of Hanover. She was a dull, good-natured woman, willing to share her influence with her husband's mistress. Economy was now the principal business of the prince. (Vehse, *Geschichte der deutschen Höfe*, vol. 22.)

³ *State Paper Office, German papers*, vol. 101, quoted in German in the 1st edition of Kapp's *Soldat, u. bündel*, pp. 44, 45.

⁴ See in Almon's *Parliamentary Register*, vii., second table after page 58, the amounts spent each year from 1769 to 1777 for "jewels, or presents in lieu thereof, to ministers from abroad." The amount for these eight years was £11,457 7s.

of England a corps of 3,964 men infantry, and 336 cavalry, unmounted. They were to be fully equipped at the duke's expense, and officered by him. Of these men, 2,282 were to be ready to march on the 15th of February, and 2,018 in the last week of March, and the king was to cause most precise orders to be given in his electoral dominions that all necessary measures be taken to stop deserters, and convey them to the place of embarkation, there to join their regiments. Recruits were to be forwarded annually as needed.

The king granted to this corps all the pay¹ and perquisites enjoyed by the royal troops, and the duke agreed to let the troops actually receive the pay so granted. The king was to take care of the sick and wounded as of his own subjects.

There was to be paid to his Most Serene Highness, under the title of levy-money, 30 crowns banco, or £7 4s. 4½*d.* sterling, for every common soldier actually delivered to his Majesty's commissary at the place of embarkation. "According to custom," ran the next article, "three wounded men shall be reckoned as one killed; a man killed shall be paid for at the rate of levy-money. If it shall happen that any of the regiments, battalions, or companies of this corps should suffer a loss altogether extraordinary, either in a battle, a siege, or by an uncommon contagious makady, or by the loss of any transport vessel in the voyage to America, his Britannic Majesty will make good, in the most equitable manner, the loss of the officer or soldier, and will bear the expense of the necessary recruits to re-establish the corps that shall have suffered this extraordinary loss."

This clause is striking, as showing the strictly mercantile nature of the transaction. The corps of troops was the object of a lease. The lessor undertook to bear the loss occasioned by ordinary wear and tear, — in other words, the loss by death and disease, in the ordinary course of nature, — but the lessee was to be liable for any extraordinary waste or deterioration, by tempest, battle, or pestilence.

No extraordinary services, nor such as were out of proportion to those of the rest of the army, were to be demanded of these troops. The corps was to take the oath of fidelity to his Britannic Majesty, without prejudice to the oath which the soldiers had already taken to their own sovereign. In consideration of the expenses occasioned by the hasty equipment of the corps, two months' pay previous to the day of march was granted; but whether this was pocketed by the duke or by the soldiers does not appear.

The rent to be paid for the corps, by the king to the duke, amounted to £11,517 17s. 1½*d.* every year, from the date of the treaty, for so long as the soldiers should be in English pay, and twice that amount for two years after their return to Brunswick. This article caused a good deal of chaffering, but Faucitt made at last a better bargain than his instructions demanded. Indeed, Lord Suffolk's principal concern was lest time should be

¹ For the amount of this pay see Schlözer's *Briefwechsel*, vi. 342.

lost. The Brunswick contingent was assigned to the army in Canada, and an active campaign in that province, in 1776, was hoped for.

From Brunswick to Cassel is about sixty-five miles. Leaving the particulars of his treaty with the ducal court unsettled, as soon as the essentials were provided for, Colonel Faucitt hastened off to the pretty little capital on the Fulda, and opened negotiations with Landgrave Frederick II of Hesse-Cassel.¹

Faucitt arrived in Cassel on the 10th of December. He was to deal with Baron von Schlieffen, an accomplished diplomat and soldier. The court of Cassel was in better circumstances for making a bargain than that of Brunswick. The landgrave was in no pressing need of money; the number of his troops was greater than that of the duke's, and the reputation of the men was higher. Faucitt asked for ten or twelve thousand soldiers, and was surprised to find that the larger number was immediately granted him. The terms, however, were not such as he had obtained from Brunswick. The landgrave was important enough to exact consideration. The treaty provided that there should be between his Majesty the King of Great Britain and his Most Serene Highness the Landgrave of Hesse-Cassel, their successors and heirs, a strict friendship and a sincere, firm, and constant union, insomuch that the one should consider the interests of the other as his own, and apply himself in good faith to advance them to the utmost, and to prevent and avert mutually all trouble and loss. In pursuance of this policy of alliance, the king and the landgrave agreed to help each other, should the dominions of either be attacked or disturbed.

Under the treaty, the Hessian troops were not to be separated, unless reasons of war should require it, but to serve under their own general. This article was not observed in practice, one or more Hessian regiments taking part with the English in almost every operation in America.² Like the Brunswickers, the Hessians were to receive pay at the English rate. Von Schlieffen acknowledged that in former cases his government had not treated the soldiers fairly in this respect, but had received wages from the English at one rate, and paid the men at a smaller one. Out of respect for

¹ Frederick II of Hesse Cassel was the uncle of George III, having married the Princess Mary, daughter of George II. Frederick, however, had been converted to Catholicism, attracted, it was said, more by the ornamental side of that form of worship than by the tenets. He was certainly neither moral nor devout. He had maltreated his English wife, and she and her children left him when his conversion was made public in 1754, and did not see him again for the eighteen years during which she survived the separation. She died in 1772, and in 1773 the landgrave married again. For his second wife he soon came not to care at all. His mistresses and his bastards were numerous. In many respects the landgrave was frugal; yet he built a large Cath-

olic church in his Protestant capital, an opera house, and a museum. In his nefarious business he was diligent. His troops were excellent, and it was his favorite amusement to drill them himself. On rainy days he would even use the dining-room of his palace for this purpose. The men were not, even nominally, volunteers, as were most of the German soldiers of the time, but, like those of Prussia, were obtained by a rigid conscription, although every encouragement was given to officers to enlist strangers as volunteers.

² The German soldiers in America would appear to have been kept more together during 1776 than in later years.

the landgrave's feelings, the baron refused formally to renounce this practice, but he promised that things should be fairly managed this time. The levy-money was to be 30 crowns banco per man, as with Brunswick; but it was exacted for officers as well as for privates. The subsidy was proportionally greater than that of Brunswick, running at the rate of £108,281 5s. per annum from the date of the signature of the treaty until one year after the return of the troops to the Hessian dominions. The effect of these stipulations was that the landgrave received twice as much per man as the duke, although, had the war been a short one, as was expected when the bargain was made, his comparative advantage would have been smaller. In addition to this the landgrave received the sum of £41,820 14s. 5*d.*, which he claimed for hospital expenses in the Seven Years' War. This claim had been disallowed by the British government fourteen years before.¹

The treaty with Hesse-Cassel had no clause requiring England to pay for killed or wounded soldiers, except in case a regiment or company should be "ruined or destroyed either by accidents of the sea or otherwise."

The Earl of Suffolk's principal demand was haste. The colonies were to be brought to obedience in 1776; hence everything was pushed forward in Hesse. The English transports, however, were delayed. The first division of Hessians was mustered into the British service at Bremerlehe, near the mouth of the Weser, between the 20th of March and the 14th of April, 1776, and presently put to sea. The soldiers were fine men, in the prime of life; all well disciplined but one regiment—that of Rall, which had been too quickly raised from a peace-footing. The second division was embarked in June. The regiments had mostly been filled up for this service, and few of the soldiers looked more than seventeen or eighteen years old, but all were born Hessians. The whole force, when united, amounted to 12,394 men. The first division reached America before the battle of Long Island; the second, before the battle of White Plains.²

Several additional companies of chasseurs, trained marksmen, and game-keepers were added to the Hessian contingent in 1777 and afterwards. Recruits, to fill the ranks which death or desertion had thinned in America, were promptly forwarded. These last were generally poor material, and less trustworthy than the men first sent.³

When the two great contracts with Brunswick and with Hesse-Cassel were concluded, Colonel Faucitt had time to attend to smaller business. When the Princess Mary, the unfortunate English wife of Landgrave Frederick II, left her husband in 1754, she took with her three sons to Hanau.

¹ Schlieffen, p. 188; *Annual Register for 1777*, p. 88. Schlieffen says that the ministry waited until a day when most of the opposition were out of town to put the vote. Schlieffen succeeded in obtaining from Lord Suffolk levy-money for military servants. This money, with the consent of the landgrave, was paid to Schlieffen himself, in recognition of a bold stroke performed by him in the Seven Years' War, when

an aide-de-camp to Prince Ferdinand of Brunswick (Schlieffen, p. 190). For an account of this exploit, see Ewald's *Belehrungen*, iii. 433.

² The battle of Long Island was fought around Brooklyn, August 27; while the engagement at White Plains took place October 28, 1776.

³ Gen. Knyphausen's Report to the Landgrave, *M.S.*

William, the eldest of these sons, was independent count of that place. He was a narrow pedant, fond of books, and restlessly busy. He had the love of fine buildings, which was hereditary in his family, but was otherwise economical to the point of stinginess. To his cousin, George III, he looked up as to his protector; and, indeed, two Hanoverian battalions had at one time been stationed in the county to insure its independence. As early as August, 1775, the hereditary prince had written to the king of England, in bad French, to offer him a regiment of infantry, "all sons of the country," said he, "which your Majesty's protection alone assures to me, and all ready to sacrifice, with me, their blood for your service." The Earl of Suffolk could not sufficiently admire "the nobleness of sentiment and affectionate attachment which dictated his Serene Highness's offer, and the handsome manner in which it was expressed." Colonel Faucitt gave to the Count better terms than to any other dealer, except his serene father, the Landgrave. The Hanau regiment, six hundred and sixty-eight strong, was embarked on the Main, and followed the course of that river and of the Rhine to Holland. It served in Canada under Carleton and Burgoyne. Artillery and chasseurs were sent later, and proportionally paid for.¹

Up to the time of the conclusion of the treaty with the Count of Hanau the ministers of King George III had been dealing only with princes who were related to that monarch, or connected with him by marriage.² In the cases of the Prince of Waldeck, the Margrave of Anspach-Bayreuth, and the Prince of Anhalt-Zerbst, the dealers in human flesh had not even the poor excuse of kindred. The bargains made with them present no new features. All bear a resemblance to the treaty with the Duke of Brunswick and to that with the Landgrave of Hesse-Cassel. None are so favorable to the petty princes as the latter; none so unfavorable as the former. Negotiations with Bavaria, Württemberg, and two or three smaller states, came to nothing.³

The treaties⁴ for hiring German troops called forth warm debates in the House of Commons and the House of Lords. While Lord North and his supporters defended them as necessary and advantageous, the opposition

¹ Contract in Lowell's introduction to Pausch's *Journal*.

² The descendants of the landgrave and the duke were also descendants of the Electress Sophia; a fact used in argument by those who favored the letting of troops.

³ For the estimates for the pay of the German troops and the subsidies of the German princes, see the *Parliamentary Register*, 1770 to 1785, copied in the first edition of Kapp's *Soldatenhandel* (Appendix). Col. Faucitt was paid at the rate of £5 a day while conducting these negotiations; Col. Rainsford, who mustered the troops in Holland, £3 a day (Almon's *Parliamentary Register*, vi. 207). [The "Transac-

tions" of Rainsford "as commissary for embarking foreign troops from Germany, with copies of letters relative to it, 1776-1777," are in the *N. Y. Hist. Soc. Coll.*, 1879, p. 313, etc. Capt. Foy, who saw the Brunswickers at Stade, March 8, 1776, thought them capable of what might be required of them. (*Sparks MSS.* no. xxxii.) The muster rolls of the German auxiliaries in 1781-82, as mustered by the British commissary of muster, William Porter, are noted in John Gray Bell's *Catal. of books relating to the Amer. Rev. War* (Manchester, Eng., 1857), nos. 506-655, 603-607. — Ed.]

⁴ They are given in the *Parl. Reg.*, iii. and vii., and in Force, 4th ser., vi. 271-277, 356-58.

poured all its scorn on the princes, the soldiers, and the ministry. Nor were these bargains condemned in one debate alone. They formed thenceforth one of the standing grievances of which the friends of America complained. "Were I an American," cried Chatham, "as I am an Englishman, while a foreign troop was landed in my country, I would never lay down my arms — never — never — never."¹

The ministers of the king of France had watched the quarrel between England and her colonies with the greatest interest. They had sent an agent in the autumn of 1775 to spy out the condition of things in America, and to encourage the insurgents.² In March, 1776, the Comte de Vergennes addressed a minute to the King, in which he pointed out that the continuation of civil war between England and the colonies might be infinitely advantageous to the two Bourbon houses of France and Spain, as such a war would exhaust the victors and the vanquished. Peace between the contestants he held to be dangerous, whichever side might prevail; for the conquering party might attack French and Spanish America for the sake of commercial advantages; or England, if conquered, might seek compensation at the expense of her neighbors. Vergennes pointed out, however, that, as the kings of France and Spain did not wish to go to war themselves, they would do well to act with great prudence, persuade the English ministers that their intentions were pacific, and at the same time keep up the courage of the Americans by secret favors and vague hopes. It would be well, he thought, even to give the insurgents secret aid, in the shape of military stores and money; but it would not comport with the dignity of the king to treat with them openly until the liberty of America should have acquired consistency. Meanwhile, the effective force of the allied monarchies should be raised to the height of their real power.³

¹ The debates on the treaties are in Almon's *Parliamentary Register*, iii. 341; v. 174; Force's *Amer. Archives*, iii. 661-1020; vi. 88, 197, 271. Chatham's speech here quoted is in *Select Speeches*, v. 383. For other criticisms of the policy of the ministers, see Bancroft, ix. 313; Mahon, vi. 130; Lecky, iii. 459; Ryerson, ii. ch. 33. As late as Nov. 15, 1782, Lord Shelburne was looking about for additional German mercenaries to be used in Europe. (Schlieffen, 163.) [During the war 29,867 German mercenaries came over, and an average of about 20,000 were kept in the field, and 17,313 returned to Europe. They cost England £1,770,000, beside pay and maintenance. The approximate number killed in action was 548; wounded, 1,652; and missing, 127, — a total of 2,327. The total killed or died of wounds was about 1,200; died of disease, 6,354; and 5,000 deserted, which total, 12,554, accounts for all who did not go back to Germany. Congress sought to induce desertion by promising lands (*Journals*, i. 442, 456). A German

address to invite deserters was authorized to be scattered in April, 1778 (*Secret Journal*, i. 70), and in August steps were taken to form a corps of such deserters (*Journals*, iii. 43). Cf. *Mag. of Amer. Hist.*, iii. 49. — ED.]

² See a curious story in the *Life of John Jay*, by his son William Jay, New York, 1833, vol. i. p. 39, about a mysterious Frenchman who gave assurances of aid from France to a committee of Congress about Nov., 1775. This stranger was evidently Bonvouloir. See Doniol, vol. i., *passim*. [For later efforts to approach Congress in Feb., 1776, see Bancroft, viii. ch. 61; De Witt's *Jefferson and Amer. Diplomacy*; Force, 4th ser., vi.; 5th ser., i., ii., iii.; Doniol (i. ch. 5, 8, and "Annexes," pp. 153, 287) traces the beginning of the French interest in America in the mission of M. de Bonvouloir in 1775-76. — ED.]

³ A copy of this paper is among the *Sparks MSS.*, no. lxxiii. p. 11, in the library of Harvard University. A translation is in Bancroft, viii. 331.

The minute of Vergennes was submitted to Turgot for his opinion. On the 6th of April he handed in a long paper.¹ He maintained that the most desirable result of the disturbances in America would be the subjugation of the colonies by England; because so long as they were kept forcibly under the English yoke the Americans would be discontented, and a large part of the strength of England would be required to keep them in subjection. He pointed out that the loss of Canada had indeed been a gain to France, since the English colonies, delivered from the fear of Canadian interference, had ceased to depend on the protection of Great Britain; but he suggested that should those colonies become permanently disaffected, the possession of Canada might become valuable to France, that province being looked on by the English colonies as an ally against their mother country. Turgot recognized that a reconquest of Canada was out of the question for the present, but thought that future circumstances might make it possible. He did not believe that the English, if beaten by their colonists, could compensate themselves by an attack on the French and Spanish possessions in America. The revolted Americans, who would just have won a victory, would not allow their enemies to make themselves stronger in their neighborhood. On the other hand, Turgot held that the independence of the American colonists would cause a great revolution in commerce and politics the world over. All the countries of Europe having colonies in America would be obliged to assume a new attitude towards them. He foresaw in America a great agricultural country, maritime at first from necessity, and perhaps permanently from choice, practising free-trade and enforcing it on the world.

Turgot proceeds to point out with great frankness the weakness of France. The annual expenditure exceeds the revenue by twenty million livres. The army and navy are incredibly weak, and it will be necessary to strengthen them when the balance in the finances is reëstablished. War can be waged, if absolutely necessary, but it is not to be desired.

Under these circumstances Turgot desired to obtain all possible information from America; believing that if the colonists knew of how much use a number of retired French officers would be to them, such officers would be taken into the American service; and that their private letters would give all the information desirable, without compromising the ministry. Turgot would allow the insurgents to buy arms and ammunition in France, but would not go so far as to give them money, which would be a breach of neutrality, although he recognizes that money is what they most need.²

Nothing is more curious than the tone of these French ministers. Although France is at peace with England, Vergennes and Turgot alike assume an attitude of hostility. They do so simply, naturally, almost

¹ *Œuvres*, viii. 434; cf. Doniol, i. 280.

² He insinuates that they might indirectly be put in the way of receiving money.

without apology. Whatever is worse for their rival is better for them. The colonists are to be helped and encouraged, not from any love for themselves, which would be absurd, but only in so far as they may injure the mother-country.¹

The same feeling of hostility toward England governed the actions of the court of Spain. Grimaldi, the minister of foreign affairs, proposed to share with France the expense of sending money secretly to the insurgents. That astute old courtier, Aranda, who represented his Catholic Majesty at Versailles, was interested on the same side. The removal of Turgot and Malesherbes from the French ministry soon after the above minutes were sent to the king, diminished the weight of the party of prudence. The king had no will of his own. The whole system of Maurepas was to drift. The more energetic counsels of Vergennes prevailed, and in May, 1776, the French court informed the king of Spain that it had resolved secretly to advance a million livres to the insurgents, acting under the cover of a commercial house.² King Charles III, after a little hesitation, entered into the scheme, and with many precautions against discovery, remitted to Paris a like sum, to be used in the same way.



SILAS DEANE.*

of consideration in his native colony. It may seem ominous that this first diplomat sent by the United States of America was ignorant of the French

The Americans, meanwhile, were looking about for help. On the 29th of November, 1775, a committee of five members was appointed by the Congress in Philadelphia for the purpose of corresponding with "friends in Great Britain, Ireland, and other parts of the world." Early in 1776, the committee determined to have an agent in France. Silas Deane was selected for this purpose. He was born in Connecticut, from which colony he had been a delegate to the first Congress. He must have been between thirty-five and forty years old in 1776, having graduated at Yale College in 1758, and he appears to have been a man of some wealth and

¹ This hostility, dating at least from the Hundred Years' War, had been aggravated by the treaty of 1763, and especially by the humiliating conditions of the Treaty of Aix-la-Chapelle, by which an English commissioner was allowed to

live at Dunkirk, and, under pretext of seeing that no fortifications were erected, insist that no stone should be turned near the harbor without his leave.

² Flassan, vi. 143.

* [From Du Simitière's *Thirteen Portraits* (London, 1783). It was reengraved in the *European Mag.* (iv. 165) the same year. Cf. also *Heads of illustrious Americans* (London, 1783). Cf. Lossing's *Field-Book*, i. 85; *Mem. Hist. Hartford County*, ii. 444. — ED.]

language. Deane's instructions are dated March 3, 1776.¹ He was to proceed to France in the character of a merchant, and to seek an audience with the Comte de Vergennes. He was to inform that minister that he had been dispatched by the authority of Congress to apply to some European power for a supply of arms and ammunition; that France had been pitched on for the first application, "from an opinion," said the instructions, "that if we should, as there is great appearance we shall, come to a total separation from Great Britain, France would be looked upon as the power whose friendship it would be fittest for us to cultivate."² Deane was to apply for clothing and arms for twenty-five thousand men, with a suitable quantity of ammunition and a hundred fieldpieces. Congress promised to pay for these things as soon as the navigation of the Americans could be protected by themselves or their friends. Deane was, moreover, to say that great quantities of linens and woollens, with other articles for the Indian trade, were also wanted; that he was actually purchasing them, and asked no credit; and that the whole, if France should grant the other supplies, would make a cargo which it might be well to secure by a convoy of two or three ships of war. If Deane should find the minister inclined to speak freely, and disposed to favor the colonies, he was to endeavor to ascertain whether, if the latter should form themselves into an independent state, France would probably acknowledge them as such, receive their ambassadors, and enter into any treaty or alliance with them, for commerce or defence, or both; and if so, on what principal conditions.

There was in Paris, at this time, one Pierre Auguste Caron, now well known in literary history under the name of Beaumarchais. The son of an intelligent and respectable watchmaker, he had begun life by inventing an escapement; next, on account of his fine voice and agreeable manners, had become reader and singer to the daughters of Louis XV; and had thus been admitted, in a very subordinate capacity, to one of the highest circles of the court. Beaumarchais had next done a favor to a financier, who had advised him in speculations which had made his fortune; he had bought an office which conferred nobility — "his own, for he had the receipt;" and he had made a noise in the world with his quarrels, lawsuits, pamphlets, and plays. Two of these last still hold the stage in their original form, and the genius of one of the greatest of composers has made his "Figaro" immortal. Bold, clever, fond of speculation, Beaumarchais was just the man for the purposes of Vergennes. He had already been employed in the more hidden paths of diplomacy, and had shown himself quick-witted and adventurous. In June, 1776, he was still under sentence of the Parlement of Paris, which had deprived him of his civil rights for

¹ Sparks's *Diplomatic Correspondence*, vol. i. p. 5; Pitkin's *U. S.*, App. 23, and his first letter in App. 24.

² This expression is interesting as showing how far a committee of Congress was ready to

go, four months before the Declaration. The instructions are signed by B. Franklin, Benj. Harrison, John Dickinson, Robert Morris, and John Jay. Sparks's *Diplomatic Correspondence*, i. 7.

attempting to bribe a judge; but the affair, in which Beaumarchais had manifested both spirit and eloquence, had done him good rather than harm in public opinion.

As early as September, 1775, we find Beaumarchais hurrying off secretly from London to Paris, and laying before Louis XVI a highly colored account of the situation in America and in England. According to him, thirty-eight thousand armed and determined men are besieging Boston; forty thousand more defend the rest of the country. They are all sailors, fishermen, or stevedores; so that not one pair of hands is taken away from agriculture and manufactures. But all this is less fatal to England than the civil war which is soon to break out in that country. Heads are to fall in the course of the winter. Both Lord Rochford and John Wilkes have told him so. Meanwhile, the French ambassador sees nothing, and ought to be superseded.

Having sent in this report, Beaumarchais returned to England, whence he kept up a correspondence with the Comte de Vergennes. On the 29th of February, 1776, he sent a second paper to the king, which seems to have been to some extent the foundation of the minute of Vergennes above cited. In his paper, Beaumarchais gives an account of a conversation with Arthur Lee, in which the latter is made to send something not unlike an ultimatum to King Louis. "For the last time," Lee is quoted as saying, "has France absolutely decided to refuse us all succor, and to become the victim of England and the laughing-stock of Europe by this incredible apathy. . . . We offer to France, as the price of her secret help, a secret treaty of commerce, which will turn over to her for a certain number of years after the peace all the benefits with which, for a century, we have enriched England; beside a guarantee of her possessions according to our strength. . . . Go to France, sir; explain the state of affairs. I shall shut myself up in the country until your return, so as not to be forced to give an answer" (to Lord Shelburne and others who wish for a reconciliation) "before having received one from you." Lee, a Virginian long resident in England, was ready enough to threaten and to assume a high tone. He may have used some such language as that ascribed to him by Beaumarchais. Lee was at this time entirely without authority from Congress to negotiate with anybody, but the Committee of Correspondence of that body had made him its agent to collect information as to the disposition of foreign powers toward the colonists.

The ministers of Louis XVI were not inclined to enter into a treaty, however secret, with the yet unborn republic of the United States. They were willing, however, as we have seen, to do something for the insurgent colonists of Britain. Beaumarchais received, on the 10th of June, 1776, a million livres from the French government. On the 11th of August he received the Spanish million. On the 12th of June, Beaumarchais wrote to Arthur Lee as follows: "The difficulties which I have found in my negotiations with the ministry have induced me to adopt the plan of forming a

company, which will immediately send help to your friend in the shape of powder and ammunition, in exchange for tobacco, to be delivered at Cap Français."¹ It was as much, perhaps, from a love of the picturesque as in order to conceal the participation of the French government, that Beaumarchais chose to conduct his commercial operations under the name of *Roderigue Hortalez et Compagnie*.

Silas Deane arrived in Paris early in June, 1776. He was admitted to an interview with the Comte de Vergennes a few days after his arrival,



BEAUMARCHAIS.*

conversing with him through the interpretation of a secretary of the French foreign office, who spoke English well. The Count was encouraging. He questioned Deane freely on American affairs, refused to commit himself on the question of independence, and said that, in view of the good understanding between the two courts of Versailles and London, the French

¹ The old name of Capé Haytien. There is reason to suppose that at this time Vergennes expected to employ other agents besides Beaumarchais (Loménie's *Beaumarchais et son Temps*, ii. 117 n.; Plassan, vi. 143, 169; Sparks's *Diary*, i. 22).

* [After a print in Bettelheim's *Beaumarchais*, 1880. Cf. *Penna Mag. of History*, April, 1881, vol. xi, p. 7.—ED.]

could not openly encourage the shipping of warlike stores, but that no obstruction would be raised. He took Deane under his personal protection, warned him that the English ambassador knew of his arrival and that he must beware of spies, and approved of the trick by which the envoy had come through Bermuda and passed himself off as a merchant of that island.¹

Deane had brought letters to a physician named Dubourg, a friend of Dr. Franklin; and this man was not without hopes of entering into the contracts with Congress on his own account. Dubourg warned Deane that Beaumarchais, "though confessedly a man of abilities, had always been a man of pleasure, and not of business." At the same time the doctor wrote to Vergennes that the dramatist would not find credit with the merchants and manufacturers. Dubourg would seem at this time to have been ignorant of the subvention given to Beaumarchais. He accused the latter also of being a loose liver; but all his remonstrances were of no effect. Dubourg was mercilessly laughed at by Beaumarchais, to whom Vergennes had shown his letter, and who pointed out that the women whom he was accused of keeping at his house were his two sisters and his niece. Deane was told by Vergennes to rely on whatever Beaumarchais should engage in the way of supplies.² The envoy was much elated. He looked for the speedy approach of a general European war, in which, while England was busy in America, Spain should take possession of Portugal, and Prussia and France should subdue and incorporate into their dominions "Hanover and the other little mercenary electorates which lie between them, and which for several centuries have been one principal cause of every war that has happened in Europe."³

The affair of the moment, however, was the contract with Beaumarchais. Deane was not deceived by the character in which the Frenchman appeared. "Everything he says, writes, or does," says the envoy in his letter to the Committee of Correspondence, on the 15th of August, 1776, "is in reality the action of the ministry; for that a man should but a few months since confine himself from his creditors, and now, on this occasion, be able to advance half a million, is so extraordinary that it ceases to be a mystery."⁴ Between Deane and Beaumarchais, however, the semblance of a commercial correspondence was maintained. Beaumarchais left to Congress the option of paying for the goods what they might be worth on their arrival in America, or their cost in France with insurance and commissions. Deane promised payment within a year, by means of tobacco, already engaged, he said,

¹ [Deane is charged with having encouraged, during the early months of his Paris residence, one James Aitken to set on fire the Portsmouth dockyard in England, in Dec., 1776. Cf. Howell's *State Trials*, xx, 1365; Sabin, viii, 31,832-31,841; Mahon, vi, 142; P. O. Hutchinson, ii, 141-143. Cf. Walpole's *Last Journals*, ii, 100. The man was known as John the Painter, and published a *Short Account of the Motives which determined*

the man called John the Painter, and a Justification of his Conduct (London, 1777). — ED.]

² Sparks's *Dip. Corres.*, i, 18, 28.

³ *Ibid.* p. 20.

⁴ Deane, however, in his narrative, says that it was not hinted to him until many months later that Beaumarchais had received money from the court of France (*Papers in the case of Silas Deane*, p. 29).

by Congress. He held out hopes that a considerable quantity of the commodity might arrive in six months. The American might, in his own mind, recognize that the Frenchman was an agent; he was bound, both publicly and between themselves, to treat him as a principal. Beaumarchais, meanwhile, was allowed to buy of the state the arms and ammunition he wanted.¹ Two hundred pieces of brass cannon were taken, instead of one hundred, lest some of them should be intercepted on their way to the insurgents. Roderigue Hortalez et Compagnie established themselves in a large house in the Faubourg du Temple. But the versatile head of the firm had more than one name at his service. We find him at Havre under that of Durand in December, 1776, transacting the business of Hortalez, and superintending the rehearsal of the comedies of Beaumarchais. Three vessels, containing the first consignment of clothing and stores, got off early in 1777, in spite of many obstacles. Five more vessels followed, all but one of which reached America. But quarrels began to arise between the American envoys and Hortalez. Arthur Lee² had come from London. He and Deane were presently at daggers drawn: Deane maintaining that payment should be made to Beaumarchais according to contract; Lee affirming that the contract was fictitious, that Vergennes had repeatedly assured him so, and that nothing ought to be paid for. Beaumarchais, meanwhile, was dispatching arms and clothing, accompanied by letters containing incorrect invoices, advice to choose a dictator, protestations of enthusiasm, orders for tobacco, information about salt fish, and offers of service, and closing with such paragraphs as the following: "Gentlemen, pray consider my house the head of all operations in Europe useful to your cause, and my person the most zealous partisan of your nation, the soul of your success, and the man most deeply penetrated with the respectful esteem with which I have the honor to be, &c., *Roderigue Hortalez et Cie.*"³

The Committee of Congress did not even reply to these letters. They knew Hortalez to be a fictitious personage, and believed him to be dealing with capital furnished by the French government. The firm did indeed receive another million livres in 1777.⁴ Beaumarchais, however, had embarked much money of his own, besides ventures for others. He was dealing with individuals in America, and with States, as well as with Congress. From the last he had received, up to the summer of 1778, but three hundred thousand francs, and that only after a contention with the commissioners. In spite of the small returns from America, his operations grew under his hands. As war broke out he armed his ships.⁵ He also dispatched an

¹ Beaumarchais paid for brass cannon forty sous per pound, for cast-iron ninety francs per thousand pounds, and for muskets twenty-three francs apiece (Loménie's *Beaumarchais*, ii. 133 *n.*)

² Cf. Sparks on Lee's character, in his *Franklin*, viii. 60 *n.*

³ This letter is given in Sparks's *Dip. Corres.*, i. 35-39. The ending in the form here given is

translated from the French of Loménie's *Beaumarchais*, ii. 144.

⁴ Loménie's *Beaumarchais*, ii. 145, says 1,074,496 livres. Whether that sum was ever returned to the French treasury does not appear. Other persons were interested with Beaumarchais (Detelheim, p. 392; Loménie, ii. 144 *n.*, 153).

⁵ Beaumarchais' little navy in December, 1778,

agent to America. Meanwhile, Deane was recalled, and confronted by Congress with the letters of Arthur Lee. Deane stuck to his point, however, and maintained that there was a contract, and that Congress was liable to Beaumarchais. The latter's agent in Philadelphia declared that his principal would send no more goods, unless the old debts were acknowledged and an explicit contract made for the future. The amount in dispute at this time was about five million livres. In their perplexity, Congress ordered the new contract to be signed, but to be sent to Paris for ratification by the American commissioners, who were first to get the opinion of the Comte de Vergennes upon the real indebtedness of the United States.¹ That minister informed the commissioners that the king had furnished nothing, and had only allowed Beaumarchais to take stores from his arsenals on condition of their being replaced; but that he would see that Congress was not pressed for payment for the military articles. As to the new contract, Vergennes declined to take any responsibility. On receiving the answer of Vergennes, Congress, on the 15th of January, 1779, sent a polite letter to Beaumarchais, promising to take prompt measures to satisfy his claim.² These measures, however, did not take the shape of money nor of tobacco, but of bills of exchange drawn on Dr. Franklin, at three years' sight. It took nine months, moreover, to prepare them; for, in spite of the assurances of Vergennes, neither Congress nor Franklin could be persuaded that the supplies had been sold, and not given.³

had at its head a three-decker of sixty guns, carrying no cargo, called "Le fier Roderigue." This ship was pressed into the French service by the Comte d'Estaing, at the naval battle of Grenada, on the 12th of July, 1779, and her captain was killed. The ten merchantmen which she was convoying were dispersed, and most of them taken by the English. Beaumarchais afterwards received from the French government two million francs for his losses in this expedition (Loménie's *Beaumarchais*, ii. 167 n.; Bettelheim, p. 418).

¹ *Journal of Congress*, April 7, 1778. Congress was fairly puzzled, and not unnaturally so, as to its relation to Hortaléz and to Gardoqui. See the letter of the Committee of Foreign Affairs to A. Lee, May 14, 1778, Sparks's *Dipl. Corresp.*, ii. 159.

² *Journal of Congress*, Jan. 15, 1779. See also June 5 and 18, 1779.

³ In 1781, Silas Deane came back to France to settle the accounts which he had left open. He recognized that the United States owed Beaumarchais 3,600,000 livres. In 1784 the consul of the United States in Paris went over the accounts and cut down the amount. On the 25th of February, 1783, the French government, on the occasion of a new loan to the United States, recapitulated not only the sums already lent, but those given by the king of France to the

United States during the war (*Treaties and Conventions*, Washington, 1871, p. 258, *Ex. Doc. no. 36, 41st Cong., 3d Sess., Senate*). Among these sums was included one of a million livres, given on the 10th of June, 1776. This was recognized by Franklin as having been given to Beaumarchais, and the government of the United States thereupon claimed the right to set it off against the latter's claim. Vergennes, however, when appealed to, refused to give up Beaumarchais' receipt. The amount had probably been inserted in the document of 1783 by inadvertence, without considering its effect on Beaumarchais' claim, which the French government was inclined to favor. The matter was submitted in 1787 to Arthur Lee, Beaumarchais' personal enemy, who found that the Frenchman owed Congress 1,800,000 francs; and to Alexander Hamilton in 1793, who found that the United States owed Beaumarchais 2,280,000 francs, but that no payment should be made until the question of the set-off of a million francs was settled (Loménie, ii. 193. I cannot find this report by Hamilton). On the application of Gouverneur Morris, then minister to France, a copy of the receipt of Beaumarchais for this amount, dated June 10, 1776, was given up. In this receipt Beaumarchais promised to account for one million (livres) with the Comte de Vergennes. Congress might well have considered that this re-

Silas Deane appears to have managed the pecuniary affairs of his post with reasonable discretion. He was never able to show proper accounts or vouchers, but his powers were more or less indefinite, and his affairs complicated. It is no justification, but perhaps an excuse, for Deane that a general vagueness overhung the public finance of his time. Neither the Congress nor the king of France could have told the amount of their debts in 1777 with any great accuracy. Deane has been repeatedly accused of dishonesty, but of that crime I can find no evidence.¹ In obtaining and forwarding stores he was energetic and efficient. In another class of matters he showed a deplorable want of wisdom. Congress had voted in secret session, on the 2d of December, 1775, that the Committee of Correspondence should endeavor to engage not more than four skilful engineers. No reference to this vote is found in Deane's letter of instructions, but it is probable that he had



ceipt concerned only the relations between the contractor and his own government, and in no wise concerned the United States. From this time until his death, in 1799, Beaumarchais was clamorous for a settlement of his accounts. From 1799 to 1835, his family, supported in their claim by the French government, which repeatedly denied that the million francs of the 10th of June, 1776, could properly be deducted, sought in vain for payment. The claim was the subject of not less than three Presidents' messages and thirteen reports to Congress, between 1778 and 1828. Finally, nearly sixty years after the liability had been incurred, the United States government paid \$160,000 to the heirs of Beaumarchais.

[The letters of Francy, Beaumarchais's agent in Philadelphia, 1777-80, are given in copies in the *Sparks MSS.*, no. lxxvi. Cf. John Bigelow's *Beaumarchais the Merchant*, an address before the N. Y. Hist. Society in 1870 (*Hours at Home*, xi. 160); also Force's *Amer. Archives*, 5th series, vol. i.; *Dipl. Corresp.*, i. and xii.; Pitkin's *U. S.*, i. ch. 10; Parton's *Franklin*, ii. 167, 203; *Mag. of Amer. History*, Nov., 1878; introd. to George Sumner's *Fourth of July Oration in Boston*, 1859; Dr. Charles J. Stillé's *Beaumarchais and the Lost Million*, in the *Penna. Mag. of History*, April, 1887, and separately: beside references in *Pool's Index*, p. 105. To Beaumarchais is attributed *Le Vœu de toutes les nations et l'intérêt de toutes les puissances dans l'abaissement de la Grande Bretagne* (1778), dedicated to Franklin. — ED.]

¹ Concerning Deane's character, see in *Men and Times of the Revolution, or personal memoirs of Elkanah Watson*, giving the opinion of Col. John Trumbull. [For Deane's family connections and life, see *N. E. Hist. and Genral. Reg.*, Oct., 1849, vol. iii. 382; C. J. Hooadly in *Penna.*

Mag. of Hist., i. 96; Parton's *Franklin*, ii. 189, 353; *Mag. of Amer. Hist.*, July, 1884, p. 17; and references in *Pool's Index*, p. 337; bibliography in Sabin, v. p. 285; his correspondence in *Conn. Hist. Soc. Coll.*, ii. 129; *Penna. Mag. of Hist.*, July, 1887, p. 199; *Dipl. Corresp.*, i.; Force's *Amer. Archives*, 5th ser., ii., and copies in the *Sparks MSS.*, no. lii.; and for the troubles which grew out of his service in Europe, *The Papers in relation to the Case of Silas Deane*, Philad., 1855, and *Life of A. Lee*. An indignant letter to Hancock in 1778, relative to the attitude of Congress towards him, is in the *N. E. Hist. and Genral. Reg.*, 1863, p. 55. The MSS. of his memorials to Congress, 1778-1779, are noted in the *Britley Catal.*, no. 2,138. The Report of the Committee of Congress on the matter between Deane and Lee is in the *Sparks MSS.*, no. xlix. Deane's address to the people of the United States was first printed in the *Philadelphia Gazette*, Dec., 1778; and Thomas Paine answered it in the *Philadelphia Packet*, Jan. 2, 1779. The *Political Mag.*, iii. 261, published what is called an intercepted letter, dated Paris, May 14, 1781, hinting at civil war; and the Tory New York printer, Kivington, the same year published *Paris Papers, or Mr. Silas Deane's late intercepted letters* (*Sparks Catal.*, no. 739). The *Secret Journals of Congress*, iii. 64, give two letters. In his vindication, Deane printed *An address to the free and independent citizens of the United States* (Hartford, London, New London, 1784). His wrongs are further portrayed in a *Memorial to Congress* by his heirs in 1835, and the claim was finally adjusted in 1842, when the heirs received a large sum. Cf., on Deane's character, Jay's *Life of Jay*; Bancroft's *United States*, viii. 318; and Doniol's *Participation de la France*, etc., i. ch. 14. — ED.]

been verbally instructed to engage the four engineers.¹ On his first arrival in Paris he was met by the applications of a number of officers who wished to serve in America. The importunity of such officers increased as time went on. Deane yielded altogether too much to their solicitations. "If it be politic," he wrote, "to interest this kingdom in the present contest, what way so effectual as to get into their debt for supplies, and employ persons of good family and connexions in it in our service?"² He asked for instructions, but correspondence was slow, and the officers were eager. He appears to have begun by giving encouragement, and ended by giving promises. The persons sent were mostly soldiers of fortune, seeking employment in America for the sake of pay and glory. The larger number of them were ignorant of the country and of its language.³ Du Coudray, the first whom Deane engaged, obliged one of Beaumarchais's ships to turn back at a critical moment, because he was not satisfied with his own quarters, and thus endangered the enterprise of sending stores. He made much trouble in America by his claim to command the artillery, but was fortunately drowned in the Schuylkill before working great injury to the cause.⁴ The machinations of Conway, another of Deane's officers, added to the difficulties that surrounded Washington at Valley Forge. Deborre quarrelled with Congress after the battle of the Brandywine, and threw up his commission.⁵ Congress was soon obliged to decline to recognize the validity of Deane's agreements, for the native officers refused to see foreigners, without great claims, promoted over their heads. Yet the number of foreign generals was, throughout the war, disproportionate to the merits of the class. No single foreigner in the army of the United States, with the sole exception of Pulaski, had ever held *bona fide* rank above that of lieutenant-colonel in Europe. Several French officers did good service in subordinate capacities in America, and Kalb and Steuben might be reckoned exceptions to the general uselessness; but it was Steuben that moved Washington to write in a moment of irritation: "In a word, although I think the Baron an excellent officer, I do most devoutly wish, that we had not a single foreigner among us, except the Marquis de Lafayette, who acts upon very different principles from those which govern the rest."⁶

¹ The engineers were actually engaged by a contract of 13th Feb., 1777, signed by Franklin and Deane. They were Du Portail, De Laumoy, and De Gouvion, to whom Rاديère was afterwards added (Sparks's *Dipl. Corresp.*, i. 265).

² Sparks's *Dipl. Corresp.*, i. 27.

³ On the importunities of such officers, see Franklin to James Lovell, in *Franklin's Works*, viii. 228; and another letter from the same to the same, in *J. Adams's Works*, ix. 468; also the *Mémoires de Montbarcy*, ii. 261, and Deane's letters.

⁴ Du Coudray was supposed by some people to have committed suicide. (Mercey Warren, i. 398. See also *Papers in the case of Silas Deane*,

33.) [A Report on the advances to sixteen French officers who came over with De Coudray is in the *Journals of Congress*, Aug. 5 and 13 and Sept. 2, 1777. The journals show various acts of legislation in 1777. Hamilton's letter of May 6, 1777, on the embarrassments of the case, is in his *Works*, ed. Lodge, vii. 491. Cf. Greene's *Historical View of the Amer. Rev.*, 283; Lecky, iv. 54, 55; *Mag. of Amer. Hist.*, iii. 364. — ED.]

⁵ Concerning Deborre, see Sparks's *Washington*, v. 463; *Dipl. Corresp.*, iii. 114. Deborre's MS. journal was sold by a bookseller in Paris in 1883 or 1884.

⁶ Sparks's *Washington*, vi. 15. See the biographies of Kalb and Von Steuben by Friedrich

Among the various officers who flocked to America with Deane's commissions, it is probable that some were spies of the French government, or were especially sent to forward the schemes of persons in France. Thus Kalb, as it now appears, was sent as an emissary of the Comte de Broglie. It had occurred to that nobleman that he might make himself the William of Orange of the young republic, and Kalb was empowered to treat with the Americans and arrange particulars. On arriving in America, however, Kalb had the sense to see that the scheme was chimerical, and to abandon it.¹ He became a hard-working servant of the United States, but his voluminous correspondence was noticed in the army, and it is not unfair to imagine that the man who had twice come to America on secret missions² may have had some undeclared motive to the end of his days.³

It was not single adventurers only whose services were offered to Deane. The dealers in human flesh came forward with their wares, and their proposals were listened to. "I have been offered troops from Germany on the following general terms," writes Deane to the committee on the 28th of November, 1776, "viz. ; — officers to recruit as for the service of France, and embark for St. Domingo from Dunkirk, and by altering their route land in the American States. The same has been proposed with Switzerland, to which I could give no encouragement, but submit it to your consideration in Congress, whether, if you can establish a credit as I have before hinted, it would not be well to purchase at Leghorn five or six stout frigates, which might at once transport some companies of Swiss, and a quantity of stores.

[continued on p. 40.]

Kapp; also *Journals of Congress*, Sept. 8, 1777. [A long letter from Steuben, giving a statement of the inducements held out to his coming to America, is in the collection of papers belonging to J. H. Osborne, of Auburn, N. Y. Various letters accrediting him on his coming over are in the *Sparks MSS.*, xlix. vol. ii. De Kalb's letter, setting forth the conditions of his joining the army, dated Bethlehem, Sept. 18, 1777, is in J. G. Rosengarten's *German Soldier in the wars of the United States*, Philad., 1886, p. 25. For the agreement, Dec. 6, 1776, with De Kalb and Lafayette, see *Dipl. Corresp.*, i. 291; *Sparks's Washington*, v., App.; E. M. Stone's *French Allies*, p. 39; *Collection de manuscrits relatifs à l'histoire de la Nouvelle France* (Quebec, 1882), iv. 336, 337. — Ed.]

¹ [Cf. *Mass. Hist. Soc. Proc.*, 1883, p. 344. — Ed.]
² [Copies of De Kalb's letters on his mission in 1768, from originals in the Dépôt de la Guerre, are in the *Sparks MSS.*, xxxii. vol. i. Cf. *Doniol*, i. ch. 18; ii. ch. 2. — Ed.]

³ See Henry Lee's *Memoirs of the War in the Southern department of the United States*, Appendix D. Concerning the plan to put the Comte de Broglie at the head of American affairs, see the *Life of John Kalb*, by Friedrich Kapp. There is some reason to suspect that the person intended was the Maréchal Broglie, brother to the count (Almon's *Remembrancer*, vii. 375). The idea may have originated with the French government, and been suggested by Beaumarchais to Arthur Lee. See Arthur Lee to Governor Colden, London, Feb. 13, 1776, in *Sparks's Dipl. Corresp.*, ii. 9. A little book by the Vicomte de Colleville, *Les Missions secrètes du Général Major Baron de Kalb et son rôle dans la guerre de l'indépendance américaine* (Paris 1885), is written by an author who has had access to some of the papers belonging to a descendant of Kalb. These papers had already been used by F. Kapp, with whose book De Colleville does not appear to be acquainted. The latter thinks that Lafayette is the person in whose behalf the

plots were made. Charles François, Cte. de Broglie, born 1719, died 1781, was for many years one of Louis XV's principal agents in his secret diplomacy. See *Le Secret du Roi, par le Duc de Broglie*, Paris, 1878.

The Baron de Kalb
man g^e

*Vota Virtutibus armis et Seruicium
Polaski*



PULASKI'S AUTOGRAPH AND SEAL.*

I De Steuben Major General and Inspector General

I do acknowledge the UNITED STATES of AMERICA, to be Free, Independent and Sovereign States, and declare that the people thereof owe no allegiance or obedience to George the Third, King of Great-Britain; and I renounce, refuse and abjure any allegiance or obedience to him; and I do *swear* that I will to the utmost of my power, support, maintain and defend the said United States, against the said King George the Third, his heirs and successors and his or their abettors, assistants and adherents, and will serve the said United States in the office of *Inspector General* which I now hold, with fidelity, according to the best of my skill and understanding.

de Steuben

*sworn before me, Camp Valley Forge May 20th 1778
G. W. H. [Signature]*

STEBEN'S OATH.†

* [From Johnson's *Traditions and Reminiscences of the Amer. Revolution* (Charleston, 1851). There is a portrait of Pulaski, engraved by H. B. Hall, in C. C. Jones's *Georgia*, vol. ii. — Ed.]

† [Fac-simile from the original, belonging to John C. Ropes, Esq., of Boston, who received it from the late Rev. William B. Sprague, D. D., of Albany. A similar oath is printed in Kapp's *Johann Kalb*, as also taken by that officer before Washington.]

There was a copperplate engraving of Steuben published in 1783, from which a fac-simile is given in Dr. E. O. Hopp's *Bundesstaat und Bundeskrieg in Nord-Amerika*, Berlin, 1886, p. 233. — Ed.]

[NOTE ON PORTRAITS OF FRANKLIN.—While not attempting to make a complete list of Franklin portraits, painted or engraved (see list in *Penna. Mag. of Hist.*, July, 1887, p. 173), some of those interesting as distinctive likenesses or as early engravings may be named. The earliest of adult years is one painted at London when he was twenty years old, which now hangs in Memorial Hall, Cambridge, Mass. It is engraved in Sparks, vol. i., in the *Memorial Hist. of Boston*, ii. 277, and (head only) in Parton (vol. i.); also in Scharf and Westcott's *Philadelphia*, i. 220. Of the likenesses later in life Mr. Chas. Francis Adams says: "Most of the portraits of Franklin came from France, and have ease and polish, but do not show positive, fixed character" (*Mass. Hist. Soc. Proc.*, x. 412; cf. on those painted in Paris, E. E. Hale's *Franklin in France*, p. 150), like



BENJAMIN FRANKLIN.

(From the *Impartial History of the War*, London.)

that painted in England by Gainsborough, and belonging to the Marquis of Lansdowne. The two English portraits best known are those by M. Chamberlin and David Martin. That by Chamberlin (cf. Sparks's *Franklin*, viii. 118), as copied by Leslie, hangs in Memorial Hall; and, engraved by J. C. Turner, it appeared in Bancroft's *United States* (large paper ed.), 1861, vol. iv.; by E. Fisher, it appeared in mezzotint in London; and it is the basis of the engraving herewith given as from the London edition of the *Impartial History of the War*. The well-known Martin picture, representing him reading, with spectacles and with thumb on chin, was painted in London, and is now at Airdrie House, Scotland (*Mass. Hist. Soc. Proc.*, xv. 11). A copy made by the artist for Franklin was sent to his family, and belonged in 1871 to the late H. J. Williams of Philadelphia. It is engraved in Delaplaine's *Repository* (1815); in the *Analytic Magazine*, June, 1878; in Sanderson's *Scenes*, vol. ii.; and by Welch in Sparks's *Franklin*, vol. ii. A likeness, perhaps by Copley, given by Franklin to

Governor Pownall, belongs to the Rev. C. C. Beaty-Pownall, of Bedfordshire (*Mass. Hist. Soc. Proc.*, xiv. 160; R. C. Winthrop's *Speeches*, 1878-1886, p. 429). One by Lodge, published in London, April 21, 1777, is in Almon's *Remembrancer*, 1778. There are other early engravings in the *Political Mag.*, Oct., 1780; in the *European Mag.*, March, 1783, engraved by W. Angus from a picture owned by Dr. F. Schwediauer; in Murray's *Impartial Hist. of the War*, 1778, vol. i. 48; by Norman in the Boston edition of the *Impartial Hist. of the War*, 1781; in the *Boston Magazine*, 1784; in the *Mass. Magazine*, 1790; in the *Geschichte der Kriege in und ausser Europa*, Nürnberg, 1778; in Andrews' *Hist. of the War* (given herewith). Cf. the picture representing him at a table, holding a copy of *Poor Richard's Almanac*, reproduced in the *Mag. of Amer. Hist.*, Jan., 1887. Mr. Winthrop mentions portraits in the Royal Society, Burlington House, and in the National Portrait Gallery, London. A supposed portrait on panel is noted in the *Catal. of the Cabinet of the Mass. Hist. Soc.*, no. 49. (Cf. *Proceedings*, xi. 150.)



BENJAMIN FRANKLIN.

(From Andrews' *History of the War*, London, 1785, vol. ii.)

The leading French portraits are by Duplessis and Greuze. An oil likeness by Duplessis (cf. engraving in Sparks, iii.) was bought in Paris by Edward Brooks, and given to the Boston Public Library. A pastel portrait by Duplessis, painted in 1783, was procured in Paris of a descendant of Le Veillard, who owned the MS. of the autobiography, and is engraved in Bigelow's *Franklin*. Cf. Bartlett and Woodward's *United States* (1886), ii. 20.

There are several likenesses by Greuze. One painted for Oswald, who negotiated the provisional treaty with Franklin in 1782, was bought by Gardner Brewer, of Boston, and given to the Boston Public Library in 1872 (Justin Winsor in *Boston Pub. Library. Twentieth Report*, p. 86; W. W. Greenough in *Mass. Hist. Soc. Proc.*, Nov., 1883, p. 356). President Jefferson owned a picture supposed to be by Greuze, and to have been painted for the Abbé Verri, which descended to Jos. Coolidge, and was by him bequeathed to the Boston Athenæum, and is now in the Boston Museum of Fine Arts. The late James Lawrence, of Boston, owned a crayon by Greuze, which he bought out of the San Donato collection of pictures in Paris in 1869. A supposed Greuze, given by Franklin to the traveller Denon, was for sale in London in 1875 (*Mass. Hist. Soc. Proc.*, xiv. 161). In the Amer. Acad. of Arts and Sciences is a copy, by Ordway, of Healy's copy of the picture in the Louvre (*The Crayon*, 1858, p. 330). Houdon's bust is engraved in Sparks, vol. iv. An engraving from a bust

modelled in Paris from life is given in the *Hist. of the British Empire, 1765-1783*, "by a Society of Gentlemen," Philad., 1798, in two vols.

The well-known picture representing Franklin in a fur cap, with spectacles, when he was seventy-one, painted in Paris in 1777, by C. N. Cochin, is engraved in Parton, vol. ii., and in Duyekinck's *Cyclop. of Amer. Lit.* Cf. Hilliard d'Auberteuil's *Essais* (vol. i. 44; ii. 60). An engraving showing a cap trimmed with fur is marked, "Desrayes del. Le Beau scul, à Paris, chez Esnauts et Rapilly." Vanloo's picture, engraved by H. B. Hall, is in the Centennial edition of Charles Carroll's *Journal to Canada*. It was originally engraved by Alix, a French engraver, and resembles a picture by Charles Peale in the gallery of the Penna. Hist. Society,



FRANKLIN THE POLITICIAN.

which may have been copied from Alix's print. It is repeated in Hale's *Franklin in France*, where are two other early pictures, namely: a drawing in profile, seated at a table, ship seen through a window, by Louis C. de Carmontelle, published in Paris; and the engraving by Chapman. A print, called "The Politician [Dr. Benjamin Franklin]," engraved by T. Rider after a painting by S. Elmer, was published in London, and re-issued July 1, 1824, by Z. Sweet, 28 Chauncy Lane; from a copy of this last, owned by Dr. Charles Deane, the annexed cut is taken.

The medals are enumerated in the *Amer. Journal of Numismatics*, vii. 49; ix. 4, 25, 26; *Coin and Stamp Collector's Journal*, iii. no. 4. Upon the terra-cotta medallion made by Jean Baptiste Nini during Franklin's stay in Paris, see Hale's *Franklin in France*, p. 145. — ED.]

and the whole to be defended by the Swiss soldiers on their passage? Or, if you prefer Germans, which I really do not, the vessels might go from Dunkirk." ¹ A better tone is taken by the commissioners in their letter to Vergennes of the 5th of January, 1777: "As princes of Europe are lending or hiring their troops to Britain against America, it is apprehended that France may, if she thinks fit, afford our independent States the same kind of aid without giving England any first cause of complaint." ²

Benjamin Franklin arrived in Paris on the 21st of December, 1776. His fame had preceded him, and the enthusiasm produced by his coming was very great. His portrait, painted, engraved, on porcelain, appeared in the shops with Turgot's inscription:—

"Eripuit cœlo fulmen, sceptrumque tyrannis."

The learned men of Paris hastened to lay their scientific and philanthropic plans before the philosopher; the courtiers, to sharpen their wits against those of Poor Richard; the ladies, to listen to the clever gallantries of a printer of seventy. The crowds of the great city opened to let him pass. His coat of brown cloth and his unpowdered hair seemed, among the laces, ribbons, and embroideries of the centre of fashion, to be models of republican simplicity. The queen appears to have partially shared in this enthusiasm. The king was disgusted by it, but had not the strength of will to make his personal judgment efficient in the government of France, nor even in the fashions in his own palace. ³ The doctor's presence had undoubtedly a considerable influence in producing that general state of excitement in Parisian society and among the younger members of the nobility, which, far more than the sober judgment of statesmen, brought France into the American alliance and the war with England. ⁴ The king, in his dull way, was for peace. It was one of his great wishes, if any wish of a creature so destitute of will can be called great, "to make the happiness of his people." Maurepas also wished for peace, and hoped to maintain it. ⁵ Vergennes persistently pushed the court to warlike measures. As early as August, 1776, when he had just received the news of the Ameri-

¹ Sparks's *Dipl. Corresp.*, i. 71. In 1780 Franklin writes to the president of Congress: "The German prince, who gave me a proposal some months since for furnishing troops to the Congress, has lately desired an answer. I gave no expectation that it was likely that you would agree to such a proposal; but, being pressed to send it to you, it went with some of my former letters" (*Franklin's Works*, viii. 490).

² Lee's *Lee*, i. 63.

³ Franklin could read French when he came to France, but spoke it badly (*Works of John Adams*, iii. 132, 213). Lafayette says in his *Memoirs* (New York edition, p. 79): "The idea that the queen supported the war-party is not correct; her social tastes were rather of the

Anglomaniâ kind; her politics were completely Austrian, and the court of Vienna did not wish that France should have any pretext for refusing to fulfil the conditions of the treaty made with it, which were soon afterwards exacted; but the queen, like a true woman of the world, followed the impulse given by Paris, the commercial towns, and the public."

⁴ [Cf. Lecky, iv. 51, on the striking effect of Franklin in Paris; and *Franklin in France*, by E. E. Hale and E. E. Hale, Jr. (Boston, 1887), *passim*; Parton's *Franklin*, ii. 203, 220; Mahon, v. 91; Doniol, ii. ch. 3 and 6.—ED.]

⁵ *La vie du général Dumouriez*, Hambourg, 1795, i. 384.

can Declaration of Independence, he assured the king that ruin hung over a state which incautiously trusted to the good faith of rivals, and disdained the opportunity to cripple its habitual enemy.¹ Meanwhile, the city and the court were talking, jesting, philosophizing. An order was passed to forbid speaking of American affairs in the cafés, and was disobeyed, as the authorities undoubtedly expected.²

Lord Stormont, the English ambassador at Versailles, was well aware of all that was going on. He complained of the sending of stores; of the sailing of officers; most of all, that American cruisers were allowed to make French ports the base of their operations against English commerce. Vergennes answered by declaring his unwillingness to interfere between the king of England and his subjects; by forbidding openly the ships of Beaumarchais to leave France, and by allowing them to slip off; by promising to exact bonds that the American privateers should sail directly from French ports to their own country, and then by letting them go free. Stormont was a proud, arrogant man, and sometimes assumed a high tone under these provocations. "If, sir," answered Vergennes on one occasion, "this is a declaration of war which you are making, allow me to go and announce it to the king." His lordship softened his manner, but the relations between the two courts were evidently strained.³

Arthur Lee joined the other commissioners in Paris in December, 1776. His suspicious temper made him a disagreeable colleague, and Franklin and Deane were probably glad enough to shift into his hands those negotiations which would keep him at a distance. Franklin had several conversations with the Count of Aranda, the Spanish ambassador at the court of Versailles. The Count was well disposed toward the American insurgents, but the king of Spain would not be moved to give them active assistance.⁴ On the 1st of January, 1777, the Committee of Correspondence notified Franklin that he had been appointed by Congress their commissioner to negotiate a treaty of friendship and commerce with the Spanish court.⁵ On the 7th of April the Doctor informed the Count that if his Catholic Majesty would join the United States in the war against Great Britain, the United States would help Spain to take Pensacola; provided that the use of that harbor and the navigation of the Mississippi should be free to the Americans. Congress



¹ Bancroft, ix. 64-69. Silas Deane did not receive a copy of the Declaration until the 7th of November (*Sparks's Dipl. Corresp.*, i. 67). The fact was known to Vergennes, and undoubtedly to Deane, at the end of August (Bancroft, ix. 66).

² *Métra*, iv. 56.

³ Flassan, vol. vi. pp. 144-150. [Copies of Stormont's Correspondence with his government are in the *Sparks MSS.*, no. lxi. An engraving after a portrait of Stormont is repro-

duced in the *Mag. of Amer. Hist.*, July, 1887, p. 11. — Ed.]

⁴ The Duc de Lévis in his *Souvenirs et portraits* has given a sketch of Aranda. The latter explained his general political system to the Comte de Ségur, who gives an amusing account of the interview in his *Mémoires*, vol. i. p. 276. [There is much of the diplomatic correspondence of Aranda in the *Sparks MSS.* — Ed.]

⁵ *Secret Journals*, ii. 42. Lee was commissioned June 5, 1777; *Ibid.* ii. 46.

also proposed, in certain contingencies, to declare war against the king of Portugal, or to help France and Spain with six frigates and with provisions in an expedition against the British sugar islands. Lee, meanwhile, had started for Madrid to solicit an alliance. He was not at first allowed to proceed to the capital, but he stopped at Burgos by order of the Spanish government. The minister, Grimaldi, met him there and at Vitoria. Stores were promised: some of them to be sent directly from Spain; others to be called for by American ships, at New Orleans or the Havana. Lee was finally permitted to go to Madrid, and allowed to make contracts for stores with Spanish merchants.¹ The government, however, would not commit itself.

Soon after his return to Paris Arthur Lee set off for Prussia. His brother William had in fact been appointed commissioner to the court of Berlin,² but William's presence in Holland was considered important, and it is not improbable, as has been intimated, that Franklin and Deane were glad enough to get Arthur Lee out of Paris. He started about the middle of May, and to avoid the territories of the German princes who were under English influence, and engaged in letting out their troops to the king of England, passed through Munich, Vienna, and Dresden. Frederick the Great was at this time much incensed with the British government, but he was far too politic to risk a war for the sake of venting his annoyance. He would not see Lee, but the latter was received by Baron Schulenberg, his minister. Some talk there was of commerce; but nothing positive was accomplished. "I propose," wrote Frederick to Prince Henry at this time, "to procrastinate in these negotiations, and go over to the side on which Fortune shall declare herself."³ An incident occurred, however, which, without affecting the result, gave occasion for a show of greater cordiality to Lee than he might otherwise have received. On the 26th of June, while he was at dinner, his bureau was broken open and some papers were stolen. It was proved that the British minister, Hugh Elliot, was in the hotel where Lee lodged, at the time of the theft, and it was said that the minister's servant had repeatedly told the servants of the hotel

¹ R. H. Lee positively asserts that Arthur Lee went to Madrid (*Life*, i. 84). His visit must have been a short one, between the middle of March and the beginning of May, 1777. Concerning the negotiations at this time with Spain, see Sparks's *Dip. Cor.*, ii. 36-54. The mercantile affairs were conducted principally with the house of Gardoqui & Co. See Sparks's *Dip. Correspondence*, ii. *passim*; Lee's *Lee*, and the *Lee MSS.*

² *Dip. Cor.*, ii. 289; Pitkin's *U. S.*, i. App. 25. [William Lee's commission was dated July 1, 1777 (*Secret Journals*, ii. 49), at the same time with Ralph Izard's as minister to Tuscany (*Dip. Correspond.*, ii. 367). Izard never reached Italy, but we find him in Paris siding with Lee (Sparks's *Franklin*, i. 451; viii. 250, 308, 388). One vol-

ume only of what was called his *Correspondence, 1774-1804, with a short memoir by his daughter, Mrs. Ann Izard Deas*, was published in New York in 1844.—ED.]

³ June 17, 1777. See, in *Œuvres Complètes*, edition 1792, vol. iv. p. 290, a summary of Frederick's policy, by his own hand. Several extracts from letters between Frederick and his ministers at the courts of Paris and London are given in the *Sparks MSS.*, no. lxxvii. They are interesting as showing the indifference of the king to the fate of America. See also the third volume of Circourt's translation of *Bancroft*. The political correspondence of Frederick is in course of publication (Berlin, Duncker), but the time of the American war is not yet reached.

that his master would give two thousand ducats for the papers. A hue and cry was raised, and half an hour after their disappearance the papers were left at Lee's door. Lee was uncertain whether they had been read or not. Elliot acknowledged to the Prussian cabinet that he was responsible for the theft. He described the circumstances and made several bad excuses. The king of Prussia was very indignant. He thought that Elliot deserved to be forbidden the court; but in view of the minister's confession he did not take this extreme course.¹ Lee wrote to Frederick to complain of the robbery, and asked for a private interview, saying that it seemed probable that the person who had committed the crime could not be prosecuted by the common police. The king wrote in answer with his own hand. He would not himself see Lee; but he had ordered Baron Schulenberg to do so, and he assured Lee of the baron's secrecy.²

One chance the king of Prussia had to do the Americans a good turn, and he availed himself of it. In the autumn of 1777, the Margrave of Anspach was sending about three hundred recruits down the Rhine, on their way to join his regiments in America. Frederick stopped these recruits in their passage, and they were obliged to return to Hanau, whence they marched overland in February and March, 1778.³ In the spring of that year, a regiment belonging to the Prince of Anhalt-Zerbst, on its way to America, was obliged to march round the Prussian dominions, and lost three hundred and thirty-four men by desertion. In the following summer, however, even this moderate bullying of little princes in favor of the Americans was abandoned. The troubles arising in consequence of the question of the Bavarian succession made it desirable for Frederick to conciliate England.

Arthur Lee's correspondence with Schulenberg was kept up after his return to Paris. The Prussian wrote repeatedly of the satisfaction of his master at news favorable to the Americans, and promised, in January, 1778, that his king would not hesitate to acknowledge the independence of the United States, whenever France, which was much more interested in the event of the contest, should set the example.⁴ When however, a few weeks later, the treaty was signed between King Louis and the United States, Frederick showed no alacrity in imitating his brother monarch.

The news of Burgoyne's surrender, which reached France early in December, 1777, put an entirely new face on American affairs. Up to that time no foreign nation had been willing to commit itself on the American side. The stores sent by Beaumarchais, the million livres which had been advanced by the farmers-general, had been indirect aid, the rendering of which might be denied or explained away. But within a fort-

¹ Frederick to Matzlan, in *Sparks MSS.*, no. lxxvii. p. 26; and in the third volume of Circourt's translation of *Bancroft*, p. 211.

² See Elliot's account of the affair in J. Q. Adams's *Letters on Silesia*, p. 257. Cf. Lady Minto's *Hugh Elliot* (1868) and Carlyle's *Frederick*, vi. 557.

³ The amusing letter which Frederick wrote to the Margrave on this occasion was discovered by F. Kapp among the archives of Anspach, and may be found in the appendix to his *Soldatenhandel*.

⁴ Sparks's *Dip. Cor.*, ii. 126, 353.

night of the receipt of the news from Saratoga, the principal difficulties which had stood in the way of the treaty between the United States and France were removed, and a courier was dispatched to Spain to obtain the concurrence of King Charles III.¹ The Comte de Vergennes was in haste. He had in his pay the landlord of the house where Franklin and Deane lodged at Passy. This man reported that the commissioners were negotiating with England.² Thereupon, without waiting for the courier's return, Vergennes sent to inform the commissioners that the king of France had determined to acknowledge the independence of the United States, and to make a treaty of amity and commerce with them. The king expressed his intention of taking no advantage of the critical situation of the Americans, desiring that the treaty, when once made, should be durable, and that the amity should subsist forever. His Majesty did not pretend, however, to be acting from purely disinterested motives. He held that it was manifestly for the interest of France that the power of England should be diminished by the falling off of the American colonies. He would therefore require, as his only condition, that the Americans should not, in any peace which they might make with England, give up their independence, and return to their obedience to the government of that country. The French ministers did in fact negotiate in the spirit here declared.³ Two treaties were signed on the 6th of February, 1778.

In the first, known as the *Treaty of Amity and Commerce*, it was provided that there should be a firm, inviolable, and universal peace, and a truce, and sincere friendship, between the Most Christian King and the United States of America; and that each power should treat the other not less well than "the most favored nation in all matters of commerce and navigation; and that each should protect the ships of the other in its ports, and should allow them to join its convoys at sea."⁴

¹ See communication of Vergennes to commissioners, 6th December, 1777, — two days after receiving news of Burgoyne's surrender. Circourt's translation of *Bancroft*, iii. 252; Doniol, ii. ch. 10.

² *Sparks MSS.*, no. lxxiii. p. 139; Vergennes to Montmorin.

³ Doniol, ii. ch. 11. Circourt (iii. 251, etc.) gives the correspondence of Noailles, Maurepas, Vergennes, Gérard, Luzerne, Rayneval.

⁴ The subjects of each party were to abstain from fishing in the waters belonging to the other party, and the United States agreed not to disturb the subjects of the king of France in their fishing on the Banks of Newfoundland. All the "indefinite and exclusive" French rights in the coasts of the island of that name and in the other islands were maintained. It was agreed that the *droit d'aubain*, by which the king of France confiscated the goods of all foreigners dying within his dominions, should not be exercised against Americans. It was agreed that either ally might

deal with the enemies of the other, and that free ships should make free goods, except in the case of contraband articles, which were defined to be arms, gunpowder, horses, and instruments of war, but not clothes, money, food, and ships' stores. The goods of the ally were, however, forfeited if captured on the ships of an enemy. The vessels of war and the privateers of either party might bring their prizes into the ports of the other; while the ships of the enemies of either party were not to be allowed, after making prizes, to enter a harbor of the other party, except under stress of weather. This article gave an immediate advantage to the American privateers cruising in European waters. No subject of either party was to take out letters of marque to act as a privateer against the subjects of the other party, on pain of being punished as a pirate; nor were any foreign privateers to be allowed to refit in the ports of either party, when commissioned against the other. The king of France agreed, moreover,

This treaty, which was generous in its provisions on the part of the king of France, was accompanied by another, signed on the same day, and much more interesting to the struggling republic. The preamble recites that the Most Christian King and the United States of America, having concluded a treaty of amity and commerce, "have thought it necessary to take into consideration the means of strengthening those engagements, and rendering them useful to the safety and tranquillity of the two parties; particularly in case Great Britain, in resentment of that connection and of the good correspondence which is the object of the said treaty, should break the peace with France, either by direct hostilities, or by hindering her commerce and navigation in a manner contrary to the rights of nations, and the peace subsisting between the two crowns." It was therefore agreed that if war should break out between France and Great Britain during the continuance of the war between the United States and that country, his Most Christian Majesty and the said United States should make it a common cause, and aid each other mutually with their good offices, their counsels, and their forces, as became good and faithful allies.

It was then declared that the essential and direct end of the projected alliance was "to maintain effectually the liberty, sovereignty, and independence, absolute and unlimited, of the United States, as well in matters of government as of commerce." And it was especially stipulated that neither of the two parties should conclude either truce or peace with Great Britain without the formal consent of the other first obtained; and they mutually engaged not to lay down their arms until the independence of the United States should have been formally or tacitly assured by the treaty or treaties which should terminate the war.¹

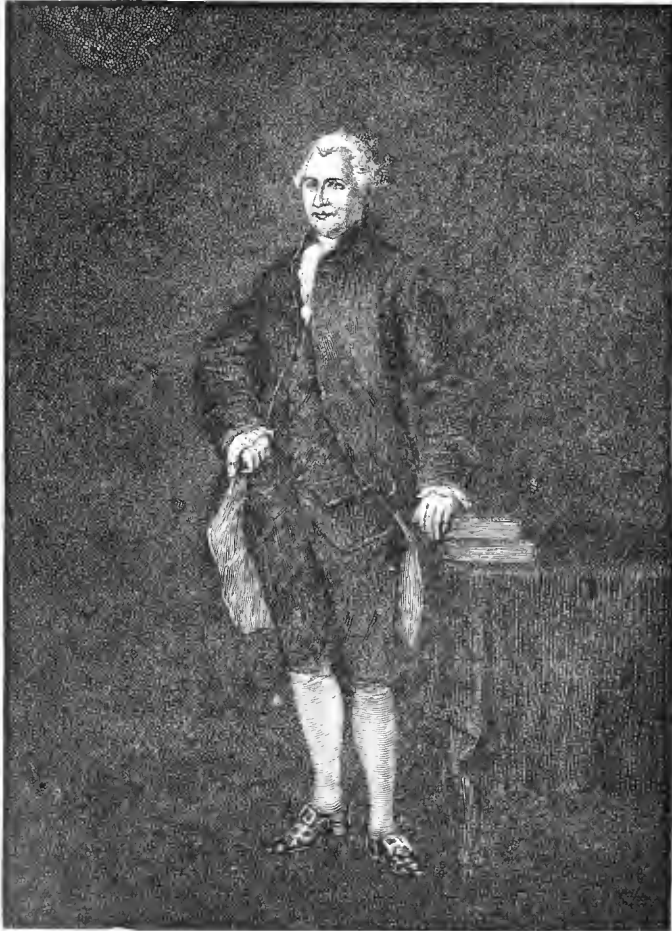
The two treaties were executed on the part of France by Gérard, a secretary in the French foreign office, and on the part of the United States by Franklin, Deane, and Arthur Lee. They were written both in French

to grant to the subjects of the United States one or more free ports in Europe, beside continuing to them the freedom of such ports as were already open in his islands in America. Stipulations for the free exportation of molasses from those islands by the inhabitants of the United States, and for the free exportation of all merchandise from the United States to the islands which should furnish molasses, were at first included in the treaty. Arthur Lee objected, then consented, then objected again. The treaty was signed with these articles included. The Committee of Foreign Affairs of Congress, of which Lee's brother was a member, again remonstrated, and Vergennes published a declaration annulling the articles (Sparks's *Dip. Corres.*, i. 155, 394, 432; ii. 127, 171, 173, 200). This treaty is printed in Martens, 1st ed., ii. 685; 2d ed., ii. 587; *Treaties and Conventions of the U. S.*, 244; *Secret Journals*, ii. 59; also in *The*

Constitutions of the Independent States of America, which appeared in Philadelphia, London, and Paris (in French) in 1783.

¹ The contracting powers agreed to help each other in any enterprise, when called on to do so, as far as circumstances would permit; and it was stipulated that if the United States should think fit to attempt the reduction of the British power remaining in the northern parts of North America or the islands of the Bermudas, those countries or islands, in case of success, should be confederated with or dependent upon the United States, the king of France forever renouncing the possession of them. On the other hand, all conquests in the West Indies were to belong to the king of France (Martens. 1st ed., ii. 701; 2d ed., ii. 605; *Treaties and Conventions*, 241; *Secret Journals*, ii. 82; and in the *Constitutions* above mentioned.)

and English, but French was declared to be the original language. The conclusion of these treaties was to be kept secret for a time. It was hoped that Spain would soon join in them; and Spain was known to have three reasons for not declaring herself immediately: her money fleet had not yet come home, her army and fleet from Brazil were in the same case, and her peace with Portugal was not quite concluded.¹ In spite of all precau-



GÉRARD.*

tions, however, the existence of the treaty of commerce was very soon known in England, a circumstance which made a new ground of quarrel between Silas Deane and Arthur Lee, each of whom accused the other of indiscretion, or something worse. Lee, indeed, accused Dr. Franklin also.² Silas Deane was recalled to America about this time, and sailed in the Comte d'Estaing's fleet, together with Gérard, who had been appointed

¹ Sparks's *Dip. Corres.*, i. 357.

² *Papers in the Case of Silas Deane*, p. 159.

* [From a picture in Independence Hall, Philadelphia. — Ed.]

minister to the United States.¹ John Adams was sent out in Deane's place.² He seems, in the main, to have taken Lee's side in his quarrel with Deane, and he wrote several letters expressing his belief that Lee was honest. Of this, indeed, there can be little doubt. It was Lee's judgment and temper that were in fault.³ In October, 1778, Congress took the wise step of appointing Franklin sole minister to France. John Adams had had the

You will take particular Care that these orders may not, in Case of Misfortune which God forbid, fall into the Hands of the Enemy. We wish you, a prosperous Voyage and are, Sir, your humble
 Servant

Franklin

Samuel Tucker Esqr
 Comander of the Frigate
 Boston.

Arthur Lee
 John Adams

THE COMMISSIONERS IN PARIS TO CAPTAIN TUCKER. APRIL 13, 1778.*

¹ [His address to Congress and Laurens's reply are in the *Journals of Congress*, iii. 7, 8. Gérard's instructions (March 29, 1778) are in *Circuit*, iii. 255; Chevalier's *Marine Française*, p. 497. For Gérard's service, see *Dip. Corres.*, x. 235; *John Adams's Works*, i. 235; Hazard's *Penna. Reg.*, vii.; *Intro. to Botta's History in the French translation*; Lyman's *Diplomacy*, i. 57. For the action of Congress on the treaties, see its *Journals*, iii. 477, 485; its *Secret Journals*, i. 57-90; ii. 490. — ED.]

² [He went in the frigate "Boston," Capt. Samuel Tucker. The log-book of the voyage is in Harvard College library. Cf. *John Adams's Works*, iii. 89, 94. — ED.]

³ [Lee seems to have drawn his friends and

enemies fiercely apart. Samuel Adams kept a good opinion of him (*Wells*, iii. 120), and so did John Adams (*Works*, vii. 79, 96). Franklin had little occasion to like him (*Sparks's Franklin*, i. 447; viii. 57, 257, 444); Parton consequently views him somewhat violently (*Franklin*, ii. 12, 248, 303). Cf. E. E. Hale's *Franklin in France*, where Lee's character is sharply drawn. R. H. Lee's *Life of A. Lee* might serve for a better defence of him if it had been constructed with a bookmaker's art. There is much about Lee in the *Sparks MSS.* (no. xlix. vol. i.). The *Lee Papers* are described elsewhere. Cf. Sabin, x. p. 167; *Poole's Index*, p. 753; and for his genealogy, *N. E. Hist. and Gen. Reg.*, January, 1872. — ED.]

* [The concluding part of instructions, preserved in the Tucker Papers, given for a cruise at Tucker's discretion either at the entrance of the Baltic or on the Banks of Newfoundland. — ED.]

disinterestedness to promote this arrangement. The credentials were sent out by Lafayette, who arrived in Paris on the 11th of February, 1779.¹

Finding that the existence of the treaty was well known in England,² the French court determined to announce it openly. On the 13th of March, 1778, the Duc de Noailles, who had succeeded the Comte de Guines as French ambassador at the court of St. James, delivered a declaration to the English government. "The United States of North America," it said, "who are in full possession of independence, as pronounced by them on the 4th of July, 1776, having proposed to the king, to consolidate, by a formal convention, the connection begun to be established between the two nations, the respective plenipotentiaries have signed a treaty of friendship and commerce, destined to serve as a foundation for their mutual good correspondence." The declaration proceeded, rather ironically, to say that there was nothing exclusive in this treaty, and that the United States would still be at liberty to make agreements with other nations. The king of France was firmly persuaded that the court of London would take the announcement as a new proof of his constant and sincere disposition for peace; and that his Britannic Majesty, animated by the same sentiments, would equally avoid everything that might alter their good harmony; and that he would particularly take effectual measures to prevent interference with the commerce of French subjects with the United States, and to cause all usages of commercial nations and all treaties subsisting between the two crowns to be observed. In this just confidence, as the ambassador was pleased to say, he considered it superfluous to acquaint the British minister that the king his master, being determined to protect effectually the lawful commerce of his subjects, and to maintain the dignity of his flag, had taken eventual measures in concert with the United States of North America.³

¹ Sparks's *Dip. Corres.*, iii. 59, 81. [Cf. Parton's *Franklin*, ii. 388. Something of Adams's opinion of Franklin can be gathered from *John Adams's Works*, i. 319; ix. 486, 516, 619; E. E. Hale's *Franklin in France*, 229; *Adams-Warren Corres.*, p. 413. See, on the quarrels of the commissioners, *John Adams's Works*, iii. 123, 129, 130, 138, 139; ix. 477. — Ed.]

² [Fox had hinted that it would come, as early as Feb. 18, 1777 (P. O. Hutchinson's *Gov. Hutchinson*, ii. 137). — Ed.]

³ Almon's *Parl. Reg.*, x. 47; Flassan, vi. 158.

[That part of Bancroft (vol. x.) on the French alliance was translated by Count Adolphe de Circourt as *Histoire de l'alliance et de l'action Commune de la France et de l'Amérique pour l'indépendance des États-Unis* (Paris, 1876), in three vols. This translation had a large mass of original documents, furnished by Bancroft, and Circourt placed in his second volume his own *Conclusions historiques*. This portion is put into English in the *Mass. Hist. Soc. Proc.*, xv. 16, with a special note of introduction by the author.

Cf. R. C. Winthrop's *Addresses*, etc., 1878, etc., p. 120.

See further, on the alliance, for American authorities, *Dip. Corres.*, i. 364; ii. iv. 250; Pitkin, ii. ch. 12; Marshall's *Washington*, iii. ch. 7; Sparks's *Franklin*, i. 430; Hale's *Franklin in France*, ch. 10; Pickering's letter to Pinckney (1797), — cf. Jay's address on the negotiations of 1782-83, p. 130; Parton's *Franklin*, ii. 303; Lyman's *Diplomacy of the U. S.*, i. ch. 2. For French authorities, Léon Chotteau's *Guerre de l'indépendance*, etc., and his *Les Français en Amérique avec une préface* par M. Édouard Laboulaye, 3^{me} ed., Paris, 1882 (p. 121). For English views, Mahon, vi. App., p. xlii.; Lecky, iv. 41, etc., who considers that this intervention saved the cause of America. Jonathan Trumbull was dreading at the time that "the European alliances" would bring on "a security here which I fear is too general a calamity" (*Hist. Mag.*, ii. 7). On the effect of the alliance in America, see Sparks's *Washington*, v. 355; Parton's *Franklin*, ii. 317; *Corres. of*

The English government was not inclined to take these amiable observations in good part, and Lord Stormont was immediately recalled. No formal declaration of war was made; nor did actual hostilities begin until three months later, when two French frigates were attacked, and one of them taken, by the English fleet. In the month of July a naval battle was fought off the island of Ushant, but neither side obtained a victory.



FREDERICK, EARL OF CARLISLE.*

It was after the treaties between France and the United States had been signed, but before their existence had been announced to the English court, that Lord North, on the 17th of February, 1778, brought in proposals

John Laurens, p. 169; *Greene's Gen. Greene*, ii. England (P. O. Hutchinson's *Gov. Hutchinson*, 72; Wells's *S. Adams*, iii. ch. 47. Hutchinson in ii. 193) said, "America seems to be lost." — ED.]

* [After Romney, as engraved in the *European Magazine*, November, 1785. — ED.]

for conciliation, in the House of Commons. His tone was apologetic.¹ The coercive acts which he had made were such as appeared to be necessary at the time, though in fact they had produced effects which he never intended. . . . His idea never had been to draw any considerable revenue from America. He had found the colonies already taxed, and it had been his policy to have as little discussion on these subjects as possible, but to keep the affairs of America out of Parliament.² Accordingly, as he had not laid, so he did not think it advisable for him to repeal, the tea-tax, nor did he ever think of any particular means of enforcing it.³

In accordance with the new policy three acts of conciliation were passed.⁴ They reached America about the middle of April, 1778. Finding that the Tories were relying on a great effect from them, Congress took the step of publishing them itself in the newspapers, with the report of a committee criticising them with much keenness. A resolution was added denouncing all who should attempt a separate treaty, and declaring that no conference should be held with any commissioners until the British armies were first withdrawn, or the independence of the United States acknowledged.⁵ Shortly after this proclamation had been issued, the news of the treaties with France was received by Congress, and the treaties were ratified with great rejoicing.⁶

The English commissioners — the Earl of Carlisle, George Johnstone, and William Eden — reached Philadelphia, just as Sir Henry Clinton was evacuating the city, in June, 1778. Congress returned a brief answer to their address,⁷ and refused to appoint a committee to confer with them. Thus the whole negotiation came to nothing. The English proposals were such as would gladly have been accepted three years before, but they were made too late.⁸

¹ [Walpole, *Last Journals*, v. ii. 200, describes the scene. Cf. P. O. Hutchinson's *Gov. Hutchinson*, ii. 185. — ED.]

² [Bunke, the previous year, in his *Letter to the Sheriffs of Bristol*, had asserted that this keeping the American question out of Parliament consisted mainly in stifling opposition to the ministry. *Works*, Boston ed., ii. 200. — ED.]

³ Almon's *Parl. Rec.*, viii. 379. One of the bills his lordship now proposed was to quiet America on the subject of taxation; to remove all fears, real or pretended, of Parliament's ever attempting to tax the Americans again; and to take away all exercise of the right itself in future, so far as regarded revenue. Another bill was to repeal all offensive acts passed since 1763. As for the penal laws taking away the charter of Massachusetts and prohibiting commerce and the fisheries, as they were the effect of the quarrel, they should cease. He would appoint commissioners and enable them to treat with Congress, as if it were a legal body, whose concessions would bind all America. The commissioners might also treat with any of the provin-

cial assemblies, and with any individuals in their present civil capacities or military commands; with General Washington or any other officer. They might order a suspension of arms and grant pardons or rewards. They might restore to any of the colonies the form of their ancient constitutions as they stood before the troubles. They might treat with the colonies as with independent States, nor would Lord North insist on these colonies renouncing their claim to independence, until the treaty should receive its final ratification from the king and Parliament of Great Britain.

⁴ 18 Geo. III, c. 11, 12, 13.

⁵ Hildreth, iii. 245; *Journals of Congress*, Apr. 22, 1778.

⁶ Hildreth, iii. 246; *Journals of Congress*, May 5, 1778.

⁷ Pitkin, ii. 501; *Journals of Congress* (17th of June, 1778).

⁸ [North's speech proposing the bills is in the *Parliamentary History and Gent. Mag.*, Feb., 1778. For the debates and views of them, see *Annual Register*, xxi. 133; Gibbon's letter of

In England, after the rupture with France, a section of the opposition, under the lead of Lord Rockingham, would have let America go free without a struggle, but all the members of the regular parliamentary minority were not of this mind.¹ On the 7th of April, 1778, Chatham, who had long been ill, appeared in the House of Lords. His speech faltered, his sentences were broken, his mind not master of itself. "His words were shreds of unconnected eloquence." He began by lamenting that his bodily infirmities had so long, and especially at so important a crisis, prevented his attendance on the duties of Parliament. He had made an effort almost beyond his strength in coming to that House, perhaps for the last time, to express the indignation he felt at the idea of giving up the sovereignty of America.²

Feb. 23; Walpole's *Last Journals*, ii. 200, 215; Russell's *Mem. and Corresp. of Fox*, i. 172; *Life and Times of Fox*, i. ch. 9 and 10; Fitzmaurice's *Shelburne*, iii. ch. 1; Donne's *George III. and North*, ii. 135; *Rockingham and his Contemp.*, ii. 346; Rogers's *Protests of the Lords*, ii. 174, 178.

The American commissioners in Paris reported on the bills. *Diplom. Corresp.*, i. 369; iii. 34; *John Adams's Works*, vii. 72; Pitkin's *United States*, ii. App. 2. Papers with a plan of pacification were sent clandestinely to Franklin, purporting to come from one Weissenstein, which he believed to emanate from the British government, and he sent them to Vergennes, and they are now in the French archives. Copies of them are in the *Sparks MSS.*, no. xlix. i. 12. Franklin's reply is in *Dipl. Corresp.*, iii. 45; *Franklin's Works*, viii. 278. Cf. further in Parson's *Franklin*, ii. 321; Sparks's *Franklin*, i. ch. 10 and 11; *John Adams's Works*, iii. 178, 220; E. E. Hale's *Franklin in France*, 239.

The British commissioners were Carlisle, Johnstone, and Eden. Their instructions are in the *N. Y. Col. Docs.*, viii. 738. For their manifesto, etc., see Almon's *Remembrancer*, 1778, p. 11, 127; and other papers are in *Ibid.* vols. vi., vii., and viii. A letter of the Rev. Andrew Burnaby to Washington, April, 1778, which Sparks supposes was intended to prepare the way for the commissioners, is in Sparks's *Corresp. of the Rev.*, ii. 100. As to the attempts to circulate the bills in the States, see *Ibid.* ii. 114. The report in Congress on the bills was drawn by Gouverneur Morris (Almon's *Remembrancer*, viii. 40). For effect and opinions in America, see *Journals of Congress*, ii. 580, 591; Wells's *S. Adams*, iii. 14, 46; lives of Washington, by Marshall, iv. ch. 1 and 10; by Sparks, v. 344, 397, 401; vi. 16, 79, 96; by Irving, iii. ch. 32; Reed's *Jos. Reed*, ch. 18 and App. 4; Sparks's *G. Morris*, i. ch. 11; Pitkin's *United States*, ii. ch. 11; Ramsay's *Am. Rev.*, i. 384; Bancroft, x. 122; Howison's *Virginia*, ii. 230; Jones's *N. Y. during the Rev.*, i. 663; Jonathan Trumbull in *Hist. Mag.*, ii. 8; and a letter showing how the commissioners had

little opportunity to learn the sentiment of the country, in *Mass. Hist. Soc. Proc.*, xvi. 159.

On the British side see Carlisle's letters in Jesse's *Schwartz and his Contemp.*, iii. 280, 330; Donne's *George III. and Lord North*, ii. 208; Massey, ii. 295; Mahon, vi. 246. That a part of the refugees in England had no confidence in the movement, appears from *Curwen's Journal*.

Johnstone was charged with an attempt to bribe Jos. Reed (*Journals of Cong.*, iii. 13; Sargent's *Stansbury and Odell*, 165), and defended his conduct in Parliament, when Reed published *Remarks on Gov. Johnstone's speech, with a collection of all the letters and authentic papers* (Phila., 1779; Sabin, xvi. 68, 570). Eden became Lord Auckland, and the Auckland papers are in the University library, Cambridge, England. Some of Eden's letters, June and July, 1778, are in Lady Minto's *Hugh Elliot*, p. 173. John Temple was sent as a sort of by-agent of the commissioners (Jones's *N. Y. during the Rev.*, i. 85-87).

The occasion produced various tracts. William Pulteney, in his *Thoughts on the present state of affairs* (London, 1778, five eds.), thought reconciliation still possible (Sabin, xvi. 66, 646, etc.; Stevens, *Hist. Coll.*, i. no. 684). Another tract urging a return to allegiance was *Letter to the people of America* (Sabin, x. 40, 506). Cf. *Considerations on a treaty of peace with America* (London, 1778; Hartford, 1778), etc. — ED.]

¹ Almon's *Parl. Reg.*, vol. ix. 319.

² [The feeling which he sought to combat was growing strong; the pamphleteers (1777) were abetting it. An officer returned from the service in America had expressed it in a *Letter to the English Nation*. Another writer urged the foolishness of the further attempts at conquest in *Considerations addressed to all persons of property in Great Britain*. A *Letter to the Earl of Chatham* appeals directly to him. The author of *Essays commercial and political* enforces like views. Sabin, iv. 15, 936; vi. 22, 980; x. 40, 467. Walpole (*Last Journals*, ii. 327) mentions the effect of two pamphlets near the close of 1778, one privately printed by Sir William Meredith,

"My Lords," continued he, "I rejoice that the grave has not closed upon me; that I am still alive to lift up my voice against the dismemberment of this ancient and most noble monarchy! . . . My Lords,



WILLIAM EDEN.*

his Majesty succeeded to an empire as great in extent as its reputation was unsullied. Shall we tarnish the lustre of this nation by an ignominious surrender of its rights and fairest possessions? . . . Shall a people that seventeen years ago was the terror of the world, now stoop so low as to tell its ancient inveterate enemy, Take all we have, only give us peace? It is impossible!"

. . . "In God's name, if it is absolutely necessary to declare either for peace or war, and the former cannot be preserved with honor, why is not the latter commenced without hesitation? I am not, I confess, well informed of the resources of this kingdom; but I trust it has still sufficient

to maintain its just rights, though I know them not. But, my Lords, any state is better than despair. Let us at least make one effort; and if we must fall, let us fall like men!"¹ He sat down exhausted. The Duke of Richmond answered him in a long speech, in which, while praising the achievements of Chatham (whose name, he said, would ever be dear to Englishmen), he maintained that England could not fight single-handed against France, Spain, and America. The Earl of Chatham rose to reply, but after two or three unsuccessful efforts to stand, he fell down in a swoon and was carried from the House.² He died four days later; but the spirit which had raised England to a high place among the nations survived him. It would, indeed, have been a sign of decay could she have yielded her best provinces at the bidding of her ancient foe, without a stroke to retain them.³

the other published by David Hartley, in which the ministry was severely arraigned.

As early as the very beginning of 1777, Burke and the Rockingham Whigs had planned a secession from Parliament, and had drafted appeals to the king and to the colonists, looking to a pacification under the crown; but the measure was not carried out (Burke's *Works*, vi. 149, etc.) — ED.]

¹ Almon's *Parl. Reg.*, ix. 369.

² [P. O. Hutchinson's *Gov. Hutchinson*, ii. 198. — ED.]

³ A most interesting letter from Lord Camden to the Duke of Grafton, describing Lord Chatham's last speech and the scene in the House of Lords, is given in the appendix (p. xxiv.) to volume vi. of Malton's *History of England*. The picture by Copley in the National Gallery

* [From the *European Magazine*, May, 1786. A portrait, full length, in a chair, by Dance, is engraved in the *Auckland Correspondence*, vol. i. (London, 1861). This correspondence was published by his son, "to modify the harsh judgments" of the Malmesbury and Rose Correspondence. — ED.]

The hope that Spain would join France in the war against England, and enter into an alliance with the United States, proved, for the time at least, illusive. King Charles III was divided among many feelings,—hatred of England, hatred of rebellion, love of the family compact, jealousy at his secondary position in the family, desire to take Gibraltar, desire to preserve the balance of power in the New World. Count Florida Blanca, who had succeeded Grimaldi as prime minister, was incensed at the news that the king of France had concluded a treaty with the insurgent colonies. He



FLORIDA BLANCA.*

would gladly have seen himself the arbiter of America. He turned toward England, and told the British minister that his Catholic Majesty neither condemned nor justified the steps taken by France; but that as they had been entered upon without the least concert with him, he thought himself perfectly free from all engagements concerning them.¹ He then

is interesting from the portraits it contains. It was engraved by Bartolozzi, and a copy of the engraving was sent by Copley as a present to Harvard College (M. B. Amory's *Life of J. S. Copley*, Boston, 1884, p. 84). This copy has been lost. There is a copy of the print in the Gray collection belonging to the college.

[For the feeling in England subsequent to Chatham's death, and resulting from the concern felt because of the French alliance and the commercial distress of the hour, see references in Winsor's *Handbook*, p. 186. — ED.]

¹ Quoted in Bancroft, x. 164, from a letter of Grantham to Weymouth, 19th Feb., 1778, and

* [From the *European Magazine*, vol. xviii. p. 403. — ED.]

proposed to obtain a cessation of hostilities, and to enter on a course of mediation. Through the influence of the Bourbon family, the United States were to accept such boundaries that the valley of the St. Lawrence and the territory northwest of the Ohio were to remain in English hands. Spain, presumably, was to retain or obtain Florida and Louisiana, which would be understood to include everything west of the Alleghanics and south of the Ohio. The British government, however, answered, that while France supported the colonies in rebellion, no negotiation could be entered into. Florida Blanca then proposed to Vergennes a descent on the coast of England; meanwhile repeating to the English ambassador his offers of mediation, but with the threat that should the war be continued his master would be obliged to take a side.

The Count of Aranda, the Spanish ambassador at the court of Versailles, had been from the first full of zeal for the American cause. But Florida Blanca was jealous and irritable; he had succeeded to Aranda's place and influence in Spain, and was not inclined to be governed by his counsels. Fearing the power in America of the new republic, the Spanish prime minister would gladly have left England in possession, not only of Canada, the territory northwest of the Ohio, and the Maritime Provinces, but of the city of New York and other seaports. Throughout the year 1778, Florida Blanca was hesitating, and Vergennes was urging him on. It was not until the 12th of April, 1779, that the treaty was finally signed, by which Spain made common cause with France, and in consequence of which she made war on Great Britain, but by it¹ his Catholic Majesty did

24th March, 1778. Bancroft has treated of these negotiations very fully. See also Flassan, vi. 174.

[For the progress of diplomatic relations with Spain, see *Dip. Corresp.*, vii. and viii.; Madison's *Papers*, i. 64, 74, App.; his *Writings*, iv. 441; life by Rives, i. ch. 6 and 8; Pitkin, ii. ch. 13, 14, App. 8; lives of Jay, by Jay and Flanders; Bancroft, ix. ch. 17; x. ch. 8, 9; *Oration* in Boston, July 4, 1850, by Geo. Sumner; *Niles's Register*, 1822; E. E. Hale's *Franklin in France*, ch. 20 and 21. The complications of Spain and England are expressed in an *Exposé des motifs de la conduite de sa majesté très-chrétienne* (Madrid, 1779), which Gibbon answered in a *Mémoire justificatif de la Cour de Londres* (not to be confounded with a tract of similar title by Sir James Marriott; see *Sparks Catal.* no. 2,457), which was in turn replied to in *Observations sur le mémoire, etc.* (Paris, 1780), which has been attributed to Beaumarchais. The *Sparks MSS.* contain much of the diplomatic correspondence: in no. xxiii., correspondence of Lord Grantham, English ambassador in Spain, 1776-1779, from the English State Paper Office. Letters from the Spanish government are frequently enclosed; and there are some letters from Louisiana. The letters

of Pollock from New Orleans are in no. xli. In no. lxxiii. is the correspondence of the French and Spanish governments, 1776-1778; in no. xcii. the correspondence of Montmorin and Vergennes, 1778-1782; in nos. xcv. and xcvi. the correspondence of Grimaldi, Florida Blanca, and Aranda, 1776-1782, from Madrid and Simancas (1855-1856). Translations of parts are in no. cii. In no. cxvii. are letters of Miralles and Rendon from Charleston and Philadelphia, 1778-80; in no. c. are letters of Rendon and Miralles, 1778-1780; in no. ci. is an account of Spanish operations in Louisiana, 1781-1783. — Ed.]

¹ The treaty stipulated that a necessary part of the plan of the allies should be the invasion of the British dominions in Europe. It was agreed that the two powers should not listen to any proposition from the common enemy without communicating it to each other, and that neither should sign any treaties or conventions without the previous consent of the other. It was stated that France had demanded, on declaring war with England, that his Catholic Majesty should recognize the independence of the United States, in order to serve as an essential basis to the negotiations which might be established in the sequel. But as his Catholic Majesty had not yet

not become the ally of the Americans, although he was fighting against their enemy.

On the 16th of June, 1779, Spain declared war against England. During the summer a great fleet of thirty-one French ships of the line and twenty Spanish vessels assembled, and sailed up and down the English Channel. The English fleet retreated before them; but the combined fleets did not long keep the sea. There was no admiral over the whole, and before many weeks were past the squadrons of the two nations separated, and each returned to a home port, with much grumbling and discontent on either hand. Meanwhile, Austria and Russia were offering mediation. No year of the war was more barren of results than this one, whether in Europe or in America.

While Vergennes was endeavoring to bring Spain into the war, Gérard, the French minister at Philadelphia, was trying to prepare Congress to agree to the conditions required by his Catholic Majesty. He assured the members of the Committee of Foreign Affairs "that his king would not prolong the war for a single day to secure to the United States the possessions which they coveted."¹ He thought that they already had more territory than they could easily administer, and expressed a hope that there would never be more than thirteen States, unless Canada should one day be received as a fourteenth. In a formal interview with Congress on the 15th of February, 1779, he represented that the price put by Spain on her friendship was Pensacola and the exclusive navigation of the Mississippi; and if she failed to obtain these conditions, she might join England instead of the United States. The impression seems to have been current at this time

concluded any treaty with the United States, he reserved to himself the right of doing it in the sequel, and of agreeing then to everything which should relate to their independence. For the present he engaged to concert with France as to what might concern the United States.

The treaty enumerated the advantages sought by the allies. France desired the abolition of everything which might interfere with the fortification of Dunkirk; the expulsion of the English from the island, and the fishery of Newfoundland, which last was to be shared with Spain; the absolute freedom of the East India trade, and the liberty of fortifying factories in the East; the recovery of Senegal; the possession of the island of St. Domingo; and the abolition or entire execution of the commercial treaty of Utrecht made in 1713 between France and England.

The objects sought by Spain were the restitution of Gibraltar; the possession of the river and fort of Mobile; the acquisition of Pensacola, with all the coast of Florida along the Bahama Channel; the expulsion of the English from the Bay of Honduras; the revocation of the right accorded them of cutting dye-wood on the coasts of Campeachy, which right was to be given to

the French; and the restitution of the island of Minorca.

The allied powers promised not to lay down their arms without having at least obtained Gibraltar for Spain and Dunkirk for France, or, in default of this article, any other object, at the option of Spain. The treaty was a secret one, and was not communicated to the Americans.

The fact that the United States and Spain were not allied had a practical result in 1781, when the English and German garrison of Pensacola surrendered to Don Bernardo de Galvez on condition of not serving against Spain or her allies until exchanged. The garrison was shipped to New York, and could immediately be used against the Americans.

This treaty is believed never to have been printed in English. An abstract of it is among the *Sparks MSS.*, no. xcii.; see also no. xxxii. This treaty is to be found in Spanish in *Del Consejo Tratados de Paz*, etc., Madrid, 1843, p. 552. The relations of Spain to France in these movements are followed with documents by Doniol (i. ch. 9, 12; ii. ch. 4, 5, etc.). [Cf. Bigelow's *Franklin*, iii. 211; Bancroft, x. ch. 8. — E11.]

¹ Circourt's translation of *Bancroft*, iii. 264.

that the accession of Spain to the side of the allies would bring about a speedy peace. Congress thought it necessary, therefore, to decide what terms it would accept. The boundaries to be claimed were promptly agreed upon.¹ Florida was to be left to Spain. The United States were to extend westward to the Mississippi River. The northern boundary was positively not to be south of the 45th degree of latitude, but a line from the southern end of Lake Nepissing to the headwaters of the Mississippi was to be contended for.² Every post and place within the United States, and every island, harbor, and roadstead belonging to them or any of them, was to be absolutely evacuated by the land and sea forces of his Britannic Majesty, and yielded to the powers of the States to which they respectively belonged. So far, everything was clear and smooth. But the question of the fisheries was one of more difficulty. From the 22d of March to the 29th of July resolutions were offered, amended, passed, and reconsidered. The matter ended in a virtual triumph for the French party. The right to the fisheries, even in the most limited form, was not to be made an absolute condition of peace.³ Gérard had gone so far as to declare that if the king of France had to choose between the Spanish and the American alliance, his choice would not be in favor of the United States.

The French minister, acting under instructions, also urged Congress to agree, if necessary, to a tacit rather than a formal acknowledgment of independence on the part of the king of England. On this point, also, Congress gave way. They refused, it is true, to stipulate in terms that the independence of their country might be "tacitly assured," but preferred the more roundabout expression "that previous to any treaty or negotiation for peace, the liberty, sovereignty, and independence, absolute and unlimited, of these United States, as well in matters of government as of commerce, shall be assured on the part of Great Britain, agreeable to the treaty of alliance between his Most Christian Majesty and the United States." But as the eighth article of the treaty referred to required only that the independence of the United States should be "formally or tacitly assured," the tacit acknowledgment might be considered accepted.

Congress found time on the 15th of June to congratulate Louis XVI on the birth of a princess, and to ask for the portraits of himself and his "royal consort," and also for further supplies, an estimate of which they had ordered their minister to lay before him, and which they assured him should be vigorously used against the common enemy. They refused on the 17th to allow their negotiators to stipulate that the inhabitants of the United States should not trade with the East Indies, nor engage in the

¹ March 19, 1779. *Secret Journals of Cong.*, ii. 138.

² [Cf., on this, *John Adams's Works*, i. ch. 6; iii. pp. 186, 220, 250; vii. 119, 120, 139; ix. 476. — Ed.]

³ It was agreed, however, that the faith of Congress be pledged to the several States that

no treaty of commerce be made with Great Britain without an explicit stipulation on her part in favor of the right of Americans to fish (*Secret Journals*, ii. 206), and that the force of the Union should be employed to obtain redress in case of any disturbance of that right (*Ibid.* ii. 211).

slave-trade, if adequate compensation could be obtained. It was decided that the independence or cession to the United States of Nova Scotia and the Bermuda Islands was not to be sought for. A committee was appointed to nominate a minister to negotiate for peace, and instructions were determined upon.¹ It was not until the 27th of September that the choice of this minister was reached. On that day John Adams² was appointed



LUZERNE.*

¹ August 14, 1779. *Secret Journals*, ii. 224.

² [Adams arrived in Paris, Feb., 1780. *Ci. Secret Journals*, ii. 258; *Dipl. Corresp.*, iv. 241, 339; his letters in *Ibid.* v.; *John Adams's Works*, i. 277; iii. 91, 121; vii. 5; ix. 472; x. 408; *Fa-*

mily Letters, 329, etc.; John T. Morse, Jr.'s *John Adams; Adams-Warren Correspondence*, 368, 377, 378, 400, 457, etc.; Bancroft, x. 442; Parton's *Franklin*, ii. 360, 394. Franklin said of Adams at this time, "I live on terms of civility

* [After a painting in the State-House at Philadelphia. — Ed.]

Luzerne

to make a treaty of peace and a treaty of commerce with Great Britain, and John Jay was appointed minister to Spain. During the same month the Chevalier de Luzerne arrived in Philadelphia as the successor of Gérard. This diplomat, by the suavity of his manners, and by apparent compliance with the wishes of Congress, made himself acceptable during four years to the American government.¹

In January, 1779, the Marquis de Lafayette returned from America to France. He had become deservedly popular among the Americans, whose cause he had served without self-seeking. It was a long-cherished dream with the Marquis to wrest Canada from the hands of the English. In the autumn of 1778 a plan for this purpose was drawn up by him in conjunction with a committee of Congress, and was reported to that body in secret session on the 22d of October.² The British dominions were to be attacked simultaneously at Detroit, Niagara, and St. Francis. A French fleet was to take Quebec. General Washington's opinion of the plan was asked, and on the 14th of November he wrote a very striking letter to the president of Congress. One objection to the scheme seemed to him insurmountable, and alarmed all his feelings for the true and permanent interests of his country. "This," he says, "is the introduction of a large body of French troops into Canada, and putting them in possession of the capital of that province, attached to them by all the ties of blood, habits, manners, religion, and former connection of government. I fear this would be too great a temptation to be resisted by any power actuated by the common maxims of national policy."³ Washington apprehended that if France should occupy Canada, and together with Spain should surround the United States on the north, the west, and the south, and become superior to England at sea, she might not only keep that territory which should be in her possession, but might give the law to the United States. In his letter to Congress the general dwelt on the military hazards and difficulties of the enterprise; and, not without reluctance, Congress consented to abandon it.⁴

Lafayette went to Europe without any definite mission, but with a cordial letter of praise from Congress to King Louis XVI.⁵ It was not in his ardent nature to be quiet and inactive. After a nominal banishment, spent in the house of his father-in-law, for the crime of leaving France without permission, the young Marquis found himself the favorite of the court and

with him, not of intimacy." Sparks made copies of letters from Adams's letter-books kept in Paris (*Sparks MSS.*, no. lii. vol. i.). — ED.]

¹ [His credentials, May 31, 1779, were presented Nov. 17th (*Journals of Cong.*, ii. 393). His memorial to Congress respecting a plan of commerce is in the *Sparks MSS.*, no. xlix. i. 16. On his instructions, see *Circuit*, vol. iii. — ED.]

² *Secret Journals*, ii. 111.

³ *Washington*, vi. 107.

⁴ Marshall's *Washington*, iii. 568-580, — a very good account; *Secret Journals*, ii. 116-117, 122-

130. The letter announcing the final abandonment of the plan did not reach Lafayette until he was in France (*Sparks's Washington*, vi. 548). [Cf. also *Ibid.* v. 530; Lafayette's narrative in *Sparks MSS.*, no. xxxii.; his letter from Boston, Dec. 18, 1778, to the Canada Indians, among the Carleton papers, copied in *Ibid.* no. xiii.; a letter from the *Lincoln MSS.* in *Ibid.* no. xii. The latest examination of Lafayette's career and of his family is in Doniol, vol. i. — ED.]

⁵ *Secret Journals*, ii. 124.

the city. The queen, irreproachable in her moral conduct, was more ready to recognize the charms of young men than prudence in a scandalous court would have dictated. She saw Lafayette several times, and presented him, as a substantial mark of her favor, with a regiment of dragoons. Madame Campan long kept a copy of verses, in her Majesty's own handwriting,



Lafayette*

* [From Andrews' *Hist. of the War* (London, 1785), vol. i. A rude engraved likeness by Norman is in the Boston edition of the *Impartial Hist. of the War in America* (1781), ii. 215. Cf. *Mass. Mag.*, August, 1700.

There is in the Capitol at Washington a full-length portrait of Lafayette by Ary Scheffer, presented by the artist in 1824, and a bust by David, given by him in 1828 (*Mass. Hist. Soc. Proc.*, xix. 55; R. C. Winthrop's *Addresses*, 1878, p. 287). There is an engraving of this portrait, which was made at the time it was painted. A portrait taken for Jefferson, when Lafayette came over here as a young officer, is in the Mass. Hist. Soc. gallery (*Catal.*, p. 17); in whose *Proceedings* (xx. 101) is a heliotype of a water-color drawing owned by a descendant of Lafayette in Turin, representing him, full-length, at the time of the Virginia campaign of 1781 (*Winthrop's Addresses*, 1878, etc., p. 400).

A full-length contemporary portrait, by Le Paon, of Lafayette standing before a horse held by a negro, and marked "Conclusion de la Campagne du 1781 en Virginie. To his Excellency General Washington this likeness of his friend, the Marquess de la Fayette, is humbly dedicated," is reproduced in Doniol's *Participation de la France à l'établissement des Etats-Unis d'Amerique*, vol. ii. The original engraving was by Noël le Mire. (Cf. Jules Hidou's *N. le Mire*, Paris, 1875.)

C. W. Peale painted and engraved a head of Lafayette, given in Lossing's *Home of Washington*, p. 106, the picture having been placed at Mount Vernon. One by L. Barre was engraved by B. le Clair. A portrait taken during his visit to the United States in 1784 is given in the *Mag. of Amer. Hist.*, Dec., 1878. A painting by C. C. Ingham, 1825, is owned by the N. Y. Hist. Society, which has been copied for the State Capitol at Albany. Cf. E. M. Stone's *French Allies* p. 516, and *Harper's Monthly*, lxiii. 325. — ED.]

which had been applauded on the stage of the *Théâtre Français* because in them the audience had thought that it recognized the description of the Marquis. Indeed, the whole life of the young soldier at this time was a continual ovation. He employed his popularity for the advantage of America. At one time he planned an expedition against the towns on the western coast of England; at another, he proposed to hire four ships of the line from the king of Sweden. But a more important and more delicate matter soon began to absorb his attention. Up to the end of 1779 the advantages derived by the United States from the French alliance had not been so great as might have been anticipated. The news of the treaty between the United States and France had caused the English to evacuate Philadelphia in the summer of 1778; but the Americans had immediately afterwards been drawn, by the expectation of French assistance, into a disastrous attack on Newport, in which, as many of them believed, they had been left in the lurch by their allies.¹ In September and October, a similar attack upon Savannah had had no better result, although much valor had been displayed on that occasion.² Lafayette desired a more thorough cooperation between his old and his new country. The popular prejudices of Americans were opposed to this. It was not many years since the colonists had looked on Frenchmen as their natural enemies. It was Lafayette's wish to overcome these prejudices. He proposed to Vergennes to send an army to fight in America.³ It may be doubted whether the Count himself desired very energetic action in that quarter. He wished to see the United States independent, but not too powerful. The abasement of England by the establishment of a balance of power in America, among Great Britain, Spain, and the United States, would have been sufficiently consonant with French interests. Vergennes acceded, however, to the main features of Lafayette's plan.

The Marquis considered the composition of the army that was to be sent a very important matter. The officers must be soldiers, not courtiers. It was in July, 1779, that Lafayette first proposed the expedition, and he hoped that the troops might leave France in time to take Newport by the autumn of the same year. Excursions were to be made to the southward during the winter, and the grand achievement was to be the reduction of Halifax in the summer of 1780.⁴ Lafayette hoped to command the expedition himself. He expressed his entire willingness, however, to take a subordinate place. It was finally arranged that the Marquis should sail alone for America in March, 1780, with instructions to announce to Washington the speedy arrival of a corps of six thousand men. These soldiers were to be kept together under their own general, who was himself to be under Washington's orders.

¹ [See Vol. VI. ch. vii. note 3.—ED.]

² [See Vol. VI. p. 470.—ED.]

³ [Sparks's *Washington*, vii. 477; J. C. Hamilton's *Republic*, etc., ii. 15.—ED.]

⁴ Lafayette's minute is given in his *Mémoires* (Bruxelles, 1838), i. 237-241.

Throughout the years 1778 and 1779 the British aggressions on neutral commerce had been rousing the indignation of the northern powers. Acting on their own interpretation of what was contraband of war, the English privateers had robbed Dutch, Danish, and Swedish ships, in violation of treaties and of the law of nations. The court of St. Petersburg was becoming more and more estranged from that of London. Sir James Harris, the English minister, tried influence and bribery in vain on the favorites of Catherine II. Count Panin told him, smiling, that, being accustomed to command at sea, the language of England on maritime objects was always too positive.¹ Russia, Denmark, Prussia, and the Netherlands remonstrated with the British government. Catherine was becoming much incensed, when an incident occurred that came near turning aside the current of her wrath. The Spaniards, fearing lest Gibraltar might be revictualled, took two Russian ships bound for the Mediterranean, and sent them in to Cadiz, where their cargoes were sold to the highest bidder. This gave the English minister an apparent advantage. Harris was able to report, on the authority of the favorite Potemkin, that orders were to be given to fit out fifteen ships of the line and five frigates, which, while they were to be supposed to protect Russian trade against all aggressors, were in fact meant to chastise the Spaniards, whose insolence and arbitrary conduct the Empress could not put up with.² At this juncture the Prussian minister at St. Petersburg reported the state of affairs to Frederick the Great. That monarch immediately sent off a messenger, as fast as horses could take him, to Paris. The Prussian minister at the court of Versailles was ordered to ask for an immediate audience, and to point out the importance of satisfying Russia without the slightest delay. Vergennes recognized the urgency of the crisis; he sent off a courier post-haste to Madrid. Florida Blanca saw that the Prussian advice was good, and determined to follow it; but before a messenger could ride from Petersburg to Madrid and return, Catherine II had been brought to larger views. Count Panin had persuaded her that by assuming the position of the impartial defender of neutral rights she might greatly increase her influence in Europe, and yet inspire no jealousy.³ On the 28th of February (10th of March), 1780, she issued a "Declaration to the Courts of London, Versailles, and Madrid." The Empress declared that her own justice, equity, and moderation, shown during her war against the Porte, in respect to the rights of neutrals, and the impartiality that she had evinced during the present war, had led her to hope that her own subjects would enjoy the fruits of their industry and the advantages belonging to all neutral nations. Finding herself disappointed, the Empress, before taking further measures, thought it right to express to all Europe the following principles, which she found in primitive international law, and which had received the sanction of treaties:—

¹ *Diaries and Correspondence of James Harris, first Earl of Malmesbury* (London, 1844), i. 222.

² Malmesbury, i. 279.

³ Koch, iv. 35; Circourt's translation of Bancroft, iii. 235, etc.; Bergbohm, *passim*.

(1.) Neutral vessels may sail freely from port to port, on the coasts of belligerent powers. (2.) Free ships make free goods, except in the case of contraband. (3.) Contraband goods are arms, ammunition, etc., but not provisions, nor materials for building and furnishing ships. (4.) A port can be considered blockaded only when, from the disposal of the blockading force, there is evident danger in entering it. (5.) The principles enumerated above are to be observed in judging of the legality of prizes.

The Empress announced that in support of these principles she was arming a large part of her fleet, but declared her intention to keep the peace unless she were interfered with, and hoped that the belligerent powers would give orders to their officers in accordance with the principles above defined.

The importance of this declaration can hardly be exaggerated. It was certainly not true that the principles here expressed had always been accepted as the law of nations. France and England, in the days of their maritime strength, had never acknowledged rules so liberal. But it was no small matter that such good laws should be recognized and laid down as

universal by a great power. The neutral nations thenceforth have had something definite to strive for.

The belligerent powers replied to the declaration of Catherine. The king of England professed that he always obeyed international law and subsisting treaties. The king of France expressed his satisfaction at seeing the Empress sustain the cause of neutral rights; which, as he explained, was the very cause he was fighting for. The king of Spain said that he considered the step her Majesty had taken an effect of her confidence in him, and was the more pleased because the principles which she had adopted



EARL OF SANDWICH.*

were those by which he had always guided his own conduct. It was only the evil behavior of England which had forced him to follow her out of the right course. In truth, he believed that no great harm had been done; and

* [From the *European Magazine*, May, 1787, p. 209. He was first lord of the admiralty under the ministry of North. — Ed.]

for what might have occurred the neutral powers were principally responsible, their ships having used false papers. The king expressed his wish to have the glory of setting the first example of respect for the neutral flags of all such courts as had determined, or might determine, to defend themselves, until he should see what the English navy would do, and whether the English privateers would be kept in check. After this magnanimous declaration, his Catholic Majesty drew attention to the fact that Gibraltar was actually blockaded.

Denmark and Sweden were informed of the course of Russia. Both of them issued declarations to the belligerents, and entered into conventions with Russia and with each other. On the 8th of October, 1780, the Congress of the United States voted that the admiralty should report instructions to the officers of their armed vessels, in conformity with the principles laid down in the Russian circular. They also empowered their ministers abroad¹ to accede to such regulations, conformable to the spirit of the declaration, as should be agreed to by a congress expected to assemble on the invitation of the Empress of Russia. We shall presently see how the Netherlands were forced into the alliance a few months later. Austria and Prussia joined the Armed Neutrality, as it was called, in 1781; Portugal, in 1782; the two Sicilies, in 1783. Thus every considerable civilized maritime power was brought, temporarily at least, to the support of justice and moderation, and into opposition to England.

The conduct of England toward the Netherlands, during the whole war, was such as to leave little doubt in an impartial mind that the object of the English ministry was simply to injure a weaker rival. A treaty had existed between these countries for more than a hundred years, in which it was declared that free ships made free goods, and that clothing, ship-timbers, and naval stores were not contraband of war.² This treaty had been disregarded by England during the Seven Years' War, and was



SAMUEL HUNTINGTON.*

¹ [Francis Dana was sent to Russia, and his commission and instructions were dated Dec. 19, 1780 (*Secret Journals*, iii. 357). His correspondence is in the *Dip. Corresp.*, viii. 239, etc. — Ed.]

² Treaty of 1st of December, 1674. Dumont, vii. 282.

* [From Du Simitière's *Thirteen Portraits* (Lond., 1783). Cf. *Heads of Illustrious Americans* (Lond., 1783). He was president of Congress from Sept., 1779, to July, 1781. — Ed.]

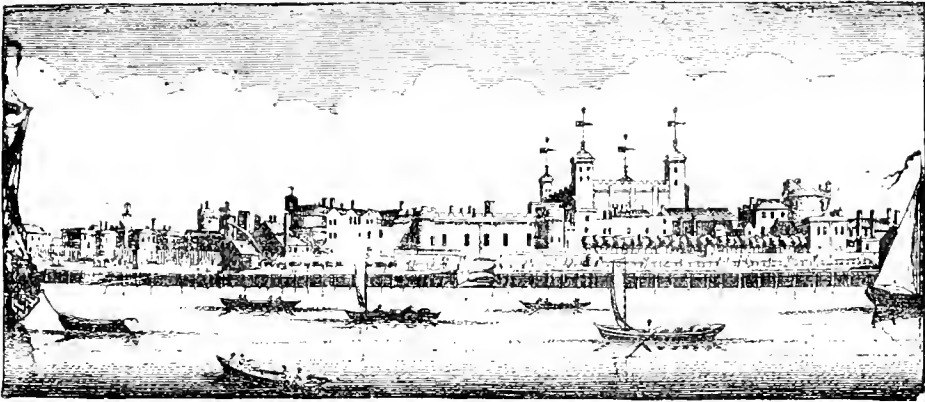
equally disregarded during the war of the American Revolution. The tone of the English government toward the States-General was arrogant in the extreme. In 1777, the English admiral at the Leeward Islands was instructed to search all Dutch vessels sailing into or out of the Dutch harbor of St. Eustatius, and to send to an English port all such as should be found to contain clothing or materials for clothing. The governor of the island of the same name, having allowed the salute of an American cruiser to be returned, and having said that he was far from betraying any partiality between England and the North American colonies, the English ministers addressed to their High Mightinesses a note so insolent that even the weaker power felt called on to express its resentment. The governor, however, was recalled. The interested attachment of the Stadtholder and the Grand Pensionary to the English party, as well as the sense of its own weakness, kept the country quiet for a time. The loose and ill-defined bond which united the provinces was a source of trouble. In 1778, the American commissioners in Paris wrote a letter to the Grand Pensionary of the Netherlands, informing him of the treaty of amity and commerce with France, and expressing a desire that a good understanding might be cultivated between the Netherlands and the United States, and that commerce might be established between them. No notice was taken of this letter by the authorities of the Dutch confederation. The burgomasters of Amsterdam, however, through their pensionary Van Berckel, officially expressed a wish to an American correspondent for a perpetual treaty of amity, whenever the independence of the United States should be acknowledged by the English.¹ The pensionary acknowledged that he could speak but for one city, and the American commissioners, on being applied to, refused to move further in the matter. William Lee, on his own responsibility, negotiated a treaty with a merchant of Amsterdam, but the commissioners refused to recognize this irregular proceeding. Meanwhile, the English cruisers and privateers were robbing the Dutch merchants on the high seas. To all complaints Lord Suffolk answered that, treaty or no treaty, England would not suffer materials for shipbuilding to be taken to French ports. Lord Suffolk, dying, was succeeded by Lord Weymouth, and Lord Weymouth by Lord Stormont, but the same policy was pursued. Yet a few American merchantmen were allowed to enter the port of Amsterdam. On the 4th of October, 1779, John Paul Jones sailed into the Texel on board of the "Serapis," which he had captured from the English after a gallant struggle;² with the "Countess of Scarborough," also a prize; and one American and two French vessels. Sir Joseph Yorke, the English envoy, claimed that Jones should be treated as a pirate, and that the British ships should be given up. The Stadtholder might have yielded. The Grand Pensionary stood firm for neutral rights. By a compromise, the French flag was raised over the prizes, and on the 27th of December they sailed away.

On the same day, seventeen Dutch merchantmen, sailing under the con-

¹ Sparks's *Dis. Corresp.*, i. 457.

² [See Vol. VI. ch. vii. — ED.]

voy of five Dutch ships of war, were stopped in the English Channel by a superior English fleet. Twelve of the merchantmen escaped during the night. The next morning a shallop was sent by the English admiral to visit the remaining five. The Dutch admiral fired upon the shallop, and the English admiral fired upon him. The Dutchman, yielding to superior force, struck his flag, and the English sailors carried off their booty. Sir James Marriott, sitting in admiralty on the vessels so taken, is said to have announced a convenient doctrine: "Grotius and Bynkershoeck agree, and who is there that will deny, that necessity gives us the right to make ourselves masters of everything, without the seizure of which a nation cannot defend herself? As in relation to want, if an enemy on the one part is in want of stores, the want to intercept them on the other is equal. And in relation to blockades, every port of the enemy is blocked relative to a neutral vessel with stores which is seized, and, by consequence, blocked,



TOWER OF LONDON.*

and forbidden to go there. It imports little, that whether the blockade be made across the narrows at Dover, or off the harbor of Brest, or l'Orient. If you are taken, you are blocked. Great Britain, by her insular situation, blocks naturally all the ports of Spain and France. She has a right to avail herself of this situation, as a gift of Providence."¹ As gifts of Providence, the English continued to gather in the cargoes of their neighbors. It was not until very many ships had been taken that the British government, in April, 1780, officially announced that it would in future disregard the rights of the Dutch under the treaty, on the ground that the treaty had already been infringed by the States-General, which had not furnished aid against the enemies of England, as, under the defensive alliance subsisting between the countries, they were obliged to do. Sir Joseph Yorke was instructed to use his position of envoy of a friendly power to collect information which might enable the British cruisers to take valuable

¹ Report of John Adams in Sparks's *Dip. Corresp.*, iv. 472.

* [After a print in the *London Magazine*, 1789. — Ed.]

prizes. Still the Stadtholder refused to join the northern confederation supporting the Armed Neutrality, unless the colonial possessions of the Netherlands should be assured. This Russia would not grant, but the draft of a convention in accordance with her wishes was prepared. England, meanwhile, did not desire to quarrel with Russia, — her policy being



HENRY LAURENS.*

to bully a small power rather than to fight a large one, — and gladly seized on a pretext for a war with the Netherlands, unconnected with the Armed Neutrality.

In October, 1780, Henry Laurens, who was on his way from America to

* [From Delaplaine's *Repository* (1815). The painting is by C. W. Peale. Cf. J. C. Smith's *Brit. Mezzotint Portraits*, ii. 568. A painting by Copley is mentioned by Perkins (p. 80), who says its ownership is not known; but a portrait by Copley, said to have been painted for Thomas Hollis while Laurens was in the Tower, is noticed in *Mass. Hist. Soc. Proc.*, June, 1886, p. 8, and is said to be, or to have been, lately in the Corcoran gallery in Washington, and to have been engraved by T. B. Welch. A portrait of Laurens by Copley, engraved by V. Green, London, is reproduced in the *Mag. of Amer. Hist.*, July, 1887. (Cf. *Harper's Mag.*, lvi. 841), in connection with a paper "Henry Laurens in the London Tower," which also has a facsimile of an old print of the Tower, at the time of Laurens's confinement. There are in the *Sparks MSS.* (no. xlix. vol. iii.) a letter in pencil of Laurens, from the Tower, Dec. 20, 1781, complaining of his imprisonment, addressed to Congress; and a letter of his son, Henry Laurens, Jr., Amsterdam, March 28, 1782, describing his father's incarceration. There are also in *Ibid.* (no. lii. vol. iii. no. 45) various papers, after originals in Madison's possession, respecting Laurens's petition from the Tower, Dec. 1, 1781. Cf. *Hist. Mag.*, x. 99, 237, 265; xi. 120; *South Carolina Hist. Soc. Coll.*, vol. i.; Parton's *Franklin*, ii. 405; *Peole's Index*, p. 728. The *Political Magazine* gives an account of the capture of Henry Laurens (vol. i. p. 735), and prints the papers captured with him (p. 691). — ED.]

Holland for the purpose of asking for a loan for the United States,¹ was taken prisoner at sea, and finally confined in the Tower of London. He had failed to sink his papers. Among them were found the draft of the treaty of commerce agreed upon between William Lee and his Dutch friend De Neufville, who professed to act under the instructions of Van Berckel, the pensionary of Amsterdam, and sundry letters concerning affairs in the Netherlands.² These papers were sent off at once to Sir Joseph Yorke, with orders to communicate them to the Stadtholder. In a memorial to the States-General, Sir Joseph blamed and threatened, and demanded the formal disavowal of the conduct of the "gentlemen of Amsterdam," and the exemplary punishment of the pensioner Van Berckel. With this demand the States-General had already complied in so far as to condemn the conduct of the magistrates of Amsterdam. The English government, in a further memorial, insisted on the punishment of Van Berckel and his associates. Meanwhile, Sir Joseph regretted his inability to stir up a mob to murder the Pensionary.³ The British memorial was speedily followed by a manifesto. This document proclaimed that the treaty of 1678 between England and the Netherlands required that one of the two allies who was not attacked to break with the aggressor in two months after the party attacked should require it; that England had been attacked by France and Spain, and not the least assistance had been given her. It stated that the States-General had suffered an American pirate to remain several weeks in one of their ports; that they had endeavored to raise up enemies against England in the East Indies; and in the West Indies had given assistance to her rebellious subjects. But the treaty between De Neufville and Lee, informal and valueless as it was, was made the chief pretext. In a patronizing tone of sorrow and anger, war was declared, while the rich and weak neighbor whom it was designed to



HENRY LAURENS, JR.*

¹ *Secret Journals of Congress*, ii. 290. [Sparks's *Dip. Corresp.*, ii. 453. The Dutch published several satirical prints on the English rescuing his papers. Cf. Muller's *Americana* (1877), no. 1,809-10. — ED.]

² Papers given in *Annual Register* (1780), pp. 356-373.

³ Bancroft, x. 437, quoting Yorke to Stormont, November 14th.

* [From Du Simitière's *Thirteen Portraits* (London, 1783). Repeated in Frank Moore's *Laurens Papers* (N. Y., 1861). Cf. also *Heads of Illustrious Americans* (London, 1783). — ED.]

plunder, was kindly informed that the king would ever be disposed to return to friendship with the States-General when they sincerely reverted



R. R. LIVINGSTON.*

to that system which the wisdom of their ancestors had formed, and which had been subverted by a powerful faction, conspiring with France against the true interests of the republic no less than against those of Great Britain. Lord Stormont refused to receive further communications from the Dutch minister, and the latter was hurried out of London. It would not have done to reopen negotiations. Orders had already been sent to Rodney for the capture and plunder of St. Eustatius. On the 3d of January, 1781, the United Provinces formally joined the Armed Neutrality.¹

¹ [Between 1777 and 1784, the States-General printed in detached brochures the most important papers respecting their negotiations with Great Britain and the United States. There is a set of these in the Sparks collection in Cornell University (*Sparks Catal.*, no. 1,851). Muller (*Catal.*, 1877, no. 3,371) notes a set, 1779-84, in five volumes, with the general title *Versaamelingen van politicque werkijs*. The series is very rare, being printed for diplomatic use only. In the State Department at Washington are preserved the papers of the American agent, Dumas, during his residence in Holland (1777-1783); while those of Sir Joseph Yorke (1776-1780), the British minister, are in the English archives. Both are copied in the *Sparks MSS.* (nos. lxxii. and lxxiv.), as are (nos. lxxxii., lxxxiii.) the correspondence of the French minister (1776-1782) and the Abbé Desnoyers (1770-1781). The catalogues of Frederick Muller, of Amsterdam (*Books on America*, 1872 and 1877), show how access to a good collection of Dutch tracts and periodicals on the period is necessary to a full comprehension of all the details of the relations with Holland at this time. These publications cover the question of neutral rights as raised by Holland, the English raid on St. Eustatius, the urgency of the Armed Neutrality, and the complication produced by the reception of Paul Jones in Dutch ports. They include files of such periodicals as the *Gazette de Leyde*, the *Nederlandsche Mercurius*, the *Politique Hollandais*, the *Haarlemsche Courant*, and the *Vicieuwe Nederlandsche Jaerboeken*. These Dutch tracts

will be found mainly grouped together in Muller's *Catalogue* of 1872, nos. 1,578-1,726; and in his *Catalogue* of 1877 will be found in part under nos. 271, 1,208, 1,238-40, 1,251, 1,778, 1,869, 1,915, 2,100, 2,337, 2,548, 2,567, 2,586, 2,730, 3,049, 3,149, etc., 3,228, 3,306, 3,371. The preparatory plan found among Laurens' papers was printed in Dutch at Amsterdam in 1780, and Muller says that "the number of pamphlets caused by it is endless." The most conspicuous attack upon it and the Amsterdam party was R. M. van Goens's *Politiek Verloop*, and Calkoen and others controverted it. John Adams, who was in Holland at the time, set forth in twenty-six letters addressed to Calkoen, the story of the rise and progress of the Revolution in America, which did much to create an enlightened judgment on the pending questions between the States-General and America. These letters were printed but not published by Adams in London in 1780; were published in New York in 1789; were included in the *Correspondence of the late President Adams* in Boston in 1809; and are included in *John Adams's Works*, vii. 265, etc. The instructions (Dec. 29, 1780, and Aug. 11, 1781) to Adams to make a treaty with Holland are in the *Secret Journals*, ii. 375, 470. On Adams's mission, see the *Adams-Warren Correspondence*, p. 425, and his *Works*, index. On the war, which the seizure of the Laurens papers precipitated, see, on the English side, Donne, ii. 350; Adolphus, iii. 221; Massey, ii. 382; Mahon, vii. 81. The forcing of a rupture with Holland is called by Fitzmaurice (*Shelburne*, iii. 117) a discreditable move on the part

* [After the cut in *Harper's Mag.*, lxx. 351. There is a likeness in Independence Hall. Livingston was made the head of the Department of Foreign Affairs, created Jan. 10, 1781 (*Secret Journal*, ii. 580; *Dipl. Corresp.*, xi. 201; Hamilton's *Republic of the U. S.*, ii. ch. 28). — E11.]

Congress had gone on adding to its issues of paper money with increasing rapidity, as the paper itself had sunk in value. Neither patriotism nor the fear inspired by penal enactments could make people take the discredited promises for full pay. Before the close of 1779, two hundred millions of dollars had been issued. A great deal of counterfeit money had also been put in circulation, both by the British government and by individual forgers. The rate of discount was varying and arbitrary — as much as three hundred paper dollars being sometimes demanded for one of silver. Congress was at last obliged, officially, to recognize the depreciation,¹ and agreed, in receiving taxes, to take one Spanish milled dollar in place of forty dollars of the bills. The old notes paid in were to be destroyed and new ones issued in their place, at a rate not exceeding one new for twenty of the old. It was hoped that the new bills would remain at par. On the 28th of June, 1780, it was resolved that the principal of loans made to the United States in bills should be discharged, by paying in silver the current exchange value of those bills at the time the loans had been made. It was not many months after this that the paper money disappeared altogether from common use. “At once, as if by that force which, in days of ignorance, would be ascribed to enchantment, all dealings in paper ceased. Necessity forced out the gold and silver — a fortunate trade opened at the same time to the Havana for flour, all restrictions were taken off, and the Mexican dollars flowed in by thousands; this supported the sinking spirits of those who would have been discontented and uneasy, and in a few days specie became the universal medium, and so continues.” Thus wrote Joseph Reed in the summer of 1781.² The laws to limit prices, introduced by various States, had proved failures. A system of payment of taxes in kind had been resorted to. It was wasteful, and gave a great opening to fraud. Yet, although specie was becoming common in the country, and a luxurious style of living was making its way among the rich, taxes could not be collected. From 1781 to 1785, \$15,670,987 was called for by Congress and apportioned among the States. On the 1st of February, 1786, only \$2,450,803 of this had been actually paid.

From the beginning of the war until 1781, the management of financial affairs was in the hands of the Board of Treasury. After that year they were under the control of Robert Morris,³ an honest and able man, who did everything in his power to reform abuses, and who often raised money on his own credit for the use of his country. He introduced many economies, and was prevented from bringing order into the finances chiefly by the refusal of the States to tax themselves, and by the inability of the govern-

of England to render the American war popular by the chance of plundering St. Eustatia. Cf. Sparks's *Dip. Corresp.*, ii. 461; v. 367. — Ed.]

¹ *Journals of Congress*, March 18, 1780.

² Reed's *Reed*, ii. 205. Reed's grandson says,

“Evidently written in the *spring of 1781*.” The allusions on page 206, however, fix the date as not earlier than June.

³ Accepted office 7th May, 1781. Took exclusive control 20th Sept.

ment of the confederation to enforce taxation among them. On the 1st of January, 1783, the United States owed \$7,885,088 in foreign countries, and \$35,327,769 at home.¹



ROBERT MORRIS.*

The whole matter was complicated by the state of the currency. It was not until the 6th of July, 1785, that the dollar of 375 $\frac{1}{10}$ grains of silver

¹ Bolles, i. 317.

* [From Delaplaine's *Repository* (1815), after a portrait by R. E. Pine. His portrait is among those in Independence Hall. Cf. Scharf and Westcott's *Philadelphia*, i. 277 (with view of his house, p. 278; another picture in Brotherhead's *Signers*, 1861, p. 3). There is also a portrait in Sanderson's *Signers*, vol. v. Colonel Michael Nourse published a statement of the accounts of Robert

Morris in Homans's *Banker's Mag.*, Feb., 1800 (ix., new series, p. 376). Cf. G. W. P. Custis's *Recollections*, ch. 13.—ED.]

was finally established as the unit, with the same subdivisions which have been retained. The Mexican dollar used during the Revolution was more than two per cent. heavier. All sorts of coins were in circulation, and pounds, shillings, and pence, of different values in different States, were used in many computations. It is not wonderful that accounts were sometimes inextricably confused.

We have seen that before the alliance with France the French and Spanish governments had furnished pecuniary aid to the United States. Beaumarchais had received two million of livres from France and one million from Spain. This money appears to have been honestly expended in purchasing of the French government old arms and ammunition lying in the arsenals, with other stores, to be dispatched to America. A million livres were obtained from the farmers-general, in consideration of which tobacco was to be sent. But a small amount of the tobacco ever reached France. Two million livres appear to have been promised through Mr. Grand, the banker, in 1777, and three millions for 1778. Of these five millions, only two



GOUVERNEUR MORRIS.*

were actually paid.¹ Spain, in addition to the million sent to Beaumarchais, promised a loan of three million livres, but only one hundred and seventy thousand livres were paid over. This amount was expended in repaying the advances of a Spanish mercantile house. Later in the war, John Jay, as minister from the United States, succeeded in obtaining from Spain a loan of one hundred and fifty thousand dollars.²

From the time of the treaty of alliance, the assistance furnished by France was still greater. From February, 1778, to July, 1782, it amounted in money lent to eighteen million livres.³ The next year a final loan of six millions was granted. In addition to this the king of France made sundry presents to the United States. We have seen that two million

¹ *Deane papers*, 35, 37, 50; Lomenie's *Beaumarchais*, ii. 186; Sparks's *Dip. Corresp.*, i. 282.

² Bolles, i. 246-250, and authorities quoted, viz.: Sparks's *Dip. Corresp.*, i. 275, 357; ii. 40, 45, 49, 125, 133, 138, 162, 167, 173, 179, 180; vii.

300, 304, 310; viii. 49, 70, 71; Jay's *John Jay*, i. 109, 110.

³ The amount liquidated by solemn treaty, 16th July, 1782.

* [From Du Simitière's *Thirteen Portraits* (Lond., 1783). Cf. *Heads of Illustrious Americans* (Lond., 1783). An engraving, by G. Kruell, after a painting at Morrisania, is in *Scribner's Mag.*, Jan., 1887, p. 64. Morris was assistant to Robert Morris in the Finance Department in 1781. — ED.]

livres were advanced to Beaumarchais, and two millions to the commissioners, through Mr. Grand, the banker. One million came from the farmers-general. In 1781 six millions were directly presented, and two more in 1782. This made a total of thirteen millions.¹ In the autumn of 1780, Colonel John Laurens, of Washington's staff, was sent out on a special diplomatic mission to ask for a loan. His independent bearing gave some offence at Versailles, and he failed to obtain direct aid. Ten million livres, however, were borrowed at this time in Holland, on the credit of the United States, guaranteed by that of France. The Dutch government refused at first to countenance this plan, not for fear of the security being insufficient, but on account of the complications which might arise with England. The French government finally agreed to advance the money itself, but was subsequently able to obtain it from Holland, on the security first proposed.

After the treaty of commerce between the United States and the Netherlands was signed, John Adams succeeded in opening considerable loans in Holland, through Dutch banking-houses. These loans amounted in January, 1785, to nearly seven million guilders. The pecuniary affairs of the United States were managed in Holland with more ability than either in France or in America. This appears to have been principally due to the diligence and sense of honor of John Adams. The Dutch loans, moreover, contracted later than the French, stood on a purely mercantile basis; while the money lent by France had been lent from political motives, and prompt repayment of it had not been expected. The articles of confederation under which the United States managed to live until 1789 were grossly inadequate to the government of the country, and the Treasury suffered with all the other departments. It was reserved for the officers appointed under the new Constitution, and especially for Alexander Hamilton,² to open a new era of American finance.

¹ Dr. Franklin reckons twelve millions, Sparks's *Dip. Corresp.*, iii. 494. In the contract between the United States and France, February 25, 1783, only nine millions are enumerated. This is done by counting only three millions before the treaty of 1778, and by omitting the two millions of 1782. Beaumarchais was reckoned at one million only. It may be that he returned

his second million to the treasury. The million of the farmers-general was probably the other million omitted. This would leave three millions before 1778, viz., one to Beaumarchais and two to Grand.

² [Hamilton had begun to show his financial skill before the war closed. J. T. Morse's *Hamilton*, i. 86.—ED.]

CRITICAL ESSAY ON THE SOURCES OF INFORMATION.

THE original authorities for the diplomatic history of the American Revolution must be sought principally in the archives of the Department of State in Washington, in the Public Record Office in London, in the archives of the *Ministère des Affaires Étrangères* in Paris,¹ and in the corresponding archives in Spain, Germany, Holland, and Russia. No catalogue of the papers relating to the Revolutionary War in these various archives has ever been published. Mr. B. F. Stevens, the despatch agent of the United States in London, has prepared a list of such documents, to the number of over ninety-two thousand. The papers catalogued by him are contained in about three thousand volumes in the archives of England, France, Holland, and Spain. They do not include any from the German archives. Mr. Stevens intends, if he can secure the necessary aid from the American government, to cause a large number of the more interesting documents to be printed, and to make chronological and alphabetical indexes.² Until this large plan shall have been carried out, the American scholar in search of new matter will be obliged to prosecute long and laborious studies in Europe.

There are, however, already in America several large and valuable collections of manuscripts bearing on the diplomacy of this time. Among the most important of these, after that of the Department of State, are the Sparks collection and the portion of the papers of Arthur Lee in the library of Harvard College.³ The latter papers are especially rich in material for the study of the diplomacy of the Revolution. They have been rendered accessible by an excellent catalogue.⁴

Of the printed authorities, the most important are the letters and documents edited by Sparks, which have been considered in another volume. A very elaborate work on the coöperation of France in the founding of the United States is in course of publication in Paris.⁵

¹ [Cf. Bancroft's statement respecting the diplomatic records in Paris in his final revision, iii. 486. The original records and letter-books of the American legation in Paris, 1776-1785, are among the Stevens-Franklin MSS. in the Department of State.—ED.]

² Circulars of B. F. Stevens, United States Despatch Agency, 4 Trafalgar Square, W. C. London (1885), and MS. lists in his possession. A notice of German MSS. relating to the Revolutionary War was read in 1887 before the Mass. Hist. Society, and will be found in its *Proceedings*.

³ Cf. list in the *Catalogue of the Library of Jared Sparks, Cambridge, 1871*, edited by Mr. C. A. Cutter. A fuller catalogue is in course of publication. These MSS. are described elsewhere in the present *History*.

[Sparks's methods are also described elsewhere. He took special pains (Sparks's *Catal.*, p. 229) to collect the diplomatic papers from the English, French, German, Dutch, and Spanish archives, and his copies also include (nos. lxxxi. and xc.) the papers of Gérard and Luzerne from the Department of State, which he has translated and printed in the *Dip. Corres.*, vol. x., as well as the official papers (no. lxxiv.) of C. W. F. Dumais, who acted in Holland for the United States, 1777-83. The principal num-

bers of the *Sparks MSS.* to be of use are these: lii., the papers of Matthew Ridley, in Paris, 1782-83, in which Sparks says Franklin was unjustly treated; lv., papers of various attempts at reconciliation (1776-79), from originals in the London State Paper Office; lxxiii., the correspondence of France and Spain, 1776-78; lxxv., the Favier papers, 1778-80; lxxviii., copies in Sparks's own hand, with parts cut out by the official censor of the French archives, selected from thirty volumes of MSS. in the *Archives des Affaires Étrangères* in 1828; lxxx., papers (1776-82) from the French archives; xcii., letters of Montmarin and Vergennes, 1778-82. There are translations of some of these in no. xxxii.—ED.]

⁴ *Library of Harvard University, Bibliographical Contributions. Edited by Justin Winsor, Librarian. No. 8, Calendar of the Arthur Lee Manuscripts of Harvard University* (Cambridge, 1882). The other parts of the Lee collection are described elsewhere.

⁵ See p. 79, n. 6. The collections of Sparks which bear more especially on the subject are: (a) *Dip. Corres. of the Amer. Rev.*, 12 vols. 8° (Boston, 1829-30); (b) *The Writings of George Washington*, 12 vols. 8° (Boston, 1834-37); (c) *Corres. of the Amer. Rev., being letters to Washington*, 4 vols. 8° (Boston, 1853); (d) *The Works of Benjamin Franklin*, 10 vols. 8° (Boston, 1836-

Of the collections of treaties and public diplomatic acts for the period under consideration, that of Martens is by far the fullest; but it is by no means complete. It is necessary, moreover, for a perfect understanding of the diplomacy of the years from 1776 to 1782, and more especially of the Armed Neutrality, to refer to some treaties of a much earlier date, which are not included in Martens. For this purpose the works of Chalmers, Jenkinson, and Wenck will be found useful. The catalogue of Tétot, although very useful, is incomplete. The treaties and conventions to which the United States were a party have been published by order of Congress. A list of treaties, conventions, and international declarations concerning the Revolutionary War and the Armed Neutrality, and the titles of works in which these documents are printed, will be found in the appendix to this chapter.

The diplomatic histories of Koch and of Flissan should be consulted. The former contains an able review of the general subject of the rights of neutrals at sea,¹ beside other valuable matter. The latter, together with a general review of the relations of France and the United States, contains a particularly valuable account of the negotiations between France and Spain, including some documents which I believe are not published elsewhere.²

The *Statutes at Large of Great Britain* for the first twenty-three years of George III, the *Parliamentary Register*, and the *Journals of Congress* from 1774 to 1785 contain much indispensable information for this time. The *Parliamentary Register* does not report debates in full;³ the *Journals of Congress* do not report them at all. It is therefore desirable to turn to collections of speeches, and to private diaries. *The Correspondence of George III. with Lord North*⁴ contains many interesting particulars, both in the text and in the notes.

In addition to the books in which diplomatic documents are printed complete, many histories and biographies contain quotations, or abstracts of papers not otherwise attainable. This is particularly the case with Bancroft's *United States*. Coming later than Sparks, Bancroft has profited by the result of the labors of his predecessor, and has pushed on his own investigations in new fields. On the subject of the British failure to hire troops in Russia, and of the subsequent bargains with Brunswick and Hesse, Bancroft has written very fully;⁵ and it was partly by the use of his copies of papers in Europe that

40); (c) *Life of Gouverneur Morris*, 3 vols. 8^o (Boston, 1832). [An examination of Sparks's method in this respect is made elsewhere in the present work.—ED.]

¹ At the beginning of the fourth volume.

² [The subject of this chapter has received monographic treatment in William H. Trescot's *Dip. of the Amer. Rev.* (New York, 1852), a careful but not minute study, which Mahon (vii. 45) calls "unpretending, but candid and very able;" and in Theodore Lyman's earlier treatise, *The Diplomacy of the United States, 1778-1814* (Boston, 1826). There are minor treatments in a chapter in George W. Greene's *Hist. View of the Amer. Rev.*, p. 173 (cf. *Atlantic Monthly*, xv. 576); a paper by F. Bowen in *N. Amer. Rev.*, lxxv. 270; in Lossing's *Field-Book*, ii. App., p. 853, and necessarily in the lives of the prominent American diplomatists. There is in the *Sparks MSS.* (no. xciii.) an original incomplete, "Sketches of the Diplomatic History of the American Revolution," by Jules de Wallenstein (1830), together with what Sparks calls a valuable paper, by the same writer, "On the Causes and Principles of the Alliance between France and the United States, 1778." Sparks

latterly contemplated a history of the foreign relations of the United States during the Revolution.—ED.]

³ There is every reason to believe that the reports do not very closely follow the speeches delivered. Compare, for instance, the speech of Lord Chatham on the hiring of German troops, as given in Almon's *Parliamentary Register*, ix. 8, and in *Select Speeches*, v. 379.

⁴ Edited by W. Bodham Donne, 2 vols. 8^o (London, 1867). [This editor is inclined to lay more blame on the cabinet and people than on the king. The book occasioned a revival of discussion upon the king's character. Cf. *Edinburgh Rev.*, 1867; *N. Amer. Rev.*, Oct., 1867, by C. C. Hazewell; *Blackwood*, June, 1867; *Quarterly Rev.*, 1867; and *Poole's Index*, p. 510. Cf. references on the king's personal character in Winsor's *Handbook of the Amer. Rev.*, p. 181, and on the character of Lord North, *Ibid.*, p. 182.—ED.]

⁵ [Lowell, *Hessians*, preface, says Bancroft is the only American historian who has thoroughly studied the original sources in this matter.—ED.]

Friedrich Kapp was able to write his valuable monograph on the same subject.¹ At the time, however, when these copies were collected, the Hessian archives were not open to the public. They have since become so. Hesse-Cassel was conquered by Prussia in 1866, and has become a province of that country. The Hessian archives have been removed from Wilhelmshöhe, the palace of the Hessian landgraves, near Cassel, to the romantic old castle of Marburg, where they are carefully kept and generously shown by a body of learned archivists under the orders of the Staatsarchivar, Dr. Könnecke. Copies of the papers may now be taken, and valuable contributions may perhaps be made from

¹ *Der Soldatenhandel deutscher Fürsten nach Amerika, von Friedrich Kapp*, Berlin, 1864. The same, 2d edition, Berlin, 1874. [It was, in part at least, reprinted in this country in the *Deutsch-Amerikanische Monatshefte*, Chicago, 1864. The principal other recent German publications on this subject are: Max von Eelking's *Die Deutschen Hülfstruppen im Nord-Amerikanischen Befreiungskriege*, Hannover, 1863 (cf. *Hist. Mag.*, Feb., 1864, and Jan., 1866); and his *Leben und Wirken des General-Lieutenants Friedrich Adolph von Riedesel*, Leipzig, 1856 (of which there is in part an English translation by W. L. Stone. Cf. Sparks in *N. Am. Review*, xxvi.). Lowell (p. vii.) says "his labors are marred by inaccuracies." Of the Baroness Riedesel's *Berufs-Reise nach Amerika, 1776-1783*, Berlin, 1801, there is an English translation by M. de Wallenstein, *Letters and Memoirs relating to the War of Amer. Independence*, New York, 1827, and a version by W. L. Stone, printed at Albany in 1867. J. von Ewald's *Belehrungen über den Krieg, besonders über den kleinen Krieg durch Beispiele grosser Helden und klüger und tapferer Männer*, Schleswig, 1798, 1800, 1803, and the "Feldzug der Hessen nach Amerika" in the *Ephemeren über Aufklärung, Literatur und Kunst*. Ewald was a participant, and Bancroft (final revision, v. 105) calls him "a man of uprightness, vigilance, and judgment." Lowell (p. 225) says, "Ewald is very trustworthy as to the main facts of his stories, though they generally lose nothing in his telling."

The principal account in English is the *Hessians and the other German auxiliaries of Great Britain in the Revolutionary War*, New York, 1884, by the writer of the present chapter, who first communicated the results of his studies in Europe in the *New York Times* in 1880 and 1881. Other less important studies in English are the rather loosely planned account in J. G. Rosegarten's *German soldiers in the wars of the United States*, Philad., 1886; a paper on the "German mercenaries," by Geo. W. Greene, in the *Atlantic Monthly*, Feb., 1875, included in his *German Element in the War of Amer. Independence*, New York, 1876. Cf. *Hist. Mag.*, viii. 54; x. 7; the *Pennsylvania Mag. of Hist.*, i. 74, on the Hessians in Philadelphia; the *Mass. Hist. Soc. Proc.*, xvii. 57, on the Hessian battle-flags; and *The Nation*, Oct. 15, 1885, p. 319.

Eelking gives a list of the MS. journals to which he had access. Lowell (p. 295) enumerates, beside the printed sources, the manuscripts at Cassel and Marburg, and in the library of the Prince of Waldeck, of which he has copies. My friend, Prof. C. A. Joy (now in Germany), communicated to me references to some MSS. which he had examined, including three MS. diaries in the hands of Dr. H. E. Bezzenberger, of Cassel, one of which is by Wiederhold, a copy, apparently, of the "Tagebuch" of Hauptmann Wiederhold mentioned in Lowell's list. Strieder (p. 346) gives an account of a diary kept by Von der Lith. I find mention of a *Tagebuch von der Reise der Braunschweigischen Auxiliar Truppen von Wolfenbüttel nach Quebec, unterworfen von E. F. Melsheimer*, a tract published at Minden in 1776, with a continuation the same year. The *Tagebuch vom Capit. Pausch* is mentioned by Lowell, as in the Landesbibliothek at Cassel, and has been translated by W. L. Stone as *The Journal of Captain Pausch, Chief of the Hanau artillery during the Burgoyne Campaign, with an introduction by Edw. J. Lowell*, Albany, 1887. Some letters of Schöpf, surgeon of the Anspach-Bayreuth troops, dated New York, Dec., 1780, on the climate and diseases affecting European troops, and printed at Erlangen in 1781, were translated by Dr. James R. Chadwick, and printed at Boston in 1875. The travels of a surgeon of the German auxiliaries, 1776-83, are translated in the *Penna. Mag. of Hist.*, v. 74. For some Hessian opinions of Washington and his companions, see *Atlantic Monthly*, Oct., 1884. The *Stand und Rang Liste der Kurhessischen Armee für das Jahr 1806* gives names of officers who had served in America. Cf. Gen. Von Ochs's *Neuere Kriegskunst* (1817); and August Ludwig Schlözer's *Briefwechsel meist historischen und politischen Inhalts, 1770-82*, reprinted at Göttingen, 1780-82.

The Hessian fly, commonly supposed to have accompanied the German auxiliaries, and in this way to have been introduced into America, is satisfactorily ascertained to have been known in the country before the Revolution, probably brought over by the German immigrants in Pennsylvania. Cf. *Science*, April 11, 1884, p. 432. — ED.]

this source to the diplomatic history of the Revolutionary War. To the military historian the same archives present a still wider field of research. It is not probable that there now exists in any part of the world a collection of documents relating to American history at once so rich and so little explored, as that which lies in this picturesque and accessible spot.¹

Kapp's monograph was first published in Berlin in 1864. The first edition is valuable, even to persons who possess the second, on account of the original documents which it contains. These the author allowed to be crowded out of the second edition. The book was written with a political purpose, in the interest of the unification of Germany, and Kapp has treated the mercenary princes with little kindness. It is difficult, however, to exaggerate the abuses which grow up, almost of necessity, under the rule of petty despots. The voluminous book of Vohse gives the history, the anecdotes, and the gossip of the German courts in a most amusing way.² The more solid but still interesting work of Biedermann deals with the social condition of Germany in the last century.³

The bargains for the letting out of troops caused much discussion and adverse comment in Europe at the time when they were made. In a collection called *L'Espion dévalisé*, with the imprint "Londres, 1782," appears an eloquent paper, which has been variously attributed to Mirabeau and to the Abbé Raynal.⁴ Von Schlieffen, the minister of the Landgrave of Hesse-Cassel, answered the paper in a small pamphlet, which was published both in German and French,⁵ and was reprinted in the curious autobiography of the author.⁶ The latter book is very rare, having been printed for private circulation only. It is written in a curiously pedantic, puristic style, all words of French origin being avoided as much as possible, — an affectation which we should scarcely expect to find in a German writer, the active years of whose life belonged to the eighteenth century. The account of the negotiations at Cassel with Colonel Faucitt and of Schlieffen's subsequent negotiations in London, the excuses, for the treaties, and the praise of the Landgrave are very interesting.

In *L'Espion dévalisé*, above mentioned, is another reprint of some historic interest. This is a letter purporting to be written by a German prince, travelling in Italy, to the officer commanding his troops in America, after the battle of Trenton.⁷ His Serene Highness has heard with pleasure that out of 1,950 Hessians who were in the fight, only 345 have escaped. The court of London, he says, wishes to pay him for wounded men less than for dead ones, but he hopes that his general has remembered his orders, and not sought, by inhuman succor, to recall to life wretches who could only live in a mutilated state, and who are in no condition for service. Of three hundred Spartans at Thermopylæ, not one returned. How happy would the prince be, to be able to say as much of his brave Hessians! True, the Spartan king Leonidas died at the head of his subjects: but the present customs of Europe do not allow a prince of the empire to go and fight in America for a cause which in no way concerns him: and then, who would receive the thirty guineas for every man killed, if the prince were not left behind?

¹ [Cf. *The Nation*, 1882, vol. xxxv. p. 90; and Charles Gross in *Ibid.* xlili. 52, and *A. V. Evening Post*, July 15, 1886. — Ed.]

² Edouard Vohse's *Geschichte der deutschen Höfe*, 48 vols. 16°, Hamburg, 1851-1860.

³ Karl Biedermann's *Deutschland im achtzehnten Jahrhundert*, 2 vols. in 4, 8°, Leipzig, 1867-1880.

⁴ *Arts aux Hessois et autres peuples de l'Allemagne, vendus par leurs princes à l'Angleterre*. A foot-note says: "Ce pamphlet a paru à Amsterdam, lorsque le prince de Hesse amena ses sujets dans les vaisseaux anglais, comme un boucher conduit ses troupeaux pour les égorger.

On l'a traduit en cinq langues; mais il n'est point connu en France." The piece first appeared early in 1777. See Sparks's *Dipl. Corresp.*, ix. 318.

⁵ *Des Hessois en Amérique, de leurs souverains et des déclamateurs*, 1782. *Von den Hessen in Amerika, ihren Fürsten*, etc., 1782.

⁶ Martin Ernst von Schlieffen's *Einige Betreffnisse und Erlebungen*, 4°. Berlin, 1830. [Cf. *Nachricht über das Haus Schlieffen*, Berlin, 1830, 2 vols., pp. 146, 184. — Ed.]

⁷ *Lettre du Comte de Chamberg* (sic), écrite de Rome au baron de Hohendorff, commandant des troupes hessoises en Amérique.

This pamphlet was fully recognized at the time of its first appearance as a squib at the expense of the man-selling princes. It appears in Métra,¹ under the date of March 10, 1777, with the introduction, "On a fait cette plaisanterie très-mordante au sujet du marché de troupes que le Landgrave de Hesse a fait avec les Anglais." A note in *L'Espion*, again, calls it a *plaisanterie*, and says that it was distributed at the same time as the preceding pamphlet.² It clearly was circulated in more than one form, for the version given by Kapp in the appendix to his *Soldatenhandel*³ differs materially from that in *L'Espion*. After being forgotten for more than half a century, the letter was reprinted in a newspaper in St. Louis as genuine.⁴ It was copied into Löher's history of the Germans in America,⁵ the author expressing the hope, "for the honor of mankind," that it was spurious. It formed the subject of a protest in a German military paper.⁶

The feelings of Frederick the Great on the subject of the bargains for soldiers are expressed in a letter to Voltaire of the 18th of June, 1776.⁷ "Had he come out of my school," he says of the Landgrave, "he would not have turned Catholic, nor would he have sold his subjects to England as one sells cattle to be butchered." Yet Frederick could hardly have said that the Prince of Brunswick, who let out his subjects in the same way as the Landgrave, had not come out of his school, — perhaps not the best of schools in which to learn justice or humanity; and in spite of his disapproval of the sale of subjects, the King of Prussia did not hesitate, on the breaking out of the war of the Bavarian succession in 1778, to ask the Landgrave to let him two battalions and several squadrons to form the garrison of Wesel.⁸ Napoleon, when in 1806 he ordered the occupation of Hesse by French troops, stated among his reasons for doing so, that for many years the Hessian reigning family had sold the blood of its subjects to England to fight against France, both in the Old and the New World.⁹

More disinterested, or at least more consistent, than the blame of these great slayers of men was the indignation of Schiller the poet, who, by an eloquent scene in his tragedy *Kabale und Liebe*, has taken care that the Germans shall never forget what their ancestors suffered at the hands of the petty princes.¹⁰

Those princes have not been without defenders in modern times. In 1864, Major Ferdinand Pfister published at Cassel an elaborate justification of the Landgrave and the English, and an equally elaborate attack on the Americans.¹¹ The book is diffuse, inaccurate, and unreadable, but some of the references which it contains to the German bibliography of the war may be valuable. In the same year was published Kapp's *Soldatenhandel*, above mentioned. That work appears to have remained unanswered for fifteen years, but in 1879 two new champions of the Landgrave undertook to break lances in his defence.

¹ Métra's *Correspondance secrète, politique et littéraire*, 1774-1783, 18 vols. 12°, Londres, 1787-1788.

² *L'avis aux Hessois*, above mentioned.

³ Cited by Kapp as in the 600th vol. of Pamphlets in the library of the New York Historical Society, and as printed on six octavo pages, in very large type, without place of publication.

⁴ *The Reveille*, St. Louis, Oct. 31, 1845. (The reference from Löher, p. 181 n.)

⁵ Franz Löher's *Geschichte und Zustände der Deutschen in Amerika*, 2d ed., Göttingen, 1855.

⁶ *Neue (Darmstädter) Militär-Zeitung, Dritter Jahrgang, 1853, N^o 14* (cited in Kapp's *Soldatenhandel*, 1st ed., p. 198 n.).

⁷ *Œuvres posthumes de Frédéric II.*, 16 vols. (Berlin, 1788), ix. 325, in answer to a letter from Voltaire of May 21st. It would appear from this correspondence that the Landgrave of Hesse

had been writing a "Catechism for Sovereigns." *Œuvres complètes de Voltaire*, 92 vols., Kehl, 1785-1789, vol. lxxxvii. p. 236.

⁸ Schlieffen, 165, 201.

⁹ *Recueil des Bulletins officiels sur les opérations de la Grande Armée contre la quatrième coalition* (Paris, 1806), *Bulletin xxxvii.*, 6. N^o 27^o 1806, p. 129.

¹⁰ Act ii., Scene ii.

¹¹ Ferdinand Pfister's *Der nordamerikanische Unabhängigkeitskrieg, Erster Band*, Kassel, 1864. (I believe that only a first volume appeared.) [Major Pfister had earlier had a hand in a book of lithographed script, not published, but made at Cassel in 1853, called *Geschichte des Kurfürstlich Hessischen Jäger-Bataillons, den Kameraden des Bataillons gewidmet*, in which he had written of their American experiences (title communicated by Professor C. A. Joy). — Ed.]

A pamphlet was published, written by two different persons and divided into three parts.¹ The first part is full of inaccuracies. The second, although very involved and obscure, appears to be the work of a man who had some special knowledge of the subject, and this portion of the book may therefore give some information. The third part requires further explanation. Johann Gottfried Seume, in later life a literary man and poet of some note,² when travelling on foot through Germany as a student, was impressed by the Landgrave's recruiting officers and marched off to a fortress, whence in due time he was shipped to America, never getting any farther than Nova Scotia. He has written two accounts of his adventures. The first, in the shape of a letter from Halifax, dated 1782, was published in 1789 in a magazine.³ The article is twenty pages long. Many years later, apparently about the end of his life, Seume wrote a fragment of an autobiography,⁴ in which he tells of the same events, with some differences. The narrative is well written, amusing, touching, — probably the best account we have of the sufferings of the Hessians in their military depots and at sea. The author mentions his earlier article in "Archenholz's almost forgotten Journal." This autobiography is, of all the historical writings on the subject of the bargains in men, the one most likely to meet the eye of the German general reader, and to hold his attention. The admirer of the Landgrave has therefore thought it worth while, in the third part of the pamphlet under discussion, to attack Seume's credibility. I do not think that he has made out his case.

The pamphlet of which I have been speaking called forth a reply from Friedrich Kapp,⁵ which contains some interesting particulars. The same author has written a small book on a smaller subject, the relation of Frederick the Great to the United States, with a chapter on the treaty of amity and commerce between the United States and Prussia, and an appendix on the United States and neutrality at sea.⁶ Kapp's biographies of Kalb⁷ and of Steuben⁸ should also be noticed, as instructive on the subject of the relation of the United States to France. It has sometimes been forgotten that both of those officers came to America from France, and that whatever gratitude we may owe for their coming is due to that country, and not to Germany.

The assistance given to the United States by Louis XVI was due in great measure to the enthusiasm excited by the American Revolution and by Franklin, at the court of Versailles and in the society of Paris. It is therefore important, not only to study the writings of statesmen, as found in the *Diplomatic Correspondence*, the Sparks manuscripts at

¹ *Friedrich II und die neuere Geschichts-Schreibung* (Anon.), Melsungen, 1879.

² [Cf. Strieder's *Grundlage zu einer Hessischen Gelehrten und Schriftsteller Geschichte*, 18^{ter} Band, Marburg, 1819, p. 387. — Ed.]

³ *Neue Litteratur und Völkerkunde für das Jahr 1789. Zweiter Band. Julius bis December. Herausgegeben von J. W. v. Archenholz*, Leipzig, 1789, p. 302. Translated in *Mass. Hist. Soc. Proc.* 1887.

⁴ J. G. Seume's *Mein Leben*, in *Sämmtliche Werke*, 1 vol. 8^o, Leipzig, 1835.

⁵ Article in Sybel's *Historische Zeitschrift*, xlii. 304 (1879).

⁶ Friedrich Kapp's *Friedrich der Grosse und die Vereinigten Staaten von Amerika. Mit einem Anhang: die Vereinigten Staaten und das Seekriegsrecht*, Leipzig, 1871. See also Hans Schlitter, *Die Beziehungen Oesterreichs zu den Vereinigten Staaten von Amerika, I. Theil (1778-1787)*, Innsbruck, 1885.

⁷ *Leben des Amerikanischen Generals Johann*

Kalb, Stuttgart, 1862; and in English, *The Life of John Kalb*, New York, 1870 and 1884, with a portrait. [Kapp's De Kalb is epitomized in G. W. Greene's *German Element in the War for Independence*, New York, 1876. There is a brief memoir of less value by John Spear Smith, Baltimore, 1859. Cf. *Southern Quart. Rev.*, xxii. 141. For portrait and account of his monument, see *Mag. of Amer. Hist.*, March, 1883, by H. P. Johnston. Congress adopted an inscription for his monument, Oct. 14, 1780 (*Journals of Congress*, iii. 536). Cf. Lossing's *Field-Book*, ii. 667, 668. — Ed.]

⁸ *Leben des Amerikanischen Generals Friedrich Wilhelm von Steuben*, Berlin, 1858; and in English, *Life of Frederick William von Steuben*, New York, 1850. [This life is also epitomized by Greene. Kapp died in Oct., 1884. Cf. Geo. von Bunsen's *Gedächtnissrede*, Berlin, 1885; H. A. Rattermann's *Deutsch-Amerikanisches Magazin*, 1886; and the *N. Y. Nation*, Nov. 6, 1884. Cf. Sabin, ix. 393, for bibliography. — Ed.]

Cambridge, the works of Turgot,¹ Franklin, and Adams,² but to follow the general current of opinion in the memoirs of Frenchmen who had no official connection with the government. Intermediate between the two classes (for the author was minister of war during a part of the struggle), the memoirs of the Prince de Montbarey³ express the vain regrets of one who had seen the great French Revolution, and attributed some influence over its beginning to the sympathy expressed in France with the American insurgents. There is reason to think that the prince's recollections are colored by the light of after-events. This does not seem to be the case with those of Ségur, a clear-minded politician and a pleasant writer, who should especially be studied on account of his familiarity with the younger and more liberal nobles, and of his close connection with the older statesmen.⁴ Nor should the memoirs of Madame Campan be neglected.⁵ They are pervaded by an atmosphere of the back-stairs, but no one had a chance more closely to observe both the king and the queen than their author. The list of books on this part of the subject might be indefinitely extended, for few periods of history are so fully set forth in original documents of every description, or have been so fully commented on by writers of all sorts, as that of the reign of Louis XVI.⁶

On the subject of the contracts of Deane and Beaumarchais, the *Life and Times* of the latter, by Louis de Loménie, is very full and very interesting.⁷ The book, which procured for its author the honor of election to the Academy, may almost be considered a French classic. Loménie had the advantage of possessing Beaumarchais's original papers, and he made diligent use of them. The biographer's knowledge of the English language, however, is so scanty as to lead him, in one instance at least, entirely to mistranslate a document before him.⁸

The letters of Arthur Lee and the other commissioners in Paris are to be found partly in the *Diplomatic Correspondence* and partly in Lee's biography and among his papers above mentioned. A volume printed for the Seventy-Six Society contains some of the most interesting documents relating to these contracts.⁹ The claims of Beaumarchais

¹ Turgot (A. R. J., Baron d'Aulne), *Œuvres*, 9 vols. 8°, Paris, 1808-1811.

² *John Adams's Works*, edited by C. F. Adams, 10 vols. 8°, Boston, 1850-1856.

³ Prince de Montbarey, *Mémoires*, 2 vols., Paris, 1826.

⁴ Louis Philippe, Comte de Ségur's *Mémoires*, 2 vols. 12°, Paris, 1859 (in Barrière's *Bibl. des mém.*, vols. xix. and xx).

⁵ Madame Campan's *Mémoires sur la vie privée de Marie Antoinette*, 3 vols. 8°, 1822 (vols. x.-xii. of Berville et Barrière's *Col. des mém.*).

⁶ [The principal documentary sources which have been published in France respecting French influence and the French alliance have been the papers in the appendix of Cornélis de Witt's *Thomas Jefferson, étude historique sur la démocratie Américaine* (Paris, 1861); Jolez's *La France sur Louis XVI.* (Paris, 1877); those introduced by Circourt in connection with his translation of Bancroft's tenth volume; and finally, the extensive collection next to be mentioned, and at present continued no further than 1779. M. Henri Doniol, the director of the national printing-house, proposed to the minister of justice, in 1884, to prepare and print a *Histoire de la participation de la France à l'établissement des États-Unis d'Amérique, Correspondance diplomatique et documents*, two quarto volumes

of which have so far appeared, the whole work being intended as an offering to the "Exposition Universelle de 1889," and to be completed by that date. It begins the story with the year 1774, and gives the credit to Vergennes of being the chief controller of events. The foot-notes afford an index to the collections of the French Archives, which throw light on the American war and the attendant negotiations. — ED.]

⁷ Louis de Loménie's *Beaumarchais et son temps*, 2 vols. 8°, Paris, 1856; translated into English by Henry T. Edwards, London, 1856. [Circourt (iii. 296) gives the "Mémoires de Beaumarchais et de Dumouriez," 1777-1782. Doniol gives his "La Paix ou la Guerre" (i. ch. 11), his correspondence with Vergennes and others (i. 513; ii. 89, 682). — ED.]

⁸ Arthur Lee says (*Lee's Lee*, i. 61): "The politics of Europe are in a state of trembling hesitation. It is in consequence of this that I find the promises . . . have not been entirely fulfilled." Loménie translates (ii. 141): "*Les politiques de cette cour sont dans une sorte d'hésitation tremblante. C'est parceque les promesses qui me furent faites*," etc.

⁹ *Papers in relation to the Case of Silas Deane* (Philad., 1855). Mr. Charles Isham read a paper on Silas Deane before the Amer. Hist. Assoc. in 1887. See their proceedings for that year. See also p. 33, n. 1, of this volume.

and his heirs have been made the subject of many public documents, references to some of which may be found in the useful, although incomplete and inaccurate, catalogue of Ben: Perley Poore.¹

The latest biography of Beaumarchais, by Dr. Anton Bettelheim, is a well-written book, relying on original sources.² In respect to the playwright's connection with the American Revolution, it adds but little to that which had already been said by Loménie; nor does Dr. Bettelheim attempt the difficult task of deciding the amount of Beaumarchais's just claim against the United States.

Concerning the Armed Neutrality, the recent book of Bergbohm³ is both learned and impartial. It contains, moreover, a valuable bibliography of the subject, together with a chronological calendar of documents. This calendar, although not quite complete, is most useful for reference. Among the authorities for the subject, the various collections of treaties, and other such collections cited by Bergbohm,⁴ must take the first place. Sparks's *Diplomatic Correspondence*, the *Annual Register*, the works of Franklin and Adams, should also be consulted.⁵ The diaries and correspondence of Sir James Harris, afterwards first Earl of Malmesbury, should be studied.⁶ Sir James Harris was British minister to Russia from 1776 to 1783. The memoirs of the Count von Goertz, the Prussian minister at St. Petersburg, likewise deserve attention.⁷

¹ Ben: Perley Poore. *A Descriptive Catalogue of the Government Publications of the United States, Sept. 5, 1774-March 4, 1881*, 4^o (Washington, 1885). Cf. also index to Benton's *Debates*.

² Anton Bettelheim's *Beaumarchais, Eine Biographie* (Frankfurt a. M., 1886). It gives in an appendix a list of sources, manuscript and printed, and has a portrait. Dr. Hale briefly rehearses Beaumarchais's story in his *Franklin in France*, ch. 3. Dr. Charles J. Stillé has recently printed a pamphlet entitled *Beaumarchais and "The Lost Million."* *A Chapter of the Secret History of the American Revolution*. It is an attempt to defend the claim of the American government to charge Beaumarchais with the million francs paid to him by the French government.

³ Carl Bergbohm, *Die Bewaffnete Neutralität, 1780-1783* (Berlin, 1884).

⁴ (a) C. W. von Dohm, *Materialien für die Statistik und neuere Staatengeschichte, 3 und 4 Lieferung* (Lemgo, 1781, 1782); (b) A. Hennings, *Sammlung von Staatsschriften . . . während des Seekrieges von 1776-1783, &c.* 2 vols. (Altona, 1784, 1785); (c) Baron d'Albedyll, *Nouveau Mémoire . . . sur la neutralité armée*, in his *Recueil de Mémoires . . . pendant la dernière partie du XVIII. siècle*, vol. i. (Stockholm, 1798); (d) C. U. D. von Eggers, *Denkwürdigkeiten aus dem Leben des Königl. dänischen Staatsministers Andreas Peter Grafen von Bernstorff* (Copenhagen, 1800); (e) F. von Kaumer, *Beiträge zur neueren Geschichte aus dem britischen und französischen Staatsarchiv, Theil 5 (a. u. d. T. Europa vom Ende des siebenjährigen bis zum Ende des amerikanischen Krieges, 1763-1783, Bd. III.* (Leipzig, 1830); and many others.

⁵ See *A Collection of Public Acts and Papers, relating to the Principles of Armed Neutrality,*

brought forward in the years 1780 and 1781 (London, 1801). In spite of the title, only thirteen papers out of thirty-nine belong to the year 1780. There are none of 1781. One is a translation of a part of the *Consolato del Mare*; three are old treaties; the remainder are papers belonging to the years 1793-1800. The papers of the year 1780 have all been published elsewhere.

⁶ James Harris, first Earl of Malmesbury, *Diaries and Correspondence*, 4 vols. 8^o (London, 1844), i. 201, 306, 355.

⁷ *Historische und politische Denkwürdigkeiten des preussischen Staatsministers J. E. Grafen von Goertz*, 2 Theile (Stuttgart and Tübingen, 1827-8), which contain a new rendering of *Mémoire ou Précis Historique sur la Neutralité Armée et son origine, suivi de pièces justificatives* (Bâle, 1801), cited in Bergbohm and elsewhere. *The Secret History of the Armed neutrality, together with memoirs, &c., by a German Nobleman, translated by St. . . H. . .* (London, 1792), with a 2d ed., 1801-2, is said by Bergbohm to be translated from a pirated, inaccurate edition of the *Mémoire* above cited, published at Bâle in 1795. [Reference may also be made to *Annual Register* for 1780, pp. 349, 355; Bancroft, x. ch. 12 and 20; Wells's *Sam. Adams*, iii. 109; Trescott's *Diplomacy*; Halleck's *International Law*, ii. 307; Anderson's *Hist. of Commerce* (1790), vi. 362; and the histories of England by Adolphus, Mahon (vii. 45), and Lecky; T. H. Dyer's *Modern Europe* (London, 1877), iv. 286. Mahon calls the sketch given by Thiers (*Le Consulat et l'Empire*, ii. 106, edition of 1845) "clear and masterly." Some side lights are got from Curwen's *Journal*. Papers of Stephen Sayre are in the *Sparks MSS.*, no. lxxvii. For the claims for the authorship of the plan, see Thornton's *Pulpit of the Rev.*, p. 457. — ED.]

The correspondence of Frederick the Great with his ministers at foreign courts, together with many interesting letters concerning the whole subject of this chapter, form the third volume of the Comte de Circourt's translation of a portion of Bancroft's history.¹

The intricate finance of the Revolution has been made the subject of a volume by Albert S. Bolles.² The materials are scattered through the *Journals of Congress*, both open and secret, through private letters, essays, and biographies. Mr. Bolles's exposition is in the main clear and methodical; and if a certain amount of vagueness still hangs about the subject and is observable in the book, the fault is probably to be attributed to the non-existence of full sources of information. Some interesting particulars are brought together in the *Historical Sketches* of Mr. Phillips.³ A full biography of Robert Morris is much to be desired.⁴

¹ *Histoire de l'Action Commune de la France et de l'Amérique pour l'Indépendance des États-Unis*, par George Bancroft, etc., traduit et annoté par le Comte Adolphe de Circourt, 3 vols. 8^o (Paris, 1876). [This includes Frederick's correspondence with Von Goetz in Paris, 1776-1782, a few letters of Schulenberg, a correspondence with Maltzan in London, 1774-1777, and with the queen of Denmark, 1777-1781. The defeat of Burgoyne disposed the king towards the American cause. *Malmesbury Letters* (1870), i. 351. The relations of Frederick to the cause is examined in Doniol, i. and ii. There are copies of Frederick's correspondence with his ministers in France and England in the *Sparks MSS.*, no. lxxvii. Cf. *John Adams's Works*, vii. 99; Lyman's *Diplomacy of the United States*, vol. i.; Bancroft, vol. x. — Ed.]

² *The Financial History of the United States, from 1774 to 1789, embracing the period of the American Revolution*, by Albert S. Bolles (New York, 1879; 2d edition, 1884).

³ Henry Phillips, Jr., *Historical Sketches of the Paper Currency of the American Colonies* (Roxbury, 1865), and his *Continental Paper Money*, — *Historical Sketches of American Paper Currency*, 2d series (Roxbury, 1866).

⁴ [A more or less general treatment of the continental finances is found in Pelatiah Webster's *Political Essays on Money, etc.* (Philad., 1791); J. W. Schucker's *Brief Account of the Finances of the Rev.* (1874); Greene's *Hist. Views of the Amer. Rev.*, p. 137 (also *Atlantic Monthly*, xiv. 491, and *Life of N. Greene*, vol. ii.); Ramsay's *American Rev.*, vol. ii.; Pitkin's *United States*, ii. ch. 16; Bancroft, x. ch. 7; Hildreth, iii. ch. 40, 43, 46; *John Adams's Works*, vii. 292, 355; viii. 193; Rives's *Madison*, i. 217, 229, and ch. 14; Madison's *Debates and Corres.*, vol. i.; Sparks's *Gouverneur Morris*, i. ch. 13, 14; Smyth's *Lectures*, ii. 476, 481, etc.; Lecky, iv. 35. Cf. also *Banker's Mag.* (New York), xviii. 356; Eggleston's "Commerce of the Colonies" in *The Century*, xxviii. 246, and the references in Poore's *Descrip. Catal. of Publ. of U. S. Government*, p. 1270, and *Pool's Index*, p. 295. For local aspects, see Felt's *Mass. Currency*; his letters to Sparks in *Sparks MSS.*, no. liv., no. 21; *An*

Address of the Legislature to the Inhabitants of Mass., 1781; the index to Goodell's *Province Lates of Mass.*, vol. v.; Rev. Henry Bronson's Connecticut Currency in *New Haven Hist. Soc. Papers*, i. 171; New Hampshire Act on bills of credit, July 6, 1776, in Force's *Amer. Archives*, 5th series, i. 88; Amory's *Sullivan*, 187; E. R. Potter and S. S. Rider's *Some Account of Paper Money of Rhode Island, 1710-1786*, with facsimiles (Providence, 1880); Reed's *Jes. Recd.*, ii. 287; Mulford's *New Jersey*, p. 457; Paper Currency of Georgia in *Hist. Mag.*, ii. 17; iv. 179.

Accounts of the Continental bills may be found in Force, 5th series, ii.; S. Breck's *Hist. Sketch of Continental Money*, in the *Amer. Philosoph. Soc. Trans.*, 1843; *Amer. Antiq. Soc. Proc.*, 1866; J. J. Knox's *United States Notes* (New York, 1884); Hazeltine's *Description of Paper Money issued by the Continental Congress*; Lossing's *Field-Book*, i. 317; *Amer. Antiquarian*, i. 10, 36, 78; *Mag. of Amer. Hist.*, i. 751; Mason's *Coin and Stamp Collector's Manual*, v. 69, 85; *Amer. Journal of Numismatics*, v. 4; vi. 18, 29, 36, 48; *Canadian Antiq. and Numis. Journal*, viii. 147; *Coin Journal*, iii. 1; *Hist. Mag.*, i. 279, 349; ii. 212; iii. 71; iv. 53; v. 71; vii. 282; *Harper's Monthly*, xxvi. 433; *National Quarterly Review*, Dec. 1875. Scales of the depreciation will be found in Gouge's *Short Hist. of Paper Money*; Greene's *Greene*, ii. 163, 243, 248, and his *Hist. Views*, 436; Moore's *Diary*, ii. 422; *R. I. Col. Rec.*, ix. 282; *Worcester Mag.*, i. 134, 165, 198, 232, 267; Stephen DeLancey's Tory appeal in *Laurons Corres.*, p. 202; *Mag. of Amer. Hist.*, xi. 165; a summary of the state of the old emissions, in Roger Sherman's handwriting, in the *Sparks MSS.*, no. liv., no. 13, — also *Ibid.* xlix. vol. iii. no. 2. It is said in *Jefferson's Works*, i. 412, that for the 200,000,000 paper dollars issued by Congress only about 36,000,000 silver dollars came into the Treasury.

To assist in securing loans in Europe, Franklin issued (1777) a *Comparison of Great Britain and the United States in regard to the Basis of Credit in the Two Countries*, which was translated into various languages. On the Loan Office certificates, see paper by H. Hall in *Amer. Hist. Rec.*, iii. 356. For the loans in Europe, see

the *Secret Journals*; *Dip. Corres.*, ix. 199; xi. 291; lives of Franklin and Washington; on Laurens's mission, *Dip. Corres.*, ix. 195-249; Hamilton's *Republic*, ii. 150; *John Adams's Works*, vii. 599; Hamilton's *Writings*, i. 116, 150, 223; Jefferson's financial diary, by John Bigelow, in *Harper's Mag.*, lxx. 534; and the references in Winsor's *Handbook*, p. 243. Respecting counterfeiting, see Force's *Amer. Archives*, 5th series, i. 710, and *N. H. State Papers*, viii.

Robert Morris became Superintendent of Finance Feb. 20, 1781. The only biographical accounts of Morris are David Gould's *Life of Robert Morris* (Boston, 1834); C. H. Hart's *Robert Morris, the Financier of the Amer. Rev.* (Philad., 1877); a life in Hunt's *Amer. Merchants*; Michael Nourse's in *Banker's Mag.*, Feb., 1860; W. B. Reed's in *N. Amer. Review*, vol. xxxiii.; A. S. Bolles in the *Penn. Monthly*, Oct., 1878; Potter's *Amer. Monthly*, Dec., 1775, — none of them at all adequate. The Treasury

issued in 1780 *Statements of the Receipts and Expenditures of Public Monies during the Administration by Robert Morris; with other extracts and accounts from the public records, made out by the Register of the Treasury*. In 1785 appeared in folio at Philadelphia *A Statement of the Accounts of the U. S. of Amer., 1781-84*. On May 26, 1781, Morris presented a plan of a bank of the United States, but it was then delayed (*Journals of Congress*, iii. 624). Circulars were sent to secure subscriptions (*Sparks MSS.*, xlix. vol. iii.).

On Morris's system, see *Dip. Corres.*, xi. 347, 431; *John Adams's Works*, ix. 609; *Penna. Archives*, vol. ix.; *Sparks's Washington*, viii. 136; *Custis's Recol. of Washington*; Bancroft, x. 560; Franklin, ix. 590; and *Poole's Index*, p. 872. For Morris's letters, see *Hist. Mag.*, June, 1862; *Mass. Hist. Soc. Proc.*, April, 1860, p. 12. Various letters sent to William Whipple, of New Hampshire, are among the *Charles Lowell MSS.* in the Mass. Hist. Soc. library. — ED.]

Edward J. Lowell.

NOTES.

A. A LIST OF TREATIES, CONVENTIONS, AND DECLARATIONS CONCERNING THE AMERICAN REVOLUTION AND THE ARMED NEUTRALITY.

*. This list includes only documents of an international character. Acts of Parliament and resolutions of Congress have been inserted only where they seemed addressed rather to a foreign state than to the subjects of the power from which they emanated. Instructions to cruisers and privateers have, however, been included because the operations conducted in pursuance of them concern the subjects of foreign powers. A few treaties of an earlier date than 1770 have been prefixed to the list on account of their bearing on the diplomacy of the period of the Revolutionary War. The collections cited in this list are the following:—

ALBEDYLL, Baron d'. — *Nouveau Mémoire, ou précis historique sur l'association des puissances neutres connue sous le nom de la neutralité armée, etc.* Stockholm, 1768. (Cited by Bergbohm.)

ANNUAL REGISTER, London.

BERGBOHM, Carl. — *Die Bewaffnete Neutralität 1750-1783*. 1 vol. 8°. Berlin, 1884.

CANTILLO, Alejandro del. — *Tratados Convencios y Declaraciones de Paz, etc.* 1 vol. Madrid, 1843.

CHALMERS, George. — *A collection of treaties between Great Britain and other powers*. 2 v. 8°. Lond., 1760.

CUSSY. See Martens.

DIPLOMATIC CORRESPONDENCE of the Rev. War. Ed. by Jared Sparks. 12 vols. 8°. Boston, 1826-1830.

DOHM, C. W., Von. — *Denkwürdigkeiten meiner Zeit von 1775-1800*. 5 vols. Lemgo and Hannover, 1814-19 (cited by Bergbohm), and his *Materialien für die Statistik, etc.* Lemgo, 1781-1782. (Cited by Bergbohm.)

ELLIOT, Jonathan. — *The American diplomatic code, embracing a collection of treaties and conventions between the United States and foreign powers; from 1775 to 1834. With an abstract of judicial decisions, on points connected with our foreign relations. Also, a diplomatic manual, containing a summary of the law of nations, and other diplomatic writings on questions of international law*. 2 vols. Washington, 1834.

FLASSAN. — *Histoire générale et raisonnée de la Diplomatie française, etc.* 6 vols. 8°. Paris, 1809.

FORCE, Peter. — *American Archives*. 4th Series. 6 vols. 4°. Washington, 1837-46.

HENNINGS, A. — *Sammlung von Staatsschriften, etc. 1776-1783*. 2 vols. Altona, 1784-5. (Bergbohm.)
 JENKINSON, Rt. Hon. Charles. — *A collection of treaties, etc. . . . between Great Britain and other powers, from . . . 1045 to . . . 1783*. 3 vols. 8°. London, 1785.

JOURNALS OF CONGRESS.

KAPP, Friedrich. — *Der Soldatenhandel deutscher Fürsten nach Amerika*. 1st ed., Berlin, 1804; 2d ed., 1874.

KOCH. — *Histoire abrégée des Traités de Paix, etc., ouvrage refondu, augmenté, continué . . . par F. Schoell*. 15 vols. 8°. Paris, 1817-18.

MALMESBURY. — *Diaries and correspondence of James Harris, first Earl of M.* 4 vols. 8°. London, 1844.

MARTENS, Georges Frédéric de. — *Recueil des principaux Traités d'alliance, etc., depuis 1701 jusqu'à présent*. The series of treaties of Martens and his successors is as follows (all published at Göttingen, 8°): *Recueil*, 1st edition, 7 vols. (1701-1801). *Supplément*, 8 vols. (1802-1820). (The supplement contains many treaties earlier than 1701.) This first edition and the first four volumes of the supplement have nearly the same contents as the *Recueil*, 2d edition, 8 vols. (1817-35). Then follow *Nouveau Recueil*, 16 vols. (1817-1841), containing treaties from 1808 to 1839 (with second parts to vols. vi., vii. and xvi.); *Nouveaux Suppléments*, 5 vols. (1839-42), containing treaties from 1791 to 1839; *Nouveau Recueil Général*, 20 vols. (1843-75), containing treaties from 1840 to 1875; (the first 15 volumes of this series sometimes cited as *Murhard*, the last 7 as *Sauveur*); *Nouveau Recueil Général, II Série*, 8 vols. (1870-83); and *Indexes*, 3 vols. (1837, 1873, 1879).

This great collection has been abridged, under the title *Recueil manuel et pratique de Traités, Conventions et autres actes diplomatiques*, by Baron Ch. de Martens and Baron Ferd. de Cussy; 7 vols. (Leipzig, 1840-57), with a *II Série* by F. H. Geffcken, 2 vols. (1885-7), containing treaties from 1857 to 1878.

See also G. F. de Martens' *Cours diplomatique, ou tableau des relations extérieures des puissances de l'Europe, etc.*, 3 vols. 8° (Berlin, 1801), and Ch. de Martens' *Causes célèbres du Droit des Gens*, 5 vols. 8° (Leipzig, 1858-61), and his *Nouvelles causes célèbres*, 2 vols. (Leipzig, 1843), both of which contain chapters on the intervention of France and the Armed Neutrality.

The references in this list are to the *Recueil*, 1st edition, when not otherwise stated. Almost all the same documents may be found in the 2d edition, and some of them are repeated in the abridgment of De Martens and De Cussy, the *Causes célèbres* and the *Nouvelles Causes*. It has been thought needless to multiply references to the various divisions of the series.

PARLIAMENTARY REGISTER. 17 vols. Almon, London, 1775-1780.

SECRET JOURNALS of the acts and proceedings of Congress. 4 vols. 8°. Boston, 1820-1821.

STATUTES AT LARGE OF GREAT BRITAIN.

TÉTOT. — *Repertoire des Traités de Paix, etc.* 2 vols. large 8°. Paris, 1876.

TREATIES AND CONVENTIONS concluded between the United States of America and other powers, etc. (41st Cong., 3d session, Senate. Ex. doc. No. 319). 1 vol. 8°. Washington, 1871. (Referred to as T. & C.)

WENCK, Frid. Aug. Guil. — *Codex juris gentium recentissimi, etc., 1735-1772*. 3 vols. Leipzig, 1781, 1788, 1795.

ZACHRISSON. — *Sveriges underhandlingar om beväpnad neutralitet åren, 1775-1780*. Upsala, 1863. (Cited by Bergbohm.)

1674, December 1. *Great Britain—Netherlands*. Marine treaty. (Chalmers, i. 177.)

1675, December 30. *Great Britain—Netherlands*. Explanatory declaration of marine treaty. (Chalmers, i. 180.)

1675, March 3. *Great Britain—Netherlands*. Defensive alliance. (Jenkinson, i. 213.)

1713,

Mar. 31.	}	<i>France—Great Britain</i> . Treaty of Utrecht. (Jenkinson, ii. 5; Chalmers, i. 340.)
April 11.		

1713,

Mar. 31.	}	<i>France—Great Britain</i> . Treaty of navigation and commerce (of Utrecht). (Jenkinson, ii. 40; Chalmers, i. 390.)
April 11.		

1755, September 30. *Great Britain—Russia*. Defensive alliance and subsidies. (Wenck, iii. 75.)

1701, August 15. *France—Spain*. Family compact. (Martens, i. 1.)

1793, February 10. *Great Britain—France—Spain*. Treaty of Paris. (Martens, i. 33; Annual Register, 1792, 233.)

1766, June 20. *Great Britain—Russia*. Treaty of commerce and navigation. (Martens, i. 141.)

1776, January 9. *Great Britain—Brunswick*. Treaty, Troops, Subsidies. (Martens, ii. 540; *Parl. Reg.*, iii. 287; Kapp's *Soldatenhandel*, 1st ed., 234; Force, vi. 271.)

1776, January 15. *Great Britain—Hesse Cassel*. Treaty, Troops, Subsidies. (Martens, ii. 545; *Parl. Reg.*, iii. 295; Kapp's *Soldatenhandel*, 1st ed., 238; Force, vi. 273.)

1776, February 5. *Great Britain—Hesse Hanau*. Treaty, Troops, Subsidies. (Martens, ii. 572; *Parl. Reg.*, iii. 300; Force, vi. 276.)

1776, April 3. *United States*. Instructions to privateers. (Martens, vi. 178; *Journals of Congress*, April 3, 1776.)

- 1776, April 20. *Great Britain — Waldeck*. Treaty, Troops, Subsidies. (*Parl. Reg.*, iii. 504; Force, vi. 356.)
- 1776, April 26. *Great Britain — Hanau*. Ulterior convention, Artillery. (Force, vi. 358.)
- 1776, May 2. *Great Britain*. Instructions to ships of war. (Hennings, ii. 19, 23; so cited in Bergbohm, 271.)
- 1776, June 15. *United States*. Congress asks money of France. (*Secret Journals*, ii. 168.)
- 1776, July 4. *United States*. Declaration of Independence. (Martens, ii. 580, and elsewhere.)
- 1776, October 4. *United States*. Articles of Confederation. (Martens, ii. 586; *Annual Register*, 1776, 264; *American Constitutions*, 7.)
- 1776, December 4. *Great Britain — Hesse Cassel*. Convention, Chasseurs, Subsidies. (*Parl. Reg.*, vi. 152.)
- 1777, February 1. *Great Britain — Anspach-Bayreuth*. Treaty, Troops, Subsidies. (*Parl. Reg.*, vii. 44.)
- 1777, February 10. *Great Britain — Hesse Hanau*. Convention, Chasseurs, Subsidies. (*Parl. Reg.*, vii. 49.)
- 1777, February 20. *Great Britain*. Act enabling merchant vessels to take prizes. (*Statutes at Large*, 17 Geo. III. c. 7; Martens, iv. 301.)
- 1777, March 27. *Great Britain*. Instructions to privateers. (Hennings, ii. 27, 35; so cited by Bergbohm, 271.)
- 1777, October. *Great Britain — Anhalt-Zerbst*. Treaty, Troops, Subsidies.
- 1777, November 21. *United States*. Instructions of commissioners in Paris to privateers. (Martens, iv. 106.)
- 1778, *Great Britain*. 17 Geo. III. c. 40; 18 Geo. III. c. 15. Prizes. (*Statutes at Large*.)
- 1778, February 6. *United States — France*. Treaty of amity and commerce. (Martens, ii. 685; T. & C., 244; *Secret Journals*, ii. 50, with 11th and 12th articles as at first agreed on. These articles are printed separately in Martens, vii. 51, and in *Dif. Corr.*, i. 157 n.)
- 1778, February 6. *United States — France*. Treaty of alliance. (Martens, ii. 701; T. & C., 241; *Secret Journals*, ii. 82; [*Gent. Mag.*, Feb., 1779; Ramsay's *Rev. in So. Carolina*, i. 378; Du Buisson's *Abrégé de la révolution de l'Amérique, Angloise*, Paris, 1778; Bancroft Davis's *Notes on the Treaties of the U. S.* It was also printed in quarto in Philadelphia in 1778.] — Ed.)
- 1778, February 6. *United States — France*. Secret articles of treaty. (T. & C., 254; *Secret Journals*, ii. 88.)
- 1778, February (?). *Great Britain*. Conciliatory acts. 18 George III. c. 11, 12, 13. (*Statutes at Large*.)
- 1778, March 13. *France*. Declaration to Great Britain of treaties with United States. (*Parl. Reg.*, x. 47; Flassan, vi. 158; Martens, *Causes célèbres*, iii. 171.)
- 1778, March 28. *France*. Order concerning prizes. (Martens, iv. 306.)
- 1778, May 9. *United States*. Proclamation of Congress concerning neutral vessels. (Martens, iv. 197; *Journals of Congress*, May 9, 1778.)
- 1778, June 24. *France*. Order concerning privateers and prizes. (Martens, iv. 308.)
- 1778, July 26. *France*. Proclamation concerning neutral vessels. (Martens, iv. 108.)
- 1778, July 26. *United States — France*. Convention concerning "droit d'aubaine." (*Mercur, h. et pol.*, 1778, ii. 268. So cited in Martens, *Cours diplomatique*, i. 328, where a "Déclaration du Roi" of the same date on the same subject is also cited as being found in *Commentaire sur l'ordonnance de 1681 par M.....*, ii. 494.)
- 1778, August 1. *Tuscany*. Regulation concerning navigation and commerce. (Martens, iv. 204.)
- 1778, August 5. *Great Britain*. Instructions to privateers. (Hennings, iii. 44, 51; cited in Bergbohm, 272.)
- 1778, September 1. *United States — France*. Declarations repealing 11th and 12th articles of treaty of commerce (see Feb. 6, 1778). (*Dif. Corr.*, i. 432; Martens, vii. 51; T. & C., 247.)
- 1778, September 18. *Hamburg*. Regulation concerning navigation and commerce. (Martens, iv. 216.)
- 1778, September 19. *Two Sicilies*. Edict concerning navigation and commerce. (Martens, iv. 226.)
- 1778, September 27. *France*. Regulation concerning prizes taken into ports of the United States and of France. (Martens, iv. 313.)
- 1778, October 22. *United States*. Letter of Congress recommending Lafayette to Louis XVI. (*Secret Journals*, ii. 124.)
- 1778, October 30. *Spain*. Declaration concerning French commerce during the war. (*Mercur, h. et pol.*, 1778, ii. 624; so quoted in Martens, *Cours diplomatique*, i. 53.)
- 1778, December 15. *Great Britain*. Additional instructions to privateers. (Hennings, ii. 59; cited in Bergbohm, 273.)
- 1778, December 15. *Great Britain*. 18 Geo. III. c. 15. Prize goods. (*Statutes at Large*.)
1776. *France — Great Britain*. Explanation of motives, with answer (by E. Gibbon) and reply. (Martens, *Causes célèbres*, iii. 188.)
- 1779, March 4. *Papal States*. Edict concerning navigation and commerce. (Martens, iv. 232.)
- 1779, March. *Sweden*. Ordinance concerning navigation and commerce. (Martens, iv. 249.)

- 1779, April 12. *France — Spain*. Treaty of alliance against England. (Del Cantillo, 552. A summary of the treaty in English is among the Sparks MSS., no. 92.) [See note to the Critical Essay of Chapter II, *post.* — Ed.]
- 1779, April. *Russia*. Declaration to England and France. (Albedyhill, 49; so cited in Bergbohm, 274.)
- 1779, May 3. *Netherlands*. Placard forbidding privateering under foreign flags. (Martens, iv. 242.)
- 1779, May 7. *Sweden*. Declaration to England and France. (Zachrisson, 65; so cited in Bergbohm, 275.)
- 1779, June 15. *France*. Order concerning prizes retaken. (Martens, iv. 318.)
- 1779, June 15. *United States*. Congress congratulates Louis XVI on the birth of a princess, and asks for portraits and for supplies. (*Secret Journals*, ii. 166.)
- 1779, June 19. *Spain*. Declaration of war against England. (Dohm, iii. 7; Hennings, i. 43; so cited in Bergbohm, 275.)
- 1779, June 23. *Great Britain*. Privateers against Spain. (Hennings, i. 47; cited in Bergbohm, 275.)
- 1779, July 1. *Genoa*. Edict concerning navigation and commerce. (Martens, iv. 244.)
- 1779, July 1. *Spain*. Rules concerning privateers. (Martens, iv. 329.)
- 1779, September 9. *Venice*. Edict concerning navigation and commerce. (Martens, iv. 254.)
- 1779, November 5. *Great Britain*. Letter of George III to Catherine II. (Malmesbury, i. 264.)
- 1779, November 8. *France*. Order concerning prizes taken into foreign ports. (Martens, iv. 319.)
- 1779, November 8. *Great Britain*. 10 George III, c. 5. Prizes. (*Statutes at Large*.)
- 1779, November 13. *France*. Modification of proclamation concerning neutral vessels. (Martens, iv. 109 *n*.)
- 1780, February 12. *Turkey*. Declaration of neutrality. (Martens, iii. 270.)
- 1780, February 28. (*Russia*. Declaration to belligerents of ARMED NEUTRALITY. (Martens, ii. 74; 1780, March 10. (*Annual Register*, 1780, 347.)
- 1780, March 12. *Great Britain — France*. Cartel for exchange of prisoners. (Martens, iv. 276; additional article, 294.)
- 1780, March 13. *Spain*. Order regulating navigation of neutrals. (Martens, iv. 268.)
- 1780, March 21. *Great Britain*. Memorial to the Netherlands, claiming succor, etc. (*Annual Register*, 1780, 342.)
- 1780, April 3. *Russia*. Memorial to the Netherlands. (*Annual Register*, 1780, 346.)
- 1780, March 25. (*Sweden*. Asks explanations of Russian declaration. (Martens, iv. 354; *Annual Register*, April 5. (*ister*, 1780, 354.)
- 1780, April 13. *Holland and West Friesland*. Resolutions concerning declaration of neutrality. (Martens, iv. 350.)
- 1780, April 17. *Great Britain*. Declaration to Netherlands denouncing neutral rights secured by treaties. (Martens, ii. 70; *Annual Register*, 1780, 345.)
- 1780, April 18. *Spain*. Answer to Russian declaration of neutrality. (Martens, iv. 348; *Annual Register*, 1780, 350.)
- 1780, April 19. *Great Britain*. Instructions to privateers concerning Dutch vessels. (Hennings, ii. 62; cited in Bergbohm, 270.)
- 1780, April 23. *Great Britain*. Answer to Russian declaration of neutrality. (Martens, iv. 345; *Annual Register*, 1780, 349.)
- 1780, April 24. *Netherlands*. Resolutions in answer to Russian declaration of neutrality. (Martens, iv. 352.)
- 1780, April 25. *France*. Answer to Russian declaration of neutrality. (Martens, iv. 346; *Annual Register*, 1780, 349.)
- 1780, April (?). *Portugal*. Answer to Russian declaration of neutrality. (Dohm, iv. 244; cited in Bergbohm, 279.)
- 1780, May 8. *France*. Ordinance concerning neutral vessels. (Martens, iv. 347 *n*.)
- 1780, May 8. *Denmark*. Declaration of neutrality of the Baltic. (Martens, ii. 84.)
- 1780, May $\frac{8}{19}$. (*Russia*. Ordinance concerning merchantmen. (Martens, ii. 79; *Dif. Corr.*, v. 271.)
- 1780, May 25. *France*. Answer to Denmark. (Martens, vi. 202.)
- 1780, April $\frac{18}{29}$. (*Russia*. Explanation to Sweden. (Martens, iv. 355; *Annual Register*, 1780, 355.)
- 1780, June 22. *Great Britain — France*. Additional cartel for exchange of prisoners. (Martens, iv. 294.)
- 1780, July 4. *Great Britain — Denmark*. Convention to define contraband. (Martens, ii. 102.)
- 1780, July 8. *Denmark*. Declaration to belligerents. (Martens, iv. 360; *Annual Register*, 1780, 352.)
- 1780, June 28. (*Russia — Denmark*. Convention, marine. (Martens, ii. 103; separate articles, Martens, July 29. (*iv*, 357.)
- 1780, July 21. *Sweden*. Declaration to belligerents. (Martens, iv. 365; *Annual Register*, 1780, 353.)
- 1780, July 25. *Great Britain*. Answer to Denmark. (Martens, vi. 203.)
- 1780, July 27. *France*. Answer to Denmark. (Martens, iv. 363.)
- 1780, July 21. (*Russia — Sweden*. Convention, marine. (Martens, ii. 110; separate articles, Martens, August 1. (*iv*, 364.)

- 1780, August 3. *Great Britain*. Answer to Sweden. (Martens, iv. 368.)
- 1780, August 4. *France*. Answer to Sweden. (Martens, iv. 366.)
- 1780, August 7. *Spain*. Answer to Denmark. (Martens, vi. 204.)
- 1780, August 30. *Portugal*. Order concerning privateers. (Martens, iv. 205.)
- 1780, September 7 (?). *Denmark*. Declaration acceding to convention between Russia and Sweden. (Martens, iv. 371.)
- 1780, September 9. *Sweden*. Declaration acceding to convention between Russia and Denmark. (Martens, iv. 369.)
- 1780, October 5. *United States*. Resolution of Congress acceding to armed neutrality. (*Journals of Congress*, October 5, 1780.)
- 1780, October 27. *Russia*. Notification to belligerents of the accession of Denmark and Sweden to the Armed Neutrality. (Martens, iv. 372.)
- 1780, November 10. *Great Britain*. Memorial to Netherlands concerning papers taken in Mr. Laurens's trunk (with copies of the papers). (*Annual Register*, 1780, 356, 373.)
- 1780, November 16. *Netherlands*. Memorial to Great Britain concerning English insults and violence on the island of St. Martin. (*Annual Register*, 1780, 374.)
- 1780, November 20. *Netherlands*. Resolutions concerning accession to armed neutrality. (Martens, iv. 375.)
- 1780, November 22. *United States*. Memorial to king of France, requesting a loan. (*Secret Journals*, ii. 343.)
- 1780, December 12. *Great Britain*. Memorial to Netherlands. (*Annual Register*, 1780, 375.)
- 1780, December 12. *France*. Answer to Russian notification. (Martens, iv. 373.)
- 1780, December 20. *Great Britain*. Manifesto against the Netherlands. (*Annual Register*, 1780, 376.)
- 1780, December 20. *Great Britain*. Order in Privy Council concerning privateers against the Netherlands. (Hennings, i. 71; Dohm, iv. 136; cited in Bergbohm, 285.)
- 1780, December 21. *Great Britain*. Instructions to privateers against the Netherlands. (Hennings, ii. 65; cited in Bergbohm, 285.)
- 1780, December 21 (?). *Great Britain*. Answer to Sweden. (Martens, iv. 368.)
- 1780, December 21. *Great Britain*. 20 Geo. III, c. 6. Prize goods. (*Statutes at Large*.)
- 1780, December 24. *Netherlands*. Act acceding to armed neutrality. (Martens, ii. 117; separate act 1781, January 3. ^l joined to accession, Martens, iv. 378.)
- 1781, January 12. *Netherlands*. Resolutions concerning succor to be asked. (Martens, iv. 382.)
- 1781, January 12. *Netherlands*. Placard concerning privateers. (Martens, iv. 342.)
- 1781, January 13. *Netherlands*. Instructions to privateers. (Martens, iv. 343.)
- 1781, January 26. *Netherlands*. Ordinance concerning war. (Martens, iv. 410.)
- 1781, January (?). *Netherlands*. Declaration concerning accession to armed neutrality. (Martens, iv. 370.)
- 1781, January (?). *Netherlands*. Declaration to belligerents of accession to armed neutrality. (Martens, iv. 381.)
- 1781, February 15. *Great Britain*. Additional instructions to privateers. (Hennings, ii. 105; cited in Bergbohm, 287.)
- 1781, February 17-28. *Sweden*. Memorial to Russia about the Netherlands. (Martens, iv. 304.)
- 1781, February 28. *Netherlands*. Memorial to Sweden asking help of allies. (Martens, iv. 389; *Annual Register*, 1781, 311.)
- 1781, March 10. *France*. Letter of Louis XVI to Congress of United States promising help. (*Secret Journals*, ii. 438.)
- 1781, March. *Russia*. Rescript. (Martens, iv. 300.)
- 1781, March 12. *Netherlands*. Counter-manifesto to England. (Hennings, i. 73; cited in Bergbohm, 287; C. de Martens, *Nouv. Causes*, i. 100.)
- 1781, April 20. *Great Britain*. Additional instructions to privateers. (Hennings, ii. 104; cited in Bergbohm, 287.)
- 1781, April 30. *Prussia*. Declaration and ordinance concerning navigation and commerce. (Martens, iv. 418.)
- 1781, May 1. *France*—*Netherlands*. Convention concerning prizes retaken. (Martens, ii. 127.)
- 1781, May $\frac{8}{10}$. ⁸ *Russia*—*Prussia*. Convention to maintain the liberty of commerce, with separate articles. ¹⁰ ^l (Martens, ii. 130.)
- 1781, July 6. *United States*. Articles of confederation ratified and adopted. (See October 4, 1776.)
- 1781, July 10. *Empire*—*Russia*. Treaty concerning armed neutrality. (Martens, iv. 404.)
- 1781, October 6. *Empire*. Accession to armed neutrality. (Martens, ii. 171.)
- 1781, October 10-30. *Russia* accepts the accession of the Empire. (Martens, ii. 174.)
- 1781, November 3. *Prussia*. Further declaration concerning navigation and commerce. (Martens, iv. 424.)
- 1781, December 8. *Prussia*. Further orders concerning navigation and commerce. (Martens, iv. 427.)
- 1781, December 12. *Empire*. Order concerning navigation and commerce. (Martens, iv. 437.)

- 1781, December 12 (?). *Great Britain*. 21 Geo. III, c. 5. Prizes. (*Statutes at Large*.)
- 1782, January. *Great Britain*. An act to enable his Majesty to make peace with the United States (22 George III, c. 46). (Martens, iv. 440.)
- 1782, May 2. *Denmark*. Acceptance of convention between Russia and Prussia. (Goerz, 112, cited in Bergbohm, 289.)
- 1782, July $\frac{1}{24}$. *Portugal*. Accession to armed neutrality. (Martens, ii. 208.)
- 1782, July 16. *United States—France*. Contract concerning money borrowed. (Martens, ii. 212; T. & C., 254; *Secret Journals*, iii. 273.)
- 1782, October 8. *United States—Netherlands*. Treaty of amity and commerce. (Martens, i. 242; T. & C., 607; *Secret Journals*, iii. 200.)
- 1782, October 8. *United States—Netherlands*. Convention concerning prizes retaken. (Martens, i. 278; T. & C., 616; *Secret Journals*, iii. 313.)
- 1782, November 30. *United States—Great Britain*. Treaty of Peace, provisional. (Martens, i. 308; T. & C., 309; *Secret Journals*, iii. 330; separate article, T. & C., 312.)
1782. *Great Britain*. 22 George III, c. 10. For better detaining and more easy exchange of prisoners. (*Statutes at Large*.)
1782. *Great Britain*. 22 George III, c. 25. Act to prohibit ransoming vessels. (Martens, iv. 304; *Statutes at Large*.)
1782. *Great Britain*. 22 George III, c. 46. To enable his Majesty to conclude peace or truce with the colonies in North America. (*Statutes at Large*.)
- 1783, January 20. *United States—Great Britain*. Armistice. (T. & C., 312.)
- 1783, January 20. *Great Britain—France*. Treaty of Peace, preliminary. (Martens, i. 315.)
- 1783, January 20. *Great Britain—Spain*. Treaty of Peace, preliminary. (Martens, i. 323.)
- 1783, February 10-21. *Two Sicilies*. Act acceding to armed neutrality. (Martens, iii. 274.)
- 1783, February 25. *United States—France*. Contract. (T. & C., 258.)
- 1783, April 3. *United States—Sweden*. Treaty of amity and commerce, with separate articles. (Martens, i. 328; T. & C., 709; *Secret Journals*, iii. 309.)
- 1783, April 11. *United States*. Proclamation of cessation of hostilities. (*Secret Journals*, iii. 323.)
- 1783, June 11. *United States*. Ceremonial for the reception of foreign ministers. (Martens, iv. 453; *Secret Journals*, iii. 305.)
- 1783, August 13. *United States*. Congress thanks Louis XVI for portraits. (*Secret Journals*, iii. 402.)
- 1783, September 2. *Great Britain—Netherlands*. Treaty of Peace, preliminary. (Martens, i. 457.)
- 1783, September 3. *Great Britain—France*. Peace of Versailles, with separate articles, declaration and counter-declaration. (Martens, i. 462.)
- 1783, September 3. *Great Britain—Spain*. Peace of Versailles, with separate articles, declaration and counter-declaration. (Martens, i. 484.)
- 1783, September 3. *United States—Great Britain*. Treaty of Paris. (Martens, i. 407; T. & C., 314; *Secret Journals*, iii. 433.)
- 1784, May 20. *Great Britain—Netherlands*. Treaty of Paris. (Martens, i. 520.)

B. PRISONERS OF WAR.—[The procurement of the British recognition of belligerent rights in the exchange of prisoners was one of the objects of the American commissioners in Paris. The instructions of Germain to Howe, Feb. 1, 1776, authorizing him to conduct exchanges without the king's name being used, or the royal honor and dignity being compromised, is among the Carleton Papers (*Mass. Hist. Soc. Proc.*, v. 346 a), and the letter to Carleton, Aug. 22, 1776, is in the State Paper Office, "Quebec series, xv., 1776," and is noted in Brymer's Reports on the Dominion Archives. The steps by which the British government came to consent to an exchange of prisoners, with an implied reservation of the rights of the sovereign over traitors, which might be exercised even after temporary and expedient acts of exchange arranged by commanding generals, are traced in a report on the subject, made by George T. Curtis, for a committee of the Mass. Hist. Soc. (*Proceedings*, v. 325) in December, 1861, when the United States government was considering similar measures in respect to prisoners of war from the seceded States. Cf. on the same subject George Bancroft's letter, dated Feb. 14, 1862, published by the N. Y. Hist. Society.]

The subject is further illustrated in Sparks's *Washington*, i. 307; iv. 547, etc.; v. 306, 311, 353, 354, 363, 518; vi. 508; vii. 3; *Hist. Mag.*, vi. 90; viii. 200; various papers in Force's *Amer. Archives*; *Journals of Congress*, i. 349, 493; ii. 404; iii. 120, 422; iv. 70, 79; *Secret Journals*, i. 174; in the Haldimand Papers (Brymer's *Calendar*, and Brit. Mus. MSS., 21.841-43); Graydon's *Memoirs*, ch. 8; Hamilton's *Repub. U. S.*; Lossing's *Field-Book*, ii. 863; Moore's *Diary of the Rev.*, index, etc.

Stormont, the British minister in Paris, refused in 1777 to listen to Franklin's proposals for an exchange of prisoners (Sparks's *Franklin*, ix. 166); but the activity of the American cruisers soon accumulated in the commissioners' hands so large numbers of British sailors that the English government was forced to treat.

The *Journals of Congress* disclose various inquiries and reports about the treatment of prisoners in British hands (ii. 376, 413; iii. 562, 654, etc.), whether in the prison-ships, in the several sugar-houses and other

buildings in New York city used as places of confinement (see views of them in Lossing's *Cyclo. U. S. Hist.*, ii. 8, "Prisons," and Valentine's *N. Y. City Manual*, 1857, p. 256), or in the prisons of England. For details and views of treatment in such confinement, see Bigelow's *Franklin* (ii. 423, 411); Irving's *Washington* (iii. 191); Ethan Allen's *Captivity*; *Memoirs* of Andrew Sherburne; *Adventures* of Ebenezer Fox; several reprints and recitals published by C. I. Bushnell in N. Y., 1863-66, including the experiences of Levi Hanford, John Blatchford, Abraham Leggett, and Ebenezer Fletcher; *N. E. Hist.-General. Reg.*, 1869, p. 103; Mrs. Ellet's *Domestic Hist. of the Rev.* (156, 116); George Taylor's *Martyrs of the Rev.* (1855); Onderdonk's *Suffolk and King's Counties*, etc. Congress (Sept. 29, 1783, *Secret Journals*, iii. 397, 402) voted to thank the Rev. Dr. Wren for his attention to American prisoners in England.


Respecting the Jersey and other prison-ships at New York, we have several personal narratives of those who experienced confinement on board: Thomas Dring's *Recollections of the Jersey Prison-Ship*, edited by Albert G. Greene (Providence, 1826, — reprinted, 100 copies, Providence, 1865), and re-edited by H. B. Dawson (Morrisania, 1895), with a view and plan of the ship, often re-engraved; Thomas Andros's *Old Jersey Captive, 1751* (Boston, 1833, — 80 pages); Philbrook's narrative in the *R. I. Hist. Soc. Proc.*, 1874-75, p. 75; the *Adventures of Christopher Hawkins*, in the Bushnell Series (New York, 1864).

Henry R. Stiles published two volumes of a Wallabout Prison-Ship Series (80 copies each), the first being *Letters from the Prisons and Prison-Ships of the Rev., with Notes* (New York, 1863, — 40 pages), and the second a reprint (New York, 1865), with notes, of an *Account of Interment of the Remains of Amer. Patriots who perished on board the Prison-Ships during the Amer. Rev.* (orig. ed., 1808).

The history of the prison-ships is given in Stiles's *Brooklyn*, with a map (i. 332) showing the positions of the ships in Wallabout Bay, 1770-83. For other details, see Dunlap's *New York*, ii. ch. 10; *Harper's Monthly*, xxxvii. 187; *Hist. Mag.*, vi. 147; x. Suppl., 7; *N. E. Hist.-General. Reg.*, xxxii. 42, 395; Lossing in *Field-Book* and in *Potter's Amer. Monthly*, vi. 1; *National Mag.*, iv. 205.

Respecting the use of an old disused copper mine at Simsbury, in Connecticut, for the confinement of loyalists and prisoners by the Americans, see R. H. Phelps's *Newgate of Conn.* (1860, 1876); *Memorial Hist. of Hartford County*, ii. 80; *Mag. Amer. Hist.*, xi. 247; C. B. Todd in *Lippincott's Mag.*, xxvii. 209; *N. E. Mag.*, March, 1887; and the profile of the mine given in the *Political Mag.*, ii. 396. Upon the fleet-prison at Esopus on the Hudson, see Jones's *New York*, i. App., p. 705.

The nearness of Connecticut to the headquarters of the opposing armies during a large part of the war rendered that State the most convenient place of confinement for a large part of the British prisoners in American


 Commis^r Gen^l Pres^{nt} —
 Philadelphia April 17th 1778

hands, and the *Trumbull MSS.* (Mass. Hist. Society; cf. Hinman's *Conn. during the Rev.*, p. 572, etc.) show more or less correspondence between the commissaries on both sides. The chief commissary on the British side during a large part of the war was Joshua Loring. (Cf. Jones's *New York*, ii. 423.) The local records will of course yield material of subsidiary interest. Cf., for instance, *N. H. State Papers*, viii. 367, 426, 468; the *Report* on the Mass. Archives, 1885, pp. 25, 26; and the index to Goodell's *Prov. Laws of Mass.*, vol. v., and the indexes of the *N. Y. Coll. Docs.*, the *Penna. Archives*, etc. — ED.]

CHAPTER II.

THE PEACE NEGOTIATIONS OF 1782-1783.

BY THE HON. JOHN JAY,

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WHEN, in February, 1779, Gérard had urged upon Congress the appointment of a commissioner to take part in possible negotiations for peace, it became necessary for the first time for Congress to formulate conditions beyond the main demand of independence. The first drafting of such instructions to a commissioner—for it took this shape—was entrusted to a committee, consisting of Gouverneur Morris, Thomas Burke of North Carolina, Witherspoon of New Jersey, Samuel Adams, and Meriwether Smith of Virginia. They suggested, as an ultimatum for boundaries, the confinement of Canada within such limits as England had insisted upon when Canada was a French possession, with the line of the Mississippi on the west, and the limits of Georgia upon the Floridas on the south. They also determined, as points to be insisted upon, the right to fish and cure fish on the coasts of Newfoundland; the free navigation of the Mississippi to the southern limits of their bounds upon it, with a free port below; and, if the allies were agreed, the prolongation of the war till Nova Scotia should be conquered. On the other hand, they would give up Nova Scotia if the fisheries were secured, or they would exchange it for the Bermudas. They would also, if necessary, agree to forego commerce with the East Indies, and would attempt no settlements beyond their prescribed frontiers; if they should acquire the Floridas in the negotiations, they would cede them to Spain. Further, they would consent to no temporizing truce, and if American troops assisted in the conquest of Florida, Spain should be invited to grant the United States a subsidy. These propositions were somewhat modified during the ensuing debate. Gérard was constantly impressing upon members of Congress that it was wiser to give their commissioner greater freedom, and to leave much to the generosity of Spain, whom it was necessary to conciliate, and to France, their tried friend. It was not so easy to force a conviction of such views upon Congress at large, and the debates lagged. In March Congress agreed to the bounds as proposed by the committee, being substantially, for the north, those of Canada before the passage of the Quebec bill, though a line further south, but not below the 45° of latitude, might be accepted. The eastern limit was to be the

St. John, or whatever might be decided as the farther bounds of Massachusetts in that direction. The west and south lines were to be as the committee suggested. The claim to bound on the Mississippi was the subject of question and argument at various times subsequently; but no rights of the sovereign or of the Indians were ever recognized as barring the claims of the States. The American people were held to have succeeded to all English rights, and American settlers already possessed much of the contested territory. The decision of Congress as to the southern bounds was open to other complications. Spain desired to have Florida stretch northerly to the basin of the St. Lawrence, or at least she wished to have England recognized as the owner of the region west of the Alleghanies, in order that Spain might make a conquest of it if she could. She would wrest from Great Britain the price of Gibraltar, somewhere. It was by negotiation with Spain, too, that the free navigation of the Mississippi, which Congress insisted upon, was to be determined. There was a struggle upon the fishery clause. New England contended for wider allowance; the result was a vague determination that "in no case the common right of fishing be given up." The New Englanders, under Elbridge Gerry, still contended for more determinate language, and the question of formulating the claims to the fisheries continued a long time to divide counsels, and was still an open one when the final negotiations took place. New York in all these debates held the casting vote, and she usually threw it against the larger claims of New England. The fishery claims, however, rested upon



JOHN ADAMS.*

the natural rights of the States arising from their situation, upon their succession to the sovereignty previously vested for their benefit in the crown, upon their charters, and upon the equity of their enjoying a share in what they had helped to conquer. Vergennes, on the contrary, argued that the fisheries were a possession of the British crown, which America had renounced in renouncing that crown, — and Vergennes had ulterior reasons for keeping America out of the fisheries, the nursery of seamen, for he did not wish America to become a naval power. The votes of the

Southern States, with the outside influence of France, finally succeeded in fashioning the clause of the instructions, as respects the fisheries, in a way that the commissioner should not claim the right as an ultimatum.¹ This

¹ [See *ante*, pp. 55, 56. — Ed.]

* [From the *European Magazine*, Aug., 1783, after an original painting owned by Edmund Jennings. See Vol. VI. p. 36. — Ed.]

was a severe blow to the New England hopes; but the Northern people got some compensation when John Adams was chosen as the commissioner for whose guidance the instructions had been formed. Adams had returned from Europe early in August on the same ship with Luzerne, and on September 27th he was chosen on this new embassy, to be guided by these instructions, the advice of the allies, and his "own discretion, in which we [Congress] repose full confidence." On the next day John Jay was chosen to proceed to Spain,¹ and to attempt, by offers of help in conquering the Floridas, to secure the free navigation of the Mississippi, and perhaps a subsidy of money from that power. Jay spent two years and a half in Spain without succeeding in drawing from Florida Blanca a single positive proposal, or even a satisfactory answer. Congress unwarrantably drew on Jay to considerable amounts, on the supposition that Spain was ready to advance him money; but the Spanish government avoided payments, and hinted at the considerations which might induce them to grant the money. Jay made up his mind that the "servile terms" of surrendering the right to navigate the Mississippi were, on his part, as much an obstacle to any treaty as the American claim was an impediment in the Spanish eyes. In spite of repeated conferences, Spain could not be induced to promise more than \$14,000 for about \$50,000 of bills remaining unaccepted, and Jay made himself personally liable for the difference. Meanwhile, Luzerne, in Philadelphia, was laboring with Congress to induce it to abandon the claim of navigating the Mississippi.² The panic which ensued upon Arnold's invasion of Virginia led Bland, a member from that State, to start the question in Congress, and, under the stress of military misfortunes, Jay's instructions were so changed that he was not to consider the claim an ultimatum. Florida Blanca was thought by Jay to have had earlier



John Jay —
 President *

¹ His instructions are in Pitkin, ii. 511.

² [See ante, p. 55. — Ed.]

* [From Du Simitière's *Thirteen Portraits* (Lond., 1783). Cf. also *Heads of illustrious Americans* (London, 1783). Stuart's picture is engraved by Leney in Delaplaine's *Repository* (1813), and given in photogravure in Mason's *Stuart*, p. 205. The engraving by A. B. Durand of the likeness by Stuart and Trumbull is reproduced in the *Mag. of Amer. Hist.* Cf. Irving's *Washington*, quarto ed., vol. ix. The head of Stuart's portrait at the Jay House, Bedford, was engraved by Cornelius Tiebout, at London, April, 1793. An engraving of a bust by Frazee is in William Jay's *Life of John Jay*. The likeness given in *Harper's Monthly*, lxxvi. p. 842, is not of John Jay, but of his son, Peter Augustus Jay. — Ed.]

knowledge than himself of this change of attitude, but was still as non-committal as before, and continued so. "Spain has taken four years," wrote Franklin to Jay in 1782, "to consider whether she would treat with us or not. Give her forty, and in the mean time let us mind our own business." The delay proved fortunate for the young republic. As Franklin put it, to part with the Mississippi were as if one should sell his street-door. It is now known that Florida Blanca was at the same time engaged in making offers to England to hamper and check the purposes of France and of England's "rebel subjects."¹

When the Austro-Russian offer of mediation came, in 1781, there was again a revision of claims, which Congress had formulated as the condition of peace. France made it the occasion to say that Adams was too obstinate for a diplomat, and that he ought to be instructed to abide the advice of France, who could procure better terms than it were possible for such a headstrong commissioner to secure. Luzerne's communication to Congress was referred to a committee, consisting of Daniel Carroll of Maryland, Noble W. Jones of Georgia, Witherspoon, Sullivan, and John Mathews of South Carolina, who reported that there was no danger in leaving the negotiations to the discretion of the French government.* Witherspoon tried to induce a vote abandoning the boundary clause of the instructions as an ultimatum; but he failed. Luzerne brought all his arts into play to counteract so obstinate a refusal of confidence in France as this failure of Witherspoon implied, and his intrigues succeeded. The boundary clause was changed, so that the minister was not bound by it, and he was directed to undertake nothing without the knowledge and concurrence of France. Luzerne informed his principal that the Ohio, and even the Alleghanies, could be made satisfactory to the Americans, such was their present temper. He even insinuated that one of the States might be given up to England; but this was too much, and the negative was decisive. He was more successful in the attempt to strengthen the hands of Vergennes: first by making Adams share his mission with others, — Jay, Franklin, Laurens, and Jefferson, who were accordingly appointed; and then in binding them by further amendments to the instructions² to govern themselves by the advice

¹ [See *ante*, p. 54. — Ed.]

² The instructions adopted by Congress June 15, 1781, to Adams, Franklin, Jay, Laurens, and Jefferson, authorized the acceptance of the mediation proposed by the empress of Russia and the emperor of Germany, forbade any treaty of peace which should not, first, effectually secure the independence and sovereignty of the United States, according to the subsisting treaties with France; and, second, in which the said treaties shall not be left in full force. The instructions to John Adams of Aug. 14, 1779, and Oct. 18, 1780 (Adams, iv. 339; *Secret Journals*, ii.), are referred to as expressing the desires and expectations of Congress; and the instructions proceed to say: "But we think it unsafe, at this dis-

tance, to tie you up by absolute and peremptory directions upon any other subject than the two essential articles above mentioned. You are therefore at liberty to secure the interest of the United States, in such manner as circumstances may direct, and as the state of the belligerent and the disposition of the mediating powers may require. For this purpose, you are to make the most candid and confidential communications upon all subjects to the ministers of our generous ally, the king of France; to undertake nothing in the negotiations for peace or truce, without their knowledge and concurrence; and ultimately to govern yourselves by their advice and opinion."

and opinion of their generous ally, the king of France.¹ Luzerne attributed the success of these proposals to the absence of Samuel Adams and the New York delegates, and to the rupture of the New England league, for which he was indebted to John Sullivan of New Hampshire. He implies that Sullivan had received pay for his services, and suggested that it would



VERGENNES.*

be worth while to nourish Sullivan's patriotism even after his return to New Hampshire.

Vergennes was pleased with Luzerne's success, and bade him say to Con-

¹ [*Life and Writings of John Adams*, i. 341; vii. 349; Rives's *Madison*, i. ch. 11; *Madison Papers*, i.; Hamilton's *Hamilton: Flanders' Rutledge*, 596; Sparks's *Franklin*, viii. 526; ix.; *Journals of Congress*, vii.; *Dip. Corres.*, vi. 3 (from John Adams's

letters). The instructions of Congress to the commissioners in Europe, June 15, 1781, are in the *Dip. Corres.*, x. 71; and those of January 7, 1782, in *Ibid.* iii. 268. Cf. Sparks's *Franklin*, ix. 128.—ED.]

* [After an engraving by Vangelisti of a painting by Antoine François Callet. It has been reproduced on a reduced scale in Doniol's *Participation de la France à l'établissement des États-Unis* (Paris, 1880), vol. i. Cf. *European Mag.*, vol. ix. (1786); *Mag. Amer. Hist.*, Jan., 1885; Gay's *Pop. Hist. U. S.*, iv. 70; *Harper's Mag.*, lxvi. 834.—ED.]

gress that in the last resort the king's opinion would settle the difficulties, and that if he did not succeed in securing for Congress all they desired the fault would be in the circumstances. There were soon signs of reaction in Congress, and it was urged that no state ever so imprudently put itself at the mercy of another. Luzerne assumed an air of indifference, and the opposition subsided. The next year (1782) there was new blood in Congress, and the fresh members attacked the scheme boldly. Hamilton and Lee were outspoken; but Madison and Witherspoon defended the instructions. The latter thought they were little more than complimentary to France. Madison said that "they were a sacrifice of national dignity, but a sacrifice of dignity to policy" with additional security to American interests. Luzerne tried to help the advocates' case by professions of entire friendship and the like, though the king, his master, as he said, might be forced to sacrifice his inclinations to necessity. We now know from Vergennes' own correspondence, what Congress did not know then, — that France had secretly assented to the desire of Spain to abridge the boundaries, the resources, and the power of America.

The season was propitious for France to force concessions from the States. The American finances were in absolute need of recruiting, and France could relieve them. The States had not been recognized by the intending mediators, and apparently France alone could present their claims to such a tribunal. But the fate of America was not to depend upon foreign mediation or the opinion of a foreign court; and the instructions which were to bind the American commissioners they found themselves compelled to disregard, for reasons which they stated to Congress, and which history has shown to be correct.

Those instructions, so ingeniously framed, so skilfully passed through Congress, and so quietly set aside, will present an interesting question to students of diplomacy when the confidential correspondence and secret papers relating to the peace negotiation, from the French and English archives, are collated and printed by the government at Washington. The entire incident will gather importance and teach a noteworthy lesson, if it shall appear that while the instructions failed in the object they were intended to accomplish, they assisted to open the eyes of the American commissioners to the dangers threatened by the policy of Paris and Madrid; that they induced a misleading confidence in the French court as to their restraining effect; and that they assisted to induce the British ministry to recognize the justice of the claims of their former colonists, and to adopt the far-sighted and manly policy which, while disappointing the hopes of the Bourbon courts, secured the greatness of the American republic.¹

¹ Nothing in the diplomatic history of these years is more remarkable than the influence which Luzerne, while holding the views of his principal, succeeded in establishing over Congress, and the complete knowledge which his correspondence shows of its intrigues and de-

bates (*Jay MSS.*, i. 7, etc.). Of the members under his control, Sullivan has been mentioned as probably in his pay; but his social influence with men of a higher stamp was large. In a letter dated Nov. 16, 1782 (*Ibid.* ii. 4), he describes the Pennsylvania members, Mifflin,

The surrender of Cornwallis at Yorktown on October 19, 1781, was the crowning failure which settled the fate of Lord North's ministry.¹ The voice of public opinion could no longer be stifled, and a general outcry arose against the war, which at last was echoed by Parliament.² General Conway, a veteran statesman who had been among the first to denounce the Stamp Act, moved on February 22, 1782, that the war be discontinued. It was a sign of the times that his motion was negatived by a majority of only a single vote;³ and continuing his efforts, he was rewarded by a victory on March 4th, when the House agreed, without a division, to consider as enemies to the king and country all those who should further attempt the prosecution of the American war, and granted leave to bring in a bill enabling the king to make peace or truce with America.⁴



GENERAL H. S. CONWAY.*

Peters, Wilson, Fitzsimmons, and Montgomery, with all of whom, except Montgomery, he says that he has intimate connections. Another intimate friend was Livingston (*Ibid.* ii. 3), the Secretary of Foreign Affairs, who, according to Luzerne, owed his election (by a bare majority of one) to Luzerne's ascendancy. Of him Luzerne wrote, on Nov. 1, 1781: "He appreciates the share I have had in electing him. . . . We need not fear that he will let himself be influenced by the English." So congenial did he find him that when Livingston talked of resigning he used all his efforts to dissuade him. "His attachment to the alliance, his probity, and his confidence in me are such that I should be reluctant to see him resign."

¹ [For the reception of the news of Yorktown, in London, and its effect, see *ante*, Vol. VI., p. 555; and Wraxall's *Hist. Memoirs*; Walpole's *Last Journals* (vol. ii.); Macknight's *Burke* (ii. 457). Adolphus (*England*, iii. ch. 43) gives a good summary of the debates in Parliament. See also *Parliamentary Hist.*, vol. xxii.; *Life of Van Schaack*, p. 267. — Ed.]

² Lecky, iv. 219; Eaton, 128.

³ Bancroft, x. 529.

⁴ This bill (the "Enabling Act"), technically necessary before negotiation could begin, was not passed until June of this year. [For the

character of North, beside the general histories, see J. C. Earle's *English Premiers* (London, 1871), i. ch. 6; Jesse's *Etrenians: Brougham's Statesmen*; Macaulay's *Chatham*; Smyth's *Mod. Hist.* (33d lect., ii. 373, 443); Russell's *Mem. and Corresp. of Fox*, i. 195; his *Life and Times of Fox*, i. ch. 15; Adolphus's *George III.*, iii. 345; Walpole's *George III.* (ed. by Lemarchant), iv. 78; Walpole's *Last Journals (passim)*; Macknight's *Burke*, ii. ch. 30; and Wraxall, ii. 360, in Henry B. Wheatley's combined, annotated ed. of Sir Nathaniel William Wraxall's *Historical and Posthumous Memoirs* (N. Y. and London, 1884, in 5 vols.). This edition includes the author's revised text, Mrs. Piozzi's notes, and those of Dr. Doran, intended for an edition of his own. Smyth (ii. 442) accounts Gibbon the type of man who gave most hearty support to North. The parts of Gibbon's letters bearing on the American Revolution have been grouped together in the *Mag. of Amer. Hist.*

The condition of parties at the downfall of North is described in Donne's *Corresp. of George III with North*, ii. 398, 429; in histories of England by Belsham (vii.), Mahon (vii. 136), Massey (ii. 414), Adolphus and the *Priv. Hist. England*; in Wraxall's *Hist. Memoirs*, ii. 148; G. W. Cooke's *Hist. of Party*, iii. ch. 10; Russell's *Memorials of Fox*, i. 281, and *Life of Fox*,

* [From the *European Magazine*, March, 1782. — Ed.]

Perceiving that peace was now inevitable, Lord North sent his emissaries,



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Digges, the American merchant, and Forth, the former secretary of legation at Paris, to sound the allies, and find out if there was any chance of dealing with them separately. Meanwhile, his position was growing more precarious. On March 15, a motion of want of confidence in the government, brought forward by one of its former supporters, Sir John Rous, was lost by a majority of only nine.¹ A similar motion was put down for March 20, but Lord North anticipated the verdict by announcing his resignation.

America had everything to hope for from the administration of his successor, Lord Rockingham, who as early as 1778 had recommended severing the Franco-

American alliance by acknowledging American independence,² and who now required, as a preliminary to accepting office, that the king should put no veto on its recognition. Fox, the new foreign secretary, had strenuously, and even extravagantly advocated American independence in every session of Parliament since 1776,³ when he had said: "If we are reduced to the dilemma of conquering or abandoning America, I am for abandoning America." The Duke of Richmond, who took the office of master-general of ordnance, had pleaded, in 1777, after the surrender of Burgoyne, for "a peace on the terms of independence, and such an alliance or federal union as would be for the mutual interests of both countries."⁴ Other warm

i. ch. 15; Fitzmaurice's *Shelburne*, iii. 129; Walpole's *Last Journals*, ii. 521.

On Conway's motion of Feb. 22, 1782, see Lyman's *Diplomacy of the U. S.*, i. 93; Walpole's *Last Journals*, ii. 505; Russell's *Mem. and Corresp. of Fox*, i. 277.

For the motion of Feb. 27th and the roll of names, see *Mass. Hist. Soc. Proc.*, May, 1866 (ix. 218). Cf. Debrett's *Parl. Reg.*, vi. 310-341; Walpole's *Last Journal*, ii. 509; Macknight's *Burke*, ii. 482. — Ed.]

¹ Fitzmaurice, iii. 130.

² Bancroft, ix. 133, 487. [There are portraits of Rockingham in the London ed., 1801, of *Ju-*

nius; Lodge's *Portraits*; Albemarle's *Rockingham*, etc.; and for woodcuts, see *Harper's Mag.*, lxvi. 668. On the Rockingham ministry, see Albemarle's *Rockingham and his Contemporaries*, ii. 442, etc.; Fitzmaurice's *Shelburne*, iii. ch. 5; Russell's *Memorials of Fox*, i. 290, 294, and *Life of Fox*, i. 281, ii. ch. 16; Walpole's *Last Journals*, ii. 524-544; *Bancroft*, x. ch. 27, 28; Adolphus's *England*, iii. ch. 46-49; Belsham's *England*, vii. 325; *Memoirs of the Court and Cabinets of George III.* — Ed.]

³ Bancroft, ix. 143.

⁴ *Ibid.* ix. 477.

* [From the London (1801) ed. of *Junius*. Dance's picture is in Lodge's *Portraits*. For a modern woodcut, see *Harper's Mag.*, lxvi. 667. For a medal, see *Pict. Hist. England*, v. 66. — Ed.]

friends of America were Burke, who became paymaster-general, and Conway, the commander-in-chief. The cabinet also comprised Lord John Cavendish as chancellor of the exchequer, Lord Keppel as first lord of the admiralty, Lord Camden, the eminent lawyer, as president of the Council, the Duke of Grafton as privy seal, and Lord Shelburne as home and colonial secretary. The last appointment was viewed by the Amer-



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icans with mistrust. Shelburne had given out in 1776 that he would never serve with any man who would consent to the independence of America,¹

¹ Bancroft, x. 152.

* [From the *Political Mag.*, ii. p. 157. Cf. another contemporary engraving in *London Mag.* (1770, p. 481). The likenesses painted by Reynolds, and the engravings of them, are noted in Hamilton's *Engraved Works of Reynolds* (pp. 28, 172). One is engraved, for instance, in Woodfall's *Debates of Parliament* (vol. ii., in 1704) Opie's picture is given in Lodge's *Portraits*: that of Ozias Humphrey in Russell's *Life and Times of Fox*. Cf. the Duke of Buckingham's *Memoirs of the Court and Cabinets of George III.*, vol. iv. (1835). Portraits are often found in editions of Junius, like the London edition of 1801 (vol. ii.) Cf. *Harper's Magazine*, lxvi. 672.

There are contemporary likenesses of Camden in *Gent. Mag.*, Dec., 1770; *European Mag.*, May, 1788. Cf. Lodge's *Portraits* (by Dance), editions of Junius, etc.

For Reynolds's portraits of Burke, and the engravings of them, see Hamilton's *Engraved Works of Reynolds*, pp. 12, 187. Cf. editions of Junius, editions of Burke's *Works*, *Harper's Mag.*, lxvi. 671, etc.

For likenesses of Mansfield, see Lodge (by Reynolds), and editions of Junius. — ED.]



NORTH.



SANDWICH.



GRAFTON.



BARRÉ.



KEPPEL.



FOX.



SHELburne.



ROCKINGHAM.

NOTE. — Types of Caricature portraits of the day, taken from Wright's *House of Hanover* (London, 1842), vol. ii. — ED.

and he was the only member of the opposition whom the king, with his "bad opinion of Rockingham's understanding, and horror of Fox," chose to confide in.¹

Although in many respects his speeches show him to have been a statesman of enlightened views and in advance of his age, Shelburne seems to have had at times an unfortunate facility in giving offence. Some of his colleagues complained that he treated them in cavalier fashion, by withholding secrets from them and acting without their advice.² Fox especially had never forgiven him for duping him, as he considered it, in regard to the treaty of 1763,³ and there were rumors⁴ that he had an army of secret agents in his employment, on whom, according to one of Vergennes' correspondents, he expended £9,000 annually. It is perhaps, therefore, in reference to Shelburne that we find William Lee writing (April 2d)⁵ that he is delighted at "the total overthrow of the infernal Scottish junto," but is doubtful whether peace is in prospect, because, "let the new ministry be as well disposed as you or I can wish, there is still *one man* who must have a great share in the business whom no one will trust for a farthing that knows him, farther than he is bound in black and white." Vergennes seems to have shared



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the general opinion, however ill-grounded, and he wrote, in June,⁶ "Shelburne still shows the duplicity with which he has been always credited."

But whether these suspicions of Shelburne's sincerity had any just foundation, or were rather the consequence of a reserve which was constitutional to him, he certainly opened his new official career in a statesmanlike and conciliatory spirit by sending, on April 6th, a friendly message to Franklin, introducing Richard Oswald,⁷ a retired Scotch merchant, whom

¹ Fitzmaurice, iii. 131.

² Lecky, iv. 226, 228, 230, 239, 257.

³ *Enc. Brit.*, "Lansdowne."

⁴ *Jay MSS.*, xix.

⁵ *Jay MSS.*, xix.

⁶ June 6th. *Jay MSS.*, xix.

⁷ Sparks's *Franklin*, ix. 240.

* [From the London, 1801, edition of *Junius*. Reynolds's picture is in Lodge's *Portraits*. Cf. for woodcuts, *Pict. Hist. England*, v. 170; *Harper's Mag.*, lxvi. 674, etc. As to Shelburne, Lecky (iv. 230) carefully studies him, and gives references, including Walpole's *Last Journals*, ii. 566, 623, etc. Cf. *Macmillan's Mag.*, March, 1878; Russell's *Life and Times of Fox*, ii. ch. 17. Brougham failed to leave us a sketch of Shelburne, because he feared his friendship for the son of that minister would be thought to have influenced his views. — ED.]

he considered the fittest instrument to employ, as being "a pacifical man, and conversant in those negotiations which are most interesting to mankind," and therefore likely to be on easy and friendly terms with the Americans. Oswald held informal conversations with Franklin and Vergennes, which had little result except to contradict the impression which Shelburne had derived from Digges, that there was a chance of the Americans consenting to hold a confidential discussion with England which should be kept a secret from France.¹ He took back with him some notes of Franklin's for Shelburne's enlightenment, suggesting that England should cede Canada, and Congress compensate the Tories.

While Oswald was in France, Laurens, who was then a prisoner in England, was taken into counsel by Shelburne, and commissioned to visit Adams, in Holland, to learn his intentions.² From the English point of view this mission was a failure. Laurens concurred with Adams that a separate peace was impossible, and was said to have railed against the English ministry with something of the peevishness of age and ill-health.³ Richmond, he said, was the only one who seemed to have integrity and force of character. Rockingham was virtuous, but feeble, and all the rest were as false and insidious as their predecessors, without possessing the same talents, and were much disposed to flatter the king's desire to refuse American independence.

These English overtures caused Vergennes great uneasiness. Luzerne had warned him that Laurens would have to be watched closely, and he now heard from Vanguyon, in Holland, that Laurens was being employed as a go-between by Shelburne.⁴ Vergennes' correspondents in London wrote that England was only waiting to detach America from the alliance before formally resuming hostilities,⁵ and that France should renew the war at once, so as to give the Americans no excuse for negotiating. "A formal declaration of war would sorely embarrass the Americans." A rumor was started that America was going to be granted a constitution like that of Ireland, — a dependence upon the sovereign instead of Parliament. In short, Vergennes feared that America was escaping from his control, and he urged Florida Blanca to give her some token of Spanish good-will and encouragement, while on the other hand he assured Congress, through Luzerne, of the fidelity of France to the cause,⁶ and asked them to announce publicly that the seat of negotiations could only be in Europe, and to refer English commissioners in America to their ministers in Europe, who were provided with instructions. He was disturbed, too, by the efforts of England to tamper with the fidelity of the Dutch.⁷ English emissaries were in Holland; Fox was making offers of an armistice to Simolin, the Dutch am-

¹ Sparks's *Franklin*, ix. 245; *Jay MSS.*, v. 1.

² *Jay MSS.*, v. [On Laurens's release from the Tower, see Madison's *Debates*, i. 175; Rives's *Madison*, i. 346; Parton's *Franklin*, ii. 404. — Ed.]

³ *Jay MSS.*, v. 2

⁴ *Jay MSS.*, i.; xi. 1.

⁵ *Ibid.*, v. 1.

⁶ *Secret Journals of Congress*, 28th May, iii. 133.

⁷ April 18th. *Jay MSS.*, vi. 1.

bassador; and, worst of all, Russia, the would-be mediator, was showing a pronounced partiality for England, and a desire, as Vérac, the Russian minister, informed him, to detach Holland from the other belligerents, in order to strengthen England against the house of Bourbon.¹

So long as England could reach the Americans only through the medium of France, the task of negotiating with them properly belonged to the foreign secretary, Fox. But if treated with separately, in their character as colonies, they fell under Shelburne's jurisdiction. The cabinet compromised the matter by deciding, on April 23, to send negotiators to both Franklin and Vergennes.² Oswald, whom Franklin had found very acceptable, was sent as Shelburne's representative to arrange preliminaries with America, and Thomas Grenville on behalf of Fox to negotiate with Vergennes. Naturally, the provinces of these two commissioners overlapped, in so far as the American negotiation came indirectly into Grenville's province; and apart from the fact that they were commissioned by two statesmen who hardly disguised their mutual dislike, there was little in common between the quiet merchant and the young and ambitious politician.³ Oswald, however, never showed a wish to trespass into Grenville's department. "It would have been wrong in me," he said at a later date, July 11, "to meddle in it in any shape, and so cautious I was that I scarce asked him any question as to the progress of his affairs."

The instructions⁴ given to Oswald by Shelburne insisted on two points as especially important: First, that if America was to be independent, her independence was to be complete, — without any "secret, tacit, or ostensible connection with France"; secondly, that he must "make early and strict conditions, not only to secure all debts whatever due to British subjects, but likewise to restore the loyalists to a full enjoyment of their rights and privileges. Lord Shelburne will never give up the loyalists." The suggestions in Franklin's notes as to reparation to the Americans and ceding Canada were to be dismissed as out of the question. Finally, he was to avoid being too submissive in tone. "Dr. Franklin should not be deceived by the cry of the country for peace."⁵ The country at large is no way reconciled to independence. Many important people are quiet for the present, counting upon Lord Shelburne's resisting it."

Grenville, who arrived at Paris on May 7, three days after Oswald, was instructed by Fox to offer American independence to France in return for a peace on the basis of the treaty of 1763; and in case the treaty should be found impracticable on account of points in which America had no concern, "it will be very material that you should endeavor to discover whether there may not be a prospect of a separate peace between Great

¹ *Jay MSS.*, vi. 1. April 26th, May 10th, May 21st.

² Fitzmaurice's *Shelburne*, iii. 183.

³ Sparks's *Franklin*, ix. 336, etc.

⁴ [Memoranda, April 28, 1782, given by Shelburne to Oswald, are in Sir George Cornwall

Lewis's Administration of Great Britain (p. 47) where also (p. 82) will be found portions of Oswald's diary in May and June, 1782. Oswald's letters are copied in the *Sparks MSS.*, no. xl. — ED.]

⁵ *Jay MSS.*, xvii. 1.

Britain and America.”¹ His first interview with Vergennes, May 8, made it evident that France expected a better bargain than American independence.² The French minister smiled at the proposed exchange, and pointed out that the cession of independence amounted to little, because America was practically independent already. Besides, as he said, England herself had never been satisfied with merely achieving the object of a war when she had ended it successfully. Seeing little hope in that direction, Grenville next undertook to discover whether, if France proposed impossible terms, America would consent to continue the war for the purpose of exacting them, and how far binding Franklin considered her engagements to France. Nothing definite could be elicited from the latter, except that “America was free from every sort of engagement but those which existed in the two public treaties of commerce and alliance, and that those two treaties were such as any other nation was free to make with America.” This encouraged Grenville, however, to suggest in a letter to Fox, on May 14, that as France and Spain were encouraged to make extravagant claims by their reliance on the support of America, it was a question³ “whether by giving in the first instance independence to America, instead of making it a conditional article of general treaty, we might not gain the effects though not the form of a separate treaty; whether America, once actually possessed of her great object, would not be infinitely less likely to lend herself to other claims; whether, too, the treaty now forming with Holland would not so be baffled in its object. . . . Dr. Franklin’s conversation has at different times appeared to me to glance towards these ideas. While he was with me this morning, he went so far as to say that when we had allowed the independence of America, the treaty she had made with France for gaining it ended, and none remained but that of commerce, which we too might make if we pleased. . . . He had, too, once before said that in forming a treaty there should, he thought, without doubt, be a difference in a treaty between England and America and one between England and France, that always had been at enmity. . . . He rested much upon the great effect that would be obtained by some things being done *spontaneously* from England.”

The foregoing letter seems to have struck the keynote of the subsequent policy of Fox, and, to a certain extent, of the cabinet. An additional incitement to resist exorbitant French claims was the victory of Rodney over De Grasse, news of which arrived on the evening of the day (May 18) on which the cabinet agreed to give full powers to Grenville to negotiate.⁴ Three days afterwards Fox wrote acknowledging Grenville’s letter, and desiring him to explain to the American ministers how difficult the work of peacemaking would be if France and all her allies were brought into the American negotiation.⁵ “It will surely be easy enough to show the Amer-

¹ *Fay MSS.*, viii. 1.

² Sparks’s *Franklin*, ix. 273.

³ *Fay MSS.*, viii. 3.

⁴ Fitzmaurice, iii. 194.

⁵ *Fay MSS.*, viii. 4.

icans how very unreasonable it is that in a negotiation for peace they should be encumbered by powers who have never assisted them during the war, and who have even refused to acknowledge their independence." The objects of the cabinet, he said (May 21, 1782), if France made claims impossible to grant, were two: to detach some of the present allies of France, and to gain allies for England. This could be done by convincing America and Holland that England had done her best to effect a reconciliation, and that if these nations persisted in the war it was for the sole purpose of aggrandizing the house of Bourbon. Grenville was to "cultivate Dr. Franklin and the Dutch minister in a peculiar manner."

Such were the grounds of the policy formally initiated by the cabinet on May 23, when they determined to propose the independence of America "in the first instance."¹

The true policy of England, as Fox understood it, was in substance as follows: to comply with the conditions of the French alliance by combining the French and American negotiations, and entrusting the whole to a single English commissioner, but at the same time to sever the allies in spirit, and convince America of England's sincerity and good-will by making a free grant of American independence unconditionally, and thus throwing the blame of delaying peace upon the cupidity of France, — in a word, to effect a virtual if not a formal separation.² But this rather politic and conciliatory plan gave way to the spiritless measure of bargaining over the grant of independence. The king and Shelburne were determined not to give it away without an equivalent. "I am apprised that Lord Shelburne," the king wrote in July,³ "though he has gone great lengths at the expense of his opinion in giving way as to American independence, if it can effect peace, would think he received advice in which his character was not attended to, if he intended to give up that, without the price set on it which alone could make this kingdom consent to it." A compromise was still thought possible: something was hoped for from the mission of Sir Guy Carleton, and from the stray hints which it was thought Franklin had let fall to Grenville of the possibility of the league between France and America being dissolved.⁴

¹ Fitzmaurice, iii. 195. The great advantage of this move, Fox wrote to Grenville on May 26th, was, that henceforward it would be clear to the American agents that any obstacle to the recognition of their cherished independence would come from the selfishness of France, and that it would doubtless appear "unreasonable and intolerable to any honest American that they, having gained the point for which they contested, should voluntarily and unnecessarily submit to all the calamities of war without an object, till all the powers in Europe shall have settled all the various claims and differences which they may have one with the other, and in which it is not even pretended that America has any interest whatever, either near or remote. . . . It has

often been stipulated between allied powers that one shall not make peace until the other has attained some specific object named in the treaty; but that one country should bind herself to another to make war till her ally shall be satisfied with respect to all the claims she may think fit to set up — claims undefined and perhaps unthought of at the time of making the engagement — would be a species of treaty as new. I believe, as it would be monstrous." (*Fox MSS.*, viii. 6, 7.)

² Fitzmaurice, iii. 195.

³ *Ibid.* iii. 220.

⁴ Sparks's *Franklin*, ix. 348; Shelburne's letter to Carleton.

Another departure from the policy recommended by Fox was the decision of the cabinet to retain Oswald as negotiator. Fox argued that the cabinet minute of May 23d placed America on the footing of an independent nation, and therefore within the range of the foreign department, so that Oswald's services might be dispensed with. The cabinet, however, thought it judicious to defer to Franklin's liking for Oswald, who had returned to England, and was now (May 26th) sent back to France, with instructions to offer independence as the price of peace, and to urge the claims of the loyalists.

The result of this arrangement was that Oswald, although the accredited agent of Shelburne, could receive no powers to treat until the enabling act was passed, and that Grenville's powers only authorized him to treat with France. The omission of America Grenville explained to Vergennes and Franklin to be accidental, not understanding that it was the cabinet's intention still to keep independence as a *dernier ressort*, and he claimed the right of negotiating with America upon this commission, in spite of its defectiveness.¹ His explanation only excited the suspicions of Franklin and Vergennes, who looked upon the wording of his powers as an insidious attempt to separate the allies, and Vergennes' insinuations of English bad faith now seemed plausible enough.² On June 14th, in answer to his request, Grenville received new powers, authorizing him to treat with France and "any other prince or state." To reassure Franklin as to the meaning of the addition, he announced that he was empowered to declare independence previous to the treaty. But the enabling act had not been passed as yet, and Franklin doubted whether the words could be interpreted to refer to America. "I find myself," he wrote on June 17th, "in some perplexity with regard to these two negotiators. . . . Lord Shelburne seems to wish to have the management of the treaty. Mr. Fox seems to think it in his department. I hear that the understanding between these ministers is not quite perfect. . . . Mr. Oswald does not solicit to have any share in the business, but, submitting the matter to Lord Shelburne and me, expresses only his willingness to serve if we think he may be useful, and is equally willing to be excused, if we judge there is no occasion for him. Mr. Grenville seems to think the whole negotiation committed to him, and to have no idea of Mr. Oswald's being concerned in it, and is therefore willing to extend the expressions in his commission so as to make them comprehend America, and this beyond what I think they will bear."³

Meanwhile, the informal conversations of Oswald with Franklin on the terms of peace had been little gain to England, because Oswald had incautiously assented to all Franklin's suggestions, and found that "nothing could be clearer, more satisfactory and convincing" than the arguments for ceding Canada, which he thought had made an impression on the ministry. On June 3d he said that peace was absolutely necessary for England, whose

¹ Sparks's *Franklin*, ix. 305.

² *Ibid.* ix. 299.

³ Sparks's *Franklin*, ix. 335, 336.

enemies might do what they pleased with her ; and he agreed with Franklin that America could not be expected to compensate the loyalists.¹

Grenville's dissatisfaction at his anomalous position, side by side with Oswald, came to a head when Oswald spoke to him of the Canada paper which he had submitted to Shelburne, and of Shelburne's offer to give him a separate commission to treat. He wrote to Fox on June 4th,² complaining of "the embarrassments arising from two people negotiating to the same purpose, but under different and differing authorities, concealing and disguising from one another what with the best intentions they could hardly make known." He said that he had heard Oswald already spoken of as "Lord Shelburne's ambassador," and mentioned the Canada paper as proof of a secret negotiation. Fox was warmly indignant at what he termed Shelburne's "duplicity of conduct."³ He showed Grenville's letter to Lord Rockingham and Lord John Cavendish, who were, he said, "as full of indignation at its contents as one might reasonably expect honest men to be." When the enabling act was passed, and the cabinet decided to appoint Oswald as separate commissioner, and to reject the proposal of Fox that independence should be unconditionally recognized, Fox declared his intention of resigning.⁴ Immediately afterwards (July 1st) came the death of Rockingham, which left the ill-assorted ministry without a head, and led to the reorganization of the ministry under Shelburne.

These unfortunate disputes had a prejudicial effect upon the negotiations. They caused a general impression of the weakness and insincerity of England, and thereby made the connection between the allies all the closer. Franklin even suggested a new engagement, by which it was to be a common cause for all the allies, if England singled out one of them to make war with after the treaty.⁵ In June the prospects of peace seemed very remote. Vergennes wrote to Montmorin that the Rockingham and Shelburne parties were measuring swords, so that more delays were certain.⁶

The contention between Shelburne and Fox in regard to America was partly a result of the continuance of the king's opposition to the acknowledgment of independence.⁷ The former's hesitating and reluctant acquiescence in what he considered ruinous to the empire was a faithful reflection of the king's feelings. Even at this stage of the controversy Shelburne's aversion to the measure was as pronounced as ever, and he said on July 10th that whenever the British Parliament should recognize the sovereignty of the thirteen colonies, the sun of England's glory was forever set. On the other hand, the policy of Fox was inspired by a popular liberalism that saw in the recognition nothing more than an amicable acknowledgment of what already existed in fact, and the straightforward and

¹ Sparks's *Franklin*, ix. 252, 267, 311.

² *Lecky*, iv. 249.

³ *Fitzmaurice*, iii. 210.

⁴ *Ibid.* iii. 219.

⁵ *Ibid.* iii. 320.

⁶ *Fay MSS.*, xix. 2.

⁷ *Fitzmaurice*, iii. 220.

spontaneous offer of independence which he spoke for in the cabinet of Rockingham was adopted by Shelburne himself when he found it to be inevitable.¹

However feeble the attempts of the Rockingham ministry were at ending the American quarrel, they have the credit of introducing a purer spirit into English politics, of initiating parliamentary and economical reform, and of diminishing the corrupt influence of the crown by abolishing useless offices and supervising the royal expenses. It was because mismanagement of the colonies was seen to be intimately connected with misgovernment at home that the champions of American liberties were equally zealous for English popular government.²

¹ [Brougham (*Statesmen*, etc.), after having sketched Burke's characteristics, turned to Fox thus: "The glory of Mr. Burke's career certainly was the American war, during which he led the opposition in the House of Commons, until, having formed a successor more renowned than himself, he was succeeded rather than superseded in the command of that victorious band of the Champions of Freedom. This disciple, as he was proud to acknowledge himself, was Charles James Fox."

Sir George Cornwall Lewis, speaking of the earlier lives of Fox, — R. Fell's *Public Life of Fox* (1808) and J. B. Trotter's *Memoirs of the latter years of Fox*, — says neither of them is at all satisfactory (*Administrations of Great Britain*, p. 2).

The *Memorials and Correspondence of Charles James Fox* (London, 1853-54. — 3 vols.), edited by Lord John Russell, had been mainly arranged beforehand by Lord Holland (Fox's nephew) and Mr. Allen, — so that the completed works show their joint labors in annotations; and from the valuable material embodied in the book, the same editor, when Earl Russell, in 1866, published his *Life and Times of Fox* (London, 1859), which fulfilled the promise, made in *Correspondence of Fox*, to give "in a connected narrative Fox's political career and the parliamentary history of his times."

Add to the general histories in consultation, Earle's *English Premiers* (ch. 7 and 8); Brougham's *Statesmen*; W. P. Rae's *Wilkes, Sheridan, Fox*, — *the opposition under George the Third* (London, 1874); Walpole's *Last Journals*; Macaulay's essay on *William Pitt*; and the standard lives of his contemporaries.

The correspondence of Grenville and Fox, while Grenville was in Paris, is given in Russell's *Memorials of Fox*, and in the Duke of Buckingham's *Memoirs of the Court and Cabinet of George III* (the second edition is somewhat improved over the first, but still badly edited), and the outline of the correspondence is given in Adolphus's *England* (vol. iii.). Cf. C. G.

Lewis's *Administrations of Great Britain*, p. 38.

The difference which separated the views of Shelburne and Fox we may expect to find perpetuated in Fitzmaurice's *Shelburne* and in Russell's *Memorials and Life and Times of Fox*. Cf. *A complete and accurate account of the very important debate in the House of Commons, July 9, 1782, in which the cause of Mr. Fox's resignation and the question of American Independence came under consideration* (London, 1782). — ED.]

² As early as 1758, the letter of a British general quoted by Sir Thomas May, makes this frank statement in regard to the regrettable extent to which patronage in England had lowered and demoralized the civil service in America: "As for civil officers appointed for America, most of the places in the gift of this Crown have been filled with broken-down members of Parliament, of bad if any principles, *valets de chambre*, electioneering scoundrels, and even livery-servants. In one word, America has been for many years made the hospital of England." The treatment of America thus plainly stated helps to explain the interesting fact that American revolt inaugurated British civil-service reform.

In 1780 there was a wide-spread agitation against the undue influence of the crown, and of the patronage and corruption by which it was maintained. Burke's Reform Bill in 1781 was directed against the royal expenses and corrupt influence in the army. It was supported by Pitt, who in 1783 brought in a reform bill, and another was introduced by the Duke of Richmond, while largely signed petitions came in for "Parliamentary and economical reform." Under the Rockingham ministry a higher tone of opinion prevailed, with restraints on the issue of secret-service money, and a cessation of the bribery of members. The mistakes and disasters of the American war were attributed to the misuse of the sovereign power and the servility of Parliament. Pitt's motion for a committee of inquiry into parliamentary representa-

The year 1782 found Jay still in Spain, waiting upon the pleasure of the Spanish cabinet. It has been mentioned that in September, 1781, he submitted to Florida Blanca certain propositions for a treaty, one of which, in accord with the instruction of Congress passed at the instance of Luzerne, was the abandonment to Spain of the navigation of the Mississippi below latitude of 31°, an offer to which, on his own responsibility, he added the declaration that, unless the proposed treaty was concluded before a general peace, the United States should not be bound by their offer to surrender the navigation.¹

To these propositions Florida Blanca responded with coldness and pretexts for delay, and showed no disposition to recognize American independence. The appointment of a successor to Mirales, as a representative of Spanish interests in America, was indefinitely postponed,² and the alliance anticipated by Congress seemed further off than ever. "I am surprised," Franklin wrote to Jay,³ "at the dilatory and reserved conduct of your court. I know not to what amount you have obtained aids from it, but, if they are not considerable, it were to be wished you had never been sent there, as the slight they have put upon our offered friendship is very disreputable to us, and, of course, hurtful to our affairs elsewhere. I think they are short-sighted, and do not look very far into futurity, or they would seize with avidity so excellent an opportunity of securing a neighbor's friendship, which may hereafter be of great consequence to their American affairs." Jay was of opinion that America had now everything to gain by postponing a treaty with Spain.⁴

Spain was becoming engrossed by her designs upon Gibraltar.⁵ "This is the only object in the whole world," Vergennes wrote, May 4th, "that the Spanish ministry can see: they refer everything to it, and they are absolutely indifferent to whatever is not calculated directly to assure its conquest." Under these circumstances there seemed to be nothing which Jay could at this time accomplish in Spain, and he willingly complied with a request from Franklin to join him at Paris. "Here you are greatly wanted," Franklin wrote,⁶ April 22d, "for messengers begin to come and go, and there is much talk of a treaty proposed; but I can neither make nor agree to con-

tion, May 7, 1782, was rejected by a majority of twenty, the best division that the reformers ever had until 1831. At no time, perhaps, says Mr. John Fiske, since the expulsion of the Stuarts, had so much been done towards purifying English political life as during the spring of 1782. See Dorman B. Eaton's *Civil Service in Great Britain*, pp. 122, 128, and Lecky's *History*, iv. 240.

¹ *Dipl. Corresp.*, vii. 499. [On the navigation of the Mississippi, see Rives's *Madison* (i. 243, 247); Eugene Schuyler's *American Diplomacy* (ch. 6). The statement of Congress in 1780, in answer to the Spanish denial of the American right, is in Pitkin (ii. 512). Cf. instructions to

Franklin and Jay, Oct. 17, 1780, in Madison's *Letters, &c.*, iv. 441; also see 458-464. Cf. on the grounds of the boundary on the Mississippi, the *Journals of Congress: Madison's Debates and Correspondence* (vol. ii.). — ED.]

² *Dipl. Corresp.*, ix. 31.

³ *Ibid.* viii. 58.

⁴ "Time," he said, "would secure advantages to us which we should now be obliged to yield. Time is more friendly to young than to old nations, and the day will come when our strength will insure our rights."

⁵ *Jay MSS.*, ix. 2.

⁶ Sparks's *Franklin*, ix. 212.

ditions of peace without the assistance of my colleagues. Mr. Adams, I am afraid, cannot just now leave Holland, Mr. Jefferson is not in Europe, and Mr. Laurens is a prisoner, though abroad upon parole. I wish, therefore, that you would resolve upon the journey, and render yourself here as soon as possible. You would be of infinite service." Jay arrived at Paris June 23d, with his family, after a tedious journey attended by illness and delay, to the relief of Franklin,¹ who on the following day presented him to Vergennes, by whom he was very cordially received.

He wrote, June 26th, to the Count de Montmorin at Madrid: "What I have seen of France pleases me exceedingly. . . . No people understand doing civil things so well as the French. The aids they have afforded us received additional value from the generous and gracious manner in which they were supplied." Of Vergennes he wrote favorably to Livingston; and of Franklin, now in his seventy-seventh year, while Jay was but thirty-seven, he said: "I have endeavored to get lodgings as near to Mr. Franklin as possible. He is in perfect health, and his mind appears more vigorous than that of any man of his age I have known. He certainly is a valuable minister and an agreeable companion." On the 29th, Franklin and Jay waited by appointment on the Spanish ambassador, the Count d'Aranda, who received them with particular courtesy, and revived the subject of a treaty; for the Spanish court had become disposed to conciliate America since hearing of the overtures of the British ministry, which seemed to them to threaten a separation of America from the common cause, or else a general peace to be forced on Spain before she had secured the results which she hoped to accomplish by the war. D'Aranda² had actively instigated Spain to join in the war; but of late, although accused of French sympathies, he had been reflecting the narrow caution of his court too faithfully to win Vergennes' approval. "It is very strange," the latter wrote, June 15th, to Montmorin, "that the Spanish cabinet repays our frankness and cordiality by reticence;" and in October he told the English commissioner that D'Aranda's peculiarity of temper had given the proposals the most ungracious and inauspicious appearance possible.

One remark of D'Aranda, not without interest to the American negotiators, was that Spain intended to make her grievances entirely distinct from the cause of America, with whom Vergennes admitted Spain had never had anything in common.³ D'Aranda's instructions, Montmorin learned, directed him to take the convention of Aranjuez (April 12, 1779) as a basis

¹ Sparks's *Franklin*, ix. 338.

² The Count d'Aranda, a grandee of Aragon, had been Spanish ambassador for the past nine years at Paris, where he kept an establishment of princely magnificence. Jay regarded D'Aranda as the ablest Spaniard he had met. Before his mission to France he had been at the head of the Spanish ministry, and in high favor with Charles III. In this capacity he had shown

himself a skilful and innovating minister, having among other reforms effected the expulsion of the Jesuits in 1767, which, coupled with his attacks upon the Inquisition, brought the influence of the Church so strongly against him that he was forced to resign. He was a man of strong will and independent opinion, and was at this time in his sixty-third year.

³ *Jay MSS.*, ix. 8.

when settling the conditions of peace.¹ Among the advantages to be secured were the recovery of Gibraltar, the possession of the river and fort of Mobile, the restitution of Pensacola and part of Florida. On the whole, the desire of the Spanish court was for further delay. Montmorin reported, July 8th, that Florida Blanca feared that Vergennes was hurrying the negotiation and disliked seeing English emissaries at Paris, because, despite the obstacle of American independence, things might arrange themselves too easily,² and Spain might be obliged to forego Gibraltar, which she wished to capture and keep without ceding anything in exchange. She had already incurred most of the expenses of her final effort to take it, and she looked upon success as almost certain;³ so that her policy was "to delay as long as possible the moment for explaining herself." "One cannot disguise from one's self the fact," wrote Montmorin, "that, in view of these circumstances, it is almost solely on behalf of Spain that we continue the war. It is to be hoped that this truth may not be too apparent to the Americans, who have no reason to be interested in satisfying that power, and who would soon grow weary of the war if it had only this object." Vergennes, in reply, emphatically denied the charge that he was trying to hurry (*brusquer*) the negotiation at the expense of Spain. "The verbal answer to Grenville on June 21st," he said, "was drawn up solely with the view of prolonging the negotiation to gratify our desires and the convenience of our allies. In fact, the points on which I ask for arrangements to be made would take up quite six months."⁴

But both ministers were aware of the necessity of maintaining some semblance of direct negotiation with England in order to keep the negotiation out of the hands of the mediating powers, whose partiality for England was almost a certainty, and who were now renewing their offers. The danger of mediation was a constant theme of Vergennes' letters to Spain during the summer. Kaunitz, the Austrian minister, was described by him as thinking it better that the war should last forever than end without the intervention of the mediators.⁵ By polite and apologetic replies the two courts succeeded in evading the offers.

Meanwhile, the American negotiation was temporarily at a standstill. Grenville's commission, authorizing him to treat with any prince or state besides France, was deemed insufficient, and Oswald was as yet unauthorized to treat. A letter from Franklin, expressing hopes of Oswald's appointment to the post of separate commissioner to treat with America, was forwarded by the latter to Shelburne, July 8th, and two days afterwards Franklin sent Oswald the outline of conditions which he considered might form the basis of a treaty under the categories of *necessary* and *advisable* articles. The "necessary" articles were independence, a settlement of the boundaries, a confinement of the boundaries of Canada, and

¹ *Jay MSS.*, ix. 2.

² *Ibid.* x. 2.

³ *Ibid.* x. 334.

⁴ *Ibid.* x. 1.

⁵ *Ibid.* vi. 1, 2.

freedom of fishing on the banks of Newfoundland and elsewhere. The "advisable" were an indemnity to those who had suffered by the war, a public acknowledgment of England's error, equality of commercial privileges, and the cession of Canada.

Franklin wrote (July 12) to Oswald again, suggesting the necessity of some acknowledgment, independent of the treaty itself, of the recognition by England of the independence of the United States, and adding: "Until it is made and the treaty formally begun, propositions and discussions seem on consideration to be untimely; nor can I enter into particulars without Mr. Jay, who is now ill with the influenza."

While informal conversations, carefully reported by Oswald, held by him with Franklin and Jay before he had any authority to act which was recognized by the American commissioners, had but little official significance, they seem to have prepared the way for the direct negotiation of the English and Americans, which was to follow, and where the rule laid down by Vergennes, that each nation should negotiate for itself, appears to have been suggested by the offer by Grenville of American independence as a compensation to France for the sacrifices in the war. Vergennes, who had far different views, promptly declined to regard American independence as a boon to France, and represented his refusal as a mark of respect for the rights of America. "They want," he said to Franklin, "to treat with us for you; but this the king will not agree to. He thinks it not consistent with the dignity of your state. You will treat for yourselves, and every one of the powers at war with England will make its own treaty. All that is necessary for our common security is, that the treaties go hand in hand, and are signed all on the same day."

The English ministry, on their part, were tending to the same object, in the hope of arranging matters with America separately, so that she should lose all interest in the alliance, and Grenville was charged to point out to Franklin the folly of encumbering the American negotiation with the claims of their allies.

When, agreeably to Franklin's wishes, Shelburne had offered to appoint Oswald as separate negotiator, and Franklin, on that understanding, had suggested the outline of a treaty without communicating the discussion to Vergennes,¹ whom he had hitherto kept acquainted with the English proposals through the agency of Lafayette and Rayneval, it seems to have inspired Oswald with hopes that it might be possible to put an end to the American quarrel in a short time. "When that is done," he wrote (July 10), "I have a notion that the treaty with the other powers will go more smoothly on. The Doctor did not, in the course of the conversation, hesitate, as to a conclusion with them, on account of any connection with those other states. I suppose they consider themselves restrained by their alliance with France only in the point of ratification."²

Presently (July 9), the news arrived at Paris of the change in the

¹ Bancroft, x. 556.

² Sparks's *Franklin*, ix. 328, 337, 356.

British ministry, caused by the death of Rockingham. Shelburne had succeeded to his office at the king's request,¹ and this was naturally followed by the resignation of Fox and most of his political adherents, whom the king described as "leaders of sedition." Among the latter were Lord John Cavendish, Burke, and Sheridan. William Pitt now became chancellor of the exchequer, Lord Grantham foreign, and Thomas Townshend colonial secretary. The new ministry contained many able individuals, but as a whole it lacked unity, comprising as it did many of the Rockingham Whigs side by side with Shelburne's supporters; two contending elements, linked under the headship of a minister who by some was suspected of equalling Lord North in his devotion to the views of the court.

Vigorous attacks were made upon Shelburne by Fox and Burke in the Commons: Fox denying (July 9) the sincerity of his promises of economical reform and American independence, Burke stigmatizing him as "a Catiline or a Borgia in morals."² In the House of Lords Shelburne vindicated his appointment as a rightful exercise of the authority of the crown, and, while admitting his aversion to the idea of American independence,



THE YOUNGER PITT.*

said that he felt the necessity of giving way, and that the insinuations thrown out relative to a change of system towards America were totally without foundation.³ The tone of his speech, however, was such that Vergennes criticised it as a declaration hostile to America and contradictory to the assertions of Grenville. It seemed to confirm the rumors which had lately been reaching Franklin, that the new ministry intended to retreat from its promises.⁴ He also heard from England that one of the

¹ Lecky, iv. 258; Fitzmaurice, iii. 226.

² Fitzmaurice, iii. 233. [The principal record of Burke's career is Thomas Macknight's *Hist. of the life and times of Edmund Burke* (London, 1858, in 3 vols.); he has used the Cavendish debates, published and unpublished.

The works and correspondence of Edmund

Burke (8 vols., London, 1852) is deficient in a part of his correspondence. (Cf. Macknight, pp. ix, x. — Ed.]

³ *Ibid.* iii. 241.

⁴ "It is now intimated to me," Franklin wrote (July 11), "from several quarters, that Lord Shelburne's plan is to retain the sovereignty for

* [From the *European Magazine*, January, 1784. Cf. lives of Pitt, Woodfall's *Debates in Parliament* (1794), Lodge, etc., etc. Bishop Tomline's *Life of Pitt* (1811, in 3 vols.) is superseded by Earl Stanhope's *Life of the Rt. Hon. Wm. Pitt* (London, 1802, 4 vols.), who used Pitt's unprinted correspondence with George III. — Ed.]

differences between Shelburne and Fox was upon the subject of acknowledging independence.¹ Oswald immediately wrote to Shelburne to ask whether there was any truth in the rumor of a reserve in the grant of independence. Shelburne replied emphatically (July 27) in the negative.²

Parliament rose on the 11th, and Shelburne, in the words of his biographer, dispatched to Paris "Benjamin Vaughan, the political economist, an intimate friend of Franklin, to give private assurance to the latter that the change of administration brought with it no change of policy." Shelburne also ordered the attorney-general to draw up a commission which empowered Oswald "to treat, consult, and conclude with any commissioner or commissioners named or to be named by the said colonies or plantations, and any body or bodies corporate or politic, or any assembly or assemblies, a peace or truce with the said colonies or plantations, or any of them, or any part or parts thereof." His instructions authorized him to concede independence if necessary; "our earnest wish for peace disposing us to purchase it at the price of acceding to the complete independence of the thirteen States." He was also instructed to claim the debts incurred before 1775, the restitution of confiscated property, and an absolute severing of all American engagements to European powers.³

Grenville by this time had left Paris. Shelburne had offered to retain him, but Grenville had no sooner received word of Shelburne's appointment than he wrote for leave to resign. His successor was the English minister at Brussels, Alleyne Fitzherbert (afterwards Lord St. Helens), whom Townshend commended in a letter to Oswald as "a person of whose talents and discretion I have the highest opinion, founded on a long acquaintance." There was to be constant communication between the two ministers, and throughout the negotiation they were on excellent terms. "It is extremely to my interest," Fitzherbert wrote (August 17), "to cultivate Mr. Oswald's acquaintance on my own private account, the extensive and almost universal knowledge he is possessed of being the only source I can resort to here."

Fitzherbert arrived at Paris about the beginning of August, but found that no negotiation could begin with France until Oswald had received his

the king, giving us otherwise an independent Parliament, and a government similar to that of late intended for Ireland."

¹ Sparks's *Franklin*, ix. 362, 365, 374.

² "There never have been two opinions, since you were sent to Paris, upon the most unequivocal acknowledgment of American independence. But to put this matter out of all possibility of doubt, a commission will be immediately forwarded to you, containing full powers to treat and to conclude with instructions from the minister who has succeeded to the department which I lately held, to make the independency of the colonies the basis and preliminary of the treaty now depending and so far advanced, that hoping, as I do, with you, that the articles called advis-

able will be dropped, and those called necessary alone retained as the ground of discussion, it may be speedily concluded. You very well know I have never made a secret of the deep concern I feel in the separation of countries united by blood, by principles, habits, and every tie short of territorial proximity. But you very well know that I have long since given it up, decidedly though reluctantly. You will find the ministry united, in full possession of the king's confidence, and thoroughly disposed to peace, if it can be obtained on reasonable terms; if not, determined to have recourse to every means of rousing the kingdom to the most determined efforts." (*Fitzmaurice*, iii. 247, 248.)

³ *Ibid.* iii. 249, 251.

full powers. Both treaties, Vergennes said, were to go on together hand in hand. On August 6th, the copy of the promised commission came. Oswald immediately carried it to Franklin, who made no comment upon its wording, and afterwards he visited Jay, who had now recovered from his illness, and whom he found "a man of good sense, of frank, easy, and polite manners." Jay's conversation, however, on the subject of independence had "a freedom of expression and disapprobation as shows we have little to expect from him in the way of indulgence, and I may venture to say that, although he has lived till now as an English subject, he may be supposed as much alienated from any particular regard for England as if he had never heard of it in his life. I sincerely trust I may be mistaken, but I think it proper to make the remark, as Mr. Jay is Dr. Franklin's only colleague, and being a much younger man, and bred to the law, will of course have a great share of the business assigned to his care."¹

The commission was next submitted by Franklin and Jay to Vergennes, who remarked upon its form as unusual, and as requiring time to consider, and promised to give them his opinion two days later. On the 10th of August the American commissioners went to Versailles to hear the opinion of Vergennes. A paper is extant, apparently drawn up by that minister, containing certain reflections upon the commission. This paper² begins by arguing that the bill of July 25 is not a domestic one, because it speaks of *the*, not *our*, colonies, and sums up with the opinion that the commissioners should reply that they accept it on condition the court of London agrees to accept the full powers given them by Congress, and with a question whether the acceptance by Oswald of the commissioners' powers is not in itself enough. There are references also to the matter in some of the letters of Vergennes. To Montmorin he wrote (August 22), "The American demand for a preliminary recognition of independence is putting the effect before the cause;" and to Luzerne (September 7) he wrote that negotiations should begin, whether England accepted the demand for a recognition of independence or not.³ Vergennes, in his conference with Franklin and Jay, advised them to treat with Oswald under the commission as soon as the original should arrive. Jay observed to him "that it would be descending from the ground of independence to treat under the description of colonies." He replied that names signified little; that the king of Great Britain styling himself the king of France was no obstacle to the king of France treating with him; that an acknowledgment of our independence, instead of preceding, must, in the natural course of things, be an effect of the treaty, and that it would not be reasonable to expect the effect before the cause; adding that Oswald's acceptance of their powers would be a tacit admission of their independence. Franklin also said, "he believed the commission would do."⁴

¹ Sparks's *Franklin*, ix. 377.

² *Jay MSS.*, xi. 1.

³ "Il faut en politique savoir ceder sur la forme lorsqu'on a lieu d'être satisfait pour le fond."

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⁴ It will be remembered that Vergennes had uniformly represented himself to Congress as insisting upon the admission of an American plenipotentiary to the proposed congress for

On returning from Versailles, Jay imparted to Franklin his theory of Vergennes' motives. He thought that Vergennes wished to postpone the acknowledgment until the objects of France and Spain had been secured, "because, if we once found ourselves standing on our own legs, our independence acknowledged, and all our other terms ready to be granted, we might not think it our duty to continue in the war for the attainment of Spanish objects. I could not otherwise account for the minister's advising us to act in a manner inconsistent with our dignity, and for reasons which he himself had too much understanding not to see the fallacy of. The Doctor imputed this conduct to the moderation of the minister, and to his desire of removing every obstacle to speedy negotiations for peace. He observed that this court had hitherto treated us very fairly, and that suspicions to their disadvantage should not be readily entertained. He also mentioned our instructions as further reasons for our acquiescence in the advice and opinions of the minister."¹

The correspondence of Montmorin with Vergennes at this time confirms Jay's view that Spain was reluctant to see independence granted to America. Florida Blanca feared, as Montmorin wrote, August 12th, that when a point of such interest to France was once determined, France might show herself too ready to yield the interests of Spain. He fully realized the adroitness of Shelburne proposing to offer by the treaty unconditional independence.² "In fact, if the offer is not immediately followed by peace, it will not be difficult to persuade the Americans that the continuation of the war has an

peace, and upon the acknowledgment of American independence as a preliminary to all negotiation. Thus, on July 12, 1779, Gérard told Congress that the court of London was rejecting the very idea of a formal and explicit acknowledgment of the independence of the United States, which his most Christian Majesty perseveres to hold up as a preliminary and essential condition; and in January, 1782, Louis XVI replied to the mediating courts that he was deprived of his hopes for peace by the English court's invariable resolution to regard and treat the Americans as its subjects. Vergennes' language implied that America's dignity required that she should be treated as a party to an agreement, not as a subject asking for pardon, and that France was as attentive to securing the proper recognition of America's place among the nations as to her own interests. The argument that independence was properly the *effect* of the negotiation was not in accord with Vergennes' former contentions, that the grant of independence was no favor which deserved a return, seeing that the Americans had won it already.

¹ *Dip. Corres.*, viii. 135. Jay's views on this point were thus further expressed to Livingston in a later letter, Sept. 18th: "I am persuaded (and you shall know my reasons for it) that this court chooses to postpone an acknowledg-

ment of our independence by Britain to the concurrence of a general peace in order to keep us under their direction until only their and our objects are attained, but also until Spain shall be gratified in her demands to exclude everybody from the gulf, &c. We ought not to let France know that we have such ideas. While they think us free from suspicion they will be more open, and we should make no other use of this discovery than to put us on our guard. Count de Vergennes would have us treat with Mr. Oswald, though his commission calls us colonies, and authorizes him to treat with any description of men, &c. In my opinion, we can only treat as an independent nation and on an equal footing. . . . This court, as well as Spain, will dispute our extension to the Mississippi. You see how necessary prudence and entire circumspection will be on your side, and, if possible, secrecy. I ought to add that Dr. Franklin does not see the conduct of this court in the light I do, and that he believes they mean nothing in this proceeding but what is friendly, fair, and honorable. Facts and further events must determine which of us is mistaken. . . . Let us be honest and grateful to France, but let us think for ourselves."

² *Jay MSS.*, ix. 6.

entirely different object from their interests." The only way of retaining them, in that case, as Florida Blanca suggested, would be to convince them that their independence would lack stability if it was not stipulated in a general treaty of peace, and guaranteed by all the powers taking part in the treaty. Meanwhile, he was anxious to gain for the king of Spain the desired delay.¹ A common policy on this point may explain the conduct of Vergennes, which Franklin attributed to a desire of removing every obstacle to speedy negotiations.

"I urged upon Oswald," wrote Jay, "in the strongest terms the great impropriety, and consequently the utter impossibility, of our ever treating with Great Britain on any other than an equal footing, and told him plainly that I would have no concern in any negotiation in which we were not considered as an independent people." Mr. Oswald, upon this as upon every other occasion, behaved in a candid and proper manner. He saw and confessed the propriety of these remarks; he wished the commission had been otherwise, but was at a loss to know how it could be remedied consistently with the king's dignity. Jay accordingly prepared a declaration, alluding to the enabling act and recognizing the colonies as independent States, which, after being corrected by Dr. Franklin, was, August 15th, approved by Oswald, who agreed to recommend it to the minister and forward it the next day. The next day, however, Oswald showed them the clauses in his instructions authorizing him to grant independence, if the commissioners refused to act otherwise; and he dispatched a courier to London to press the ministry for permission to acknowledge American independence without delay. At this time came the commission to Oswald under the great seal, and Franklin and Jay wrote to Versailles to communicate that fact to Vergennes, and, agreeably to their instructions, to inform him of what had passed with Oswald. Vergennes and Jay again discussed the propriety of insisting that independence should be acknowledged previous to a treaty, Vergennes repeating that it was expecting the effect before the cause, with other remarks which did not appear to Jay well founded, and advising them that he had delayed doing business with Mr. Fitzherbert until they should be ready to proceed with Oswald.

The British ministry replied evasively, September 1st, to Oswald's letters, in which he had plainly said, August 17th, of the American commissioners: "Upon the whole, they would not treat at all until their independence was so acknowledged as that they should have an equal footing with us and might take rank as parties to an agreement." "The American commissioners," he wrote on the following day, "will not move a step until independence is acknowledged; until the Americans are contented, Mr. Fitzherbert cannot proceed." When the British reply was shown to Jay he told Oswald that this court was misled; that Townsend's language corresponded exactly with that of Vergennes, whose ideas Mr. Fitzherbert had probably communicated; and Oswald presently admitted that Vergennes

¹ Circourt, 328; *Jay MSS.*, ix. 3; x. 1.

had told Fitzherbert that he thought the commission would answer. Persuaded that the ill success of Oswald's application for liberty to acknowledge American independence was owing to the influence thus exerted by Vergennes, Jay suggested to Oswald, who soon adopted the opinion, that it was the interest of Britain to render America as independent of France as America was resolved to be of England; and he recommended the issuing of a new commission to Oswald to treat of peace or truce with commissioners vested with equal powers by and on the part of the United States of America.

A draft of the proposed declaration was submitted to Oswald by Franklin and Jay, August 15th; but upon Oswald's showing them the clause in his instructions authorizing him to grant independence if the commissioners refused to treat otherwise, they agreed to waive the declaration on condition that their independence should be stipulated in a preliminary article, separate from the rest of the treaty; and on August 17th, after receiving his commission under the great seal, Oswald reported this demand to the ministry, saying that they reminded him of the resolutions of Congress not to treat with British commissioners on any other footing than that of absolute independence. Jay also drew up a letter explaining their point of view, which he put thus: "If the Parliament meant to enable the king to conclude a peace with us on terms of independence, they necessarily meant to enable him to do it in a manner compatible with his dignity, and consequently that he should previously regard us in a point of view that would render it proper for him to negotiate with us. As to referring an acknowledgment of our independence to the first article of a treaty, permit us to remark that this implies that we are not to be considered in that light until after the conclusion of the treaty, and our acquiescing would be to admit the propriety of our being considered in another light during that interval. It is to be wished that his Majesty will not permit an obstacle so very unimportant to Great Britain, but so essential and indispensable with respect to us, to delay the re-establishment of peace."

Franklin thought the letter "rather too positive" in its refusal to treat. "Besides," as Jay wrote to Livingston, "the doctor seemed to be much perplexed and fettered by our instructions to be guided by the advice of this court. Neither of these considerations had weight with me; for as to the first, I could not conceive of any event which would render it proper, and therefore possible, for America to treat in any other character than as an independent nation; and as to the second, I could not believe that Congress intended we should follow any advice which might be repugnant to their dignity and interest." From John Adams his action received hearty endorsement. When the scheme of mediation had been proposed in 1781, Adams had objected to his country being treated as "an insurgent" endeavoring to make terms with a superior power, instead of one sovereignty contracting on equal footing with others; and would accept no arrangement that should place their independence at the mercy of the European powers. The

change now proposed in the wording of the commission was in accord with Adams's suggestion when he wrote to Franklin, May 2, 1782: "If they make a treaty of peace with the United States of America, this is acknowledgment enough for me."¹

Jay's letter, together with copies of various resolutions of Congress relating to independence, were forwarded by Oswald to London, with a request for a new commission, his efforts to change Jay's view having proved ineffective. A strong argument in favor of the request existed in the desire of England to emancipate the colonies from French control, for Fitzherbert had written on August 17th, describing Vergennes' desire to create new mistrusts and jealousies between Great Britain and America, and saying that he was beginning to learn "what idea I am to entertain of that minister's sentiments and the real extent of that candor and frankness which he never fails to assure me I shall find in him in the course of our negotiation." Grantham in return urged him to try to discover instances of the selfishness of France, in order that Oswald might make proper use of them in the American negotiation, and to watch how the offer of independence affected the French court, and added: "I have reason to believe that the independency of America, however ultimately advantageous to France, would not, if accepted now by the commissioners, be a means agreeable to her, as the band between them would thereby be loosened before the conclusion of a peace." Grantham's suspicions were confirmed by the replies of Fitzherbert and Oswald, the latter of whom wrote on September 11th: "The French court wished the colonies to go on treating without any acknowledgment of independence, and has actually told them that they were seeking for the effect without the cause, since it could only with propriety arise out of the treaty; and so wishing that they should remain unfixed and unsatisfied until their affections and those of their allies are satisfied, and there might then be no fear of check, but rather help, from the American quarter."

Vergennes, meanwhile, endeavored to persuade Jay to accept a compromise. In politics, he said, one should know when to yield the form, if the substance is satisfactory. Jay maintained that there was no halfway mode of recognizing independence, and he prepared a letter to Vergennes, justifying the American attitude by the circumstances of the case and by its historical analogies. This letter, a long and careful abstract of facts bearing upon the case, was under consideration by Franklin when news of Vaughan's success and the order for the new commission made it unnecessary.²

The failing confidence in Vergennes experienced by the American commissioners was not increased when Jay received further proofs that the minister was inclined to gratify England and Spain at the expense of American interests, — proofs which tended to recall the fact that the instructions of Congress had been based on the pledges of Luzerne that "the king would

¹ *Dipl. Corresp.*, vi. 344.

² *Ibid.* viii. 147, 169.

most readily employ his good offices in support of the United States in all points relating to their prosperity."

Jay's illness deferred the discussion of an alliance with Spain for more than a month after his arrival at Paris. In his first conference with D'Aranda, the latter at once broached the subject of boundaries, and argued that Spain had acquired a right to the Western country by her conquest of West Florida and posts on the Mississippi and Illinois, and that such part of it as did not belong to her was the territory of Indian tribes.¹ Jay proposed, therefore, a longitudinal line east of the Mississippi, from a lake near the confines of Georgia to the confluence of the Kanahwa with the Ohio, and thence to Lake Erie. A map marking this boundary was left by Jay with Vergennes, who cautiously withheld his opinion; but Rayneval, his confidential secretary, said that he thought the Americans claimed too much. On September 4th, Rayneval invited Jay to Versailles to talk the matter over, and at Jay's request he submitted a memoir upon the boundaries, as expressing his "personal ideas." This paper begins by assuming that the Americans can claim the Western lands only on the ground that they belonged to England, and then shows that England renounced her right to them, first in 1755, when she allowed Ohio to belong to France, and the lands west of the Alleghanies to be Indian territory; secondly, in the peace negotiation of 1761, when she again acknowledged the Indian rights; and thirdly, by the proclamation of 1763, which declared that the lands in question were situated between the Mississippi and the ancient English establishments. Similarly, Spain could not claim beyond the Natches, or latitude 31° north, and proposed, therefore, a line along the rivers Cherokee and Cumberland to the Ohio; the Indians west of this line to be free, under the protection of Spain, and those east of it to be free, under the protection of the United States. The course and navigation of the Mississippi would naturally belong to the nations owning its banks; therefore only in part to Spain, and not at all to the United States. Lands north of the Ohio were to be left to the decision of England.²

In Rayneval's paper Jay recognized the hand of his chief.³ In writing to Luzerne, July 21, 1783, to defend himself from the charge of having

¹ *Dipl. Corresp.*, viii. 150.

² *Ibid.* viii. 154, 156.

³ Gérard Rayneval, younger brother to Gérard, the French minister to America, had been at the head of the staff of the foreign department from 1774 to 1792, and was employed by Vergennes as his confidential agent. "It was not to be believed," Jay wrote, "that the first and confidential secretary of the Count de Vergennes would, without his knowledge and consent, declare such sentiments and offer such propositions, and that, too, in writing."

"We must be very ignorant of all courts," John Adams wrote of this memoir in 1783, "not

to know that an under-secretary of state does not carry on such a correspondence without the knowledge, consent, and orders of his principal" (*Dipl. Corresp.*, vii. 68). Fitzherbert, the English commissioner, reached the same conclusion when Rayneval gave him his opinions on the fishery question (*Jay MSS.*, iv. 2): "Though M. de Rayneval added that he said it merely from himself, and without any kind of authority from M. de Vergennes, it is natural to suppose that his ideas and language upon this and other political subjects must be nearly the same as those of his principal."

opposed the American boundary claims, Vergennes alluded to this memoir as expressing merely Rayneval's personal views, and therefore, he said, "it might be considered as non-existent in relation to the king's ministers."¹ But in giving an account of the discussion to Luzerne, October 14, 1782, Vergennes referred to Rayneval's memoir as one sent with his knowledge and sanction. Describing the boundary claims made by Congress as "*un délire*," he added with a caution that the advice was for Luzerne's ear alone:² "A confidential note has been sent to Mr. Jay, in which it is almost proven that the boundaries of the United States south of the Ohio are confined to the mountains, following the watershed." That confidential note was in accord with Vergennes' instructions to Luzerne, and with Luzerne's advice to Congress; and in these instructions there is no attempt to conceal or soften his opinion of the American claims, as defined by the American commissioners. "The American agents," he wrote, "do not shine by the soundness of their views or the adaptation thereof to the political situation of Europe; they have all the presumption of ignorance. But there is reason to believe that experience will ere long enlighten and correct them."³ Regarding Rayneval's paper as expressing the views of Vergennes, it was clear to Jay that the French minister would oppose their extension to the Mississippi and their claim to its navigation; that he would probably support the British claim to the country above latitude 31° north, and certainly to all the country north of the Ohio; and that in case they refused to divide with Spain in the manner proposed, he would secure from Britain the territory Spain wished for, and agree that the rest should be left to Britain.⁴

On September 10th, four days after Jay received Rayneval's paper, an intercepted letter from Marbois, the able secretary of Luzerne at Philadelphia, was transmitted to him through English hands.⁵ It was addressed to Vergennes, and gave him an account of the agitation started at the beginning of 1782 for an enforcement of the fishery claims. As a means of preventing the success of the agitation, Marbois suggested that France should openly declare her surprise at the Americans claiming a share in the Newfoundland fisheries without paying regard to the king's rights, or that the conquest of Cape Breton should be attempted. He concluded by saying that it was unlikely that England would wish the Americans to share in the Newfoundland fishery; but in any case "it will be better to have declared at an early period to the Americans that their pretension is not well founded, and that his Majesty does not mean to suggest it." Franklin was unwilling to believe that the letter reflected the views of the French ministry.⁶ "You will hear much," he said in a private communication, "of an intercepted letter communicated to us by the British ministry. The channel ought to be suspected. It may have received additions and alterations;

¹ *Jay MSS.*, iii. 14.

² Oct. 14, 1782. *Circuit*, iii. 290.

³ *Circuit*, i. 291.

⁴ *Dipl. Corresp.*, viii. 160.

⁵ *Jay's Papers*, i. 400.

⁶ *Sparks's Franklin*, ix. 463 (Dec. 6).

but supposing it all genuine, the forward, mistaken zeal of a secretary of legation should not be imputed to the king." Writing September 7, 1783, Vergennes took the same line of defence. "The letter," he said, in self-vindication, "by a forced interpretation, was designed to render us suspected in regard to the fisheries. In the first place, the opinion of M. de Marbois is not necessarily that of the king's; and in the next place, the views indicated in that despatch have not been followed." The genuineness of the letter was placed beyond doubt by Marbois himself, who many years later, in conversation with the late William Beach Lawrence, the learned editor of Wheaton's *International Law*, admitted the substantial accuracy of the translation. The letters of Luzerne written at the same period recommend that France should combine with England to exclude the Americans from the fisheries; and in this Luzerne was simply carrying out the instructions of Vergennes, who had impressed upon him that the Americans had no right whatever to British fisheries.¹ Only a few days before Jay received Marbois's letter, Rayneval had told Fitzherbert that "nothing could be further from the wishes of his court than that the claim (of the Americans to a share in the Newfoundland fishery) should be admitted, and moreover that we (the English) on our parts were not only bound in interest to reject it, but that we might do so consistently with the strictest principles of justice." Vergennes was equally emphatic. According to Fitzherbert, he never failed "to insist on the expediency of a concert of measures between France and England for the purpose of excluding the American States from these fisheries, lest they should become a nursery for seamen."

While the confidential correspondence of Vergennes exhibits a marked contempt for the policy of Spain, as narrow and selfish even towards France itself, apart from its repugnance to American independence, it does not appear that the systematic opposition exhibited by Vergennes to the American claims, after the treaty of Aranjuez, in his letters to his agents at Madrid and at Philadelphia, however it may have been agreed upon as a part of the price demanded by Spain for entry into the war, was opposed to the views and policy of Vergennes as the chief exponent of the policy of France and of the political ideas of Europe. His arguments were elaborate and apparently sincere, to prove that the Americans had absolutely no claim to the coast fisheries, viewing the United States as colonies, whose colonial titles had been forfeited when they ceased to be colonies, and declining to view them as sovereign and independent States, on an equal footing with Great Britain. On the same colonial ground he held the American pretensions to boundaries an illusion, and attempted to demonstrate the fitness of confining the American States to a narrow strip along the Atlantic, surrounded by the possessions of European powers, where they would be made to feel the need of sureties, allies, and protectors. The detached hints to this effect let fall by Vergennes in his correspondence with Gérard, Luzerne, and Montmorin, were formulated in me-

¹ *Jay MSS.*, iv.

moirs still preserved in the archives of France. Those memoirs, whether prepared under Vergennes's direction or submitted to his approval, are not simply consistent in principle and generally in harmony with the views which we know to have been held by Vergennes, but they seem to represent the drift of something more than ministerial opinion, and especially the views which it was deemed most important to commend to the English ministry, whose co-operation was essential to the success of the French and Spanish scheme for curtailing the boundaries and dominions of the republic, and retaining them under the European balance-of-power system.¹

Since the tide of success had turned in America's favor, French philosophers and statesmen had begun to see in her a possible antagonist. The chivalrous enthusiasm which had embraced the cause of liberty against oppression, was now giving way to a philosophic fear of the consequences to Europe and to the European possessions in America which might spring from a new and vigorous nationality. Raynal, in the new edition of his *History of the Two Indies*, wished the United States to be restrained from overgrowth, just as Vergennes had repudiated the idea of their being allowed to monopolize the continent. This was only part of a general reaction which was setting in against the American cause, largely owing to the expense of the war. The king said, in April of this year, that it was very dear to help people from whom neither fealty nor compensation could be expected; and the war, according to Fitzherbert, was universally reprobated. "The fashionable language is at present that France has been during its whole progress the dupe of her allies, the Americans and Spaniards."

To the two incidents alluded to (the memoir of Rayneval on the boun

¹ The memoirs, referred to as to be found in the French foreign department under the head of "Angleterre," are chiefly devoted to showing that it is the interest of France to prevent the United States from extending their boundaries or spreading their revolutionary ideas. If the boundaries, they argued, were left indefinite, the extent of land at the disposal of the colonists would invite immigration and thereby injure Europe. Moreover, the Americans would be enabled to push their way north and west, and to seize the fisheries, the fur trade, and the mines of New Mexico. Their ambition, therefore, must be restrained by surrounding them with nations capable of co-operating to oppose their schemes. Thus England must be allowed to consolidate herself east and north of them, Spain must hold Florida, and the United States must be enclosed by the Alleghenies. The boundaries must be drawn with the greatest exactness, and all the belligerent powers must bind themselves to prevent their being transgressed. The ease with which England gained possession

of American commerce should be a warning to the powers interested, in order that they may not exchange one bondage for another; and although France, in supporting America, did not intend to stimulate her revolutionary ardor, her aid is producing a dangerous impression upon the nations in this part of the world who think themselves oppressed, — considerations which show the necessity for England, Spain, Holland, and France to take precautions against the insurgents. As to the fishery, the insurgents being no longer English, it is England's interest to exclude them from privileges which would be their easiest means of enriching themselves, and to share the Newfoundland fishery exclusively with France.

Another memoir, by "Brunv," dated July 2, 1782, uses similar arguments. It shows that the loss of America will be only a temporary injury to England, but that in the end it will drain Europe of her trade and resources. France and England, therefore, should unite to check the progress of America.

daries, and the intercepted letter of Marbois on the fisheries) as having confirmed Jay's opinion that America was to encounter the joint hostility of France and Spain on these points, was added a third. He had learned, on September 9th, that Rayneval, who on September 6th, in sending him the memoir, had stated in a postscript that he should be absent for some days, and who was reported to have gone to the country, had in fact, on the 7th, after a conference at Versailles with Vergennes and Aranda, departed for England with special precautions, among which, it appears, was his travelling under an assumed name, for keeping his destination a secret.

In view of the fact that Vergennes had endeavored to frustrate the efforts to secure a new commission acknowledging American independence, by advising Fitzherbert that the commission to treat with colonies or plantations was sufficient, and of the consideration that the joint scheme of France and Spain for shutting out the United States from the Mississippi, the Gulf, the lakes, and the fisheries could only be accomplished by the approval and aid of Great Britain, Jay deemed it a reasonable conjecture that the mission of Rayneval was intended to let Shelburne know that the demand of America to be treated as independent previous to a treaty was not countenanced by the French court, and also to sound Great Britain on the subject of the fishery, and to discover whether Britain would divide it with France, to the exclusion of all others. He also deemed it probable that Rayneval was to impress Lord Shelburne with their desire to keep the Americans from the Mississippi, and to hint the propriety of a line that would satisfy Spain on the one hand, and would on the other leave to Great Britain all the country north of the Ohio. Jay mentioned the matter cautiously to Oswald, but on reflecting how necessary it was that Lord Shelburne should know the American sentiment and resolution respecting these matters, and how much better they could be conveyed in conversation than by letter, and knowing that Vaughan was in confidential correspondence with Shelburne, and strongly attached to the American cause, Jay concluded that it would be prudent to prevail upon him to go immediately to England. Vaughan agreed to go, and dispatched a few lines to Lord Shelburne, desiring him to delay taking any measures with Rayneval till he should see or hear from Vaughan.

"It would have relieved me," wrote Jay to Livingston, "from much anxiety and uneasiness to have concerted all these steps with Dr. Franklin; but on conversing with him about M. Rayneval's journey, he did not concur with me in sentiment respecting the object of it, but appeared to me to have great confidence in the Count, and to be much embarrassed and constrained by our instructions." "Facts and future events must determine which of us is mistaken. Let us be honest and grateful to France, but let us think for ourselves."

Vaughan, furnished with the views to be presented to Shelburne, left for England on the 11th of September, and Shelburne was notified of his coming both by Oswald and Fitzherbert. Oswald wrote that it was

thought that Rayneval was sent to advocate the interests of Spain. "That court," he said, "wishes to have the whole of the country from West Florida, of a certain width, quite up to Canada, so as to have both banks of the Mississippi clear, and would wish to have such a cession from England before a cession to the colonies takes place. The Spaniards have the whole French title, and would gladly complete it by patches from the English pretensions, which they could not hope for once we have agreed with the colonies. If that gentleman goes over there can be no difficulty in amusing him." Fitzherbert took it as an "apparently favorable symptom of the French wish to bring the negotiations to a happy conclusion." "I understand," he added, "that he (Rayneval) is a man of great moderation, and (allowing for his education in this school of politics) not much addicted to artifice or intrigue."¹ Rayneval, it seems, was of smooth manners and quick and unpretentious appearance — characteristics which drew upon him the dislike of George III. "The art of M. de Vergennes," he wrote to Shelburne, "is so well known that I cannot think he would have sent him if he was an inoffensive man of business, but that he has chosen him for having that appearance, while armed with cunning, which will be more dangerous if under so specious a garb."

His mission combined other objects with that assigned to it by Jay and confirmed by Rayneval's course on the American claims. It seems to have been suggested by a message brought to Vergennes by De Grasse, the French admiral, now a prisoner on parole, who professed to have had an interview, when passing through London, with Shelburne, and to have received certain proposals from the English minister to carry to Vergennes.² Surprised at the favorableness of these proposals, and wondering if they were authentic, Vergennes enclosed them to Montmorin on August 18, for the approval of Spain. Montmorin replied that Florida Blanca was equally startled at their nature, and wished some one to be sent to England to find out if they were genuine. Vergennes decided to send Rayneval. "His return," he wrote, "will enlighten us as to the disposition of the English ministry for peace." On September 6, Rayneval received instructions which directed that he should go incognito, and after obtaining an interview with Shelburne should ask him whether his intentions corresponded to the proposals brought by De Grasse. If Shelburne disavowed them, Rayneval was to declare his mission ended. But he was permitted to enter into general conversation on the chief points of the treaty, and upon this understanding he spent a week (September 13 to September 20,) and held several conversations with the English ministry. While the avowed purpose of the visit had no reference to American questions, and while his written instructions may not have authorized their

¹ *Jay MSS.*, xiii. 1; Fitzmaurice, iii. 258.

² *Jay MSS.*, xiii. [There is among the *Sparks MSS.* (xlix. i. 15) a "Correspondance entre le Comte de Grasse, Lord Shelburne et le Comte

de Vergennes, relatif au traité de paix, 1782-1783," which was sent to Sparks by Lafayette. — Ed.]

discussion, it appears that the southern and western boundaries, the Mississippi, and the fisheries were introduced and discussed in the manner anticipated by Jay.

Shelburne was accompanied in the interview by Lord Grantham; and Shelburne's biographer, after giving some account of the conversation on topics relating peculiarly to France and Spain, says: "They then proceeded to speak about America. Here Rayneval played into the hands of the English ministers by expressing a strong opinion against the American claims to the Newfoundland fisheries and to the valley of the Mississippi and the Ohio." This would appear to have been the first time that the scheme for perpetuating the power of Spain in America by the enfeeblement from its birth of the new republic — the scheme whose adoption by France was made the condition of Spain's entrance into the war — had been personally presented by a representative of the two courts to the English ministry, on whose approval it must depend. Shelburne's biographer adds: "These views were carefully noted by Shelburne and Grantham."

Vaughan had arrived almost simultaneously with Rayneval, and the views which Vaughan was requested to present to the minister derive interest from the success which attended his mission, and the singular confidence in the American commissioners with which Shelburne appears to have been inspired by Vaughan's presentation of their ideas. As given in Jay's letter to Livingston, they appealed to the common sense and the true interests of Great Britain, and covered the principal points on which England was hesitating, and where the influence of France was arrayed against the Americans.¹

These views reminded the ministry that Britain, by a peace with the Americans, certainly expected other advantages than a mere suspension of hostilities, and that she doubtless looked forward to cordiality, confidence, and commerce; that the manner as well as the matter of the proposed treaty was therefore of importance, and that if the late assurances respecting American independence were not realized by an unconditional acknowledgment, neither confidence nor peace could reasonably be expected; that this measure was considered by America as the touchstone of British sincerity, and that nothing could abate the suspicions and doubts of her faith which prevailed there. That the interest of Great Britain, as well as that of the minister, would be advanced by it, for, as every idea of conquest had become absurd, nothing remained for Britain to do but to make friends of those whom she could not subdue; that the way to do this was by leaving them nothing to complain of either in the negotiation or in the treaty of peace, and by liberally yielding every point essential to the interest and happiness of America, — the first of which points was that of treating with the Americans on an equal footing. That any expectations grounded on the affected moderation of France would be fruitless, although they might produce delay, for America would never treat except on an equal footing; that

¹ *Dipl. Corresp.*, viii. 165, 617.

a little reflection must convince Lord Shelburne that it was the interest, and consequently the policy, of France to postpone, if possible, the acknowledgment of American independence to the conclusion of a general peace, and, by keeping it suspended until after the war, oblige the Americans, by the terms of the treaty and by regard to their safety, to continue in it to the end ; that it hence appeared to be the obvious intent of Britain immediately to cut the cords which tied America to France, for that, though they were determined faithfully to fulfil their treaty engagements with the court of France, yet it was a different thing to be guided by the French or the American construction of them. That, among other things, they were bound not to make a separate peace or truce ; and that the assurance of their independence was avowed to be the object of the treaty of alliance. While, therefore, Great Britain refused to yield this last object, they were bound as well as resolved to go on with the war, although perhaps the greatest obstacles to a peace arose neither from the demands of France nor America ; whereas, that object being conceded, they should be at liberty to make the peace the moment that Great Britain should be ready to accede to the terms of France and America, without being restrained by the demands of Spain, with whose views they had no concern.

The suggestions with which Vaughan was charged further touched upon the facts that America would not conclude a peace without the fisheries, and that an attempt to exclude them would irritate America and tend to perpetuate her resentment ; that their right to extend to the Mississippi was proven by their charters, and their right to its navigation was deducible from nature ; that the true object of an European commercial nation was to secure the profits of an extensive and lucrative commerce, and not the possession of vast tracts of wilderness ; that to attempt to retain that country by extending Canada, would be to sow the seeds of future war in the very treaty of peace ; and that it certainly could not be wise for Britain "to lay in it the foundation of such distrust and jealousies as, on the one hand, would ever prevent confidence and real friendship, and on the other lead the Americans to strengthen their security by intimate and permanent alliances with other nations."

Vaughan had been requested by Jay, in presenting these views to Shelburne, to impress upon that minister the necessity of taking a decided and manly part respecting America, and there was probably no other man whose position, sympathies, and intimate relations¹ with Shelburne so well fitted him for the delicate task, which he accomplished with promptness, discretion, and success.

The immediate effect of Vaughan's mission was the resolve of the ministry to issue a new commission to Oswald, in the form prepared by Jay, to treat with "the United States of America." "Lord Lansdowne,"

¹ The regard felt for him in Shelburne's family was evidenced by the fact when Lord Shelburne lost his second wife, that during her illness

Benjamin Vaughan and Bentham were the only persons permitted to see her.

Vaughan wrote subsequently, when Shelburne had come to that title, "only asked me, Is the new commission necessary? and when I answered Yes, it was instantly ordered, and I was desired to go back with it, carrying the messenger who had charge of it in my chaise. As to M. Rayneval, my previous letter and his lordship's own good sense made it needless to touch upon the subject, which I found Lord Lansdowne not inclined to do; the grant of the commission showed how things stood, and I departed joyfully."

Lord Edmond Fitzmaurice says, after noting the arrival of Vaughan: "It became clear to the cabinet that a profound feud had sprung up between the Americans and their European allies, and that all that they had to do was to avail themselves of it. They at once decided to accept the American proposition as to the terms of the commission, which Lord Ashburton held came within the meaning of the Enabling Act."¹ The language of Shelburne to Oswald (September 23, 1782) indicates that Vaughan was correct in regarding the granting of the commission as indicating a marked change of policy in favor of the Americans,—a change so complete that they hardly knew how it would result. "Having said and done everything which has been desired," he adds, "there is nothing for me to trouble you with except to add that we have put the greatest confidence, I believe, ever placed in man in the American commissioners. It is now to be seen how far they or America are to be depended upon. I will not detain you with enumerating the difficulties which have occurred. There never was such a risk run. I hope the public will be the gainer, else our heads must answer for it, and deservedly."

Rayneval wrote a minute account to Vergennes of his conversations during this visit,² and some thirteen years afterwards he described its purpose and results in a letter (November 14, 1795) to Mr. Monroe, at that time the American minister, in which he endeavored to defend himself from the charge of having advised Shelburne to refuse the American demands. In this letter³ he said, that the fundamental article of his instructions⁴ was the independence of the United States, and that nothing was prescribed in relation to other conditions to be made with the American commissioners; that he encouraged no discussion on this point, and when the English minister introduced it he took refuge in his ignorance and lack of instructions; and that in the opinions which he did express he rather strengthened than weakened the demands of the American commissioners.⁵

The Honorable Charles Francis Adams, whose diplomatic skill rivalled that of his illustrious father and grandfather, in an examination, made before the publication of Shelburne's *Life*, or of the text of Rayneval's report of the conferences to Vergennes, said that, "Without uttering a single

¹ Fitzmaurice, iii. 267.

² Circourt, iii. 42, 49.

³ In Rives's *Life of Madison*, i. 655.

⁴ In Circourt, iii. 38.

⁵ That Rayneval's memory was not exact in regard to the proceedings of which he was writ-

ing is evident from a passage describing the occasion of his memoir upon the boundaries, in these words: "Mons. Jay and Aranda chose me to bring them together [*rapprocher*], and I gave them my advice in writing. Mr. Jay agreed with me as to its justice and solidity."

word that could be used to commit him or his government, M. de Rayneval had succeeded in making Lord Shelburne comprehend that France was not inclined to prolong the war by supporting America in *unjust* claims." ¹ It is clear from Shelburne's *Life* that he succeeded in making Shelburne and Grantham understand that the American claims to the western and northern boundaries, the Mississippi, and the fisheries were of that character, and that in opposing them he was playing into their hands.

On September 24th Townsend wrote to Oswald: "I now send you the commission, which has met with no delay more than was absolutely necessary for the forms through which it would pass. I hope the frankness with which we deal will meet with a suitable return." "On September 27th," wrote Jay to Livingston, "Mr. Vaughan returned here from England with the courier that brought Mr. Oswald's new commission, and very happy were we to see it." And he added an assurance that "Mr. Vaughan greatly merits our acknowledgments."

Three years before it had been proposed in Congress that the American minister should make it a preliminary to any negotiation "that Great Britain shall agree to treat with the United States as free, sovereign and independent States." That condition, after delays and difficulties which had seemed almost insurmountable, had been fulfilled, and the United States was to enter upon the negotiation not as insurgent colonies or plantations, soliciting independence and asking concessions from the power from which they have revolted, but as a sovereign and independent power of equal dignity, to make what an English judge called "a treaty of separation" for the mutual allotment of boundaries, and the division of the American sovereignty between the ancient monarchy and the young republic.

The day (September 26) before the arrival of the new commission with England's recognition of her late colonies as the thirteen United States of America was marked by another fruitless effort on the part of the representatives of France and Spain to induce the American commissioners to enter into negotiations with the court of Madrid, while that court still refused to recognize the independence of the republic.² This interview closed

¹ *Life and Works of John Adams*, i. p. 370 *et seq.* Cf. Flassan, *Hist. de la diplomatie Française*, vii. 344.

² The interview took place at Versailles, where in the ante-room of the French minister, Jay met Lafayette and D'Aranda, who introduced the subject of a treaty with Spain, and asked when they should proceed to business. Jay replied as soon as the ambassador should do him the honor of communicating his power to treat. He asked whether the Count de Florida Blanca had not informed Jay of his being authorized. Jay admitted it, but observed that the usual mode of doing business rendered it proper that they should exchange certified copies of their respective commissions. D'Aranda said that that could not be expected in this case; for that Spain had

no yet acknowledged the independence of America. Jay replied that they had declared their independence, and that France, Holland, and Britain had acknowledged it. Here Lafayette took up the subject, and told the ambassador, among other things, that it would not be consistent with the dignity of France for her ally to treat otherwise than as independent, a remark which appeared to pique the count not a little.

Vergennes, on coming in and finding the conversation earnest, inquired if they could not agree. The ambassador stated Jay's objection. Vergennes said he certainly should treat with the *ambassador*, and that it was proper they should make a treaty with Spain in the same manner that they had done with France. Jay told him that he desired nothing more, and that

the negotiation in Europe between the American commissioners and Spain, which ended as it had begun, with the refusal of Spain to recognize the independence of the United States.¹

The American negotiation, after a slight delay caused by the illness of Franklin, was now begun, and with favorable prospects of success, from the new and hopeful features developed by the mission of Vaughan. The argument and appeal with which Vaughan had been charged, and which had wrought so instant a change in the English disposition, gave force and meaning to Vaughan's conviction that the granting of the commission showed where the British ministry stood, and justified the belief that Shelburne and his associates, despite the skill with which Rayneval had played into their hands to induce them to sacrifice the American claims to the Mississippi, the Ohio, and the fisheries, would prefer friendship with the American republic to an alliance with France and Spain for its enfeeblement.

To Oswald, on his side, the granting of the commission was a compliance with his advice, and he had the assurance of Shelburne's readiness to say and do all that had been demanded, and of his large confidence in the American commissioners.

The gratitude of Americans to France for her timely and effective aid in money and men, and their steadfast adherence to their engagements, had nearly defeated all hopes of the separate negotiation which England so earnestly desired, until now the efforts of the French court to sacrifice American claims to her own policy and that of Spain had made the

the commission to M. Gérard, and the reason assigned by the court of France to the king of Great Britain for entering into alliance with them, pointed out both the manner and the principles which were observed and admitted on that relation.

Vergennes observed that Spain did not deny our independence, an acknowledgment of which would naturally be the effect of the treaty proposed to be formed. "I told the count," wrote Jay, "that being independent we should always insist on being treated as such, and therefore it was not sufficient for Spain to forbear denying our independence while she declined to admit it, and that, notwithstanding my respect for the ambassador, and my desire of a treaty with Spain, both the terms of my commission and the dignity of America forbade my treating on any other than an equal footing."

On the retirement of the ambassador, Vergennes referred to Oswald's new commission as enabling them to go on and perform their preliminaries, alluded to Rayneval's wish to learn whether a pacific disposition prevailed at the British court, and turned next to the negotiations with Spain and her claims east of the Mississippi, suggesting that as soon as they could agree

upon the boundaries the Count D'Aranda would have a more formal commission to conclude the treaty.

Jay next saw Rayneval, who gave the same reason for his journey to England that had been given by the count, and then talked of his memoir and urged its views. Jay alluded to the result of the Spanish claims in regard to the Mississippi, and gathered from his reply that Spain had been shortly before furnished with ideas by France.

It has been remarked as an incident in contrast with the refusal of Spain to acknowledge American independence, and her elaborated schemes for dwarfing the power and dignity of the young republic, that when the attempt at negotiation was next attempted and with equal unsuccessful, it was by Don Diego Gardoqui, the Spanish minister to the United States, when his excellency was received by Jay, then secretary for foreign affairs, and was presented to the President and members of Congress, who kept their seats and remained covered, while the plenipotentiary of Spain stood uncovered before the legislators of America, and assumed the part affected by monarchs, declaring the affection of his master for his "great and beloved friends."

protection of the dignity and rights of the republic against danger, from whatever quarter, the first business of the American commission, and had induced the communication by Vaughan which had influenced the policy of the court, and inclined Shelburne, in the matter of the commission, the boundaries, and the fisheries, to reject the counsels of Vergennes, the overtures of Rayneval, and the policy of the Bourbons, and to respond promptly to the claims of the Americans.

With such views on both sides and a common conviction of the importance of an early settlement of the question, the British and American commissioners soon came to an agreement, and presently (October 5) Jay handed to Oswald the plan of a treaty, to the terms of which Oswald assented (October 8), and which he promptly transmitted to the foreign office for his Majesty's consideration.

It consisted of a preamble and four articles relating to the boundaries, a perpetual peace, the fisheries, and the navigation of the Mississippi.¹ The boundaries assigned to the United States on the Canadian border involved questions which had been in dispute from an early period, and on which England had not always held a consistent policy. While France possessed Canada, England did not admit that the land north of the St. Lawrence belonged to that province; but their claim was abandoned after the peace of 1763, when the western boundary of Nova Scotia was declared to be the St. Croix, and a line drawn due north from the source of that river to the southern boundary of Canada. In the absence of accurate surveys, the point known as "the northwest angle of Nova Scotia" had never been correctly determined, and the project submitted by Jay proposed to adopt the rivers St. John and the Madawaska as the eastern boundary, to settle the position of the northwest angle, and then to draw the southern boundary of Canada according to the terms of the treaty of 1763.

No provision was made for debts contracted prior to 1775, nor for compensation to the loyalists. Townsend had written to Oswald when an acknowledgment of independence was demanded from England, offering to waive stipulations on these points for the sake of hastening the negotiation, and it would seem that Oswald had also been authorized to yield them.²

Oswald (October 11) alluded to recommendations in his instructions which had been omitted in the proposed treaty, such as provision for debts, compensation to the loyalists, pardon of supposed crimes, release of prisoners, drying fish in Newfoundland, federation, value of ungranted lands, independence of all nations; but this did not prevent his belief that the treaty would be adopted as it stood, and he wrote: "I look upon the treaty as now closed." Oswald was anxious to conclude with the American commissioners while free from the influence of France.³

¹ *Dip. Corres.*, x. 88, 92.

² Fitzmaurice's *Shelburne*, iii. 281.

³ "Mr. Jay said to me last night," he wrote

(Oct. 8), "once we have signed this treaty we shall have no more to do but to look on and see what people are about here. They will not like

The French, he saw, were anxious to hold back the American negotiation until they were ready to execute their own treaty. For this reason, Oswald was the more ready to accept the proposition, and wished for an immediate signature. The English ministry were also sensible of the advantageous effect which a speedy settlement with America might have on their negotiation with France and Spain. But while the articles were under consideration there came news of the victorious relief of Gibraltar, an event which materially improved the English situation as regards those powers, and seemed to afford a possibility at least of recovering something of what had been yielded to America by Oswald.¹

The long delay of the American negotiations while awaiting a proper commission had arrested the negotiations with France and Spain, and the arrival of the new commission brought by Vaughan, enabling the American negotiation to proceed, seems to have simultaneously set in motion the diplomatic machinery of France and Spain. On October 5th, Jay handed to Oswald the American Articles, which had been drawn up by Jay very

to find we are so far advanced, and have for some time appeared anxious and inquisitive as to our plan of settlements, upon which subject I was lately tried by a certain marquis, but I gave him no satisfaction, and wish that for some time as little may be said about it as possible." Oswald had been previously alarmed by the suggestion that the commissioners might interfere on behalf of the other belligerents. "I wish I may be mistaken in thinking that they have taken those States under such protection as that they shall not likewise, before the close of the business, be found to act the part of dictators to Great Britain." On Oct. 2 he had hinted to Jay that it was hard that France should introduce her private engagements into the negotiation, to which Jay instantly replied: "We will allow no such thing; we shall say to France, The agreement we made with you we shall faithfully perform, but if you have entered into any separate measures with other people not included in that agreement, and will load the negotiation with their demands, we shall give ourselves no concern about them." Under these circumstances Oswald thought it good policy to conclude with the Americans without delay.

¹ The news from Gibraltar in October seemed to complete the great naval triumph achieved by Rodney on April 12th over the powerful French fleet of 35 ships, with troops, guns and ammunition collected at Martinique for the capture of Jamaica. Before they could be joined by the Spanish fleet, Rodney had attacked them with tremendous force, and without losing a single ship, and with a loss of only 100 men, he had destroyed eight vessels of the French, whose loss in killed and wounded was reported at 9,000 men. Rodney had been appointed by North, and a let-

ter of recall had been sent him before the news of his victory, enabling North to say to the minister in Parliament: "You have conquered, but with the arms of Philip." Next came the grand attacks by France and Spain upon Gibraltar, whose capture France had bound herself to accomplish, even at the expense of continuing the war. The siege was conducted by the Duke de Crillon, the conqueror of Minorca, with some 40,000 French and Spanish land troops, and a combined French and Spanish fleet with newly constructed battering-ships, while Sir George Elliot commanded the fortress with 7,000 men.

The grand attack, after an unusual note of preparation, and the representation of the capture of Gibraltar on the Paris stage, began on September 13th with a cannonade from 47 ships of the line, frigates, gunboats, mortar-boats, and smaller craft, with ten large battering-ships and land batteries, numbering 186 guns. The fortress replied to the ships with red-hot shot, with great effect, aided at the close by a squadron of English gunboats, so that many ships were burnt and the whole fleet of battering-ships destroyed, and 2,000 of the attackers killed or captured, while the English loss in killed and wounded was but 90 men; and as Lecky (iv. 266) remarks after a graphic sketch of the conflict, "the invincible fortress, almost uninjured by the cannonade, still looked down defiantly on the foe."

The disappointment in France and Spain was extreme, and the last hope of capturing Gibraltar was extinguished in October, when Lord Howe, evading the combined fleets of France and Spain, succeeded in relieving the fortress and supplying everything essential to a prolonged resistance, after a siege which had lasted more than three years.

fully, and the next day Vergennes handed to Fitzherbert two memorials containing the demands of France and Spain. Those of France, in addition to concessions in the West Indies of Dominica and St. Lucia, and of the river Senegal and the island of Goree, which had been expected, included several unexpected demands in India, beside the concession of an exclusive right of fishing off Newfoundland from Cape St. John to the Pointe à la Lune, and one or more islands to be fortified. The demands of Spain were still more extreme, and included the cession of Minorca, of English rights in Honduras and Campeachy, of the Mosquito shore, of all Florida, of the Bahamas, of the Isle of Providence, and lastly of Gibraltar; for which Oran and Mazalquivier were offered as some compensation.¹

The great victory of Gibraltar, as Shelburne's biographer tells us, at once determined the British cabinet to withstand the demands of France and Spain; and he adds: "Realizing also that the feud between the European belligerents and the United States was already tolerably deep, and that the latter would not in any case continue the war for purely Spanish objects, they resolved to attempt to gain a modification of the American demands as well, in favor of the English creditors and of the loyalists,—points to which Shelburne attached a greater importance than some of his colleagues. Oswald had yielded on them in conformity with the express direction of the cabinet; they therefore thought it but just to take part of the responsibility of taking the new demands off his shoulders, and accordingly sent an additional negotiator to his assistance."²

This was Mr., afterwards Sir Henry Strachey, the secretary of Clive and of Lord Howe's commission, secretary of the treasury under Rockingham, and now under-secretary in Townsend's department, where he was known, says Lord Edmond Fitzmaurice, as a man of great discretion and accuracy. Lord Shelburne explained his coming with the remark, "Mr. Strachey is a most amiable, well-instructed man, and it was judged proper that some person should be sent to explain the boundaries and the authoritative documents which were only to be found here."

The biographer of Shelburne, who has thrown so much light upon the negotiation from his ancestor's papers,—light that has dispelled the mist and doubts which hung around the missions of Rayneval and Vaughan,—has, with the instructions of Strachey,³ given an explanation of their motive, which goes far to relieve the British cabinet from the charge, so vehemently made against them in Parliament and by the press, of a shameless indifference to the cause of the loyalists in America, who had adhered to the crown, and who were deemed entitled to protection.⁴

¹ Fitzmaurice's *Shelburne*, ii. 274, 275.

² *Ibid.* iii. 280, 287.

³ *Ibid.* iii. 281.

⁴ Lecky, iv. 285; *Parl. History*, xxiii. 452. "What," said Lord North, "are not the claims of those who, in conformity to their allegiance, their cheerful obedience to the voice of Par-

liament, their confidence in the proclamation of our generals, invited under every assurance of military, parliamentary, political, and affectionate protection, espoused with the hazard of their lives and the forfeiture of their properties, the cause of Great Britain!" Protection and relief in similar cases had been given at the peace

Strachey left, says Fitzmaurice, "with instructions to urge the claims of England, under the Proclamation of 1763, to the lands between the Mississippi and the western boundary of the States, and to bring forward the French boundaries of Canada, which were more extensive at some points than those of the Proclamation of 1763. He was to urge these claims, and the right of the king to the ungranted domain, not indeed for their own sake, but in order to gain some compensation for the refugees, either by a direct cession in their favor, or by engaging the half or some proportion of what the back lands might produce when sold, or a sum mortgaged on these lands, or by the grant of a favorable boundary of Nova Scotia, extending, if possible, so as to include the province of Maine, or at the very least Penobscot." "It is understood," so his instructions concluded,¹ "that if nothing of this can be obtained after the fairest and most strenuous trials, it may be left to the commissioners to settle, and the American propositions be accepted, leaving out the right of drying fish on the island of Newfoundland and confining them to what they have used,—a drift fishery,—and expunging all the last article except what regards the Mississippi." Equal stress was laid upon the debts as requiring the most serious attention,— "that honest debts may be honorably paid in honest money, no Congress money."

Shelburne, in announcing to Oswald the departure of Strachey, expressed the hope that he was well founded in his estimate of the American commissioners, and cautioned him against going before the commissioners, in every point of favor and confidence as opposite to their interests at the present moment.² He further argued that the fisheries of the two countries should be kept distinct, to avoid future disputes; and that it was their political interest to "retain every means possible to gratify America at a future—I hope not very distant—day, when the negotiation will not be carried on at a foreign capital, not under the eye nor the control of inveterate enemies, nor under the reputed impulse of absolute necessity. If there is the disposition you mention in the commissioners towards Great Britain, and it is stated to them with address, I should think they might be brought to enter into it, as they must feel it perfectly consistent with the language hitherto held to them. It is at the same time certainly of importance to preserve their confidence and good will."³

At the same time Shelburne perfectly understood the gravity of his own situation at home, and of the necessity of being prepared for the attacks which he knew awaited him in Parliament. "It is our determination," he wrote to Fitzherbert,⁴ "that it shall be either war or peace before we meet

of Münster to the partisans of the Spanish sovereign, at the peace of the Pyrenees to the revolted Catalans; also by England at the peace of Utrecht; and it was maintained by the opposition, in the debate on the Provisional Articles, that the omission of any effectual provision for the loyalists, unless marked by the just indig-

nation of the country, would blast forever the honor of Great Britain.

¹ Fitzmaurice's *Shelburne*, iii. 282.

² *Ibid.* iii. 283.

³ *Ibid.* iii. 285.

⁴ *Ibid.* iii. 287.

the Parliament; for I need not tell you that we shall have then to meet so many opinions and passions, supported by party and different mercantile interests, that no negotiation can advance with credit to those employed." The negotiation with France seemed to have been smoothed by the explanations which had passed between Shelburne and Rayneval. This French visitor had been treated with tact and cordiality, and carried away an excellent impression of the English statesmen.¹ "M. de Rayneval," Fitzherbert wrote to Shelburne, October 13th, "talks to me in raptures of your lordship's reception of him, both in regard to your personal marks of kindness and in regard to the great candor, frankness, and reliability of your sentiments in your conversation upon business," and he also remarked that since Rayneval's return Vergennes had shown himself much more conciliatory.

Oswald accepted the refusal of his treaty with the remark that he was glad England could afford to risk the consequences of rejecting it; as for himself, he had given way to the insinuations thrown out by the commissioners that America was ready to resume the war, but he could not help thinking their conditions "very hard and limited."

On October 24th, Jay wrote: "Mr. Oswald told me that he had received a courier last night that our Articles were under consideration, and that Mr. Strachey, Mr. Townsend's secretary, was coming to confer with us about them. He further said he believed *this court* had found means to put a spoke in our wheel. He consulted me as to the possibility of keeping Mr. Strachey's coming a secret. I told him it was not possible, and that it would be best to declare the truth about it, viz.: that he was coming with books and papers relating to our boundaries."

The same day Jay dined with Dr. Franklin, and met there Rayneval, who asked how matters stood between them and Oswald, and was told that they could not agree about all their boundaries; on which Rayneval contested the American right to the backlands according to the ancient boundaries of Canada, and contested the old right to the fisheries, "adding some strictures on the ambitious, restless views of Mr. Adams, and intimating that we should be content with the coast fishery."

While Strachey was on his way to join battle for the English cause, the American commissioners were reinforced by the arrival of John Adams, fresh from his diplomatic triumph in Holland, the first successful negotiation since the alliance with France, and which had earned him the title of "the Washington of negotiation."² He arrived in Paris on Saturday, October 26,

¹ Fitzmaurice's *Shelburne*, iii. 286.

² Adams had roused the sympathy of the Dutch people by actively spreading information about America and interesting them in the struggle for liberty, and, in spite of the discouragement of Vergennes, he had secured, April 19, 1782, his recognition as envoy of the United States. He had next applied himself to negotiating treaties of amity and of commerce (October 8, 1782) similar to the French

treaties, little as it seemed to the satisfaction of Vergennes, who complained, June 23d, to Vauguyon at the Hague that Adams was too precipitate; he should content himself with a treaty of commerce, without angling for an alliance. The treaty achieved under such adverse influences gave to the United States new dignity and importance. It showed that the republic was dealt with by Holland as an independent power, on an equal footing and on

1782, bringing to the work of the commission his experience, ability, energy, and courage. "He had studied," says Trescot, "profoundly and philosophically the capacities of the country he represented, and had an enthusiastic conviction not only of its future power, but of the influence which it might exert in the present condition of political affairs." He came at a critical moment, when, although the one great point had been accomplished in regard to the commission, and the Americans were to treat not as insurgent colonies, but as a sovereign state, there was still a difference of opinion between Franklin and Jay touching the confidence to be placed in France, and the regard to be paid to the instruction of Congress to undertake nothing in the negotiations without the knowledge and concurrence of the ministers of France, and ultimately to govern themselves by their advice and opinion.¹

Mr. Adams had been originally appointed the sole commissioner to negotiate a peace. His habits of independent thought and action had dissatisfied Vergennes, and Congress, at the suggestion of Luzerne, had added in succession Jay, Franklin, Laurens, and Jefferson, and had remodelled the original instructions in accordance with the suggestion of the French minister, until, as Marbois wrote, they made the king of France master of the terms of peace. Of the appointment of his colleagues Adams was advised, and wrote in his manly way to a friend, who thought it might be disagreeable: "It is more honorable and much more easy. . . . The measure is right. It is more respectable to the powers of Europe concerned, and more likely to give satisfaction in America."

To Jay he wrote (November 28, 1781) from Amsterdam of the enlargement of the commission as "a measure which has taken off my mind a vast load, which if I had even at any time expected I should be called to sustain alone would have been too heavy for my forces."²

While advised of the enlargement of the commission, it seems that Adams never even heard of the new Instructions adopted "In Congress, June 15, 1781," until they were alluded to in a letter which he received at the Hague a few days before he left for Paris; and in his diary, under the head "Sunday, October 27, 1782," at Paris, he wrote: "This instruction . . . has never yet been communicated to me. It seems to have been con-

business principles; and the liberal loan which it secured for the United States, besides affording immediate and greatly needed relief, showed the confidence felt in the stability of the republic and the value attached to its friendship and its commerce. The Dutch ministers were still partly swayed by the influence of Vergennes, and the ratification of the treaty was postponed till October 7th, after which Adams was at liberty to join his colleagues. [Cf. *Secret Journals*, iii. 289, 291; *John Adams's Works*, i. 347; iii. diary; vii. 404, 501, official letters; Bancroft, x. ch. 26; Lyman's *Diplomacy*, i. ch. 3; *Treaties and Conventions of the U. S.* (1871), p. 607; *Parl.*

Hist., xxi.; Lecky, iv. 171; Yorke's letters in *Sparks MSS.*—ED.]

¹ [For Adams's view of the French policy, see *Works*, i. 392, App. D; and for these instructions, see *Ibid.* viii. 11. Adams's correspondence in Paris with Livingston begins Oct. 31, 1782 (*Ibid.* vii. and viii.; also life in vol. i. ch. 6 and 7; and diary in iii. 300. Cf. *Dip. Corres.*, vi. and vii.). The relations between Adams and Franklin were not infrequently strained, and their respective characters were not the basis, certainly, of a steady friendship. (Cf. *John Adams's Works*, i. 319, and App. B.)—ED.]

² *Dip. Corresp.*, vi. 201.

cealed designedly from me." ¹ And now on the binding character of this instruction, on which Franklin and Jay were divided, Adams was to become the umpire, and between them, he wrote that same Sunday in his diary, "I shall have a delicate, a nice, a critical part to act."

On Monday, October 28th, Jay wrote: "Mr. Adams was with me three hours this morning. I mentioned to him the progress and present state of our negotiation with Britain, my conjectures of the views of France and Spain, and the part which it appeared to me advisable for us to act." ² He concurred with me in sentiment on all these points." Mr. Adams referred to this interview in his diary on November 30, 1782, when the Provisional Articles had just been signed, and said: "As soon as I arrived in Paris I waited on Mr. Jay, and learned from him the rise and progress of the negotiations. Nothing that has happened since the beginning of the controversy, in 1761, has ever struck me more forcibly or affected me more intimately than that entire coincidence of principle and opinion between him and me." This coincidence of view was a relief to Jay, whose position towards Dr. Franklin, in differing so widely from his views, and in adopting in the mission of Vaughan an independent and separate action, had been rendered more delicate by the age of his venerable colleague, Franklin being now seventy-six, and Jay only thirty-seven. The concurrence of Adams and Jay would give them for the future the control of the commission, but it was still clear that the success of the negotiations would be greatly endangered should Dr. Franklin at any time insist that France was entitled to the confidence of the commission, and that the congressional instructions should be obeyed.

Jay and Adams were both aware, as their frank letter to the secretary shows, that secrecy was essential to their success; that great caution should be observed, to prevent their negotiations becoming known directly to the court of France, or to Congress and the French minister at Philadelphia; and that unless Franklin should acquiesce in their views it might be impossible to command the terms as to the boundaries or the fisheries for which they hoped. The way had been opened by the new commission and the more favorable disposition of the English court for this task, which was undertaken by Adams, and accomplished with singular discretion and success.

Three days after his first conversation with Jay, Adams passed an evening with Franklin, who was still an invalid at Passy. "I told him," writes Adams, "without reserve my opinion of the policy of this court, and of the principles, wisdom, and firmness with which Mr. Jay had conducted the negotiation in his sickness and my absence, and that I was determined to support Mr. Jay to the utmost of my power in the pursuit of the same system. The doctor heard me patiently, but said nothing. The first conference we had afterwards with Mr. Oswald, in considering one point and another, Dr. Franklin turned to Mr. Jay and said, 'I am of your opinion, and will go on with these gentlemen in the business without consulting this

¹ Adams's *Works*, iii. 300.

² *Life of Jay*, i. 152.

court.'” The significance of this announcement by Dr. Franklin in the presence of all the commissioners, American and English, confirmed as it was by his adherence to the course of which he then declared his adoption, and by the joint letters signed by him to Congress, seems to have been hardly appreciated by those writers who have insisted that Dr. Franklin had continued to believe in the devotion of France to the American claims, and that when he consented to join Jay and Adams in concealing their negotiations from the French court, he inwardly regarded himself and his colleagues as guilty of an act of national ingratitude and bad faith.

Apart from the argument in favor of this reserve towards France, based on the belief, held by the American commissioners and now confirmed by the Vergennes instruction, that that court was unfriendly to the American claims, such reserve on the part of the American commission seemed to be justified by the fact mentioned by Mr. Adams to Secretary Livingston (November 6, 1782), that “the negotiations at Versailles between the Count de Vergennes and Mr. Fitzherbert are kept secret, not only from us, but from the Dutch ministers, and we hear nothing about Spain.”

Touching the part which Franklin took in the subsequent negotiations, so far as his health would permit, both Adams and Jay cordially concur.¹ In alluding to Franklin's announcement of his acquiescence in Jay's opinion, Mr. Charles Francis Adams has remarked that his objection to it had doubtless been increased by the peculiar relations he had previously sustained to the French court, and by a very proper desire to be released from the responsibility of what might from him be regarded as a discourteous act, while no such delicacy was called for on the part of the other commissioners.

Reinforced respectively by the arrival of Adams and Strachey, the commissioners renewed the negotiation, modified somewhat by the new instructions of the British cabinet to Strachey, but with the disposition on both sides for an early and friendly adjustment, inspired by the results of Vaughan's mission.

Franklin, Adams, and Jay had as their secretary W. T. Franklin, a grandson of the venerable commissioner; and with Oswald were now associated Strachey, Robert, a clerk in the Board of Trade, and Whitehead, the secretary of Oswald. “These gentlemen,” Adams wrote, “are very profuse in their professions of national friendship, of earnest desires to obliterate the remembrance of all unkindness, and to restore peace, harmony, and

¹ Adams wrote: “He has accordingly met us in most of our conferences, and has gone on with us in entire harmony and unanimity throughout, and has been able and useful, both by his sagacity and his reputation, in the whole negotiation.”

Jay, whose intimate friendship with Franklin continued unbroken through life, and was marked by his appointment by Franklin (Sept. 11, 1783) as one of the executors of his will, paid a sim-

ilar tribute in his reply to Franklin's request for his testimony on this point. Among other things he said: “I have no reason whatsoever to believe that you was averse to our obtaining the full extent of boundary and fishery secured to us by the treaty. Your conduct respecting them throughout the negotiations indicated a strong and steady attachment to both these objects and in my opinion promoted the attainment of them.”

friendship, and make them perpetual by removing any seed of future discord."

It would seem from a passage in a letter of Adams,¹ alluding to Rayneval's journey to London, and to a suspicion that he went to insinuate something relative to the fisheries and the boundaries and the probabilities of the result, that he was unaware of the revolution suddenly effected in the English policy by the disclosure of the fact that the American commission understood and would resist the opposition of France and Spain to the American claims, and by the considerations in regard to the true policy of Great Britain which Vaughan had presented to Shelburne.

But while uninformed of the facts excepting as regards the new commission to Oswald, Adams (October 31) wrote: "It is now apparent, at least to Mr. Jay and myself, that in order to obtain the western lands, the navigation of the Mississippi, and the fisheries, or any of them, we must act with firmness and independence, as well as prudence and delicacy. With these there is little doubt we may obtain them all."

A cordiality and regard marked the intercourse of the American commissioners with Oswald. They met at each other's apartments, and frequently dined together, and occasionally with Vergennes. The questions on which the commissioners were divided, and on which their debates were long and earnest, were the northeastern boundaries, the details of the fisheries, and the loyalists. The question of paying debts incurred before the war, upon which the English strongly insisted, and to which Dr. Franklin had responded, as in regard to compensation to the Tories, that neither the commissioners nor Congress had power, was solved by a remark from Adams to Oswald in the presence of Jay, and repeated in that of Franklin, that he had no idea of cheating anybody; that the question of paying debts and that of compensating Tories were two. This was regarded by the English with great satisfaction. "I saw," wrote Adams in his diary, "that it struck Mr. Strachey with peculiar pleasure; I saw it instantly smiling in every line of his face." Franklin and Jay, in a subsequent conversation, agreed to Adams's proposal on the subject for the payment of all just debts, which was welcomed also as silencing the clamor of British creditors, and preventing them from making common cause with the refugees. Strachey at once wrote home (October 29) hopefully that he thought something might be gained.

When the question of the northeastern boundary was raised, the English at first demanded the whole of Maine, and in default of this wanted at least to have the Penobscot and Kennebec within their limits. This point was long and obstinately disputed, until Adams, who had arrived, as he said, at a lucky moment for the boundary of Massachusetts, silenced all objection by producing the official statements of former governors of that commonwealth, besides other documents, to prove that Maine had always been treated as a part of Massachusetts. Between the St. Croix and the St.

¹ *Dipl. Corresp.*, vi. 438.

John for the boundary of Maine there was some confusion; eventually the St. Croix was chosen as a compromise between the St. John and the Penobscot.¹ It may be proper to add that by the joint commission appointed in 1796, under the fifth article of Jay's treaty of 1794, to determine the eastern boundary, and what river was truly intended under the name of the river St. Croix, it was decided that the river Scoodiac was meant. The northern boundary was settled by a compromise between the restricted limit which England had assigned to Canada in 1754, when it was in the possession of the French, and her extension of the province of Quebec in 1774 to the Ohio.² The new line ran through the centre of the lakes to the source of the Mississippi, an alternative offered being a line along the forty-fifth degree of latitude.³

The right of drying fish was conceded by the Americans, on condition that Nova Scotia should be substituted for Newfoundland. The discussions on the fishery were long and careful. Both sides wished to arrange the matter so as to avoid future dispute, but the English idea was to effect this by separating the Americans from the English fishery, whereas Jay and Adams argued that any restriction of a right of such importance to America would certainly lead to war.⁴

The American commissioners, while guarding their great interests in the boundaries and the fisheries, made some minor concessions in addition to that so welcomed by the British commissioners for the payment of antecedent debts.⁵ They had agreed to accept the St. Croix instead of the St. John as the boundary, and that from its source the eastern boundary should be the line indicated in the proclamation of 1763. "We have gone," wrote Adams, "the utmost length to favor the peace. We have at last agreed to boundaries with the greatest moderation. We have offered them the choice of a line through the middle of the great lakes, or the line of forty-five degree of latitude, the Mississippi, with a free navigation of it at one end, and the river St. Croix at the other."⁶ The line adopted was marked on copies of Mitchell's map, and it was the temporary loss of one of these maps that led to the difficulties terminated in 1842 by the Ashburton Treaty.

The remaining point was one on which neither side showed any sign of yielding: the compensation of the loyalists. All that Strachey's arguments could secure was a clause that Congress, which had no power to bind the States in this regard, should recommend to the States to correct, if necessary, their acts respecting the confiscation of land, so as to render them consistent with perfect justice and equity.

On the 4th of November, the Articles were drawn up for the approval of the British ministry. "Some material points are gained," Oswald wrote, "though as to refugees, far short of what was wanted." Strachey

¹ *Shelburne*, iii. 294.

² *Lecky*, iv. 274.

³ *Dipl. Corresp.*, vi. 442.

⁴ *Adams's Works*, iii. 338.

⁵ *Shelburne*, iii. 294.

⁶ November 6. *Dipl. Corresp.*, vi. 442.

was satisfied that the debts prior to 1775 were safe.¹ The papers were forwarded with a marked map. The American commissioners had objected to any change in the wording of the articles which they had drawn up, and Oswald, surprised at Jay's careful adherence to the original draft, wrote: "I did not expect to find him so uncommonly stiff about the matter." Strachey wrote: "You will see by the treaty all that could be obtained." He said with truth that the recovery of the property of the refugees had been "most obstinately fought for"; and on November 4th, Strachey addressed a letter to the American commissioners, making a last appeal for "stipulation for the restitution, compensation, and amnesty before we proceed further in this negotiation." On November 5th, he announced to them his intended departure for London on the same day.²

Oswald wrote (November 6, 9) to Townsend, that Jay had said "he hoped we would not let this opportunity slip, but resolve speedily to wind up the long dispute, so that we might become again as one people," and that he had reminded them that they had hitherto acted in the negotiation under the instruction of 1779, when their affairs were not quite in as good a position as at present, and had gone to the full stretch of them and further; that if they now broke up, their new instructions would be of a very different character, and they would no doubt be directed to state the depredations and unnecessary destruction of property over all their country as charges against the British demands.

During Strachey's absence, Oswald made new efforts to get the commissioners to relax on the subject of the loyalists, but was constantly met with the objection that neither they nor Congress had power to coerce the

¹ Strachey had won an acknowledgment from both sides for his persistent energy. "He pushes and presses every point as far as it can possibly go. He is the most eager, earnest, pointed spirit," Adams wrote in his diary. "He has enforced our pretensions by every argument that reason, justice, or humanity could suggest," Oswald said to Townsend.

² At this time he repeated his former assurance, that "a refusal on this point would be the great obstacle to a conclusive ratification of that peace which is meant as a solid, perfect, permanent reconciliation and reunion between Great Britain and America. . . . It affects equally, in my opinion, the honor and humanity of your own country and of ours. How far you will be justified in risking every favorite object of America by contending against those principles is for you to determine. Independence and more than a reasonable possession of territory seem to be within your reach. Will you suffer them to be outweighed by the gratification of resentment against individuals? I venture to assert that such a conduct has no parallel in the history of civilized nations."

The reply of the commissioners, dated also

November 5th, after stating the impracticability of restoring the estates of refugees, which had been confiscated by laws of particular States pertaining to their internal polity, with which Congress had no authority to interfere, thus calmly and courteously, but with a significance which was appreciated at London, responded to the plain words and blunt suggestions of the British negotiators: "As to your demand of compensation to those persons, we forbear enumerating our reasons for thinking them ill-founded. In the moment of conciliatory overtures, it would not be proper to call certain scenes into view over which a variety of circumstances should induce both parties at present to draw a veil. . . . We should be sorry if the absolute impossibility of our complying further with your proposition should induce Great Britain to continue the war for the sake of those who caused and prolonged it. But if that should be the case, we hope that the utmost latitude will not again be given to its rigors. Whatever may be the issue of this negotiation, be assured, sir, that we shall always acknowledge the liberal, manly, and candid manner in which you have conducted it."

separate States to compensate them, and that England's interest was rather to compensate them herself, if it was necessary, than spend six times the sum in carrying on the war for that object. Still he was cheered to find that there was no sign of a renewal of the old confidence between America and France. From Adams's conversation he gathered that in this the Americans gave themselves little concern about the French court. Adams foresaw that attempts would be made to involve America in the future wars of France and England, and thought it their interest and duty to be completely independent, and have nothing to do with either of them except in matters of commerce. Jay was equally clear in his convictions of the necessity for caution.

Vergennes had received no exact report of the commissioners' doings since the arrival of Oswald's commission, when he understood that the English representative was showing himself ready to give way (*assez coulant*).¹ He complained of the reserve of Franklin and Jay, in a letter to Luzerne, on October 14, and wished it to be brought to Livingston's notice, though as a reminder rather than a complaint. The relations of Luzerne and Livingston were, according to Luzerne, extremely cordial, and Livingston promised (December 30) gently to remind the commissioners of the neglect complained of, "without letting them know," Luzerne wrote to Vergennes, "that it was in consequence of my insinuations."² Meanwhile, Vergennes rather confirmed the difference between himself and the Americans by arguing with them and with the English commissioners in favor of England on the fishery, the boundaries, and the loyalists, and announcing in addition to this view that the demands of the American commissioners on the subject of the loyalists were unreasonable, and that France would not continue the war for American objects.³ On October 24, Rayneval dined with Jay and Franklin at Passy, and on learning that the negotiation was at a standstill, owing to their boundary and fishery claims, endeavored to persuade them that these claims were ill-founded.⁴ Another inquiry was made (November 19) by Vergennes in regard to the state of the negotiation. Adams⁵ told him that they were divided on two points, the Tories and the Penobscot; and he produced documents to show that the Penobscot claim was invalid. "The Count said that Mr. Fitzherbert told him they wanted it for the masts." "I told him," said Adams, "that I fancied it was not masts, but Tories, that made the difficulty; some of them claimed lands in that territory, and others hoped for grants there. The Count said it was not astonishing that the British ministry should insist upon compensation to them, for that all the precedents were in favor of it. I begged his pardon in this, and said that in Ireland at least there had been a multitude of confiscations without restitution."

Although a similar reserve in regard to their respective negotiations

¹ Circourt, iii. 292.

² *Shelburne*, iii. 300.

³ *Ibid.* iii. 300.

⁴ *Dipl. Corresp.*, viii. 205.

⁵ Adams's *Works*, iii. 304.

marked the intercourse of the French minister and the American representatives, they maintained cordial and friendly relations. Adams, after some delay, had, at the suggestion of Lafayette, called on the Count de Vergennes, who with the countess had treated him with marked civility. On November 23, Vergennes wrote to Luzerne. The negotiators, he said, were busy with the boundary question, — both sides wanting the Penobscot. There would be equal difficulties about the western boundaries and the fishery, to which the Americans had forfeited their rights; and England could not well be expected to abandon the loyalists, since it was a usage observed by all nations to stipulate in a treaty for amnesty and restitution of property. "If the negotiation were more advanced," he continued, in words that showed how successfully the commissioners had maintained the secrecy of their councils, "I should use the influence which Congress thought fit to give the king, for the purpose of making the American plenipotentiaries more conciliatory; but as the conclusion of the peace does not depend solely upon their readiness to yield, it would be premature to press them, because the distrust which they would conceive of our advice could only make them more obstinate." Accordingly, he had taken no further part than that of recommending moderation. "If the American commissioners send exact reports to Congress, they cannot complain that we are trying to obtrude our influence upon their negotiation. I receive what it pleases them to tell me, and they know that in an emergency I will do them all the services in my power, but I do not try to know more than what they are disposed to inform me of. I shall be always ready to come to their help, because I foresee that they will have more than one difficulty to overcome, and even very great difficulties if they persist in their original claims. In spite of the flattery which the English ministers lavish on the Americans, I do not expect them to yield in the matter of boundaries or fisheries."

Vergennes was evidently satisfied that the English and Americans were hopelessly at variance, and that he was certain in the end to be called upon to intervene. He little suspected that in a week from that date (November 23) preliminary articles, to take effect at a general peace, framed without the assistance of France, and settling in a manner satisfactory to the Americans, but unsatisfactory to Spain and France, the fisheries and the boundaries, the Mississippi, the lakes, and the loyalists, would have been completed, signed, and sealed.

Strachey, delayed by contrary winds, did not reach London until the 10th.¹ He found the ministry little inclined to be conciliatory. The king was agitated by the fear of sacrificing the country's interests by hurrying on the treaty, and by the dread of posterity blaming him for "the downfall of this once respectable empire."² Shelburne's colleagues, Richmond and Keppel, proposed Oswald's recall, declaring that he was only an additional American negotiator. Shelburne himself, with Townshend and Pitt, were

¹ Adams's *Works*, iii. 314.

² *Shelburne*, iii. 297.

true to the cause of the loyalists. British opinion demanded that they should not be abandoned. On the other hand, says Shelburne's biographer, was the risk that persistence might throw the Americans back into the arms of the French. The bolder course recommended itself to the mind of Shelburne; and the cabinet presently (November 14) decided upon the preliminaries for a treaty, making the third set of articles which Strachey was to take back to Paris, — "Such a treaty as we can sign," Townshend wrote to Oswald, adding that it was the unanimous intention of the cabinet to adhere to the form now proposed. Limitations of distance from shore, taken from former treaties with France, were placed on the extent of the American fishery rights, and a stipulation was once more demanded for an indemnity for the estates of the refugees and loyalists, and for the proprietary rights of the Penns and Baltimore, as well as for debts contracted subsequently as well as prior to 1775.¹

But the instructions showed some signs of weakening. Private exceptions were understood to be admissible. Strachey was to receive secret instructions, "stating the different classes of loyalists, which of them are to be finally insisted upon, and which only contended for." Once more Shelburne addressed a letter on their behalf to Oswald.² "This country," he said, "is not reduced to terms of humiliation, and certainly will not suffer them from America. If ministers, through timidity or indolence, could be induced to give way, I am persuaded the nation would rise to do itself justice and recover its wounded honor. If the commissioners reflect a moment with that coolness which ought to accompany their employment, I cannot conceive they will think it the interest of America to leave any root of animosity behind, much less to lodge it with posterity in the heart of the treaty. If the American commissioners think that they will gain by the whole coming before Parliament, I do not imagine the refugees will have any objection."

For the final effort to secure a better bargain from the commissioners, Fitzherbert was to join the other negotiators, in order to let the Americans see the possibility of an appeal to France, and he was "to avail himself of France so far as he may judge prudent from circumstances." Oswald, who had originally the sole charge of the negotiation, was now referred for all particulars to Strachey. He was to sign whenever Fitzherbert, Strachey, and himself thought it expedient.

Strachey had been followed to England by Vaughan, who, regretting the effects of the interposition of Strachey at Paris, undertook for a second time to represent the American views to the ministry, and felt confident that when they heard the truth about the loyalists, whose true history, he said, was little known in England, they would hold out no longer.³ But before he reached London the ministry had decided to persevere on the main points, but not to break off the negotiation should the Americans

¹ *Shelburne*, iii. 298.

² *Ibid.* 299.

³ *Adams's Works*, iii. 312; *Dipl. Corresp.*, vi. 463.

remain firm. While the commissioners were waiting Strachey's return, their uncertainty was increased by rumors that the meeting of Parliament on the 26th would lead to a change of ministry.¹ It seemed doubtful whether Shelburne could hold his ground without the support of either North or Fox; and if North came in, the prospects of peace looked unpromising. "Shelburne is not so orthodox as he should be," said Adams, "but North is a much greater heretic in American politics;" and he thought it quite possible that some members of the old ministry might join Shelburne, and persuade him to fall in with "the wing-clipping system" with regard to America.²

To give a better chance of a settlement, the session of Parliament was prolonged to the 5th of December. Strachey received his new instructions on November 21; three days afterwards he arrived at Paris,³ and on Monday, November 25, Franklin, Jay, and Adams met at Mr. Oswald's lodgings. The change in the fishery article was first discussed. The fishery question was the only one where there was an appearance of conflict with France, and Adams remarked that the new ideas seemed to come piping hot from Versailles. He explained at great length the natural rights of the Americans to the fishery, the advantage which their retaining it would bring to English commerce, and the ill-feeling and contention that would be caused by excluding them. Jay desired to know if Oswald had now power to conclude and sign with them. Strachey said he had absolutely. Jay asked whether the propositions now submitted were ultimatum, and Strachey seemed loath to answer, but at last said no, which the commissioners agreed were good signs of sincerity.

On the following day, Fitzherbert, who now appeared in the negotiation for the first time, and who struck Adams as discreet and judicious, reported the state of his discussion with France on the fishery question: France was in favor of settling the boundaries within which each nation should fish, by way of avoiding disputes.⁴ Adams then proved to him, by documents which he had received from Izard, that the French had no exclusive right to the fishery between Cape Bona Vista and Point Riche. He argued that the fishery was the only resource of New England, and that "if the germ of a war was left anywhere, there was the greatest danger of its being left in the article respecting the fishery." The rest of the day was spent in discussing the loyalists, a subject on which Franklin gave emphatic opinions. The commissioners were unanimous in rejecting the English proposal.

After four days of animated debate, a final arrangement was made on the 29th. Strachey's last effort to change the fishery clause was his proposal to substitute the word "liberty" for "right." Adams answered this suggestion with spirit, and said that the right was theirs by nature, by possession, and by conquest. Fitzherbert expressed himself convinced, but

¹ Adams's *Works*, iii. 318.

² *Ibid.* iii. 321; *Dipl. Corresp.*, vi. 463.

³ Adams's *Works*, iii. 328.

⁴ *Ibid.* iii. 330.

objected that they were merely "pens in the hands of the government," and that it would be necessary to send a courier home before deciding. The commissioners, who had now been joined by Laurens, suggested that if another messenger were sent to London, he should carry a memorial to the government for compensation for the damage done by British troops in plundering Boston, Philadelphia, and other towns. On hearing the statements on this point of Adams, Franklin, Jay, and Laurens, the English negotiators retired for consultation, and on their return they agreed to accept the terms proposed by the Americans in their ultimatum respecting the fishery and loyalists. By the terms of this ultimatum there were to be no further confiscations of property, or prosecution of loyalists; and Congress was to recommend to the legislatures of the different States that confiscated estates of British subjects, and Americans who had not taken up arms, should be restored. The new form of the articles was regarded by the English commissioners as an improvement over the modification previously proposed.

Glad as the English commissioners were to be relieved from their wearisome struggle, they could not help being a little distrustful of the reception which their articles would meet with at home. "Are we to be hanged or applauded," Strachey wrote to Nepeau on the night of the 29th, "for thus rescuing you from the American war? If this is not as good a peace as was expected, I am confident it is the best that could have been made." Fitzherbert wrote (November 29) that he had reluctantly assented to the fishery clause, seeing it to be inevitable; and Oswald was certain that "there could have been no treaty at all if we had not adopted the article as it now stands." "A few hours ago," he said, "we thought it impossible that any treaty could be made. We have at last, however, brought matters so near a conclusion that we have agreed upon articles, and are to meet tomorrow for the purpose of signing."

The next day (December 30, 1782,) the commissioners met first at Mr. Jay's, and then at Mr. Oswald's, to examine and compare their copies of the treaty. At Laurens's suggestion a stipulation was added prohibiting the British from carrying off with them "negroes or other American property." "Then the treaties," wrote Adams,¹ "were signed, sealed, and delivered, and we all went out to Passy to dine with Dr. Franklin. Thus far has proceeded this great affair. The unravelling of the plot has been to me the most affecting and astonishing part of the whole piece."²

The Provisional Articles of Peace, so signed, were to be inserted in and

¹ Adams's *Works*, iii. 336.

² The articles were ten in number. The *first*, an acknowledgment by his Britannic Majesty of the thirteen colonies as free, sovereign, and independent States, and a relinquishment of all claims to the government property and territorial rights.

The *second*, an agreement upon the boundaries

extending to the Mississippi, and including the northwest territory north of the Ohio.

The *third* secured to the United States the right to the Newfoundland fishery and elsewhere, and to dry their fish on Nova Scotia, Magdalen Islands, and Labrador.

The *fourth* provided for the payment of creditors on either side.

to constitute the Treaty of Peace proposed to be concluded between the Crown of Great Britain and the United States, but it was declared that such a treaty should not be concluded until terms of peace should be agreed upon between Great Britain and France, and his Britannic Majesty shall be ready to conclude such treaty accordingly.¹

The American commissioners had good reason for mutual congratulations. It would be difficult to find a parallel in modern diplomacy to the complications and intricacies by which, at the outset, the American commissioners were surrounded; and their situation presented a curious contrast to that which had been presented during the war now drawing to a close, and in which the parties were the same, while their situation and relations were different. In the war the young republic was aided by France and Spain in her struggle for independence against Great Britain, and now, on the field of diplomacy, in her contest for national independence not only of Great Britain, but of the world, and for the boundaries and resources which were essential to that independence and to her future greatness, the American commissioners in Paris, fettered by their instructions, and without the friendly aid of a single government in Europe, found themselves confronted by the hostile policy of the three great powers, wielded by the most experienced and accomplished diplomatists of London, Paris, and Madrid.

Even when there was no thought of any foreign hostile intervention against the American claims, the task of negotiating a peace with Great Britain had been regarded by Congress as so fraught with difficulty that the United States, if unaided, could hope for no success, and that it could expect no concessions except through the intervention of France; and although the victory at Yorktown seemed to be recognized as ending for England all reasonable expectation of conquering America, the situation was not such as to justify sanguine hopes on the part of the Americans of obtaining satisfactory terms either as regards boundaries or the fisheries.

The *fifth*, that Congress should recommend to the State legislatures to restore the estates, rights, and properties of real British subjects, they refunding the bona fide prices paid since the confiscation, and a revision of all laws regarding the premises.

The *sixth*, that no future confiscations or prosecutions should be made — persons confined on charges by reason of the war to be set at liberty.

The *seventh*, that there should be a firm and perpetual peace between the countries, and providing for the withdrawal of the British troops, etc.

The *eighth*, that the Mississippi River should be forever open to the citizens of both countries.

The *ninth*, that any place or territory of either country conquered by the arms of the other before the arrival of the articles in America, should be given up.

The *tenth*, that the ratification of the treaty should be exchanged within six months.

A "separate article" defined the boundary line between the United States and West Florida, should Great Britain possess the latter province at the end of the war.

¹ [Benjamin West began and never finished a picture commemorative of the treaty, which shows the figures of Franklin, Adams, Laurens, Jay, and Temple Franklin. It was engraved, and from that reproduction a woodcut is given in Mrs. Lamb's *New York City*, ii. 267. There is a mezzotint likeness of Hartley, engraved by Walker, after a painting by Romney, from a copy of which, given by Hartley to Franklin and preserved by the latter's descendants, a cut is given by Mrs. Lamb (vol. ii. 269). — ED.]

The loss of the American colonies after so long a struggle was not simply a severe blow at the power and spirit of Great Britain, but an exaggerated idea prevailed of the disastrous consequences of that blow, and perhaps not unnaturally indisposed the ministry to anything like an amiable generosity in conferring favors and concessions on the successful colonies that would add to their power as commercial rivals, or tend to establish their future greatness. Shelburne himself had said, so recently as July 10, 1782, when constituted First Lord of the Treasury, that "whenever the British Parliament should recognize the sovereignty of the thirteen colonies, the sun of England's glory was forever set." A similar opinion had been expressed by Lord Chatham, Lord George Germain, and Dunning. The opposition was watching every step, and the temper of Parliament and of the people was as far as possible from a disposition to treat with tenderness the revolted colonies.

But the delicacy and embarrassments of the task of negotiation, as regards Great Britain, were complicated and increased by the fact, which Jay and Adams soon saw and felt, but which some historians seem to have had difficulty in comprehending even with the light of a century, that the destiny of the United States had, by the chances of the war, become entangled in the meshes and mazes of European diplomacy. A foreign influence hostile to the claims of America, hostile to her immediate recognition as an independent power, hostile to the boundaries, the Mississippi, and the fisheries, pervaded the air, blended with courtly assurances of the royal devotion to American interests, — assurances which Congress had not hesitated to accept, backed as they had been by a friendly alliance and generous and efficient aid in money, ships, and men. Now that the secret correspondence of that day lies open to the world, the difference in the tone of Vergennes to his agents and that which he assumed to Congress, exhibiting the dissimulation which then passed as statesmanship, recalls the maxim of the Roman emperors in Rome's decline, "He who knows not how to dissimulate knows not how to govern."

In this dilemma watchfulness and caution were clearly the first duty of the Americans until they should learn where they stood, and how their enemies were prepared to strike; and the wisdom of the refusal of Jay to proceed under the first commission is clear from the historic facts: first, the fact, which he could not then know, that France, after her agreement with America by the treaty of alliance to carry on the war until American independence should be secured, had afterwards agreed with Spain to continue the war for Spanish ambition until Gibraltar should be taken. So that, while it was the right of America to stop the war so soon as her independence was acknowledged, it had become the interest of France, by her new agreement with Spain, to postpone the recognition of American independence, so as to retain America in the war, which was to be carried on for the interest of Spain, in which America had no concern.

But apart from that fact, of which Franklin and Jay were kept by France

in ignorance, the American claim to treat as an equal sovereign was rejected by Vergennes, on the contention that America had lost whatever rights of territory or of the fisheries she had enjoyed as colonies when she voluntarily withdrew from her allegiance. The recognition of her independence in advance of the treaty was essential to make the treaty one, not of condonation and concession to revolted colonies, but one of separation, and for the division of sovereignty between equal and independent powers.¹

The steady refusal of Jay to proceed on any but an equal footing,—a refusal in perfect accord with his resolution to make a good peace or none at all,—by staying the progress of the general negotiations which were to proceed together, made the American commissioners in no slight degree the masters of the situation, and induced Great Britain to offer, if they would only proceed, to relinquish both the debts and reparation to the Tories.

A most important step was accomplished by the Americans in securing without apparent effort and to a remarkable degree the confidence and regard of Oswald, whose letters show the increasing influence of their opinions, and the extent to which he was affected by the frankness of Jay's criticism of English blunders and by the breadth and soundness of his views in regard to the true English policy; and this confidence of Oswald gradually extended itself to the ministry at London, and inspired the remarkable degree of confidence on the part of Shelburne which at the critical moment decided the policy of England and the destiny of America. The illness of Franklin had thrown the responsibility upon Jay; and while he was calmly waiting, observing, and conceding nothing of the national dignity, there presently occurred in succession the three incidents: first, the intercepted letter of Marbois, which disclosed the French scheme to deprive America of the fisheries; second, the memoir of Rayneval, professing to give his personal views, but which Jay instantly recognized as the energetic views of his chief against the boundaries of the Mississippi; and lastly, one that seemed to illuminate the entire situation and explain the tactics against which they were to guard, namely, the discovery that Rayneval, with special precautions for secrecy, had gone to England. Jay decided without hesitation that Rayneval was intended to bring the influence of France and Spain to bear against the American claims. Jay, whose experience in Spain had sharpened his intelligence of Spanish politics, was

¹ The views of Congress on this point had been clearly stated in their instructions to Jay when in Spain, in these words: "While they remained a part of the British Empire, the sovereignty of the King of England did not extend to them in virtue of his being acknowledged and obeyed as king by the people of England, or of any other part of the empire, but in virtue of his being acknowledged and obeyed as king of the people of America themselves; and that this

principle was the basis of their opposition to, and finally of their abolition of, his authority over them. From these principles it results that all the territory lying within the limits of the United States, as fixed by the sovereign himself, was held by him for their particular benefit, and must, equally with his other rights and claims in quality of their sovereign, be considered as having devolved on them in consequence of their resumption of the sovereignty themselves."

able to form a clear idea of the situation, which accordingly enabled him to decide upon the first aggressive step in the negotiation.

The court of Spain had viewed with extreme displeasure the alliance of France with the United States in 1778; for, while willing to see a blow struck at the pride and power of Great Britain, it was not ready to view with satisfaction, or even with indifference, the rise of a power based upon a rebellion of colonists against the divine authority of a king, and the formation of a republic devoted to civil freedom, and marked by what Burke called the dissidence of dissent and the protestantism of the Protestant religion. Spain at this time controlled nearly half of South America, with valuable colonies in North America, a well-appointed army and navy, an extensive commerce, and considerable wealth; while her importance in the European system was increased by the family compact which bound together the several branches of the house of Bourbon, and especially of France and Spain, with the maxim, *Qui attaque une couronne attaque l'autre*.

The alliance of France with America without the approval of Spain was regarded by Spain as a breach of the Family Compact; and the subsequent urging by Vergennes that she should engage in the war was at last successful on the condition that France should agree that, if she could drive the British from Newfoundland, its fisheries were to be shared only with Spain, and that Spain was to be left free to exact a renunciation of every part of the basin of the St. Lawrence and the lakes, of the navigation of the Mississippi, and of all the land between that river and the Alleghanies. By this bargain the price to be paid to Spain for entering into the war was the surrender to her of what constituted the fairest fruits of the war for which America had been contending.¹ Of the diligence, the finesse, and the ingenious methods with which the accomplished chief of the French foreign office pushed the policy, agreed upon with Spain, at Madrid, at Paris, at Philadelphia, and at London, the French and English archives add varied and abundant evidence to that already furnished by the *Secret Journal* of the old Congress, which show the influence exerted over that body in their appointments and instructions to enable Vergennes to control at pleasure the peace negotiations. But however perfect and complete the arrangements of France and Spain for managing the negotiation on the part of America, and carrying out the scheme, so elaborately explained in the secret memoirs, for dwarfing the boundaries and resources of the republic, and so subjecting it to the control of the European courts as to make it feel the necessity of allies, protectors, and sureties, the one government which had power to determine the boundaries and decide the question of the fisheries was Great Britain; and her concurrence in the scheme was essential to its success. The testimony of Lord St. Helens (Mr. Fitzherbert) shows how actively the influence of Vergennes was brought to bear on that able diplo-

¹ See the map from the *Life of Shelburne*, iii. also reproduced in Jay's *Address*, p. 120, and in 170, which is here reproduced. This map is George Shea's *Hamilton*, p. 134.

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mat at Paris against the claims of the Americans to the recognition of their independence in advance of the treaty, and to the fisheries, with stress upon "the expediency of a concert of measures between France and England for the purpose of excluding the American States from these fisheries, lest they should become a nursery for seamen."

But no concert of action on this point or on the boundaries had been established; nor does it appear that the scheme of the boundaries by which Great Britain was to retain the Ohio territory had been at all discussed until M. de Rayneval was dispatched to England, and broached the subject in the conversation with Shelburne and Grantham, being assisted in doing so, according to his own report to Vergennes, by the expression of a hope by Shelburne that the king of France would not sustain the Americans in their demands; and then he disclosed the views of France, playing into the hands of the English ministry, and calling their attention to the fact that the limits which he thought should be assigned to the United States relating to the Ohio were those to be found in the negotiations of 1754, which was a distinct intimation that France wished England to retain the great territories north of that river.

When the skilful secretary of Vergennes, after recommending the views of France and Spain, was promptly followed by Vaughan, the Americans were represented, not by a trained diplomat, but by one whom Shelburne knew and honored and trusted. When he had presented the views with which he was charged, and which his own judgment confirmed, Shelburne understood at once the real position, and accepted the force of the American argument, which appealed to the noblest principles and aims of British statesmanship. He recognized, too, the character and resolution of the men with whom he had to deal, and acknowledged the wisdom of establishing relations of confidence and friendship with the new republic. The instant effect of the change made in Shelburne's policy was shown by his asking Vaughan, "Is the new commission necessary?" and ordering it to be prepared, that Vaughan might carry back the bearer of it in his chaise.

Shelburne's assurance to Oswald, read in connection with this significant action, was in reversal of his previous and persistent policy, and his declaration that he had done all that was desired, and had put the greatest confidence ever placed in man in the American commissioners, indicates the thoroughness of the determination to prefer friendship and good will with America to an alliance against the republic with the two branches of the house of Bourbon, whose ancient jealousy of England had been conspicuously developed in the pending war.

In the attempt of the ministry, with the aid of Strachey and Fitzherbert, to obtain some modification in favor of the Tories, no disposition appears to sacrifice the interests of America to those of France and Spain, in the great features touched by Rayneval of the fisheries or the boundaries. Admitting the force of Lecky's suggestion that England would have had to pay the equivalent for any concessions made to her by France at the expense

of America, there is room for the recognition in the new policy of the ministry of a larger statesmanship.

Jay, who was not disposed to place too much confidence in any court, wrote, December 14th, to Livingston in regard to the disposition of the British ministry: "Although perhaps particular circumstances constrained them to yield us more than perhaps they wished, I still think they meant to make (what they thought would really be) a satisfactory peace with us." And later he said to Vaughan (March 26, 1783), "I have written to my countrymen that Lord Shelburne's system respecting them appeared to me to be liberal and conciliating;" and of Oswald he said, "He deserves well of his country, and posterity will not only approve, but commend his conduct."

The enlightened opinion of the England of to-day rightly attributes the resistance of the American colonists to their devotion to English rights and English principles; and if Shelburne had accepted the overtures of Rayneval, and joined France and Spain in their scheme for dwarfing the boundaries of the republic and subjecting it to the balance of power system of Europe, the England of to-day would have condemned such an alliance for such a purpose — an alliance with princes of the house of Bourbon to restrict and control the American republic, and to subject the valley of the Mississippi to the rule of Spain, civil and religious — as a policy unworthy of Great Britain, and of her honorable destiny as the mother of States.

However great the errors committed by her in the American struggle, it may always be remembered to her credit that in the peace negotiations Shelburne, declining all temptations to a contrary course, endowed the republic with the gigantic boundaries at the south, west, and north, which determined its coming power and influence and its opportunities for good, and enabled it a little later peacefully to secure the magnificent territories of Orleans and that of the Floridas, and gradually to extend the blessings of American freedom and civilization throughout so large a part of the western continent.

Since the disclosure of the Vergennes correspondence, both English and American historical writers¹ have been impressed with the tact and skill

¹ Among whom Lecky and Fiske are conspicuous. "On the part of the Americans," says Fiske, "the treaty of 1783 was one of the most brilliant triumphs in the whole history of modern diplomacy. Had the affair been managed by men of ordinary ability, the greatest results of the Revolutionary War would probably have been lost; the new republic would have been cooped up between the Atlantic and the Alleghanies; our westward expansions would have been impossible without further warfare; and the formation of our Federal Union would doubtless have been effectively hindered or prevented."

"It is impossible," continues Lecky, "not to

be struck with the skill, hardihood, and good fortune that marked the American negotiation. Everything the United States could, with any shadow of plausibility, demand from England they obtained; and much of what they obtained was granted them in opposition of the two great powers by whose assistance they had triumphed. The conquests of France were much more than counterbalanced by the financial ruin which impelled her with giant steps to revolution. The acquisition of Minorca and Florida by Spain was dearly purchased by the establishment of an example which before long deprived her of her own colonies. Holland received an almost fatal blow by the losses she incurred during the

with which the American commissioners calmly extricated the republic from the perils that surrounded it, by disregarding the congressional instructions when it was found that they would endanger rather than save the country, and by adopting the wise, courageous, and dignified policy which maintained at once the dignity and the rights of the nation.

With the quick resolution of Shelburne and his associates to stand by the Americans and not by the Bourbons, the chief danger to the Americans was overcome; but wisdom, skill, and tact were still essential to complete the terms with England and to put them in a permanent shape. And knowing how much depended upon the last step, it is worthy of note that the American commissioners, to the close, acted with perfect coolness and deliberation, as though they were masters of the situation, and with a perfect observance of good faith, to France as regards the French-American alliance, and to England in exposing with entire frankness the want of power on the part of Congress to do what was asked on behalf of the Tories. At the same time the American commissioners knew the absolute importance of an early signing; and Adams's testimony on this point is full of interest, as given in a letter to Robert Morris, July 6, 1783: "I thank you, sir, most affectionately for your kind congratulations on the peace. When I consider the number of nations concerned, the complication of interests, — extending all over the globe, — the character of the actors, the difficulties which attended every step of the progress; how everything labored in England, France, Spain, and Holland; that the armament at Cadiz was on the point of sailing, which would have rendered another campaign inevitable; that another campaign would probably have involved a continental war, as the Emperor would in that case have joined Russia against the Porte; that the British ministry was then in so critical a situation that its duration for a week or a day depended on its making peace; that if that ministry had been changed it could have been succeeded only either by North and company or by the Coalition; that it was certain that neither North and company nor the Coalition would have made peace on any terms that either we or the other powers would have agreed to; and that all these difficulties were dissipated by one decided step of the British and American ministers, — I feel too strongly a gratitude to heaven for having been conducted safely through the storm, to be very solicitous whether we have the approbation of mortals or not."¹

The idea sometimes suggested, even by the most thoughtful writers of our own time, that the European statesmen of that day had given but little thought to the future of America, if accepted as the rule, has certainly notable exceptions; and it seems reasonable, in view of the European wars,

war. England emerged from the struggle with a diminished empire and a vastly augmented debt, and her ablest statesmen believed and said that the days of her greatness were over. But America, though she had been reduced by the war to almost the lowest stage of impoverish-

ment and impotence, gained at the peace almost everything that she desired, and started, with every promise of future greatness, upon the mighty career that was before her."

¹ John Adams's *Works*, vii. 82.

in the conduct and results of which American interests were so large a factor, that no little study should have been expended on the subject. The importance of the territories secured by the Provisional Articles was not overlooked by the diplomatists assembled at Paris.¹

During the later stages of the American negotiation, proposals of peace had been passing between the belligerent European powers without much net result. Rayneval returned to England on a second mission, and proposed that France should receive Gibraltar in exchange for Dominica and Guadaloupe, and then should arrange with Spain for an equivalent.² The ministry, however, were still disinclined to part with Gibraltar, and expected Spain to lower her terms.³ France was anxious that Spain should offer West Florida in exchange for it, and with this proposal Rayneval once more visited England at the beginning of December, before the signature of the American articles had been made known.⁴ The cabinet were divided on the question of ceding the fortress, Richmond and Keppel stoutly opposing the idea of exchanging it on any terms. They had actually decided (December 3) to accept the proposal of exchanging it for Guadaloupe, conditionally upon certain other cessions, when news arrived of the signature of the Provisional Articles with America, which at once determined them to extend their demand for equivalents.⁵

Rayneval wrote (December 25) from England that Shelburne had told him confidentially that five members of the cabinet had wanted to take advantage of the signature with the Americans in order to break off all negotiations with France, and that they were still in favor of war. "This gave me an opportunity of speaking to Lord Shelburne about the precipitate

¹ The congratulations tendered to Jay by D'Aranda and Montmorin may have been partly due to personal regard and diplomatic courtesy, but other opinions of diplomatic observers simply from a European standpoint cannot be so explained. D'Aranda wrote to the king of Spain after the conclusion of the treaty: "This federal republic is born a pigmy. A day will come when it will be a giant; even a colossus, formidable to these countries. Liberty of conscience, the facility for establishing a new population on immense lands, as well as the advantage of the new government, will draw thither farmers and artisans from all the nations. In a few years we shall watch with grief the tyrannical existence of this same colossus." Signor Dolfin, the ambassador to France from Venice, writing Feb. 10, 1783, after describing at length the terms of the preliminary articles, dated Nov. 30, which he said would be forever a memorable epoch in the history of the nations, remarked: "If the union of the American provinces shall continue, they will become by force of time and of the arts the most formidable power in the world." Of the surprise felt in Paris by the terms secured by the Americans,

we have the testimony of the two chief actors on the side of France and Spain, Vergennes and Rayneval. Vergennes, who with courtly and diplomatic address had expressed to Franklin his satisfaction at the articles, wrote to Rayneval at London, Dec. 4, 1782, that the English had rather bought a peace than made one; that their concessions as regards the boundaries, the fisheries, and the loyalists exceeded anything that he had believed possible. What could have been their motive for what one might interpret as a kind of surrender he wished Rayneval to discover, as he was in a better position to do so. Rayneval replied that the treaty seemed to him a dream.

² Fitzmaurice's *Shelburne*, iii. 291.

³ "I anxiously hope," Grantham wrote to Fitzherbert, "that the state of the treaty with America may be such as, when known, it may quicken the desire of France to terminate the negotiation by employing her best offices with Spain for this purpose." Gibraltar was proving, as had been prophesied, a "rock in the negotiation."

⁴ Fitzmaurice's *Shelburne*, iii. 303.

⁵ *Ibid.* iii. 305; Circourt, iii. 53.

course adopted with the Americans, and I do not disguise from you that my language was somewhat reproachful. Lord Shelburne observed that it was a very delicate matter to reply; he told me, however, that on their side there was a desire to conclude with the Americans before the opening of Parliament, in order to prevent parliamentary questions and intervention; that further, until the report reached the cabinet, he had been in ignorance that things were so far advanced, and that such facilities had been granted the Americans; and that inwardly (*intérieurement*) he disapproved of them." This hardly accords with Strachey's statement. "I tried," added Rayneval, "to take advantage of this opportunity to make some remarks upon the embarrassment which would be caused to Spain by the articles granting the Americans the navigation of the Mississippi; but Lord Shelburne replied with vivacity that all which concerned Spain mattered little to him, and that she only merited attention because she was his Majesty's ally, but that he would take no step in her favor."

The disappointment to Vergennes from the interruption of the Franco-Spanish negotiation, and the change effected in the disposition of the British ministry to cede Gibraltar, seems to have been increased by disturbing rumors from England, whatever their origin, that hopes were expressed of separating America from the alliance with France; and more than a fortnight after the signing (December 15), Vergennes being courteously advised by Franklin that the ship "Washington," for which they had received a passport from the king of England, would sail the next day with their despatches, responded with a reproach that Franklin had promised not to press for a passport. Then came the complaint occasionally quoted as though it had been made when first advised of the signing of the articles. "I am at a loss," he said, "to explain your conduct and that of your colleagues on this occasion. You have concluded your preliminary articles without any communication between us, although the instructions from Congress prescribe that nothing shall be done without the participation of the king. You are about to hold out a certain hope of peace to America, without even informing yourself of the state of the negotiation on our part. You are wise and discreet, sir; you perfectly understand what is due to propriety; you have all your life performed your duties. I pray you to consider how you propose to fulfil those which are due to the king."¹

Without waiting for Franklin's reply, Vergennes next wrote to Luzerne in the same tone, enclosing the preliminary articles. It had been thought

¹ [What aroused Vergennes, some days after he had learned of the signing of the American treaty, was a sudden apprehension that possibly the English and Americans might combine against France, and his complaisant acquiescence in Franklin's apologies were as much due to that danger passing as to the apology of that diplomatist. (Cf. C. F. Adams's *John Adams*, i. 388.) There was certainly no reason for Vergennes to provoke recrimination, in view of his own secret

understandings with Spain and of Necker's attempts at an understanding with North (Mahon, vii. App. p. xiii). The over-virtuous correspondence of Vergennes is given in Sparks's *Franklin*, ix. 449, 452, 532. It is not without significance that Franklin had himself, without the privity of the French government, made his early proposition to Shelburne about the cession of Canada.

ED.]

dangerous, he remarked, to obtain an English passport for the vessel which was to convey these articles to America, because the American people might be led to suppose that peace had been concluded; hence he had been astonished to hear from Franklin on the 15th that the passport had been obtained and that the courier was about to set off. It was singular that the commissioners should not have thought it worth while to acquaint themselves with the state of the French negotiation. If the king had shown as little delicacy as the American commissioners, he might have signed articles with England long before them; but he was resolved that all his allies should be satisfied. Congress should be informed of the very irregular conduct of the commissioners, but not in the tone of complaint. "I blame no one, not even Dr. Franklin. He has yielded too easily to the bias of his colleagues, who do not pretend to recognize the rules of courtesy in regard to us. All their attentions have been taken up by the English whom they have met in Paris. If we may judge of the future from what has passed here under our eyes, we shall be poorly paid for all that we have done for the United States, and for securing to them a national existence."

Immediately after sealing this letter he received Franklin's reply, already alluded to, in which Franklin said: "Nothing has been agreed, in the preliminaries, contrary to the interests of France; and no peace is to take place between us and England till you have concluded yours. Your observation is, however, apparently just, — that in not consulting you before they were signed we have been guilty of neglecting a point of *bien-séance*. But as this was not from want of respect for the king, whom we all love and honor, we hope it will be excused, and that the great work, which has hitherto been so happily conducted, which is so nearly brought to perfection, and is so glorious to his reign, will not be ruined by a single indiscretion of ours. And certainly the whole edifice sinks to the ground immediately if you refuse, on that account, to give us any further assistance. It is not possible for any one to be more sensible than I am of what I and every American owe to the king for the many and great benefits and favors he has bestowed upon us. . . . The English, I just now learn, flatter themselves they have already divided us. I hope this little misunderstanding will therefore be kept a secret, and that they will find themselves totally mistaken."

Vergennes immediately wrote, December 21, to Luzerne, countermanding the wishes he had expressed in his last letter, and allowing that Franklin's excuse was satisfactory. He gave a practical proof of his continued interest by promising a new loan of six million livres. All these despatches went by the "Washington." Franklin added a final letter to Morris, announcing the new loan, with a caution that peace was not certain as yet.

In view of the disclosure by Vergennes' correspondence, of his effort to defeat instead of supporting the American claims, no weight attaches to the complaints, which, after Franklin's apology, he withdrew; but it may be proper to remember that Vergennes' own suggestion of the plan of negotiations submitted to the English and American commissioners fully justi-

fied the latter in the course they had adopted.¹ In his conversations with Franklin and the English commissioners he had proposed a separate negotiation, and he had written to Luzerne, on April 9, 1782, that while he wished Congress not to make a separate peace, he had always been disposed to consent to the American plenipotentiaries in Europe treating directly with England, and without the intervention of France. "You will treat for yourselves," he said to Franklin, May 28,² "and every one of the powers at war with England will make its own treaty. All that is necessary for our common security is that the treaties go hand in hand, and are signed all on the same day." Finally, while the negotiation was actually in progress, he had disavowed all wish to interfere until he should be called in by the negotiators themselves to settle their difficulties.

Vergennes, from his earlier dealings with Adams, had disliked his clear-sighted patriotism and sturdy independence; and it was with the object of securing more pliant commissioners with which to deal in the peace negotiations that he had secured, through Luzerne, the enlargement of the commission. The result had been the entire overthrow of his carefully devised policy of confirming the power of Spain and weakening that of America; and he spoke of Jay and Adams in a tone of disappointment, as persons not easy to manage, — "*caractères peu maniables.*"

Fitzherbert, who was instructed to watch the effect which the signature of the articles had upon the French court, reported,³ December 18, that "Messrs. Adams, Jay, and Laurens have little or no communication with Versailles, and not only distrust, but are strongly distrusted by that court; Dr. Franklin keeps up (though perhaps in a less degree than formerly) his connection with the French minister. . . . In regard to the three other commissioners, I know but little of Messrs. Adams and Laurens; but I must say, in justice to Mr. Jay, that he has always appeared to me to judge with much candor and consistency of the true interests and policy of his country as considered in relation to the three powers of Europe, being convinced that the assistance afforded to America by such of them as are leagued against England had originated not from any motive of good will towards the former country, but from enmity to us, and that therefore she was under no obligation to support them at present (her own peace being settled) in the prosecution of their quarrels; any otherwise, that is to say, than as she is strictly bound by the letter of her treaty with France."

It soon appeared that doubts existed in England whether the commissioners took the articles as a final settlement, and the commissioners made the conditional character of the articles public by issuing a formal declaration, on January 20, 1783, that the relations of the United States to England remained unchanged so long as peace between France and England was not concluded.

The preliminary articles reached Congress on March 12,⁴ and the terms

¹ Fitzmaurice's *Shelburne*, iii. 193, etc.

² Sparks's *Franklin*, ix. 299.

³ Fitzmaurice's *Shelburne*, iii. 321, 322.

⁴ The accompanying letter is in *John Adams's Works*, viii. 18.

obtained gave general satisfaction, except that the stipulation obliging Congress to recommend a restitution of property appeared derogatory to the dignity of that body. The secrecy of the negotiations, however, was disapproved of by several members, who thought that the commissioners had joined England in taking advantage of the delicate situation of France; they particularly objected to the separate article, which seemed inconsistent with the candor which Congress had professed. Luzerne showed Vergennes' letters of remonstrance to a member, who asked whether France intended to complain to Congress. Marbois gave a dignified answer. Great powers, he said, never *complained*, but they felt and remembered. One of the delegates for North Carolina, wrote Luzerne, March 22d, had expressed his discontent with the commissioners' conduct, and had said that he was certain that Congress would declare their disapproval of it at a word from Luzerne. He had replied that it was advisable to keep the enemy from believing that there was a division between their allies. He had, however, communicated his sentiments to Livingston, who was to remind the commissioners of the letter of their instructions.

Secretary Livingston wrote to the commissioners, March 25, in a tone of qualified approval.¹ "The articles," he said, "have met with warmest approbation. . . . The steadiness manifested in not treating without an express acknowledgment of your independence previous to a treaty is approved, and it is not doubted but it accelerated that declaration. The boundaries are as extensive as we have a right to expect; and we have nothing to complain of with respect to the fisheries. My sentiments as to English debts you have in a former letter. No honest man could wish to withhold them. A little forbearance in British creditors, till people have recovered in part from the losses sustained by the war, will be necessary to render this article palatable, and indeed to secure more effectively the debt. The article relative to the loyalists is not quite so accurately expressed as I could wish it to have been. What, for instance, is intended by *real British subjects*? It is clear to me that it will operate nothing in their favor in any State in the Union; but as you made no secret of this to the British commissioners, they will have nothing to charge you with. . . . But, gentlemen, though the issue of your treaty has been successful, though I am satisfied that we are much indebted to your firmness and perseverance, to your accurate knowledge of our situation and of our wants, for this success, yet I feel no little pain at the distrust manifested in the management of it, particularly in signing the treaty without communicating it to the court of Versailles till after the signature, and in concealing the separate article from it even when signed. I have examined with the most minute attention all the reasons assigned in your several letters to justify these suspicions. I confess they do not appear to strike me so forcibly as they have done you; and it gives me pain that the character for candor and fidelity to its engagements, which should always characterize a great people, should have been impeached thereby. The con-

¹ *Dipl. Corresp.*, x. 129. Cf. Rives's *Madison*, i. 372.

cealment was, in my opinion, absolutely unnecessary; for had the court of France disapproved the terms you had made, after they had been agreed upon, they could not have acted so absurdly as to counteract you at that late day, and thereby put themselves in the power of an enemy who would certainly betray them, and perhaps justify you in making terms for yourselves. The secret article is no otherwise important than as it carried in it the seeds of enmity to the court of Spain, and shows a marked preference for an open enemy. It would, in my opinion, have been much better to have fixed on the same boundaries for West Florida, into whatever hands it fell, without showing any preference or rendering concealment necessary." He added that Congress had as yet been unable to come to a decision on the subject, so that his letter expressed merely his own opinion, and was written upon his own responsibility.

The commissioners jointly replied, July 18, that the separate article ought not to be considered as a favor to England, but as the result of a bargain. England was to withdraw her claims to the country above the river Yazoo, and in return her right to the country below it and to the navigation of the Mississippi was recognized by the Americans in the event of her conquering West Florida from Spain. "It was, in our opinion, both necessary and justifiable to keep this article secret. The negotiations between Spain, France, and Britain were then in full vigor, and embarrassed by a variety of clashing demands. The publication of this article would have irritated Spain, and retarded, if not prevented, her coming to an agreement with Britain. . . . This was an article in which France had not the smallest interest, nor is there anything in her treaty with us that restrains us from making what bargain we please with Britain about those or any other lands without rendering account of such transaction to her or any other power whatever. The same observation applies with still greater force to Spain.

"We perfectly concur with you in sentiment, sir, that 'honesty is the best policy.' But until it be shown that we have trespassed on the rights of any man or body of men, you must excuse our thinking that this remark as applied to our proceedings was unnecessary. Should any explanations, either with France or Spain, become necessary on this subject, we hope and expect to meet with no embarrassment. We shall neither amuse them nor perplex ourselves with flimsy excuses, but tell them plainly that it was not our duty to give them the information; we considered ourselves at liberty to withhold it. And we shall remind the French minister that he has more reason to be pleased than displeased at our silence. Since we have assumed a place in the political system of the world, let us move like a primary and not like a secondary planet.

"We are persuaded, sir, that your remarks on these subjects resulted from real opinion, and were made with candor and sincerity. The best men will view objects of this kind in different lights even when standing on the same ground; and it is not to be wondered at that we, who are on

the spot and have the whole transaction under our eyes, should see many parts of it in a stronger point of light, than persons at a distance, who can only view it through the dull medium of representation."¹

Besides expressing his adverse views in the above letter to the commissioners, Livingston, March 18, made three formal proposals to Congress: that he be authorized to communicate the separate article to the French minister; that their ministers be instructed to agree that the proposed limit be allowed to any other power; and that it be declared that the preliminary articles are not to take effect until the conclusion of peace between France and England.

A debate took place, March 19, upon his letter enclosing these proposals,² but eventually the letter was referred to a committee, who, March 22, brought in resolutions corresponding to Hamilton's suggestions, viz.: that the ministers be thanked for their services, but be instructed to communicate the separate article to the court of France; and that Congress regretted that the preliminary articles had not been communicated to France before the signature.³ News had arrived, meanwhile, of the signature of preliminaries for a general peace on January 20, which necessarily removed the possibility of an English conquest of West Florida, in view of which the separate article was inserted. This strengthened the case of the moderate party in Congress, and in the end the matter was allowed to drop without any official expression of the opinion of Congress.⁴

The great object upon which all American minds were bent was peace, and they were agreeably surprised at getting it upon such favorable terms.⁵

¹ Cf. *John Adams's Works*, i. 375, App. F; viii. 87; Sparks's *Franklin*, ix. 532.

² Mercer, of Virginia, was loud in denouncing the ministers. Their conduct, he said, expressing their distrust of France in their letter to the British minister, was a mixture of follies which had no example. He feared that France was already acquainted with the whole transaction, and was only waiting to see how Congress received the separate article in order to league with Britain for their destruction. He threatened to publish the separate article, and was called to order by the president. On the other hand, the general sense of Congress was for a middle course, between sanctioning the separate article and censuring or recalling the ministers. Clarke, of New Jersey, thought that the ministers might have reasons which were unknown to Congress. Rutledge, of South Carolina, said that the ministers had adhered to the spirit and letter of the treaty with France, and moved that Livingston's letter be referred to a committee of inquiry. Lee held that engagements between nations ought to be reciprocal, and that France had released them from their obligations to consult her by plotting against their interests. The ministers were also commended by Williamson of North Carolina, Hig-

ginson of Massachusetts, Wolcott of Connecticut, and others. Hamilton urged deliberation; he disapproved of the ministers' conduct because it gave an advantage to the enemy, but he wished them to receive a general commendation, and that the separate article would be communicated to France. Madison was equally opposed to abetting the article, unless a breach of their promise to confide in France could be justified by producing some proof of perfidy on their ally's part. (Cf. Rives's *Madison*, i. 352, 363; Hamilton's *Republic*, ii. 488; Morse's *Hamilton*, i. 136, etc.)

³ In the debates which followed, Dyer of Connecticut, Holton of Massachusetts, Bland of Virginia, besides the speakers already mentioned, were opposed to taking any decisive action. On the other hand, Mercer renewed his invectives, and he was supported by Carroll of Maryland, and Wilson of Pennsylvania. Rutledge and Arthur Lee thought that instructions were conditional, and could be set aside for the public good.

⁴ Rives's *Madison*, i. 371.

⁵ Luzerne wrote to Vergennes, on March 19, that the northern boundary from Lake Superior to the sources of the Mississippi had surpassed all expectation. It gave the Americans four

In England, the articles met with a very different reception. Strachey, who had left for England immediately after the signature, wrote to Oswald, December 10, that he found Townsend and Shelburne perfectly satisfied with their conduct. But no sooner had Parliament met than a storm of displeasure broke upon the heads of the ministers. "Finding it indispensable," the king said in his speech, December 5, "to an entire and cordial reconciliation with the colonies, I did not hesitate to go the full length of the powers vested in me, and offered to declare them free and independent States, by an article to be inserted in the treaty of peace. Provisional articles are agreed upon, to take effect whenever terms of peace shall be finally settled with the court of France. In thus admitting their separation from the crown of these kingdoms, I have sacrificed every consideration of my own to the wishes and opinions of my people."

As yet the provisional articles were kept a secret, Shelburne holding that it would be dangerous to publish them. Attacks, however, were made upon the concession of independence in the king's speech. Stormont assailed it because he said it was irrevocable; Fox, because it was an article of treaty, and therefore conditional.¹ Unfortunately, the ministry did not agree in their defence. While Pitt, in the House of Commons, admitted that the recognition was final, Shelburne, in the House of Lords, returned to his old standpoint and declared that the recognition of independence was conditional upon the ratification of the treaty. This was still the view of George III. "It appears," he wrote to Shelburne, December 8, "that Mr. Pitt stated the article of independence as irrevocable, though the treaty should prove abortive. This undoubtedly was a mistake, for the independence is alone granted for peace. . . . Mr. Vaughan's letter shows further demands are to come from Franklin, which must the more make us stiff on this article."²

The common antagonism to Shelburne of the parties of Fox and North was rapidly becoming a bond of union, and disaffection was appearing in the ranks of the ministry itself. Keppel and Carlisle resigned in January on account of the terms of peace; Richmond and Grafton complained of Shelburne's monopoly of power, and in February the latter tendered his resignation.³ Shelburne vainly tried to effect a coalition with the friends of Fox and North, but on February 14th these two statesmen made a compact, whereby they consented to unite their forces and establish a strong

forts that they had found it impossible to capture. Lands nearer the coast had already depreciated in value, owing to the new acquisitions. "There is a belief," he said, — and the remark shows the view then opening of the future of America, — "that the plenipotentiaries, in pushing their possessions as far as the Lake of the Woods, are preparing for their remote posterity a communication with the Pacific." Again he wrote (Sept. 26) that the vast extent of the boundaries had caused great surprise and satisfaction. Nor

were the New England fishermen less grateful to the commissioners. "You have erected a monument to your memory in every New England heart," Adams wrote to Jay; and Hamilton said, "The New England people talk of making you an annual fish offering as an acknowledgment of your exertions for the participation of the fisheries" (Jay's *Address*, 208).

¹ Fitzmaurice's *Shelburne*, iii. 308.

² *Ibid.* iii. 310.

³ Lecky, iv. 289.

government. They agreed to waive for the present the main question upon which they had hitherto disagreed, — the reduction of royal influence by economical reform. On the other hand, the king was not to be suffered to be his own minister.¹

The preliminary articles were laid before Parliament, and on February 17th a debate took place which showed the strength of the new coalition. The address to the king was moved in the House of Commons by Thomas Pitt, who argued that it had been inevitable for them to end the war. "Wise men would think you could not too soon rise up from a losing game." It was seconded by Wilberforce. Lord John Cavendish moved an amendment, wishing the House to suspend their judgment until the Dutch treaty came. An addition to the amendment was moved by Lord North, who complained that the reciprocity mentioned in the preamble to the treaty was one-sided; they had given America a tract which comprehended twenty-four Indian nations, and where many forts had been erected and maintained at great expense by Great Britain. Why, he asked, had they not adhered to the boundary fixed in 1774? As regards the fishery, they had not been content with giving up what they possessed, but they shared what was left them; they had given America unlimited powers of fishing off their coasts, without securing a reciprocal right for themselves. There was a peculiar mockery in reserving for themselves the right to navigate the Mississippi. He lamented the fate of the loyalists in particular. "Never," he said, "was the honor and humanity of a nation so grossly abused as in the desertion of those men. . . . Nothing can excuse our not having insisted on a stipulation in their favor." He was followed by Powys, who said that at any rate the first Lord of the Treasury had proved himself a good Christian, for he had not only parted with his coat to America, but given her his cloak likewise.

Townsend defended the articles in a tone of moderation, admitting that the treaty had not been negotiated on narrow-minded principles. As to the Americans having forfeited the fishing rights they enjoyed as British subjects, he hoped that sort of distinction would never hereafter be made. The boundary proposed in 1774 would have been an eternal bone of contention. Building the forts referred to had been one of the follies of Lord North's administration. The article affecting the loyalists gave him as much concern as any one else; but had the commissioner refused to accede, the treaty must have been broken off. Burke ridiculed this defence. If what the country owed the loyalists could not be obtained, they should not have been mentioned in the treaty at all. The articles deserved to be obliterated out of the annals of the country. Other members questioned the crown's right to cede English dominions. Sheridan said that the treaty relinquished everything that was glorious and great in the country. Fox described it as the most disastrous and degrading peace that the country had ever made. It was everywhere concession, in spite of the fact that they had gained

¹ Lecky, iv. 295.

brilliant victories, and had everything to hope for. Pitt, however, recalled to the minds of the opposers of the address the language they had held while in office, — that peace must be made on any terms. The articles drew some violent rhetoric from Lee, who thought it a disgraceful, wicked, and treacherous peace, such as no man could vote to be honorable without delivering his character over to damnation forever. On a division being taken, there appeared two hundred and twenty-four for the amendment, and two hundred and eight against it, — a majority against the articles of sixteen.

In the House of Lords the lines of attack and defence were similar. Lords Pembroke and Carmaethen moved and seconded the address, and Lord Carlisle moved an amendment lamenting the necessity which bade them subscribe to the articles. He said all Canada was virtually lost to them, and questioned the right of the crown to dismember the empire. Lord Walsingham objected to the articles on the ground that the province of Canada was rendered insecure, the fur-trade was lost, several hundred million acres were ceded, and faith was broken with the Indians. Lord Hawke pointed out that the best furs were north of the lakes. Then followed some severe criticisms from Lord Townshend, especially upon the choice of Oswald. The Americans, he said, had evidently been too cunning for the English negotiators. Why could not some one from Canada have been thought of for the business which Oswald had been sent to negotiate? Oswald was, or appeared to be, ignorant how the country lay which he had been granting away. The Duke of Grafton implored them not to oppose the peace from factious motives. The Duke of Richmond disliked the terms, but would not vote either way. Keppel declared that the fleet had never been in so efficient a condition, and that they were fully prepared for either offensive or defensive war. Stormont attacked the articles in detail, saying that they were injurious to the interests and derogatory to the honor of Great Britain, and that Oswald had been overmatched by the Americans. Sackville stigmatized it as the most impolitic and ruinous treaty the country had ever made.

Shelburne's defence was long and careful. He began by saying that he had consulted experts upon all the questions which he had had to decide. As to the value of lands ceded, the imports from Canada amounted to only £50,000, and it was not worth while continuing the war at the cost of £800,000 annually for the sake of the imports. Besides, they had retained the best districts, and had only relinquished an oppressive monopoly which it was their interest to abolish. As to the Indians, the Americans knew best how to manage them. The fishery rights had been conceded because they knew that the Americans would exercise those rights, whether the British consented or not. They had not stipulated for a reciprocity because their own fishery gave them abundant employment. As to the loyalists, he had done his best for them, and the most likely means of aiding them now would be to declare their confidence in the good intentions of Congress. Oswald was appointed because he was inflexibly upright, and had local knowl-

edge of America. The navigation of the Mississippi was of great use to England, because it communicated with a country where there was demand for their manufactures. Finally, he reminded them of the desperate state of their affairs: . . . American independence established, a debt of one hundred and ninety-seven millions, their credit tottering, and their resources at an end.

Lord Loughborough called the treaty a capitulation. As to the fur-trade, they had a monopoly only in the same way that every country had a monopoly of its own produce. How, he asked, could the article respecting debts and private rights be justified? When they evacuated New York and their other possessions, they would have to deliver up the houses, the goods, and even the persons of their friends. If they had appealed to France and Spain, the generosity of those two great and respected states would have interposed in favor of the men they had abandoned. In ancient and modern history there could not be found so shameful a desertion.

The debate concluded with a speech from the Lord Chancellor, Thurlow, defending the articles, and ridiculing the doctrine that the prerogative of the crown did not warrant the alienation of territory. The House divided at 4.30 A. M., and there appeared seventy-two for the address and fifty-nine against it, — a majority of thirteen for the ministry.

A few days later, Lord John Cavendish brought forward resolutions which expressed positive censure of the terms of the treaty. In a speech, February 22, defending the treaty, Pitt made a direct attack upon the new coalition, and attributed the debate rather to the desire to force Shelburne from office than to any real conviction that the ministry deserved censure. "This is the object which has raised this storm of faction; this the aim of the unnatural coalition to which I have alluded. If, however, the baneful alliance is not already formed, if this ill-omened marriage is not already solemnized, I know a just and lawful impediment, and, in the name of public safety, I here forbid the bans." Notwithstanding his eloquence, the opposition triumphed by seventeen votes, and on February 24th Shelburne resigned.¹

The king's animosity to Fox was as pronounced as ever; but after vainly offering the treasury to Pitt, Gower, and others, he was obliged to accept the coalition.² On April 2d, the country having remained without a government for over a month, the Duke of Portland, in virtue of his title and respectability, became the nominal head of the government, Fox and North became secretaries of state, and the cabinet also included Lord John Cavendish, Keppel, Stormont, and Carlisle.³ The Duke of Manchester and Hartley were appointed to fill the places of Fitzherbert and Oswald respectively,⁴ although Fitzherbert continued in Paris as additional commissioner.

Hartley received his instructions on April 18th.⁵ His commission, without which the American commissioners refused to proceed, did not reach

¹ Fitzmaurice's *Shelburne*, iii. 360, 364, 367.

² Lecky, iv. 301.

³ Fitzmaurice, iii. 224; Lecky, iv. 255.

⁴ Fitzmaurice, iii. 384.

⁵ *Diplom. Correspond.*, x. 215.

him until the middle of May.¹ He was invested with full power "for the perfecting and establishing the peace, friendship, and good understanding so happily commenced by the Provisional Articles, . . . and for opening, promoting, and rendering perpetual the mutual intercourse of trade and commerce between our kingdoms and the dominions of the United States."

Towards the first of these objects — the completion of the Provisional Articles by necessary additions — various proposals were made, which ultimately fell through.² Franklin drew up an article to protect all persons who followed peaceful occupations from molestation in case of a future war. Articles were also proposed by the Americans stipulating for the payment of prisoners' expenses, and other details; and by Hartley, on behalf of loyalists and former owners of land.³ None of these points were adopted.

The second object mentioned in Hartley's instructions — the negotiation of a convention for regulating trade between the two countries — occupied the attention of the commissioners throughout the months of May, June, and July. Shelburne had been in favor of settling the question upon liberal principles. He held that it was worth their while to sacrifice England's commercial monopoly when America's friendship was in the balance. Burke wished to repeal all prohibitory acts, and Pitt brought in a bill on March 3d proposing commercial intercourse "on the most enlarged principles of reciprocal benefit." But the new ministry was disinclined to give up the privileges secured to British ships by the Navigation Act; and it was argued against Pitt's proposal that England would lose the carrying-trade if the Americans were permitted to bring West Indian commodities to Europe, since they would export European manufactures to America when they returned. Fox condemned the bill because, he said, great injury came from reducing commercial theories to practice. Lord Sheffield, a supporter of the government, said that the country was as tenacious of the principles of the Navigation Act as it was of Magna Charta. Hence Hartley's instructions, April 10, from Fox, directed him to insist on the admission of British goods into America, but to exclude American goods from British dominions.

The American commissioners asked for perfect reciprocity, and were determined not to be excluded from the West India trade. The question was interesting France. Vergennes was designing, Fitzherbert wrote, April 18, to attract American trade to France, and Franklin was encouraging this idea, while Adams and Jay were in favor of giving the preference to England.

On May 21st, Hartley made a formal proposal in conformity with his instructions, and schemes of agreement were also drawn up by Jay and Adams.⁴ The ministry, however, withheld their approval of Hartley's action, and the negotiation made no progress. Doubts existed in England of the authority of Congress; Fox even suggested, August 9, that a definitive treaty with the Americans was superfluous.

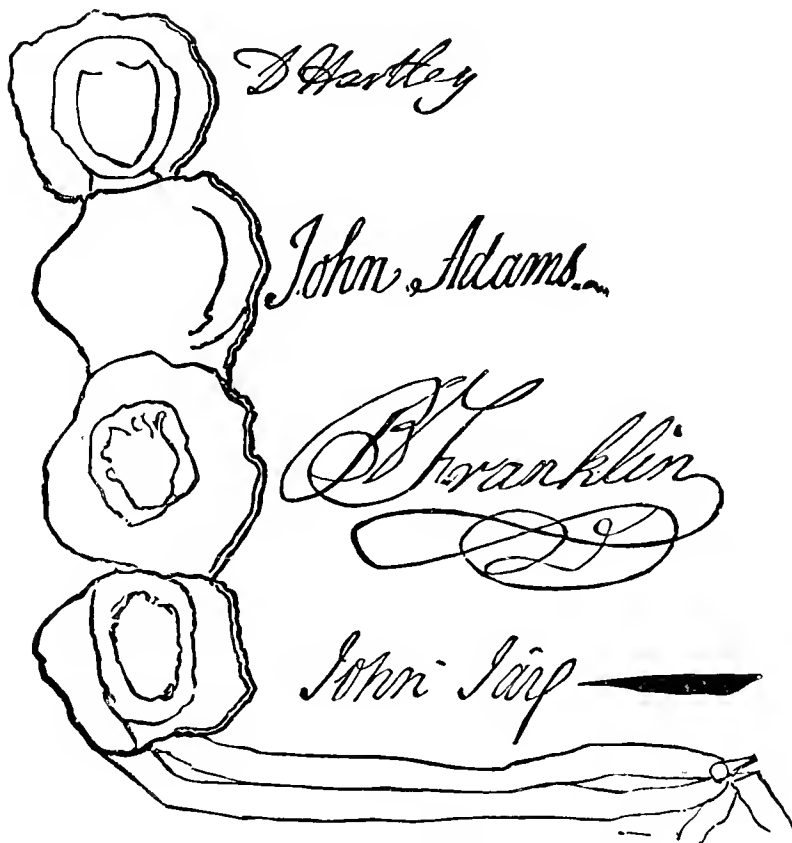
¹ *Diplom. Corresp.*, x. 142. The commission is in the *Polit. Mag.*, v. 311.

² Adams's *Works*, iii. 349.

³ *Dipl. Corresp.*, x. 170, 182.

⁴ John Adams's *Works*, iii. 371.

The question of the West Indian carrying-trade was settled by a royal proclamation on July 2d, confining it to British ships. Sheffield published a pamphlet in which he said: "There should be no treaty with the American States, because they will not place England on a better footing than France and Holland, and equal rights will be enjoyed, of course, without a treaty." Finally, July 27, it seemed to the commissioners that they would "find it best to drop all commercial articles in our definitive treaty, and leave everything of that kind to a future special treaty." They attributed



SIGNATURES OF THE DEFINITIVE TREATY.*

the delays partly to divisions in the cabinet, partly to the ministry's desire to avoid a definite treaty. But France was determined not to sign without America's participation.

It had been suggested that all the treaties should be signed simultaneously at Versailles, in the presence of the ministers of Austria and Russia, who were to be complimented with a nominal patronage of the treaties in return for the efforts made by those imperial courts to mediate. England, how-

* [From the copy in the State Department at Washington as given in a paper by Theo. F. Dwight in the *Mag. Amer. Hist.*, x, 384. Cf. the fac-simile in Gay's *Pop. Hist. U. S.*, iv, 90 — ED.]

ever, consistently with her former attitude, objected to acknowledge their right to interfere, and Hartley was instructed to sign at Paris.¹ The coalition ministry set their seal to the articles which they had condemned. Except for the omission of the separate article, the Provisional Articles were adopted as the definitive treaty between England and America, which was signed at Paris on September 3, 1783.

It is interesting to note that the extent of boundaries secured by the treaty seemed at once to suggest the design of pushing them to the Pacific,² and that in the republic which the Spanish statesman designated as "a pygmy" they foresaw the future giant. But the most inspiring and instructive thought for the American people is that the diplomacy which laid the foundation of their national greatness was marked not only by clear intelligence and skill, which enabled its commissioners to defeat the hostile designs of the most accomplished diplomats of Europe, but by such calm resolution, judicious action, and unbroken faith as to justify the remark of Trescot, that "the republic entered the venerable circle of nations calmly as conscious of right, resolutely as conscious of strength, gravely as conscious of duty."

CRITICAL ESSAY ON THE SOURCES OF INFORMATION.

THROUGHOUT the negotiation the letters of Oswald are laboriously minute. These, as well as other miscellaneous correspondence bearing upon the negotiation from March, 1782, to the signing of the treaty, are in the B. F. Stevens collection of MSS. now on deposit at Washington, which comprises copies of documents in the English and French foreign offices,³ and in the collection of Shelburne MSS. at Lansdowne

¹ *Dipl. Corresp.*, x. 209.

² The territory secured to the United States by this treaty has been estimated at 820,680 square miles, or more than twice the area suggested in the French proposals as indicated by the map published by Lord Edmond Fitzmaurice. The western boundaries defined by the treaty were extremely displeasing to Spain, and that power in 1800 re-ceded to France the territory of Orleans, which had been ceded to her by France under the treaty of 1763; and in 1803 it was sold by France to the United States for \$15,000,000, its area being estimated at 899,579 square miles. In 1819 the United States acquired Florida, with 66,000 square miles. In 1845, by the admission of Texas, 237,504 square miles. In 1846, by the Oregon treaty, 303,000, and in 1848 and 1855, by the treaty of Guadalupe Hidalgo with the Messilla Valley, 550,445 square miles, and in 1867 Alaska was added by purchase from Russia for \$7,200,000. This last addition extends the extreme western boundary of the United States about 30° of longitude further than the Sandwich Islands, and makes the distance westward

from Eastport, Maine, 6,187 statute miles (article "Alaska," in Appleton's *Cyclopædia*, 1868).

³ I have to thank Mr. Stevens and Mr. Dwight for the facilities kindly afforded for its examination, and to say that in the collation of the materials thus afforded and referred to in the notes, as well as in the preparation of the earlier part of this chapter, I was assisted by Mr. John C. Godley, of Corpus Christi College, Oxford. I had already had an opportunity, through the courtesy of Lord Salisbury and the late Lord Tendendon, of examining the papers relating to the treaty in the State Paper Office at London, including the valuable letters of Mr. Oswald, characteristic of that earnest and honorable negotiator, and which furnished the British ministry, by whom the negotiation was conducted, with notes of conferences and conversations with the American commissioners almost photographic in their minuteness. That correspondence is, I believe, generally contained in the Franklin papers at Washington; and the further collection on the Peace Negotiation, including papers from the French Archives, a few of which were printed

House. Grenville's despatches to Fox, and the correspondence of Strachey, Fitzherbert, Hartley, and the Duke of Manchester with the English ministry, supplement Oswald's account.¹ Some of these are printed in Sparks's *Franklin*, ix. 303 *seq.* This volume also contains a diary of the negotiation which Franklin kept from March 21 to July 1. The narrative from an American point of view is continued in Jay's letters (*Diplomatic Correspondence*, viii.) and in Adams's diary, which begins from the date of his arrival in Paris, Oct. 20.²

by M. de Circourt, supplies material so essential to the completeness of American history, and to the correction of errors that for half a century have prevailed to a regrettable extent in regard to the true story of the Peace Negotiations, that Congress, it is to be hoped, will promptly respond to the singularly unanimous demand from American scholars for its purchase and its publication.

It is a matter of profound regret that the judicious movement inaugurated by President Hayes and Secretary Evarts, and continued by President Garfield and Secretary Blaine, for gathering from the archives of Europe materials bearing on the American Revolution, was allowed to drop after it had been so cordially responded to by European governments. The times seem auspicious for a demand from the country that that movement shall be revived, and carried to completion in the most thorough and scientific manner, so as to secure while we may from foreign lands the interesting and often invaluable documents that await our acceptance, and to place copies of them in the great libraries of the republic, within easy reach of every student of American history.

¹ [Reference has already been made in the notes on earlier pages to the essential means for studying the relations of Rockingham, Shelburne, Fox, Burke, and the other leading political characters of Great Britain to the peace.

The character of the king is an essential element in considering the complete surrender of principle on the British part. The letters of the king to North were submitted to Sir James Mackintosh, and from them he made certain extracts, and these MS. copies were used by Mahon in his *England*; Brougham in his *Statesmen*; Earl Russell in his books on *Fox*; and by Bancroft in his *United States*. The original letters are in the Queen's cabinet at Windsor, and have since been published in full under the editing of W. Bodham Donne, as *The Correspondence of King George the Third with Lord North, 1768-1783* (London, 1867, in 2 vols.). In the introduction the editor has depicted the character of the king. Cf. Brougham's *Statesmen*; Wheatley's ed. of *Wheatley*, i. 270, et c. The stubbornness of the king as promoting the unconstitutional influence of the Crown is nowhere better set forth than in Erskine May's *Constitutional Hist. England*, vol. i. Cf. for a briefer survey, B. C. Skot-

towe's *Short Hist. of Parliament* (ch. 15), on the personal government of the monarch. Donne's book reopened the question of his constitutional attitude. Cf. *Blackwood Magazine*, June, 1867; *Quarterly Rev.*, 1867; C. C. Hazewell in *No. Am. Rev.*, Oct., 1867. The debates of Feb. 17 and 21, 1783, in Parliament, on the articles of peace, beside being found in the *Parliamentary Hist.*, xxiii. 373, 436, were also published separately as *A Full and faithful Report*, etc. (1783). Cf. Jay's address, Appendix i. Adolphus (iii. ch. 49) summarizes the arguments in Parliament for and against the treaty. The treaty can be found, among other places, in *Treaties and Conventions of the United States* (Washington, 1871), p. 309; H. W. Preston's *Documents Illustrating Amer. Hist.* (N. Y., 1886, p. 232); George Chalmers's *Collection of Treaties between Great Britain and other powers, 1555-1780* (London, 1790); Jay's *Address*, Appendix; Jones's *N. Y. during the Rev.* (ii. 604), etc. The Paris edition of 1783 has the American eagle for a device. Compare, for comment, Lyman's *Diplomacy of the U. S.* (i. ch. 4); Bancroft, x. 50; J. C. Hamilton's *Republic of the U. S.*; Hildreth, iii. ch. 45; Irving's *Washington*, iv. ch. 32; Austin's *Gerry* (ch. 24); and Pitkin's *United States* (ii. ch. 15), on the American side; and on the English side we may select as representative treatments, Lecky's *England in the XVIIIth Century* (vol. iv.); William Massey's *England during the Reign of George III* (1855-63); and G. S. Craik and C. Macfarlane's *Pictorial Hist. of England during the Reign of George III* (1853). The view of a virulent refugee is found in Jones's *N. Y. during the Revolution* (ii. ch. 12). What seems to have been a part at least of the papers of David Hartley, was sold by G. Robinson, April 6, 1850, in London. The catalogue shows (no. 85) fifty-five letters of Franklin and Hartley (Feb., 1776-Dec., 1780), and from the *Catal. of MSS. of the British Museum* they seem to have passed into that collection. No. 84, which consists of six MS. volumes of documents relating to the negotiation of the peace of 1782-83, as copied and arranged by Hartley himself, came ultimately to this country, and finally passed into the collection of Mr. L. Z. Leiter, of Washington and Lake Geneva (Wi-consin). Cf. *Mas. Hist. Soc. Proceedings*, Oct., 1887. — E10.]

² [Franklin's instructions of Oct. 22, 1778, are in Pitkin (ii. 503). Franklin's journal is also in

The despatches of the English negotiators (in the Lansdowne House MSS.) have been largely utilized by Lord Edmond Fitzmaurice in his *Life of Shelburne*, which contains probably the best narrative of the negotiation from an English point of view, and which brings incidental proof of the hostility evinced by Rayneval to American interests during

By the UNITED STATES in CONGRESS Assembled, A P R O C L A M A T I O N.

WHEREAS definitive articles of peace and friendship, between the United States of America and Great-Britain, were concluded and signed at Paris, on the 3d day of September, 1783, by the plenipotentiaries of the said United States, and of His Britannic Majesty, respectively authorized for that purpose; which definitive articles are in the words following

In the Name of the Most Holy and Undivided Trinity.

IT having pleased the Divine Providence to dispose the hearts of the most serene and most potent Prince George the Third, by the Grace of God, King of Great-Britain, France and Ireland, Defender of the Faith, Duke of Brunswick and Lunenburg, Arch-Treasurer and Prince Elector of the Holy Roman Empire, &c. and of the United States of America, to forget all past enmities and differences, that have unhappily interrupted the peace and tranquillity of the world, which they mutually wish to restore; and to establish such a beneficial and satisfactory intercourse between the two countries, upon the ground of reciprocal advantage and mutual commerce, as may promote and secure to both perpetual peace and harmony. And having for a desirable end, already laid the foundation of peace and reconciliation, by the preliminary articles signed at Paris, on the 20th of November, 1782, by the commissioners empowered on each part, which articles were agreed to be inserted in, and to conclude the treaty of peace, proposed to be concluded between the crown of Great-Britain and the said United States, but which treaty was not to be concluded until terms of peace had been agreed upon between Great-Britain and His Britannic Majesty, should be ready to conclude the said preliminary articles, and the treaty between Great-Britain and France, having first been concluded, his Britannic Majesty and the United States of America, in order to carry into full effect the provisions of the said preliminary articles, according to the order thereof, have concluded and agreed, this 3d day, His Britannic Majesty on his part, Elias Hantley, Esq. a member of the parliament of Great-Britain, and the said United States on their part, John Adams, Esquire, late a Commissioner of the United States of America at the court of Versailles, late delegate in congress from the state of Massachusetts, and chief Justice of the said state, and minister plenipotentiary of the said United States, and Benjamin Franklin, Esquire, late delegate in congress from the state of Pennsylvania, president of the congress of the said United States, and minister plenipotentiary from the United States of America at the court of Versailles; John Jay, Esquire, late president of congress, and chief Justice of the state of New-York, and minister plenipotentiary from the said United States at the court of Madrid, to be the plenipotentiaries for the concluding and signing the present definitive treaty, who after having respectively communicated their respective full powers, have agreed upon and confirmed the following articles.

ARTICLE 1st. His Britannic Majesty acknowledges the said United States, viz. New-Hampshire, Massachusetts, Bay-Rhode-Island and Providence Plantations, Connecticut, New-York, New-Jersey, Pennsylvania, Delaware, Maryland, Virginia, North-Carolina, South-Carolina and Georgia, to be free, sovereign and independent States, that he grants to them as such, and for himself, his heirs and successors, renounces their claims to the government, propriety and territorial rights of the same, and every part thereof.

ARTICLE 2^d. And that all disputes which might arise in future on the subject of the boundaries of the said United States may be prevented, it is hereby agreed and declared, that the following are and shall be their boundaries, viz. From the north-west angle of Nova-Scotia, viz. that angle which is formed by a line drawn due north from the source of Saint-Croix river to the Highlands, along the said Highlands which divide those rivers, that empty themselves into the river Saint-Laurence from those which fall into the Atlantic Ocean, to the north-westmost head of Connecticut river, thence down along the middle of that river to the fortieth degree of north latitude, from thence by a line due west on said latitude, until it strikes the river Quebec or Cataraguy, thence along the middle of said river into lake Ontario, through the middle of said lake until it strikes the communication by water between that lake and lake Erie, thence along the middle of said communication into lake Erie, through the middle of said lake until it arrives at the water communication between that lake and lake Huron, thence along the middle of said water communication into the lake Huron, thence through the middle of said lake to the water communication between that lake and lake Superior, in latitude northward to the 45th, Royal and British, through lake Superior, into the said lake Superior, in latitude northward to the 46th, thence through the middle of said lake, thence along the middle of

long lake and the water communication between it and the lake of the Woods, to the lake of the Woods, thence through the said lake to the north-western point thereof, and from thence on a due west course to the river Mississippi, thence by a line to be drawn along the shore of the said river Mississippi, until it falls on each the northernmost part of the thirty-sixth degree of north latitude. South by a line to be drawn due east from the determination of the line last mentioned, in the latitude of thirty-six degrees north of the middle of the river Apalachicola or Catahouche, thence along the middle thereof to its junction with the Flint river, thence straight to the head of said Flint river, thence along the middle of said Flint river to the Atlantic Ocean. East by a line to be drawn along the middle of the river Saint-Croix, from its mouth in the bay of Fundy to its source, and from its source directly north to the utmost Highlands which divide the parts that fall into the Atlantic Ocean from those which fall into the river Saint-Laurence, comprehending all lands within twenty leagues of any part of the shores of the said Saint-Croix, and lying between the said boundaries between Nova-Scotia on the one part, and East Florida on the other, shall respectively touch the bay of Fundy, and the Atlantic Ocean, excepting such lands as now are or hereafter have been within the limits of the said province of Nova-Scotia.

ARTICLE 3^d. It is agreed that the people of the United States shall have complete and exclusive right to take fish every-where on the Grand Bank, and on all the other banks of Newfoundland, also in the gulph of Saint-Lawrence, and in all other places in the north-western part of the sea, but that the inhabitants of the United States shall have liberty to take fish or to work on fish part of the coast of Newfoundland and all British fisheries shall still be, (but not to dry or cure the same on land.) And also on the coasts, bays and creeks of all other of His Britannic Majesty's dominions in America, and that the American fishermen shall have liberty to dry and cure fish in any of the open harbours, bays and creeks of Nova-Scotia, Magdalen Islands, and Labrador, so long as the same shall remain unladen, but so soon as the same shall be laden, it shall not be lawful for the fishermen to dry or cure fish at such settlements, without previous agreement in that purpose with the inhabitants, proprietors or possessors of the ground.

ARTICLE 4th. It is agreed that nothing on either side, shall be construed to have any impediment to the recovery of the full value in sterling money, of all bona fide debts heretofore contracted.

ARTICLE 5th. It is agreed that the Congress shall respectively recommend to the legislatures of the respective States, to provide for the restitution of all estates, rights and properties, which have been confiscated, belonging to real British subjects, and also of the estates, rights and properties of persons resident in districts in the possession of his majesty's arms, and who have not borne arms against the said United States; And that persons of any other description shall have four months to go to any part of any of the Thirteen United States, and therein to remain twelve months, unprovided in the interim to obtain the restitution of such of their estates, rights and properties, as may be lawfully recommended to the several States; a reconsideration and revision of all acts or laws regarding the premises, (so as to render the said laws or acts perfectly conformable, accurate with justice and equity, but with this point of consideration, which on the return of the blessings of peace should universally prevail. And that Congress shall also earnestly recommend to the several States, that the estates, rights and properties of such last mentioned persons shall be restored to them; they refusing to rest content with what may be shown in satisfaction the bona fide price where any have been given) which such persons may have paid on purchasing any of the said lands, rights or properties, since the confiscation. And it is agreed that all persons who have any interest in confiscated lands, either by debts, marriage settlements, or otherwise, shall meet with no lawful impediment in the prosecution of their just claims.

ARTICLE 6th. That there shall be no future confiscations made, nor any prosecutions commenced against any person or persons for or by reason of the part which he or they may have taken in the present war, and that no person shall on that account, suffer any future loss or damage, either in his person, liberty or property, and that those who may be to confinement on such charges, at the time of the ratification of the treaty in America, shall be immediately set at liberty, and the prosecutions commenced be discontinued.

ARTICLE 7th. There shall be a firm and perpetual peace between His Britannic Majesty and the said States,

and between the subjects of the one, and the citizens of the other, wherefore all hostilities both by sea and land shall from henceforth cease; all prisoners of both sides shall be set at liberty, and His Britannic Majesty shall with all convenient speed, and without causing any detraction, or carrying away any negroes or other property of the American inhabitants, withdraw all his armies, garrisons and fleets from the said United States, and from every port place and harbour within the same, leaving in all fortifications the American artillery that may be therein, and shall also order and cause all archives, records, deeds and papers, belonging to any of the said States, or their contracts, which in the course of the war may have fallen into the hands of his officers, to be forthwith restored and delivered to the proprietors and persons to whom they belong.

ARTICLE 8th. The navigation of the river Mississippi, from its source to the Ocean, shall forever remain free and open to the subjects of Great-Britain and the citizens of the United States.

ARTICLE 9th. In case it should happen that any place or territory belonging to Great-Britain or to the United States, should have been conquered by the arms of either from the other, before the ratification of the provisional articles in America, it is agreed, that the same shall be restored without difficulty, and without requiring any compensation.

ARTICLE 10th. The former stipulations of the present treaty, except in so good and due form, shall be exchanged between the contracting parties, in the space of six months, or sooner if possible, to be computed from the day of the signature of the present treaty. In witness whereof, we the undersigned, their ministers plenipotentiary, have in their name and in virtue of our full powers, signed with our hands the present definitive treaty, and caused the seals of our arms to be affixed thereto.

DONE at Paris, this third day of September, in the year of our Lord one thousand seven hundred and eighty-three.

(L. S.) D. HARTLEY, (L. S.) JOHN ADAMS, (L. S.) B. FRANKLIN, (L. S.) JOHN JAY.

AND we the United States in Congress assembled, having seen and duly considered the definitive articles aforesaid, did by a certain act under the seal of the United States, bearing date the 14th day of January 1784, approve, ratify and confirm the same and every part and clause thereof, engaging and promising that we would sincerely and faithfully perform and observe the same, and never suffer them to be violated by any one, or transferred in any manner so far as should be in our power; and being sincerely disposed to carry the said articles into execution truly, honestly and with good faith, according to the intent and meaning thereof, we have thought proper by these presents, to notify the premises to all the good citizens of these United States, hereby requiring and enjoining all bodies of magistracy, legislative, executive and judicial, all persons bearing office, civil or military, of whatever rank, degree or power, and all others the good citizens of these States of every vocation and condition, that reverencing those stipulations entered into on their behalf, under the authority of that federal bond by which their existence as an independent people is bound up together, and is known and acknowledged by the nations of the world, and with that good faith which is every man's fund guide within their several offices, jurisdictions and vocations, they carry into effect the said definitive articles, and every clause and sentence thereof, sincerely, faithfully and completely.

GIVEN under the Seal of the United States, Whereof his Excellency THOMAS MIFFLIN, our President, at Annapolis, this fourteenth day of January, in the year of our Lord one thousand seven hundred and eighty-four, and of the sovereignty and independence of the United States of America the eighth.

Th. Mifflin

A NATIONAL PRINTED BY JOHN DUNLAP, Printer for the United States in Congress assembled.

NOTE.— [The above cut is a reduced fac-simile of a broadside among the Meshech Weare Papers (Mass. Hist. Soc. vol. ii. p. 114), announcing the signing of the definitive treaty of peace. W. S. Appleton describes a series of ten medals, struck at different times to commemorate the peace (*Mass. Hist. Soc. Proc.* xi. 301. Cf. *Amer. Jour. of Numismatics*, ii. 93; *Coin Collectors Journal*, iv. 145; Baker's *Metallic Portraits of Washington*, p. 36).—ED.]

his visit to England, confirming Jay's conviction and justifying his mission of Vaughan to counteract Rayneval's influence.

Kayneval's narrative of his conversations with the English ministers is among the Stevens MSS. His instructions and extracts from his letters to Vergennes are given by De Circourt (iii. 29, 56). The account of his mission, which he gave to Monroe in a letter dated Nov. 14, 1795, is printed in the appendix to Rives's *Madison*, vol. i. The intercepted letter of Marbois on the fishery is given in the appendix to vol. i. of Jay's *Life*, in English. It is unaccountably omitted in the *Diplomatic Correspondence*, although Jay's letter in regard to it is given.¹

Debates in Congress on the question of cancelling the ministers' instructions to confide in France are recorded in the papers of Charles Thomson, the secretary of the Continental Congress. The reports of these debates last from July 22 to Sept. 20, 1782, and are printed in the *Collections of the New York Historical Society* for 1878. The reports kept by Madison, and printed in Madison's *Works*, begin in November of the same year, and contain an account of the reception of the preliminary articles in Congress which supplement the letters of Luzerne.²

Where original authorities were unattainable, use has been made, in the preceding narrative, of Mr. Bancroft's *History*³ and other standard works upon early American diplomacy; e. g., Lyman's *Diplomacy of the United States*, excepting where their statements or conclusions are modified or reversed by later writers, to whom reference is made in the notes.

The accuracy of the history of the negotiation given in the *Life of John Jay*, by William Jay, was vouched for by one of the English negotiators, Fitzherbert, subsequently Lord St. Helens, who afterwards (July 29, 1838) referred to the memoirs as particularly interesting to himself from his intimate acquaintance and political intercourse with Mr. Jay

Dipl. Corresp., iii. 376; Bigelow's *Franklin*, iii. 66, including the "notes for conversation," which is given also in Fitzmaurice's *Shelburne*, from a copy in the Lansdowne MSS. Cf. Parton's *Franklin*, ii. 458. Sparks adds in foot-notes extracts from the correspondence of Oswald and the ministry. (Cf. ix. 303.) The letters of Franklin while in France, are in Sparks, vi. viii. Franklin was well aware that the French ministry communicated nothing to the American commissioners, and assigned it as a reason why he could join with Jay and Adams in concealing their negotiations from Vergennes (*Adams-Warren Correspondence*, p. 427). Henri Martin says the study of Franklin by P. Chasles in the *Revue des deux Mondes* (xxvi. 294) is "very unfriendly, and more witty than accurate." The opinion entertained of Franklin in England was very strong that he was an inveterate hater of England, and the estimates of him in that country have been tainted by this belief. Cf. Thomas Hughes in *Contemporary Rev.* (1879).

John Adams at a later day told the story of the negotiations, as he observed them, in a series of letters in the *Boston Patriot* (May 9, 1809, to Feb. 10, 1810), which were afterwards in part published separately, in Boston, as *Correspondence of the late President Adams*. This portion of the correspondence was not included in that part of these contributions printed in *John Adams's Works*, vol. ix. (Cf. *Ibid.* x. 148.) He repeats the story of his services in the *Adams-*

Warren Correspondence (p. 428 *et seq.*). There is a brief study of John Adams's ways in diplomacy in John T. Morse's *John Adams*, ch. vii. Cf. a *Collection of State Papers relative to the acknowledgment of the sovereignty of the United States; to which is prefixed, The political character of John Adams, Ambassador to the Netherlands* (London, 1782). His diary in *Works* (vol. iii.) gives the current events and observations. — ED.]

¹ [An early copy of the Marbois letter is given in the *Mass. Hist. Soc. Proc.* (November, 1863, vii. 262), which differs a little from that given in Pitkin (ii. 528) and in Jay's *Jay* (i. 490). Cf. on this letter *John Adams's Works*, i. App. D. — ED.]

² [The action in Congress during the progress of negotiations is traced in their *Journals*: Madison's *Writings*, i. 61, 515; Rives's *Madison*, i. ch. 12; Hamilton's *Republic U. S.*, ii. ch. 31; *Dipl. Corresp.*: the debates, Nov. 4, 1782—June 21, 1783, are in *Madison Papers*, i. 187. The definitive treaty was ratified by Congress, Jan. 14, 1784, and proclaimed with the recommendations to the States, required under it (*Secret Journals*, iii. 433; Jones, *N. Y. during the Rev.*, ii. 669). It was ratified by the king, April 9. — ED.]

³ [Bancroft has been able to avail himself of all the new material except the Franklin MSS. (used by Wharton), and he had had copies of the Shelburne Papers before Fitzmaurice used them, and had helped Circourt in his collection. Bancroft's account is the best in the general histories. — ED.]

when they were respectively employed at Paris in 1782; and remarked that he could safely add his testimony to the numerous proofs afforded by these memoirs that it was not only chiefly, but solely, through Jay's means that the negotiations of that period between England and the United States were brought to a successful conclusion, and pronounced the narrative of the negotiation given by Judge William Jay perfectly true throughout.

Jay's narrative has been followed by Flanders in his *Lives of Chief Justices*. Parton's *Life of Franklin* treats as unfounded the views of Jay and Adams on the unfriendly policy of France, and gives the credit of the negotiation exclusively to Franklin. The services of the three American negotiators have been briefly characterized by Mr. Trescot in the following terms: "The very variety of their characters adapted itself to their necessities; and if the deferential wisdom of Franklin smoothed the difficulties of the French treaty, the energetic activity of Adams conquered the obstacles to the alliance with Holland, and the conduct of the negotiation with England was guided by the inflexible firmness of Jay."¹

John Jay —

¹ [It is fair to say that until the recent developments of Fitzmaurice in his *Life of Shelburne* and of Count Circourt in his *L'Action Commune*, etc., the almost universal opinion in regard to the sincerity of Vergennes and the suspicions of it by Adams (cf. *Life of John Adams*, by Charles Francis Adams) and Jay had been opposed to the views entertained by those negotiators; and some of the best investigators since the new material was available have sustained these earlier and customary judgments, — even Lecky (iv. 276-285), who considers Fitzmaurice's *Life of Shelburne* the best exposition of the progress of the negotiation, considers that the distrust of Vergennes by Jay and Adams was groundless, though Lecky's development of the French policy hardly justifies his conclusion, unless he means that the American commissioners distrusted Vergennes' loyalty to the cause of American independence, which they certainly did not do. (Cf. Mr. Jay's *Address*, 1883, p. 112.) The last examination in that spirit has been made by Dr. Francis Wharton, the solicitor of the department of state at Washington, in the *Appendix to Volume III. of Digest of International Law* (Washington, 1887). He gives some of the correspondence from the Stevens-Franklin MSS. not before in print. It is claimed by this writer that the treaty was one of partition and not of grant, and that therefore the prior rights of the colonies as to the fisheries and navigation remained to the United States. He traces the predilections of the leading negotiators. Of Shelburne he takes a higher view than Lecky. Fox he looks upon as overcome by faction and passion. Of Vergennes he holds that while that minister avowedly wished to secure the fisheries to France and the Mississippi to Spain, he engaged in no negotiation without the privity of the Americans, except what was necessary and

customary in preliminary inquiries, — a statement that seems to allow the United States the same right. In claiming that Vergennes did not swerve from his expressed purpose of securing to the United States the acknowledgment of independence only, he does not seem to allow that the conditioning it, under a secret treaty with Spain, on the wresting of Gibraltar from England put the United States at a disadvantage that was not contemplated in the alliance. Dr. Wharton traces the main success of the American negotiations to Franklin, and thinks the loss of Canada owing to Franklin's being hampered by his associates. His opinions, accordingly, of Adams and Jay, as compared with Franklin, are qualified by what he deems their embarrassing characteristics. In assuming that the treaty would have failed, except for the acquiescence of Vergennes, Dr. Wharton equally assumes that Congress would have been prevented by France from ratifying the treaty. "Our way of thinking must be an impenetrable secret to the Americans," was Vergennes' caution to Luzerne, Oct. 14, 1782 (Circourt, iii. 288). It is not quite so impenetrable now with the newer lights.

The view adverse to Vergennes has been of late years best expressed by Mr. Jay, the writer of the present chapter, in his address on *The Peace Negotiations of 1782 and 1783*, before the N. Y. Hist. Society in 1883, and again in the present chapter. His father, William Jay, also held some correspondence in 1832 on the matter with John Quincy Adams, which is given in the *Mag. of Amer. Hist.*, Jan., 1870 (iii. 39). The life of Jay in Delaplaine's *Repository* falls in with Jay's own views. A recent book, *The Life and Times of John Jay, Secretary of Foreign Affairs under the Confederation, and first Chief Justice of the United States, with a Sketch of*

EDITORIAL NOTES.

A. THE FISHERIES. — The documents preserved in the *Mass. Archives* (cf. *Boston Evening Transcript*, August 25, 1886) show how strenuously, when Acadia was French, the New England people pressed their claims to the fisheries, and how importunate they were when the negotiations of 1782 again brought in question their interests.¹ R. R. Livingston (January 7, 1782), in his instructions to the American commissioners, formulated the American claims (Sparks's *Franklin*, ix. 135-138; and for the insistence upon the point, see *Secret Journals of Cong.*, iii. 241). See the diplomatic conduct of the question set forth in Eugene Schuyler's *American Diplomacy* (ch. 8), in the history of the fishery question in the *Mag. Amer. Hist.*, July, 1886, in Chas. Isham's *Fishery Question* (N. Y., 1887), and in John Jay's *Fisheries dispute: a suggestion for its adjustment by abrogating the convention of 1815, and resting on the rights and liberties defined in the treaty of 1783. A letter to W. M. Everts* (New York, 1887).² The intercepted letter of Marbois set forth the

Public Events from the opening of the Revolution to the election of Jefferson, by William Whitelock, also sustains the opinions of the Jays. The book is unfortunate in citing no authorities and in having no index. Among recent American writers, Col. T. W. Higginson in his *Larger History of the U. S.* (N. Y., 1886), and John Fiske in *Appleton's Cyclopaedia of American Biography* (N. Y., 1887), in an article on Franklin, sustains the course of Jay and Adams. Some other of the later writers have been influenced by similar views, as John T. Morse in his *Hamilton* (i. 82) and *John Adams* (p. 159), and more cautiously, perhaps, John Bigelow in a note to his *Life of Franklin* (iii. 211), where he prints certain parts of the secret treaty of France with Spain, April 12, 1770, of which Sparks, who first formulated the defence of Vergennes, was not at the time well informed. Sparks says of it in some notes among the Sparks MSS. (vol. xxxii.): "I read it in the Archives des Affaires Etrangères on the 20th Oct., 1828;" and then gives the substance, which, he adds, he "committed to writing immediately after reading, not being permitted to copy it in detail." He added to this statement at a later date: "I have since obtained a copy of it." (Cf. Bancroft, x. ch. 8.) This "Convention entre la France et l'Espagne du 12 Avril, 1770," is in Circourt (iii. 335), with two letters (May 5, 1782, June 8, 1782) of Montmorin to Vergennes relative to the execution of the treaty. Sparks's views, adverse to Jay's, took shape in a long note to Jay's exposition of his own opinions in the *Diplomatic Correspondence* (viii. 120-212). C. F. Adams has censured Sparks for using a publication of the government for circulating his individual views. Sparks reiterated his views to Madison (*Madison's Letters*, iv. 83), and in his *Gouverneur Morris* (i. 238) and his *Franklin* (i. 402, 405); and Mr. Jay in his *Address* (pp. 112, 215) has particularly answered

¹ Cf. for instance, W. Bollen's *Ancient right of the English nation to the American fishery* (London, 1764; Boston, 1768, — with a map. Cf. Sabin, ii. no. 6,208; Carter-Brown, iii. 1384); Lorenzo Sabine's *Report on the Fisheries*, p. 132; and Lator's *Cyclopaedia*, iii. 941.

him. Franklin himself held, in a somewhat slippery way, however, to the erroneousness of the views of Jay and Adams, as did Laurens (*Pif. Corres.*, ii. 485; iv. 138; cf. also x. 187). These protestations and the arguments of Sparks have largely influenced the opinion of later writers like Parton in his *Franklin* (ii. 455, 479, 486, 506), George W. Greene in his *Historical View* (p. 205), Hildreth (iii. 421), and George T. Curtis in a paper in *Harper's Mag.* (April and May, 1883). One is somewhat amused at the ease with which Rives (*Madison*, i. 355) accepts the "tone and spirit" of so versatile an intriguer as Vergennes when shown in his letter to Luzerne, because it "affords convincing proof of the injustice of the suspicions of the American commissioners." That Marshall and Washington were not deceived as to the purposes of France is quite clear from the way in which the negotiations are treated in the *Life of Washington*, and in the letter of Pinckney, Washington's secretary of state, Jan. 10, 1797 (*Amer. State Papers*, i. 559, 576). The leading later English historians have taken the view of Sparks, like Mahon (vii. 168), and Knight's *Popular History of England* (vi. 457); but the Tory historian Adolphus seems to recognize the wily purposes of Vergennes (*England*, iii. ch. 47 and 48). Massey (*England*, iii. 136) holds that "there was nothing in the conduct of the French government to justify such ungenerous conduct," and points out that the Marbois letter did not come to light till the Americans had entered upon their negotiations apart from France. Fitzmaurice (*Shelburne*, iii.) adopts in the main the views of William Jay in his *Life of John Jay*; and for further alleging of the French duplicity, see T. H. Dyer's *Modern Europe* (iv. 286), Coxe's *Spanish Bourbons* (v. 137), and his *House of Austria* (ii. 603). Cooper picked up some reports which he gives in his *Travelling Bachelor* (London, 1828), i. p. 105. — ED.]

² Cf. further the Marquis of Lorne in the *Eclectic Mag.*, cviii. 613; J. C. Hamilton's *Republic*, ii. 482; G. T. Curtis in *Harper's Monthly*, lxxi. 676; and references in Jones's *Index to Legal Periodicals*, p. 206.

urgency of Sam. Adams in the matter (Wells's *Adams*, iii. 150). It was upon this point that John Adams assumed the greatest share of responsibility in the negotiations (*Works*, i. 380-382; his diary in *Ibid.*, iii. 333, etc.; and letters, x. 137, 160, 403). Franklin was later charged with lukewarmness upon this point, but Jay and Adams seem to acquit him (Bigelow's *Franklin*, iii. 234, etc.).

B. THE NORTHERN BOUNDARIES.—A letter of R. R. Livingston, Jan. 7, 1782, to Franklin had set forth the American view,¹ and we have the commissioners' response to Livingston as to the bounds agreed upon.² The English commissioners claimed the territory of Maine westward to the Piscataqua, and successively abandoned claims of extension to the Kennebec and the Penobscot, and finally stopped at the St. Croix.³ Oswald had in the first instance yielded to the St. John, and in connection had suggested a line from the westerly end of the forty-fifth parallel (as agreed finally), south of the Ottawa and mainly parallel to that river, to Lake Nipissing, thence westerly across the outlet of Lake Superior to the Mississippi. This yielded conformity to the instructions which Congress had given John Adams, August 14, 1776.⁴ The Americans in the beginning had pushed for the St. John, but finally withdrew to the St. Croix,—so that in the name of the river, at least, there was an agreement, and a river of that name was furthermore an affluent of the Passamaquoddy Bay. To reach it from the sea, the line must run between various islands, but without being farther defined than that such islands as had been customarily included within the limits of Nova Scotia were to belong to it still. From the head-waters of the St. Croix, without designating which of its upper branches should be taken, a line was to run due north till it struck the highlands which formed the divide between the St. Lawrence River and the Atlantic; and this left it uncertain whether the Bay of Chaleur and the Bay of Fundy would be deemed Atlantic waters, or for the purposes of the treaty distinct from such waters. The line was then to follow westerly this dividing ridge till it struck the northwestern source of the Connecticut, but with no indication of the particular stream which was intended.⁵ It was then to follow the Connecticut down to the forty-fifth degree of north latitude, and pursue that parallel westerly till it struck the Iroquois or St. Lawrence River, on a line already surveyed, and assumed without due knowledge to be correct. It was then to run through the middle of the great lakes and connecting waters; but there were certain islands in its course which might be claimed for each side. It was to pass through Lake Superior north of Isle Philippeaux, which did not exist, and from the westerly side of that lake it was to follow a water-way to the Lake of the Woods, on the groundless supposition that there was one near the north end of Isle Royale; thence to the northwest corner of that lake, on the equally groundless supposition that the forty-ninth parallel was struck at that point, and thence by a due west line to the head-waters of the Mississippi, which were supposed to be, but were not, due west of it.⁶ This line was thus drawn in much ignorance of geography, and in trustful dependence in some parts on anterior definitions of the bounds intended. There was ample verge for dispute, and the final determination was not reached till 1842,—a space of sixty years of uncertainty and danger, and then by compromise and agreement, rather than by elucidation of the treaty.

The first serious question arose upon the identity of the St. Croix River. John Adams had insisted⁷ that the river of that name, which in documentary records between the English and French had been so constantly held to be the western limits of Acadia or Nova Scotia, was the St. John;⁸ but the map used in the treaty had limited the region of its mouth to the Passamaquoddy Bay. Here there were three rivers, and on the maps then current all three were called St. Croix, as the different geographers inclined. On the map which the commissioners used (Mitchell's of 1755), only two of the rivers were delineated, and these were the longer ones,

¹ Sparks's *Franklin*, iv. 128.

² *John Adams's Works*, viii. 18.

³ Adams, i. 665. This was insisted on by Strachey. Adams (i. App. C) tells us how he was prepared to insist on the region of Sagadahock as coming within the old bounds of Maine. When the proclamation of 1763 was issued, it was settled that Massachusetts gave up her claim to the territory bordering on the St. Lawrence, north of the height of land, and as an offset the crown ceased to make any claim on the land between the Penobscot and the St. Croix. This British claim westerly beyond the St. Croix was simply a somewhat stultifying attempt to adopt for a present purpose what had been in times past the French claim of the western bounds of Acadia, which the English had always denied. It perhaps shows French influence against the colonies that as late as 1776 D'Anville's *Partie Orientale du Canada* (Venice) put the line at the Kennebec, while Philippeaux's *Carte Générale des Colonies Anglaises* (1778) carries it east of the Kennebec. Moll, the English geographer, had indeed defined New Scotland in 1715 as bounded west by the Saco. The Massachusetts charter of 1602 had included Nova Scotia; but when that province was later set off, it was by the old bounds of the St. Croix.

⁴ This line, contrasted with the one arranged after Strachey joined, is shown in a map in Fitzmaurice's *Shelburne*,

iii. 294. The instructions to Strachey were to press for boundaries more favorable to England than were settled for Canada by the proclamation of 1763.

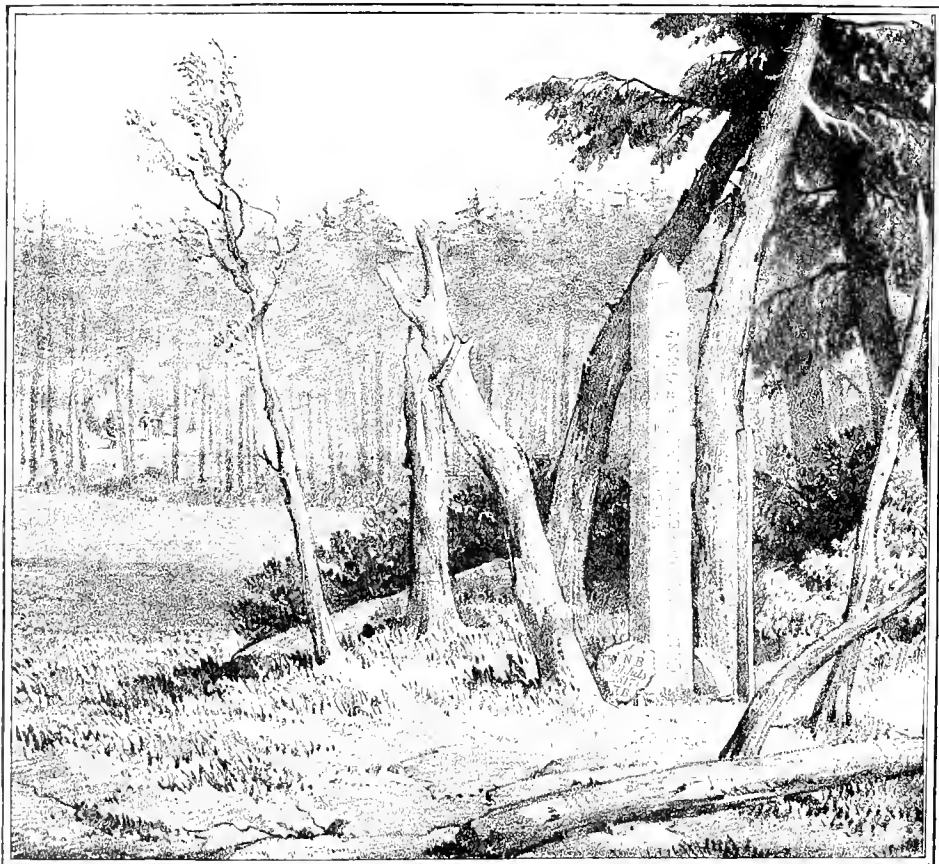
⁵ Cf. Levi Woodbury's speech in Benton's *Debates*, xiv. 572.

⁶ The line then went down the Mississippi to latitude 31° north; thence due east to the river Appalachicola, and thence to its junction with Flint River; thence to the head of St. Mary's River, and by St. Mary's River to the ocean.

⁷ He subsequently said there was no documentary evidence to justify the American commissioners to insist upon the St. John as being the St. Croix intended for the eastern boundary of Massachusetts (*Works*, viii. 210).

⁸ The British commissioner, Oswald, had indeed, Oct. 8, 1782, consented to the line of the St. John, but his government failed to support his views. A map was found among Jay's papers, after the treaty of 1842 had been signed, in which the St. John was colored as "Mr. Oswald's line,"—evidently a tentative draft, in accordance with this unsupported concession of Oswald. At that time it would then appear that the subsequent English discrimination between the "Atlantic" and the Bay of Fundy had not been broached. This Jay map was Mitchell's of 1755, colored, however, to conform to the later Quebec Act of 1774. It is reproduced in connection with Gallatin's "Memoir" in the *N. Y. Hist. Soc. Proc.*, 1843.

the smaller and most westerly being omitted. Of the two drawn, the name St. Croix was given to the most easterly, and it was along this stream, thus mapped, that the boundary line was drawn by the commissioners. The true position of the most easterly of these two upper rivers was farther down the bay, on its easterly side. The Americans had good reason for claiming that this river, the present Magaguadavic, was the St. Croix of the treaty, and so Franklin, Jay, and Adams testified¹ when settlers from New Brunswick (set off from Nova Scotia in 1784) began to pass westerly, and to establish their abodes near the mouth of the other of the two upper rivers, where St. Andrews now is. These encroachments were early the subject of examination and complaint, both by the general government and by Massachusetts, and investigations were made by General Rufus Putnam, and also by a commission consisting of Generals Lincoln and Knox and George Partridge, and the two former were then living in Maine.² The next year, Jay presented a project for a joint commission



THE MONUMENT ON THE ST. CROIX.*

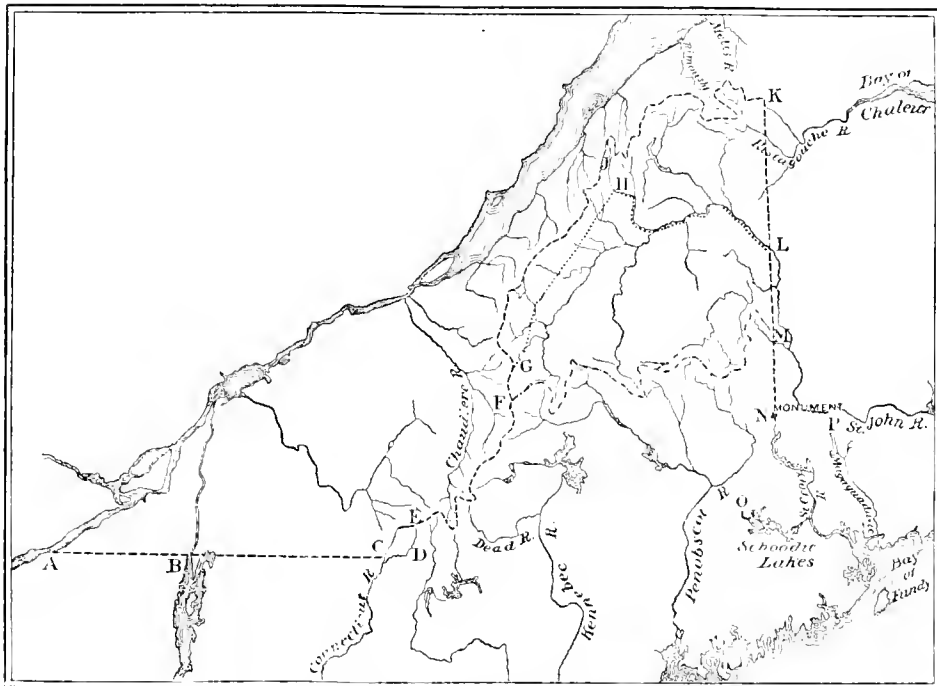
¹ Singularly enough, in view of the known diversity of opinion as to the St. Croix then existing among geographers, John Adams, in his deposition given to the commissioners for determining the St. Croix, says that the question of error or mistake in Mitchell's map was not suggested by any one at the time; but Jay, in his depo-

sition at the same time, says that the question of the true river of that name was raised among the commissioners, but that they rested on the determination made by Mitchell in his assignment of the name.

² *State Papers: Foreign Relations*, i. 91-97 (Oct. 12, 1784).

* Sketched from a plate in Bouchette's *British Dominions in N. America*, p. 14 (London, 1832). A cedar stake was placed at the head of a small stream, selected as the main source of the river, and five feet south of it a yellow birch was hooped with iron. The condition of this last in 1817 is shown in the bare trunk to the left of the new monument, a cedar pillar, which was erected by the commissioners of the two governments engaged in marking the line. It bears these inscriptions: "Col. Jos. Bouchette, H. B. M. Surveyor-General," "John Johnson, U. S. Surveyor and S. G. V. S.," "New Brunswick, July 31, 1817," and "United States, 31st July, 1817." Rocks at the base on either side were also respectively marked.

to settle the questions at issue, and recommended that Moose and other islands in the bay should be occupied by garrisons.¹ In 1794 it was provided by the Jay treaty that commissioners should be appointed by both powers to determine the question of the St. Croix River and its divisionary branch. If the testimony of Mitchell's map was worth anything, there was no question that the easterly or Magaguadavic River (Mitchell's St. Croix) was the river intended by the treaty; but the westerly of the two *upper* rivers (the Schoodiac) was finally chosen by the commissioners,² because it was proved to be the original St. Croix, or the river so named



NORTHERN AND EASTERN BOUNDS.

NOTE TO THE ABOVE MAP. — The line beginning at A on the St. Lawrence, as earlier run on the parallel 45° to C, crossing the outlet of Lake Champlain near Rouse's Point, was found to be a trifle too far south of the true parallel, but by the treaty of 1842 was confirmed on the earlier supposed line. From C to E, the line by the treaty of 1842 was made to follow that branch of the Connecticut, Hall's Stream, nearer C, while the award of the king of the Netherlands had given the branch nearer D as the line. From E the line as claimed by the United States followed the broken line (— —) to K. From F, as claimed by Great Britain, it followed the dot-and-dash line (· · · —) to M (Mars Hill). As finally settled in 1842, all north of the line of dots (following the bed of the river), extending from G through H to L, was given to Great Britain. If the award of the king of the Netherlands had been accepted, the United States would here have gained the long, narrow area, G, H, J. In determining which was the St. Croix, the British claimed that the headwaters of the Schoodiac Lake, at O, should be the place from which the due north line should start. The Americans claimed the Magaguadavic as the St. Croix, and the point P as the beginning of the due north line. By agreement, the monument was placed, in compromise, at the head of the other branch of the St. Croix at N.

The present sketch is based on the reduction of Graham's official map, published by order of the Senate in 1843, which is annexed to the Report of the commissioners to survey the bounds, in *Ho. of Rep. Exec. Docs., no. 31, 27th Cong., 3d session*. The map presented to the House of Commons by the queen's command, in pursuance of their address of the 27th March, 1843, represents the line of the British claim, running from a point on the western line a little below the 46° parallel, and striking the due north line at a point where the Aroostook River crosses it. Cf. reproduction in Fitzmaurice's *Shelburne*, iii. 324. This is the line as given in the map of Featherstonhaugh and Mudge (1839), of which Gallatin, in his *Right of the U. S.*, gives a reproduction.

¹ *State Papers: Foreign Relations*, i. 94, 96. In 1783 the New Brunswick sheriff endeavored to force the people of Moose Island to furnish jurors for the county court at St. Andrews. On May 18, 1786, John Adams drew the attention of the British government to the fact that British subjects were settling westward of the river claimed as the St. Croix (*Works*, viii. 392).

² The commissioners were David Howell for the States,

Thomas Barclay for England; and they two chose Egbert Benson as a third. There are two portraits of Judge Benson by Stuart: one engraved by H. B. Hall, and owned by the Hon. John Jay; the other, engraved by C. Burt, belongs to the N. Y. Hist. Society. Cf. Mrs. Lamb's *New York City*, ii. 593; Hamilton's *Repub. of the U. S.* (1879), iii.; and Mason's *G. Stuart*. Judge James Sullivan was the American agent in the negotiations, who naturally in-

by Champlain and his party, the first to winter on the coast (1604-1605). This proof consisted in the fact that an island in the river, not far above St. Andrews, answered in topography and position to the island on which the French wintered, and because in removing brush and soil they found the foundations of buildings, which, with the shape of the island, corresponded sufficiently to the plan both of the island and its structures as given by engraving in Champlain's book (edition of 1613) describing their sojourn.¹ The award or "declaration" of the commission was made Oct. 15, 1798,² and a MS. statement of the grounds of the decision, by Egbert Benson, is in the Mass. Hist. Society library.³

The English, having substantiated their claim as to the river, failed, however, in securing the westernmost head of it as the starting-point of the due north line, which was instead placed at the source of the most northern branch,⁴ and here a "monument" was established. The most vexatious question arose finally on the length of the line due north from this monument, which, according to the treaty, was to stop in the highlands which separated the waters of the St. Lawrence tributaries from those streams which flow into the Atlantic Ocean. A line thus extended reached, in fact, the dividing ridge which separated the waters of the St. Lawrence (river Metis) from those which flowed into the Bay of Chaleur (Restigouche River) instead of the Atlantic, and was accordingly, under the strict interpretation of the treaty, an impracticable boundary. Again, it crossed the upper waters of the St. John, which did not flow into the Atlantic, as the English understood the treaty, but into the Bay of Fundy;⁵ and, moreover, they claimed that the Atlantic rivers should be wholly within the United States, making the divide, as they understood the only practicable solution of the treaty to be, the highland in which the Penobscot, the Kennebec, and Androscoggin have their source. By this view they studiously ignored the other description of the treaty that the waters on the other side of the divide should flow into the St. Lawrence. This interpretation would carry the north line only to a point about forty miles from the monument, near to an eminence known as Mars Hill, while the American claim carried the line about one hundred and five miles farther. The English were, however, a long while in reaching this conclusion, and were thought to have been pushed to it by feeling the necessity, during the war of 1812, of a readier and more direct military road between St. John and Quebec than would be possible if the boundary followed the southern

sisted upon the Magaguadavic as the true St. Croix. There is a chapter on the negotiations in T. C. Amory's *Life of James Sullivan* (i. ch. 14). Cf. *Life of Pickering*, iii. 278.

¹ See Vol. IV. p. 137. This island is now known as Doucet Island. A few years since I failed to find on it any trace of the buildings, the material having been used as foundations for the light-house and keeper's cottage, now maintained there by the United States government. Cf. Williamson's *Maine*, ii. 511. Champlain usually calls the river the River of the Etchemins, and the island St. Croix; but once he calls the river St. Croix. Lescarbot never calls the river by that name. The American agent attempted to show that the island did not necessarily give its name to the river.

² Given in *House of Rep. Ex. Doc., no. 31, 27th Congress*, 3d session, note ii.; Atcheson's *Amer. Encroachments*, London, 1868.

³ *Proceedings*, ii. 190. The editor cannot find that this paper, of which copies were also given to the President of the United States and to the American minister in London, was printed at the time. He contributed it to the *Mass. Hist. Soc. Proc.*, October, 1887. The MS. has drawings of Champlain's map of the island, of a section of Mitchell's map, a modern survey of the island and of Passamaquoddy Bay. Which was the true St. Croix had long been in dispute. Jefferys, in his *New Map of Nova Scotia*, had called the present St. Croix "the Passamaquoddy or St. Croix," and the Magaguadavic the "St. Croix." Pownall, in his *Topographical Description*, in 1776, acknowledged his inability to decide; but in his additions to Evans's map he gives the name to the most westerly, and smaller, of the three rivers. Gov. Bernard, in receiving grants east of the Cobscook as lying within Nova Scotia, would imply that that river was the St. Croix. Carrington Bowles, in his *New Map of North America* (1783), tries to be impartial by running the boundary line by colors on the middle and by dots on the most western river. The *New and Correct Map of North America*, by Albert and Lotter (1784), calls the middle river the "old St. Croix," and the most westerly the "St. Croix," and starts the line from this river. When Osgood Carleton made the map for Sullivan's *Maine*, in 1793, he called the most easterly (Magaguadavic) the St.

Croix, and that was the generally accepted American view. The mouths of the Schoodiac and the Magaguadavic were about sixty miles apart, but they approached within nine miles of each other at their sources. The region thus claimed by both (allowing the north branch of the Schoodiac to be the true source) embraced about two million acres. Cf. *Ms. Hist. Soc. Coll.*, viii. 7; Gallatin's *North East Boundary* (1840), p. 52; Report of Renwick, etc., in *House of Rep. Ex. Doc., no. 31, 27th Congress*, 3d session, note iv. "It is astonishing," wrote John Adams in 1788, "that to this hour no man can produce a map of all the bays, harbors, islands, and rivers in that neighborhood that can be depended on" (*Works*, viii. 308). Samuel Holland's *Map of the Province of Lower Canada* (1802) has a plan of the "Schoodiac and Magaguadavic" as surveyed by the commissioners in 1790-98.

⁴ The question turned largely upon the point whether the most remote head or that with the most copious flow was the true source. The two governments had respectively made grants on each side of this northern branch, and the British could gracefully yield their claim to the western branch in view of their securing the Schoodiac as the St. Croix.

⁵ Cf. Nathan Hale, on this British claim, in the *Amer. Almanac*, 1849, p. 91. The claim of Mr. Hale (p. 94) is that the northwest angle of Nova Scotia is on the ridge separating the Restigouche from the St. John, whence the line proceeds along that ridge till it reaches the ridge in which the St. Lawrence streams take their origin.

A writer (C. Buller) on the question, in the *Westminster Review* for 1840, points out the difficulties in reconciling both the English and the American claims to the perfected geographical knowledge of the disputed country, though he insists that the change from "sea" (treaty of 1763) to "Atlantic Ocean" (treaty of 1782-83) was an intentional discrimination between the Bay of Fundy and the Atlantic Ocean. It is not quite easy to understand how Buller can reconcile his two statements that the bounds of the treaty of 1782-83 were "old acknowledged but unascertained lines," when he presses the "significant difference" between the "sea" used in older documents and "Atlantic Ocean" used in the treaty.

ridge of the St. Lawrence Valley. There had been, however, various indications of uncertainty before the British government's claim was for the first time fully set forth in Col. Joseph Bouchette's *Topographical Description of Lower Canada*, in 1815.¹

There seems no ground to suppose that at the time of making the treaty in 1783 any one on either side imagined that the bounds of Maine did not extend to this dividing ridge of the St. Lawrence Valley, and for thirty years from the date of that treaty there was no question, by authority, of the jurisdiction of Maine up to that ridge.² The royal proclamation of Oct. 7, 1763, setting up the province of Quebec, marked its southern limits by that ridge, as dividing it from Maine; and in November, 1763, the commission of Montagu Wilmot as governor of Nova Scotia defines the limits of his government by a line due north from the source of the St. Croix to the southern bounds of Quebec; and the commission of the governor of Nova Scotia at the date of the treaty of 1783 was of precisely the same tenor. The Quebec Act of 1774 followed the same definitions. So it was clear that the commissioners of the treaty of 1783 intended to follow the definitions then in vogue as determining the northern bounds of Maine and the southern bounds of Quebec, which were one and the same. It is not only the evidence of these official and royal commissions and proclamation that place the bounds of Maine along the natural divide which forms the southern limits of the St. Lawrence Valley, but also the maps, without exception, published between 1763 and 1783 place the bounds there.³

It may be safely said that the same antagonistic agency, which during the final negotiations for peace had endeavored to curtail the bounds of the new republic, repress its ambition, and minimize its chances of affecting the schemes of France and Spain in the New World, was the earliest to point out to Great Britain, after the treaty was made, the course that she might pursue to recover some of the territory she had signed away. Vergennes had not forgotten the spirit of Turgot,⁴ who as early as 1776 had looked for the repossession of Canada if the colonies succeeded; and as preliminary to this consummation, Vergennes saw the occasion of making Canada as broad as possible, so as to have the larger grasp to take, if repossession came. Accordingly, we find an old French claim to a line crossing the head-waters of the Penobscot and Kennebec, and closing in the English settlements, revived and made to stand for the line decided upon in the treaty. This was put forth in 1784 under the governmental sanction in Paris, as engraved by the "graveur du Roi," and given further significance by being dedicated to Franklin. A copy of this map, which had belonged to Jefferson, was brought forward in the debates on the treaty of 1842 in the Senate, and was shown to have a colored line to correspond to this old French claim, while an engraved pricked line marked the American

¹ Joseph Scott's *United States Gazetteer* (Philad., 1795) marks the boundary along the lower highlands in his large map of the United States, but in his map of Maine he traces it along the upper highlands, even throwing the upper waters of the Chaudière into Maine. The maps by J. Russell in Winterbotham's *View of the U. S.* (1795) support the British claim. Col. Gother Mann, in 1802, while commanding the engineers in Canada, pointed out to his government the military disadvantage to England of the upper highlands as a boundary (Brynnor's *Report on Canadian Archives*, 1885, p. xcv). In 1810 the map in John Lambert's *Travels through Lower Canada and the United States* (London) puts the boundary on the lower highlands. Jos. Bouchette's *Map of Upper and Lower Canada* (London, 1815) has a compromise line, which allows the valley of the "Kistook" to Maine, but it also gives an alternative line in the lower highlands. The map in Wm. Newnam Blane's *Excursions through the United States and Canada* (London, 1824) allows the American claim, as does Basil Hall's map in his *Forty Etchings* (Edinburgh, 1820), and that also in his *Travels in N. America* (Edinburgh, 1820), as well as the map in James Stuart's *Three years in N. A.* (Edinb., 1833).

² The English subsequently said that this was suffered because of want of knowledge of the country. Bouchette (*British Dominions in North America*, i. 24) claims that the British mails from St. John to Quebec were uninterruptedly carried through this region. Previous to the treaty of 1763, the English had claimed that their rights in this region extended to the St. Lawrence. Moll in 1715 had so defined them. In 1755 we find the same thing in Jefferys' edition of D'Anville's *North America*, in Huske's *New and accurate Map of North America*, and in Jefferys' *New Map of Nova Scotia*. The Dutch maps of Covens and Motier and the German maps (Homann) of this time made similar dispositions. It proved later for the interests of the British to deny this, as was done argumentatively in Mudge and Featherstonhaugh's Report.

³ Gallatin (*North-eastern Boundary*, N. Y., 1840, p. 77;

Memoir read before the N. Y. Hist. Soc., 1843, p. 13) gives a list of nineteen such maps. Senator Woodbury says that Gallatin collected more than fifty such maps (Benton's *Debates*, xiv. 571). Such maps are Kitchin's (in Dodsley's *Amer. Reg.*, 1763), and in Knox's *War in America*, 1769), that in Wynne's *British Empire*, J. Palairé's (improved by Delaichette, and the one in the *Amer. Traveller*), Ridge's (in *Hist. of the War*, Dublin), several in Jefferys' *Atlas* (S. Dunn's, D'Anville's improved, Bowen and Gibson's, Sayer and Bennett's, corrected from Pownall's, etc.), D'Anville's improved by Bell, and Bell's in the *British Dominions in N. America* (1772), that in the *Amer. Mil. Pocket Atlas*, Faden's *British Colonies*, and the one in Carver's *Travels*, etc., etc. Gallatin gives fac-simile extracts from several of these; and he adds (p. 80) the titles of four other maps, equally conclusive, which were published in London between the signing of the provisional and definitive treaties, namely, one by Sayer and Bennett, Bew's in the *Political Mag.* (1783), and the maps of John Wallis and J. Cary, all professing to give the new United States in their territorial integrity. That of Wallis is given in fac-simile in Jones's *New York during the Rev.*, ii. 314. To these may be added Andrews' *New Map of the United States* (London, 1783).

Equally conclusive as to the prevailing accord upon what constituted the "highlands" of the treaty are the maps published within the next few years, like that in the *European Magazine*, Nov., 1783; that of Carrington Bowles (1783); Faden's, published as that of "the geographer to the king," in 1784; and that of Albert and Lotter (London, 1784). The map in Andrews' *American War* (London, 1780, vol. iii.) is too vaguely drawn to be evidence.

⁴ Turgot's Reflexion on the Memorial of Vergennes, April, 1790, found in the cabinet of Louis XVI, and published by the National Convention of France, is in the main printed in the App. to Jed. Morse's *Thanksgiving Sermon* (Boston, 1799), p. 69. Cf. R. G. Harper's *Works*, Balt., 1819, p. 103.

claim. The map was called *Carte des Etats-Unis de l'Amérique, suivant le traité de paix de 1763* (Paris, 1784). The effect which was intended was immediate. Faden, the English royal geographer who had published, in accordance with prevailing views, a map in 1783, following the upper highlands, nearly parallel with the St. Lawrence, for the bounds of the treaty, suddenly wheeled about, and republished his map, with the bounds fixed on the line of this old French claim, as Vergennes had wished.

The times were not propitious for the English government further to pursue the hint. They were looking on to see the confederation tumble to pieces, and sue for their protection. The troubles following the French Revolution ensued, and more engrossing questions pressed the British ministry, so that the course so kindly indicated by Vergennes really dropped out of remembrance. The experiences of the war of 1812 brought the question once more to life. The failure of the American efforts in Canada inspired new hopes, and we find the extreme nature of some of them expressed in Nathaniel Atcheson's *Compressed View of the Points in treating with the United States* (London, 1814), which went so far as to urge the Penobscot as the boundary,



COLONEL BOUCHETTE.

and to include in Canada the water-shed of the great lakes, together with a cession of a tract in the Northwest, in order to give Great Britain access to the Mississippi, and so render operative the rights granted to her in the treaty of 1763, of free navigation of that river. What was seemingly an authoritative representation of the conclusions which by 1815 the British government had reached, was the distinct formulation of the claim which they ever after continued to press, and which appeared in 1815 in Bouchette's *Description of Lower Canada*. The spirit of Vergennes was triumphant, but France, with the experience of Waterloo, was not in a position to look for the profits once hoped for.

By the Treaty of Ghent (Dec. 24, 1814), it was provided for new joint commissions to settle these boundary differences, but on the question of the Maine highlands the effort was unavailing, as the agents of the two governments failed of an agreement.¹ Three years later, however (1817-1818), a joint scientific commission surveyed the "due north line," and it was at this time that the idea was first broached by a government

¹ There were four commissions under this treaty: 1, on the islands in the Passamaquoddy Bay, which agreed Nov. 24, 1817. 2, on the Maine highlands, the Connecticut headwaters, and the 45° parallel, which did not agree. 3, on the division of the islands in the St. Lawrence and the lakes

as far as the main westerly inlet of Lake Huron, which agreed, June 18, 1822 (cf. Bouchette's *Brit. Dominions in No. Amer.*, i. App. 1). 4, on the extension of the bounds westward to the Lake of the Woods, which was left to the treaty of 1842 for settlement.

agent of Great Britain that this due north line should stop at or near Mars Hill, and that the line westward from that point should follow the height of land in which the Penobscot and Kennebec had their source.¹

About the same time the commissioners, under the Treaty of Ghent, succeeded in closing (Nov. 24, 1817) the dispute about the islands in Passamaquoddy Bay, and Grand Menan, Campobello, and other islands were thus confirmed to Great Britain.²

From 1823 to 1827 there were continued negotiations between the two governments,³ which finally resulted (Sept. 20, 1827) in the decision being left to the king of the Netherlands.⁴

This umpire was so strongly impressed with the geographical impracticabilities of the treaty that, instead of deciding the points at issue, he drew a compromise line largely upon the course of the St. John. His award (Jan. 10, 1831)⁵ was rejected by the Senate, and met the protestations of the legislature of Maine, though President Jackson would have joined with the British government in accepting it.⁶

The best exposition of the position of Maine through the long controversy is given by Israel Washburn, Jr., in the *Maine Hist. Soc. Coll.*, vol. viii.⁷

The current opinion in Massachusetts at this time is shown in legislative documents.⁸

By 1838 the impending dispute seemed likely to be intensified till war was by no means improbable.⁹ The frontiers were surveyed with reference to fortifying.¹⁰ The New Brunswick government caused some arrests of Americans in the debatable territory, which served to embitter the local feelings.¹¹ The next year (1839) the governor of Maine moved the militia into the disputed territory, and the armed possession known as the Aroostook War, which cost the State of Maine over a million of dollars, was in daily danger of breaking into actual conflicts. The American government sent General Winfield Scott to mediate, and he succeeded in

¹ This, 1817-1818, due north line was the one followed in the final decision in 1842; though it is claimed that the slightly divergent *ex parte* line run a few years later by Maj. Graham, of the U. S. army, was more accurate (*Webster's Works*, vi. 279).

² George Chalmers' statement of the British claim to these islands, as being originally a part of Nova Scotia, is given in the *Aspinwall Papers* (*Mass. Hist. Soc. Coll.*), ii. 830. See the American view in James Sullivan's letter to Madison, May 20, 1802, in T. C. Amory's *Life of Sullivan*, ii. 399.

³ Various tracts appeared in this interval; perhaps the most important on the English side was Henry Bliss, Jr.'s *Consideration of the Claims and Conduct of the United States respecting their North-Eastern Boundary, and Value of the British Colonies in North America* (London, 1826). A report of a committee of the legislature of Maine (1828) is in the *U. S. Senate Docs.*, 20th Cong., 1st sess., no. 171. The same with other papers and historical proofs, and the report of Charles S. Davies on the British aggressions (originally Portland, 1828), make up a volume printed by the State of Massachusetts in 1828, called *Documents relating to the North-Eastern Boundary of the State of Maine*.

⁴ Albert Gallatin prepared the American statement for the Dutch king, and this was later published by him as the *Rights of the United States of America to the North-Eastern Boundary claimed by them, principally extracted from the statements laid before the king of the Netherlands, and revised by Albert Gallatin* (N. Y., 1840); the main points of which are also included in his *Memoir read before the N. Y. Hist. Soc.* (Proc., 1843). The official edition of the American case is the *Statement on the part of the U. S.* (Washington, 1824, folio), together with a *Definitive statement* (1824) and *An Appendix* (1824). Many of the papers of the American commissioners are now in the possession of the Hon. George S. Hale, of Boston, whose father, Selma Hale, was secretary of the commission. Cf. Preleg. Sprague's *Speeches and Addresses* (Boston, 1858). The English case is set forth in *Remarks upon the disputed points of Boundary, principally compiled from the statements laid by the government of Great Britain before the king of the Netherlands* (St. John, N. B., 1st ed., 1838; 2d ed., 1836). The documents in the case accruing after 1827 were published in a blue book by the English government in 1838 as *North American Boundary, A.*

⁵ It is given in the *Remarks upon the disputed points of Boundary*, etc., St. John, 2d ed., 1836, App. 1, and elsewhere. He gave 7,008 square miles to the United States,

and 4,114 to Great Britain. He met the British claim as to the head-waters of the Connecticut. He offered the true parallel of 45°, but by a circling line brought the new fort which the United States had built within their jurisdiction.

⁶ *Resolves of the Legislature of Maine on the King of Netherlands award* (Portland, 1831). *Message of the gov. of Maine and Docs. on the doings of the arbiter, with Report of the Com. of the Legislature* (Augusta, 1831).

Joseph Bouchette's *British Dominions in North America* (London, 1832), vol. i ch. 1, rehearses once more the British claims, and gives the Dutch king's award (vol. i, App. 10), with the protest of the American minister at the Hague, Jan. 12, 1831 (App. 20). Cf. W. P. Prible's *Decision of the King of the Netherlands* (Portland, 1831); and the account of the proceedings leading up to the award in J. A. Hamilton's *Reminiscences*, etc., pp. 590, 606.

⁷ Cf. also Senator Woodbury in Benton's *Debates*, xiv. pp. 574, 595, and the letters of the Maine commissioners to Mr. Webster, accompanying the publication of the treaty (*Ill. of Rep. Doc.*, no. 2, 27th Cong., 3d session). The most uniring advocate of the rights of Maine, between 1823 and 1831, and the writer of most of the official reports of the State on the matter, was John G. Deane, and an enumeration of his reports and testimony to his labors will be found in Llewellyn Deane's *Biog. Sketch of John G. Deane* (privately printed, Washington, 1888).

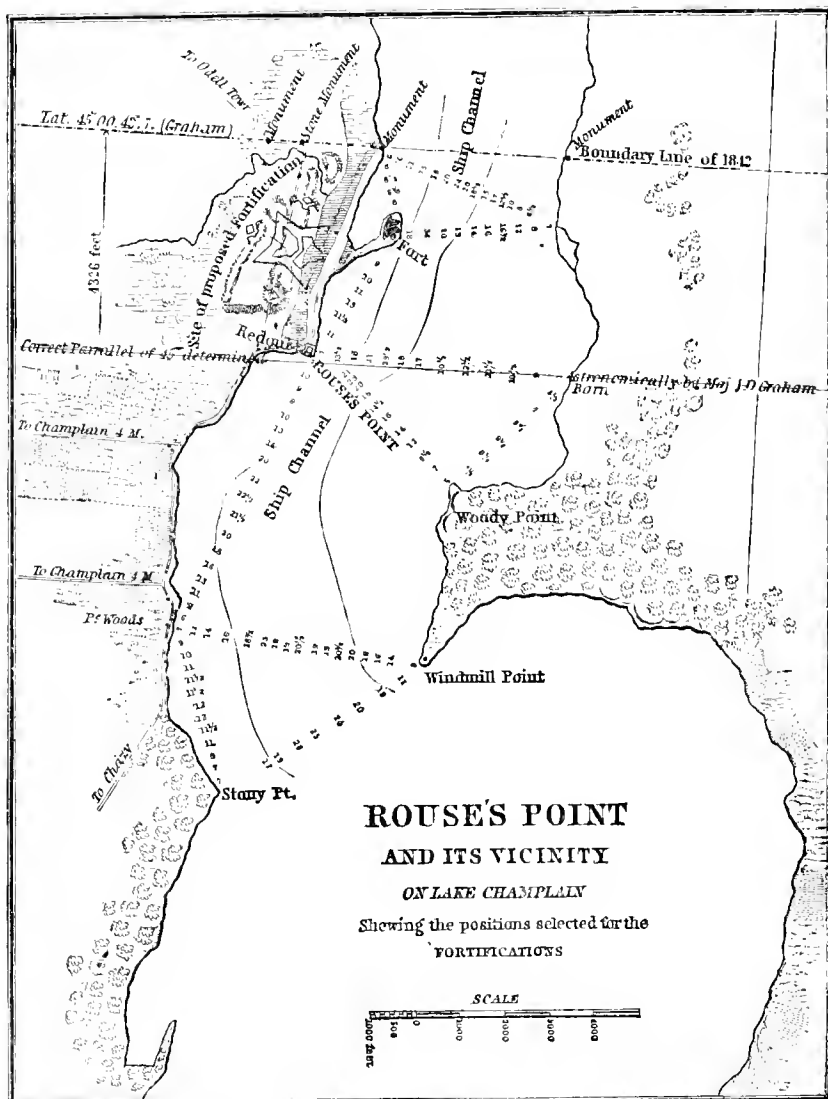
⁸ *Report of the Com. on Public Lands on the subject of the N. E. Boundary* (Boston, 1832); *Documents published by the Senate of Mass.* (1834 and 1835); *Report and Resolves, Mass. Legislature, Senate*, no. 67 (1838); *Message from governor of Mass. communicating docs. from Maine, Senate*, no. 30 (1839); *Papers relating to the N. E. Boundary, Mass. Gen. Court, Senate*, no. 45 (1839).

⁹ The extreme British view was expressed in Patrick Yule's *Remarks on the disputed north west boundary of New Brunswick* (London, 1838). The correspondence and papers of the British government (Feb., 1838, to June, 1840) constitute the blue book, *North Amer. Boundary, Parts I and II, presented to Parliament July, 1840* (London). Cf. also David Frouhant's *Exposition of the Causes and Consequences of the Boundary Differences subsequently to their Adjustment by Arbitration* (not published, Liverpool, 1844).

¹⁰ *Senate Doc.*, 25, 25th Cong., 3d session.

¹¹ *Message of the President, transmitting information in relation to the Imprisonment of Mr. Greely, at Fredericks-ton, in the British Province of New Brunswick; also documents in relation to the Northeastern boundary question, etc.* (Wash., 1858).

inducing both the authorities of Maine and of New Brunswick to withdraw their forces during negotiations, which were again on foot.¹ The British government, meanwhile, caused Messrs. Mudge and Featherstonhaugh to make a new survey of the line, as claimed by them,² and, as an offset to this, the United States government appointed a commission to make a survey on their part, and to examine the arguments of the English commission.³ The correspondence of the two governments still went on during 1840 and 1841.⁴



NOTE.— Reproduced from a corner map in a *Map of the various lines between the United States and the British provinces, reduced from the official map of Major J. D. Graham, published by order of the Senate, 1843.*

¹ The correspondence is in the *North American Boundary* (Blue Book), Part I., London, 1840. Charles Sumner, then in Paris (1830), wrote out a temperate statement of the American case, which was printed in *Galignani's Messenger*, and distributed in England. The paper was reprinted in the *Congressional Globe* (Pierce's *Summer*, ii. 83).

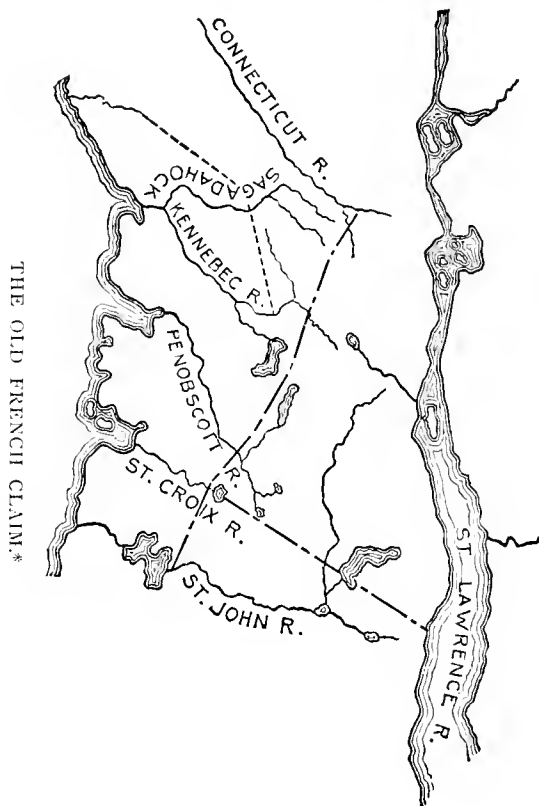
² Gallatin reproduces their map (1833). Their report,

with appendix and map, is in the *Blue Book, No. Amer. Boundary*, London, 1840, Part II. They went so far as to urge the opening again of the question of the St. Croix, in order to secure the Western branch as the true source of that river.

³ Their report, March 28, 1842, is in *Ho. of Rep. Ex. Doc., no. 31, 27 Cong., 3d session*, with maps.

⁴ Corresp. of the British ministry with the Secretary of

Early in 1842, there being a mutual understanding that a compromise line could be assented to by both governments,¹ Lord Ashburton came to America, empowered to conduct a negotiation on that basis with Daniel Webster, then Secretary of State. In June the communications began, and August 9th what is known as the Webster-Ashburton treaty was signed, with the assent of commissioners appointed by Maine and Massachusetts. — the latter State having an interest in the unsettled parts of Maine. The treaty² embraced other matters than those of the disputed boundary; but these last contentions were now finally set at rest all along the line to the Rocky Mountains, for the acquisition of Louisiana had meanwhile added to the United States the region beyond the Mississippi. Of the territory in dispute in Maine, seven-twelfths were secured to the United States, and the course of the St. John and St. Francis, west of the due north line, was settled upon, with an arbitrary straight line farther west, to that Branch (Hall's) of the Connecticut which favored most the United States, and gave 100,000 acres to New Hampshire. The commissioners of Maine reluctantly assented, out of regard for the general interests of the whole country, and it was their consent which was mainly instrumental in securing the ratification by the Senate. Both Maine and the United States received compensating grants for the surrender of the five-twelfths of the territory, which, on a question of right, the United States as well as Maine insisted was properly theirs. To Maine was secured the free navigation of the St. John, and she was paid by the federal government \$150,000 and relieved of the expenses of the Aroostook War. The United States received new accessions of territory along other parts of the boundary. The line of the 45^o parallel had been accepted, under the treaty of 1783, by trusting in the surveys of Valentine and Collins, made between 1763 and 1767.³ In the same confidence the United States had later begun the construction of Fort Chamblée at Rouse's Point, in New York, but in 1818 a joint commission had made a new survey of this 45^o parallel, when it was found that the correct line was far enough south to throw



State, June, 1840, to March, 1841 (*Senate Doc.*, 274, 29th *Cong.*, 1st sess.). Cf. also messages of Van Buren and a report of James Buchanan. *Senate Doc.*, nos 107, 352, 20th *Cong.*, 1st sess.; *House Doc.* 154; the action on a military road in *Ho. Doc.*, no. 69, and *Sen. Doc.*, no. 54, 27th *Cong.*, 2d sess.; and a *Hist. of the negotiations in reference to the eastern and northwestern boundary of the United States in 1841* (N. Y., 1841). Cf., on the British government publications on the boundary, 1838-1843, Sabin, xiii, no. 55, 538.

¹ Bouchette (*Brit. Dominions in N. Amer.*, i, 420) had proposed in 1832 a "conciliatory compromise," with an

agreement on the line of the St. John, west of the due north line.

² The treaty, with the message to Congress conveying it, is, with its accompanying papers, in *U. S. Docs., Ho. of Rep. Ex. Docs.*, no. 2, 27th *Cong.*, 2d sess. The treaty is also in Webster's *Works*, vi, 356, with the official correspondence preceding it (p. 270). The speech in which Webster vindicated the treaty in 1846 is in his *Works*, v, 78. Cf. Everett's introd. to Webster's *Diplom. and Official Papers* (1848) and chap. 8 of his life of Webster in *Webster's Works*, i, p. cxiv.

³ Cf. Bouchette's *British Dominions in N. America*,

* Sketched from the *Carte des Possessions Angloises et Françaises du Continent de l'Amérique Septentrionale, par I. Palairret, Londres, 1759*. Sold by I. Roepie, Chorographeur to his Royal Highness the Prince of Wales, London and Dublin. The territory bounded by the Kennebec and the dotted line (---) is called "Main", that east of the Kennebec, bounded east by the St. Croix and the due north line, and extending to the St. Lawrence, is called "Territoire de Sagadahoc." The general name of all the territory west of the St. Croix and due north line is "Nouv. Angleterre," and east of it "Nouvelle Ecosse ou Acadie." A legend at the bottom of the map says: "The red line drawn from Lake Ontario to Bay Verte shows another claim of the French north of the Eng. Settlements to the R. St. Lawrence." This line is marked in the sketch by dashes (---). The alternative claim of the French gives to them in addition all the territory east of the Penobscot and north of the line (---) from the Penobscot west.

the new fortification upon British ground. The treaty of 1783 was so far departed from in this respect that Great Britain accepted the old line as it was then understood, though it was at Rouse's Point¹ 4,326 feet north of the true 45° parallel.

A large island in dispute, in the passage between Lake Huron and Lake Superior, was given to the United States; and on the question of the water-way to the Lake of the Woods, though none without portages existed, that one starting at what was known as the Grand Portage was selected.² This left another passage, a few miles north, to the British, though it had been claimed by the Americans; but there was no disposition on the part of Lord Ashburton to contend for the St. Louis River, which empties near the western extremity of the lake, as the British commissioners had done after the Treaty of Ghent. The northwest corner of the Lake of the Woods had already been found to be north of the 49° parallel by 23', 55", and so the line was made to drop due south from that point to the prescribed parallel, and then to follow it to the Rocky Mountains.

The consideration of the treaty of 1783 then and later brought to public notice various maps, each of which has a history worth following. By the concessions of both sides in the statements which were made to the Dutch king, it was a map of North America by Mitchell, dated 1755, that was used by the commissioners of 1782-83 in making the line which they had agreed upon, and the English commissioners seem to have introduced that particular map.³

Jared Sparks found in the archives at Paris a letter (Dec. 6, 1782) of Franklin to Vergennes, referring to a map which the American commissioner had sent to that French minister, marked with the bounds as agreed upon;⁴ and he also found among the sixty thousand maps of the same department a small (18×18 inches) map by D'Anville (1749), in which a strong red line had been drawn near the ridge in which the Penobscot and Kennebec rise, thus making a division line which more than favored the English claim; and suspecting it to be the map referred to by Franklin, he caused a copy of it to be put in Mr. Webster's hands.⁵ It was used in the secret session of the Senate and with the Maine commissioners to induce a ratification of the treaty.⁶ Later, when the injunction of secrecy in the debates was removed, it was made a ground of reproach against Mr. Webster, by opponents of the treaty here and in England and Canada, that he had not made a disclosure of the evidence against him by declaring his knowledge of it. It is quite certain that Webster was anxious lest the English should obtain knowledge of it, and he cautioned Everett, the American minister in England, against searching for maps "in England or elsewhere," evidently in fear that Sparks's traces could be found. It would seem that Mr. Webster and Mr. Sparks, at least, were somewhat distressed by the seeming antagonism of the map, which soon became famous as the red-line map.⁷ Attempts have often since been made to argue it away, as inconsistent with Franklin's views; and Sir Francis Hincks, a few years since, published his belief that Franklin at that moment had some purpose in deceiving or misleading Vergennes, or at least he finds it easier to believe this than that Franklin could have so misunderstood the line. In the debates in the Senate, Benton and other senators clearly divined the character of the map, but without producing positive evidence that the line simply represented an old French claim for the bounds of Acadia, which, as they did not suspect, had been revived under the inspiration of Vergennes.⁸ The United States government procured a considerable part of the maps which they had used in the negotiations in earlier years from Harvard College library, and these maps are now — so far as returned — in that library, bearing marks of such use. At the time they were selected, the red-line map had not been produced, and so the maps which explicitly defined the character of that red line were overlooked, and seem to have escaped notice. One of them is an English *Map of the British and French Dominions in North America by J. Palairret, improved by J. Rocque* (London, 1759). It has this red line, which intersects the territory of Maine along the highlands which divide the lower rivers of Maine from the upper waters of the St. John, just as the British claimed; but it has also this distinct engraved legend: "The red line drawn from Lake Ontario to Baye Verte shows another claim of the French north of the English settlements to the River St. Lawrence." It was in fact a line advanced by

i. 420, and his *Top. of Lower Canada*, p. 278, on the incorrectness of this survey.

¹ The territory annexed to the United States was a narrow gore, with the point at the St. Lawrence, and a width of three quarters of a mile at the Connecticut.

² The earliest map which I have observed, making the water-way to the Lake of the Woods the western bounds of Canada, is Palairret's *Carte des possessions anglaises et françaises* (London, 1755), which made a part of the *Atlas methallique composé par l'usage du Prince d'Orange*. An attempt had been made in 1803, by a convention in which Rufus King represented the United States and Lord Hawkesbury Great Britain, to determine this northwest corner of the lake; but the award at that time had been rejected by the United States, because the purchase of Louisiana, made since the award was given, was thought to have secured new rights which could not have been considered.

³ Sparks's *Franklin*, x. 447; *State Papers, For. Rel.*, i. 91; *Mass. Hist. Soc. Proc.*, Oct., 1880, p. 89.

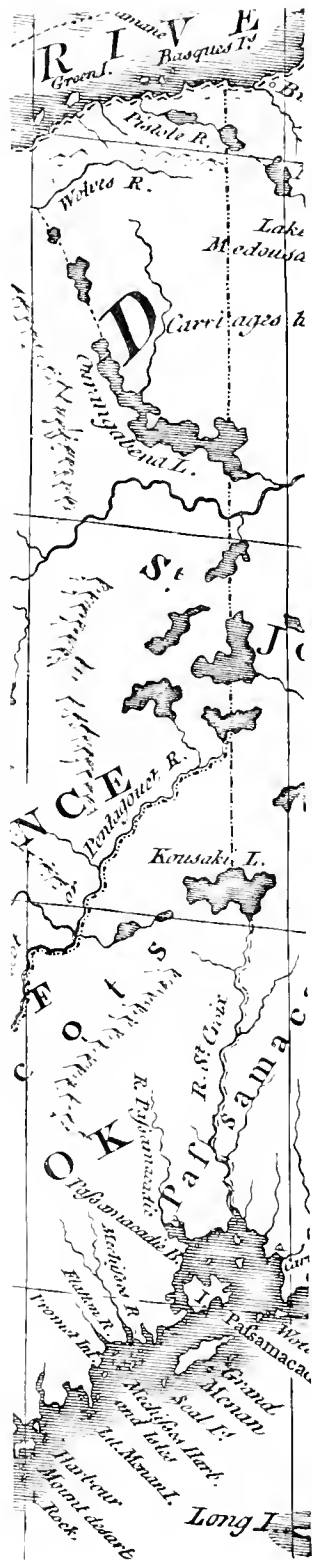
⁴ *Sparks MSS.*, lxxi.

⁵ *Webster's Works*, ii. 143; Sparks's letter to Webster in *Maine Hist. Doc. Coll.*, viii. 96. Cf. Sparks's letter to Buchanan about the red-line map, in which he says he unwittingly stirred up a controversy, in Curtis's *Life of Buchanan*, i. p. 505. Cf. W. C. Rives's speech (Sabin, xvii. p. 323).

⁶ *Benton's Debates*, xiv. 546. Cf. *Greville Mem.* 2d p., i. 147.

⁷ Louis J. Jennings, in his *Covers of John Wilson Croker* (London, 1884, l. 305, 400, 403), says that an agent of the British government, when they learned of the Sparks map, tried to find it in the Paris archives, but could not; while he found another with a red line which gave the disputed territory to the United States. Sir Robert Peel said that they found the Sparks map (*N. Y. Hist. Doc. Proc.*, 1843, p. 71).

⁸ *Maine Hist. Doc. Coll.*, viii. 98.



PART OF MITCHELL'S MAP, SECOND EDITION, 1755*.

the French as a claim after the treaty of Aix-la-Chapelle. The other, a French map, *Carte des Possessions Angloises et Françaises*, is dated in 1755. I find the identical line also on a D'Anville map of 1746, — the same date as that lesser map of D'Anville found by Sparks, — but without the explanatory legend, and it was retained in the edition of D'Anville published in Venice, *Carte géographique de la Canada*, etc., in 1776, but still without the legend.

Mr. Lemon is said to have found in the Record Office in London, in 1841, a Mitchell map of 1755, in which a faint red line supported the British claim, and it was at the time assumed to be the Oswald map. Fitzmaurice argues that the assumption was without proof. Cf. *Greville Memoirs*, part iii., vol. i. p. 102.

The incontestable (Oswald map (Mitchell's) was, however, in existence in the British Museum at the time, among the collections given to the nation by George the Third, and even attested by that monarch with his own hand, where he had written along the line of the agreed boundary in several places. "Boundary as described by Mr. Oswald," including the changes which had been introduced by Strachey;² and this boundary was exactly in accordance with the American demands. If this map was not known to the British government at the time of the mission of Lord Ashburton, there was a convenient ignorance enjoyed by the heads of the administration which was not shared by the under officers, for it was well known, as Lord Brougham acknowledged, in Lord Melbourne's time, when it was removed from the British Museum to the Foreign Office, and persons are easily transmissible in such offices. Featherstonhaugh would seem to have known it at the time he was working up the claim for the lower highlands. Ashburton protested that he was kept in ignorance of it, and Peel and Aberdeen professed no knowledge of it to Mr. Everett (*Speech on the Dedication of the Hector statue in Boston*) till after the treaty was signed. When the treaty was assailed in Parliament, the ministry of Peel brought this map forward to offset the clamor against the treaty.³

¹ *Life of Shelburne*, iii. 324.

² Fitzmaurice's *Shelburne*, iii. 324.

³ Speech of Brougham, cited in Benton's *Thirty Years' Term*, ii. 422. The map was subsequently shown to Edw. Everett, then minister in London (his letter, March 31, 1834, in Benton, *Thirty Years' Term*, ii. 621).

Cf. Henry Brougham, *Speech on the Ashburton Treaty* (London, 1843); H. J. Temple, *Speech on the Treaty of Washington* (London, 1843).

In an article on the N. E. boundary in the *Boston Monthly Magazine* (1826), ed. by Samuel L. Knapp,

the writer says, referring to Mitchell's map: "We have ourselves seen the very copy of the map which was used at the conference at Paris, with the lines in pencil yet hardly effaced" (pp. 573, 574). There were apparently several copies of Mitchell's map made, and it is not clear which of the copies is here referred to; but it was probably the copy which Richard Benson in 1776, in his statement regarding the decision upon the St. Croix River, mentions as being then in the Department of State. It has since apparently disappeared, for the two copies (writes Mr. Theodore F. Dwight to me) of Mitchell's map now in that office show no such marks.

* The edition used by the commissioners was the second. The two editions of Mitchell's map of 1755 are given in Gawenlock's *Debates in the House of Commons in 1754 on the Quebec Bill* (London, 1832). The first edition, published early in 1755, was withdrawn, and the second, with "numerous important changes," was issued with the same date. The first edition was reissued at Paris in 1757 by Le Rouge. There is a reproduction of the second edition in Vallart's *Notice de la U. S. to the N. E. Boundary* (N. Y., 1890), with the lines of the rival claims added; and a section is given in *Mass. Documents, Senate, No. 67* (Mar., 1835). Mitchell's map was in part based on that of Reclin in Charlevoix (1744).

Most of the documentary evidences and discussions have already been referred to in the preceding pages; but some of the principal sources and general examinations of the subject may be recapitulated here. B. P. Poore's *Descriptive Catalogue of Govt. Publications*, and the index (under "N. E. Boundary") to the U. S. docs. in the *Boston Public Library, Bates Hall Catalogue* (vol. i. p. 832), will guide to the extensive series of American official papers, and the discussions in Congress can be gleaned from Benton's *Debates* (vols. viii., x., xii., xiii., index under "N. E. Boundary," and in vol. xiv. pp. 38, 42, 103, with the debates in secret session of the Senate, p. 530).¹

On the British side the principal blue books have already been mentioned; but surveys of the negotiation are given in A. Stuart's *Succinct account of the treaties and negotiations between Great Britain and the United States of America, relating to the boundary between the British possessions of Lower Canada and New Brunswick, in North America, and the United States of America* (London, 1838?).

A violent Canadian view is in W. F. Coffin's "How treaty-making unmade Canada," in the *Canadian Monthly Mag.*, 1870, and in his *Quirks of Diplomacy* (Montreal, 1874). The same ground, but more moderately expressed, is taken in J. C. Dent's *Last Forty Years of Canada* (Toronto, 1881), ch. 10, "Ashburton Treaty." Sir Francis Hincks published at Montreal, in 1885, a calm exposition of the case, favoring the American side, *The boundaries formerly in dispute between Great Britain and the United States*, which is almost the only departure from the urgent pro-English views which have prevailed among the tract-writing Canadians. He quotes an opinion of Sir Travers Twiss that the territory assigned to Great Britain in 1842 did not lie within the legal limits of either New Brunswick or Canada.

The subject was a fruitful source for the higher organs of public opinion during the progress of negotiations, and some of the writers, on the American side at least, were of distinguished character.²

C. MAPS OF NORTH AMERICA, 1763-1783.—It may be interesting to note what the maps were which had been published during this interval, and upon which the commissioners in 1782-83 might have depended, more or less, in their study of the geography of the continent. Some maps will be included which indicate the development of the geography of the country under the operations of the armies.

The definition of the territorial limits of the crown of England as fixed by the Treaty of Paris in 1763, and the subdivisions of the newly acquired territory as determined by the royal proclamation of Oct. 7, 1763,—is the beginning of the cartography which the results of the American Revolution so effectually changed.³ The leading English general atlas at this time, with American maps, was Thomas Kitchin's *General Atlas*, usually dated 1780, and commonly containing 35 maps in 62 copper-plates which were increased to 74 maps in 108 plates in his *New Universal Atlas of 1799*.⁴ North America was mainly delineated from the D'Anville and Pownall maps.

¹ Condensed narratives of the course of the negotiations on the American side, apart from the official statements, will be found in Caleb Cushing's *Letters to Gov. Everett of Mass.* (1837), in Webster's speech (1840) in *Indication of the Treaty of 1842*, (cf. also Webster's *Works*, i. pp. cxxi-cxxix,) and in a *History of the negotiations in reference to the East and Northeast boundaries of the United States* (1783-1841), New York, 1841.

² Cf. Sparks in *No. Amer. Rev.*, vi. 542; J. G. Palfrey in *Ibid.* liii. 437; C. F. Adams in *Ibid.* liii. 424; C. S. Davies, *Ibid.* xxiv. 314; Nathan Hale in *Ibid.* xxvi. 421; xxxiii. 262; xliii. 415; and in *Amer. Almanac*, with map, 1840, p. 85. Cf. *N. Y. Rev.*, with map, viii. 106; *Democratic Review*, v. 342; *Niles's Reg.*, xxiv. 356; xlii. 461; *Boston Monthly*, i. 571. On the English side see *Westminster Rev.* (by C. Buller, with map), xxiv. 202; also xxvix. 160; xl. 182; *Fraser's Mag.*, xxii. 340; xxvi. 579; xxvii. 272; *Quart. Rev.*, lxvii. 501; lxxi. 306; *Ann. Reg.*, iv. 56; vi. 94; vii. 13.

³ Among the maps defining these bounds of 1763 are:—*The British governments in North America laid down agreeable to the proclamation of Oct. 7, 1763* [London? 1765?], noted in *Brit. Mus. Maps*, 1885, col. 86.

Emanuel Bowen's *Map of North America, according to the definitive treaty at Paris, 10 Feb., 1763*, contained in Jefferys' *General Topography of North America and the West Indies* (London, 1778).

E. Bowen and J. Gibson's *Accurate map of North America . . . according to the treaty concluded at Paris, 10th Feb., 1763* (4 sheets), London, 1772, noted in the *Brit. Mus. Maps*, 1885, col. 84. E. Bowen's *Map of the British American Plantations extending from Boston in New England to Georgia, including the back settlements as far as the Mississippi* [London, 1770?], noted in *Brit.*

Mus. Maps, 1885, col. 89, with other editions of Bowen and Gibson, 1775, etc.

Peter Bell's *Map of the British Dominions in North America according to the treaty of 1763*, contained in Jefferys' *History of the British Dominions in North America* (London, 1773), and given in fac-simile in Mills's *Boundaries of Ontario* (1873). The *Brit. Mus. Maps*, 1885, col. 90, notes a copy without place, dated 1772. Bell improved upon Danville, and there are maps by him, dated 1771, 1775, etc.

A new and accurate map of North America, including the British acquisitions gained by the late war, 1763, contained in John Entick's *General History of the Late War* (London, 1764). A copy without place, dated 1763, is noted in the *Brit. Mus. Maps*, 1885, col. 84.

Thomas Kitchin's *Map of North America according to the treaty of 1763*, contained in Knox's *Historical Journal of the Campaigns in North America, 1757-1760* (London, 1760). Another map by Kitchin is in Dodsley's *Annual Register*, 1763.

Thomas Jefferys' *Map of the English Colonies according to the treaty of 1763*, London, 1775.

J. Palairat's *North America with improvements by L. Delarochette*, was published in London, 1765, and his *North and South America* is in *The American Traveller*, London, 1760.

Ridge's *British Dominion in North America* is found in *The Complete History of the late War* (Dublin, 1766).

A map of the colonies in 1768 is reproduced in the *Docs. Col. Hist. N. Y.*, vol. viii. A map of the *British Empire in North America* appeared in Wynne's *History of the British Empire in 1770*.

⁴ The corresponding French publication is Lattre's *Atlas Moderne*, 1778.

The leading English atlas of this period, showing America alone, was that published by Sayer and Jefferys, the maps engraved by Jefferys and dated at London, 1768, when it appeared as the *General Topography of North America and the West Indies*. It usually contains 93 maps, with title and contents, both English and French, and is the earliest form of what became known as the *Jefferys' Atlas*.¹ A part of the plates were used in *The American Atlas by the late Mr. Thomas Jefferys*, containing usually 29 folding copper-plate maps (sometimes numbered thirty), which had originally appeared between 1762 and 1776. The book often varies from this in its make-up, and has varying dates. 1775, 1776, 1778, 1782, with the imprint of Sayer and Bennett.² It professes to have been produced from the surveys of Major Samuel Holland, Lewis, Evans, Wm. Scull, Henry Mouzon, Lieut. Ross, I. Cook, Michael Lane, Joseph Gilbert, Gardner, Hallock, and others.³ The corresponding French collection is the *Atlas Amériquin Septentrional, traduit des cartes levées par ordre du gouvernement Britannique*, Paris, Le Rouge, 1778.⁴

One of the most common English maps of North America of this period is *The Map of North America, from the French of M. D'Anville, improved with the English surveys made since the peace*. It was published in London by Sayer and Bennett in 1775,⁵ and is included in the *Jefferys Atlas*.⁶ The best hydrographical work done on the American coast, producing maps of the first importance as respects the study of movements on the coast, was in the elaborate series of charts made under the direction of the Lords commissioners of the admiralty, and first issued in 1777, by Joseph F. W. Des Barres, in two large atlas folios, as *The Atlantic Neptune*. The maps are often found separately and gathered in different groups, but the true collation is given by Rich in his *Bibliotheca Americana Nova*, under 1777.⁷

A corresponding French collection of charts is the *Neptune Americo-Septentrional*, giving the coasts and harbors between Greenland and the Gulf of Mexico, published for use of the French navy, and based upon the best French and foreign authorities. The separate sheets appeared between 1778 and 1780.⁸

What is known as the *American Military Pocket Atlas* was published in London in 1776, under the patronage of Gov. Pownall, and the maps being folded to a small compass, it was intended for use in the field. There were but six maps, including a general map of North America, others of the Northern, Middle and Southern colonies, with a special map of Lakes Champlain and George. There was presented to the New York Historical Society, in 1845,⁹ a collection of "rough drafts of surveys, by Robert Erskine, F. R. S., Geographer, U. S. A., begun 1778," a hundred surveys covering the greater part of New York, western New England, New Jersey, and a part of Pennsylvania. Erskine died in 1780, and on Washington's recommendation, Simeon De Witt succeeded to his office and received these surveys, from whom they passed to his son, who gave them to the society.

As late as 1793, a London publisher collected various plates of battles and marches of the war, which had been issued at different times, and published the collection, which sometimes contains seventeen and sometimes twenty-two maps, called *Atlas of the Battles of the American Revolution* (Sabin, i. 2,309).¹⁰

There are two or three French maps of the seat of the American war often met with.

¹ Sabin, ix. 35,062.

² Rich, *Bib. Amer. Nova*, under 1778; Sabin, ix. 35,053. Robert Sayer, who died in 1794, aged 69, was a partner of Bennett from 1775 to 1780. John C. Smith, *Brit. Mus. Portraits*, i. p. liii. Thomas Jefferys died March 15, 1775, aged 76. Wm. Faden, who was his partner, succeeded to his business.

³ There was a good deal of changing of plates and substituting of imprints at this time, and the exact relations of separate maps to combined atlases and different publishers are not always readily traced. A map often found with the imprint of Sayer and Bennett is called *Theatre of War in North America* (London, 1776, etc.).

⁴ It has 26 maps. The "Amérique" follows Charlevoix, 1774; the "Amérique Septentrional" is based on Mitchell. The map of special interest is the *Théâtre de la guerre par le Sieur le Rouge*, 1775. There was an Italian edition of the English atlas, 43 maps, published at Leghorn in 1777.

⁵ *Brit. Mus. Maps*, 1885, col. 84.

⁶ There is also an Amsterdam edition. The Atlantic colonies are bounded westerly by the Alleghany range. The Penobscot separates New England from Nova Scotia. The western bounds of Canada recognize the Quebec Bill, and are defined by the Ohio and Mississippi rivers. There is a fac-simile in Mill's *Boundaries of Ontario*, 1873. The French reciprocally issued a map in 1780, based on the map of Evans.

Cf. the map of *North America as divided amongst the European powers*, London, 1774, and the general map in the *Political Mag.*, April, 1780. There is an enumeration of North America maps in *Brit. Mus. Maps*, 1885, col. 87.

⁷ The first volume has the general title *Atlantic Neptune*, and the special title of this volume is *The Sea-Coast of Nova Scotia*. This volume contains various views of the coast and coast towns, and charts numbered to 36, but some numbers are repeated, so there are 43 in all. The second volume has, beside the "Atlantic Neptune" title, three sectional titles to as many parts, namely:—

1. *Charts of the coasts and harbors in the gulf and river of St. Lawrence, from surveys by Major Holland, 1765-1768*, giving 22 plates of charts and views.

2. *Charts of the coasts and harbors of New England from surveys by Samuel Holland, Geo. Sproule, Charles Blascowitz, James Grant, and Thomas Wheeler*, giving 22 charts and 25 views.

3. *Charts of several harbors and divers parts of the coast of North America, from New York, southwards to the gulf of Mexico* (1781), showing 16 charts and views.

Quaritch, 1885, priced the two volumes, dated 1780, with 138 charts, at £12. Cf. Sabin, v. 10,685; Morgan, *Bibl. Canadensis*, 103. The *North American Pilot*, London, 1775, was a much inferior work. What was called *Jefferys' Western Neptune* was published in London in 1778. Le Rouge's *Pilote Amériquin Septentrional*, translated from the English, appeared in 1776, with 60 sheets.

⁸ Rich, *Bib. Amer. Nova*, under 1780, p. 210.

⁹ *Proc.*, 1845, p. 38.

¹⁰ Cf. H. B. Carrington's *Battle maps and charts of the American Revolution, with explanatory notes* (New York, etc. [1881].)

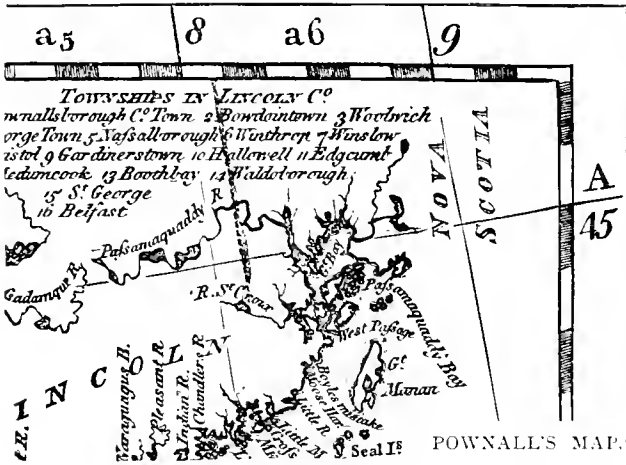
1. *Carte du théâtre de la guerre entre les Anglais et les Américains, dressée d'après les cartes anglaises les plus modernes par Biron de la Tour, Paris, 1777.* There may go with this map another *Carte générale des Colonies anglaises dans l'Amérique par M. Phelippeaux d'après les manuscrits de plusieurs auteurs anglais pour servir de suite un théâtre de la guerre par Biron de la Tour, Coutances, 1778.*

2. *Carte de l'Amérique Septentrionale, pour servir à l'intelligence de la guerre entre les Anglais et les Insurgents, par le Cit. de Beauvais, Paris, 1777.* Louisiana embraces all the territory west of the Mississippi. The northern bounds of Virginia beyond Pennsylvania continue the Mason and Dixon line westward. The country north of this is marked "Pays sous la protection du roi d'Angleterre." A German edition is in the *Ges. Belustigungen*, which also contains another map, based on Bonne's map of America, Paris, 1773, also included in Raynal's *Histoire Philosophique*, Genève, 1775. Cf. the *Allg. gemeine Charte von Nord Amerika als den Sitz des Kriegs* (Hamburg, 1779).

3. *Carte du théâtre de la guerre présente en Amérique, dressée par C. Denis, 1782.*

The most elaborate general war maps on either side are the following:—

1. A map showing the country from Cape Ann and the Sorel River in the north to the Chesapeake in the south, called *Carte du théâtre de la guerre pendant les années 1773-1775, d'après le dessin original qui*



à été présenté au roi, fait par le Sieur Capitain du Chesnoy, aide-de-camp de Lafayette. It marks the camps, and shows the battles, from March 15, 1775 to 1776, and has a corner table of events. In speaking of the maps which he gives in his *Washington*, Sparks says: "I have been specially aided by a series of manuscript drawings in the possession of General Lafayette, which were taken by a French engineer attached to his staff, and which were executed with scientific accuracy and beauty. Some of the old drawings published at the time in London, from imperfect sketches and surveys, I have been enabled to correct by the documents to which I

have had access and by actual inspection, having personally visited nearly all the localities."¹

2. There is in the library of Congress a very large MS. map, beautifully executed in colors, giving the country between the Head of Elk and the Highlands of the Hudson, with the military movements and engagements within that area, and seemingly made by a union of the Hessian and English surveys. It has a marginal synopsis of the events, which are chronicled upon it, and is entitled: *Plan général des opérations de l'armée Britannique contre les Rebelles dans l'Amérique depuis l'arrivée des Troupes Hessoises le 12 du mois d'août 1776, jusqu'au la fin de l'année 1779.* Various sections of this map are given in this History.

The official maps of Samuel Holland, the surveyor-general² of the northern district in America, are important:—

1. *A general map of the northern British colonies in America which comprehends Quebec, Newfoundland, Nova Scotia, New-England and New York. From the surveys of Cook & Carter, regulated by Saml. Holland in 1765, 1773, & 1774, London, 1776.*³

2. *A general map of the middle British colonies, in America, containing Virginia, part of N. Eng., also parts of Quebec improved from surveys made after the late war, and corr. from Pownall's map, 1770, London, 1776.* Cf. reproductions in *Penna. Archives*, 2d ser. vol. ii., and the Stevens-Whitehead *New Jersey Index*, p. 483. Pownall's *T. f. geographical Description* (London, 1776) accompanied this map. See Vol. V., index, sub *Evans, Lewis*. Among the maps published by Faden is *The British Colonies in North America* 1777. The province of Quebec is bounded below the lakes by a line running from Canahogue Bay on Lake Erie to the Ohio, thence by that river to the Mississippi. Faden also published the map in *Carver's Travels*.

¹ Sparks's *Washington*, vol. iii., *introd.*

² On Holland's surveys see *N. Jersey Archives*, x. 578, 599.

³ A map based on Carver's surveys was also compiled by Samuel Dunn, and published in 1776. A map of North America, by Dunn, was also published in 1774.

* An extract from Pownall's additions to Evans's map, 17-6, showing the St. Croix as the river debouching into the S. W. corner of Passamaquoddy Bay, the modern Cobscook River. There is a reproduction of it in Gallatin, who also gives a map from the *American Military Atlas* (London, 1776), showing the due north line from the Cobscook River.

THE LOYALISTS AND THEIR FORTUNES.

BY GEORGE E. ELLIS, D. D., LL. D.

President of the Massachusetts Historical Society.

THE measures which made the thirteen American colonies independent of Great Britain at once made them dependent on each other. It was by concert that they resolved upon independence. Their success required union, and, if perfect harmony in purpose was not to be looked for, covert or direct opposition would call for wise and resolute dealing. Internal foes might deal more mischief than could be effected by foreign armies.¹ The paramount object of those who had precipitated the rebellion was to secure to the edicts of the Congress the sanction of the thirteen colonies. This great end was early accomplished. We cannot exaggerate the influence of this joint action of the colonies, speaking with one voice and avowing one purpose. No amount of local or unorganized dissatisfaction could have been so obstructive as the refusal of even one single colony to support a general congress. But after this accord was secured it then became a matter of the most serious importance to ascertain the relative proportion of those in each of the colonies who were ready to sustain independence, and of those whose resolve was not as yet determined in its favor, or who would resist it with various degrees of hostility; and this engaged the sharp scrutiny of the patriot leaders. The new relations of dependence on each other among the colonies were marked by two very striking and contrasted results. They brought communities widely severed — heretofore strangers, indifferent, and jealous of each other — into acquaintance and mutual helpfulness. At the same time they opened alienations and feuds, and all the harrowing attendants of civil war between former friends and neighbors, and between even members of the same family.

The terms Tories, Loyalists, Refugees, are burdened with a pitious record of wrongs and sufferings. It has not been found easy or satisfactory for even the most candid historian to leave the facts and arguments of the conflict impartially adjusted. Insult, confiscation of property, and exile were the penalties of those who bore these titles. Reasonable and grateful, akin to what is best in human nature, is our relenting over the tale of their miseries. Remembering that the most bitter words of Washington that have come to us are those which express his

scorn of Tories, we must at least look to find some plausible, if not justifying, ground for the patriot party. Among those most frank and fearless in the avowal of loyalty, and who suffered the severest penalties, were men of the noblest character and of the highest position. So, also, bearing the same odious title, were men of the most despicable nature, self-seeking and unprincipled, ready for any act of evil. And between these were men of every grade of respectability, and of every shade of moral meanness.

Under the title, assumed by themselves, as "friends of government," and under another, given by those to whom they were odious, as "enemies of the liberties of their country," a class of men came early to be recognized as likely to play an important part in the impending quarrel. These men soon came to be called Tories. They were found to embrace both covert and open enemies of the patriot cause. The most prominent and outspoken among them, of course, were place-men and crown officials. These were largely independent of popular support and sympathy. There were enough of them in any centre of trade or business, and they had sufficient courage, not to say assurance, to make a strong fellowship in their social and business intercourse, their hospitalities and convivialities, to keep each other in countenance, in tavern groups, about the marts, and the lobbies of the legislatures. It was this class of Tories that were especially offensive to the patriot party. Much of their obstructive influence was known to be exercised insidiously. From them it was with good reasons believed that ill reports and defamatory misrepresentations of the plans of the "friends of liberty" were sent to government, with promptings of measures of repression, with suggestions for the arrest of embryo traitors, and for establishing a force of British regulars in the colonies. Till they had been intimidated by threats and rough handling, this class of Tories were free in expressing with effrontery their contempt of the leading patriots as demagogues and mischief-plotters. Irritating epithets passed very freely between these two parties. These place-men, of course, fortified the position which they took, and the avowals which they made, by the obligations which they had assumed in their oaths of office, while the pledges of protection

¹ [We can see how the troops early felt this in such petitions as that of Col. Jonathan Ward's regiment against the harboring of secret enemies, made during the siege of Boston, Sept. 27, 1775 (*N. E. Hist. and Gen. Reg.*, 1868, p. 10). — *Ed.*]

given them by the government stiffened their loyalty and exasperated against them the patriots, who knew that a fulfilment of the pledge would be at their cost.

Another class of original Tories, composed both of those born in the colonies and of resident Englishmen, were the merchants. Not all the merchants and large traders, however, were on the side of government. A considerable number were, in fact, smugglers, finding it quite profitable to carry on an illicit business, which was to a degree winked at, while for certain purposes it was to their account to yield an outward regard to the customs laws. But as these laws, with more vigorous measures for enforcing them, became more stringent, these smuggling traders found their natural place among the liberty men. The mercantile class of the greatest social weight were drawn into open or covert sympathy with government. They saw that their profitable business was threatened by disorder. They were irritated by the early tentative efforts to prevent the importation of British goods, and by being compelled under threats to sign an agreement to that effect. They found that a keen inquisition was kept over their affairs, while their vessels, books, and warehouses were exposed to search. These two classes of Tories, the placemen and the obstinate merchants, were the first to concentrate their opposition against the patriots. The dependants upon, and the abettors of, these chief enemies of popular measures formed a miscellaneous company of spies and workers of mischief, which, as a whole, represented the power of Toryism in its foreign elements here. It is not a harsh judgment to affirm of these groups of loyalists that they draw the least on our pity. In embarrassing the popular cause, they had selfish interests to serve. Something outside of their own native or adopted country secured their chief regard. They were in the pay, if not under the bribes, of a rival and oppressive authority. They were, in fact, an ad-

vanced body of the armed force sent over to crush the liberties of the country. They invited and aided its interposition. They were in correspondence and league with the ministry, and were substantially identified with its purposes. Where they had the power of patronage they made it felt in acts of partiality and oppression. They lavished their contempt upon humble patriots, and their threats upon those of more consequence. Among these classes of Tories were some who combined to support local ministerial journals, and several of them used their own pens to travesty or controvert the writings of their adversaries. These newspaper fusillades were for the most part anonymous on both sides, and offered a free field alike for abuse and argument.¹

Quite another class of Tories there were, disheartening and obstructive indeed to the patriot cause, but men of a nobler spirit, who claim a respectful, though it may not be a fully sympathetic, notice. It is safe to affirm that among such loyalists were men eminent in private and public virtue, ardent in their patriotism, and thoroughly sincere in the position to which they committed themselves. They differed from their contemporaries of equal virtue, sincerity, and intelligence on the patriot side, in that single quality of loyalty. Almost without an exception they felt and were ready to censure, and even to resist, the oppressive measures of the mother country. They believed that calm but earnest remonstrance would right all wrongs; that truculency, passion, and defiance would result either in humiliating subjection or in anarchy. They loved their mother-country, were proud of their relation to it, felt secure under its protection; and their attachment to it gave assurance of their confidence in its just intents. They could not persuade themselves that the colonies could possibly triumph in a conflict with her. Their loyalty expressed their dread of anarchy and their reverence for constitutional order.²

¹ [Sabine says that at the outset there were seven or eight newspapers on the loyal side and twenty-three against it, though of these last five went over later to the support of government. The most conspicuous Tory editor was James Rivington, of the *New York Gazette or Gazetteer*, and there are portraits of him in Moore's *Diary of the Amer. Rev.*, ii. 448, and Lossing's *Field-Book*, ii. 707. The loyalist graduates of Harvard College are considered in the *Amer. Quart. Reg.*, xiii. 403; xiv. 167. The principal Tory writers of Massachusetts were Joseph Green, Samuel Waterhouse, Lieut.-Gov. Oliver, Jonathan Sewall, Daniel Leonard, and John Mein (*Letters of Sagittarius*), who were hardly a match for their patriot opponents, Samuel Adams, John Adams, James Otis, Oxenbridge Thacher, Chas. Chauncy, Samuel Cooper, and Josiah Quincy, to say nothing of Mercy Warren's *Adulators* and *The Group*, with their satirical purpose. In New York the opposite sides were espoused by Samuel Chandler, John Vardill, and Isaac Wilkins, against the youthful Alexander Hamilton. Daniel Delany and Charles Carroll represented the rival interests in Maryland. Further south, Sabine could find no conspicuous writers on the side of government to offset the influence of Jefferson, Richard Bland, and the Lees, of Virginia. A collection of the *Loyalist Poetry of the Revolution* (Philad., 1857) was edited by Winthrop Sargent. Sargent also edited *The Loyal Verses of Joseph Stansbury and Doctor Jonathan Odell, relating to the Amer. Revolution* (Albany, 1860). Of Odell there is an account and portrait in G. M. Hill's *Hist. of the Church in Burlington, New Jersey*. — Ed.]

² Thomas Paine, in his *Common Sense*, classified men of Tory proclivities — first designated *Reconciliationists*, and afterwards as *Obstructionists* — in the following terms, viz.: "Interested men, who are not to be

Of the many critical periods preceding independence, the most dangerous was that which attended the breaking down of all the constitu-

tional methods of government and the assumption of such substitutes as were devised by the popular will. Our patriot statesmen well knew,

trusted; weak men, who *cannot* see; prejudiced men, who *will not* see; and a certain set of moderate men, who think better of the European world than it deserves; and this last class, by an ill-judged deliberation, will be the cause of more calamities to this continent than all the other three."

[There are widely varying estimates of the proportion of the loyalists to the patriots at the beginning and during the progress of the war. The numbers of either side were far from constant, changing with the alteration of hopes and fears, and were widely different in the several colonies. A well-informed and judicious recent English writer (Lecky's *England in the Eighteenth Century*, ii. p. 443) says: "The American Revolution, like most others, was the work of an energetic minority, who succeeded in committing an undecided and fluctuating majority to courses for which they had little love, and leading them step by step to a position from which it was impossible to recede." The same writer (vol. iv. 133) again says: "It is probably below the truth to say that a full half of the more honorable and respected Americans were either openly or secretly hostile to the Revolution." Curwen is constantly complaining of the "meaner sort" coming to the top in position and wealth as the war went on. John Adams was of the opinion that only about a third of the people were averse to the Revolution (*Works*, x. 63, 87, 110), but in 1780, in his letters to Calcoen, written to secure Dutch sympathy, he flatly affirms that the Tories constituted not a twentieth of the population, which may mean that he thought the French alliance and the progress of the war had diminished at that time the body of opponents. There is said to have been about 30,000 sent into exile. *A List of those tories, who took part with Great Britain in the revolutionary war and were attainted of high treason . . . to which is prefixed the legal opinions of Attorneys-general McKean and Dallas* (Philad., 1800), was privately reprinted in New York in 1895, as "Commonly called the Black List." (Cf. Jones's *N. Y. during the Rev.*, ii. note 30.)

Sabine says that the loyalist writers almost always claimed that their sympathizers were in the majority; but in his own judgment they fell short of a majority, though making a large minority. Sabine says that, of the 2,000 who left Massachusetts, 310 were banished. Eleven hundred went off in March, 1776. The official enumeration gives, for the force which left with Howe, seventy-eight vessels, 8,306 soldiers, 924 registered Tories, and 200 not registered (*Sparks MSS.*, no. lviii.). There are lists of Massachusetts Tories in *Mass. Hist. Soc. Proc.*, Oct., 1870, p. 302; Feb., 1871, pp. 43, 45; Dec., 1880, p. 266; March, 1886, p. 234; Curwen, pp. 465, 485; *Mem. Hist. Boston*, ii. 503; iii. 175.

There is among the *Gardiner Papers*, in the *Mass. Hist. Soc. (Proc.*, 2d ser., iii. p. 2, June, 1886), a significant letter, dated May 9, 1776, written by Sylvester Gardiner, which shows the sorrowful experience of these Tory outcasts. A vessel, the "Elizabeth," leaving Boston with the fleet, was captured, but Congress, finding her to be loaded with the effects of loyalists, released her (*Journals*, i. 515). A list of returned refugees naturalized in Massachusetts as "aliens," from 1782 to 1794, is given in the *Mass. Hist. Soc. Proc.*, iv. 350. The local histories of Massachusetts add to our knowledge. For those of Salem, see also *N. E. Hist. and Genral. Reg.*, 1872, p. 431; for those of Lancaster, see *Bay State Monthly*, i. 377. Israel Williams, and many of the conspicuous people of Central and Western Massachusetts, were Tories. (Cf. *Israel Williams MSS.*, in *Mass. Hist. Soc.*)

There are in the *Mass. Archives* (MSS.) two volumes (nos. cliv., clv.) devoted to the Royalists, which are made up of lists of suspected persons, accounts of absentees' estates, and of sequestered goods. The banishment or expulsion act of Mass. (1778) is given in Curwen (p. 479), and it is occasionally found in the original broadside (*Letters and Papers, 1777-1780*, in *Mass. Hist. Soc.*). It is, of course, in the *Laws*, etc. The Confiscation Act of 1779 is also given in Curwen (p. 475), and in *Mass. Senate Dec.*, 1870, no. 157, p. 13. The Mass. legislature in 1784 asserted its right to expel aliens, if the interests of its confiscation act demanded it. The legislation in Massachusetts on the loyalists can be traced in Goodell's *Provincial Laws*, vol. v. (index *sub* Treason, Tories, etc.). On the neutrality of Nantucket, see *N. E. Hist. and Genral. Reg.*, July, 1874.

There are various papers respecting the Tories of New Hampshire in the *N. H. Prov. Papers*, vols. vii. and viii. There are papers concerning the Rhode Island loyalists in *Narragansett Hist. Reg.*, iii. 52, 132, 202, 263; iv. 77; on those of Newport in particular in *R. I. Hist. Soc. Proc.*, 1874-75, p. 48. No. 13 of the *R. I. Hist. Tracts* is the *Diary of Thomas Vernon, a loyalist banished by the R. I. general assembly in 1779, with note by S. S. Rider* (Providence, 1881), and *Reminiscences of Thomas Vernon, an Amer. Loyalist*, was privately printed at New York in 1880.

In Vermont, towards the end of the war, the situation became anomalous. Her long pending controversy with New York (see Vol. V., p. 178) had assumed a new and dangerous aspect in 1780. The delegates of New York drew the attention of Congress in 1779 to the act of the people of the New Hampshire Grants in setting up a government of their own in 1777. Congress offered mediation and then dallied, and the States were for a long time divided upon the question of recognizing the territory as a State. The opposition came from New York naturally, but that State was supported by Virginia and the other Southern States for two principal reasons. First, that a new State at the North would disturb the balance of power between the sections; and, second, that a recognition of the right of dependencies to establish new autonomies was a dangerous precedent for States which had territorial claims at the Northwest and towards the Mississippi. The refusal

and, except in such a crisis as they had to meet, the supreme power of the commonwealth, and no edict would accord with the principle thus clearly defined by Locke: "The legislature is the superior by what power soever backed, can have the

of Congress to welcome the new State was a cause of estrangement which the British government hoped to use in efforts to induce a return of the *Vermontese*, as the fashion of speech then went, to their allegiance. With this end in view, Beverley Robinson, from near headquarters in New York, addressed a letter to Ethan Allen in March, 1780. No answer was returned, and Robinson, in February, 1781, repeated the letter, but added to his packet another, in which more explicit promises were made. Allen, with the cognizance of Chittenden, the governor of Vermont, sent these epistles to Congress, with a letter calculated to show that, in case of the persistent refusal of Congress to receive the new State, there was a resort which could, if necessary, be accepted. The letter was intercepted by the British, and it has been printed by B. F. Stevens from a copy in the Public Record Office, with the enclosures, from copies in the Haldimand Papers. Sparks made copies (*Sparks MSS.*, no. lli, vol. 2), and gives them in his *Life of Ethan Allen*. Already, the previous year, steps had been taken looking to intercourse of some sort with the British in Canada, a knowledge of which was confined, and continued to be confined, to a few of the leading persons in Vermont. There was threatened at the time a formidable incursion from Canada (F. B. Hough's *Northern Invasion*), and it has been thought that it was a diversion to assist the development of Arnold's plot on the Hudson. There was no adequate means of meeting that invasion in Vermont, and, if we accept the explanation of the Vermont historians, a scheme was now entered upon in Vermont, which involved the protection of their frontiers by an "artful policy," as they call it, as safer than a hazardous resort to arms, — by negotiations in fact conducted in bad faith. On the pretext of negotiating an exchange of prisoners, Ira Allen, a brother of Ethan, met a British representative at Isle-aux-Noix, and, having arranged an exchange, the question of renewing British allegiance was broached. The conferences then, and subsequently by letter, seem to have been managed adroitly by the Vermont agents, so that from the position at first taken, of desiring to treat for neutrality only, with the reservation of joining the winning side in the war, as the events might fall out, they gradually, as they found the British importunities pushed to the verge of ending the truce, advanced in their position till at last a plan of reconciliation and submission was agreed upon. It does not seem that during all this negotiation any considerable number of the people of Vermont were taken into the leaders' confidence, and the repeated excuse for dallying, which the leaders offered to the British authorities, was that they had not yet sufficiently brought the people up to an appreciation of the necessity of such a step. Finally, just as procrastination could not be longer delayed, the leaders had acceded to the British demand of a proclamation of their agreement, when the news of Yorktown caused the retreat of the British from Ticonderoga, and the crisis was passed, of apprehension from invasion, which for three years, it is claimed, this method of prevarication and delay had prevented. The Vermont managers were careful not to leave on record very significant traces of their intercourse and practices with the British authorities, though the public utterances of their meetings, the votes of their legislature, and their communications to Congress (cf. William Slade's *Vermont State Papers*) show that there was no hesitancy in avowing that renewed allegiance to Great Britain was preferable in their view to dependence on New York, and that they felt at liberty, if need be, at any time to covenant with the British for peace. It may be that such expressions were used more for coercing Congress than for luring the British, though they doubtless had the latter effect. The more definite expression of their traitorous — if they be so called — views we get from British records. The Beverley Robinson letters show that the British dared at least to make the trial in the beginning; and a letter of Feb. 7, 1781, intercepted by the French, written by Germain to Clinton, indicates that some definite steps had been taken, or at least were thought by the government in London to have been taken. This letter seems to have had its influence in inducing Congress to receive Vermont into the Union, with bounds much as they are now. A letter of Germain to Clinton of May 2d shows that it was thought that Ethan Allen was moving under Haldimand's direction; though a spy of Schuyler's, sent to watch Allen, could discover no signs of it. The aversion of Congress had induced sympathetic leanings towards the new State throughout some of the towns east of the Connecticut River, and similar feelings pervaded others as far west as the Hudson, and above its headwaters. Vermont, ambitious to present a show of greater importance, at one time annexed them to her territory. It was these annexations from New Hampshire and New York which Congress now required her to renounce as the price of her admission to the Union. At a later day, when, largely through the influence of Washington, she had been induced to conform her bounds to these requirements of Congress, that body forgot its promise, and again rejected her appeal. These tergiversations of Congress were not inductive of steadfast patriotism in the new State.

One might erroneously judge, from the recent communications of a "Curious chapter in Vermont history," by a Canadian, J. L. Payne, in the *Magazine of American History* (Jan., 1887, p. 20), and by "A Leaf from the Green Mountains," printed by B. Fernow in the *Penna. Magazine of History* (July, 1887, p. 105), that the essential facts in the case had not before been made known. The principal sources of our information are not new ones. They are in vols. xviii., xix., and xx. of the Quebec series of papers in the Public Record Office in London: in the Carleton or British Headquarters' papers, in the Royal Institution (copies in the *Sparks MSS.*, nos. lvi., lxx.); and in the Haldimand papers in the British Museum. These last contain General Haldimand's correspondence relating to Vermont, 1780-1785 (no. 21,835); Gov. Chittenden's letters (nos.

force and obligation of a law, which has not its sanction from the legislature which the public has chosen and appointed; and no obedience is due, but ultimately to the supreme authority, which is the legislature." But what was the alternative when there was no legislature,

21,835, 21,837); Ethan and Ira Allen's letters (same nos.); the reports of Capt. Justus Sherwood, the British emissary who conferred with Allen (nos. 21,787, 21,789, 21,797, 21,798, 21,821, 21,822, 21,835 to 21,842). These Haldimand papers are calendared in Brymner's *Dominion Archives Reports* (1882, pp. 8-10; 1885, p. 301). The most important papers are not, however, in the Haldimand Papers, and the selection made from them in the *Vermont Hist. Soc. Collection*, vol. ii., is not full enough. Sparks at an early day had copied many of these papers (*Sparks MSS.*, xxxii.).

The final agreement, which was saved publication by the surrender at Yorktown, was sent to Sir Henry Clinton, in New York, for confirmation, and Jones (*N. Y. during the Rev.*, ii. 210, 212) says that Clinton referred the question of his power to confirm it to the loyalist Chief Justice Smith. Jones also, in his cynical way, alleges that Smith's decision of the necessity of the approval of Parliament was influenced by the fact that many of Smith's relatives on the patriot side would be injured in property by the grants which they held in Vermont, should New York be debarred the chance of recovering jurisdiction. Jones further intimates that the plan was sent to Gov. Geo. Clinton, through Smith's connivance; and De Lancey, Jones's editor, connects Governor Clinton's assembling of his legislature, in the early part of 1782, with his possession of this secret (*Ibid.*, ii. 472). Gov. Clinton, in January, 1782, had thought it might be necessary to repress Vermont by arms (*Sparks, Corresp. of the Rev.*, iv. 494). The entries made in Sir Henry Clinton's secret record books were first printed in the *Mag. of Amer. Hist.*, x. 400, 505.

The Vermont writers have all chosen to incur the charge of bad faith in negotiations, rather than acknowledge their founders to have pursued a treasonable correspondence. Cf. the histories of Vermont by Ira Allen, Williams, and Thompson,—the last a good condensed sketch. Documentary proofs are given in William Slade's *Vermont State Papers, Vermont Hist. Coll.*, vols. i. and ii.; *N. H. State Papers*, vol. x. The course of events in Congress is sketched in Rives's *Madison*, i. 495. Cf. also Gay, *Pop. Hist. U. S.*, iv. 70-83; *Hist. Mag.*, vi. 278, etc. An attempt is made in the same way to save the reputation of Rutledge, in South Carolina, by claiming that his offers of neutrality in 1778 were to save his State from pillage. (See Vol. VI., p. 521.)

In Connecticut the Tories were probably more numerous than in any other New England colony, very likely because of its nearness to New York. As early as November, 1775, some Connecticut marauders, under Isaac Sears, raided into Westchester, and destroyed Rivington's office in New York (Dawson's *Westchester*, 128, 131). It was early common to confine captured Tories in Connecticut, and the *Trunku!! MSS.* (Mass. Hist. Soc.) contain many papers on this point. There was in Simsbury an old copper mine whose cavities were converted into a prison, which was used from 1773 to 1827. Here many Tories were kept in restraint. A book on this mine and the use thus made of it, *The Newgate of Connecticut*, by Richard H. Phelps, was issued at Hartford in 1844, at Albany in 1860, and again at Hartford in 1876 (Sabine, xiv. 61, 380-90). Cf. an illustrated paper by N. H. Egleston in the *Mag. of Amer. Hist.*, April, 1886. It is also to be said that Connecticut was the field of the then undiscovered treacheries of two of her prominent apparent patriots. Sir Henry Clinton's Secret Journal, as recently published (*Mag. of Amer. Hist.*, x. 416, 500, 503; xi. 64, 254, 348; xii. 103, 104, 165), shows how a Connecticut legislator, William Heron, of Redding, was in communication with the British headquarters, sending information of the American movements, and that Gen. Samuel H. Parsons was in league with him, and how the whaleboat commissions issued by Gov. Trumbull were used to cover their methods of transmission. It is fair, however, to say that evidence confirming Clinton's Journal has not yet been found.

The Tories' chief stronghold, however, was in New York (Sabine and Sparks's *Gouv. Morris*, i. 37), and that province, with New Jersey and Pennsylvania, furnished the larger part of the armed bodies of Royalists.—[Ed.] The British army held possession of the city from 1770 to 1783. During this period, by far the larger number of the patriots, either from necessity or choice, had left it, abandoning their homes, goods, and business, leaving their affairs unsettled. Some few, however, of the patriots remained in the city, practising such prudence as they might, with, in some cases, open or covert protection from officers of the British army. To those of strong Tory proclivities resident in the city were added constantly, through the whole seven years, Tories from the neighboring country or from the other provinces. It was but natural that those who had thus sought refuge in the city should avail themselves of the dwellings and goods of the fugitive patriots. Here were complications of a sort to engender subsequent controversies about which litigation would have to give place to arbitrary decisions by statute. Authentic documents illustrating these complications are found in *New York City during the American Revolution. Being a Collection of Papers (now first published) from the Manuscripts in the possession of the Mercantile Library Association of New York City.* (Privately printed for the Association, 1881.) The most important of these documents are the loyal addresses of sympathy and approval, to Lord Howe and to the Governor Sir William Tryon, signed by nearly a thousand Tories of every class. These addresses at the time secured means of protection and acts of favor; but on the evacuation of the city by the British army the list of names appended to them was as convenient as a directory for marking the "enemies of their country." Another very important document in this volume is a legal paper in the case of William Butler, assistant British commissary in New York, in which we have interesting details concerning the condition and government of the city during the British occupancy.

when royal governors, after subverting the assemblies, had themselves abdicated their authority? Either total anarchy and lawlessness or some device of popular approval must meet the emergency. The most irritating of the grievances felt by the conservative or the timid under

[Jones's *N. Y. during the Rev.*, with De Lancey's notes to the same, and Dawson's *Westchester County*, give some striking pictures of the experiences of the Tories in New York. A field of research is opened in the *Calendar of Hist. MSS. relative to the War of the Rev.* (Albany, 1868, vol. i.). See a letter of John Jay in the *N. H. State Papers* (viii. 380), and papers relating to the sending of New York Tories to New Hampshire (*Ibid.* viii. 370, 393). Of the spirit of the New York loyalists we can also find some displays in letter-books of Cadwallader Colden, published by the N. Y. Hist. Soc., and in Judge Smith's *Province of New York*; and on Smith, as a leading Tory, see Sabine and *Mag. of Amer. Hist.*, June, 1881. A portrait and biography of Andrew Elliot, who was pensioned by the British government, is given in the *Penna. Mag. of Hist.*, July, 1887. Cf. *Hist. Mag.* (i. 30), and lists in Valentine's *N. Y. City Manual*, 1855, p. 560; 1856, p. 541. On the Long Island Tories, see *Journal of the N. Y. Proc. Cong.*, vols. i. and ii.; Greene's *General Greene*, i. 101; Field's *Battle of Long Island* (Brooklyn, 1809); and the histories of Long Island by Silas Wood (1820), by B. F. Thompson (1843), by N. S. Prime (1845), and in Onderdonk's *Queens and Suffolk County*.

For the New York acts against Tories, see Jones, ii., ch. xiv., xv., and App., pp. 510, 524. The act of banishment (June 30, 1778) is given in the appendix of Van Schaack (p. 485). In New York the prejudice against New England did much to evoke the loyalist feeling.

In Pennsylvania the influence of the Quaker spirit did much to repress the insurgent movement (Wallace's *William Bradford*, 158, 308, and W. Sargent's note to his *Loyal Verses of Stansbury and Odell*, pp. 123, 130. Cf. a paper on the Quaker attitude during the provincial wars in the *Penna. Mag. of Hist.*, x. 283). A number of leading Quakers were arrested and sent South in 1777, as told in Gilpin's *Exiles in Virginia*. They claimed the act to be an infringement of their constitutional privileges (*Brinley Catal.*, no. 3, 114).—ED.] A journal of one of the Philadelphia Tories is preserved in the *Penna. Mag. of Hist.* (vol. ix.—1885-86), being the *Diary of James Allen, Esq., of Philadelphia, Counsellor-at-Law, 1770-1778*. The writer was one of four sons of Chief Justice William Allen, of Pennsylvania. The experiences of all the members of the family at the opening of the Revolution illustrate in a very striking way the struggles and conflicts through which they had to choose their course. Besides holding great wealth and high positions, they had assumed offices, the oaths of which pledged them to loyalty. They sympathized strongly with the best of the patriot party in resenting the oppressive measures of the government, and took part in all the early efforts for a redress of grievances. When the decisive stage of independence was reached, all the brothers protested, and withdrew from the patriot cause. Three of them put themselves under the protection of General Howe. One of them raised a corps of Pennsylvania loyalists, which he commanded till the close of the war. The diarist, whose life ended in September, 1778, while the issue of the contest hung in uncertainty, disapproved of the course of his brothers, and, while still avowing his real sentiments, sought by prudence to protect himself from the harsh treatment, which, however, he could not wholly avert. He took his immediate family to his country place at Northampton, but was obliged to send his wife to Philadelphia, to her friends, to await her confinement. The entries in his diary are mostly dispassionate, and from his point of view the development of events was marked by increasing aggressions against all who favored conciliation. He writes: "When Gen. Howe was expected in Philadelphia, a persecution of Tories (under which name is included every one disinclined to independence, though ever so warm a friend to constitutional liberty and the old cause) began." He insists that the majority of the people in his city and province desire reconciliation. The newly set-up scheme of government in his province he pronounces absurd and impracticable. The assemblymen "are indeed a wretched set. This convulsion has indeed brought all the dregs to the top." The diarist was a typical loyalist, representing a very large class of high-minded and really patriotic men, during the critical period covered by his diary. [Lecky believes that Pennsylvania was preponderantly loyal. Washington was painfully conscious of the apathy of the people in the campaign of 1777. Pickering called it an enemy's country (*Life*, i. 104).

For the movements of the Tories in New Jersey upon the occupation of Staten Island by the British, see *Hist. Mag.* (v. p. 7).

In Virginia, the higher classes, in the main, contrary to the result in New England, were for the patriots' cause, though at one time there was some doubt as to the course of the province. We gather the views of the friends of government in a volume by an ejected clergyman, the Rev. Jonathan Boucher, whose *View of the Causes and Consequences of the American Revolution, in Thirteen Discourses, preached in North America between 1703 and 1773, with an Historical Preface*, was published in London in 1707, with a dedication to Washington.

In North Carolina the division was pretty nearly equal. In South Carolina the two sides showed a more virulent animosity than was manifested in any other colony, and the Tories were perhaps in the greater numbers. When South Carolina and Georgia were abandoned in 1782, there were 13,271 loyalists, including 8,676 blacks, to accompany the British troops (*Mass. Hist. Soc. Proc.*, Oct., 1886, p. 95). There was a proposal in 1781 to separate Georgia from the Union. Cf. *Observations upon the effects of certain late political suggestions by the delegates of Georgia* (Philad., 1781,—reprinted in the Wormsloe quartos. See *ante*, Vol. V. 401)

such convulsions was the temper of the men who in many places officiously and offensively took upon themselves the exercise of authority, for which it was urged "that they could adduce the laws neither of God nor man," but only their own opinion or will. A meeting of a handful of men, calling themselves a committee, and on occasion drawing after them a mob, often hurried on from simply seeking redress of grievances to an armed resistance of government, and a setting up of a rule which might vary its impositions and its penalties day by day. Of course it was charged that men before unrecognized for worth, assumed the lead. There were instances enough of this, especially in towns and rural regions, to provoke much irritation, and to prompt to many acts of outrage. A pot-house politician, or a brawler in the highway, might on occasion be the oracle of a group ready to insult those who in quiet times had been regarded as their "betters." One who follows the preparatory stages of the rupture with the mother-country, through some of the privacies of letters and diaries written by men favored in social position, will find many plaintive relations in substance like the following. Under the intense popular excitements of the times, an extemporized town or county meeting or convention is summoned without the usual formalities, to listen to the reading of a communication of some patriotic committee of correspondence, or some piece of stirring rumor. Men not heretofore accredited with high wisdom, or charged with official trust, but glowing with patriotism, stir the chance assembly with their rough rhetoric. Some one asks how the "squire," the doctor, the lawyer, the minister, the schoolmaster, or the merchant in the com-

munity, stands towards the "cause." Forth with a committee is appointed to proceed at once to his house and sound him, peremptorily and categorically.* The fate of many scores of worthy men heretofore honored was cast on such a chance interview. If timid and cautious, he "trims," hesitates, suggests delay, advises caution, fails of sympathy; and from that moment he is marked for suspicion and rough dealing. If anything can be charged of weakness or concession, if he is known to have given advice or aid to the enemy, he may be frightened into concession. Then he is summoned to a meeting of the Sons of Liberty, and on his knees avows his failing, asks forgiveness, and signs a humiliating retraction. If the recusant is of sterner stuff and in any degree defiant, there is in reserve — hardly with the allowance of choice — the coating of tar and feathers, the ride upon a rail, the filthy defilement of his dwelling, and the plunder of his property. The ordeal was a fearful one. It would seem that it oftener failed than succeeded in making patriots. A very disagreeable collection might be gathered alike of the embittered, or the pathetic rehearsals in diaries and letters of the experiences of individual sufferers in this overturning of legal authority and the relations of neighborly social life. They are to be readily gathered up, but better is it to allow these painful experiences to remain, where they have passed, under the oblivion of time.¹

The Tories were relatively more numerous and influential in New York than in any other of the provinces. The provincial congress or convention assigned to county committees authority to deal with suspected Tories, to engage, if neces-

A report on Treason was made to Congress, Sept. 5, 1776 (*Force's Amer. Archives*, 5th ser., ii. 34); and Congress prompted the States to different repressive measures, as when, on April 10, 1777, it asked Maryland and Delaware to disarm suspected persons (*Journals*, ii. 100). The indexes of the *Amer. Archives*, under "Disaffected" or "Suspected persons" and "Tories," guide to some of these early movements. Ryerson (ii. 130) summarizes the confiscation acts of the several colonies. The retaliatory seizure of rebel estates within the British lines was to be expected (Jones, ii. 35, 66, 68, 120, 390). — E11.]

¹ The patriot newspapers of the time contain very many cases of such enforced confession and retractions. The following from the *N. Hampshire Gazette* (Portsmouth, Nov. 14, 1774) is an illustration: —

"Gov. Gage, finding it impossible to engage in Boston carpenters and builders for the erection of needful barracks for his soldiers, had been aided by secret agents in New Hampshire, through the royal governor, Wentworth, to procure such workmen. The agency of one Nicholas Austin in this business was ferreted out by the 'Sons of Liberty,' and the delinquent was compelled, on his knees, to make the following confession before them: —

"Before this company I confess I have been aiding and assisting in sending men to Boston to build Barracks for the soldiers to live in, at which you have reason justly to be offended, which I am sorry for, and humbly ask your forgiveness; and I do affirm, that for the future, I never will be acting or assisting in anywise whatever, in Act or Deed, contrary to the Constitution of the Country; as witness my hand.

"NICHOLAS AUSTIN."

The "Constitution of the Country" was at that time a very august, but a very indefinable, reality. [Jones, among the contemporary, and Ryerson (ch. 36, 37), among the later writers, illustrate these points; and Jones contends that the British treated the luckless Tories hardly less cruelly (cf. vol. ii. 81). His account (ii. 236) of the savage treatment of the loyalists on the reoccupation of Savannah and Charleston (S. C.), is controverted by his critic Johnston (p. 47). For indignities in the early part of the war near New York, see Dawson's *Westchester County*. — E11.]

sary, the aid of the militia, and to put witnesses under oath. Temporary imprisonment and banishment were the judgments pronounced. When the British fleet arrived, the Tories in the city found protection; but such as had previously left their homes, and those whose estates in the country were in the hands of the patriots, were treated with increased severity. The names of the most obnoxious of them were printed, for their arrest by the military. Some were released on parole, but the prisons were so crowded by the number held in custody, that many, including the Mayor of New York, were sent to, under parole, or confined in, Connecticut. In this province, several Episcopal clergymen who were stipendiaries of the English Missionary Society, holding loyally to their ordination vows, met with the harshest treatment. Before the meeting of Congress, Tories were for the most part left to the dealing of mobs, or to the disposal of extemporized assemblings. On the organization of Congress, something answering to enactments were adopted for introducing authority and method into the treatment of the loyalists.

And here it is desirable to ascertain — it can only be by approximation and inference — the proportion, taken at large through all the colonies, between those who were ready to follow the patriotic movement, and those who desired to stop short of a severance of the bond which united them to Great Britain. So far as concerned a feeling of irritation at the oppressive acts of the ministry, the sentiment of opposition, if not absolutely unanimous, was substantially so throughout the colonies. While there was as yet no clear apprehension as to the result, this class of Tories found it easy to make their reproaches against some acts of the government consistent with a fervent loyalty. All the facts and inferential evidence within our reach fully confirm the positive avowals of Washington, Franklin, and John Adams, that up to the assembling of Congress the vast majority of the people neither contemplated independence, nor were in a condition to assert or safely contend for it. The spirit which at once began to work through the Congress under the shrewd though cautious policy of its patriot leaders, aided by further most opportune provocations furnished by government, prepared the way for the bold stroke which brought about the Declaration of Independence. In the space of two years the majority which stood for allegiance was overpowered, and if not really turned

to the side of independence, could assume to be so in the exercise of an irresistible authority to that end. How far acts of persuasion, or a real conversion, and ripening of opinion, or the use of intimidation, contributed to the result, is left to the judgment of each diligent inquirer and competent reasoner to decide.¹

Alike in speeches and printed essays on the other side of the ocean and in the passionate protests of many of the Tories in the colonies, we meet at this time with the severest denunciations of "the Tyranny of the Rebel Congress." It was said that this was exercised over "the vast majority of the loyal people of the colonies." Unquestionably there was reason for this reproach. Candor admits that a very large number of honorable loyalists had at this crisis to meet a bitter disappointment. They had heartily sent a representation to the Congress for the purpose of securing a redress of grievances; but that Congress had proved, as was claimed, treacherous to its proposed objects, and had led them into a trap, and had abused their confidence. A considerable number of sincere men could say this in all truthfulness. And to the most conscientious of such it would be an imbitterment of the later penalties to feel that they had in any way connived at measures through a misplaced trustfulness.

There was one suggestion of practical good sense and consistency which might have been expected to have had much weight for a considerable class of the adherents of the crown. They had avowed their allegiance to established authority as a safeguard against anarchy. The plea was a good one so long as there was such authority; but it had been wrecked; even the remaining fragments of it were useless. The significant fact was undeniable, that the overthrow of the royal government had been effected fully as much, if not more, by the acts of the official representatives of that government as by the leaders and measures of the revolt. Royal governors had abdicated their chairs and taken to flight. Constitutional assemblies had been disabled and dispersed. Judicial authorities and proceedings were repudiated. Meanwhile, Congress had initiated measures for substituting a new authority and order. It realized as fully and as sternly as did the staunchest loyalists the perils of anarchy, and set itself to avert it. As things then stood, the country had no other government. So far then as the loyalists clung to order against anarchy, they had but to

¹ Fair-minded men among the patriots, of whom John Jay was an admirable specimen, regarded the loyalists as exposing themselves to such harsh treatment as they might receive, by their own acts. They kept up friendly relations and correspondence with the public enemy: they disclaimed sympathy with the patriots; they refused to take part in the election of delegates to the Provincial or the continental Congresses; some of them were known to be secretly arming, and others, as it proved, were ready to fight in the British ranks against their own countrymen.

extend the meaning of the term loyalty from its limited reference to the British king to the recognition of Congress, which had established a government. Certain it was that no alternative offered itself, for in the failure of that effort anarchy was inevitable. And it was as certain that the malignant or the merely obstinate attitude of one class of loyalists was the most formidable obstacle to the purposes of Congress. The sort of government, or the temporary substitute for it, which Congress initiated might be regarded as a government *de facto*. France justified her alliance with the States by averring that she found them exercising government and in possession of independency. This was in conformity with the usage of nations. If the plea was good for foreigners, why not for our own citizens? Undoubtedly it did prevail with a large portion of the loyalist body.

General Gage and the Massachusetts legislature had in the very opening of the decisive controversy respectively defined two parties, and only two, which from that day on were to be recognized each by the other. The general, as governor, had declared that all who should in any way countenance, assist, or hold correspondence with the insurgents should be treated as rebels. The legislature inverted the sentence, and adjudged that all who aided the officers and measures of government should be held guilty of high-treason against the authorities. There was no place from that date onward for men of half-way temper. Free speech was suppressed; tolerant forbearance was denounced. Only by contributions, generally anonymous, in the public journals, and those of limited circulation, was there any comparing of views. The historical inquirer will find fragmentary material of this kind in a few patriotic and Tory journals in Boston, New York, and Philadelphia. But these are mostly lacking in moderation and a judicial temper. History in times of civil discord always assures us of the impracticability of neutrality. There are two familiar sentences of proverbial wisdom in which the different placing of little particles of speech would seem hardly to indicate any variance in the substance of them. "He that is not with us is against us," and "He that is not against us is for us." In all cases in which passion or force do not intrude themselves, these sentences may stand as equivalents; but the entrance of antagonism into the issue draws a sharp difference between them. We must know on whom we can depend and whom we are to distrust. The issue does not allow of half-heartedness or vacillation. This was the ground taken by Congress; and it was probably the only way in which the loyalists could have been prevented from organizing movements for combining their strength. It was intended, at least at first, in Congress, to secure free and

full opportunity for deliberate discussion of every element in the great issue. Galloway and Dickinson and others used their privilege and were candidly listened to as they protested against the sentiment and purpose which they found strengthening among their associates. Indeed, we have the distinct statements of the two Adamses, Lee, and others, that they were regarded at one time as the most objectionable and dangerous of the members in their influence to drive their colleagues faster than they were inclined to go. Patriotism rather than loyalty was then under the ban. General Howe, during the examination of Galloway before the House of Commons, gave, as from his own observation, the following estimate of the Tories: "Some are loyal from principle, many from interest, many from resentment; and there are others who wish success to Great Britain from a recollection of the happiness they enjoyed under her government." We have not to search beyond the working of human nature to explain on the one side the elements, both noble and base, that exhibited themselves in the loyalists, or on the other side to account for the vengeful treatment of them by the patriots. Patriotism needed constant reinforcement, by working up its own stern resolution, and by humiliating everything that would bring it to discomfiture. Loyalism in all its stages could find a full justification of itself till it was realized that the final struggle was inevitable. And freely admitting that even after sides were taken on the great issue, men of the highest intelligence and nobleness might still cling to Great Britain, we have equally to grant that the patriots, having resolved to have a country of their own, free from foreign mastery, might justly regard such internal foes, with all that was insidious in their influence, as more to be dreaded than a foreign army of red-coats and mercenaries. At one period of the war the number of armed native provincials enlisted on the side of the enemy was more than double the men under the command of Washington. Some counties on Long Island and in Maryland were found to be possessed by the most virulent spirit of Toryism. Congress, in the January preceding the Declaration of Independence, took measures for disarming all who were disaffected to the patriot cause, first selecting those on Long Island, and then in all the colonies. It was indeed recommended that they should be treated with all reasonable forbearance, though with a resolution that would frustrate all their mischievous machinations. The Tories in two counties of Maryland rose against the patriots, but were put down. A fortnight before the passage of the Declaration of Independence, Congress resolved, "That no man in these colonies charged with being a Tory, or unfriendly to the cause of

American liberty, shall be injured in his person or property, unless the proceedings against him be founded on an order of Congress or committee." The policy of this measure involved both a desire to conciliate the halting, and to discountenance the violence visited on unpopular persons.

The experiences of the Tories naturally divide themselves, for historical relation, under two periods: the one covering the war itself, the other following the acknowledgment of our independence. It must be noticed at once that the dealings with the Tories as a class were by no means left to the decision of any formal orders of representative bodies. Self-constituted committees, and even a neighborhood group of patriots, assumed full authority in this matter over individual recusants. It is inevitable that in civil war—for such was our war for independence in its early stages, and such, in fact, in some of its features it continued to be till its close—the loyalists should suffer what in their view was intolerance and injustice. They might hesitate between bold, manly protest, with such resistance as they could make to arbitrary treatment, and quiet, patient submission. It mattered little during the conflict, though it went easier in the end with those who had chosen the latter course. As a general rule, the more conspicuous Tories were the foremost in suffering under popular indignation. There were exceptions in rare cases of individuals known for quiet bearing and for public spirit. It is curious to note that in Boston, for instance, and its neighborhood the most eminent medical practitioners were stout in standing for loyalty, but were humorously said to have found a bulwark in the women who depended upon their services. The exceptional patriot in this class, Dr. Warren, who fell on Bunker Hill, had a heightened popularity. Another alternative presented itself sharply to those exposed to the tongues and hands of the patriots: whether they should remain in their lot waiting for the calming of the strife, or seek security within the lines of the enemy, and become dependent for support upon offices or doles. Those who chose this latter course found at last, to their sore dismay, that they had hopelessly identified their lot with the enemy, and, as we shall see, were under the necessity of escaping with the ban of exile and con-

fiscation. Humble loyalists who had little at stake concluded to bear the risks of remaining, trimming sometimes to the breeze and according to their temper, having their loyalty ridiculed or condoned. The traditions and town records of many rural settlements preserve the memories of individuals who stood stoutly for the king, and loved through after-years to boast of it, as did those of like temper across the water, who drank to the health of "King Charlie." The two daughters of the old Congregational, Tory pastor of Boston, Mather Hyles, displaced from his office after the release of the town from the British army, continued through their old age to keep the birthday of George III, and to regard themselves as his subjects.¹

Through the whole of the war large bodies of the loyalists, so far from placidly submitting to the severities of the patriots, had been gathered into very formidable military organizations, and had by no means an unimportant part in the struggle. The fact of the existence and activity of those loyal provincial forces may have in many cases prompted, as it certainly would justify, a stern restraint upon them with severe penalties.² Private and individual proceedings against Tories were in the beginning devised to ascertain their opinions and to draw from them recantations. Then followed disarming, confinement to one's house or limits, fines or tributes. As soon as a committee or an assembly could present a show of authority, the allegiance of the people was claimed. Names of suspects were set down; secret testimony was taken; imprisonment, confiscation, banishment, with death on return, were the successive penalties. In few cases, if indeed in a single one, did public authority ever redress a single grievance or wrong for an individual. Before the treaty, each of the thirteen States had passed acts, varying in degrees of severity, against Tories. Offences were graded,—such as sending information to England, or giving it to foes here; supplying the enemy or enlisting for them; piloting their vessels; speaking or writing against measures of Congress or of an assembly; any suspicious acts of enmity; leaving home for another province; refusing to renounce allegiance to the British government, or to swear allegiance to the new government. The penalties, too, were graded. Congress prompted many of these

¹ *Mem. Hist. Boston*, iii. 160.

² A perfectly candid classification of the loyalists by their differences was that made by Franklin, when the subject of leniency towards them was under discussion in the negotiations for peace. He wrote: "Some of those people may have merit in their regard for Britain, and who espoused her cause from affection; these it may become you [Britain] to reward. But there are many of them who were waverers, and were only determined to engage in it by some occasional circumstances or appearances; these have not much of either merit or demerit, and there are others who have abundance of demerit respecting your country, having by their falsehoods and misrepresentations brought on and encouraged the continuance of the war; these, instead of being recompensed, should be punished." (*Sparks's Franklin*, ix. 431, 432.)

measures, with the establishment of martial law in various places, accompanied by the definition and penalty of treason.

Massachusetts first initiated severe proceedings against Tories, which involved banishment and confiscation of property. The penalty fell in the beginning upon a number of merchants, barristers, and attorneys, and some government officials who had signed letters of address to Governor Hutchinson before and at the time of his departure from Boston, in June, 1774. The same penalty was visited upon like offenders in addressing General Gage upon his coming and going off. Some of the signers in both cases made their peace by public apologies. To these prime offenders were soon added others, who obtruded their loyalty or discouraged patriotic measures. During the whole course of the war, in whatever place a British force was concentrated, all the Tories in the neighborhood who were odious to the patriots, or who had received rough treatment, would seek protection within the lines, and become dependent upon the British commissaries. Boston, during the siege, 1775-76, was the first harborage of such fugitives from the neighboring towns. While the patriot army environed the town, these frightened or disaffected persons, getting an entrance to it, generally by water, caused a reckoning against themselves as the worst enemies of their country, because of the encouragement and information they gave to the foe. When the British commander evacuated the town he had upon his hands more than a thousand of the Tories, who trembled at the thought of being left to the rage of their countrymen. He had no other course than to take them with him, with or without their effects. In most cases they had with them the whole or parts of their families. They were taken to Halifax, and some of them found their way to England. The *Salem Gazette* of Nov. 6, 1783, published the names of forty-five of these who had died in exile before the peace. In April, 1779, Massachusetts passed a "Conspiracy Act," involving the estates of all officials of the late government who had gone off, and another act for confiscating the estates of "certain persons commonly called absentees." A more general act

was passed in Sept., 1778. This gave the names and occupations of a most miscellaneous company, consigned to banishment; and if any of them returned without liberty granted, the penalty was to be death. The names of three hundred and ten men were on this list; of these more than sixty were graduates of Harvard College. When the British evacuated Philadelphia in June, 1778, three thousand of the inhabitants followed the army.

The agency of Congress in measures looking to the restraint of the Tories is indicated in the following resolutions, Oct. 6, 1775: "That it be recommended to the several provincial assemblies or conventions, and councils or committees of safety, to arrest and secure every person in their respective colonies, who, going at large, may in their opinion endanger the safety of the colony or the liberties of America."¹

One of the aggravations of the misery of the Tories was, that in many places and on many occasions they were treated with an indiscriminate severity by the British forces. In passing through the Jerseys and parts of Pennsylvania, the red-coats and the Hessians seemed to find a wanton pleasure in entering the houses and barn-yards to outrage and pilfer, to drive away the cattle and devastate the crops of those who as Tories had received like treatment from the patriots. Some of these victims had fortified themselves with protection papers obtained from British officials, testifying to their fidelity to the government, and even to their having done service for it. But it was in vain that, in protesting against these rough marauders, they exhibited these certificates to those who either could not or would not read them. Pitiable indeed was the fate of many of these doubly-harassed farmers, mechanics, and gentlemen on retired estates. Cases are on record in which rapine and violence were accompanied by vile debaucheries which drove many sufferers to desperation.

As a general rule, the Tories were content with an unarmed resistance, where they were not reinforced by the resources or forces of the enemy. But in successive places in possession of the British armies, in Boston, Long Island, New York, the Jerseys, Philadelphia, and in the South-

¹ On Jan. 2, 1776, the same bodies were recommended "by the most speedy and effectual measures to frustrate the mischievous machinations and restrain the wicked practices of these men. And it is the opinion of this Congress that they ought to be disarmed, and the more dangerous among them either kept in safe custody, or bound with sufficient sureties to their good behavior." On the next day this resolve was directed to provide for seizing, disarming, and putting in safe custody all those who in Queens County, New York, voted against sending members to the convention in that province. On March 14, 1776, all the colonies were recommended to disarm all disaffected persons, and those who would not associate themselves in defence against the enemy. On June 13, 1776, this recommendation was expressly made to the Committee of Safety of Delaware. On June 18, these measures against Tories were qualified against individual severities, by requiring the sanction of some public body. This was followed by a declaration that certain classes of such Tories should be held guilty of treason against the colony.

ern provinces, there rallied around them Tories both seeking protection, and ready to perform all kinds of military duty as allies. By all the estimates, probably below the mark, there were during the war at least twenty-five thousand organized loyalist forces. In an address made to the king by the refugees in England in 1779, they say that their countrymen then in arms for his Majesty "exceeded in number the troops enlisted to oppose them." In a later address to the king and Parliament in 1782, they make a still stronger assertion. Very many of the provincials, who as military officers had shown abilities and acquired experience in the previous French war, were strongly loyal to the crown, and were ready for service under it. Of these, a very able and conspicuous man, Col. Timothy Ruggles, set about organizing a loyal corps in Boston during the siege. One receives a very vivid impression of the emphasis which these military bodies gave to their loyalty from the names designating their organizations. They were such as these in different parts of the country: "The King's Rangers," "The Royal Fencible Americans," "The Queen's Rangers," "The Prince of Wales' American Volunteers," "The King's American Regiment," "The British Legion," "The Royal Foresters," etc. In the House of Commons, June 27, 1783, on motion of Lord North, half-pay was voted to the officers of twenty-one of these corps. Burgoyne, in planning his fatal expedition from Canada, had largely relied upon his complement of loyal Americans, though they proved of almost as little service as did his Indians. These Tory allies of the enemy were most effective in pre-

datory exploits, as knowing the country which they plundered.

The most annoying military service of American loyalists was that which was protracted through the whole war by a corps raised by Sir John Johnson, the English agent resident among the Six Nations. These savage tribes were strongly attached to him and to the service of Britain, in which many of them had been allies in the French war. Johnson's power over them made them dreaded as wily and ruthless enemies. Johnson, irritated by the treatment he had received in Schuyler's expedition from Albany to his home in Johnstown, at the beginning of the war, made his way to Canada, followed by many loyalists of his neighborhood. Here Sir Guy Carleton, the governor, commissioned him as colonel of two battalions of five hundred men each, and allowed him to nominate his own officers. The ranks were at once filled. With a strong following of Mohawks, this corps of American loyalists became a scourge to the patriots. It was because of the atrocities perpetrated by these savages that Washington issued his orders to Sullivan for an expedition into their country. In 1776, Gen. Howe, on getting control of Long Island, commissioned Oliver DeLancey, a New York loyalist, as brigadier-general, to raise three battalions of five hundred men each, designed, as it was first said, to defend the island. Two of these battalions were transported to Georgia as cooperating forces. There, and in the other Southern provinces, they did most willing and effective service against their own countrymen until the close of the war.¹

¹ [Cf. *Hist. Mag.*, viii. 321, 355, 389. Sparks, in his *Washington* (iv. 516), tells the story of the organization of the loyalists' armed legions at the beginning of the war, and Howe (*Narrative*, pp. 51-53) expresses his disappointment at the numbers enlisting. These Tory legions were raised under distinctive names (Sabine, i. 73; Lossing, ii. 874), and some of the chief of them were recruited in and about New York (A. G. Bird, in *Mag. of Amer. Hist.*, 1881, p. 418). Brymner, the Canadian archivist (*Report*, 1883, p. 11), gives a list of twenty-nine loyalist corps whose members settled in New Brunswick. Respecting Sir John Johnson's "King's Royal Regiment," see J. W. DePeyster's *Life, Misfortunes, and the Military Career of Brig.-Gen. Sir John Johnson* (New York, 1882); Theodor B. Myers's *Tories or Loyalists in America: being slight Historical Tracings from the Footprints of Sir John Johnson and his Contemporaries in the Revolution* (Albany, 1882), which is a separate issue of a part of *The Orderly-Book of Sir John Johnson*, edited by W. L. Stone and J. W. DePeyster (Albany, 1882). The Brit. Mus. *Catal. of MSS.* (1880, pp. 801-802) shows among the Haldimand Papers a large number of the letters of Sir John and Col. Guy Johnson. The same Haldimand Papers contain the correspondence of that general with the loyalist officers in Canada, 1778-84, and the correspondence of the "King's Royal Regiment," of New York, 1776-83; and many details about the loyalist regiments are in the papers of "Sir Guy Carleton, 1782-83," in the War Office, London (*Canadian Archives Report*, 1874).

The most famous of these Tory partisan corps was the "Queen's Rangers," which was first recruited by the border fighter Robert Rogers, in December, 1776. Rogers had been strolling about the country, exciting some suspicion, before this (Sparks's *Letters to Washington*, i. 92, 97; *Washington*, iii. 208; Hough's ed. of *Rogers' Journal*, App., p. 258; *N. H. Prov. Papers*, vii. 680, 681). He had finally been arrested in Philadelphia, but, being released on parole not to serve against America, he fled to New York, and entered upon this recruiting service (*John Adams's Works*, ii. 425; Force, *Amer. Archives*, 4th series, i. 805). His correspondence with Gen. Haldimand is noted in the Brit. Mus. *Catal. of MSS.* (1880, p. 1250). — ED.]

The command of the "Queen's Rangers" afterwards passed to John G. Simcoe, who privately printed *A Journal of the Operations of the Queen's Rangers, from the end of the year 1777 to the conclusion of the*

The loyalists were found so numerous in New York in 1780 that they were encouraged by the British government to form an association of their own, independent of the orders of the British commander. It was entitled "The Honorable Board of Associated Loyalists." At its

late American War, by Lieut.-Col. Simcoe, commander of that Corps. Simcoe was himself an Englishman and an Oxonian, son of a British naval commander, and arrived in Boston on the day of the battle of Bunker Hill. He says that "he always considered the war as forced upon Great Britain, and in which he served from principle." Knowing well the ill repute of partisan corps service, he thought he could redeem it by true soldierly qualities. He solicited and obtained from Gen. Howe, in New York, the command of this corps. He had previously offered to Gen. Gage, in Boston, to enlist a corps of negroes, whom Gage thought were not numerous enough. He had also a special pride in having Indians under his lead. The operations of the corps began with the movements of the British army in the Jerseys, and were continued in the neighborhood of Philadelphia, afterwards in New York and Long Island, extending down to the actions in the Southern provinces, and closed at Yorktown. Simcoe says that on learning of the surrender of Cornwallis he asked the earl to allow him to escape with the loyalists and deserters in his train. "His lordship was pleased to express himself favorably in regard to the scheme, but said he could not permit it to be undertaken, for that the whole of the army must share one fate" (page 254). Simcoe, alleging the advice of a physician that only a sea-voyage could save his life, slunk off in the "Bonetta" with as many of his and other corps as she could hold. The vessel had been courteously left at the disposal of Cornwallis for the transmission of despatches and the wounded. Simcoe was duly exchanged afterwards. Going to England, he returned soon to Canada, and being commissioned as lieutenant-governor of Upper Canada, he lost no opportunity of showing his hostility to his American neighbors. [There is a portrait of Simcoe in the gallery of the Penna. Hist. Society. A copy of Simcoe's *Journal* with the original drawings bound in, and MS. marginal notes by Gen. Clinton, was held by Quaritch in 1888 at £50.—Ed.]

Benjamin Thompson, afterwards Count Rumford, had fled early in the war into Boston, whence going to England, the most fortunate of all his associates, he found place, influence, and patronage under Lord George



COUNT RUMFORD.*

Germain. Curwen had known Thompson in his youth, and writes of him thus: "A native of Massachusetts (formerly an apprentice to my next-door neighbor in Salem, Mr. John Appleton, an importer of British goods), now under-secretary in the American department." [Towards the end of the war he returned to New York, and was commissioned colonel of the "King's American Dragoons," in February, 1782, and gained some credit for his exploits round New York and at the South (*Life of Count Rumford*, by G. E. Ellis, Boston, 1871).

* [After a print in the *European Mag.*, February, 1777, vol. xxxi. — Ed.]

head was the son of Dr. Franklin, — William, late the Tory governor of New Jersey. The force was well armed at the expense of government, but they had to depend on their own resources for provisions and clothing. They were not only permitted, but prompted to enrich themselves by the plunder of rebels. They made the shores of Long Island, of Connecticut, and New Jersey the fields of their raids, and were a terror to the farmers. The most reckless among them were wholly unscrupulous of the difference between friends and foes. They were abundantly furnished with small sloops and schooners and large whale-boats, commissioned as private vessels of war, guided by those who were familiar with the waters. They sacked houses, and burned barns and churches, and took off livestock. These armed Tories were ranged under three organizations, including bands of horse-men, all under the "Honorable Board." Of course the rebels retaliated, with like "commissions" from their principals, and with hardly an appreciable difference in the methods and morals of their exploits. Indeed, if we can credit some well-attested authorities of the time, there was a sort of comity established between the fleets and expeditions of these freebooters of rebels and Tories. It was said that the two parties never assailed each other, and that when a boat or a company of either of them passed in view they signalled amicable recognitions. They even had a system of exchanging prisoners without the formalities of a cartel. The

traditions of many shore towns and inner villages in the wide region visited abound in rehearsals of the freaks and ventures of these licensed outlaws. After the peace many of the members and subordinates of this "Honorable Board" were provided with vessels for Nova Scotia, and the officers were pensioned.

When the day of reckoning came at the close of the war, it needed no spirit of prophecy to tell how these Tories, armed or unarmed, would fare, and we have not to go outside the familiar field of human nature for an explanation. That it was not till six months after the ratification of the treaty by Congress that Sir Guy Carleton removed the British army from New York — the delay being caused by his embarrassment from the crowds of loyalists seeking his protection — is a reminder to us of their forlorn condition.¹ Part of the demonstrations with which the rough populace in many places celebrated the humiliation of the enemy was the seizing upon any Tory within their reach to mock and torment him.² From all over the seaboard of the continent refugees made their way to New York in crowds. They hurriedly left their homes, with all family treasures and effects, their unsettled business affairs, and generally their wives and children in a state of utter distraction, to escape outrage and to encounter penury. They threw themselves in despair upon the protection of the British commander. He fully realized and tenderly assumed the responsibility. He pleaded his encumbrances of this

The Narrative of the Exertions and Sufferings of Lieut. James Moody in the Cause of Government since 1770 (London, 1782; second edition, 1783, with new matter; reprinted by Dawson at Morrisania; and with introduction and notes by Charles I. Bushnell at New York in 1805; also in *The Excitement*, Boston, 1833, — Sabin, xii. 330. and Sabine, ii. 95) records the exploits of an officer of Gen. Skinner's New Jersey Tory brigade. Cf. W. S. Stryker's *New Jersey Volunteers [loyalists] in the Rev. War* (Trenton, N. J., 1887). The *Narrative of the Transactions, Imprisonment, and Sufferings of John Connolly, an American Loyalist* (privately printed, London, 1783) is the story of a man commissioned a lieutenant-colonel by Gage, with authority to raise troops to act with the Indians. Connolly was early (Nov. 5, 1775) arrested, and was kept a prisoner for five years (Stevens, *Hist. Coll.*, i. 1384). There was printed at Greenock, Scotland, in 1780, *The Adventures of J. M. Alpine, a native Highlander, from the time of his Emigration from Scotland to America in 1773*, who served as a loyalist under Carleton, Burgoyne, and others.

The most obnoxious of all the Tory vagabondish military leaders was Col. David Fanning, of North Carolina, whose *Narrative, giving an Account of his Adventures in North Carolina from 1775 to 1782, as written by himself, with an Introduction and Explanatory Notes* (Richmond, 1861; reprinted, New York, 1865), was printed from a copy of the original MS. in the possession of Charles Deane. The notes are by Gov. Swaine, of North Carolina, and by Thomas H. Wynne, of Richmond (Sabin, vi. 23, 778-79.) Cf. Chesney's "Carolina Loyalists," in his *Essays in Military Biography*, and Caruther's *Interesting Revolutionary Incidents* (Philad., 1836). — E10.]

¹ [The British Headquarters Papers in the Royal Institution in London show the numerous loyalists' petitions showered upon Carleton, and some of them are copied in the *Sparks MSS.* (no. lvi.). — E10.]

² The following is an extract from a letter dated Oct. 22, 1783, written by a gentleman in Newburgh, N. Y., to a friend in Boston: "The British are leaving New York every day. Last week there came one of the dam'd Refugees from New York to a place called Wall-Kill, in order to make a tarry with his parents, where he was taken into custody immediately: his head and eyebrows were shaved, tarred and feathered, a hog-yoke put on his neck, and a cowbell thereon; upon his head a very high cap of feathers was set well plum'd with soft tar, and a sheet of paper in front, with a man drawn with two faces, representing Arnold and the Devil'simps; and on the back of it a card with the retiegee or Tory driving her off." (*N. Y. City Gazette*, 1870, p. 815.)

character in answer to the censures upon him for delaying his departure, and he vainly hoped that Congress would devise some measures of leniency to relieve him.

It is difficult to estimate with any approach to exactness the number of these hounded victims. Many hundreds of them had been seeking refuge in Nova Scotia and New Brunswick since the autumn of 1782, and additional parties, in increasing number, followed to the same provinces. An historian sets the whole number at the close of 1783 at twenty-five thousand.¹ Large numbers of the loyalists of the Southern provinces were shipped to the Bahamas and to the West India Islands. At one time Carleton had upon his hands over twelve thousand Tories clamorous for transportation.

On his surrender at Yorktown, Cornwallis endeavored to make terms in behalf of the loyalists who had gathered about him. Washington firmly refused to make any composition on their behalf, insisting that they must be tried by civil process as traitors. He must, however, have winked at a proceeding in which the most obnoxious of them slunk off in the "Bonetta," which he had consented should take despatches to New York without being searched.²

In the summer of 1782, by order of the ministry, Charleston and Savannah were evacuated, the garrisons and the stores removed, and the places left to the inhabitants. Thousands of native loyalists, who had served in the garrisons or furnished supplies to the enemy, were thus to be left unprotected to the mercies of their fellow-citizens. The British commanders delayed their embarkation as long as possible, to make some sort of provision for these unfortunates. Hundreds of them were sent to St. Augustine, others to the Bahamas and Bermudas and to Jamaica. The remainder who were removed went in the fleet to New York, to be finally dispersed to Nova Scotia, Canada, and Newfoundland. Still there was a large remnant, deserted families, aged, and young, whose experience was wretched through insults and plunderings. Some were stripped of all they possessed, and some were hanged.

In following the fortunes of expatriated loyalists we might select special cases of individual hardship, but a general summary — all that can be given here — will be painful enough to meet the objects of faithful historical relation. It will be remembered that the pledges to them of protection and remuneration had been reiterated in terms steadily increasing in strength by the British commanders with each stage of the revolt,

and the pledges were heartily confirmed in precise terms by the king and the ministry. Of course two conditions were assumed in these promises, on which it was supposed their fulfilment would rest; both which conditions, however, failed. The first was that the conflict would soon be brought to a close by the triumph of the government. The second was that the remuneration for the losses of the loyalists would be at the expense of the defeated rebels. There had been, so to speak, caught unexpectedly on the other side of the water, at the opening of the quarrel, many native colonists, who had gone abroad for business or pleasure. They watched the aspect of affairs with anxiety. If they were firm in their patriotism, they would be prompted to return. If they were timid, or with strong instincts of loyalty, they would remain and watch the tide. To those of the latter class, as a nucleus, were soon added in an increasing volume a steady crowd, and a most miscellaneous gathering of refugees from the provinces, chiefly the northern, who had thought it safer to seek an asylum, supposed to be only a temporary one, in England. Such a crowd embraced all varieties of character, from those most harmless and inconstant in feeling to those who had been bitter opponents of the patriot cause. Naturally, among these latter the most mischievous in their influence were men who had abandoned official places, and had arrived in England generally in extreme destitution. The diaries and letters of Gov. Hutchinson and of Judge Samuel Curwen, with many other like papers, enable us to set before ourselves in full details, saddening or amusing, the experiences of these forlorn exiles, seeking the solace of mutual miseries in each other's company. They were indeed as dismal a fellowship as has ever been gathered in any part of the civilized earth. They soon learned to form a close companionship through their tastes and affinities, to meet constantly for conference, or to communicate intelligence, with their hopes and fears, by correspondence. Two tedious but inexhaustible subjects engaged their speech: one, the relation by each of his own losses and tribulations, with his success or failure in securing a pension; the other, the intelligence and rumors of each passing day, with its alternations of hope or despair. The tale of the surrender and that of the death of Washington are specimens of these rumors. But the reading of them now carries with it but a faint impress of the hope and encouragement which balanced their feelings. Some of these exiles found com-

¹ Murdoch's *History of Nova Scotia*, iii. 23.

² The Appendix no. xv. to vol. i. of the *Cornwallis Papers*, as edited by Charles Ross, shows how earnest that general was to provide security for the loyalists who had served him. It was a stretch of leniency which allowed him to carry off so many of them. [On the other hand, Walpole's *Last Journals*, ii. 486.—Ed.]

fort in dining frugally together on set days. Soon they made a sort of general headquarters at the New England Coffee-House in London. The arrival of a newspaper from the seat of war, the communication in the *Gazette* of such information as the government chose to dis-

close, intimations leaking out as to what was kept back, the comments of other journals, reported utterances of ministers or members of the opposition on critical occasions, furnished abundant materials for quiet gossip or for fresh dreads or hopes.¹ This group of dismayed

¹ [The best sources for a knowledge of this loyalist society in London are the following: *The Diary and Letters of Thomas Hutchinson*, compiled by P. O. Hutchinson (Boston and London, 1884 and 1889, in two volumes). Hutchinson died in 1780. His diary is of the first importance, but his garrulous and bewildered editor has sadly overburdened the book. Hutchinson suffered little of the distress for pecuniary means which embarrassed many of his associates. He was prominent in the New England Club, which was formed among them (*Mem. Hist. of Boston*, iii. 175). The diary of another—Samuel Quincy—is given in the *Mass. Hist. Soc. Proc.*, xix. 211 (January, 1882). The diary of Henry Oxnard was printed in the *N. E. Hist. and Genral. Reg.*, vol.

Sam Quincy



xxvi., and separately with a sketch of his life by Edward S. Moseley (Boston, privately printed, 1872). — ED.]

A refugee is the proper title of the class of men of which Curwen is a rather favorable specimen. He was not driven from the country, nor proscribed after leaving it, and was allowed to return unharmed in person or property. He was born in Salem, Mass., in 1715, descended from an English family emigrating hither in 1638. Filling honored positions in mercantile and professional life in its generations, the family were in high social standing. Graduating at Harvard in 1735, ill health caused him to give over his preparation for the ministry and to engage in mercantile business. He was a captain in Pepperell's successful expedition against Louisburgh. He was a justice of the peace for fifty years, and when he abandoned his home was deputy judge of admiralty and provincial impost officer. Curwen was, at the outbreak of the war, sixty years of age. He was a man of a lymphatic spirit, without force enough to be of much account on either side in which his sympathies or convictions might engage him. He loved the placid round of a comfortable home life and of neighborly intercourse. His resentment under the affronts which he received, and his apprehensions of something worse, led him to leave wife and home, as he supposed only temporarily, on April 23, 1775, for a refuge in Philadelphia. He did not find himself welcome among the Quakers, so, leaving that city, he embarked for Liverpool on May 13th. He always regretted that he took this step. He considered himself unjustly ranked among the enemies of his country. He thought conciliation would restore harmony, and he shuddered at the idea of a possible final rupture. Without questioning the personal integrity or purity of motive of such leading spirits as James Otis and Samuel Adams, he regarded them as dangerous fomenters of strife. He saw that their influence over "the lower classes" excited them to riots. For one of his temperament the situation was intolerable, and the prospect one of hopeless gloom. On his arrival in England, where he could not prevail on his wife to join him, he for a time flattered himself that the storm of dissension would ere long be pacified. An early edition of *Curwen's Journal and Letters*, written in England, fell into the hands of Charles Dickens, who found in it charm and interest enough for articles in his *Household Words* for May and June, 1853. In its latest edition it is entitled *The Journal and Letters of Samuel Curwen, an American in England, from 1775 to 1783, with an Appendix of Biographical Sketches, by George Atkinson Ward* (fourth edition, Boston, 1864). See Sabin, v. p. 147, and references in *Pool's Index*, p. 326. Its gossip, its descriptions of pleasant excursions, and its narrations of what each day brought of news and tumors, will continue to make the volume an engaging one. The familiar names of Hutchinson, Pepperell, Quincy, Sewall, Copley, Clarke, and others constantly occur. Those of the London colony who were in casier circumstances entertained their fellows at dinner and tea. At their club they listened to private and public intelligence. Their eyes were opened to the corruption of public business. They learned of the activity of Yankee privateers, which up to February, 1778, "had taken 733 vessels, containing 13,000 men, and valued at £4,823,000 sterling." They heard far more threatening invectives used against the measures of the government by men in opposition than had ever been uttered by the most blatant Tories in America against the patriot cause. At a meeting of the "Disputation Club, Queen's Arms," in September, 1775, Curwen heard "Question debated, 'Is it not injustice in the administration to pursue measures at the cost of the price of blood, without any benefit to the nation?'" which was voted in the affirmative, but not without a few dissentients" (page 41). In April, 1776, he finds Gov. Hutchinson reading a new pamphlet, *An Inquiry whether Great Britain or America is Most in Fault* (page 53). Curwen seems to have taken with him some slender funds, and to have received occasional remittances. He writes in October, 1775, that he is practising a rigid economy on twenty guineas a year, and is in dread of coming to absolute want. By the kind interest made in his behalf by his friend, Judge Sewall, he received at the British treasury, March 10, 1777, a hundred pounds down, and a pension of another hundred during the troubles (page 112). When he learns with satisfaction that, though his name had come up in the Massachu-

refugees ranged all the way from Gov. Penn, who had lost a province, down to a tide-waiter, who had been robed in a suit of tar and feathers. But there was one central object for the gaze of all eyes, and that was the Treasury, with its pensions and doles: in a few cases bestowed

setts legislature for mention on the banishment act, it had been omitted, he is made anxious lest that omission should cause the loss of his pension. Curious it is to note the Yankee spirit in this mild-tempered man when he hears of a slight cast upon the soldierly qualities and courage of his countrymen. Thus he writes, in December, 1770: "It is my earnest wish the despised Americans may convince these conceited islanders that, without regular standing armies, our continent can furnish brave soldiers and judicious and expert commanders, by some knock-down, irrefragable argument; for then, and not till then, may we expect generous or fair treatment. It piques my pride, I confess, to hear us called 'our colonies,' 'our plantations,' in such terms and with such airs, as if our property and persons were absolutely theirs, like the villains and the cottages in the old feudal system, so long since abolished, though the spirit or leaven is not totally gone, it seems" (page 97). He says he had received but ten letters from old friends in Salem during five years. Noting, in February, 1783, the death of Mr. Flucker, royal secretary of Massachusetts before the war, he marks him as the forty-fifth of the refugees of that province, that had died in England. There is much of piquancy in the way in which Curwen writes of his seeking in vain the countenance of the blandly spoken courtier, Benjamin Thompson, under-secretary with Lord George Germain, afterwards famous as Count Rumford. His perquisites were then £7,000 a year. Curwen had known him as a shop-boy in Salem. When Curwen's fellow-exiles formed an association in London, he says "they affected to call themselves by the pompous character of loyalists." He preferred the title of refugee. He was gratified to hear that they might appoint agents to receive their pensions, go where they would, even to the United States. He gave a power of attorney to a friend for that purpose, avowing his intention to go to Nova Scotia, or some other royal province, if not allowed to go home. He leaves us uninformed whether he lived and died a pensioner on the royal bounty. Feeling the infirmities of age, after much puring and hesitating over the step, he seeks to learn whether it would be prudent for him to risk a return. He had much misgiving. He read in the papers in the New England Coffee-House, August, 1783, "of the rising spirit of Americans against the refugees, in their towns and assemblies. Intoxicated by success, under no fear of punishment, they give an unrestrained loose to their angry, malevolent passions, attributing to the worst of causes the opposition to their licentious, mobbish violation of all laws, human and divine," etc. in much the same tone. Assured by friends that he might venture, he embarks, and after an absence of nine years and five months reaches Salem in September, 1784. He was unharmed, and kindly received, but his property was wrecked. His wife died in 1793. He died in Salem, in 1802, at the age of eighty-seven.

Another important record is *The Life of Peter Van Schaack, LL. D., embracing Selections from his Correspondence and other Writings during the American Revolution and his Exile in England*, by his son, Henry C. Van Schaack (New York, 1842). The subject of the memoir chose for his motto *Superanda fortuna ferendo*, and well did he illustrate it by fortitude and dignity under trial. He was descended from Dutch stock, which on inquiry he learned was "respectable," and was born in Kinderhook, N. Y., in 1747. Educated at Columbia College, he had for early intimates, who continued to be his lifelong and constant friends, though they were all of them sturdy Whig patriots, such men as John Jay, Egbert Benson, Richard Harrison, Gouverneur Morris, R. R. Livingston, and Theodore Sedgwick. He attained distinction as a lawyer, and at the age of twenty-six had collected and revised the statutes of New York for eighty years, from 1661 to 1773. On the outbreak of the dissension he assumed, from conscientious convictions, which he thoroughly and repeatedly examined, these two principles: first, that the measures of the British ministry were arbitrary, oppressive, and unjust, and should be firmly opposed and resisted by remonstrance and petition, without the taking up of arms; and, second, that an unbroken connection with the mother country was vitally essential to the prosperity of the colonies, while a civil war would result in anarchy and ruin. He held consistently to the course which these principles prompted, so that he spoke and acted in sympathy with the Whigs till the crisis when independence was declared and recourse was had to arms, when he withstood further action, and sought to maintain a position of quiet neutrality in his native village. This was not allowed him. His brother-in-law, Henry Cruget, Jr., a New Yorker, was then a merchant in Bristol, Eng., and represented the town in the House of Commons. Van Schaack furnished Cruget, in his letters, materials and arguments for effective speeches in Parliament against the unwise and mischievous measures and the oppressive acts of the mother country, but a final rupture with her Van Schaack would not contemplate for a moment; and though, after his return from his six years of exile, he was an honored and serviceable citizen of New York, he seems never to have become in heart and conscience reconciled to the result of the Revolution. After the adoption of the New York State constitution, in April, 1777, he was summoned before the committee on conspiracies, acting by wholly arbitrary measures, and required to take an oath asserting the independence of the State. This he refused. He was suffering under the severest domestic afflictions, having been bereaved of his wife and six children, and threatened by cataracts with total blindness, which was afterwards visited upon him. He sought permission to go to England for the aid of an oculist. New York passed a banishment act in June, 1778, and in the next year a confiscation act. The latter was so harsh in its terms as to be condemned by Jay and other

generously and freely, yet never lavishly, in many grudgingly. The stress of appeal was in all cases laid upon the past pledges of government, whose officials must often have had occasion to apprehend the force of that test of righteousness, "the swearing to one's hurt and changing not." A candid judgment on the course of the government will be that it made a generous effort, and at considerable outlay, to redeem its pledge, but was shamefully baffled into failure at last, with a partial excuse from necessity and impracticability.

We have to distinguish two methods in the course of the British government. One method was in the relief which it furnished while the war was in progress, the other in the provisions made in their behalf after the end came. While the war was in progress all things were involved in uncertainty, all arrangements were temporary. Till very near its close, government, at least, contemplated its own triumph. Of course, therefore, the expectation was that the refugees would, sooner or later, return to their homes, reclaim their rights, and be reinstated in their

property. Their necessities were supposed to be temporary, and so were at first met by grants of money for only a quarter of a year. From time to time, as demands increased, there was a readjustment of the scale for individuals. A scare would run through the pensioners of a proposed reduction. Then there would be a new crowding to the treasury, a few fortunate individuals backing their appeals with some favored one behind them. When the independence of the colonies was ratified, some more permanent and comprehensive measures were necessary. As this emergency came, a reckoning was had as to the outlay which had been made from the treasury up to the autumn of 1782 for the temporary aid of the exiles. The number appearing on the list of pensioners at that time was 315, and the amount bestowed was £34,605 sterling.

It is to be remembered that this arrangement was made before any view was had towards the conclusion of a peace. The allowances made to refugees had come to be felt as burdensome, dispensed by no well-arranged system, and de-

Whigs, and was subsequently softened. Van Schaack sailed for England in October, 1778, and returned home in July, 1785, where he was reunited to his three young children. He was wholly unmolested, and was kindly received by old friends, but was never chosen to office, occupying himself with the law and the training of many pupils. He was a thorough classical scholar and a courteous gentleman. During his six years' exile in England he made a happy and diligent use of his social and professional opportunities. He never sought any patronage or reparation from the English government, but concerned himself in befriending his fellow-exiles. His eyes were opened to the corruptions of government, and he ceased to hold his former charitable opinion that the ministry, though well-intentioned, were simply ill-advised. Only the horrors of civil war made him welcome the full acknowledgment of his country's independence. He enjoyed a peaceful and honored old age, dying when eighty-two. Nothing in this volume is more engaging than the charming correspondence of its subject with the magnanimous and noble-souled John Jay, who was the firmest of patriots, while wisely faithful as a friend.

[Joseph Galloway joined the London circle in the latter part of 1778, and became conspicuous for his examination, the next year, before Parliament. An account of this *Examination* (June, 1779) was printed (London, 1779), and it has been edited (Philadelphia, 1855) by Thomas Balch for the Seventy-Six Society. Jones (ii. 106) expresses regarding Galloway the views of those who did not remember with complacency his early Whig alliances. (Cf. Sabine, i. 453.) A considerable number of letters written to Galloway in 1778-79 by his Tory friends in America are printed in the *Hist. Mag.* (v. 271, 295, 335, 359; vi. 177, 204, 237), and indicate how satisfactory stories of the patriots' discomfitures were constantly reaching London. Galloway was a vigorous pamphleteer; and in such tracts as *Letters to a Nobleman on the Conduct of the War*, which passed through several editions, he earnestly represents that the colonies could have been subdued by competent generals, acting with that loyal majority of the people which actually existed, as he always held. Other pamphlets of his, in which, with his usual vigor, he expressed these and similar views, were *Cool Thoughts on the Consequences to Great Britain of American Independence* (1780); *Letters from Cicero [Galloway] to Catiline the Second [Fox], with Corrections and Explanatory Notes* (1781), a fierce onslaught on the opposition; *Fabricius, or Letters to the People of Great Britain on the Absurdity and Mischief of Defensive Operations only in the American War* (1782); and *Political Reflections on the late Colonial Governments* (London, 1783).

There were various meetings of the loyalists in London in July and August, 1776, of which the MS. records are noted among the Chalmers Papers in Thorpe's *Catal. Supplement* (London, 1843, no. 629). There are copies of them, with the names of those present and their address to the king, in the *Sparks MSS.*, no. liii. About the same time the results of a meeting in Newport, R. I., was published as follows: *Declaration and Address of his Majesty's Loyal Associated Refugees, assembled at Newport, Rhode Island* (New York, Livingston, 1776). This was reprinted in London, with some omissions, in 1782, when the editor said that the original edition had a very extensive circulation through the colonies, notwithstanding the endeavors of Congress to suppress it (Rich. *Bib. Amer. Nova*, London, 1835, p. 305). An interview of a Pennsylvania loyalist, Samuel Shoemaker, with the king is described in the *Penn. Mag. of Hist.*, ii. 35.—ED.]

cided often on partial grounds of individual patronage. The Board of Treasury, at the nomination of Lord Shelburne, appointed two members of Parliament a committee "To inquire into the cases of all the American sufferers, both of those who already derive assistance from the Publick, and of those who were claiming it." The commissioners, in the final statement which they made in the beginning of the year 1783, say that they had summoned before them all the 315 beneficiaries on the list, and that they had kept in abeyance the claims of 50 of them who did not appear. The list was further reduced by dropping from it the names of 25 more, who did not answer to the description of persons intended for such relief. Of the 234 persons left, 90 had reduced and 10 increased allowances. Up to the June following more names had been added to the list, and the amount divided was £45,245.

It was now, when a very large increase of the burden was to be looked for, that government began very naturally to contemplate how it could be relieved. Of course the suggestion would present itself whether it could not wholly or in part be shifted on the enfranchised colonies, so far at least as their sense of justice might mollify their animosity. The final abandonment of the sufferers by the British government has been reproached in the severest terms as ungrateful, and involving the meanest breach of plighted faith. The government was charged with abandoning them in the stipulations of the treaty, and then, as a poor alternative, making but grudging doles to some of them afterwards in a way humiliating to

them. What grounds there may have been for these charges, which found their notoriety in a batch of indignant pamphlets and satirical broadsides,¹ must be left for impartial judgment after an array of the facts. As has just been said, the British government had reason for keeping this subject in mind in the negotiations for the peace, so it was not to be taken by surprise on the one hand, nor to be oblivious of its obligations on the other. Congress, as the other party, with its constituency, had also its own views about the exiled loyalists and their due retribution. The commissioners of both sides, meeting in Paris, had received instructions for dealing with this subject, but these instructions were radically discordant. The British commissioners repeated the obligations under which their government lay to the loyalists, and required that stipulations should be made accordingly. Congress had instructed its agents to make no engagements to remunerate the loyalists, unless balanced by a covenant of the British government to make reparation for all the property destroyed by its soldiers and agents here.² Messages passed frequently between Paris and London on this critical question. It is agreed on all sides that the American commissioners exercised more acuteness and calm resolve, while their associates were timid and yielding. The result reached would seem to confirm this judgment.

The assumption by the ministry on this question appears in the following, in the instructions of Lord Shelburne to Commissioner Oswald in

¹ [These writings and others that followed extended over a long series of years: *Observations on the peace and its effects on the Loyalists*, March 5, 1783, — among the Van Schaack papers in the *Sparks MSS.*, no. 1x.; *Observations on the fifth article of the Treaty of Peace, and on a judicial inquiry into the merits and losses of the American Loyalists*. Printed by order of their agents (London, 1783); *Directions to the American Loyalists in order to enable them to state their cases, by a Loyalist* (London, 1783); *The case and claim of the American Loyalists impartially stated*. Printed by order of their agents (London, 1783). A broadside *Summary Case of the American Loyalists* is given in *Jones*, ii. 647; Joshua King's *Thoughts on the difficulties and distresses in which the peace of 1783 have involved the people of England* (London, 1783, six eds.; Sabin, ix. 487). Various papers are in the *Sparks MSS.* (no. v. — 1784-88), formerly belonging to George Chalmers. Some of the loyalists of the Southern States fled to Florida, and at the peace were forced by the Spaniards to leave the country. They then employed Mr. John Cruden, president of the Assembly of United Loyalists, and lately the commissioner of sequestered estates in Florida, to attend to their interests, and he printed at London, in 1785, *An address to the Loyal part of the British Empire*, in their behalf (Sabin, v. 17,720). In 1780, the *Laws of the State of New York in force against Loyalists* were reprinted in London (Sabin, x. 30,417). In 1787, James De Lancey petitioned Parliament against the cause of the commissioners (Sabine, 1st ed., p. 246, wrongly dated 1778, and followed by De Lancey in *Jones*, ii. 637). Franklin (*Works*, x. 324) was doubting why Parliament should relieve the king of the indemnification he owed the loyalists. Galloway, in 1788, issued *The claim of the American Loyalists renewed and maintained upon incontrovertible principles of law and justice*. In 1780, there was published in London an *Abstract of the laws of the American States now in force relative to debts due to Loyalists subjects of Great Britain*. As late as 1816, we find the *Case of the uncompensated American Loyalists as laid before Parliament*. — Ed.]

² In a very forcible letter written to Dr. Franklin by Robert R. Livingston, from Philadelphia, Jan. 7, 1782, he intimates that Great Britain will intercede "in favor of their American partisans who have been banished the country, or whose property has been forfeited." He speaks of the danger and inequity of any such leniency, and adds that it would cause general dissatisfaction and tumults here, where there were so many bitter remembrances of them (*Sparks's Franklin*, ix. 130).

May, 1782: "That an establishment for the loyalists must always be on Mr. Oswald's mind, as it is uppermost in Lord Shelburne's, besides other steps in their favor to influence the several States to agree to a fair restoration or compensation for whatever confiscations have taken place." The remarks which Dr. Franklin wrote down, on reading those words, substantially cover the views which he and, as he believed, his countrymen had on the subject: "As to the loyalists, I repeated what I had said to him when first aere, that their estates had been confiscated by the laws made in particular States where the delinquents had resided, and not by any law of Congress, who, indeed, had no power either to make such laws, or to repeal them, or to dispense with them, and therefore could give no power to their commissioners to treat of a restoration for those people; that it was an affair appertaining to each State; that if there were justice in compensating them, it must be due from England rather than America;¹ but, in my opinion, England was not under any great obligations to them, because it was by their misrepresentations and bad counsels she had been drawn into this miserable war. And that if an account was to be brought against us for their losses, we should more than balance it by an account of the ravages they had committed all along the coasts of America." Dr. Franklin adds: "Mr. Oswald agreed to the reasonableness of all this, and said he had, before he came away, told the minister that he thought no recompense to those people was to be expected from us."²

The fifth article of the treaty was in these words:—

"That Congress should earnestly recommend to the Legislatures of the several States to provide for

the restitution of all estates, rights, and properties which had been confiscated, belonging to *real British subjects*, and also of the estates, rights, and properties of persons resident in districts in the possession of his Majesty's arms, and who had not borne arms against the said States. And that persons of any other distinction should have liberty to go into any part of the said United States and there remain for twelve months, unmolested in their endeavours to obtain the restitution of their estates, which might have been confiscated; and that Congress should earnestly recommend to the several States a reconsideration and revision of all laws regarding the premises, so as to render said laws perfectly consistent, not only with justice and equity, but with that spirit of conciliation, which on the return of the blessedness of peace should universally prevail. And that Congress should also earnestly recommend to the several States that the estates of such last-mentioned persons should be restored to them, they refunding to the possessors the *bonâ fide* price which had been paid for the purchases after the confiscation.

"And it is agreed, That all persons who have any interest in confiscated Lands, either by Debts, Marriage Settlements, or otherwise, shall meet with no lawful Impediment in the Prosecution of their just Rights."

The sixth article of the treaty makes further interest in behalf of the loyalists, as follows:—

"That there shall be no future Confiscation made, nor any Prosecutions commenced against any Person or Persons, for or by Reason of the Part, which he or they may have taken in the present War; and that no Person shall on that Account, suffer any future Loss or Damage, either in his Person, Liberty, or Property; and that those who may be in Confinement on such Charges, at the time of the Ratification of the Treaty in America, shall be immediately set at Liberty, and the Prosecutions so commenced discontinued."³

¹ [The English historians have not been always as ready to see the bearings of the case as Massey (*England*, iii. 135), who says: "The claims of the loyalists were undeniable; but they were claims upon Great Britain, not upon the American States."—*Ed.*]

² Sparks's *Franklin*, vol. ix. 314, etc. Later on, in a letter to this commissioner, who had again proposed the subject, Dr. Franklin, under date of Nov. 26, 1782, states his views on the demand in behalf of the loyalists judicially, and with the utmost candor and decision. He also informs Mr. Oswald that Congress had been anticipating any measure having in view the relief of the loyalists, by an effort to reach some estimate of the mischief they had done here, with a view to offset their claims. Congress, in Sept., 1782, had resolved that their secretary of foreign affairs should obtain, for transmission to their agents abroad, "authentic returns of the slaves and other property which have been carried off or destroyed in the course of the war by the enemy," and that the Assembly of Pennsylvania had passed a bill for pursuing the inquiry. The calmness, fullness, and force of this long letter of Franklin might of itself have precluded any further entertaining of the subject. The burning of Charlestown, of Falmouth, of Norfolk, of New London, of Fairfield, of Esopus, etc., and of hundreds of barns, with the ravages of territory for hundreds of miles, would have swollen to an account which Britain would shrink from facing. (Sparks's *Franklin*, ix. 426-433, 440. Cf. Wells's *Sam. Adams*, iii. 182.)

[This asking of the United States to compensate the loyalists seems to have been matched in effrontery, if Curwen (p. 428) be believed, by the mission, after the peace, of Nathaniel Gorham, of Charlestown, Mass., to London, "to obtain a benevolence for the sufferers at the destruction of that town, June 17, 1773, by the king's troops."—*Ed.*]

³ The *Ed.* is given in Jones, ii. 664, and elsewhere. In the third volume of the *Life of William, Earl of Shelburne*, etc., by Lord Edmond Fitzmaurice (London, 1876), will be found very important information of the

It thus appears that the British commissioners committed their government to a stipulation that the demands on its late colonies for reparation to the loyalists should rest with Congress, "earnestly recommending" measures of relief to the legislatures of the thirteen States. This was the only alternative to the direct assumption by Congress of obligations which the commissioners frankly affirmed did not belong to and could not be assumed by Congress. Dr. Franklin felt assured, and privately at the time avowed his belief, that this "recommending" of Congress would prove a nullity. A pertinent question arises whether the British commissioners were cajoled or tricked by the ingenuity of their associates in this device. It is evident that they expected that the stipulation would be more effectual for the benefit of the loyalists than it proved to be. It is none the less true that when the wretched exiles in London learned that their wrecked fortunes rested only on so shadowy a prospect of relief, they felt themselves mocked and abandoned. Members of both houses of Parliament expressed their indignation at this breach of national honor.¹ But, on the other hand, Congress did not escape the lash of censure for what was charged as an evasion of a real obligation committed to it by its agents.

Congress did, in terms, make this "earnest recommendation" to the States.² But the futility of it came with a degree of surprise to the British government when lack of power to enforce it was assigned as the reason for its nullity. Nor is it wholly strange that among the reasons assigned by Britain for the retention of the Western posts so long after the agreement to surrender them was this of the stratagem played by Congress. The party which the British government had heretofore recognized had been, not legislatures, but Congress,—long an illegal, now a legal body. This, they could see, had been the effective agency of the rebellion. It was by the "recommendations" of Congress that resistance had been organized, that levies had been raised, generals and a board of war commissioned, loyalists outlawed; and by these same "recommendations" all public moneys had been gathered and a currency established. It was by this same "recommending" Congress that their commissioners were jointly negotiating with British commissioners a treaty of peace. How came this power of Congress through "recommendations," which had since 1774 been supreme, to have become utterly disabled by the triumph of its own cause in 1783?³

After Congress had ratified the Articles of

especial attention given by the British ministry to the claims of the loyalists. Lord Shelburne, afterwards Marquis of Lansdowne, as Secretary of State even before these negotiations were opened, had received a friendly and tentative letter from Dr. Franklin, in Paris. They had been long and intimately acquainted. Franklin had emphatically affirmed that he could make no covenant for the indemnification of the loyalists, since it was by the State governments, not by Congress, that the banishment and confiscation acts had taken effect. It is very evident, however, that, beside this avowed reason, Franklin's own private feelings put the loyalists wholly out of his sympathy, and that he was content to leave them to their fate. Shelburne did more than any other of the king's friends or opponents to persuade—we can hardly say to reconcile—the monarch to the recognition of the independence of the colonies. From first to last, Shelburne had most strenuously opposed the severance, and, even in the consummation, he believed and affirmed, as sadly and as plaintively as did the king, that the glory of Britain had been dealt a fatal blow. All the more earnest and persistent, therefore, was Shelburne to keep covenant with the wretched band of loyalists. This matter took precedence, in his mind, of all the other interests of the fisheries, of boundaries, and the cession of territory.

¹ Cf. Ryerson's *Loyalists of America*, ii. 150, 166; Lecky, iv. 285.

² [See Jones, ii. 242, 407, 669; Sparks's *Franklin*, ix. and x.; Fitzmaurice's *Shelburne*, iii. 245.—ED.]

³ It might have been called to remembrance, however, that intercession by Congress in behalf of Tories had not been as effective as some of its other appeals. When the British evacuated Philadelphia in 1778, Congress had made an urgent but vain appeal to its constituents to repeal some of their acts against the Tories, and to restore some confiscated property. Dr. Franklin, in his work as a negotiator, had proposed—perhaps loosely, as an article in the agreement—"that his Britannic Majesty will earnestly recommend it to his Parliament, to provide for and make a compensation to, etc.," all merchants, shopkeepers, slave-owners, farmers, etc., for all losses by the British troops (Sparks's *Franklin*, ix. 440). Mr. Adolphus gives frank expression of his mind on this point: "The Congress literally fulfilled the terms of the provisional articles, by voting a recommendation of the loyalists in the very words of the treaty: but the manner of this cold recommendation was essentially different from those ardent recommendations which in the beginning of the contest impelled the

Shelburne
1st Feb 1777

Peace, January 14, 1784, it made proclamation of its "recommendation," according to the fifth article. If the loyalists still remaining here had any expectations of relief from it, their patience was tried by the long delay in issuing it. Sir

Guy Carleton wrote earnestly to Congress and to the governor and legislature of New York, pressing for action in behalf of the loyalists, as the crowds of them on his hands to be provided for delayed his evacuation of the city.¹

colonists to war against the parent state. It was of course disregarded, and the care of providing for its meritorious objects devolved on the mother country" (*History of England, from the accession of King George the Third*, etc., by John Adolphus, iii. 387).

¹ A series of very interesting original documents is given in the *Manual of the City of New York*, 1870, pp. 772-845. In the correspondence following upon the preliminaries of peace between the British and the American commanders and other officials, the first conflict of opinion arose upon the clause in the seventh article which forbade the "carrying away negroes or other property of the American inhabitants." It was known that many fugitive slaves had already been removed, and that many more were likely to be. When Gen. Carleton was challenged on this point, he pleaded that large numbers of these runaways had been drawn to the city by the proclamations of his predecessors, and that protection had been pledged to them. He could not believe, nor would he suppose that Washington would assume, that the British negotiators would covenant that such slaves should be given up to their former masters to be punished, perhaps put to death. The only course he could pursue was to put the case, with name and former owner of each removed slave, on record for future settlement. He regarded these slaves as made free.

Another annoyance for the patriots, which aggravated the hostility to the loyalists and delayed the evacuation, was from another source. Very large numbers of persons and families, owning homes and other property in the city, had hurriedly abandoned them on its occupancy by the British, and had been wanderers for six years in the country around. They were, of course, impatient to return. Their houses and property were in possession of the lingering Tories, who, knowing that they must pass into exile, did not wish to leave empty-handed. Boards of commissioners were appointed by Carleton for settling debts and claims, and for preventing further outrages in the debilement or destruction of places of worship, etc. Full pardon was proclaimed to all Hessian deserters who would come in to the lines to be embarked. This was a shrewd device, for King George was answerable for them, at so much a head, to their petty princes. Meetings of loyalists were called by agents and shipmasters, to arrange for transportation according to their preferences of destination, and the journals record their departure in bodies of thousands. Benevolent people made contributions for the most destitute. Still, the evacuation being delayed month after month, impatience and rancor increased. Elias Boudinot wrote from Philadelphia to Franklin, in Paris, in June, 1783: "You will receive herewith a number of our late newspapers, in which are inserted many resolves, associations, etc., from all parts of the country, which I earnestly wish could be kept out of sight. But the truth is, that the cruelties, ravages, and barbarisms of the refugees and loyalists have left the people so sore that it is not yet time for them to exercise their good sense and cooler judgment. And that cannot take place while the citizens of New York are kept out of their city, and despoiled daily of their property, by the sending off their negroes by hundreds in the face of the treaty." Carleton wrote to Boudinot, in August, 1783: "The violence in the Americans, which broke out soon after the cessation of hostilities, increased the number of their countrymen to look to me for escape from threatened destruction: but these terrors have of late been so considerably augmented that almost all within these lines conceive the safety of both their property and of their lives depend upon their being removed by me, which renders it impossible to say when the evacuation can be completed. Whether they have just ground to assert that there is either no government within your limits for common protection, or that it secretly favors the committees in the sovereignty they assume and are actually exercising, I shall not pretend to determine; but as the daily gazettes and publications furnish repeated proofs, not only of a disregard to the Articles of Peace, but as barbarous menaces from committees formed in various towns, cities, and districts, and even at Philadelphia, the very place which the Congress had chosen for their residence, I should show an indifference to the feelings of humanity, as well as to the honour and interest of the nation whom I serve, to leave any of the loyalists that are desirous to quit the country a prey to the violence they conceive they have so much cause to apprehend."

Washington reserved the expression of his private sentiments on "the violent policy" adopted against the loyalists, as it was not for him in his military character to dictate differently. The British began to realize that not only State legislatures, but towns and committees, recognized but slender functions in the Congress. The return of the transports that had carried off the loyalists had to be waited for in order that the army and its impedimenta might be removed. The American flag was not allowed to float in the harbor of New York. The confiscation acts which followed on the removal of the Tories, and the embarrassments thrown in the way of collecting debts and disposing of business affairs, bear evidence of the intense animosity and vengeful rage which had been inflamed by all these delays. Some Tories who had made themselves obnoxious, and who hoped to protect themselves from the grudges of merely private enemies, ventured to remain, seeking concealment and privacy, thinking the tempest would soon subside. These, according to their social station, met with degrees of rough treatment, occasionally protected by strong friends with good standing upon the popular side,

Not till after the evacuation did the proclamation of Congress reach the governors of the States, and through them the legislatures. But neither Congress nor the legislatures urged the "recommendation," or took any measures to enforce it. The coolness and indifference of the former body were admirably imitated in the latter bodies.¹

Two reasons of the greatest weight, under the time and circumstances, made an appeal in behalf of "more malignant and mischievous enemies of their country than its foreign invaders" a bitter provocation. First, their whole course was held in irritated remembrance. The patriots kept also in mind that the British government had itself, so to speak, created the Tories, before they had been recognized by the patriots; and it had assumed their cause and promised them protection, while in turn this assurance had made them arrogant. This state of feeling, opening the relations between the Tories and the patriots, ran on all through the stages of the strife, with its natural aggravations. There are no epithets in the language expressive of rage and detestation which were left unused in heaping scorn upon the Tories. Washington, in writing to his brother, John Augustine, about the thousand Tories which Howe took away with him from Boston in March, 1776, says that they had publicly declared "that if the most abject submission would have secured their peace they never would have stirred." In another letter the general wrote: "All those who took upon themselves the style and title of gov-

ernment men in Boston, in short, all those who have acted an unfriendly part in this great contest, have shipped themselves off in the same hurry, but under still greater disadvantages than the king's troops, being obliged to man their own vessels, as seamen enough could not be had for the king's transports, and submit to every hardship that can be conceived. One or two have done, what a great number ought to have done long ago, — committed suicide. By all accounts, there never existed a more miserable set of beings than these wretched creatures now are."² In an intercepted letter of John Adams, written in Amsterdam, Dec. 15, 1780,³ he says that the Tories, as he had recommended at first, should have been fined, imprisoned, and hanged. He adds: "I would have hanged my own brother had he taken a part with our enemy in the contest."⁴ Under such a state of feeling, no words need be added to show how inopportune was a "recommendation," however earnest, in their behalf, addressed to our legislatures.

Another reason of utmost force, to the same effect, existed in the condition of the colonists themselves at the close of hostilities. It would be difficult to exaggerate their exhaustion under the burden of debts and a worthless currency. Indeed, not from lack of patriotism, nor lack of the just regards of citizenship and respect for law, but in sheer bewilderment and desperation, the people in many places were in a state of anarchy, breaking into acts of rebellion which only methods of firmness and gentleness suppressed. To obtrude upon a people

more often the sport of groups of indignant patriots. John Jay, writing from Spain, strongly expressed his disapprobation of some of the severities of the confiscation acts. Within a few years these were relaxed, with degrees of favor in some individual cases, and to some extent in their general operation.

[Belknap writes, April 25, 1783 (*Belknap Papers*, 373 (61)): "I am sorry to see the fiery spirit against [the Tories] break out so suddenly in a Boston town-meeting, before Congress have performed the engagement of the treaty and the States have deliberated upon it." Some of the gentler feelings were also conspicuous in Theodore Sedgwick, Nathaniel Greene, Alexander Hamilton, and others. Cf. *Life of Timothy Pickering*, vol. ii.; McMaster's *United States*, i. ch. 2; Morse's *Hamilton*, i. 148. — ED.]

¹ [The proceedings in the New York Assembly are recorded in De Lancey's notes to Jones (ii. 492), and that editor calls them "nefarious" and done "in bad faith," and in violation of treaty obligations. The legislature would seem, however, to have reasoned honestly in making no optional restitution to loyalists as long as no restitution was even hinted at for the losses of the patriots. — ED.]

² *Works*, iii. 343.

³ *Annual Register*, 1780.

⁴ I do not know whether Mr. Adams ever denied the authenticity of that letter. In Elkanah Watson's *Memoirs of Men and Times of the Revolution*, he records that, spending a Sunday in Birmingham, during the war, with the refugee Judge Peter Oliver, of Massachusetts, the judge told him "that the American Tories and refugees in England dreaded Mr. Adams more than any or all other men in the world." Mr. Watson afterwards reported this remark to Mr. Adams, drawing from him a letter, dated Dec. 16, 1790, in which, while admitting substantially the strength of his feeling against the loyalists, he thinks his hatred of them may have been popularly exaggerated, as "there were some forged letters printed in my name in the London newspapers, breathing vengeance against that description of people, which was never in my feelings nor consistent with my principles" (p. 158). Mr. Watson was a guest in Birmingham of Mr. Green, brother-in-law to the Earl of Ferrers. He records that Mr. Green gave a supper to the Americans in the city. "There was about the board twenty-five besides myself, and I was the only avowed rebel in the group. It was agreed that they might talk tory, whilst I should be permitted to talk rebel." This was in the autumn of 1782.

thus burdened the claims of those who had been the allies of their foe was simply preposterous. It was urged, as a ground for some relaxation of bitterness, that in England those who had stood out against government suffered no indignities nor penalties. They had been sharply censorious, and even abusive, giving warm sympathy to rebels, while the friends of government here were so violently outraged. But the radical difference in the relation of parties lay in this: that the opposition in Parliament, having borne the temporary penalty for their free speech, came at last to represent the final judgment of the nation.¹

As soon as the exiles had satisfied themselves of the inevitable issue of the struggle, and even before the peace, many of them took measures, by correspondence and petitions, to prepare for their return and for some restoration of their rights and property. Those of them who had merely temporized, and then had timidly abandoned their homes, might hope for a degree of leniency. Many of these exiles were parted from wife and children. Many of them had longing memories of happy homes and fair estates. There is much of pathos disclosed in the journal and letters of Gov. Hutchinson in England, filled with love and yearnings, and for a time with confidence of his return for peaceful days. He instructs his son, still remaining in Boston, about the construction in Milton of a family tomb, and the removal to it from a former resting-place of the remains of his wife. He wrote: "I had rather die in a little country farm-house in New England than in the best nobleman's seat in Old England." There is something pitious on many of the pages of poor old Judge

Curwen's journal in England, when he marks his recurring birthdays, with but rare letters from his wife at Salem. He lived in the country for a while on twenty guineas a year. His wife wrote to him in 1777 that she had been obliged to pay ten pounds sterling for a substitute for him in the American army. Glad was he in the same year to receive at the Treasury, through the interest of his friend and fellow-exile Judge Sewall, a present of a hundred pounds, and a pension of the same amount while the troubles lasted. Of the same melancholy tenor are the letters of Peter Van Schaack, a perfectly harmless refugee from New York, to his friend, the noble and sturdy patriot, John Jay. The pages, so embittered and vengeful, of the *History of New York during the Revolution*, by the refugee Judge Jones, have in them much more spicy matter.²

The plea of the more manly of the exiles rested on an avowal of principle. As their preferred designation of "loyalists" implied, they had simply stood for established law and order, as the only safeguard for all the rights of all the people. While British sovereignty and rule had sway here, they recognized it, for to oppose it was rebellion and anarchy. But when Britain yielded her authority, then the States rightfully acceded to it, and so the former recusants might now become equally loyal citizens. Against this plea stood the resolution of the stern and triumphant victors. They were in no mood for mercy. They had losses and burdens enough of their own to occupy their minds, and must leave the refugees, however penitent, to their own retribution.

Occasionally we meet discussions of this sub-

¹ Innumerable extracts might be quoted — many of them familiar by frequent repetition in our histories — from the speeches of opposition members, stopping short of treason in spirit and language, only under the protection of privilege. In the debate in the Commons, March 15, 1782, Onslow, representative from Guilford, accused the opposition leaders as the principal instruments in dis severing America from her allegiance to Great Britain. He said: "General Washington's army has been called by members of this House our army, and the cause of the rebels has been denominated the cause of freedom. Every support has been given the Americans, who have placed their confidence in the encouragement extended to them within these walls. Franklin and Laurens are here made the subjects of daily panegyric, and the weak parts of our interior government have been exposed or pointed out to the rebels. It has even been reported, and I believe it is true, that information has been transmitted from hence to the court of Versailles" (Wixall, ii. p. 228).

² [This book of Judge Jones is the most valuable expression which we have of the uncompromising spirit and unbalanced judgment of the over-ardent Tories. Jones was for awhile a prisoner in Connecticut, and wrote his narrative in England just after the close of the war. It remained unprinted till 1870, when it was issued in two stout octavos, with extended notes, by Edw. F. De Lancey, by the New York Hist. Society. The preface and introduction tell the story of the transmission of the manuscript. Judge Jones never returned to America, though the act of banishment affecting him was reversed in 1790 (*Hist. Mag.*, vol. ii.; Johnston's *Observations*, 57). The judge's temper, well expressed in the cynical countenance which in an engraving faces the title of his book, gains him no sympathy from Whig or Tory, both of whom he scolds and abuses. His implacable snarliness runs so often into irony, that we can hardly tell whether he writes what he means, or means what he writes. These characteristics seriously detract from the value of the narration as an historical authority. His assertions are sometimes too wild to be seriously considered; but Henry P. Johnston has thought it worth while to analyze his evidence in *Observations on Judge Jones's Loyalist history of the American revolution. How far is it an authority?* (New York, 1880.) — Ed.]

ject looking back to precedents after the close of a civil war. But perfect or even proximate parallelism is not found between our own and any other case. Least of all can we bring in for comparison or illustration the expulsion of the Moors from Spain, or of the Huguenots from France. With somewhat more of relevancy in the debates on the provisional articles, the conduct of Philip III of Spain was often made a ground of censure by its contrast with the action of the British ministry in failing to secure immunity for the loyalists.¹

In view of the tentative movements of the refugees for leave to return and for restitution, the assembly of Virginia, before the ratification of the treaty, had unanimously resolved "that all demands or requests of the British court for the restoration of property confiscated by the States were wholly impossible; and that their delegates should be instructed to move Congress that they should direct the deputies for adjusting peace not to agree to any such restitution." The New York assembly resolved: "That as, on the one hand, the scales of justice do not require, so, on the other, the public tranquillity will not permit, that such adherents who have been attainted should be restored to the rights of citizens; and that there can be no reason for restoring property which has been confiscated or forfeited."

The most unrelenting of all the foes to the loyalists was Sam. Adams, of Massachusetts. In 1778 the assembly was found to contain some members of a tolerant spirit, if not even of Tory proclivities. Through the solicitation made by some prominent refugees from Boston, who had been carried by Gen. Howe to Halifax at the evacuation of the town, that they might be allowed to return, the subject came before the assembly. Adams sturdily resisted any such indulgence to men who "had deserted the cause of liberty in her hour of greatest need." He hated not only their principles, but also their "laxity of manners." In 1780, he procured

that advice should be given by Massachusetts against any leniency to Tories in adjacent States. So when the "recommendation" in favor of the loyalists came before the assembly, Mr. Adams was unyielding in withholding from the Tories any rights of citizenship or restitution. In 1784, when passions were somewhat cooled, he acquiesced in some mitigation of severities in the acts of confiscation of individual estates.²

It is curious to note that while the fifth article in the treaty had a specious look of weight, as soon as the knowledge of it came abroad it was taken for exactly what it proved to be, a mere nullity. It is to the honor of the realm that its inadequacy, its meanness even, was indignantly and contemptuously exposed by high-minded men in both Houses of Parliament, who spared no rebuke or invective against the ministry and their agents for this affront to the honor of the realm, in the sacrifice of the most injured class of its subjects. The loyalists, in the appeal they had now to make in their own behalf, could not have had a better ground or a more cogent reinforcement than they found in the remonstrances and appeals of their sympathizers in Parliament. In the House of Commons, Mr. Wilberforce, Lord North, Lord Mulgrave, Mr. Burke, Mr. Sheridan, Mr. Norton, Sir Peter Furrell, Sir W. Bootele, and Mr. Macdonald; and in the House of Lords, Lords Walshingham, Townshend, Stormont, Sackville, and Loughborough, exhausted the vocabulary of contempt and humiliation on the nation's breach of honor, and of commiseration for its wretched victims.³ Feeble and spiritless were the rejoinders of the ministry to these invectives. Lord Shelburne admitted that the loyalists had been thus weakly left to a very slender chance of relief "from the unhappy necessity of public affairs, which induced the extremity of submitting the fate of their property to the discretion of their enemies." "I have but one answer to give the House," he said: "it is the answer I gave my own bleeding heart. A part must be wounded

¹ Philip, on concluding a truce with the United States of Holland in 1609, secured for his adherents the retention of their estates, which were afterwards confirmed to them and their heirs by the Treaty of Münster in 1648.

² Wells's *Sam. Adams*, vol. iii, pp. 48, 68. 181-2-3. [The mitigation of asperities towards the Tories which Hamilton sought to produce in New York was likewise aimed at by Patrick Henry in Virginia (M. C. Tyler's *Patrick Henry*, p. 258). Hamilton entered upon the defence of Tory clients, proceeded against by reinstated patriots, under the new Trespass Act, for having occupied houses in New York during the British occupation, and lent his aid, in a series of papers signed "Phocion," to mitigate the asperities of treatment dealt out to loyalists; and he got the better in argument of Isaac Ledyard, who replied as "Mentor." Cf. McMaster, ch. 2, on the treatment of the Tories after the war, with references particularly to newspapers. — ED.]

³ "What," said Lord North, "are not the claims of those who, in conformity to their allegiance, their cheerful obedience to the voice of Parliament, their confidence in the proclamation of our generals, invited under every assurance of military, parliamentary, political, and affectionate protection, espoused, with the hazard of their lives and the forfeiture of their properties, the cause of Great Britain?" (*Parliamentary History*, xxiii. 452.)

that the whole of the empire may not perish. If better terms could be had, think you, my lord, that I would not have embraced them? I had but the alternative either to accept the terms proposed or to continue the war."¹ This was at least frank and manly. But the lord chancellor, making his own honor the test of the good faith of others, professed to believe that the "recommendation" from Congress would be effective for the loyalists. The alternative would be that "Parliament could take cognizance of their case, and impart to each suffering individual that relief which reason, perhaps policy, certainly virtue and religion, required." Here was a direct intimation of the burden or the duty which was now to be assumed by the nation. Lord Shelburne put the argument of thrift and economy, that "without one drop of blood spilt, and without one fifth of the expense of one year's campaign, happiness and ease can be given to the loyalists in as ample a manner as these blessings were ever in their enjoyment." Here, plainly, compensation was suggested. How far short it came of full restitution the event will show.

That whatever relief the loyalists were to receive must come from the British government, was soon put beyond all doubt by the return to England of some disappointed and embittered refugees, who had gone to America to secure the restitution of their estates. Imprisonment and banishment were the alternatives presented to them. Parliament was thus made to realize the folly of sending the abandoned loyalists to seek redress of exultant America. Parliament had not covenanted that she would compel the colonies to make good their losses, whatever might

be the result of the war. But government had pledged its good faith and its resources to that end. A point of casuistry was suggested. Parliament, it was said, had by treaty recognized irrevocably the independence of the colonies, but had not recognized the article abandoning the loyalists. By a majority of seventeen, a vote of censure was passed against the commissioners for assenting to that fifth article. The Earl of Shelburne resigned as prime minister, and three months of confusion followed before a new administration came in. It was urged that as government had closed the war for the subjection of the colonists, it might renew it again to secure the rights of the loyal subjects among them. It still held strongholds in America, New York, Charleston, Rhode Island, and the Penobscot. These England might retain, and, with her power on the sea, might hold a threatening position towards the States, which would compel some deference to her demands.² Indeed, there were many intelligent observers at the time, the sagacious Dr. Franklin being frank and earnest in uttering his own opinion on the subject, who did not regard the signing of the treaty of peace by Great Britain as carrying with it an assurance that her hostilities would cease. For reasons founded on this apprehension, Dr. Franklin thought it wise and safe to keep out of the country those hated sympathizers with its foes, who, if scattered over it, might be mischievous in their influence.³

Meanwhile the refugees in England vigorously took their interests into their own hands. They formed themselves into an association, and organized an agency of delegates, composed of one from each of the thirteen colonies, to communi-

¹ There was doubtless thorough sincerity as well as intense mortification in these and in many similar expressions of feeling by friends of the administration as well as by members of the opposition. In all the debates on the provisional articles of peace these expressions are most strongly toned. Burke predicted "the punishments which would be inflicted on the unhappy loyalists, deserted by us, and left under Lord Cornwallis's capitulation to the mercy of the Congress. Their slaughtered remains would be exposed on all the headlands." Lord Nugent said: "If his majesty's ministers have omitted any possible exertion in favor of those unfortunate men, no punishment can be adequate to their crime. Their blood alone can wipe away the stain inflicted on the honor of their country."

² That prolific and ingenious writer, Dr. Tucker, Dean of Gloucester, whose successive tracts, issued during the war, boldly advised that the best thing England could do was to rid herself of the colonies, leaving them to themselves, made a very remarkable proposal as the terms of peace were under discussion. One scheme in his *Plan of Pacification* was that the "Republican Americans" should have ceded to them nine of the provinces, while the other four, N. York, the two Carolinas, and Georgia, should be yielded to the loyalists. Britain should govern and protect these, as before, for ten years, after which they should be free to choose for themselves. Dean Tucker's *Cui Bono?* etc. (*Letters to M. Necker.*)

³ Dr. Franklin's anxiety was deeply engaged in France, at the close of 1783, by the distorted accounts in the newspapers of the dissensions which prevailed in the States, the altercations in town meetings, the backwardness in paying taxes, etc. He wrote as follows to the President of Congress: "With respect to the British court, we should, I think, be constantly upon our guard, and impress strongly upon our minds that, though it has made peace with us, it is not in truth reconciled either to us, or to its loss of us, but still flatters itself with hopes that some change in the affairs of Europe, or some disunion among ourselves, may afford them an opportunity of recovering their dominion, punishing those who have most offended, and securing our future dependence." (Sparks's *Franklin*, x. 38.)

cate by intelligence and petitions with the government. Meetings were held, the aid of the press was improved, and much sympathy was excited. Pamphlets and broadsides stated, with ability of argument and with stress of sentiment, the claims of those who had become dependants upon the nation's justice and benevolence. The arguments adduced by the writers were of great ability and were enforced by a manly spirit. They quoted precedents of cases similar to their own, but, as well they might, they committed their cause to the stoutness of their loyalty under long and very trying sufferings, sustained from the first by pledges of approval and support.¹

The proposal to Parliament to take action on the subject came from the king through what was known as the "Compensation Act," passed in July, 1783.² The bill brought in was "for appointing commissioners to inquire into the circumstances and former fortunes of such persons as are reduced to distress by the late unhappy dissensions in America." Some stress in the debate was laid on the fact that at this stage of the subject the purpose was one of inquiry, not of relief. After ascertaining who were entitled to such relief, subsequent action would be wisely guided. Mr. Fox, by the way, asserted that "he did not at all despair of the United States amply and completely fulfilling the fifth article of the Provisional Treaty." In order that "loyalty" might be the supreme test in the inquiry, the title of the bill, which was passed without opposition, or even debate, was changed for the following: "An Act appointing Commissioners to Enquire into the Losses and Services of all such Persons who have suffered in their Rights, Properties, and Professions during the late unhappy dissensions in America, in consequence of their Loyalty to his Majesty and Attachment to the British Government." The bill

hints at efforts still to be made by the king to secure restitution from the United States; it refers to the temporary aid which sufferers had been receiving from the civil list; proposes the appointment of five commissioners to make diligent and impartial inquiry into the case of each claimant, the commissioners and the claimants to be under oath; any of the latter making excessive or fraudulent demands to be liable to exclusion, and any who should corruptly give false evidence should be subject to legal pains and penalties. The time for receiving claims was limited to March 25, 1784, but was afterwards extended. The results reached by the commissioners, with the amounts of proposed grants, were to be reported to the commissioners of the Treasury, and paid by them, in no single case exceeding the sum of two thousand pounds. The commissioners sent for needed information to the agents of the loyalists and others of the class, and were in general gratified with the honor, veracity, and candor exhibited in the results; of course there were a few exceptions to this. Three supplementary acts extended the periods of the commission. The business was finally closed March 25, 1790. The commissioners seem to have been keen and thorough in their work. They sent an agent to the United States, who spent two years there in diligent inquiry and investigation of the whole subject. Up to Dec. 25, 1787, the whole number of claimants had been 2,994, to a gross amount, on the score of losses, of £7,067,858. For various reasons, 269 of the claimants were rejected; and the whole sum allowed was £1,887,548.³ In general the claimants received a little less than one third of their demands; to this there were but few exceptions. An agent of the loyalists petitioned, though without success, for information as to the reasons for this curtailment, which was a great grievance to the claimants.⁴

¹ The government acknowledged the force of the plea, and assumed its weighty responsibility. The measures adopted were, of course, not without a regard for caution and economy, but were not meanly controlled by such views. While it was realized from the first that full compensation was out of the question, and that imposition and extortionate demands would require some severity of process, it was magnanimously intended that a degree of generosity even, as well as a purpose of justice, should be shown towards those whom the nation had misled to their own ruin.

² 23 George III, ch. 80. The act is given in Jones, ii. 653.

³ [The minute-books, papers and proceedings of the American claim commissioners are in the Public Record Office, together with the reports of Stedman on claims for property destroyed during the American war. — Ed.]

⁴ Attendant upon the rigid method of inquisition pursued by the commissioners, in requiring that all the losses of property in the former American provinces which had been incurred by the refugees claiming compensation should be attested and certified by documentary evidence on oath, these claimants had a grievance, which to many of them was very severe and irritating. These losses came largely from the confiscation and sale of houses, farms, and other real estate, goods in warehouses, and debts due to them before the commencement of the troubles, from those who were on the rebel side. The refugees in England, to authenticate their claims, were compelled to open a correspondence with some relative or former friend remaining here, for the purpose of securing kindly aid in receiving the specification of their claims and informing the sufferers of the condition, the alienation, and the present possessors of their property. Where such friendly intervention could not be had, the appeal was necessarily to public authorities, to sheriffs, vendue-masters, etc., who would be quite

Three successive statements were prepared, classifying the loyalists and the nature of their losses, with their claims and the allowances.¹ The Penn family set their losses at near a million pounds. They received half that sum. Dr. Franklin's son, William, the last royal governor of New Jersey, besides a compensation of £1,800 for his losses, enjoyed a pension of £800 till his death in England in 1813. The commissioners must have listened to many a woful tale of sufferings which no money grant could redress. Pains were taken to discriminate the claimants into classes, as those who had been in arms or in service for Britain during the war; those who had been residing as refugees in England; those who, having once come under allegiance to the States, had renounced it for that of the king; and finally, those who, having served on the American side, had afterward served in the British army or navy. The high-minded among the claimants were very sensitive in basing their expectations upon simple right and justice, rather than appear as suppliants for charity or generosity. In all cases where it was possible, claimants had to appear in person, with witnesses, detailed statements, vouchers, and inventories, involving difficulties and delays. There were losses of houses, goods, debts, cattle, crops, wood and timber, and of

other possessions; there were starving families and dependants. The complaints at delays and protracted proceedings were grievous and endless. Agents in the United States, Nova Scotia, and Canada investigated the cases of those who were too poor to go to England. The returns from America were of 3,225 claimants for alleged gross losses of £10,358,415. Of these, nearly a thousand were withdrawn or refused, and the gross allowance was £3,033,091.² Half-pay to loyal provincial military officers, grants of land, and favors of patronage increased the boon. Mr. Adolphus, with some complacency, pronounces these compensatory provisions for the loyalists by the British government, "an unparalleled instance of magnanimity and justice in a nation which had expended nearly a hundred and sixteen millions in the war."³

Till quite recent years, historians and writers, in referring to the severities practised by the States towards the loyalists, in confiscating their estates and banishing them, often under the penalty of death, have expressed themselves strongly on the impolicy and folly of such a course. It was carefully estimated that these expatriated exiles exceeded thirty thousand in number. The far larger portion of them on this side of the ocean went to Nova Scotia, as then including New Brunswick, and to Canada.⁴ Very

likely to be lukewarm in such service. There are grievous complaints in the correspondence extant of many such sufferers, whose claims on the British commissioners were to be adjusted by the amount of the losses they could satisfactorily prove that they had incurred here. Some of them had handled in estimates, probably honest in their own judgment, of such losses, which far exceeded the sums to which the attested documents they could procure certified. They complained, consequently, of trickery, fraud, and gross injustice practised towards them here. The real value of their property was underestimated in the sworn invoices sent to them. It was for the interest of those who had purchased confiscated property to depreciate its value. Perishable goods were left out of the account. Fictitious claims were set up by alleged creditors, and debtors concealed or denied their obligations. In a valuable manuscript volume of letters and other papers relating to the family of Sylvester Gardiner, a rich refugee from Boston, belonging to Mrs. Romeo Elton, a descendant, are many documents of a very emphatic character, referring to the grievance in his case. Gardiner had a warehouse with a large collection of valuable drugs and medicines in Boston, which, on the evacuation of the town by the British, were appropriated to the use of the American army. "That thief Washington" is Gardiner's epithet for the rebel general.

¹ These may be found in one of the very elaborate notes by the editor, Edward F. De Lancey, of Judge's Jones's *History of New York during the Revolutionary War* (N. York, 1876), vol. ii. pp. 643-653. The editor credits his matter to a rare work entitled *Historical View of the Commission for Enquiring into the Losses, Services, and Claims of the American Loyalists at the Close of the War between Great Britain and her Colonies, in 1783: with an Account of the Compensation Granted them by Parliament in 1755 and 1758*. This work, published in London in 1815, was by John Eardley Wilmot, M. P., one of the commissioners. There is a copy in Harvard College Library.

² This is the estimate made by Wilmot. Lecky, who is careful in his statistical statements, says that "the claimants in England, Nova Scotia, New Brunswick, and Canada were 5,072, of whom 554 either withdrew or failed to establish their claims. Among the remainder about £3,110,000 was distributed" (*Hist. of Eng. in the XVIIIth Cent.*, vol. iv. p. 268. Cf. P. O. Hutchinson's *Gov. Hutchinson*, vol. ii.; and Jones, ii. p. 645).

³ *The Hist. of England*, etc., iii. p. 588.

⁴ There had been a considerable settlement made in Nova Scotia by emigrants from Massachusetts, chiefly from Essex County, beginning with 1764. Gov. Bernard had in that year sent out an exploring expedition, which reported favorably on the qualities of the land, etc. Sixty-five heads of families were granted locations in Sunbury County (Hathaway's *History of New Brunswick*). On the opening of the political strife in Massachusetts with Great Britain, these settlers in Nova Scotia, not yet weaned from the love of their native province, felt a strong sympathy with it, espoused its cause, and sought to put themselves under its

many of these were men of excellent education for high professional services. The civil courts soon organized in the provinces were presided over by men trained in our colleges, and classmates of some of our foremost patriots. Had they been treated, after the peace, with a degree of forbearance, and allowed to remain in or to return to their homes, they might have proved a valuable class in our communities, cautious and conservative in spirit, in helping us through the scething turmoils in the initiation of our government; whereas, as it used to be said, we had planted an hereditary enemy on our borders, with an entail of bitter animosities, to plot and work against us in any future distractions among us. The far-seeing Dr. Franklin, when first engaging his calm mind upon his work as commissioner, on the terms the United States should exact as conditions of peace, had determined to claim of Great Britain the cession to us of the whole of Canada. Strangely enough, his amiable and compliant friend, the British commissioner Oswald, seemed at first quite disposed to assent to the suggestion. Dr.

Franklin had in view the same sort of possible future annoyance to us from England's power close on our borders that was afterwards predicted from the settlements of Tories in the English provinces. These exiles organized an association of "United Empire Loyalists." In the first generation of them, they took pains to keep fresh the memory of their wrongs. They have left on record distressing narratives of the hardships they encountered in flight, in the sundering of family ties, in perilous wanderings in the wilderness, and in planting there their miserable cabins, as a new company of forlorn "pilgrims." It was not at all strange that these exiles in their stern miseries should visit their hate on the new republic and its citizens. So effectually did they do this that their children, trained in the same spirit, with the lament over lost inheritances, have perpetuated the old grudges through a considerable portion of this century.¹ But, happily, the time that has passed during which any considerable harm could have come to us from the entail of those old animosities, has taken from them all their bitterness.²

protection; but British authority soon established itself over them. A few trials for treason grew out of this sympathy (*Nova Scotia Hist. Soc. Coll.*, i. 110). A few of these people returned to New England (*Mass. Hist. Soc. Proc.*, iv. 358).

¹ The writer of these pages recalls a very significant incident bearing on this fact. Nearly half a century ago there was published in New York a large and creditable weekly newspaper, specially designed for circulation among English residents here and in the provinces, as its pages were devoted to foreign intelligence. An agent travelled extensively annually, to extend its circulation and to collect its dues. The generous publisher presented his subscribers from time to time a fine engraving. So he had furnished plates of the sovereign, the Duke of Wellington, Sir Robert Peel, etc.; then an engraving of Stuart's Washington went forth to subscribers. On calling at the house of one of these in the provinces, the agent was received by the proprietor with a torrent of opprobrious invectives and oaths, emphasized by the question, "Why did you dare to send me, as if to be hung up to poison the minds of my children, a picture of that — rebel?"

² In a volume bearing the title *Country Life in Canada Fifty Years ago*, by Canniff Haight (Toronto, 1885), we have a very agreeable account of the reminiscences of a sexagenarian, who was a grandson, on both sides, of refugees from New York after the peace. They settled in the first of the settlements in Upper Canada, near the Bay of Quinté, with other exiles, scattered over a wide wilderness. The writer faithfully portrays their hardships, not without an inheritance of grievances against the new republic for its harsh course towards the loyal subjects of the king; but, happily, his pages are more full of the triumphs of the industry and the virtues of the exiles in securing great prosperity for their descendants.

[The most extensive treatment of the experiences and fate of the loyalists who fled to Canada and the maritime provinces is to be found in Adolphus Egerton Ryerson's *Loyalists of America and their Times, from 1020 to 1870* (Toronto, 2d ed., 1880, in two vols.), in which he traces the development of the spirit of loyalty and the growth of the sentiment of independence and disloyalty from the beginnings of the New England colonies. His view of the founding of Upper Canada by the refugees begins at ch. 30. Speaking of this body, Viscount Bury (*Exodus of the Western Nations*, ii. 344) says: "It may safely be said that no portion of the British possessions ever received so noble an acquisition." See the histories of Canada, and particularly Canniff's *Upper Canada* (1869); George Bryce's *Short History of the Canadian People* (London, 1887, ch. 7); Lemoine's *Maple Leaves*, new series, pp. 127, 283. There are many papers relating to the United Empire Loyalists in the British war office (cf. Brymner's Reports); and the *Catal. of MSS. in Brit. Mus.* (1880), p. 225, shows another collection of papers. New Brunswick was the creation of these refugees. Cf. J. W. Lawrence's *Foot-prints, or Incidents in Early History of New Brunswick, 1753-1853* (St. John, N. B., 1883), and P. H. Smith's *Acadia*, p. 285, etc. An account of the New Hampshire loyalists settling at St. John is in *The Granite Monthly*, x. 100. There is among the king's maps in the British Museum (*Catal.*, ii. 101) a plan of the Passamaquoddy region, showing allotments made in 1784 to loyal emigrants and to members of disbanded military corps of loyalists. There is a letter of Carleton, dated New York, August, 1783 (*Mass. Hist. Soc. Proc.*, October, 1886, p. 74), in which he says he had ordered a spade and an axe to each of the soldiers who intended to settle in Nova Scotia. There are many references respecting the loyalists in

A celebration of the centennial of the settlement of Upper Canada by these exiles took place in 1884. At a meeting of the royal governor, Lord Dorchester, and the council, in Quebec, in November, 1789, in connection with the disposal of still unappropriated crown lands in the province, order was taken for the making and preserving of a registry of the names of all persons, with those of their sons and daughters, "who had adhered to the unity of the empire, and joined the royal standard in America before the treaty of separation in the year 1783." The official list contains the names of several thousands. It was by their descendants and representatives that the centennial occasion referred to was observed. There were, in fact, three celebrations of substantially the same events: one at Adolphustown, on June 16th, which was the date of the landing of loyalists at that point, in 1784; one at Toronto, on July 3d; and one at Niagara, on August 14th. This class of exiles are to be distinguished from those who went by sea to the maritime provinces. The former class had to endure severe hardships in journeys through the wilderness, some with pack-horses, a few driving their cattle, others by stream and lake. They could carry only sparsely any personal effects. Some bands passed to Canada by Whitehall, Lake Champlain, Ticonderoga, and

Plattsburg, then southward to Cornwall, ascending the St. Lawrence, and settling on the north bank. Others went from New Brunswick and Nova Scotia up the St. Lawrence to Sorel, where they wintered, going afterwards to Kingston. Most of the exiles ascended the Hudson to Albany, then by the Mohawk and Wood Creek to Oneida and Ontario lakes, by the Oswego River to Kingston and the Bay of Quinté. The portages over which they had to draw their boats and to carry their goods made up more than thirty miles. As these exiles had stood for the unity of the empire, they took the name of the "United Empire Loyalists." In the three celebrations to which reference is made, the lieutenant-governor of Toronto, the Hon. J. Beverly Robinson, by name and lineage suggestive of the tragic events on the Hudson, in the treason of Arnold and the execution of André, was the most conspicuous figure. Bishops of the English Church, civil and military officers, and lineal descendants of Indian chieftains of tribes in alliance with England during the war, contributed the oratory of the occasions. It was of the warmest and intensest loyalty to the crown and empire. The speakers gratefully and proudly commemorated their ancestors, and gloried in being their descendants and in maintaining their principles.¹

Nova Scotia in T. B. Akins's *List of MS. Docs. in the Government Offices in Halifax* (1886), pp. 23, 24, 26, 28. Cf. Murdock's *Nova Scotia*, vol. iii., and L. W. Champney in *Lippincott's Mag.*, xxvii. 301. The movements in the new province, which at one time it was proposed to call New Ireland, were not unaccompanied by some bickerings in the scramble for office among the leading loyalists (*Mass. Hist. Soc. Proc.*, October, 1886, p. 78).

Carleton, in New York, July 28, 1783, authorized Col. Robert Morse, chief of the royal engineers, to make a report on the condition of Nova Scotia (including the region now known as New Brunswick), and in the latter part of 1784 he prepared it. It is printed in Brymner's *Report on the Canadian Archives* (1884, p. x.vii); and he includes (p. xli) the returns of the population, which in these maritime provinces he puts at 42,747, divided as follows: old British inhabitants, 14,000; old French, 400; disbanded troops of the war and loyalists, 28,347, including 3,000 negroes. Brymner's reports also note (1881, p. 13) the memorial of the Cape Breton loyalists in 1785 (1883, p. 114); various papers respecting the loyalists in Canada, from vol. xxiv. of the Quebec series of papers in the Public Record Office (London). In the *Calendar of the Haldimand Papers*, p. 348, in the collection of "Letters to Ministers, 1782-84," there are various papers about the settling of loyalists in Canada. — Ed.]

¹ *The Centennial of the Settlement of Upper Canada by the United Empire Loyalists, 1784-1884. The Celebrations at Adolphustown, Toronto, and Niagara. With an Appendix, a List of the U. E. L.* (Toronto, 1883.)

[The only considerable monographic treatment of the history of the loyalists has been in Lorenzo Sabine's book, which he was induced to write, in the first instance, from living at Eastport, Me., where he came much in contact with the descendants of those refugees who found an asylum in the neighboring British provinces. When he published his first edition, *The American Loyalists, or Biographical Sketches of Adherents to the British Crown in the War of the Rev.: with a Preliminary Historical Essay* (Boston, 1847), little had been written with any precision on the subject, and he found scarcely anything in print to depend upon beyond the third volume of Hutchinson's *Mass. Bay* — that marvel of temperate recital under the pressure of natural resentment — and the journals of Van Schaack, Curwen, and Simcoe. Sabine in his revised edition changed the title to *Biographical Sketches of Loyalists of the Amer. Rev.* (Boston, 1864, — in two vols.) There are reviews of the book in the *N. Amer. Rev.*, by G. E. Ellis (vol. lxx.) and by C. C. Smith (vol. xcix.); in the *Christian Examiner*, by J. P. Dabney (vol. lliii.); and notices of Sabine's labors in this field in Duyckinck's *Cyclo. of Amer. Lit., Suppl.*, 61, in *Mass. Hist. Soc. Proc.* (xvii. 371), by E. E. Hale, and in *N. H. Hist. Soc. Coll.*, 1876-1884, p. 121, by C. H. Bell. Some data which will supplement Sabine's book are in W. S. Bartlett's *Frontier Missionary*. — Ed.]

CHAPTER III.

THE CONFEDERATION, 1781-1789.

BY JUSTIN WINSOR,

The Editor.

THE main interest of the period we are now considering consists in the two strands of the thread which is woven through all its events,—the growing perception of the inadequacy of the governmental functions of the Confederation, and the increasing desire for the formation of stronger administrative powers in a central department. Long before the Articles of Confederation became operative, in 1781, it had been apparent that no such immature method of government as was contemplated was going to make a nation which could be self-respecting, or even a league which had the power of self-preservation. None knew it better than Washington, who had so often found Congress incapable of supporting him with money or men; and what Washington knew, those who sometimes heedlessly and sometimes unwittingly hampered him knew just as well. Congress had, indeed, deteriorated very much from the time when the leading men of the country were in its councils. The best men seemed to prefer to serve their States at home, and Washington, with that sharp observation which his position gave him the chance to exercise, had for some time been commenting on this misfortune.¹ Frothingham² and others have pointed out how the letter of Hamilton, September 3, 1780, to James Duane,³ portrays in a masterly way the defects of the Confederation; and that writer refers to the criticisms on it in Rives's *Madison*,⁴ and to the lucid grouping of the evil practices of the States, as set forth in Madison's paper, "The Vices of the Political System of the United States."⁵ These early indications of the distrust of the unstable league of the States are also examined by Ban-

¹ Jefferson also was urging it upon the States to send "young statesmen" to Congress, to give them broader views for the coming time.

² *Rise of the Republic*, 588.

³ *Hamilton's Works*, i. 150. Cf. *Curtis's Constitution*, i. 351.

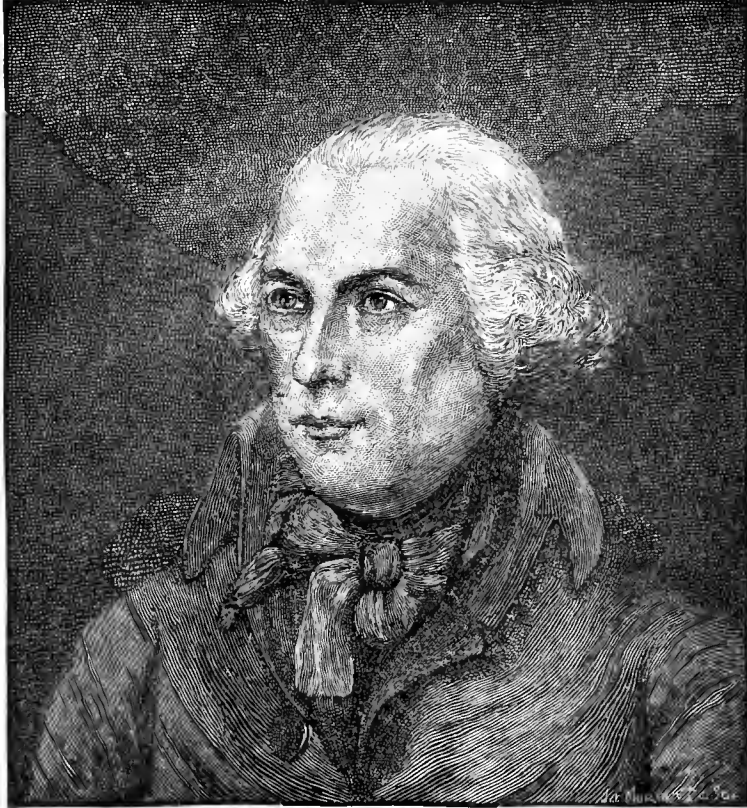
⁴ i. 306.

⁵ *Madison's Writings*, i. 320. There is an abstract of this paper in Rives's *Madison*, ii. 212. The Articles of Confederation, in addition to the places mentioned in Vol. VI. p. 274, can be found in *Secret Journals*, i.; *Lalor's Cyclopædia*; *Hough's Amer. Constitutions*; *Hickey's Consti-*

tutions; *Curtis's Constitution*, i. 509; *Lodge's Hamilton*, vol. ix.; *Lossing's United States*, 604; *Cooper and Fenton's Amer. Politics*; *Houghton's Amer. Politics*, 57; *Holmes's Parties*. Cf. the analysis in *The Federalist*, nos. 15-22; *Story's Commentary on the Constitution*, i. 209, 217; the theory of the articles in *John N. Pomeroy's Introd. to the Constitutional Law of the U. S.* (N. Y., 1868), p. 41; *Dr. J. H. McIlvaine in Princeton Review*, Oct., 1861. Cf. also *Blunt's Formation of the Confederacy*; *Sherman's Governmental History*; *Prince's Articles of Confederation*.

croft.¹ Life under the Articles was not, however, without some significant gain, in that at last the free inhabitants of any State had acquired the privileges and immunities of free citizens in every other State; while the bonds of religious disabilities had begun to be severed.² Bancroft³ says of the system, "A better one could not then have been accepted; but, with all its faults, it contained the elements for the evolution of a more perfect union."

In May, 1782, Congress sent committees to the States to set forth the desperate condition of the revenue, and New York, under the urgency of



JAMES MADISON.*

Hamilton and Schuyler, was the first to respond with a recommendation of a convention to revise the existing Articles of Confederation,⁴ and to plan methods of revenue; for already Vergennes was complaining that the States were making no adequate provision for meeting the obligations which they were still incurring in their European loans. Pennsylvania

¹ Final rev., vi. 10.

² Virginia reached the level of toleration without price in Jan., 1786, using the words of Jeffer-

son in proclaiming it, and the other States came or advanced gradually to this condition.

³ Orig. ed., ix. 450.

⁴ N. C. Fowle's *Constitution*, p. 337.

* After a likeness by C. W. Peale, in the rooms of the Long Island Historical Society.

was at the same time threatening, in fact, the dissolution of the Union through a purpose to appropriate the Continental moneys that it might raise. Little Rhode Island, with an obstinacy not wholly unselfish, and disproportioned to her importance, blocked the way to the establishment of a duty on imports. Virginia, having once acceded, now joined her in withdrawing her final assent. Richard Henry Lee was the champion of the retrocession, and it was against him and his followers that Washington and his associates in belief had the fight to make in the coming years.

Washington (March, 1783), from Newburgh, appealed to Governor Harrison, of Virginia, to institute some movement of salvation; and he told Congress, with great frankness and force, that action was not to be delayed in planning some way of securing substantial revenue.

The army, through a commission, asked Congress for justice and money. Congressmen paid themselves, but let the soldiers wait. The minister of finance saw no way, but, left to himself, apportioned a pittance to the soldiers, while Congress set to work wrangling over the ways and means.

Pelotiah Webster, in *A Dissertation on the Political Union and Constitution of the Thirteen United States of North America*,¹ started a discussion by his proposition to have a Congress of two houses, with heads of departments and a federal judiciary. The tract was simply one of those forerunners that are harbingers of a season when projects can ripen.²

On April 28, 1783, Congress appointed a committee to consider the resolutions of New York on the calling of a convention. Congress, on one pretext and another, put off the consideration of these New York resolutions. It bestirred itself enough to seek the advice of Washington as to a peace foundation for the army; but, after all, it had no money to put the plan in operation. When at last, in June, 1783, Washington issued a final appeal to the patriotism of the States, and urged the convoking of a constitutional convention, Hamilton took new heart, and introduced some resolutions into Congress, which proved as inoperative as ever.³

There was so little interest to secure the attendance of members of Congress that there was no time between October, 1783, and June, 1784, when nine States were in attendance, — the necessary quorum, — to act on the ratification of the treaty of peace.⁴

In November, 1784, Congress discarded an old rule of choosing its president from the several States in succession, and, as if to rebuke the rising demand for a new Constitution, put the most determined enemy of such

¹ Written Feb. 16, 1783, at Philadelphia. In his *Political Essays*, and published separately at the time.

² The tract of Noah Webster, two years later, was a more definite expression of the need of a stronger government, — *Sketches of American Policy*, — and Webster also claimed that it was the earliest public announcement of any such project. Cf. Horace E. Scudder's *Noah Webster*, ch. 5, on Webster's political writings.

³ Morse's *Hamilton*, ii. 158, and other lives of Hamilton.

⁴ Franklin's *Works*, x. 56. Referring to the neglect of the States to send representatives to Congress, Samuel Osgood wrote, in 1784: "It is cruel to the last degree in those States, which oblige us to waste our time and spend the money of our constituents, without being able to render them services equivalent." *Mass. Hist. Soc. Proc.*, v. 469.

a movement in its chair when it elected Richard Henry Lee; and Lee, turning to Massachusetts, sought with partial success to bring Gerry and

Richard Henry Lee

Samuel Adams to his way of thinking. The President was, however, better satisfied

when so powerful a State as New York finally arrayed herself on the conservative side, through a majority of her delegates.

It seemed as if no measure of reform could succeed, and the members of Congress turned with more or less passiveness to the current events. They heard from the eastward that Massachusetts¹ had asserted her right to expel dangerous aliens, which the loyalists understood. They busied themselves with exchanging compliments with Luzerne, who was preparing to return to France.² The British still occupied various posts along the northern frontier, and apparently had no intention of evacuating them; for they were a convenient hold on the States, to force them to remove the obstacles to the collection of British debts, which some of the States persisted in imposing,³ while Congress was powerless to prevent such action. Franklin, in his "Sending Felons to America" and his "Retort Courteous," gave some biting sarcasms upon the urgent haste of the British to be paid by people whose property they had destroyed.⁴ Congress had not yet awakened to the possibilities of British temporizing, and listened to reports on the evacuation of the posts,⁵ and amused themselves with marking out plans of organizing a force of seven hundred men, to be ready to occupy them on the marching out of the British.⁶ Baron Steuben told them, 'at the same time, how such things should be done.'⁷ Finally, as midsummer approached, in June, 1784, Congress adjourned, having appointed what they called a grand committee, or one from each State, to look after affairs till October, when Congress would reassemble. There was little to do but quarrel, and so the committee broke up in August,⁸ and left the country without a government, — not, under the circumstances, much of a deprivation.

There were two manifestations in 1784 which excited the popular interest, and drew men's minds from political perplexities. One was the tour of Lafayette, who, on a visit from France, was travelling through the country

¹ March 24, 1784.

² *Secret Journals*, iii. 500; *Journals*, iv. 495. Count de Moustier succeeded. See letter accrediting him, *Secret Journals*, iv. 423.

³ In Virginia, Richard Henry Lee urged the legislature to repeal such laws. Patrick Henry would not expunge them till the British government had made reparation for the slaves carried off, and his views prevailed.

One of the most important of the cases arising under the clause of the treaty providing for the payment of debts due British creditors was the case of Ware *versus* Hilton, argued by Marshall (Magruder's *Marshall*, 37), the State of Virginia having in lieu of the creditor received the money,

thereby absolving the debtor. Cf. Arthur St. Clair's report on the alleged infractions of the treaty by England, dated New York, April 13, 1787, in *Journals of Congress*, iv. 735-739, and Curtis on such infractions (*Constitution*, i. 249).

⁴ McMaster's *B. Franklin*, p. 243.

⁵ *Journals*, iv. 492.

⁶ *Journals*, iv. 438.

⁷ *Letter on the subject of an established militia, and military arrangements, addressed to the inhabitants of the United States, by Baron de Steuben* (New York, 1784).

⁸ *Journals of the Committee of the States: continuing the Proceedings from June, 1784-August, 1784* (Philad., 1784).

like a hero, as well he might; now paying his homage to Washington at Mount Vernon, now receiving civic honors here and there, and finally subjecting himself to the formal leave-taking of the grand committee of Congress.¹ The other expression was a general popular aversion to the new Society of the Cincinnati, turned largely against that hereditary principle of membership which was finally discarded. Pickering² saw in the whole movement of the officers who had organized the order something of the "pomposity" of Knox, as he called it; but it was the quality of heredity which was deemed dangerous, not the trappings of parade. Not only the ordinary citizen, but the leading civilians, filled the air with their apprehensions of such subversions of liberty as this principle was thought to portend. Knox picked up all the burning stories of dislike and wrote of them to Washington,³ and under their great master's guidance the society soon placed itself before the public in an attitude of less appalling menace,⁴ but not until the echo of the country's sentiment had come back from Europe in the sturdy phrases of John Adams and in the biting satire of Franklin.⁵

But the aspects of the public business could but make all thoughtful people turn, in their reflective moments, to the political conditions under which they were drifting—whither? Jeremy Belknap was despairing of the republic even in New England.⁶ People everywhere were feeling what Laboulaye in our day has expressed: "The new-born republic just missed dying in its cradle."⁷ Administrative business lodged in a committee with no authority to enforce its will, not even in the vital particulars of supporting an army and collecting revenue;⁸ power to make decisions between their own States and conventions with other States, but unaccompanied by any method of compelling attention to such acts; power to contract debts, and no power to pay them; all general policies of trade and commerce set selfishly at defiance by the several States, and Congress helpless,—all these conditions were scarcely promising. Emancipation from British control seemed destined to become little else than a carelessness of what might take its place. Congress had not the inherent dignity to allure states-

¹ *Secret Journals*, Dec. 9, 1784, iii. 512; Bancroft, final rev., vi. 127.

² *Life of Timothy Pickering*, i. 523.

³ Sparks's *Corresp. of the Rev.*, iv. 58.

⁴ Sparks's *Washington*, vol. ix.

⁵ *Franklin's Works*, x. 58. Cf. McMaster, i. 167. There was a strong feeling that the purpose of the Cincinnati was to coerce Congress into paying the debt due to the army, and that a hold on the treasury was somehow to be got by slipping members of the body into Congress (*Mass. Hist. Soc. Proc.*, v. 472). See enumeration of some of the publications evoked by the Society of the Cincinnati in the *Brinley Catal.*, iii. nos. 4,800, etc. A tract, *Considerations on the Society*, by Cassius [Ædanus Burke], was issued at Philad. in 1783; and on this tract Mirabeau based a paper, which in an English trans-

lation, with corrections by Burke and called also *Considerations*, was published in Philad. in 1786. For Jefferson's opposition, see his *Writings*, ix. 89. He says he communicated to Meusnier the ground of the charges made against the society in the *Encyclopædie Methodique*. See Vol. VI. 746.

⁶ *Belknap Papers*, i. 313.

⁷ *Études Morales et Politiques*. Some of the most observant of contemporaries and the carefullest of our students have considered that this period was fuller of hazard than the period of the war. Cf. Marshall's *Washington*, ii. 107; Trescot, *Diplom. Hist.*, p. 9; Story, *Constitution*, i. sect. 249; Von Holst, Eng. tr., i. 38; Curtis, *Constitution*, i. 233; Madison's *Letters*, i. 320.

⁸ Not a quarter of the requisitions made on the States for money was paid.

men, nor did it offer temptations even for politicians. This or the other State was unabashed in the disregard of the executive committee's piteous appeals for money to meet its expenses. The money hoarded in an old woman's stocking was a better credit than some of the States could finally offer. Whatever commerce existed was at the mercy of impudent pirates. Foreign nations preferred to plunder where they could not be resisted rather than make treaties which one of the contracting powers could not enforce.¹ England looked on in wonder, and with a sort of revenge not unmixed with pettishness, that such a miserable shadow had frightened her into such a peace!² There were, moreover, preconceived and old-time notions to be overcome. It was a common observation that the country was too large for a successful republic, for there was little idea then of what steam and electricity were destined to accomplish in annihilating time and distance,—those two great drawbacks to effective government over large areas, and the chief promoters of those local prejudices which repel all processes of general assimilation. Neither was political wisdom advanced enough in all circles to mark the force of Madison's reasoning, that "as a limited monarchy tempers the evils of an absolute one, so an extensive republic meliorates the administration of a smaller republic." The fact was that Congress, before 1781, with no defined powers, stretching what it had as it could, was stronger than it became when those powers were defined under the Confederation. Congress had more intimate control of the navy than of the army, as the naval power might not threaten the civil so readily as an army could; but it was powerless to make the States build the frigates which it desired. To escape from this mockery of a constitution it was necessary that all the States should agree, and any five States³ could stand in the way of all-important movements which temporary considerations prompted the discontented States to avert.

Time and again Congress roused itself to do something, but its efforts only the more marked it to be what Randolph, some time later, called "a government of supplication." Supplication might suffice, in a measure, with the aid of influence, but, as Washington said, "influence is not government." Now and then a reactionary spirit led to wild talk,—talk of a king, talk of breaking into separate confederacies, and, with it, talk of indifference,—anything for quiet and happiness. It could hardly be otherwise. The natural outcome of the violent assumption of state-rights—such as Arthur Lee, who had muddled our diplomacy in Europe, was now advancing in Virginia, and Samuel Adams was contending for in Massachusetts

¹ Cf., on the nature and powers of the Confederation, Curtis's *Constitution*, i. 142; and on its decay and failure, *Ibid.* i. 328.

² "Britain will be long watching to recover what she has lost," wrote Franklin (*Works*, x. 87) to Charles Thomson, after the treaty had been concluded; and the history of the next

succeeding years abundantly proved his observation.

³ Virginia, with the support of John Adams, had contended actively, but unsuccessfully, against the smaller States in trying to secure the power to act, not by States, but by a count of votes proportionate to population (Bancroft, ix. 437).

—was not unlikely, as it seemed, to end in disintegration or something worse. The two sections, Northern and Southern, different as they were, were working out results that were independent.¹ The interest of the North in the fisheries was not shared by the South, and, as we shall see, the South took serious umbrage at the willingness of the North to secure their commercial advantage at the expense of the navigation of the Mississippi.²

Early in 1785 the commercial difficulties of the country produced action, both in Massachusetts and in Congress, that for the moment looked as if something might be done. In March, Monroe introduced into Congress a qualified measure looking to the federal regulation of commerce, but he was content not to hurry its consideration.³ When it came under debate, Richard Henry Lee, with his accustomed suavity and dignity, opposed it as destructive of liberty, and nothing further was to be hoped from such Virginians.⁴

In Massachusetts, Governor Bowdoin (May 31)⁵ urged upon the legislature the passage of resolutions recommending the calling of a convention to revise the Articles of Confederation in the interest of trade. The resolves were passed and sent to the representatives of the State in Congress, but Gerry and King presumed to withhold them, backed, it would seem,

¹ A letter of John Bacon (*Mass. Hist. Soc. Proc.*, March, 1862, p. 477) reflects the arguments of the North against the proposition to count five negroes as three persons, in the common adjustments between the North and South.

² The general picture of the Confederation, in all its weakness and despair at this time, has been often drawn, — perhaps not with greater fullness than by McMaster, in his first volume. Schouler, in his opening chapter (*United States*), gives it with precision, but in a more condensed way. Cf. also Bancroft, Hildreth, Curtis, and Story on *The Constitution: the Federalist*; Madison's notes of debates in *Elliot's Debates*, vol. v.; Pitkin's *United States*, ii.; Von Holst's *Const. Hist. U. S.*, Eng. tr., i. ch. 1; Marshall's *Washington*, i. 108; Fisher Ames's *Works*, ii. 370; Rives's *Madison*, ii.; Wells's *Samuel Adams*; Morse's *Hamilton*; the judicious view in Smyth's *Lectures on History*, vol. ii. There are other more popular expositions, like the account of the relations between the Congress and the States in G. W. Greene's *Hist. View of the Amer. Rev.*, and other representations in J. P. Thompson's *United States as a Nation*; a paper by John Fiske in the *Atlantic Monthly*, March, 1886; one by F. N. Thorpe in the *Mag. of American Hist.*, Aug., 1887.

The general tone of all these accounts is that of a chronicle of gloom. It is to be remarked, on the contrary, however, that when Franklin came back from Europe he seems to have been impressed with the prosperity of the country, or at least he assumed an air of cheerfulness with his foreign correspondents, as if to counteract

the impressions which the English press were assiduously giving of the dangerous decline of the States (*Franklin's Works*, x. 253, 277, 302). Not long after, in his *Consolation for America*, which appeared in the *American Museum* in Jan., 1787 (cf. McMaster, i. 427, for other references), Franklin reiterated his belief that the times were not so bad, after all, if there was no haste to be rich, if farmers were not eager to become tradespeople, and if there was no more spent in living than was necessary to comfort. In the *Hist. Mag.*, March, 1871, there is a letter by H. B. Dawson to J. L. Motley, in response to some statements of that historian in the *London Times* in 1861, in which most of the symptoms of content during the Confederation days, which could be gleaned, are grouped together to point an argument.

There is no doubt that the merchants had been importing English goods beyond ever the excessive requirements, with the consequent impoverishment of merchant and buyer. In 1784-85, the importations had amounted to \$30,000,000, while the exports were only \$9,000,000. Cf. C. H. Evans's *Exports, domestic and foreign, from the American colonies to Great Britain from 1607 to 1789, inclusive. — Exports, domestic, from the United States to all countries, from 1789 to 1883, inclusive* (Washington, 1884, — 48th Cong., 1st sess. *House. Mis. doc.* 49, pt. 2.)

³ Bancroft's *Constitution*; Sparks's *Washington*, ix. 502-7.

⁴ Cf. Rives's *Madison*, ii. 31.

⁵ Barry's *Mass.*, iii. 265; R. C. Winthrop's *Address on Bowdoin*.

by the sympathy of Samuel Adams, and the General Court did not venture to remonstrate.¹ John Adams,² in London, was at the same moment writing urgent letters to Jay, setting forth the impossibility of making any treaties with foreign powers till this control of commerce, in some efficient way, was given to Congress.³

Spain, prevented by the perception and alertness of Jay in getting that hold on the Mississippi Valley, in the treaty of peace, which she had long been intriguing to secure, was now become, as she had steadily purposed, an important factor in the complications of the policy of the young republic, and it was again to Jay, as secretary for foreign affairs, that the progress of the negotiations was entrusted. There had before this, come to be little hope of any successful commercial arrangement with Great Britain, for that power persisted in enforcing its navigation laws against the new aliens of the Confederation. British Orders in Council excluded American vessels from the West Indies; and American products, so long the purchasing power for the American people of all that the West Indies could give them, could only be carried thither in English bottoms.

Congress, importuned to counteract such restrictive acts, put Arthur Lee on a committee to consider them, and of course nothing was done.⁴

No sooner did the mercantile States and the shipping towns begin to feel the burdens of such and other restrictions, than the passion for retaliatory measures grew strong, and the individual States undertook to impose retaliatory duties on British commerce, each in its own way. Gouverneur Morris was sharp enough to see that any British overbearing would do America "more political good than commercial mischief."

The States found it not easy to frame such restrictive acts so as not to injure friend and foe alike; and France soon took occasion to complain of some of the disabilities under which her trade was put.⁵

When Don Diego Gardoqui, in July, 1785, arrived in Philadelphia⁶ as the accredited agent of the Spanish government, Jay thought there was an opportunity to bargain with Spain in a way to effect certain assured facilities of trade which Spain might offer in the Mediterranean and elsewhere,—which would please the merchants of the shipping colonies,⁷—and to secure exemptions from Spanish claims⁸ to lands in the Missis-

¹ *Life of Hamilton*, by Hamilton, ii. 353; *Boston Magazine*, 1785, p. 475.

² *Works*, viii. 273.

³ Upon the impotency of Congress as regards the regulating of imposts and the need of reform, see Curtis's *Constitution*, i. 271, 276; Pitkin's *United States*, ii. 225; Hildreth, iii. 450, 472; Marshall's *Washington*, v. 65; Irving's *Washington*, iv. 451; Wells's *S. Adams*, iii. 222; C. F. Adams's *John Adams*, i. 441; *Webster's Works*, i. 302; ii. 174; iv. 492, 494. Sparks gathered a number of the essential contemporary papers in the *Sparks MSS.*, ix. 501 *et seq.*

⁴ In 1784, before the country had come to un-

derstand the power of Great Britain in her restrictive navigation acts, there were many, as Samuel Osgood wrote in 1784, "who do not only not wish, but will use their endeavors that no [commercial] connection shall ever be formed" with Great Britain. *Mass. Hist. Soc. Proc.*, v. 469.

⁵ Tanguy de Laboissière's *Mémoire sur la Situation Commerciale de France avec les États Unis de l'Amérique, depuis 1775 jusqu'à 1795* (100 copies).

⁶ *Journals of Congress*, iv. 544.

⁷ Cf. letter of Rufus King in *Mass. Hist. Soc. Proc.*, ix. 10.

⁸ Lyman (*Diplomacy*, etc., i. 121, 2d ed.) says:

issippi Valley, included in the cessions of Great Britain — which might gratify the people of the Southern States, — all this at the cost of surrendering the navigation of the Mississippi to that power for a term of twenty-five years.¹

There was a startling effect when Jay disclosed this project to Congress, and it did not subside till the rush of events and the adoption of the Federal Constitution finally pushed the whole matter into temporary oblivion.² The proposition, when fresh, evoked violent opposition at the South, for it was looked upon as an attempt to sacrifice the Southern interests to the gain of the Northern merchants; ³ and all the while there was not a little suspicion that Spanish instigations were responsible for the raids upon the settlers along the Cumberland, of which reports were reaching Congress. It was not long before the blood of the new occupants along the Ohio banks was boiling, for news soon came that an American trading-boat had been seized at Natchez, and in retaliation some Spanish merchandise was taken possession of at Vincennes. Congress looked on in its impotency. In this state of feeling there was a new cause for alarm. If her people were to be subjected to Indian depredation, Georgia had no hesitancy in usurping powers that even rightfully belonged to Congress, when she would make treaties with the tribes along her borders; and even North Carolina and Virginia were not quite willing to trust the Confederation in such matters. Congress sat despondent, and saw even its rightful control slip away.

The feelings engendered by the propositions of Jay so gathered head, at one time, that it seemed probable an unbridled passion might force a disruption of the Union. It was then that, looking to the joint interests of Pennsylvania and Virginia, Monroe even counselled that in the last resort force might be applied to prevent the more northern of these two States casting in her lot with an Eastern confederation.⁴

It was under such strains of the public sentiment as these that Congress had been urging upon the States to grant to that body the right to lay a tax upon imports. The States had generally acceded to the proposition; but New York held out in opposition,⁵ quite content to levy her own tax both upon foreign commodities, as well as upon garden-truck from New Jersey and firewood from Connecticut. New Jersey tried to coerce her powerful neighbor by the revolutionary expedient of refusing to pay her

"There is now in the Department of State at Washington a copy of Mi[t]chel's map of North America on which the Count D'Aranda traced, in the presence of Mr. Jay at Paris, in the summer of '82, the boundaries of Spain, beginning at the confluence of the Ohio and the Kenhawah, and running round the western shores of Erie, Huron, Michigan, to Lake Superior, — including all the Western States."

¹ Rives's *Madison*, ii. 111, 594; Whitelock's *John Jay and his Times*, ch. 14; Jay's *Jay*; and *Secret Journals of Congress*, iv. 63-131, 296-301,

338; Curtis's *Constitution*, i. 316; Bancroft, v. 421; Hildreth, iii. 464; Albach's *Annals*, 457; Madison's *Letters*, i. 137, 158, 264; iv. 364.

² The dispute with Spain was finally settled in 1795 by treaty, when Spain ceded the territory in dispute.

³ Rives's *Madison*, ii. 122.

⁴ *Ibid.* ii. 125, 178.

⁵ Marshall (*Washington*, ii. 123) says that the veto of New York on the impost "virtually decreed the dissolution of the existing government."

federal taxes; and when, in her second soberer thought, she swerved from her purpose, she did scarcely better, in failing to make provision for the collection of such dues from the people.¹

But the way was preparing for relief, and the darker hour was to precede the dawn. In March, 1785, commissioners of Maryland and Virginia had



MOUNT VERNON IN WASHINGTON'S TIME.*

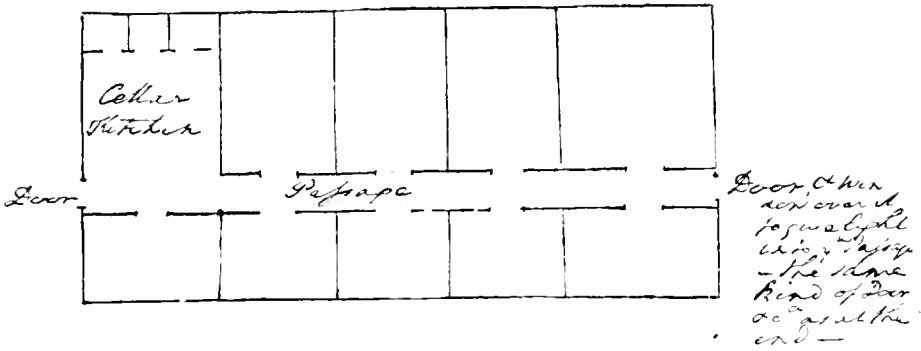
¹ Dr. Belknap, in 1786, picturing the hampered and imbecile condition of Congress under such tribulation, longed for some rousing publication like the *Farmer's letters* of 1768 (*Belknap Papers*,

in *Mass. Hist. Soc. Coll.*, i. 431) "We must be drove to our duty," he told Hazard, "and be taught by briars and thorns, as Gideon taught the men of Succoth."

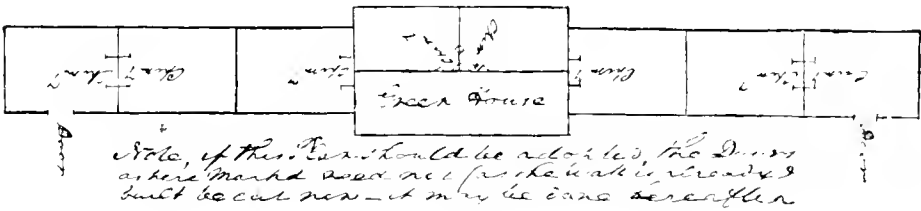
* From a plate in Isaac Weld's *Travels through No. Amer.*, 1795-1797, 4th ed., London, 1807, in 2 vols. There is a quarto ed., 1790, with the same plate. Cf. cut in Gay's *Pop. Hist. U. S.*, iv. 137. A paper on the "Home and Haunts of Washington" in the *Century*, Nov., 1887, gives a view of the entrance to the estate on the land side as it existed in Washington's time (p. 13), with views of the present condition of the estate. Cf. also Lossing's *Mount Vernon, the Home of Washington, its Associations, historical, biographical, and pictorial* (Hartford, 1870); *Philad. Library Bulletin*, July, 1883, p. 68; and references in *Pool's Index*. There is also an early view of Mount Veiron in W. Birch's *Country Seats of the United States* (Springland, near Bristol, Penna., 1808). A large colored view of the original tomb of Washington is in Hill and Shaw's *Views in America*, 1820. For the tomb in 1834 see *Amer. Mag.*, i. 105.

Mr. Samuel Vaughan visited Washington at Mount Vernon in 1787, and in his MS. journal (owned by Mr. Charles Deane) describes the general's daily life in superintending his estates, and gives a colored plan of the mansion grounds, correct in but one particular, as is pointed out by Washington in a letter to Mr. Vaughan, Nov. 12, 1787 (*Sparks's Washington*, ix. p. 281). There is a map of the farm in *Ibid.* xii. 316. The last plan which Washington made of his Mount Vernon lands, dated Sept. 20, 1799, was in the sale of Charles Thurber and others, N. Y., by Geo. A. Leavitt & Co., June, 1884, lot 1,083.

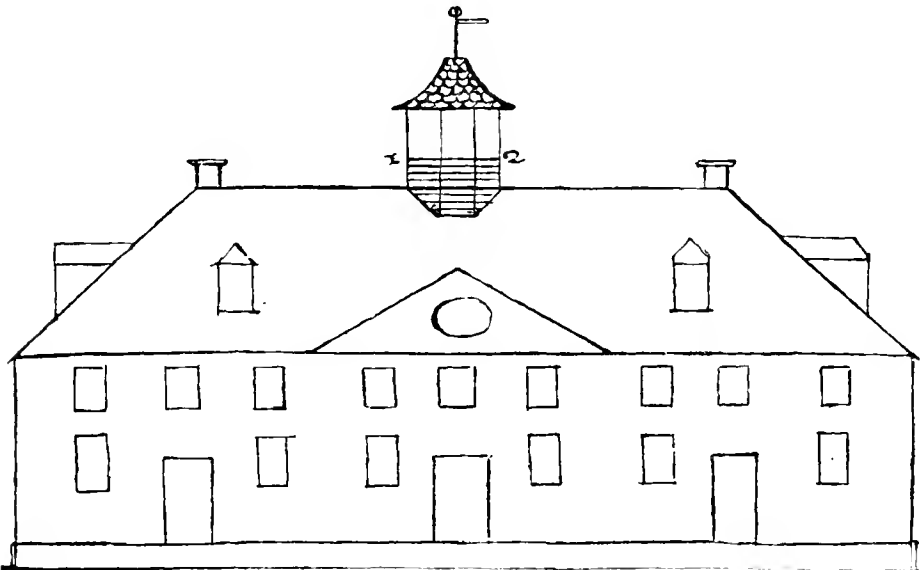
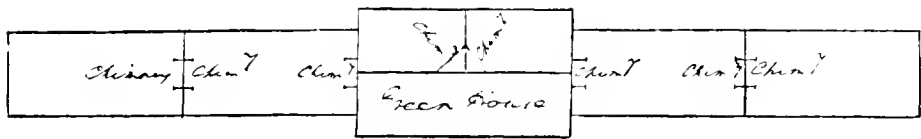
The drawings of alterations in the buildings at Mount Vernon, which Washington made after the war, and in accordance with these plans, are reproduced from his own drawings, but reduced in size, in the text. The originals were kindly put at my disposal by Mr. S. L. M. Barlow of New York.



Plan N^o 1

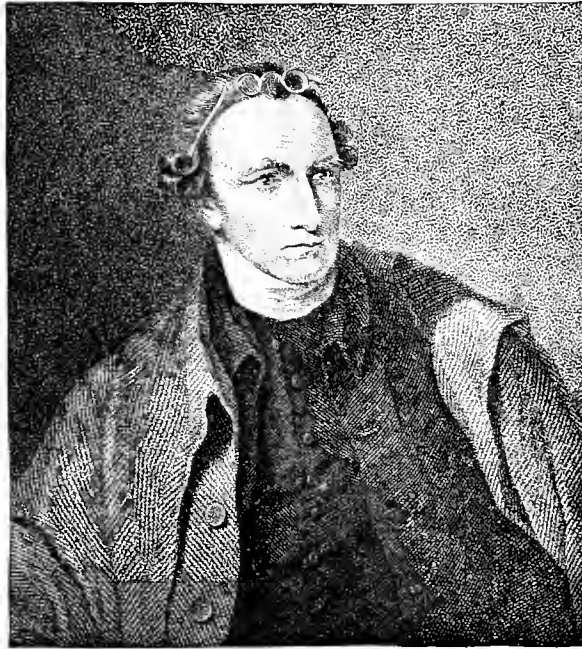


Plan N^o 2



met to arrange details about a joint use of the Potomac; and the discussions naturally led to the consideration of terms of commercial reciprocity between those States. The scope of such provisions grew in the minds of a few to include other if not all the States of the Union, and Madison was the main agent in giving force and direction to these views.

Accordingly, on the 21st of January, 1786, the legislature of Virginia resolved to invite the States to a general conference for enlarging the powers of Congress over trade. The federal body meanwhile discussed, but did not move. The convention¹ met in September at Annapolis. None but the Central States had thought it worth while to respond. Those who assembled felt they were too few for action, but determined to bring about, if possible, a more general attendance upon a convention to be held at Philadelphia in May, 1787, if all the States could be induced to be represented.



PATRICK HENRY.*

¹ For the report of the convention, see *American Museum*, i. ; Towle's *Constitution*, 341; *Madison's Works*, ii. 698. See, on the Annapolis convention, Elliot's *Debates*, i. 116; Curtis's *Constitution*, i. 346; Austin's *Gerry*, ii. 4; lives of Hamilton and Madison; Hamilton's *Works*, i. 432; ii. 336; Marshall's *Washington*, v. 97; Sparks's *Washington*, ix. 223, 513; Bancroft and Hildreth; Bradford's *Massachusetts*, 253; *No. Amer. Rev.*, Oct., 1827; *Worcester Mag.*, nos. 27, 28.

Rives (ii. 66, 98) claims for Madison the credit of making, in his motion for the Annapolis convention, the first real step forward toward the ultimate convention at Philadelphia (*Madison's Letters*, iii. 586). There is much room for variety of opinion on the immediate causes. H. B. Dawson (*Hist. Mag.*, Mar., 1871, p. 176) traces the "first effective moving cause, which led to the convention of 1787," to Gen. Malcolm's resolution in the New York Assembly, Feb. 17, 1787.

* After a print in the *Analectic Magazine*, Dec., 1817, from a painting by Sully, and engraved by Leney.

With this view a report was made to Congress. The delegation from Massachusetts prevented that body from approving it. Going back to his own State, Rufus King, assisted by Nathan Dane, convinced the legislature that there was no need of a convention, and that Congress could initiate all needful improvements in the Articles. Virginia acted more nobly. She was the earliest to agree to the project, and named Washington, Madison, Randolph, and Mason to be her representatives.¹ As State after State fell into line, King and Gerry, of Massachusetts, began to doubt, and then acceded to the winning side, offering a resolution in Congress, by which that body (February 21, 1787) appointed the same day and place for a convention, which was to be held for the same end, — an agreement which saved the pride of Congress, and did not frustrate the purposes of others.²

On the same day of the action of Congress (February 21, 1787), Massachusetts had at last chosen her delegates. It had been a severe lesson which brought her to this result, and the lesson was not lost upon the country at large. It is hardly necessary to consider a social ebullition, resulting in armed resistance, to have been abetted by emissaries of England, as was believed by some at the time.³ There were signs of its coming even before the close of the war, and very likely, as Rives⁴ suggests, there was something in the laws of Massachusetts that invited a revulsion in times like those which had come. The agrarian spirit, in one form and another,

¹ "I here acknowledge," said Mr. Webster in his speech on the Sub-Treasury in 1838 (*Works*, iv. 494), "the commonwealth of Virginia to be entitled to the honor of commencing the work of establishing the Constitution. The honor is hers. There is not a brighter jewel in the coronet that adorns her brow." We cannot over-appreciate the influence in this direction of that private citizen who was the most conspicuous of Americans. We cannot read the letters addressed by and to Washington, in the ninth volume of his *Writings* (Sparks's), without being impressed with his noble anxiety, and with a calmness of wisdom that never in his long career served his countrymen to better purpose. There is something elevating in the contemplation of the relief which the country felt when it was found that Washington would not decline, as he at first wished to do, the seat in the proposed convention to which Virginia had elected him. A sense of the value of his service at this crisis has been often expressed; but see Frothingham's *Rise of the Republic*, 586.

Almost equally fortunate was it that a younger man, in whom Washington could place the confidence which he bestowed upon Madison, stood ready with his large practical wisdom to help sustain the leading influence of Virginia in this hazardous conjunction. It is an additional satisfaction to know that we have left such a record of his thoughts as is found in the *Madison Papers*. Cf. Rives's *Madison*, ii. ch. 28; Towle,

Curtis, and Story on *The Constitution*. Rives (ii. 658) has shown that a paper thought by Sparks (ix. 521) to have possibly been the work of Washington was really that of Madison. Cf. Madison's *Letters*, i. 293; Curtis's *Constitution*, i. 200.

It is not without significance that at this juncture Patrick Henry refused to enroll himself among the supporters of Washington and Madison. Jefferson thought him time-serving; but the action of Jay had alarmed him, and convinced him of the danger which would accrue to the Southern States by giving to Congress, in which the Northern States might combine, more power than it now had. Thus resolutely refusing to fight the project within the convention, he prepared to assail its work in fashioning the public opinion of his State against any such consolidation of power. (M. C. Tyler's *Patrick Henry*, ch. 17.)

² The action of Congress in acceding to a call for a convention was held by many to be a necessary constitutional measure, if the meeting was not to be a revolutionary one. Washington held this view (Sparks, ix. 237). Cf., for the congressional call, Rives's *Madison*, ii. 181; Elliot's *Debates*, i. 119; Towle's *Constitution*, 345; Curtis's *Constitution*, i. 362.

³ *John Adams's Works*, viii. 420; Wells's *Sam. Adams*, iii. 226.

⁴ *Madison*, ii. 166.



Commonwealth of Massachusetts.

By HIS EXCELLENCY

James Bowdoin, Esq.

GOVERNOUR OF THE COMMONWEALTH OF
MASSACHUSETTS.

A Proclamation.

WHEREAS by an Act passed the sixteenth of February instant, entitled, " An Act describing the disqualifications, to which persons shall be subjected, which have been, or may be guilty of Treason, or giving aid or support to the present Rebellion, and to whom a pardon may be extended," the General Court have established and made known the conditions and disqualifications, upon which pardon and indemnity to certain offenders, described in the said Act, shall be offered and given ; and have authorized and empowered the Governour, in the name of the General Court, to promise to such offenders such conditional pardon and indemnity :

I HAVE thought fit, by virtue of the authority vested in me by the said Act, to issue this Proclamation, hereby promising pardon and indemnity to all offenders within the description aforesaid, who are citizens of this State ; under such restrictions, conditions and disqualifications, as are mentioned in the said Act : provided they comply with the terms and conditions thereof, on or before the twenty-first day of March next.

G I V E N at the Council Chamber in Boston, this Seventeenth Day of February, in the Year of our L^o R^o D One Thousand Seven Hundred and Eighty Seven, and in the Eleventh Year of the Independence of the United States of A M E R I C A.

J A M E S B O W D O I N.

By His Excellency's Command,

J O H N A V E R Y, jun. Secretary.

BOSTON : Printed by ADAMS & NOURSE, Printers to the GENERAL COURT.

[Reduced from a copy in the Mass. Hist. Society's library.]

was abroad. It was the plea of the country for the cession of the Western lands by those States which claimed them, that all the States which had assisted to secure them should share their advantages. There was no nice discrimination in the reasoning of the masses, and they were not disposed to observe any fallacy in the argument that all property which joint resistance had protected was equally the subject of division. Times such as existed were ripe for the machinations of demagogues and malcontents. The old families were impoverished, and did not afford the usual barrier of conservatism. A new moneyed race had sprung up, — speculators who had bought claims to be enforced; sutlers who had made money when the soldiers were suffering; upstarts who had shared the profits of the privateers, — and there were lawyers who, in carrying out the harsh compulsions of the law, scaled their fees to the measure of the prodigality of those who had grown rich so adventitiously. The prisons were filled with vagabonds and debtors. Towns pushed the unfortunate paupers beyond their borders, until they could find no pillow so welcome as the stone floor of a cell. Even the reputed well-to-do people were harassed by the disordered state of the public finances. There was no specie for those who could not live by the exchange of produce. Merchants who had depended on the extravagance of customers suddenly found that sales of their over-large importations were stopped, and the lawyers had claims against them for collection. It was almost inevitable that the courts should be resisted. The turbulent mob found a leader in one who had been an officer in the army, and had some military experience — Daniel Shays.



JAMES BOWDOIN.*

Fortunately for the State, her governor was a man of nerve and decision; and James Bowdoin was a man in whom those who had money and were law-abiding had confidence. So it was that in a week's time the merchants of Boston placed £5,000 in his hands. The militia of the eastern part of the State was put in motion, and the main body of them proceeded westward, under General Benjamin Lincoln, to the scene of the chiefest disorder, in the valley of the Connecticut. It was wintry weather, and forced marches were made. The supporters of the law presented a front before which the ill-organized mob quailed, and the country was tracked with the

* After a profile in the *Mass. Mag.*, Jan., 1791, from an original said to be owned by the family. Cf. full-length in *American Magazine*, i, 373; and miniature likeness in *Mem. Hist. Boston*.

devious paths of the lawless fugitives. Some of the leaders were captured, tried, and convicted; but prudence ruled the government, and they were finally pardoned.¹



RUFUS KING.*

¹ The principal contemporary authority on the Shays Rebellion is George Richard Minot's *History of the insurrections in Massachusetts, in the year 1786, and the rebellion consequent thereon* (Worcester, 1788, and 2d ed., Boston, 1810). He had access to the official documents,

and enjoyed the acquaintance of the leading actors in the suppression of the revolt. Belknap (*Belknap Papers*, ii. 55, 59) represents the opinion of the law-abiding part of the people in Massachusetts when he says that the book was written with candor. He refers to the adverse

* After the engraving by Leney, following Wood's picture, given in Delaplaine's *Repository* (Philad., 1815). Cf. a recent woodcut in *Scribner's Mag.* (1887), vol. ii. 172; and Lossing's *War of 1812*, p. 143. Stuart's picture, owned by A. G. King, is engraved in T. W. Higginson's *Larger History*, 401. There is a picture in Independence Hall, Philadelphia.

Massachusetts could now well revise her record. King came over to the advocates of a convention, and urged Gerry to accede.¹ Delegates were appointed, as we have seen. The effort to bring New York into line was an eager one, and the abilities of Hamilton were put to a test in order to overcome the resistance of Governor Clinton and his followers.² The advocacy of Hamilton was timely, and he labored with all the vigor of his mind. Schouler³ aptly says of him: "He had not great tact, but he set his foot contemptuously to work the treadles of slower minds." Much depended on New York.

"The papers teem with federal and anti-federal pieces," wrote Belknap, December, 1787, to Hazard, a citizen of New York. "We are more afraid of your State than any other."⁴ The victory was won, and New York appointed delegates; but Hamilton, who was one of them, found no help from his associates. It was, as regards her other delegates, an accession without heart.

There was one member of the Confederation, left at last, which had not responded, — the smallest of the States, the thorny Rhode Island, — whose want of adhesion was not much regretted, whose factious self-will really helped the cause more than any docility on her part could have done, and the work was completed without her.⁵

views when he says, "Minot has brought *Honestus* upon him already, and it is probable many more of the wasps will sting him." Hazard replied to Belknap: "There is a degree of impartiality and independence of spirit in the book which does Minot honor." William Tudor's similar opinion is given in Sparks's *Corresp. of the Rev.*, iv, 220. The account in McMaster (i, 299-330), one of the most extensive of compiled narratives, refers to newspapers of the time, but makes no reference to Minot. Knox described to Washington the temper of the people (Sparks, ix, 207), and Lincoln sent him a memoir, with official papers (*Pub.*, 239). The Lincoln papers were used by Barry (*Hist. of Massachusetts*, iii, ch. 6), who gives abundant references. Other letters to Washington are in Sparks's *Corresp. of the Rev.*, iv. A letter, Jan. 8, 1787, from Rufus Putnam to Governor Bowdoin, describing an interview with Shays, is in the *Maine Hist. Coll.*, ii, 250. The views of some who regretted precipitating the revolt are in the *Amer. Antiq. Soc. Proc.*, iv, 368. Poore (*Descrip. Catal. Govt. Publ.*, p. 17) gives the publications of the papers reaching Congress. There are numerous papers in the Mass. Archives. Another contemporary account is in the *Worcester Mag.*, Sept., 1786. Cf. views of a leading Federalist in Wm. Sullivan's *Familiar Letters* (Boston, 1834), p. 5; and notices in Madison's *Letters*, iii, 243. The local aspects are studied in Holland's *Western Mass.*; Lincoln's *Worcester*; Ward's *Shrewsbury*; Butler's *Groton*; Shattuck's *Concord*; Smith's *Pitts-*

field; Sawtell's *Townshend*; Paige's *Cambridge*. Cf. also Bradford's *Mass.*; Wells's *Sam. Adams*, iii, ch. 59; Amory's *Sullivan*; Austin's *Gerry*. A paper by Dr. Green on the connection of Groton with the movement is in the *Mass. Hist. Soc. Proc.*, 2d ser., i, 298. A letter of Gen. Cobb, relative to the repression of disturbances in Taunton, is in *Ibid.*, p. 77. Cf. *M. E. H. and G. Reg.*, 1864, p. 5, and the volume commemorating the presentation of Cobb's portrait to the State. For magazine and sectional papers, see Curtis on the *Constitution*, i, 269; Hildreth, iii, 474; B. J. Lossing in *Harper's Monthly*, April, 1862 (xxiv, 656); John Fiske in the *Atlantic*, Sept., 1886; D. Stebbins in *American Pioneer*, i, 383; E. Crane in *Worcester Society of Antiq. Proc.*, v, 61 (1881); L. M. Sargent's *Dealings with the Dead*, no. 29. Ralph Ingersoll Lockwood's novel, *The Insurgents*, is based on the record.

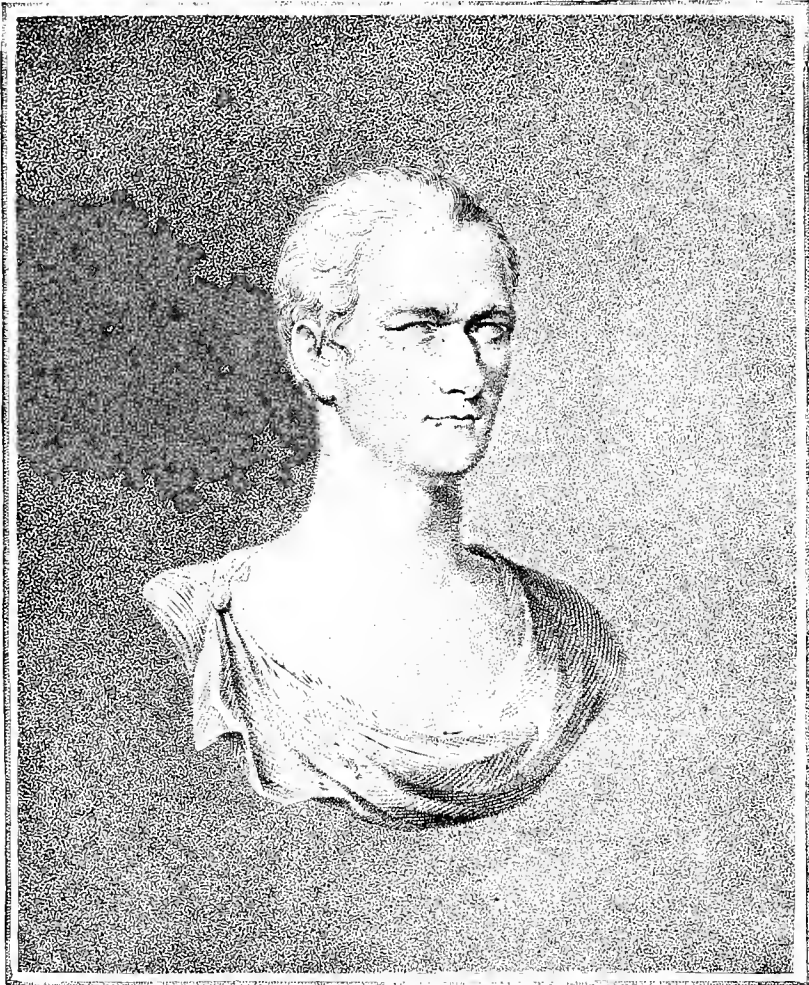
¹ Gerry expressed his middle-ground in the debates in the Federal Convention: "We are neither the same nation nor different nations. We ought not, therefore, to pursue the one or the other of these ideas too closely" (Elliott's *Debates*, v, 278. Cf. Von Holst, Eng. tr., i, 19).

² Morse's *Hamilton*, and other lives of Hamilton.

³ *United States*, i, 25.

⁴ *Belknap Papers*, i, 498.

⁵ Judge Dana even proposed to annihilate the "abominable" Rhode Island, and divide her territory between Massachusetts and Connecticut (Austin's *Gerry*, ii, p. 67).



ALEXANDER HAMILTON.*

* After Leney's engraving of the bust by Ceracci in Delaplaine's *Repository* (1815). It is also engraved by A. B. Durand in J. C. Hamilton's *Hamilton* (ed. 1870, vol. i.). A bust after Houdon belongs to the Mass. Historical Society. The picture after Weimar in the N. Y. City Hall is engraved in Higginson's *Larger History*, 316. An engraving of a portrait by Trumbull (1792), painted for George Cabot, is in Lodge's ed. of *Hamilton*, vol. i. Ames's picture is also engraved by Leney. Cf. the engraving in the *Federalist* (ed. 1864). See the picture in Vol. VI. p. 384; in Gay's *Pop. Hist. U. S.*, iv. 102.

For a view of his house, see *Appleton's Journal*, viii. 439; of his tomb in Trinity, *Harper's Mag.*, Nov., 1876, p. 871; of the house in which he died, Gay, iv. 149.

NOTES.

A. DIPLOMACY IN EUROPE.—An opinion was very promptly formed in England, after the treaty of peace, that the bond of union among the States of the new republic was far from perfect, and that disintegration must ensue.¹ The British soon perceived that they could secure, as they thought, all the desired commercial advantages under the enforcement of navigation laws, which treated as aliens those who were lately subjects. At all events, any power of retaliation was not to be dreaded as long as the States remained jealous of one another and of Congress. The English government, if not the American people, saw the mockery of the action of Congress, as far, at least, as the relations of the two parts of the now dissevered empire were concerned, when it commissioned (May 12, 1784) Franklin, Adams, and Jefferson to make treaties of commerce with European powers.² There was more sense than was willingly acknowledged in the States in the opinions of the British ministry, that a league without power to enforce treaties could hardly hope to negotiate treaties, when as many diplomatists as there were members of the league, each commissioned by his respective State, could only in conjunction effect a negotiation, the results of which could be compulsory upon the parties in contract.³ It also served the purpose of the ministry to divide the interests of the several States as much as possible, and this method of a distinct recognition of the parts, with no recognition of the whole, was a ready means to that end.

Congress not long after moved to bring this feeling to an issue, when it appointed John Adams (Feb. 25, 1785) as minister to England; and a few days later it commissioned Jeffer-

son as minister to France,⁴ for Franklin had before this urgently asked to be recalled. The last official act of that veteran servant of the States had been to affix his signature to a treaty with Prussia, in conjunction with Adams and Jefferson, in which Franklin had succeeded, without any serious opposition, in embodying his own views respecting the exemption of private property from capture at sea.⁵

Adams passed over from Paris to London, to present his credentials. The aged Oglethorpe, the founder of Georgia, was the first to call on him. The new minister went through a memorable presentation to the king, and on June 2, 1785, he wrote home an account of it to Jay,⁶ in which we have a record of suave speeches on both sides, about a common language and the same strains in the blood. This was agreeable; and both the king and his former subject bore themselves with reassuring frankness. The royal graciousness did not, however, represent the prevailing sentiment of the British people. Before he left France, Adams had written to Gerry⁷ that, as he looked about, almost the only comfort he found was in the fact that, should war again come, the treaty of 1783 had rendered it possible "to fight without halters about our necks." When he reached England, the prospect was not more assuring, and he thought he saw a purpose in the English government "to maintain a determined peace with all Europe, in order that they may war singly against America, if they should think it necessary."⁸ It was not very long before he wrote to Jay: "It is very apparent that we shall never have a satisfactory arrangement with this country until Congress shall be made by the States supreme in matters of foreign commerce and

¹ Cf. such tracts as Lord Sheffield's *Observations on the Commerce of the American States* (London, 1783). There was a 2d ed. with add. notes.

² *Secret Journals*, iii. 908. Cf. Pitkin, ii. 534.

³ John Adams's *Works*, viii. 243. Cf. *Dip. Corres.*, 1783-1789, ii. 207; Marshall's *Washington*, ii. 66; Pitkin, ii. 189. The British public were informed of these matters in such publications as the Rev. Wm. Jackson's *Constitutions of the several independent States of America, the Declaration of Independence, Articles of Confederation, etc.* (London, 1783; *Brinley Catal.*, iii. no. 4,824.) This seems to have been a reprint of a collection with a similar title, published by order of Congress, Philad., 1781 (*Brinley*, ii. no. 4,188, 200 copies), and of which a 2d ed. was issued in Boston in 1785 (*Brinley*, iii. no. 4,825.)

⁴ March 10, 1785. *Secret Journals*, iii. 551.

⁵ *Journals of Congress*, iv. 636; *Secret Journals*, iv. 5. Franklin then passed across the channel, and finally embarked at Southampton, July 25, 1785, and reached Philadelphia Sept. 14. Sparks's *Franklin*, i. 507; Parton's *Jefferson*, ch. 52; his *Franklin*, ii. 529; Lyman's *Diplomacy*, i. ch. 5. The first number of a new *Extrait des gazettes Américaines* (Paris, 1786) gave the addresses to Franklin on his return to America, with his replies.

⁶ Adams's *Works*, viii. 256.

⁷ *Mag. Amer. Hist.*, 1884, p. 276.

⁸ John Adams's *Works*, viii. 282.

treaties of commerce, and until Congress shall have exerted that supremacy with a decent firmness."¹

Adams, as soon as it was possible, had long interviews with Pitt respecting the frontier posts, the debts, the navigation acts, and other differences.² Adams pressed the English minister hard, and Pitt was complacent, but would not talk much. Adams was not fitted to endure reticence or evasion. "I wished for an answer, be it ever so rough or unwise," he wrote to Jay. "In short," he again wrote a few days later, "America has no party at present in her favor. . . . I had almost said the friends of America are reduced to Dr. Price³ and Dr. Jebb. . . . Nothing but retaliation, reciprocal prohibitions and imposts, and putting ourselves in a posture of defence will have any effect."⁴ He also complains that to match the British ministry in their system of espionage, and get information as readily as they do, was costly beyond his revenue. At another time he intimated to the ministry that the retention of the Western posts was likely to encourage the Indians, and that an Indian war, traceable to a breach of the treaty by England, would lead to consequences not to be calmly considered; and further, he said that if the surrender of the posts was contingent on the payment of debts to British subjects, it was quite as just that the debts should not be paid till the posts were surrendered. On Nov. 30, 1785, Adams presented a formal demand for their surrender.⁵ Lord Carmarthen delayed long in his reply to this communication, but only to revert, when he did respond, to the undeniable fact that certain States had interposed obstacles to the collection of British debts. The States, said Adams, must either repeal these laws, or give Congress full power over commercial regulations, so that a compulsory influence may be exerted on Great Britain.⁶

Again, Adams called on the Tripolitan ambassador in London, who unblushingly told him that Tripoli was at war with America because

she attempted to navigate the Mediterranean without paying tribute. Adams told Jay that a description of this conference might be better for harlequin than for Congress, though there was civility enough shown on both sides "in a strange mixture of Italian, lingua Franca, broken French, and worse English."⁷ Adams was in doubt whether this Tripolitan was a consummate politician or a philosopher, as he complacently called himself.

The Tripolitan mildly intimated that 30,000 guineas might induce his government to make a treaty which would exempt American shipping from devastation; but that it was probable that Tunis, Morocco, and Algiers would each demand as much or more. So Adams was obliged to communicate to his impoverished country that a sum of not much short of two hundred thousand pounds would be necessary to secure the desired immunity. "The fact cannot be altered, and the truth cannot be concealed," he adds to Jay.⁸ "Never," he said again,⁹ "will the slave trade be abolished while Christian princes abase themselves before the piratical ensigns of Mahomet." Yet such were the requirements that he wrote to Bowdoin, of Massachusetts, pressing that two or three hundred thousand guineas spent in this way was cheaper than the cost of a war; and then reverting to what Congress had to spare for the purpose, he called it a sum that would be worse than thrown away. Adams and Jefferson were not wholly in accord in this matter; for while Adams reckoned the costs of a war with the Barbary powers, Jefferson revolted at the abatement of a tribute, and hoped to join with Italy and Portugal in an expedition against them. This required ships, and Adams knew the difficulties of getting the States to respond to any naval requisition of Congress. They were indeed quite content that Portugal should order her fleet in the Mediterranean to protect American vessels, as she did in 1786.¹⁰ A treaty was finally negotiated with Morocco by Thomas Barclay,

¹ *Works*, viii. 280.

² *Works*, viii. 302.

³ Richard Price had published in 1784 his *Observations on the importance of the American Revolution* (London, 1784; Boston, 1784; New Haven, 1785, etc.). There were two remarks upon it made by him in a letter to Governor Trumbull, which indicated the springs of some of the difficulties soon to be encountered by the struggling States: "I find my tract has given offence in the Southern States by advising the gradual abolition of negro slavery and measures for preventing too great an inequality of property." Then he refers to the advent of John Adams in London as American minister, with a foreboding of the futility of his mission, "for there is still an hostility among us against your country." An English translation of Mirabeau's reflections upon Dr. Price's pamphlet was printed, with corrections, at Philadelphia in 1786.

⁴ Adams's *Works*, viii. 313.

⁵ Adams's *Works*, viii. 357; *Secret Journals*, iv. 186; Morse's *John Adams*, p. 231.

⁶ Cf. letter of Rufus King in *Mass. Hist. Soc. Proc.*, ix. 8.

⁷ Adams's *Works*, viii. 372, 373; *State Papers, For. Relations*, i. 166.

⁸ Adams's *Works*, viii. 370.

⁹ *Ibid.*, 388.

¹⁰ *Secret Journals*, iv. 288.

under the approval of Adams and Jefferson; but this was the only one of the African States which entered into treaty stipulations before the Constitution was put in force.¹

Jefferson's career in France was characteristic. He lost no opportunity to inculcate his principles of free trade. He did his best to buy American captives out of Algerine prisons. He strolled among the book-stalls, and notified his friends at home of all the new inventions. He purloined a little Italian rice and sent it to the Carolina planters for seed. He published his *Notes on Virginia* in English and French. He conferred with the political mentors of the coming French Revolution, and wrote to Jay to induce the shipment of American flour for the starving Parisians.

The treaty of commerce which England concluded with France in 1786 was not encouraging. Adams wrote: "France and England are both endeavoring at this moment to impose on each other. The secret motive of both is to impose upon the United States. . . . The time is not far distant when we may see a combination of England and the House of Bourbon against the United States. It is not in gloomy moments only, but in the utmost gaiety of heart, that I cannot get rid of the persuasion that the fair plant of liberty in America must be watered in blood."² With these forebodings, Adams had, as early as Jan., 1787, expressed a wish to be recalled. He wrote to Jay that "a life so useless to the public and so insipid to myself, as mine is in Europe, has become a burden to me as well as to my countrymen."³ Congress granted his request, Oct. 5, 1787. Great Britain meanwhile had not condescended to send any minister or other accredited agent to America.⁴

B. THE FINANCIAL PROBLEMS.—The division among the people on the subject of specie and paper money was so engrossing that at times little else seemed to engage the public attention. It was necessarily associated very closely with the chief interests of the country.

We get a sense of the variant views on the management of the treasury, prevailing just

after the war, in such letters as that of Samuel Osgood, printed in *Mass. Hist. Soc. Proc.*, v. 470. Cf. the lucid presentation of the failure of credit after the war, in John Fiske's paper in the *Atlantic Monthly*, July, 1886. Robert Morris had been called to the head of the treasury, in place of a committee, and Hamilton, fraternizing with him, and looking forward for the supplanting of the "futile and senseless Confederation," had expressed his belief in a national debt as a national blessing, if it be not an excessive debt. Morris's cure-all was a national bank, and it was finally chartered by Congress (Dec. 31, 1781) as the Bank of North America. Morris, however, felt obliged to give it a legal status by a charter from Pennsylvania in 1783. Madison and others were jealous of its prerogatives, and hampered it where they could. Its right to exist was the occasion of a struggle in the Assembly of Pennsylvania. The bank remunerated the stockholders, but was of comparatively little help to the government of the country; and Morris finally went out of office, announcing the inability of the Treasury to meet the interest on its foreign loans.⁵

In 1783 the domestic loans of the United States amounted to \$34,115,290, its foreign to \$7,885,085, — or a total of \$42,000,375. To pay the interest on such amounts between 1782 and 1786, requisitions for over \$6,000,000 were made on the States, and only about \$1,000,000 was received. To meet the interest on the foreign loans, money was borrowed in Europe. The domestic creditors had nothing done for them; and sometimes, when they sold their claims, they got no more than a tenth of the face.⁶

Each State was fighting the baleful campaigns of paper-money discussions in its own way, reluctantly and triumphantly like Connecticut, meanly and disastrously like Rhode Island and North Carolina, — the very States that stood aloof as long as they dared when the Federal Constitution was under consideration. Diverse legislation, here and there, impaired the obligations of contracts.

Bancroft (final revision, vi. 167, etc.) summarizes the ways in which the several States eman-

¹ *Secret Journals*, iv. 349. The treaty was ratified July 18, 1787. Cf. Jefferson's *Writings: State Papers: Foreign Relations*, vol. i.; Schuyler's *American Diplomacy* (N. Y., 1886), ch. 4; Sparks's *Diplom. Correspond.*, 1782-1789 (1st ed. 1833; in 7 vols.; 2d ed. 1837, in 3 vols.); Sparks's *Washington*, x. 60.

Jefferson's correspondence while minister in France is printed in T. J. Randolph's edition, and what portion is there omitted of John Adams's letters to him are given in Adams's *Works*, viii. Cf. Morse's *Jefferson*, ch. 7.

² *Works*, viii. 416.

³ *Works*, viii. 429.

⁴ On the diplomacy of this period, see Trescott's *Dipl. Hist.*, 1789-1801, opening chapter; *Amer. Quart.*, xvi. 454; *N. Amer. Rev.*, xxxix. 302.

⁵ Cf. Sparks's *Gouverneur Morris*, iii. 437, for G. Morris's views.

⁶ Rafael A. Bayley's *National Loans of the United States, from July 4, 1776, to June 30, 1850*, as prepared for the tenth census of the United States (Washington, 1881). Cf. on the financial straits of the Confederation, Curtis's *Constitution*, i. 172.

ipated themselves from the entanglements of their paper bills. He says (vi. 26) that the pamphlet *Observations on the nature and use of paper credit* (Philad., 1781), ascribed by Madison to Pelatiah Webster, was written in fact by William Barton.

Rhode Island made it penal to refuse paper money at par, but a valiant butcher carried it to the courts, and was sustained in his honest fight for hard money, and the record of the trial

has passed into jurisprudence as one that is famous.¹

For the subject generally, see references, *ante*, pp. 81, 82.

Gouverneur Morris had submitted a plan for a coinage, and, amended by Jefferson, it became a law, and foreshadowed our present system, as it was later perfected by Hamilton. McMaster (i. 186) represents the varieties of coins in use, and their values.

¹ James M. Varnum, *The case of Trevett against Weedon on information and complaint for refusing paper bills in payment for butcher's meat in market at par with specie, tried before the honorable Superior Court in the county of Newport, September term, 1786; also the case of the judges of said court, etc.* (Providence, 1787).



CHAPTER IV.

THE CONSTITUTION OF THE UNITED STATES, AND ITS HISTORY.

BY MR. GEORGE TICKNOR CURTIS

THE Convention to consider a change of government assembled at Philadelphia on May 14, 1787. The delegations of Connecticut and New Hampshire arrived some weeks after that date, and Rhode Island did not send any delegation at all. This body of men, assembled for the unprecedented purpose of thoroughly reforming the system of government with the authority of the national will, comprised a representation of the chief ability, moral and intellectual, of the country; and in the great task assigned to them they exhibited a wisdom, a courage, and a capacity which had been surpassed by no similar body of lawgivers ever previously assembled. The world had then seen little of real liberty united with personal safety and public security; and it was an entirely novel undertaking to form a complete system of government, wholly independent of tradition, exactly defined in a written constitution, to be created at once, and at once set in motion, for the accomplishment of the great objects of human liberty and social progress. Their chief source of wisdom was necessarily to be found in seeking to avoid the errors which experience had shown to exist in the Articles of Confederation. Naturally the individual members of the Convention were men of widely different views; the debates extended over four months' time; but the counsels of the leading spirits at last prevailed, — of such men as Hamilton, Madison, Franklin, Gouverneur Morris, Edmund Randolph, and Rufus King. Washington was the presiding officer. Each State had one vote.

The American people had been originally thirteen distinct colonies, with no political connection with each other. When they were in some degree united under the Confederation, that union was formed on the principle of a league, — a compact between sovereign States for certain purposes. But this principle never has enabled, and probably never will enable, a government to become effective and permanent. The idea of government implies sovereignty, and when the parties to a federal union are themselves political governments and sovereigns, the two authorities necessarily conflict. The new idea to be developed now was, that the future union must be, not a mere *federal league* between *States*, but a *union* between the *people* of

the several States. This principle, strongly insisted on by Hamilton and others, was not entertained by all the members of the Convention, many adhering to the opinion that the existing federative union could be made efficient by engrafting new powers upon it. These two parties — the one contending for more comprehensive national powers, and the other adhering to the principle of state rights and interests, which began to show themselves soon after the States had asserted their independence — represented ideas which have existed in our system ever since that day, and which are not entirely separable from it. There can be but one supreme power over the same subjects in the same community; and although, by the Articles of Confederation, some portion of the sovereign power of each of the separate States had been vested in a general government, that government had been found incapable of resisting the great power that had been reserved to the States and was constantly exerted by them. The scheme now presented to the consideration of the Convention was that the *people* of the several States should withdraw entirely certain functions of government which they had previously vested in their state governments, and confer them upon a national authority; that the two kinds of authority should be entirely distinct and separate from each other, each to be exercised in its own department directly upon the people, and not, as heretofore, one upon the other.

Another amendment to be made in the old system was to create a government of three distinct departments: legislative, executive, and judicial. The Congress of the Confederation consisted of a single body of men whose office combined (in a way that could never prove efficient) all these divisions of power. The people of the country were accustomed to complex governments in their state constitutions, and to apply this principle to the national authority was what Hamilton and other able statesmen had long wished to do. These views of government were included in a scheme, called the Virginia plan, which was presented to the Convention in a series of resolutions submitted by Governor Randolph of Virginia. They were opposed by a minority party consisting of the smaller States, who advocated the principle of State Rights, and whose plan was brought forward by the members from New Jersey. The latter, called the New Jersey plan, was of a purely federal character, and proposed to add a few new powers to the existing system, rather than to substitute a national government. The long existence of the distinctions between the different States, the settled habit of the people of the States to act only in their separate capacities, their adherence to state interests, and their strong prejudices against all external power, had prevented them from contemplating a government founded on the principle of a national unity among the populations of their different communities, and the mode of reconciling the coördinate existence of a national and a state sovereignty had undergone no public discussion. The two parties, who upheld respectively the Virginia and the New Jersey plans, early came to a serious issue on the question of the source and the

basis of representation in the national legislature. That it should consist of two houses was agreed ; but the advocates of a purely national system wished to have a proportionate representation of the people in each house, while the upholders of a federal system insisted upon an equal representation of States. The latter urged that a popular election would be too democratic, and that the state legislatures would be more likely to appoint suitable persons. On the other side it was insisted that it was necessary to introduce a true democratic principle into the government ; the broadest possible basis, it was said, ought to be given to the new system, and as the system was to be republican, a direct representation of the people was indispensable. The question of the *origin* of the two houses was settled with comparative ease. One objection to the Virginia plan was pointed out by Hamilton. This was that it presented a democratic house checked by a democratic senate. The necessity of providing some means by which the States, as States, might defend themselves against encroachments of the national government, was seen by all ; and this produced a unanimous vote in favor of giving to the state legislatures the appointment of the less numerous branch of the national legislature, afterwards called the Senate. But the alternatives of an equal or a proportionate representation created a prolonged and hot discussion ; and it was not until the absolute refusal of a formidable minority of the smaller States (those who contended for an equal representation) threatened a dissolution of the Union itself, and all the evils of coming dissension and strife, that a compromise was agreed upon. Each party argued with the energy of firm conviction, but these were men capable of the highest of the moral virtues, and their magnanimity was as great as their intellectual acuteness and strength. The Constitution of the United States is the result of their mutual concessions to each other, for the sake of that union which all knew to be their only hope of strength and safety. The first great compromise of the Constitution, that between a purely national and a purely federal system, gave the States an equal representation in the Senate, and the people a proportionate representation in the House. The establishment of a definite, equitable ratio of popular representation in the House occasioned considerable difficulty. Objections existed to founding such a ratio upon the number of voters in the several States, because the elective franchise had been conferred in the different States upon very different principles, — upon the number of white inhabitants alone, for some States had large numbers of free blacks, and regarded them as citizens ; or upon the whole number of free inhabitants, which would take from the large slave-holding States their rightful position of comparative importance. It was finally found necessary to treat the slaves as inhabitants, and not as chattels or property ; and it was decided to adopt as the most equitable ratio the whole number of free inhabitants and three fifths of all other persons, except Indians not paying taxes.

The general principles on which the powers of the national legislature

ments which had been declared with a great degree of unanimity. That it might be re-invested with all the legislative powers belonging to the Congress of the Confederation was conceded by all. This was followed by the nearly unanimous declaration, that the legislative power ought to embrace all the States, and that the state legislatures were not intended, or in which the harmony of the United States would be interrupted by the exercise of state legislation. But the Convention also went much further, and, without discussion, or dissent, declared that there ought also to be a power to negative all laws passed by the several States, contravening, in the opinion of the national legislature, the articles of Union, or any treaties made under the authority of the Union. The somewhat crude idea of making a negative on state legislation a legislative power of the national government shows that the discovery had not yet been made of exercising such a control through the judicial department.

The construction of a national executive was attended with great diversity of opinion. Whether the executive should consist of one or of three persons, whether the election should be given to the people or to the national legislature, whether a negative upon the acts of the legislature should be attached to the office — were questions, the decision of some of which proved at this time not final. It was determined that a single executive should be elected by the national legislature for the term of seven years, and that he should be ineligible to a second term. A proposition that the executive should be chosen by electors who should be chosen directly by the people met with no favor at first.

The third main division of the government, the judiciary, was now considered. One of the leading objects in forming the Constitution was to obtain for the United States the means of coercion, without a resort to force against the people of the States collectively. This could be done only by making the authority of the government supreme in relation to the rights and powers that might be committed to it, and it could be made so only by applying its legislation to individuals through the intervention of a judiciary. The judiciary is the department which not only acts as the arbitrator in particular controversies, but in so doing declares the construction of the laws. It was determined that the jurisdiction of the national judiciary should extend to all cases which respect the collection of the national revenue, to impeachments of national officers, and to questions which might affect the national peace and harmony. This latter provision placed the general objects which it was declared ought to be embraced by the legislature, yet within the cognizance of the judiciary; but the idea of vesting in the judicial department such control over the legislation of the separate States as might be surrendered by them to the national government was not yet propounded. The judges were to hold office during good behavior, and their appointment was at this time vested in the Senate. Provision was also made for the admission of new States into the Union, for the power to protect and uphold the republican governments of the States, and

for the amendment of the Articles of Union. Lastly, it was settled that the Senate should consist of two members from each State, and that they should vote *per capita*. It was decided at this time that landed property, as well as citizenship in the United States, should be included in the qualifications to be required of the executive, the judiciary, and the members of both branches of the legislature.

Such was the character of the system which was now July 21 sent to a committee of detail, to be cast into the form of a constitution. The committee consisted of Messrs. Rutledge, Randolph, Gorham, Ellsworth, and Wilson. This committee presented their report on the 6th of August, in the shape of a Constitution divided into twenty-three Articles. Two important subjects which this committee had to discuss were: first, what classes among the people were to have the right of voting for members of the popular branch of the legislature; and, secondly, what persons were to be eligible to that and to the other branch. In substance, these questions resolved themselves into the inquiry, in whom was the power of governing America to be vested: for, according to a decision of the Convention not yet reversed, the national executive was to be chosen by the national legislature. As to the first of these questions, the stream



JOHN RUTLEDGE.*

of foreign immigration which was constantly flowing into the country rendered it very probable that foreign influence might be attempted in America. On the other hand, it was important that the advance of the country in wealth and prosperity should not be impeded by any check to the growth of the population. The result of much deliberation on this subject was, that the same persons who, by the laws of the several States, were admitted to vote for members of the most numerous branch of their own legislatures should have the right to vote for their representatives in Congress; and the power of naturalization was transferred from the States to the general government. The question of admitting persons of foreign birth to positions

* [From the National Portrait Gallery, 1824, vol. iv. following a drawing by James Hume; after an original picture by Colonel Trumbull. — Ed.]

in the government was a serious one. There was extreme jealousy of all foreign interference in political concerns; yet, on the other hand, to exclude all but native-born citizens would have been to deprive the country of the services of such men as Hamilton, Wilson, and Robert Morris, who had thoroughly identified themselves with the destiny of their adopted country. The committee of detail suggested a three years' citizenship for Representatives and a four years' citizenship for Senators. Many thought this an insufficient security, and the time was therefore changed to seven and nine years respectively.

A very important improvement as to the executive department was now made by the committee. A suggestion, originally made some time previously, was revived, namely, that the executive should be chosen by electors, each State to have a number of electors equal to the whole number of its senators and representatives in Congress, and that in case no candidate had a majority of electoral votes, the choice should be made by the Senate. This plan of vesting the ultimate election in the Senate was eagerly embraced by the smaller States, because it was calculated to restore to them the equilibrium which they would lose in the primary election by the preponderance of votes held by the larger States. But when this scheme came before the Convention it was regarded as likely to elevate the Senate into a powerful oligarchy, and to put it in the power of seven States, not containing a third of the people, to elect the President. It met with strenuous resistance. The first part of the scheme was adopted, as avoiding the evils which might result if the executive were to be the tool of the legislature; but the ultimate choice of this officer was transferred from the Senate to the House of Representatives.

But in other matters still the Senate had been made a very powerful body by the committee of detail. They had vested in the Senate the power to make treaties, to appoint ambassadors and judges of the Supreme Court, and to adjudicate questions of boundary between the States; they had given to the two branches of the legislature the power to declare war, while they had assigned the trial of impeachments to the Supreme Court. When these subjects were debated in the Convention, it was soon pointed out that however proper it may be, in a limited and republican government, to vest the power of declaring war in the legislative department, the negotiation of treaties by a numerous body had been found, in our own experience and in that of other republics, extremely embarrassing. However wise may be a jealousy of the executive department, it is difficult to say that the same authority that is entrusted with the appointment of all other officers should not be permitted to make an ambassador or a judge. However august may be a proceeding that is to determine a boundary between sovereign States, it is nothing more and nothing less than a strictly judicial controversy, capable of trial in the ordinary forms and tribunals of judicature, besides being one that ought to be safely removed from all political influences. However necessary it may be that an impeachment should be conducted

with the solemnities and safeguards of allegation and proof, it is not always to be decided by the rules with which judges are most familiar, or to be determined by that body of law which it is their special duty to administer. A comparison of these provisions with the Constitution in its finished form shows that this great instrument is the result of many changes in the original views of its framers, and that every part of it required a very great amount of discussion in order to sift it down to that form which remains as an extraordinary proof of the wisdom and foresight of its authors.

The question of a seat for the national government, with suitable public buildings, was discussed, and power was given to the national legislature to establish a federal town. It was important that the national government should not be subject to the local influences of any great commercial city, and besides, none of these were very near the centre of the Union ; but it was thought that to decide definitely against any of them might create a jealousy that would endanger the adoption of the Constitution itself.

One chief cause for the assembling of this Convention was the necessity for conferring upon the general government the power to regulate the commerce of the whole country and to obtain an adequate revenue. When this subject was taken up, two serious considerations presented themselves : the entire control over commerce would include a power to tax exports as well as imports, and a power to prohibit the slave-trade. Both these powers would operate unfavorably upon the South. The country was so large and its agricultural products were so varied that no export tax would operate equally upon all the States ; neither could one section of the country be balanced against another, — the products of the South against those of the North, for instance. A power to prohibit the importation of slaves would also be detrimental to those Southern States which had not already suppressed it, and which depended upon constant additions to their slave labor. The prohibition of this traffic by national law was strongly wished by the North, because it was considered that the admission of the slaves into the ratio of representation would tend to increase it, and thus the relative influence of the South in the government would be increased. But great stress was laid upon these points by the Southern States ; in fact, North Carolina, South Carolina, and Georgia made a recognition of their claims on these subjects a condition of their acceptance of the Constitution. The committee of detail, therefore, restricted the revenue and commercial powers by providing that no taxes should be laid on exports, and that there should be no interference with the slave-trade. The debates in the Convention upon these matters were long and earnest. The prohibition against taxing exports was finally carried by a considerable majority, but the subject of the slave-trade threatened again the dissolution of the Union. Another difference also divided the North and the South. The Northern States, which were chiefly commercial, contended that the passage of a navigation act ought to be secured simply by a majority of both branches of the legislature ; whereas the committee of detail, in accordance with the views of the

agricultural States, had made a two-thirds vote necessary. The result of all this was the second great compromise of the Constitution. The South agreed not to demand a two-thirds vote upon a navigation act, and the North consented to allow the importation of slaves (subject, however, to a tax) until the year 1808, after which full commercial powers were to reside in the national government.

Thus the main features of the legislative department were finally settled.

The necessity for such an officer as the Vice-President of the United States had not been thought of when the first draught of the Constitution was made ; but subsequently it was perceived that the possibility of the executive office becoming vacant must be provided against. It was important that the Vice-President should not be a mere heir to the succession, but should have some public employment. Fortunately, the peculiar construction of the Senate was found to require a presiding officer who should not be a member of the body itself. As each State was to be represented by two delegates, and as it would be important not to withdraw either of them from active participation in the business of the chamber, a presiding officer was needed who would represent none of the States. By placing the Vice-President in this position he would have a place of dignity and importance, would be at all times conversant with the public interests, and might pass to the chief magistracy, on the occurrence of a vacancy, attended with the public confidence and respect. The ultimate election of the Vice-President, when the electors had failed to appoint him under the rule prescribed, was retained in the hands of the Senate, on account of his relation to this branch of the legislature. The question of a council of state, or advisory body to assist the President in the discharge of his duties, was discussed in the Convention. But it was considered by a majority of the members that the nature of the office required that the President's responsibility should not be shared with any one. Power was given to him, however, to "require the opinion in writing of the principal officer in each of the executive departments upon any subject relating to the duties of their respective offices." Thus, though the officers now known collectively as "the Cabinet" are not distinctly provided for in the Constitution, the foundation was laid for the custom which has been established of holding regular meetings of those officers, who advise the President, but have no power of controlling his actions, and do not in any way diminish his legal responsibility.

In the judicial department of the government, several important changes were made in the plan as presented by the committee of detail. Controversies between States respecting jurisdiction or territory, and questions concerning any conflict between state and national laws, originally vested in the Senate, were transferred to the judiciary. The plan of the committee was silent with respect to the important distinction, familiar to the people of the United States, between proceedings in equity and proceedings at common law. This distinction, which extends not only to the forms of pleading, but to the principles of decision, the mode of trial, and the nature

of the remedy, had been brought by the settlers of most of the colonies from England, and had been perpetuated in their judicial institutions. The Convention supplied this defect.

The fourth article of the Constitution was designed to place the people of the separate States in more intimate relations with each other by removing in some degree the consequences that would otherwise flow from their distinct and independent jurisdictions. This was to be done by causing the rights and benefits resulting from the laws of each State to be, for some purposes, respected in every other State. Independent nations are under no positive obligation to support the institutions or to enforce the municipal laws of each other. So far does this negative principle extend, that the general law of nations does not even require the extradition of fugitive criminals who have escaped from one country into another. If compacts are made for this purpose, they rest entirely upon comity, and upon those considerations of public policy which make it expedient to remove from our own borders those who have violated the great laws on which the welfare of society depends. The American States agreed to surrender to each other all fugitives from justice, and all slaves who should escape from lawful service. The domestic law which sanctions slavery in one independent nation is, like other domestic laws, not generally recognized in other countries where this relation does not exist. But among the American States, many of which were about to abolish slavery within their own limits, a practice which would have encouraged the flight of slaves out of States where their service was lawfully due would have worked endless trouble. It would have been an interference with the domestic concerns of certain States, and this the spirit of the Constitution could not allow. Hence the clause relating to fugitives from service was adopted in the Convention by unanimous consent.

The last articles of the Constitution related to subjects on which there was little difference of opinion in the Convention, except in regard to the details; they were provisions obviously necessary to be made, and they did not occasion much debate. The fifth article, which provides for amendments, affords a striking illustration of the difference between the character of the government established by the Constitution and that of the Confederation. The latter, from its nature as a league between States otherwise independent of each other, was made incapable of alteration excepting by the unanimous consent of the States. In the Constitution a mode was devised by which changes in the organic law could become obligatory upon all the States by the action of a less number than the whole.

On the 17th of September the Constitution was signed by the individual members of the Convention representing the various States. Many of them were not satisfied with all its details; but they considered the choice to be between anarchy and convulsion on the one side, and chances of good to be expected of this plan on the other; and they all signed it except Luther Martin of Maryland, Randolph and Mason of Virginia, and Gerry of Massachusetts. Yates and Lansing of New York had retired, dissatisfied, from

the Convention on the 5th of July, and after that date the vote of the State was not taken. New York, therefore, was not regarded as officially present when the Constitution was signed; but in order that the proceedings might have all the weight that a name of so much importance could give to them, in the place that should have been filled by his State was recited the name of "Mr. Hamilton, from New York." A letter was prepared to accompany the Constitution, and to present it to the consideration and action of the existing Congress. The Convention was then dissolved, on the 17th of September. On the 19th the new Constitution was printed in the newspapers of Philadelphia, and it was at once copied into the principal journals of all the States.

The public mind had been very much excited during the four months in which this Convention had sat with closed doors. Various false rumors were afloat; among others, the idea that the Convention contemplated the establishment of a monarchy and a reconciliation with England. The Constitution immediately met with warm friends and many opponents. As it presented itself to the people in the light of a proposal to enlarge and reconstruct the system of the Federal Union, its advocates became known as the "Federalists," and its adversaries as the "Anti-Federalists."

On the adjournment of the Convention, Madison, King, and Gorham, who held seats in the Congress of the Confederation, hastened to the city of New York, where that body was then sitting. They found all the States represented except Maryland and Rhode Island; but they found also that an effort was likely to be made either to arrest the Constitution on its way to the people of the States, or to subject it to alteration before it should be sent to the legislatures. It was received by official communication from the Convention in about ten days after that assembly was dissolved. All that was asked of the Congress was that they should transmit it to their constituent legislatures for their action, and, after much opposition, this was finally done, chiefly through the address and skill of Mr. Madison. By a unanimous vote of the States present, the Congress adopted a resolution which, while it contained no approval of the Constitution, abstained from interfering with it as it came from the Convention, and transmitted it to the state legislatures, "in order to be submitted to a convention of delegates chosen in each State by the people thereof, in conformity to the resolves of the Convention."

In general, and especially in New York, the first impressions were in favor of the Constitution; but the governor of New York, George Clinton, and a considerable party in political power, opposed it, as they had opposed the revenue system of 1783, because they regarded the Union with jealousy, and steadily resisted the surrender to it of any further powers. It became evident that the Constitution could be carried in the State of New York in no other way than by a thorough discussion of its merits, such a discussion as would cause it to be understood by the people, and would convince them that its adoption was demanded by their interests. For this purpose,

Hamilton, Madison, and Jay, under the common signature of *Publius*, commenced the publication of a series of essays which became known as "The Federalist." The first number was issued in the latter part of October.

The Constitution was sent to the state legislatures by the Congress of the Confederation on the 28th of September, 1787. From that time, during ten months it was under consideration by the States. In each State special conventions were held of delegates chosen by the people for this express purpose. The first State that ratified the Constitution, although its convention was not the first to assemble, was Delaware. Its public men were intelligent and patriotic. In the National Convention it had contended with great spirit for the interests of the smaller States, and its people now had the sagacity and good sense to perceive that they had gained every reasonable security for their peculiar rights. The public press of Philadelphia friendly to the Constitution furnished the means of understanding its merits, and the discussions in the convention of Pennsylvania, which assembled before that of Delaware, had much influence in the latter State. Their delegates unanimously ratified and adopted the Constitution on the 7th of December.

The convention of Pennsylvania met before that of any of the other States, at Philadelphia, on the 20th of November. This was the second State in the Union in population. Its chief city was perhaps the first in the Union in refinement and wealth. The Constitution encountered considerable opposition in the convention; but through the exertions of James Wilson, one of the wisest and ablest of its framers, and Thomas McKean, then chief justice of Pennsylvania and afterwards its governor, it was adopted by a vote of forty-six to twenty-three, on the 12th of December.

The convention of New Jersey was in session at the time of the ratification by Pennsylvania. The people of New Jersey alone, of all the States, when the National Convention was instituted, had expressly declared that the regulation of commerce ought to be vested in the general government. They had learned that they could not submit longer to the diverse commercial and revenue systems in force in New York on the one side of them, and in Pennsylvania on the other side. Their delegates unanimously ratified the Constitution on the 12th of December.

The State of Georgia also assented unanimously to the Constitution on the 2d of January, 1788. This State was too far south to be influenced by the events which were taking place in the north; but her situation as a border State, exposed to the powerful and cruel Creek Indians on the west and an unfriendly Spanish colony on the south, gave her strong motives for embracing the protection promised by the Constitution.

In Connecticut the Constitution was ratified by a large majority on the 9th of January. There was some opposition to it, proceeding principally from that portion of the people who resisted whatever tended to the vigor and stability of government,—a spirit that existed to some extent in all

the New England States. The topic which formed the chief subject of all the opposing arguments was the general power of taxation which it would confer on the national government, and the particular power of laying imposts. The successful defenders of the Constitution were Oliver Ellsworth, one of its framers, Oliver Wolcott, Richard Law, and Governor Huntington.

These five States ratified the Constitution without any formal record of objections, and without proposing or insisting upon amendments. The conventions of Massachusetts, New York, and Virginia were still to meet, and each of them was full of elements of opposition of the most formidable character, and of different kinds, which made the result of all of them extremely doubtful. If all the three were to adopt the Constitution, still one more must be gained — either New Hampshire, Maryland, or North or South Carolina — to make the nine which were required to form the new union ; and unfortunately the convention of New Hampshire was to meet five months before those of Virginia and New York, and a large number of its members had been instructed to reject the Constitution.

The convention of Massachusetts met on the 9th of January. In this State the Constitution was exposed to a peculiar hazard, which made it necessary to procure its ratification by a kind of compromise with the opposition for a scheme of amendments. In no State was the spirit of liberty more jealous and exacting. The state constitution contained the most impressive maxims and the most solemn securities with which public liberty has ever been invested ; and the new Constitution was regarded by many as defective. Another considerable party represented such persons as had been concerned in the recent Shays rebellion.

Among the leaders of the opposition was Samuel Adams. The friends of the Constitution were men of great force, such as Parsons, King, Gorham, Bowdoin ; but some of the elements of which the opposition was composed could not be controlled by any superiority in debate. So far as their objections related to the powers to be conferred on the general government, or to the structure of the proposed system, they could be answered, and many of them could be, and were, convinced. But with respect to what they considered the defects of the Constitution, theoretical reasoning, however able, could have no influence over men whose minds were made up. Therefore Hancock at last laid before the convention a proposition for certain amendments. He suggested a form of ratification which contained a distinct and separate acceptance of the Constitution, followed by a recommendation of certain amendments and an injunction addressed to the representatives of the State in Congress to insist at all times on their being considered and acted upon in the mode provided by the fifth article of the Constitution. After considerable argument, a few of the more candid members of the opposition were convinced, and the Constitution was ratified on the 7th of February by a majority of nineteen votes. Immediately after this, many members of the opposition expressed their determination, now that it had received the assent of a majority, to

exert all their influence to induce the people to anticipate the blessings which its advocates expected from it. This course of the opposition in Massachusetts was observed elsewhere, and it had considerable influence upon the action of some of the remaining States.

In the convention of New Hampshire, which assembled immediately after that of Massachusetts was adjourned, although there was a majority who, either bound by instructions or led by their own opinions, would have rejected the Constitution if required to vote upon it immediately, yet that same majority was composed chiefly of men willing to hear discussion, willing to be convinced, and likely to feel the influence of what had occurred in the leading State of New England. There was a body of Federalists in New Hampshire acting in concert with the leading men of that party in Massachusetts. They caused the same form of ratification and the same amendments which had been adopted in the latter State, with some additional ones, to be presented to their own convention; and eventually, though not until June 21st, after an adjournment, they gained the assent of their State.

Six States only, therefore, had adopted the Constitution at the opening of the spring of 1788. The convention of Maryland assembled at Annapolis on the 21st of April. The convention of South Carolina was to follow in May, and the conventions of Virginia and New York were to meet in June. So critical was the period in which the people of Maryland were to act, that Washington considered a postponement of their decision would cause the final defeat of the Constitution; for if, under the influence of such a postponement, following that of New Hampshire, South Carolina should reject it, its fate would turn on the determination of Virginia. The people of Maryland appear to have been fully aware of the importance of their course. They not only elected a large majority of delegates known to be in favor of the Constitution, but a majority of the counties instructed their members to ratify it as speedily as possible, and to do no other act. This settled determination not to consider amendments, and not to have the action of the State misinterpreted, or its influence lost, gave great dissatisfaction to the minority. Their efforts to introduce amendments were disposed of quite summarily. The majority would entertain no proposition but the single question of ratification, which was carried by sixty-three votes against eleven, on the 28th of April.

This was followed by the accession of South Carolina on the 23d of May. Notwithstanding a majority of seventy-six votes, there had been a strong opposition, chiefly directed against the commercial power of the Constitution, which would enable a majority in Congress to exclude foreign vessels from the carrying trade of the United States, and so far to enhance the freights on the products of South Carolina. Several amendments were added to the ratification to be presented to Congress for consideration, three of which were substantially the same with three of those proposed by Massachusetts.

A very full convention of delegates of the people of Virginia assembled at Richmond on the 2d day of June, embracing nearly all the most eminent public men of the State, excepting Washington and Jefferson. The contest was earnest and protracted. The Federalists were led by Madison, and the opposition by Patrick Henry. The constant theme of the latter was the danger threatened to the spirit of American liberty and state independence, which he asserted would be the result of the proposed consolidated government. The month of June was a very critical and anxious time for the friends of the Constitution. On the 17th, New York's convention met at Poughkeepsie; and in that State, as well as in Virginia, the issue was exceedingly doubtful. Only one more State was required to complete the nine necessary to a union. At this crisis an adverse decision by either of these States or by New Hampshire, whose adjourned convention had not yet acted, might have a fatal influence on the remaining States. But within four days of each other New Hampshire and Virginia gave their final assent to the Constitution, the former on the 21st, and the latter on the 25th by a majority of ten votes. Virginia added a long list of amendments, together with a bill of rights, to be presented to Congress for its consideration.

The victory for the Constitution in New York, against immense opposition, was won chiefly by Hamilton, assisted by Chancellor Livingston, John Jay, and James Duane. The Anti-Federalists, led by Governor Clinton, were very determined in their resistance; and their chief objection to the Constitution was the general power of taxation that would be conferred upon the national government. At last they brought forward a form of conditional ratification, with a bill of rights prefixed, and with amendments subjoined. After a long debate the Federalists succeeded in procuring a vote to change the proposition, so that, in place of the words "on condition," the people of the State would be made to declare that they assented to and ratified the Constitution "in full confidence" that, until a general convention should be called for proposing amendments, Congress would not exercise certain powers which the Constitution conferred upon it. A circular letter was then adopted, to be sent to all the States, recommending a general convention; and on the 26th of July the ratification, as thus framed, was carried by thirty affirmative against twenty-seven negative votes. By this slender majority of her delegates, and under circumstances of extreme peril of an opposite decision, did the important State of New York accept the Constitution of the United States and become a member of the new government. But the Federalists were considerably censured by their friends in other States for having acceded to the proposal for a second general convention. That there was danger lest another general convention might result in serious injury to the Constitution, perhaps in its overthrow, was a point on which there was probably no difference of opinion among the Federalists, and Hamilton and his associates undoubtedly saw the danger as well as any one. But the facts of the

case, and the importance of bringing New York into the new Union, afford a sufficient vindication of the course pursued by the Federalists in her convention. There was far less danger to be apprehended from a mere call for a second general convention than from a rejection of the Constitution by the State of New York; and they had to choose between these alternatives. The assembling of a general convention was superseded by the action of Congress upon the amendments proposed by the States.

Thus had eleven States, at the end of July, 1788, unconditionally adopted the Constitution; five of them proposing amendments for the consideration of the first Congress that would assemble under it, and one of the five calling for a second general convention to act upon the amendments desired. Two other States, however, North Carolina and Rhode Island, still remained aloof. The convention of North Carolina sat from July 21st to August 2d. It was evident from the first that an unconditional ratification could not be obtained. The Federalists contended strenuously for the course pursued by the other States which had proposed amendments, but they were overpowered by great numbers; and the convention was dissolved after adopting a resolution declaring that a bill of rights and certain amendments ought to be laid before Congress and the convention that might be called for amending the Constitution, before North Carolina could be prepared to ratify it. But in order, if possible, to place the State in a position to accede to the Constitution at some future time, and to participate fully in its benefits, they also declared that, having thought proper neither to ratify nor to reject it, and as the new Congress would probably lay an impost on goods imported into the States which had adopted it, they recommended the legislature of North Carolina to lay a similar impost on goods imported into the State, and to appropriate the money arising from it to the use of Congress.

The elements which formed the opposition to the Constitution in other States, received in Rhode Island development and aggravation from the peculiar spirit of the people and from certain local causes. The colony of Rhode Island was established upon the broadest principles of religious and civil freedom. Its early founders and rulers, flying from religious persecution in the other New England colonies, had transmitted to their descendants a natural jealousy of other communities, and a high spirit of individual and public independence. When the States entered into the confederacy, therefore, the people of Rhode Island were singularly reluctant to part with any power to the central authority. They took no part in the formation of the Constitution. When the Constitution was received by the State in 1787, the general assembly refused to call a convention, and simply referred it to the freemen in their several town meetings, by whom it was rejected. North Carolina finally ratified the Constitution November 21, 1789; and Rhode Island followed on May 29, 1790.

Running through the whole period from the adoption of the Constitution to the close of the late civil war, the history of opinion concerning the

nature of the Constitution is of peculiar interest and importance. The diversity of opinion began in 1798, during the presidency of John Adams, after the passage of two acts of Congress known as the Alien and Sedition Laws, which were believed to be unconstitutional, and were, at all events, high-handed measures. They were vigorously denounced by the legislatures of Virginia and Kentucky in certain resolutions, which have been famous in our political history as "the Resolutions of 1798." They enunciated certain doctrines respecting the legitimate mode of encountering acts of the Federal government supposed to be unconstitutional. The chief dogma which they propounded was that the Constitution is "a compact to which the States are parties;" and the conclusion enunciated was, that, "in case of a deliberate, palpable, and dangerous exercise of other powers not granted by the said compact, the States, who are parties thereto, have the right and are in duty bound to interpose for arresting the progress of the evil, and for maintaining within their respective limits the authorities, rights, and liberties appertaining to them." But the Resolutions did not define the mode in which the States were to "interpose." Thirty years afterward, when the era of nullification occurred, Mr. Madison, who in 1798 was concerned in drafting the Virginia Resolutions, made a public explanation of his understanding of their meaning. He pointed out that the seventh resolution of the Virginia series called upon all the States to unite with Virginia in denouncing the Alien and Sedition Laws as unconstitutional, and in "taking the necessary and proper measures" for co-operating with Virginia "in maintaining the authorities, rights, and liberties reserved to the States respectively, or to the people." Still, inasmuch as "the necessary and proper measures" were not defined, there was left to future times a great uncertainty as to the proper meaning of these resolutions, to which, on account of their source, considerable authority was attached. Jefferson had some hand in preparing the corresponding resolutions passed by the legislature of Kentucky; but neither he nor Madison considered that they comprehended the doctrine of nullification that was broached in South Carolina in 1830-33.

During the war of 1812-15, the measures of the Federal government, which fell with great severity on the New England States, led the legislatures of Massachusetts, Connecticut, and Rhode Island, and the counties of Cheshire and Grafton in New Hampshire, to institute the "Hartford Convention," a body composed of delegates of the Federalist party, which assembled at Hartford, in Connecticut, on the 15th of December, 1814, and sat with closed doors. It transpired from their Report, which was afterwards published, that these very eminent and respectable persons contemplated measures to be adopted by the New England States for relief against acts of the Federal government, according to what they considered constitutional principles. Their idea of constitutional methods of relief and resistance approached very nearly to the later doctrine of nullification; but in 1814-15 the emergency which, according to the Resolutions of 1798,

would call for and justify state action, had not actually arisen, although threatened, because the most obnoxious measures of the administration had not become laws, whereas the South Carolina nullifiers in 1830-33 aimed to arrest the operation of a Federal statute within the limits of that State. The Hartford Convention proposed certain restrictive amendments of the Constitution, and their Report recommended another assembly of delegates to meet in June, 1815. But the peace which soon followed superseded further action.

Passing forward to 1830-33, the student of our political history will find that rather vague and crude ideas had been entertained respecting the methods of constitutional resistance to acts of the Federal government supposed to be beyond its proper authority; and that there had descended to the nullifiers the dogma that the Constitution is a "compact" to which the "*States are parties,*" and its corollary that it is the right and duty of the States to interpose and arrest the progress of the evil. On this as the corner-stone, the theory of nullification was built. Its great expositor was Mr. Calhoun, and it is to his exposition that the student must look for a true estimate of the doctrine, and for a perception of the difference between nullification and secession. Mr. Calhoun had a perfectly clear, comprehensive, and correct idea of the mixed system of government embraced by one great federal community. As he explained it, the primary division is into the constitution-making and the law-making powers; the first being reserved in the hands of the people, and the last being divided between the common and joint government of all the States, and the separate and local government of the States respectively. But in both the powers of government are distributed among three separate and independent departments, — legislative, executive, and judicial. To preserve this sacred distribution as originally made, by causing each to move in its prescribed orbit, he considered to be the great and difficult problem, on the solution of which the duration of the Constitution and the Union and the liberties of the country depended. Thus far he was right. When he came to answer the question, "What provision does the Constitution of the United States or the system itself furnish to preserve this and the other division of powers?" he developed his solution as follows: "From the relations which subsist between co-ordinate governments, and from a law universally applicable to a division of power, whether between governments or departments of government, a mutual negative on the part of each is necessary to protect each from the other; and in a case of conflict as to the limits of their respective authority, neither has the right to impose by force its decision against the other, but must appeal to a power paramount to either, whose decision is final and binding on both. That paramount power in our system is the convention of States, the most august and imposing embodiment of political authority known to the American system of government." And this is the doctrine of nullification. The practical method of its application, devised in South Carolina, was to arrest by a

state ordinance the operation of the obnoxious tariff law of the United States within the limits of that State, and hold it in suspense until a convention of all the States should have decided that it was unconstitutional, or should have made provision for amending the Constitution so as to take away the power assumed and exercised. Hence the term nullification; the state ordinance being supposed to nullify the act of Congress for a time, and until a convention of all the States could act. The theory was apparently a complete and consistent one; and it had, or was believed to have, this merit, that it did not contemplate a withdrawal of the State from the Union, but it claimed to be, and was supposed to be, the exercise of a right within the Union and under the political system established by the Constitution.

In 1830 occurred the celebrated debate in the Senate on the doctrine of nullification between Mr. Hayne, senator from South Carolina, and Mr. Webster, senator from Massachusetts. In this debate Mr. Webster developed the opposite theory of the Constitution, which is that the people of the several States, in and by the Constitution, granted to the Federal government certain enumerated and described sovereign powers, thus constituting a government proper, whose powers are irrevocable by any process of state interposition known to the system; and that within this system there is established a judicial power, by which the conformity of legislative acts with the Constitution must be ultimately determined. No immediate action followed this discussion, but after the passage of the South Carolina ordinance of nullification it became necessary for the Federal government either to recognize and act upon the doctrine of nullification, or to oppose it, and to exert such authority as it possessed to render nullification impracticable. It was clear that an admission of the doctrine of nullification would prove cumbrous and destructive to the operations of government. On the other hand, it was apparent that force of some kind must be used to render nullification impracticable. What this force should be was determined in the following manner:—Mr. Calhoun, who in 1830 was Vice-President of the United States, resigned that position, and was chosen a senator from South Carolina in place of Mr. Hayne, who became governor of the State. Shortly after Mr. Calhoun returned to the Senate, President Jackson sent a message to Congress, transmitting the South Carolina ordinance of nullification and his executive proclamation, in which he had opposed the doctrine of nullification with great vigor, and upon substantially the same grounds taken by Mr. Webster in the debate of 1830. The President also caused to be submitted what was called the Force Bill, which was designed to counteract the state method of arresting the collection of duties levied at the custom-house on imported merchandise, and to empower the Federal courts and the marshal of the district to collect the revenue imposed by law. The proposal of this measure led to another memorable debate in the Senate, between Mr. Calhoun and Mr. Webster, in which the former developed and the latter opposed the theory of the Constitution on which the

supposed right of state nullification depended. The result was that the Force Bill became a law, and that an issue was made, which, however, was not carried out to its ultimate consequences by reason of the interposition of Mr. Clay. He proposed and carried what was called the Compromise Act, which made a gradual reduction of the protective imports through a period of ten years, until they should be brought down to a standard required for the expenses of the government. Thus far, that is, at the close of the year 1833, the result was an assertion by the Federal government of its authority to execute its own laws against all state obstructions, and a concession of the inexpediency at present of pushing that authority to its ultimate consequences. After this, the nature of the Federal Constitution, its authority to enforce its laws, and its power to encounter combinations of States entered into for the purpose of resisting its authority, did not come into much public discussion, until the era of secession, which began in 1860; and in which the right of States to secede from the Union, after every form of discussion and argument had been exhausted, was finally referred to the arbitrament of war.

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EDITORIAL NOTES ON THE SOURCES OF INFORMATION.

. Mr. Curtis has indicated the following books as the leading sources: *The Journals and Secret Journals of Congress*. The final revision of Bancroft's *History of the United States*, particularly the sixth volume, on the *History of the Constitution*. Timothy Pitkin's *Political and Civil History of the United States, 1763-1797*. Benjamin Trumbull's *Complete History of Connecticut* (New Haven, 1818). *The Madison Papers*, constituting the fifth volume of Elliot's *Debates; Letters and other Writings of James Madison*; and *The Life and Times of Madison* by W. C. Rives, and the *Madison* of Sydney Howard Gay. Sparks's edition of the *Works of Benjamin Franklin*; the *Memoirs of the Life and Writings of Franklin*, by W. T. Franklin; Duane's edition of the *Memoirs and Works of Franklin*, and *The Life and Times of Franklin*, by James Parton. Sparks's *Life and Writings of Washington*; the *Life of Washington* by Marshall, and the *Life* by Irving. *The Life and Works of John Adams*, ed. by C. F. Adams. *The Writings of Thomas Jefferson*, ed. by H. A. Washington. Sparks's *Life of Gouverneur Morris*. *The Works of Alexander Hamilton*, ed. by J. C. Hamilton; the *Life of Alexander Hamilton*, by the same; the *Life and Epoch of Alexander Hamilton*, by George Shea; the *Life*, by John T. Morse, Jr.; and *The Life and Times of Alexander Hamilton* by Samuel M. Smucker. *The History of the Origin, Formation, and Adoption of the Constitution of the U. S.*, by Mr. Curtis himself, who has also in press *The Constitutional History of the United States from their Declaration of Independence to the close of their Civil War* (N. Y.), in two volumes. For a full explanation of Mr. Calhoun's doctrines respecting nullification, see the oration on his life, character, and public services, delivered at Charleston, S. C., in April, 1887, by the Hon. L. Q. C. Lamar.

The bibliographical detail respecting these books is given elsewhere; and the Editor furnishes in the succeeding notes an enumeration of such additional sources as will serve for more particular study in various departments of the subject.

THERE is no extended bibliography of the Constitution, but the beginnings of one exist in James G. Barnwell's *Reading Notes on the Constitution* (Philad., 1887); W. E. Foster's lists in the *Library Journal*, v. 172, 222; and the references in *Pool's Index* and Jones's *Index to Legal Periodicals* (Boston, 1888); to which may, of course, be added the footnotes of Curtis and

Bancroft.¹ The text of the Constitution is found in almost innumerable places, including documentary compends, and in nearly all the books upon it. The original document is in the Department of State. It first appeared in five different Philadelphia newspapers, Sept. 19, 1787, and was copied that same month into the *Columbian Mag.* and *American Museum*, and before the end of the year it was printed in London.²

After the Convention had completed its labor,³ Washington communicated the Constitution, with a letter, to the Continental Congress.⁴ Jackson, the secretary, seems to have taken upon himself the right to destroy "all loose scraps of paper," and then, in accordance with the behests of the Convention, he delivered to Washington, subject to the disposal of the national legislature,⁵ "the journals and other papers." What we have lost by Jackson's burning we may never know, but Bancroft (final revision, vi. 306) speaks of various copies of the broadside articles being preserved in the State Department, containing the annotations of Washington, Madison, and others. The official *Journal of the Constitutional Convention* was not printed by Congress till 1818.⁶ Luther Martin, a delegate from Maryland, made a communication to the legislature of that State relative to the proceedings of the Convention, and this was printed as *Genuine Information relative to the Proceedings*, etc. (Philad., 1788). It was not of a temper to

command entire confidence, and Madison (*Letters*, iv. 289) tells us that there is good ground for believing that Martin became sensible that he had been betrayed by his irritated state of mind "into a picture that might do injustice both to the body and to particular members." Equally unfortunate was another member, Yates of New York, who belonged to the Clinton faction: and when he saw the Convention taking ground in opposition to his own views, he left it in no good humor, having only remained through about a third part of its sessions. He had taken, however, some notes of the debates, so far as he heard them, and these were published as *Secret proceedings and debates of the convention assembled at Philadelphia, in the year 1787, for the purpose of forming the constitution of the United States of America. From the notes taken by Robert Yates, and copied by John Lansing, jun., members of that convention. Including "The genuine information," laid before the legislature of Maryland, by Luther Martin, a member of the same convention. Also, other historical documents relative to the Federal compact of the North American union* (Albany, 1821; Washington, 1836; Richmond, 1839). Madison was annoyed at some parts of Yates's record, and speaks freely of its mutilations, prejudices, inaccuracies, and gross errors.⁷ Madison also refers to some notes of Major Pierce which were printed in the *Savannah Georgian* in 1828.⁸

All this while Madison was himself at work

¹ An extended bibliography of books and articles on the Constitution and government of the United States, by Albert B. Hart and Paul Leicester Ford, is in preparation. Mr. Ford is likewise printing in connection with reprints of contemporary tracts, a bibliography of the Constitution during the period before it was put in operation.

² It is sufficient to name a few editions of it, which are serviceable for their elucidations: *The constitution of the United States, with notes by Robert Desty. 2d ed., with supplement and table of cases by Albert Brunner* (San Francisco, 1887), with annotations to decisions in all courts on controverted points. W. Hickey's *Constitution of the U. S. with an alphabetical analysis*, accepted for Congressional use, with the more important State Papers, etc. (originally Washington, 1846; new ed. by Alex. Cummings, Baltimore, 1878). Ben Perley Poore's *Federal and State Constitutions, colonial charters and other organic laws of the U. S., compiled under an order of the U. S. Senate* (Washington, 1877), in two volumes. Geo. W. Paschall's *Annotated Constitution of the U. S.* (2d ed., Washington, 1876). John T. Baker's *Federal Constitution* (N. Y., 1887), with footnotes of decisions. Lossing prints it in his *United States*, p. 612, with such commentary as the ordinary reader may need. There is a useful little book among the "Old South Manuals," *The Constitution of the U. S., with bibliographical and historical notes, prepared by Edwin D. Mead* (Boston, 1887). Cf. Stearn's *Concordance of the Constitution*. J. C. Hamilton, in his edition of *The Federalist*, gives a collation of texts.

³ It sat, not in Carpenter's Hall, but in the State-House. *Penna. Mag. of Hist.*, April, 1887, p. 81.

⁴ *Journals*, iv. 776.

⁵ Madison's *Letters*, etc., iii. 53. Washington, March 19, 1796, deposited in the State Department the papers, then making three volumes: one of 153 pp., being the journal; a second of 28 pp., the proceedings in committee of the whole; the third, in 8 pp., a record of yeas and nays.

⁶ The same volume contains the credentials of the members (also in App. to *Journals of Cont. Cong.*, iv. 20, and in Towle, p. 348), the Constitution itself, and the several state ratifications. Cf. *Journals, Acts, and Proceedings of the Convention*, etc. (Boston, 1819). Cf. note on sources in Elliot's *Debates* (1866), i. 121-123.

⁷ Madison's *Letters*, iii. 226; iv. 9-12, 16, 17, 288, 310.

⁸ *Letters*, iv. 139.

putting his own notes¹ in shape.² While thus engaged he had some correspondence³ with Jonathan Elliot respecting the first edition of what has become, in its various issues, the great resource for the student of the formative age of the Constitution, Elliot's *Debates*.⁴

A list of the members of the Convention will be found in Sparks's *Washington*, xii., and in Curtis's *Hist. of the Const.*, i. 510.⁵ Curtis⁶ gives the characters of leading members: Washington, Hamilton, Madison, Franklin, Gouverneur Morris, Rufus King, C. C. Pinckney, James Wilson, and Edmund Randolph.⁷

The struggle for the adoption of the Constitution by the States forms the closing parts of both Curtis's and Bancroft's histories, and the later is helpful from his references to records in the newspapers not readily found elsewhere, and

supplementing the records of the States given in Elliot's *Debates*.⁸

We may next note the principal sources which mark the progress towards ratification in the States, premising that it is thought unnecessary to cite the several histories of the States in all cases.

For Delaware, the first to confirm the Constitution, we have no particular record.

Pennsylvania next ratified, and a volume was published of the *Debates of the Convention of Pennsylvania, taken accurately in short hand by Thomas Lloyd* (Philadelphia, 1788), which embraced at length the speeches in favor of the Constitution by Thomas McKean and James Wilson.⁹

We have no distinct record of the proceedings in New Jersey. She had been the advocate of equal rights for the States, and the *Life of*

¹ On his opportunities for taking them, see Rives, ii. 310.

² *Letters*, iii. 228, 243; cf. iv. 18, 21, 73, for some notes on the Constitution written later.

³ *Letters, etc.*, iii. 544, 552, 508.

⁴ *Debates in the Conventions of the several States on the adoption of the Federal Constitution* (Washington, 1827-1830), four vols. A second edition, "with considerable additions" (Washington, 1830). These four vols. contained the journal of the Convention, Martin's letter, Yates's notes, the debates in several of the state conventions, excerpts from debates (1780-1836) in Congress on constitutional questions, beside other documents like the Virginia and Kentucky resolutions, the South Carolina ordinance of nullification, and Jackson's proclamation, etc. Subsequently a fifth volume was added, containing Madison's account of the debates. Editions of the complete five volumes are found with these imprints: Philadelphia, 1854, 1850, 1801, 1806, 1876 (Sabin, vi. p. 151). Madison's *Debates* and the leading histories of the Convention present the divers plans which were brought forward. Various such plans are appended to Fowle's *Hist. and Analysis of the Constitution*. The original draft of Hamilton's plan is in the Astor Library, and, from a copy in George Read's handwriting, it is printed in Read's *George Read*, p. 453. Madison has a long letter on Pinckney's plan (*Letters*, iv. 378). M. D. Conway printed Randolph's draft in *Scribner's Monthly*, Sept., 1887.

⁵ Fac-similes of the signatures of those who signed are given in Lossing's *War of 1812*, pp. 30, 31. References on the lives of the members are given in Barnwell's *Reading Notes on the Const.*, p. x.

⁶ Vol. i. 380, 406, 420, 433, 445, 448, 454, 492, 480. Cf. characterizations in Rives's *Madison*, ii. 273-308.

⁷ For condensed accounts of the personal aspects of the Convention, see McMaster's "Framers and Framing of the Constitution" in the *Century*, Sept., 1887, xxxiv. 740; Mrs. M. J. Lamb in *Mag. Amer. Hist.*, April, 1885, p. 313, with 18 portraits; Griswold's *Repub. Court*, p. 44; and on the Southern members, A. J. Bledsoe in the *Southern Rev.*, new ser., ii. 350. Johnston (*Connecticut*, p. 310) sets forth the influence of the Connecticut delegates. Madison's letters during its progress are in his *Letters*, etc., i. 330-340. (Cf. on his participancy, Webster's *Works*, i. 202, iv. 301; Gay's *Madison*, ch. 7-9; Rives's *Madison*, vol. ii.) We have Washington's diary and letters at the time (*Penna. Mag. Hist.*, xi. 206). Cf. Sparks, i. 435; ix. 338). We may follow Franklin in the Convention in Sparks's *Life of F.*, p. 520; in Patton's, ii. 504, and in Franklin's own words in Bigelow's (iii. ch. 11). The lives of Hamilton necessarily embody much of the history of the Convention (John C. Hamilton's; Morse's, i. 160; Lodge's, ch. 4; Kietlunuller). Madison (*Letters*, iv. 214) wrote a letter to Austin, the biographer of Gerry, on Gerry's services; but Gerry, with others, refused to sign the Constitution (Sparks's *Washington*, ix. 270). On Gouverneur Morris's part, see Sparks's *Life of G. M.* (i. ch. 17) and Madison's *Letters*, iv. 108, 181, 201. On the attitude of George Mason in opposition, see Garland's *Randolph* (ch. 8) and Madison's *Letters*, iii. 025. The conspicuous assistance of James Wilson has long been recognized, and his speech in the Pennsylvania Convention in defence of the Constitution has been held to be one of the most luminous of the contemporary elucidations (James Wilson's *Works*, Philad., 1854, vol. iii.; Curtis's *Hist. of the Constitution*, i. 465; Frank Moore's *Amer. Eloquence*, vol. I.).

⁸ Cf. also Hildreth, iii.; Schouler, i. 50; McMaster, i. 454; Von Holst, i. 54; Rives's *Madison*, ii. 111; J. C. Hamilton's *Hamilton* (1879 ed.); Morse's *Hamilton*, i. 238; and necessarily the lives of leading actors in the struggle. The acts of ratification by the several States are given in *Niles's Register*, xliii., supplement. Cf. Coker's *Const. Hist. U. S.*, i. 88.

⁹ Cf. Elliot, vol. ii. The letters of John Dickinson as "Fabius" are included in his *Polit. Writings* (Wilmington, 1801, vol. ii.). A minority of 16 published their *Reasons of Dissent* (*Amer. Museum*, ii. 330), and elicited strictures under the title of *Remarks on the Address*, etc. (Philad., 1787).

George Read (ch. 6) shows how anxious she and the other smaller States had been.¹

Georgia followed, but we are destitute of her detailed record.

Connecticut came next, and her proceedings are in Elliot, ii., in a fragmentary state.²

Of the action of Massachusetts we have abundant record, which is elaborately summarized in a centennial address by Abner C. Goodell, Jr., which was published in the *Boston Weekly Post*, Feb. 10, 1888. The action of the convention was daily noted by Major Benjamin Russell, and published in his *Massachusetts Centinel*, day by day. He had no knowledge of stenography;³ but his minutes were afterwards revised, in some cases by the speakers,⁴ and published as the *Debates, Resolutions, and other Proceedings of the Convention of the Commonwealth of Massachusetts, convened at Boston on the 9th of January, 1788, and continued until the 7th of February following, for the purpose of assenting to and ratifying the Constitution recommended by the Grand Federal Convention, together with the Yeas and Nays on the decision of the Grand Question: to which the Federal Constitution is prefixed* (Boston, 1788). This may be supplemented by the notes made by Dr. Jeremy Belknap while the convention was sitting in his meeting-house (*Mass. Hist. Soc. Proc.*, iii. 296-304), while Belknap's letters at the time to Hazard are given in the *Belknap Papers*, ii. 6-18. The record, as it appeared in the *Mass. Gazette*, Feb. 8, 1788, is reprinted in the *N. E. Hist. and Gen. Reg.*, i. 232. There was a new edition of the *Debates* in 1808, and the State finally, in 1856, gave an official sanction to Russell's edition of the

Debates, etc., edited by Bradford K. Peirce and Chas. Hale.⁵

There is in Parsons's *Life of Theophilus Parsons* (p. 59, etc.) an account of the way in which Samuel Adams and John Hancock were induced to support the Constitution. The conciliatory propositions made by Hancock were written by Parsons.⁶ On Parsons's influence in the Convention see Isaac Parker's *Sketch of the character of the late Chief-Justice Parsons* (Boston, 1813), p. 22.

Maryland ratified the Constitution the seventh in order. Cf. Elliot, ii., and Henry P. Goddard's *Life of Luther Martin* in no. 24 *Maryland Hist. Soc. publications*.

South Carolina followed next. Curtis (ii. 511) calls the debates (Elliot, iv.) one of the most able of all the discussions.⁷

The ninth State, New Hampshire, made the necessary number of States complete, and when the news of her accession reached Philadelphia it was the occasion of a great pageant. Francis Hopkinson wrote the official account.⁸

For the journal of the New Hampshire convention, see *Hist. Mag.*, xiii. 257; *N. H. Proc. and State Papers*, x.; Elliot's *Debates*, ii.

The struggle in Virginia was a trying one. Washington's letters (Sparks, ix.) are full of anxiety pending the result, and his correspondents kept him informed (Sparks, *Corresp. of the Rev.*, vol. iv.). The opposing attitude of R. H. Lee is shown in his *Observations leading to a fair examination of the system of government proposed by the late Convention: letters from the Federal Farmer* (1787). Patrick Henry bore the burden of conducting the opposition in the convention.⁹

¹ New Jersey celebrated the centennial of her action, and the address of Prof. Austin Scott is printed in the *New Brunswick Daily Home News*, Dec. 17, 1887.

² Cf. Johnston's *Connecticut*, ch. 17, and Beardsley's *William Samuel Johnson*, p. 127.

³ Buckingham's *Reminiscences*, ii. 49.

⁴ Russell says in a note to his collected reports that he did not have an eligible place to take his notes, and that he had not been able to obtain revisions from some of the speakers.

⁵ This edition includes also the official journal and other documents preserved in the state archives, together with notes kept by Theophilus Parsons, which are now in the Boston Athenæum; the dissenting letter of Gerry, dated Oct. 18, 1787, and current discussions from the *Chronicle and Centinel*. Elliot's *Debates* (vol. ii.) also reprints the Russell collection.

⁶ Wells's *Adams*, iii. 250; Amory's *James Sullivan*, i. 223; Sullivan's *Familiar Letters*, no. iv.; Bancroft, vi. 395, praises Hancock's action.

Other personal records are given in Austin's *Gerry*, with Gerry's letter of dissent, p. 42; Lodge's *Cabot*, 24; and Parsons (p. 80) cites the recollections of James Savage. Cf. Barry's *Massachusetts*, iii. 273; Rives's *Madison*, ii. 321; A. W. Clason in *Mag. Amer. Hist.*, Dec., 1885 (vol. xiv.).

⁷ Cf. the *Debates on the Constitution* (Charleston, 1788), and Elliot, iv. Charles Pinckney published *Observations on the Plan of government submitted to the Federal Convention* (N. Y., 1787). Cf. A. W. Clason in *Mag. Amer. Hist.*, Feb., 1886.

⁸ Cf. Hopkinson's *Essays*, ii. 340; *Amer. Museum*, iv. 57; Hazard's *Register of Pa.*, i. 417; Watson's *Annals*, ii. 341; Scharf and Westcott's *Philad.*, i. 447.

⁹ Wirt's *P. Henry*; Tyler's *P. Henry*, ch. 18, 19. Henry's great speech, June 4, is given in Johnston's *Amer. Orations*, vol. i.

W. W. Henry in *Amer. Hist. Assoc. Papers*, ii. 20, enlarges on P. Henry's objection to the absence of a guaranty for religious liberty, and Dr. Philip Schaff in his *Church and State in the U. S.* (N. Y., 1888, — *Amer. Hist. Assoc. Papers*, ii.) examines the relations of the Constitution to religious liberty.

Madison's *Letters* (i. 341) record his anxiety.¹

The *Debates and other Proceedings of the Convention of Va., to which is prefixed the Federal Constitution* (Petersburg, 1788), reached a second edition as *Debates and other proceedings of the convention of Virginia convened at Richmond, 2d June, 1788, for the purpose of deliberating on the constitution recommended by the Grand Federal Convention, taken in shorthand by David Robertson* (Richmond, 1805). The *Journal* was printed at Richmond in 1827.²

The last State to accede previous to the organization of the government was New York. John Jay wrote *An address to the People*, published anonymously (N. Y., 1787). It is in Elliot, vol. i.). Hamilton's great speech, June 24, 1788, urging the adoption, is in his *Works*, and in Johnston's *Amer. Orations*, vol. i. Bancroft (vi. 458) summarizes Clinton's speeches in opposition from the Clinton Papers in the State Library at Albany.³

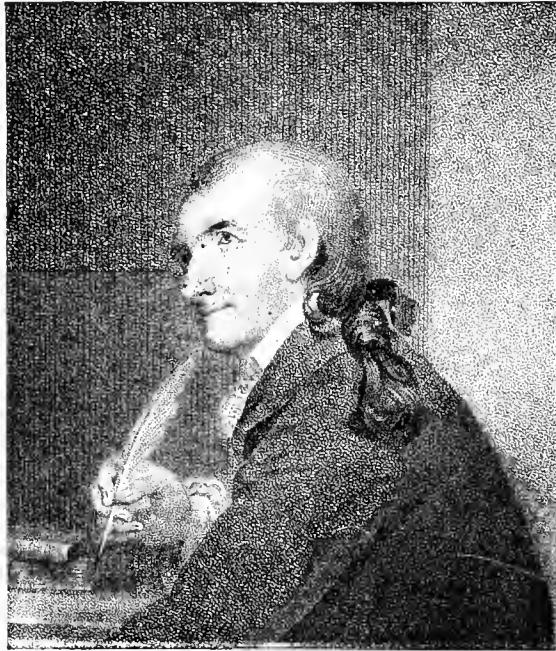
Subsequent to the institution of the government, North Carolina acceded to the Union, and the debates of her convention are in Elliot, iv.⁴

The laggard Rhode Island was frightened at the risks she ran in remaining an alien State, and came in by accepting the Constitution, May 29, 1790. Cf. Gov. Collins's letters on the grounds of her opposition in Sparks's *Washington*, x. App. 6; and a note of her farcical exhibitions in Staples's *Providence*, 329.

The papers of *The Federalist*, then and now, are the best of expositions.

The last word on its bibliography is in P. L. Ford's *Bibliotheca Hamiltoniana*, pp. 13-35. Lodge, in the ninth volume of his *Works of Hamilton*, gives a bibliography, which adds two editions to those enumerated by Dawson in his edition of 1863, where will be found more bibliographical detail than Lodge gives. The first

collected edition appeared in two successive volumes in 1788, with a text revised somewhat from its form in the serial issue. There were changes in the edition of 1802, but Dawson doubts their having been authorized by Hamilton. Madison revised his own papers in the edition of 1818. The best account of the text is in Lodge's essay in his *Works of Hamilton*, vol. ix. Dawson, in editing the book in 1863, went back to the serial text as the only authoritative one, assuming that



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neither Hamilton nor any one else was warranted in revising the text of a publication become so like a public document, but this view would obviously meet with question.⁵ The last eight numbers did not appear serially, and Dawson had to take their text from the edition of 1787. He also provided an historical introduction, which

¹ Cf. Rives's *Madison*, ii. 560. His reply to Mason's objections, Sept. 30, 1787, is in Sparks's *Washington*, ix. 542, with a letter to Washington (p. 547).

Declar's letters on the opposition to the Constitution in Virginia, by J. Nicholas, reached a third ed. (Richmond, 1818).

² Cf. Elliot, iii.; A. W. Clason in *Mag. Amer. Hist.*, June, 1886, p. 506; Magruder's *Marshall*, ch. 5; L. G. Tyler's *Letters and Times of the Tylers*.

³ Cf. Elliot, ii.; Rives's *Madison*, ii. 625; Lossing's *Schuyler*, ii. 442; Lodge's *Hamilton*, 71; J. A. Stevens in the *Mag. Amer. Hist.*, ii. 385; and A. W. Clason in *Ibid.*, Aug., 1886, p. 148.

⁴ Cf. McRae's *Tredell*, ii. ch. 21, 22; and A. W. Clason in *Mag. Amer. Hist.*, April, 1886.

⁵ Cf. Professor H. W. Torrey in *No. Amer. Rev.*, April, 1864.

* After Pine's picture, as engraved by J. Heath, in Delaplaine's *Repository*, 1818. Cf. *Philad. Loan Exhib. Catal.* 1887, nos. 193, 194.

elicited rebuke in some quarters for being made the vehicle of enforcing the principles of state sovereignty at a time (1863) when a war was waging to destroy them.¹ The latest edition, in some sense an antidote to Dawson's, was edited by John C. Hamilton (Philad., 1864), with an elaborate introduction.

Madison (Rives, ii. 485) tells us that the essays were hastily written, and without more concert than came of similarity of views; and that the illness of Jay prevented his taking the leading part, which had apparently been intended for him; and John Adams (*Works*, x. 115) tells us that Jay's name was the one of most influence in the undertaking. Madison's distinction between a republic and a democracy did not commend itself to Adams, who thought a democracy is as really a republic as an oak is a tree (*Works*, x. 378). Story speaks of the papers as simply aimed to meet prevalent objections, without an attempt "to pursue any very exact order in the reasonings." The burden fell on Hamilton and Madison, since the few papers by William Duer, intended for the series, were not included in the collection till embraced by J. C. Hamilton in his edition in 1864. Over the respective shares of these two leading writers there has been much dispute, the biographers of each not being willing to allow the claims presented for the other. Rives² and the edition of 1818, which gives what is called Madison's own assignments of authorship, must thus be contrasted with what is called Hamilton's assignment in the edition of 1810, and with the discussions of Hamilton's several biographers. J. C. Hamilton in his edition of *The Federalist*, and Lodge in his *Works of Hamilton* (vol. ix.), have extended essays on the authorship; the latter's is based on a communication which he made to the American Antiq. Society (*Proceedings*, April, 1885). In this paper Lodge gives a full account of the various lists assigning authorship, emanating from Hamilton, Washington, Madison, and Jefferson; and his conclusion is that as regards 12 numbers, the testimony is too conflicting to determine beyond question their authorship. His conclusions are safer than those of J. C. Hamilton.³

The treatises on the scope and limitations of the Constitution and on the practical operations of government under it are very numerous; but a few, however, need to be mentioned, chiefly with the view to mark stages in historical development, and to indicate varieties of treatment. It may be well in the first place to revert to John Adams's *Defence of the Constitutions* (1787-1788), in which he argued for the checks and balances incident to the old world system of "the one, the few, and the many," as three estates of political society (*Works*, vi.). Madison was fearful that the views might command a troublesome acceptance (Rives's *Madison*, ii. 504); but the protests of Samuel Adams and Roger Sherman were effectual symptoms of a general dissent.⁴

Among the earliest indicative comments on the Constitution was James Sullivan's *Observations on the government of the U. S.* (Boston). William Rawle, a distinguished lawyer in Philadelphia, published a *View of the Constitution* (1825; 2d ed., 1829). Some of the earliest of the more popular treatises were James Bayard's *Exposition of the Constitution* (Philad., 1833), and P. S. Duponceau's *Brief View of the Constitution* (Philad., 1834). Henry Baldwin's *General View of the Origin and Nature of the Constitution and Government of the U. S.* (Philad., 1837) was the work of one of the judges of the Supreme Court itself. C. B. Goodrich's *Science of Government as Exhibited in the U. S.* (1853) was such a semi-popular elucidation as befitted a course in the Lowell Institute. Henry Flinders's *Exposition of the Constitution* (1860, 1874). S. G. Fisher's *Trial of the Constitution* (Philad., 1862) and William Whiting's *War powers under the Constitution* (many eds.) mark the epoch of the Civil War. O. A. Brownson's *American Republic, its Constitution, tendency and destiny* (N. Y., 1866) is the work of a vigorous writer, who rejects the theory of state sovereignty in its broadest application.⁵ Cf. sundry articles in Lalor's *Cyclopaedia*. An English view of the secession principle is in James Spence's *American Union* (London, 1862, 3d ed.), with a good many unhappy prophecies.

¹ Dawson's text without comment was reissued in 1881 for text-book use.

² ii. 486, etc.; also Madison's *Letters*, i. p. 1; iii. 58, 59, 60, 90, 110; iv. 177.

³ Cf. Bancroft, final revision, vi. 452, who thinks Madison's statements determinative; Schouler, i. 57, who holds similar views.

⁴ Cf. Adams's correspondence with them in *Works*, vi. 411; and Camillus's *Political Reformer*, Philad., 1797. Cf. the *Adams and Mercy Warren Correspondence*. Adams's *Defence* appeared in Paris as *Apologie des Constitutions des États-Unis*, but its Anglican tendency made it unpopular in France; while a sort of sweeping success attended a treatise attributed to William Livingston, called in the French version, *Examen du gouvernement d'Angleterre comparé aux Constitutions des États-Unis, où l'on réfute quelques assertions contenues dans l'ouvrage de M. Adams, 'Apologie', etc., et dans celui de M. De Lolme, par un Cultivateur de New Jersey*. It was translated by Fabre, and annotated by Condorcet, Dupont de Nemours and Gallois (Rosenthal's *America and France*, p. 150, with references).

⁵ The work is included in his *Works* (vol. xviii.) and in it he has compacted much of the political theory, which will be found in various papers scattered through the same *Works* (vols. x., xv., xvii., xviii.).

The interpretation of the Constitution through the courts can be followed in the *U. S. Supreme Court Reports*.¹

Judge Henry B. Brown, of Detroit, has published in the *Amer. Law Review* (1887) and separately *The Dissenting opinions of Mr. Justice Daniel*, who held a seat on the U. S. Supreme Bench, 1841-1860, a period corresponding nearly to Howard's Reports. He dissented in 111 cases, and represented the extreme Southern view on the questions of slavery, internal improvement, the relations of the Federal government to the States, etc.; and Judge Brown speaks of these opinions, in analyzing them, as exhibiting "the views of a political school, of which Judge Daniel was perhaps the last survivor."

Von Holst says: "Since both the inferior Federal courts and the State courts have to pass upon the constitutionality of Federal and State laws, and all the disputed questions of constitutional law cannot possibly be brought before the Supreme Court for adjudication, the decisions of these other courts often carry great weight."²

More or less of illustrative matter will be found in the lives of the Supreme Court judges. The memoirs in Henry Flanders's *Lives and Times of the Chief Justices* (Philad., 1858) cover the accounts of Jay, Rutledge, Cushing, Ellsworth, and Marshall. The narratives are briefer in George Van Santvoord's *Sketches of the lives and judicial Services of the Chief Justices* (N. Y., 1856; 2d ed., edited by W. M. Scott, Albany,

1882). There are separate lives of Jay and Marshall mentioned elsewhere. Of the associate justices, there are lives of Iredell by McKee, and of Joseph Story by his son, W. W. Story (Boston, 1851), in two vols., in both of which there are constitutional questions dis-



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cussed. Marshall's *Writings on the Federal Constitution*, being his decisions, was published at Boston, 1839, edited by J. H. Perkins. Story's

¹ An account of these is given in B. V. and A. Abbott's *National Digest* (1780-1880), and a bibliographical summary is in Chas. C. Soule's *Lawyers' Reference Manual* (Boston, 1883). The *Reports*, by A. J. Dallas (4 vols.) come to 1800; W. Cranch (6 vols.) to 1815; H. Wheaton (13 vols.) to 1827; R. Peters (16 vols.) to 1842; and B. C. Howard (24 vols.) to 1860. The later ones are beyond the limits of the present history. The *Cases before Story*, by Gallison, make 4 vols. In the nature of abridgments are R. Peters's *Condensed Reports* (6 vols.), 1791-1827; B. R. Curtis's *Decisions* (22 vols.), 1700-1854. Von Holst (*Const. Law*, p. 36) refers to an edition begun in 1882 (Rochester, N. Y.) under the editing of Stephen R. Williams, "which is more complete, more convenient, and in many respects more valuable" than Curtis's.

In the nature of helps to study are the *Opinions of the attorneys-general*; H. Wheaton's *Digest of Decisions*, 1780-1820; R. S. Coxe's *Digests of the Decisions*, 1780-1827; B. R. Curtis's *Digest of Decisions*, 1700-1854; and the *Digests* of B. V. and A. Abbott; Rapalye's *Federal Reference Digest*, 1780-1800; Brightly's *Digest of Federal Decisions*, 1780-1873; A. C. Freeman's *Digest of American Decisions* (San Francisco, 1882); Desty's *Federal Citations*, 1780-1878; Myer's *Index, U. S. Supreme Court*, 1780-1878; Lauck and Clarke's *Table of Cases*, 1780-1880; and O. F. Bump's *Notes of Constitutional decisions* (N. Y., 1878).

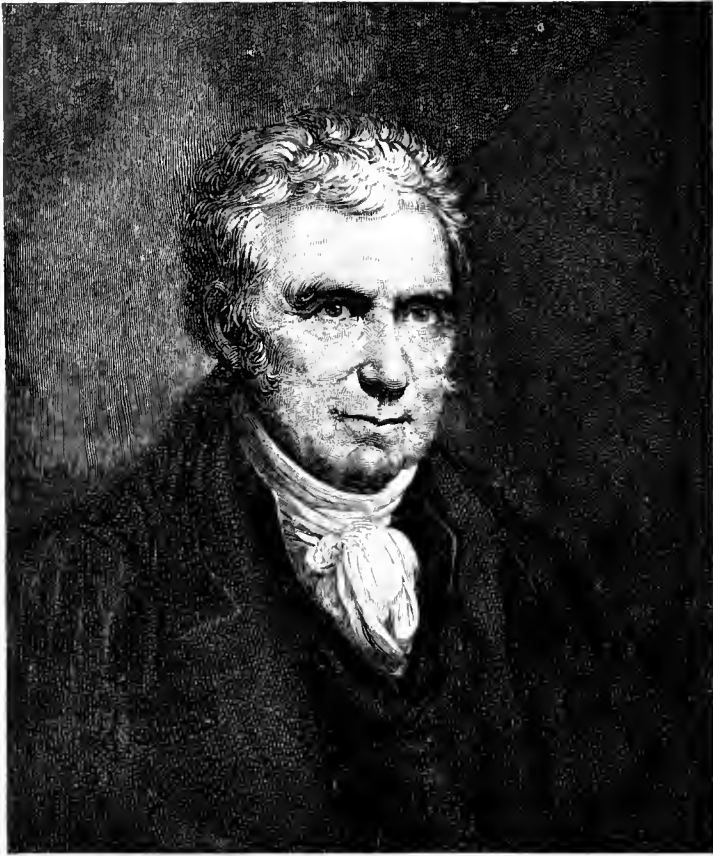
² Cf. Soule's *Lawyers' Reference Manual*.

Von Holst (*Const. Law*, p. 36), referring to the *Statutes at Large*, says: "The student cannot dispense with them, although the *Revised Statutes*, 1875; 2d ed., 1878; *Supplement*, 1874-1881, are more convenient by their topical arrangement and their references to decisions of the Supreme Court; but they contain only the laws at present in force." Cf. Brightly's *Digest of the Laws of the U. S.* (1780-1857).

* From the *National Portrait Gallery*, 1830, vol. iv., after a painting by J. Herring. Trumbull's picture as engraved by Edwin, is in the *Analectic Mag.*, May, 1814. Cf. J. C. Hamilton's *Hamilton*, 1879 ed., vii, 306, and *The Century*, July, 1887.

Commentaries on the Constitution (Boston, 1833), in three volumes, is the main resource for the upholders of the view that the combined States

are a unified government, and not a league. The fourth edition, edited by Judge Thomas M. Cooley (Boston, 1873), is now the standard edition.¹



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¹ Story's view of the Constitution, which is popularly put in his *Familiar Exposition of the Constitution* (N. Y., 1850), is the ground taken by Hamilton, Marshall, and Webster, and in the writings of these expounders, as in Story's, it has the strongest presentation. Cf. "A Strong Government," by G. T. Curtis in *Harper's Monthly*, June, 1880, and Francis Lieber's *What is our Constitution? League, fact, or government?* Von Holst enforces this view in his *Constitutional Law*, p. 43. J. C. Hurd's *Theory of our National Existence* (Boston, 1881) is a full, legal inquiry into the nature of our government.

The opposing view of a league is best illustrated in the *Works* of Jefferson, and, among the later writers, by Calhoun (*Works*, vol. i.); A. H. Stephens's *Constitutional view of the late war between the States* (Philad., 1868), and Jefferson Davis's *Rise and Fall of the Confederate Government* (N. Y., 1881), vol. i. part 2. Abel P. Upshur, in his *Brief enquiry into the nature and character of our Federal Government* (Petersburg, 1840; Philad., 1863), is a direct examination of Story's *Commentaries*. Van Buren (*Political Parties*, ch. 4) compares the respective views as held by Madison and Hamilton. Mr. Geo. H. Yeaman, in his *Study of Government* (Boston, 1871), p. 36, says of Madison that "he failed to express and to adhere to any opinion sufficiently positive and well defined to make it just to class him strictly on either side of the question; and the fact that he is often freely and confidently quoted by both schools of politics may very possibly only

* After an original likeness by Rembrandt Peale, in the rooms of the Long Island Historical Society, and engraved by the society's permission. Inman's picture is engraved by A. B. Durand. Cf. *Nat. Port. Gallery*, 1834, and *Mag. Amer. Hist.*, July, 1884. It was painted in 1831, when Marshall was seventy-six years old; and is owned by the Law Association of Philadelphia. There is an engraving of a profile taken about the time he was made chief-justice, when he was about forty-five.

The Commentaries on American Law, by Judge James Kent, is equally famous, and, in its latest forms, is edited by Oliver Wendell Holmes, Jr. (Boston, 1873), and by C. M. Barnes (13th ed.). Of the later commentators, Judge Cooley is probably one of the weightiest, in his *Treatise on the Constitutional Limitations which rest upon the legislative power of the States of the American Union* (1868; 2d ed., Boston, 1871), and his *General Principles of Constitutional Law in the U. S.* (Boston, 1880). A well-known excellent book is John Norton Pomeroy's *Introduction to the*

Constitutional Law of the U. S. (N. Y., 1868),—preferably to be consulted in the ninth or later editions, revised and enlarged by Edmund H. Bennett (Boston).¹ Cf. Francis Wharton's *Commentaries on Law* (Philad., 1884), ch. 6.

There are two leading histories of the Constitution, including its final adoption by the States,—those of George Ticknor Curtis and George Bancroft, written with a long interval between them.²

All the general histories of the United States are, in part at least, constitutional ones; but

illustrate the ingenuity of his many admirers rather than his own inconsistency." Cf., on Madison and the Constitution, *Quarterly Rev.*, cxlv, 257, and the *Exposition of the Constitution contained in the Report called Madison's Report* (Richmond, 1810).

The "league" theory is elaborately wrought out by Bernard J. Sage of New Orleans, in the *Republic of Republics*, by P. C. Centz (Boston, 4th ed., 1881), in a way avoiding the later conflicts of opinion, using "no facts or authorities originating after the federal system was set in motion," and he cites some views in his App. C to show "that federation was always intended." The theory of a "nation," as strengthened by the trials of the Civil War, is put forth with elaboration in Timothy Farrar's *Manual of the Constitution* (Boston, 1867), and this and the book by Sage may be read as exemplifications of conflicting views.

The speeches of Webster and Hayne in the famous debate of 1830 perhaps express respectively the antagonistic sentiments in as forcible a way as Congress has heard them. Sage (*Republic of Republics*, App. E) contends that Webster's real constitutional views were his earlier ones of the Boston Report of 1810, where he argued for the "compact" theory, at the time of the Missouri controversy.

Of less importance, but sometimes varying the application usefully, are, among others, St. George Tucker's notes to the Constitution and laws of the U. S. in his ed. of *Blackstone's Commentaries* (Philad., 1803), and his *Lectures on Constitutional Law*; Thomas Sergeant's *Constitutional Law* (Philad., 1822); D. Raymond's *Elements of Constitutional Law* (Balt., 1840; Cinn., 1845, etc.); W. A. Duer's *Constitutional Jurisprudence* (N. Y., in *Harper's Family Library*, 1843), intended for popular use; Theodore Sedgwick's (d. 1850) *Treatise on the rules which govern the interpretation of Statutory and Constitutional Law* (2d ed., enlarged and annotated by J. N. Pomeroy, N. Y., 1874). W. O. Bateman's *Polit. and Constitutional Law of the U. S.* (St. Louis, 1870) is a book enlarging on the tendency to make Congress instead of the people sovereign, which is also in a way the burden of Woodrow Wilson's *Congressional Government* (4th ed., Boston, 1887), in which it is maintained that the government is in reality one by the chairmen of standing committees of Congress.—which view is considered an exaggeration by Von Holst (*Constitutional Law*, 101), who, however, recognizes much truth in it. Elisha Mulford's *Nation* is a strong exemplification of the sovereignty of the people; Dr. Schaff says of it that the book "grew out of the enthusiasm for the nation enkindled by the civil war. It is a profound study of speculative politics, with the main ideas borrowed from Bluntschli and Hegel" (*Church and State in the U. S.*, p. 53). It is to emphasize this view that James Monroe wrote his *The People the Sovereigns, being a Comparison of the Government of the United States with those of the republics which have existed before*. Ed. by S. L. Gouverneur (Philad., 1807).

² Curtis's *History of the origin, formation, and adoption of the constitution of the United States; with notices of its principal framers* (New York and London, 1854-58), is in two vols., and later dates.

Bancroft's *Hist. of the formation of the Constitution of the U. S.* (N. Y., 1882), in two vols., passed to a third ed. (1883) before it was made vol. vi., with final revisions, of his *Hist. of the U. S.* It is also issued separately in a "Student's Edition" in one volume. Perhaps the most extensive of the other accounts is that contained in the second volume of Rives's *Madison*. J. C. Hamilton gives an historical sketch in his edition of *The Federalist*; but it needs to be taken with a full recognition of its author's nepotial tendencies. For treatment in the general histories, see Hildreth, iii, 482; Gay, iv, 100; Schouler, i, ch. 1; McMaster, i, 438. Cf. Frothingham's *Rise of the Republic*, 500; Austin's *Gerry*, ii, ch. 1; Van Buren's *Polit. Parties*, p. 45; Greeley's *Amer. Conflict*, ch. 5; Jameson's *Constitutional Convention* (1867, 1860, 1873, 1877); W. C. Fowler's *Sectional Controversy* (ch. 2); Joseph Allen's *Science of Government*, p. 57. Books which bring incidentally more or less the history of the Constitution within their scope are too numerous to attempt a longer catalogue. A few of the more direct treatments in magazines are those of Sparks in the *N. Amer. Review*, xxv, 249; J. Randolph Tucker's history of the "Federal Convention of 1787 and its work" in the *New Englander*, Aug., 1887, vol. xlvii, 97, and separately (New Haven, 1887); and John Fiske in the *Atlantic Monthly*, Nov., 1887 (lix, p. 817).

Two companionable books to the student in the study of the Constitution are John A. Jameson's *Constitutional Conventions* (N. Y., 1867; 4th ed., revised and enlarged, Chicago, 1887), and Nath. C. Towle's *Hist. and Analysis of the Constitution* (3d ed., Boston, 1871).

the only considerable book which has that distinctive designation is that by Hermann Von Holst, and it takes up the story of the progress and modifications of the Constitution under the stress of party politics and the changes of national requirements. The original German¹ is, unfortunately, not likely to be resorted to by most American students, and the English translation² not infrequently does injustice to the author's meaning. The book, on the whole, is not, through a lack of even progression, a perfectly well-made one, though the author's ability and general accuracy become patent to the reader. There is a want, at times, of something like equipoise, and a sharp characterization is occasionally pushed to the verge of flippancy, and becomes offensive in proportion to its vividness. When a political critic's object is to be search-

ing in threading the toils of opinion and action, it is easy to slide into asperity, and thence into pessimism; and Von Holst is not exempt from the failing. The American habit of pardoning what is bad if it only has worldly success, and a tendency to meet exigencies with a certain persiflage, does not indicate quite the lack of moral integrity, to those who understand it, that the foreigner, like Von Holst, may see in it. It is not, however, a misfortune for Americans, at least, that the habit and temper strike a stranger as a moral defect, and that he tells us so.³

There is probably but one other book written by a foreigner on the American Constitution and its workings to share the chief distinction with Von Holst, and that is the *Démocratie en Amérique* par Alexis de Tocqueville, originally published at Paris in 1835.⁴

¹ The first volume was called *Verfassung und Demokratie der Vereinigten Staaten von Amerika* (Düsseldorf, 1873). The title was changed in the second volume to *Verfassungsgeschichte der Vereinigten Staaten seit der Administration Jacksons*. In the preface to the German edition Von Holst announces his purpose to treat first the political history of the Constitution, and this he has done down to 1856 in the five vols. already published. The legal history he has not yet compassed in an extensive way, but he has pursued it in the treatise which has been translated by A. B. Mason as *The Constitutional Law of the U. S.* (Chicago, 1887). Von Holst represents that this book is compressed too much to satisfy him, since he had to meet the requirements of a series on Public Law, to which it belongs, and which was intended for European readers. This, he says, has forced him to a method not adapted to American readers. His opening chapter is a compact summary of the history of the impulses towards, and the formation of, the Constitution, which may be compared with the second chapter of his *History* on the worship of the Constitution and its real character. To carry out his scheme as originally advanced, he needs to add a treatise on the present social and political condition of the country.

² *The constitutional and political history of the United States. Translated from the German by John J. Labor, Alfred B. Mason, and Paul Shorey* (Chicago, 1876, 1879, 1881). The volumes, so far as they come within the period of the present History, are: i., State sovereignty and slavery, 1750-1833; ii., Jackson's administration, — the Annexation of Texas, 1828-1840; iii., Annexation of Texas, — Compromise of 1850, 1840-1850. The translators say in their note to vol. ii., that Von Holst thought that their English title raised a claim for the first volume which the book did not entirely support.

³ Cf. the better American qualified confession of the force of Von Holst's criticism in the views of Henry Adams and Henry Cabot Lodge in the *No. Amer. Review*, October, 1870; and the *International Review*, vii. 436.

Such an extreme Southern-side writer as Percy Greg (*Hist. U. S.*, i. 431) calls Von Holst's book a "bitter contemporary party pamphlet," while he acknowledges it to be "an invaluable repertory of information, and a storehouse of serviceable if not impartial references."

The lesser historical treatments are in Wm. Archer Cocke's *Constitutional Hist. of the U. S.* (only vol. i. to the end of Madison's term, published, Philad., 1858); C. Chauncy Burr's *Hist. of the Union and of the Constitution* (N. Y., 3d ed., 1863); T. D. Woolsey on the "Experiment of the Union" in the *First Century of the Republic* (N. Y., 1876); Alexander Johnston's "First Century of the Constitution" in the *New-England Review*, Sept., 1887; and Henry Reed's "Constitution of 1787 and 1866 — formerly and now," in the *International Review*, ii.; and Horace Davis's *American constitutions: the relations of the three departments as adjusted by a century* (San Francisco, 1884).

The address of John Quincy Adams in 1839 before the N. Y. Hist. Society on the "Jubilee of the Constitution" marks the half-century stage of its development, though he avoids referring much to the constitutional conflicts of his time.

A considerable part of Simon Sterne's *Constitutional Hist. and polit. development of the U. S.* (N. Y., 1882) is given to a condensed sketch of the influence of judicial decisions and growth of opinion on the acting Constitution. Cf. L. H. Porter's *Outlines of the Constitutional Hist. of the U. S.* (N. Y., 1883).

On the organization of the departmental offices see Towle (p. 377); Webster Elmes's *Comprehensive View of the powers, functions, and duties of the heads of departments, bureaux, and divisions at Washington, as prescribed by law* (Washington, 1876), and Geo. L. Lamphere's *United States Government, its organization and practical workings* (Philad., 1886).

⁴ The English translation by Henry Reeve was printed in London, 1835; but it is found in its best form in

We can trace most of the differences of view in Von Holst and Tocqueville to the fact that the one wrote after and the other before the Civil War and its lessons, and the estimation in which the French writer is to-day held is doubtless not so pronounced as before the war. Von Holst (i. preface and p. 61) thinks that Tocqueville's knowledge of American affairs was not sufficient to screen his lack of a historic sense.¹

English writers have almost invariably compared the American Constitution with that accretion of fundamental law which makes up what is called the British Constitution.²

The whole course of English constitutional history is followed by Stubbs to 1485; by Hallam to 1760; by May to 1860, and by Amos in his *Fifty years of the English Constitution*, 1850-1880. If we added to this series of well-known books a few others, like Sharswood's edition of Blackstone's *Commentaries*; De Lolme's *Constitution of England*; Bagehot's *English Constitution*; J. S. Mill's *Representative Government*; Sir Henry Maine's *Popular Government* (London, 1885); Edward A. Freeman's unfortunately named *History of Federal Government from the foundation of the Achaian League to the dis-*



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the *Democracy in America*, edited with notes, the translation revised and in great part rewritten, and the additions made to the recent Paris editions now first translated, by Francis Bowen (Cambridge, 1862).

The first volume contains the study of the Constitution, and the second its influence upon manners and society. The first volume of this edition was issued separately as *American Institutions* (Cambridge, 1870). The original Reeve translation of this same volume was published as *Democracy in America*, with a preface by J. C. Spencer (N. Y., 1830), and the second volume as *The social influence of Democracy*, translated by Spencer (N. Y., 1840).

¹ The most noteworthy of the other French commentaries are probably: F. de Barbé-Marbois's "Discours sur la Constitution et le Gouvernement des États-Unis" in his *Louisiane* (1820); Boutmy's *Constitutions Etrangères*—the third volume (1783-1780) of Edouard Laboulaye's *Histoire des États-Unis* (2^{me} ed. Paris, 1867); and le Marquis de Talleyrand-Périgord's *Etude sur la république des États-Unis* (N. Y., 1870).

² There is a gathering of some of the more essential of the documentary illustrations in Francis Bowen's *Documents of the Constitution of England and America* (Cambridge, 1854).

* After a photograph furnished by his friend and pupil, Professor Albert Bushnell Hart of Harvard College.

ruption of the United States (London, 1861); and the paper on presidential government in his *Historical Essays*, — we shall probably embrace most of the essential phases of English thought, as applied to the study of English constitutional progress, with some reference to the American experiment. This last is treated specially and with decided bias towards the British form in P. F. Aiken's *Comparative View of the Constitutions of Great Britain and the United States* (London, 1842); and H. S. Tremeneere's *Constitution of the United States compared with our own* (London, 1854), in which use has not been avoided of American disparagements of the American methods. These works appeared before the Civil War, and the results of that conflict have not been lost upon the writers of two later books, Johnson's *Free Government in England and America*, and Louis J. Jenning's *Eighty years of republican government* (reprinted, N. Y., 1868). Dicey in his *Lectures introductory to the study of the law of the Constitution* (London, 1885; 2d ed., 1886) makes constant comparison with the

American Constitution, and his book is an excellent one. One of the most generous criticism is to be found in W. E. Gladstone's "Kin beyond Sea" in his *Gleanings of Past Years*, vol. I., originally in the *North Amer. Review*, Sept., 1878. Cf. Crane and Moses's *Politics: an introduction to the study of Comparative Constitutional Law* (N. Y., 1884).

Professor Diman in the *New Englander*, May, 1878, and Woodrow Wilson in his *Congressional Government*, have not failed to show that the difference of form of the written and unwritten constitutions is reduced to a small divergence through the elasticity and adaptability secured to the American document from its elementary character.¹

Hildreth (iv. 112) gives a good summary of the movements leading to the adoption of the first ten amendments (declared in force December 15, 1791).² The eleventh amendment relates to the status of a State in suits; and the twelfth rectified the method of choosing the President.³

¹ Interpretation might carry it even to the side of monarchy, as W. B. Lawrence points out in the *No. Amer. Rev.*, cxxxi. 385 (1880), writing in the light of the experience of the Civil War and its influences.

² Cf. *Journal of the Convention*, 301-481, for an embodiment of the ideas.

³ Cf. Randall's *Jefferson*, ii. 379, and the party literature of the time.

POSTSCRIPT. — Mr. F. D. Stone, of the Pennsylvania Historical Society, draws my attention to the following additional records: —

Proceedings and Debates of the Convention of North Carolina, convened at Hillsborough on Monday, the 21st day of July, 1788, for the purpose of deliberating and determining on the Constitution recommended by the General Convention at Philadelphia, the 17th day of September, 1787 (Edenton, 1789).

Minutes of the Convention of the State of New Jersey, holden at Trenton on the 11th day of December, 1787 (Trenton, 1788 — reprinted, 1888). It contains the matter given by Elliot.

Minutes of the Pennsylvania Convention (1787 — 28 pp. folio).

Mr. J. B. McMaster is now editing for the Pennsylvania Historical Society a volume of the debates and contemporary essays, to be called *Pennsylvania and the Federal Constitution, 1787-88*.

CHAPTER V.

THE HISTORY OF POLITICAL PARTIES. 1789-1850.

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ON the 4th of March, 1789, the United States of America had been without even the appearance of a national government for nearly half a year. The last real meeting of the Congress of the Confederation, with nine States present, had taken place October 10, 1788; the attendance had then run down, four States being represented October 15, two October 16 and October 21, and individual delegates attending thereafter "occasionally" until November 1, when the entries stop. Efforts to secure a meeting of the "Committee of States" were as complete a failure. The resolution for putting the new Constitution into force had been introduced into the old Congress July 14, 1788, and had hung suspended there for two months on the question of a capital city. Philadelphia, Lancaster, Pa., New York city, and Wilmington, Del., were rejected, in successive attempts to decide upon a capital. Baltimore was adopted August 4; and it was not until September 13, 1788, that "the present seat of Congress," New York city, where Congress had been sitting since 1785, was adopted by an unanimous vote. By the same resolution, the first Wednesday in January, 1789, was fixed for the choice of electors by the ratifying States, the first Wednesday in February for the electors to vote for President and Vice-President, and the first Wednesday in March "for commencing the proceedings under the said Constitution." The last-mentioned date happened to be March 4. The beginning and end of the successive administrations have thus been fixed, oddly enough, by no provision of the organic law, but by a mere resolution of the Congress of the Confederation.

On the appointed day, but eight Senators out of twenty-two appeared, and but thirteen Representatives out of fifty-nine. It was not until April 6 that it was possible to count the electoral votes, when Washington was declared President and John Adams Vice-President. The Vice-President was seated April 21, the President was inaugurated April 30, and the new government was in working order.

The first two Congresses (1789-93) marked out the lines which the subsequent development of the country has followed. The departments of

State, War, the Treasury, the Post-Office, and the Judiciary were organized, and a light-house and customs-revenue systems were begun (1789); the first steps were taken toward the establishment of the census, naturalization, patents and copyrights, territorial government, pensions, and intercourse with the Indian tribes. Provision was also made for the settlement of the present national capital at Washington, and the national and State debts were provided for (1790); arrangements were adopted for the admission of the new States of Kentucky and Vermont, and the first Bank of the United States was chartered (1791); the mint and coinage, the consular service, and the militia were regulated (1792); and interstate extradition of fugitives from justice and fugitive slaves was provided for (1793). Ratification by North Carolina and Rhode Island made the original number of States again complete; and the first ten amendments to the Constitution, subsequently ratified by the States, were adopted by Congress.

The contrast between this picture of legislative activity and the impotence of the system so recently defunct must have been very great. The United States no longer presented the "awful spectacle," as Hamilton had expressed it, of "a nation without a national government." But the contrast was enough to bring out to plain view the sharp dividing line between the two essential political parties of the country. Congress, a new and unfamiliar body, sitting at New York, was instantly recognized as a serious restriction on the only "republican governments" which the people had hitherto known, — the States. Jefferson, Washington's Secretary of State, was the natural leader of those who wished to construe the Federal government's powers strictly, so as to retain as much as possible to the State governments; and in 1793 his followers began to assume the name of the Republican party,¹ in opposition to the dominant Federalist party, of which Hamilton was now the recognized leader. Genet's mission (1793) brought out the fact that the Federalists were as cool towards "the rights of man" as the Republicans were warm; and for the next half dozen years American politics were largely Gallican and Anglican.

The momentum of the original Federalist movement was sufficient, in spite of the withdrawal of Madison and other former Federalists,² to re-

¹ Jefferson's theory of government, so far as it was not modified by political expediency, seems to have been much the same as that of Mr. Herbert Spencer in more recent times, and founded on the same desire for the exaltation of individual rights. The States were to him merely governmental agents, less likely to oppress the individual than the more distant, ignorant, and indifferent Federal government. The Federalists, on the contrary, were much disposed to the development of influential classes, such as protected manufacturers and national bankers, not for the sake of the classes, but for the purpose of forming a power within the

States, and in all the States, which should look to the Federal government for support, and should prevent any break-up of the Union through State supremacy. Outside of both the Republicans and the Federalists were the "Democrats," a purely French faction, leaning toward the Republicans, but not fully absorbed in their organization until after Jefferson's inauguration as President (1801).

² The Democratic clubs, an American imitation of the Jacobin Club, were accused by Washington of having incited the resistance to the Excise Law in western Pennsylvania (1794). They were implicated in intrigues, little known

elect Adams with Washington in 1792-3, and to carry through Jay's treaty (1795), which gave the United States full possession of the Northwest, and removed the danger of war with Great Britain because of her aggressions on American commerce. The French Directory, claiming the United States as an active ally under the treaty of 1778, took great offence at Jay's treaty, refused to receive the American minister, and demanded tribute as the price of peace from the commission sent by Adams, who was elected President (1796-7), Jefferson being elected Vice-President with him. The war excitement arising from the abolition of the existing treaties with France, the formation of an army and navy, the organization of a navy department, several successful sea-fights with French vessels, and the persistent efforts of the President to re-establish friendly relations with France against the wishes of the Hamilton wing of his party and his own cabinet, made Adams's administration one of turmoil. The new government of Napoleon accepted the offer of peace; but in the mean time the Federalist majority in Congress had passed the Alien and Sedition Laws (1798), which their opponents considered not only a purely partisan measure, but a complete exposition of governmental tyranny over the individual. These laws authorized the arrest and deportation of dangerous aliens by the President, and the arrest and punishment of any one who should conspire to oppose any measure of government, or should defame any of its departments. The death of Washington (1799) took away a great pillar from the Federal party. Schisms in its own ranks did much; the skilful use by the Republicans of foolish prosecutions in doubtful States, under the Sedition Law, did more; and the natural tendency toward broadening the right of suffrage in all the States did still more for the downfall of the dominant party. Nevertheless, it was only after a struggle of the most doubtful and exciting nature that the Federalists were beaten, and Jefferson and Burr were elected President and Vice-President.¹

but much dreaded, in Kentucky and the West; in the Eastern States their most active work was in opposition to Jay's treaty.

¹ As the Constitution stood at first, each elector voted for two persons, without distinguishing the offices of President and Vice-President. The candidate who received most votes, provided they were more than a majority, became President, and the next highest Vice-President. The practice of making nominations through a Congressional caucus, begun in 1796, really took away the power of choice from the electors, making them vote for the party candidates. If each elector should vote for the same two persons, it is evident that the two highest candidates would always be a tie, and the House of Representatives would be called upon to choose between them. This is just what happened in 1800-1. Every Republican elector voted for Jefferson and Burr, who thus had 73 votes to 65 Federalist votes, but were tied. "It

was badly managed," wrote Jefferson, "not to have arranged with certainty what seems to have been left to hazard." One Federalist elector in Rhode Island seems to have been more acute, for he threw away his second vote to Jay; so that if the 8 votes of South Carolina had been Federalist, Adams would have had 73 votes, and Pinckney 72. New York's electors were then chosen by the legislature, and the real struggle came in April, 1800, on the election of the legislature. Burr's shrewd management overturned a Federalist majority of 900 in New York city, secured a majority of the legislature, and decided the presidential election. This will explain the action of the Republican congressional caucus in naming Burr as a candidate with Jefferson. In choosing between the two leading candidates, the House was to vote by States, each State having one vote; and the Federalists decided to vote for Burr for President. Congress met, fortunately, in the new cap-

As a preliminary to this struggle, Jefferson and Madison prepared and caused to be passed by the legislatures of the respective States what were called the Virginia and the Kentucky Resolutions (1798). Having been rejected or ignored by the other States, they were re-passed by the two legislatures the next year. Though these were purely dogmatic, and had no legislative force, few political actions in our history have been wider in their effects. They introduced the notion of a "compact" of some sort as the basis of the Constitution, — a notion derived, perhaps, from that of the Social Compact, so popular at the time when the authors of the Resolutions received their political training. Both condemned acts of the Federal government as unwarranted by the Constitution, as legislatures and even private individuals have since done and still do; but Jefferson's original draft asserted that "every State has a natural right" to "nullify" such action within its jurisdiction. The Kentucky Resolutions of 1799 declared that "a nullification" of such action was "the rightful remedy"; and the Virginia Resolutions asserted the right of "the States," in such cases, to "interpose" in order to arrest them.¹ Party passion had so drawn

ital city of Washington, far from the possibility of riotous interference with the vote. When the balloting began, in February, 1801, 8 States voted for Jefferson, 6 for Burr, and 2 had no vote, being equally divided. Some of the Federalists had made a private agreement not to allow the balloting to go to dangerous lengths; and at the close of the first week, on the 36th ballot, they refused to vote, thus giving Jefferson 10 States and the presidency. How far Burr was privy to the Federalist programme is not certain. His party believed him treacherous and did not re-elect him. The adoption of the 12th Amendment, in 1804, removed the old danger by compelling each elector to vote separately for President and Vice-President.

¹ The current interpretation of these terms is open to dispute. Madison's Resolutions carefully use the plural, — "the States"; and their author always declared that this was intentional; that the intention was to put on record the assertion of the right of a second Federal Convention, like that which framed the Constitution, to amend it, and decide disputes between the Federal government and a State, lest some new law should declare the proposal of such a convention a "seditious act." He writes, December 23, 1832: "In the Virginia Resolutions and report, the plural number, *States*, is in every instance used where reference is made to the authority which presided over the government. As I am now known to have drawn those documents, I may say, as I do with a distinct recollection, that the distinction was intentional. It was, in fact, required by the course of reasoning employed on the occasion. The Kentucky Resolutions, being less guarded, have been more

easily perverted." His distinction is put more exactly in Madison's *Works*, iv. 409: that a strictly constitutional "nullification" of an act of Congress would be imposed by a vote of three-fourths of the States in convention; while under the Calhoun programme, nullification by a single State would hold good until reversed by such a three-fourths vote. Under the first theory, a three-fourths majority of the States would govern; under the second, a minority of more than one-fourth could do as it pleased. To the same effect is Jefferson's letter of June 12, 1823: "The ultimate arbiter is the people of the Union, assembled by their deputies in convention, at the call of Congress, or of two-thirds of the States. Let them decide to which they mean to give an authority claimed by two of their organs." Von Holst insists on taking the word "interpose" as overthrowing the whole plea on behalf of Madison, and as being fully equivalent to "nullification" in the Kentucky Resolutions, ignoring the fact that it is the "States" that are to "interpose," and that Madison's interpretation is quite consistent with the literal language. The case is different as to Jefferson. It would be quite impossible to apply Madison's interpretation to the Kentucky Resolutions and obtain any consistent result. "Each State" is "an integral party" to the compact; and "each party has an equal right to judge for itself, as well of infractions as of the mode and measure of redress." Jefferson's letters contain some expressions supporting the Madison view, others supporting the Calhoun view, and one (his letter of Dec. 24, 1825) supporting nullification by a State legislature.

the Resolutions as certainly to blur, in the minds of those who read them, the original Jeffersonian idea, that the rights of the individual were the supreme object of political action, and that the States were to be supported as means to this end. The States were now to be supported in their own right, as sovereigns whom usurpers were seeking to oust. When the original idea had been lost sight of, it was not difficult to claim the authority of precedent for the assertion of State sovereignty for the benefit of a slave system.¹

Jefferson's inauguration marks the collapse of the Hamiltonian scheme for securing the perpetuity of the Union by the development of national classes. It was certainly, to all appearance, a critical moment. The vested interests which grow up so rapidly under any class system felt themselves to be imperilled. The positive virulence which then attended party conflict had given the defeated party the very lowest opinion of the morals, manners, and methods of its successful rival. Not only the army, the navy, and all national interests, but religion as well, were seriously felt to be in danger. All these might pass as temporary things; but it could not be considered hopeful for a federal union to have elected a President whose leading tenet was that each State was to be the judge, "as well of infractions" of the Constitution, "as of the mode and measure of redress." It required a long and dangerous war, and a tacit preparation to coerce New England into a support of it, to relieve the country from the standing peril involved in the belief of the dominant party that its political system was that of a "voluntary union."

The virulence of party conflict had its effect on the civil service. He who has read the customary language of Republican pamphlets concerning the "monarchists" and "stock-jobbers" who controlled the Federal government from 1789 until 1801, will not be inclined to believe that Jefferson found very many professed Republicans in the civil service of the United States. It was natural that he should undertake to remedy this injustice by removals from office for partisan reasons, which were novel only because they had not hitherto been necessary. It is just that he should be held responsible for the innovation; but in common justice the preceding ad-

¹ The transformation of the United Colonies into the United States in 1776, and the quiet assumption of the title "State" by the individual commonwealths, have had momentous consequences. It is easy to show that there is no attribute implied in any scientific use of the word "state" which has ever pertained to any of the American commonwealths, with the single exception of Texas. "State sovereignty" is an unscientific phrase because it is historically false. Sovereignty has been claimed and asserted for the States, on paper, just as the sovereignty of Poland might be asserted, but it has never been put to the test of action, with the exception of the period 1860-65. The "States" have not

even been willing to act, except as the United States. "State Rights," on the contrary, are the consequence of the persistent and practically unanimous determination of the whole people that their governmental system shall be a federal system, and that the equal rights of all the States shall be maintained and respected. State Rights rest on the national will, the only secure foundation for them. The unscientific habit of using terms which are historically untrue has led, as Von Holst notes, to the necessity in the United States of giving two meanings to such political words as "state," one for paper the other for practice.

ministration should share the responsibility. Jefferson's removals were not numerous; but they served as precedents for a far more sweeping and more causeless system of removals twenty-eight years afterwards.

The leaders of the new dominant party were not generally men of recognized intellectual standing, outside of the controlling personality of Jefferson himself and of his Secretary of State, Madison. It was anything but pleasant to Federalists that Gallatin, a naturalized Swiss, who had been "dancing around a whisky-pole" in western Pennsylvania seven years before, should now take Hamilton's old place of Secretary of the Treasury; but Gallatin proved one of the ablest secretaries the Treasury has had. Monroe was governor of Virginia, and was soon to go abroad on diplomatic service. There was no great leader in the Senate: much was expected from John Breckinridge, of Kentucky, but he died in Jefferson's second term. In the House, John Randolph, like Burr, was "a crooked gun," more dangerous to his own party than to its opponents, and was soon in open rebellion. The main reliance was on tolerable political managers, like Varnum of Massachusetts and Giles of Virginia, and on unbending Republicans, like Macon of North Carolina, whose only policy was to pay off the debt, oppose a navy, support Jefferson, and keep the Federalists out of office. The Federalists were in reality even more weak. Their general training had prepared them to look upon political defeat as a personal affront, and their ablest men showed a strong tendency to retire to private life, where they could criticise the administration with impunity. Politics was for some years a mere question of votes, until the new issues, growing out of foreign relations, brought into Congress such men as Crawford and Calhoun on one side, and Josiah Quincy on the other.

Most of the States had had property qualifications as limitations either on the right of suffrage or on the composition of the legislature. The Republican policy had been to remove such limitations in the States which they controlled, and to diminish the time of residence required for naturalization. The bulk of the new voters, therefore, went to them, and they were continually making their hold stronger on the States which had come under their control. New England and Delaware remained Federalist, and Maryland was doubtful; the other States could be counted upon almost certainly as Republican. Under the New England system, governmental powers were practically divided among a multitude of little town republics; and restrictions on the right of suffrage, entrenched in these towns, had to be conquered in a thousand successive strongholds. The towns, too, sufficient to themselves, cared little for the exclusion from national life involved in their system; and for nearly twenty years New England was excommunicated from national politics. It was not until the rise of manufactures and of dissenting sects had reinforced continuous agitation that the Republican revolution penetrated New England and overcame the tenacious resistance of her people.

The new regime opened brilliantly. The acquisition of the great terri-

tory of Louisiana, involving almost inevitably the additional territory of Oregon, gave the United States control of the entire country drained by the Mississippi and its branches. The almost coincident invention of the steamboat (1807) gave an unexpected utility to western rivers and added force to migration, so that settlement moved westward rapidly. With the increase of settlement, the Mississippi began to assume its natural function in the national development. Flowing from north to south across the great central basin of the continent, which makes up nearly two thirds of the area of the United States outside of Alaska, it clamps that part of the country into what seems an indissoluble national unity; and while this part of the country remains intact, the smaller Atlantic and Pacific basins would find it practically impossible to break away. The acquisition of Louisiana was a point of Republican policy, though Jefferson admitted that it was unwarranted by the Constitution. The Federalists felt, as Quincy expressed it afterwards, that "this is not so much a question concerning sovereignty, as it is who shall be sovereign." It has often been noted that the "strict construction" party began its control of the government by straining the interpretation of the Constitution to the uttermost. It is far more worthy of note, as more permanent and far-reaching in its consequences, that the party which had come into power as the maintainer of a "voluntary union" had carried through a measure which was destined in the end to transfer the basis of the national existence from the Atlantic States to the far larger central basin, and thus to give natural, stronger than any mere political or constitutional, guarantees for the perpetuity of the Union.

The acquisition of Louisiana, the rapid payment of the debt, the nullity of the Federal party, and the wealth which the country was gaining, both by the carrying trade for the inveterate belligerents of Europe, as the only great commercial neutral, and by the export of agricultural products, seemed to justify Jefferson's policy, and he was reelected in 1804-5, with George Clinton, of New York, as Vice-President. Burr's shattered fortunes were wrecked by his arrest for an attempted expedition against Mexico (1807). The clouds began to gather around the administration as the twelve years, to which the commercial articles of Jay's Treaty of 1794 were limited, drew to a close. British naval officers and courts began to show a more unfriendly disposition towards American vessels, as other carriers, open to capture, disappeared from the seas. In particular, the practice of carrying produce from belligerents' colonies, with which trade was then not allowed in time of peace, to the United States, there breaking bulk, and thus transforming a belligerent into a neutral commerce, was complained of, and British prize courts began to hold it illegal. Nevertheless, Monroe succeeded (1806) in arranging a new treaty, much like Jay's; but Jefferson rejected it, without laying it before the Senate, since it did not renounce the rights of search and impressment. The proceedings of British naval officers became more offensive, culminating in the attack of the "Leopard" on the "Chesa-

peake" (1807), which almost resulted in war. For such a war the administration was utterly unprepared. Its party had supported it in its policy of creating a force of gunboats, which proved useless in practice; but it rejected the President's second proposal, to confine coast defence to the use of cannon on travelling carriages, to be dragged from point to point by the militia. Instead of it the dominant party passed the "Embargo" Act, forbidding clearances to foreign ports, and limiting the coasting-trade to the United States. It was believed that the European belligerents, cut off from American supplies for their armies, would cease their attacks upon American commerce.¹

It should be remembered that New England was not then a manufacturing territory; that its interests, outside of agriculture, were exclusively commercial; and that its commerce was paralyzed² by a blow from its own government, in which it felt that it was politically outlawed. It is no wonder, then, that the whole year 1808 was filled with such a turmoil as the political history of the United States had not hitherto witnessed, a turmoil which was well calculated to throw a new light upon the notion of a "voluntary union." The language of the dominant party of New England became more angry as the months went by; the spirit and words of the Kentucky Resolutions were revived and adapted to the new circumstances: it was evident that the five New England States were unanimous as to an "infraction" of the Constitution, and it was not at all certain that they would not proceed to exercise the right to judge of "the mode and measure of redress." Early in 1809, John Quincy Adams, who had become a supporter of the administration, informed it that arrangements were being perfected for a transfer of New England to Canada. The administration, in a panic, hurried through Congress the "Non-Intercourse" Act, allowing foreign commerce with other nations than England and France. No preparations, however, were made to protect this permitted foreign commerce; the act was merely a permission to American citizens to run such risks as they would. With this modification, as a possible *modus vivendi* with New England, Jefferson gladly abandoned the reins of government to Madison, who had been elected his successor (1808-9), with George Clinton as Vice-President.

The advance of settlement westward was already having its effects on national politics. Hitherto the building of light-houses, the improvement of harbors, and whatever benefits accrue from the expenditure of taxation, had gone to the seaboard States. A new population was growing up away

¹ How far this belief was justified by events is uncertain. Wellington, on the Peninsula, was annoyed by failure to receive American supplies for his troops, and the case may well have been the same with others. On the other hand, a natural result was to throw the carrying trade with other countries than the United States more entirely into British vessels, under convoy. For

the attacks upon American commerce, the Orders in Council, the Berlin and Milan decrees, etc., see *post*, Chapter VI.

² For example, New Haven's commerce never recovered; the embargo system, and the war which followed, transformed the place from a commercial into a manufacturing city.

from the seaboard, and it claimed a division of benefits, in the form of roads. An appropriation for the making of a road to begin at Cumberland, Maryland, and run indefinitely westward, in 1806, was backed by Gallatin's *Report on Roads and Canals*. The Republican theory of a strict construction of the Constitution could not admit the power of Congress to make appropriations for such a purpose. Nevertheless, appropriations for the Cumberland Road were continued at intervals until 1838, when the road itself was superseded by the superior advantages of railroads; the system of national appropriations for roads and canals swelled to a large volume from 1820 until about 1830; and the general notion of a claim of the States away from the seaboard to a share in the expenditure of taxation has strongly influenced legislation down to the present.

A diplomatic trick of Napoleon's transferred the whole weight of the rising American anger to Great Britain. The Non-Intercourse Act provided that the President was to suspend the act as to either of the belligerents which should so modify its edicts as to cease to oppress American commerce. Napoleon, while actually extending his system by the Rambouillet Decree (1810), had the effrontery to inform the American government that his whole system of decrees had been suspended. The new administration, grasping at this indication of the success of the Non-Intercourse Act, suspended the act as to France; but this left the act in force against Great Britain, and intensified the ill-feeling between the two countries. This was especially the case in the United States. When the new Congress met in November, 1811, the administration majority was overwhelming,¹ and it came together with a strong disposition for war. Clay, the representative of the new policy of war against Great Britain through Canada, had served twice in the Senate for short terms: he now appeared as a Representative from Kentucky, and was promptly chosen Speaker. Among the other new members were John C. Calhoun and Langdon Cheves of South Carolina, and Felix Grundy of Tennessee; and these, with William H. Crawford of Georgia, and Varnum, in the Senate, became the recognized leaders of the party in its new policy. They can hardly be called leaders of an administration party, for they coerced the peace-loving President out of his embargo policy into agreement with them. Madison was given to understand that his renomination by the Congressional caucus in the following year depended on his adhesion to the new order of things. De Witt Clinton of New York was evidently ready to accept the nomination from any party, and the President yielded. The winter was a busy one, so far as legislation was concerned. Carey cites a great number of Acts, as evidence of the careful preparation made for the approaching struggle. Eleven of these referred to increases of the army, the formation of a volunteer force, and the organization of the militia. In addition to the ordinary appropriations for the navy, a generous provision was made for equipping

¹ *Niles's Register*, i. 233, states the Republican vote in the Senate as 28 to 6, and in the House as 105 to 37.

three frigates "for actual service," at an expense "not exceeding three hundred thousand dollars." It seems to have been believed that appropriations for a navy were worse than useless; that, on the outbreak of hostilities, all the vessels would be "Copenhagenized" at once by the invincible British navy. Finally, the single act of financial preparation for war was one authorizing the President to borrow "a sum not exceeding eleven millions of dollars." With this exhaustive preparation, war was declared, June 18, 1812. The Orders in Council were revoked by Great Britain five days afterward.¹

Madison was reelected in 1812-13, with Elbridge Gerry of Massachusetts as Vice-President. The Federalists supported De Witt Clinton and Jared Ingersoll, of Pennsylvania. If their evident hope of securing the twenty-five electoral votes of Pennsylvania had been realized, their candidates would have been seated by a clear majority of electors; but Pennsylvania remained overwhelmingly Republican, and Madison and Gerry were elected.² Before the successful candidates were seated, events had shown that the Republican policy of attacking Canada was a failure, and that the despised navy was to reap most of the glory of the war.³ Generous appropriations were therefore made for the increase of the navy. Financial mismanagement thwarted this, like other good intentions. The dominant party, afraid to tax, undertook to manage the war mainly on loans. Much of the available capital was in New England, and the loans did not meet with great favor there. Gallatin, hopeless of the treasury under such a system, sailed for Europe early in 1813, in order to obtain peace through the mediation of Russia. For nine months his party kept his name at the head of the treasury; but, without him, the finances went from bad to worse, and from worse to worst. Early in 1815, \$20,000 of a government loan was offered at auction in Boston; but \$5,000 was taken, and that at a discount of 40%.⁴ The banks generally suspended specie payment. The charter of the Bank of the United States had expired in 1811; and the Republicans, choosing this dangerous moment for a change of policy, had refused to recharter it. The country was thus left with a depreciated paper currency, consisting of the notes of banks which had suspended specie payment, and were under no governmental supervision whatever, while they offered no real security for the credit which they asked for their notes.⁵ The report

¹ The "revocation," however (which will be found in full in *Viles's Register*, i. 392-93), was careful to state that nothing in it was to preclude the Prince Regent from reestablishing the Orders in Council, in their full effect, whenever he should think proper.

² Madison's vote was 128 to 89, and Gerry's 131 to 86. Two of the Massachusetts and one of the New Hampshire electors voted for Clinton and Gerry.

³ For the events of the war, see *post*, Chapter VI.

⁴ *Olive Branch*, 317, citing *N. Y. Evening Post* for Feb. 27, 1815.

⁵ What "banking" meant in those days, and until the New York system of 1838 was introduced, may be imagined from one instance given by Sumner (*History of American Currency*, 62), the Farmers' Exchange Bank of Gloucester, R. I., founded in 1804, with a nominal capital of \$1,000,000, and a real capital of \$3,000. One of the directors bought out the other eleven, paying them out of the bank's funds, loaned \$760,000 to himself, and the bank failed with assets of \$86.46 in specie.

of Dallas, the new Secretary of the Treasury, to the House Committee of Ways and Means,¹ in December, 1814, acknowledges "a deficient revenue, a suspended circulating medium, and a depressed credit," circumstances surely embarrassing enough to any treasury, and especially to that of a nation at war. He had already advised the creation of a second Bank of the United States, and the opposition to the measure was naturally weak. It was finally passed in April, 1816, the bank having a capital of \$35,000,000, three fifths in government stocks, and being chartered for twenty years.

The attitude of the New England States was even more embarrassing than the financial straits of the country. Their legislatures had passed resolutions denouncing the war. The Constitution authorized Congress to "provide for calling forth the militia to execute the laws of the Union, suppress insurrections, and repel invasions." When the President, under the authority of Congress, called upon the New England governors for their quotas of militia to garrison forts, instead of regular troops drawn off for the invasion of Canada, he was met with requests to state the law which was to be executed, the insurrection which was to be suppressed, or the invasion which was to be repelled, and the legislatures supported the governors. As one result, the New England States were left very much to their own defence; and the advance of the enemy along the coast of Maine seemed to call for common action. In December, 1814, delegates from Massachusetts, Connecticut, and Rhode Island, and from parts of Vermont and New Hampshire, met at Hartford, and discussed the state of the country in secret session for about three weeks. Hardly anything could have been more embarrassing to the Republicans of the old school. If the Hartford Convention should advise their principals to exercise their sovereign powers, withdraw from the Union, and set up a new flag with the five stars of the New England republic upon it, was President Madison to introduce a new reading of the Virginia Resolutions, and "interpose" with the power of the Federal government to coerce New England into an involuntary union with the other States? The question never became practical. The convention's recommendations were confined to certain constitutional amendments, with a perhaps significant suggestion of a second convention; and the almost coincident settlement of terms of peace enabled the dominant party to ignore the recommendations. The principal result of the convention was the political ruin of its members, who were never forgiven for their participation in it. But the Republicans of the new school showed an evident readiness to cut the Gordian knot, if necessary, and to maintain the Union, at no matter what cost to former theory. Much of this spirit was shown merely by an obstinate refusal to engage in any further discussion of State sovereignty. But there was a significant agreement with Grundy's doctrine that the Federalist opposition amounted to "moral treason"; even Calhoun, in the House,² quoted it approvingly. State sover-

¹ It may be consulted most easily in *Niles's Register*, vii. 265.

² January 15, 1814.

eighty and democracy within the States had been the two leading tenets of the dominant party. The rising national democracy showed now for the first time that disposition to regard an opposition based on State sovereignty as rebellion, which came to its full fruition in 1861-65. It was thus the State sovereignty party which brought into our system the germ of a real national unity.¹

Peace was made by the Treaty of Ghent in December, 1814. It was probably inevitable that protection, in some form, should take a new place in American politics with the close of the war. The whole system, beginning with the embargo in 1807 and running through the war and the blockade, by giving the strongest form of actual protection, had suddenly and violently transformed the United States from a purely agricultural into a largely manufacturing country. No nation will willingly retrace such a step in development as this, whether it has been taken naturally or under artificial stimulus. When, therefore, the surplus of British manufacturers was shipped to the United States and sold at auction at low prices in 1815, the appeals of American manufacturers for legislative assistance met with a sympathetic hearing. "It was the duty of the country," said Calhoun,² "as a means of defence, to encourage the domestic industry of the country: more especially that part of it which provides the necessary materials for clothing and defence . . . the means of maintaining our army and navy cheaply clad. . . . A certain encouragement should be extended, at least, to our woollen and cotton manufactures." An *ad valorem* duty of twenty-five per cent. on woollens and cottons was imposed until 1819, then extended to 1826, and raised still higher by the tariffs of 1824 and 1828, the introduction of the "minimum" feature increasing the actual amount of protection by raising the legal or taxable valuation of imported goods. Protective duties were also imposed on iron imports, and were increased in 1818, 1824, and 1828; and, as these duties were regularly specific (so much per hundredweight), every improvement in production and consequent decrease of price made the absolute amount of protection still heavier.³ In all this, however, there is visible a very different spirit from that which had animated the original Federalist programme. Protection was no longer meant to secure the perpetuity of the Union by forming protected classes. The present dominant party felt by instinct that the Union was already secure; and its measures were rather the result of its determination to provide for national defence. They were only a phase of a revolution which for the moment swept even Calhoun from his feet.

The Federal party really came to an end with the peace. Discredited, sullen, out of harmony with the new order of things, it felt itself to be, as

¹ From this time, also, may be dated the willingness of the Republicans to accept the subtitle of the Democratic party, which had once been a Federalist term of contempt.

² In the House, Jan. 31, 1816.

³ On this subject of the tariff, down to 1840, see Professor F. W. Taussig's *Protection to Young Industries*. All the figures are easily accessible in Young's *Customs-Tariff Legislation in the United States*.

Gouverneur Morris expressed it, "in the awkward situation of a man who continues sober after the company are drunk." It hardly kept up the semblance of a party organization. Before 1825, those of its members who took any part in politics had become Republicans by joining some one of the many contending Republican factions; and the New England States, except Rhode Island, had accepted the new regime. At first, the old Republicans seemed likely to succeed the Federalists as the conservative party in opposition to the Republicans of the new school, who were led by Clay, and adopted protection and internal improvements at national expense as the most appropriate objects of the national democracy. Old influences were strong enough to secure the nomination and election of Monroe as President in 1816-17, with D. D. Tompkins, the "war governor" of New York, as Vice-President, and their re-election, with hardly any opposition in 1820-21.¹ But Monroe fell very much under the influence of Clay and Adams; their "American system" of high tariffs and internal improvements at national expense continually found more favor in Congress during the eight years of his service as President; and the old Republicans, having no living force of development at work in their party, and no rising leaders, could only follow Crawford. Thus, while this "era of good feeling" was marked by an absence of legitimate party contest, and a series of personal scandals and intrigues of the pettiest sort, the current of success was running in favor of the new Republicans. Crawford's following, mainly Southern, losing their leader by paralysis,² turned to the rising fortunes of Andrew Jackson, until Calhoun's secession finally provided them with a more appropriate leader. The event which drove them temporarily to Jackson, and thus for a time threw the natural evolution of the coming parties into confusion, was the sudden irruption of slavery as an element in American politics.

Negro slavery had existed in all the States, except Vermont, at first by custom, then by State statutes recognizing the custom. It was now dead or dying in the States north of "Mason and Dixon's line" (the southern boundary of Pennsylvania). In the States north of the Ohio River it had been forbidden by the ordinance of 1787; and the efforts to obtain a suspension of the prohibition in the early years of the century had been a failure in Congress. Thus the new States to the south of the Ohio had come in with the custom of slavery in recognized existence, that is, as slave States; while those to the north of the Ohio had come in with the custom of slavery forbidden by organic law. When the great territory of Louisiana was purchased, the custom of slavery existed in it also, and had been recognized by Spanish and French law. Congress, doing nothing to

¹ Monroe's electoral votes were 183 to 34 for Rufus King in 1817, and 231 to 1 for John Quincy Adams in 1821. Tompkins's were 183 to 34 scattering in 1817, and 218 to 14 scattering in 1821. There were 4 vacancies in 1817, and 3 in 1821.

² Crawford was stricken by paralysis in August, 1823, but his condition was kept as much concealed as possible until after the election of 1824, and a stamp was contrived by which he affixed his "signature," when required, to official documents.

prohibit the custom, tacitly permitted it in the new territory's two centres of population, one around New Orleans, the other around St. Louis. When the first of these was admitted as the State of Louisiana, in 1812, no objection to its slave system seems to have been made. When the other, in 1818 and 1819, applied for admission as the State of Missouri, with a constitution authorizing slavery, it came into a different atmosphere.¹ The national events of the past twenty years, and the growing antipathy to slavery, had worked a double development of democracy in the North; while the different policy as to slavery in the North and in the South was forming that line of sectional division which was to grow broader and deeper for the next forty years, until it ended in open collision.

Slavery in the South as in the North seems to have been a patriarchal institution, until the invention of Whitney's cotton-gin in 1793 brought out the natural monopoly of the South in the production of cotton. Coming, as this did, just after the remarkable series of inventions in the English cotton manufacture, it added the capstone to them, and bound the English factory system and the Southern slave system together. The two acted and reacted upon one another. As the English manufacture grew larger, the exports of cotton grew more numerous, and the interests bound up in the slave system more important. Slavery had become a business; and business interests fought for it. Under such a system, manufactures, commerce, everything but a rude agriculture, was impossible in the slave States; social security demanded that the only working-class should be kept ignorant, and that was equivalent to a prohibition of the higher forms of industry, and a cessation of all progress. Arrested development, in other words, was the case of the South, while the natural development was going on with cumulative speed in the North and West.² During all this half-century, then, the two sections were drifting further apart. Their interests, their political purposes, their ways of looking at every proposed piece of national legislation, were different. The real task of each Federal administration was to govern what were coming to be two separate countries, and to do it by laws which should suit both of them. The task, continually becoming more difficult, could only be partially performed by a series of compromises instead of laws. The word "compromise" is always restricted in our political history to a few leading events. But in reality almost every Federal law of this half-century was a compromise, and it was always becoming more difficult to contrive them. The strength of the non-political bonds which really tie the Union together is best shown by its ability to endure, under such circumstances, until 1861.

¹ The new States thus far admitted were Vermont (1791), Kentucky (1792), Tennessee (1796), Ohio (1802-3), Louisiana (1812), Indiana (1816), Mississippi (1817), Illinois (1818), Alabama (1819), Maine (1820), and Missouri (1821); this ends the list of new States until 1836.

² The last chapter of Von Holst's third volume is an exhaustive comparison between the results of the free and the slave systems, as they stood in 1850. The sudden recuperation of the South since 1865, and its wonderful development under free labor, is even a more striking lesson in social science.

The immediate and decided Northern opposition to the admission of Missouri was not the beginning of this process; it was merely the first public indication that the process had already taken shape. The House admitted Missouri, with a prohibition of slavery, and the Senate then rejected the bill. The application was renewed in 1820 with the same result, the Senate this time amending the House bill by attaching a bill admitting Maine to a Missouri bill permitting slavery. The Missouri Compromise of 1820, contrived by Clay, finally avoided the difficulty by admitting Maine separately, permitting slavery in Missouri, and forever forbidding slavery in the rest of the Louisiana purchase north of the line of $36^{\circ} 30'$, the main southern boundary of Missouri. Another compromise in the following year admitted Missouri, on condition of a modification of the State constitution, which had forbidden free colored persons to settle in the State.

The new elements in politics impatiently awaited the expiration of Monroe's second term, when the enforced truce would expire. The Secretary of State, John Quincy Adams, was in the usual line of promotion. His service under Monroe had proved his ability. The declaration in Monroe's annual message of 1823, that any attempt by European powers to reduce the former Spanish colonies of America to obedience would be regarded as evidence of an unfriendly disposition toward the United States, which demonstrated the impossibility of any assistance from the Holy Alliance to Spain in her hopeless effort to subdue her revolted colonies, has always gone by the name of the "Monroe Doctrine"; but it is very certain that Adams had had a large share in suggesting and preparing it. Clay, Speaker of the House, was another formidable candidate for the presidency. Endowed with eloquence and with a wonderful tact in making friends, in attacking enemies, and in contriving compromises, he was the most prominent champion of protection, internal improvements, and a vigorous foreign policy. He was acceptable to the following of Adams, and after the latter's failure in 1828-29, became the sole leader of his party. Crawford, the Secretary of the Treasury, was the candidate of the old Republicans, who attempted to give him prestige in the fashion hitherto recognized, by a nomination from a Congressional caucus. Now that all men claimed to be Republicans, it was felt that a continuance of caucus dictation to the electors was in reality a transfer of the election of President and Vice-President to Congress. The call for a caucus was obeyed only by Crawford men, and their nomination really injured the chances of their candidate. Calhoun, the Secretary of War, was at first a candidate for President; but all parties agreed to support him for the second office, to which he was elected with little opposition. All these candidates had made a profession of politics, had served their time honorably, and knew and respected one another. The free lance, who entered the struggle under a nomination from his State legislature, was Andrew Jackson, once the victor of New Orleans, now a private citizen of Tennessee.

When the returns came in from the elections of 1824, it appeared that no one had a majority of the electoral votes.¹ With much the same feelings as a Federalist must have had at the result of the New York election in 1800, the other candidates found that the largest number of votes had gone to Jackson; but this was not enough to elect him; the House of Representatives, voting by States, was to choose from the highest three candidates, Jackson, Adams, and Crawford. Clay's supporters naturally voted for Adams, and he was elected. This result made Jackson's political fortune. His supporters looked upon the other candidates very much as volunteer troops are apt to regard regular officers. They attacked the old system of choice of electors by the legislature, in those States in which it still survived; and in 1828 only one State, South Carolina, retained it. The appointment of Clay as Adams's Secretary of State, natural as it was, gave new force to the feeling that a popular revolt against officialism was necessary.² This feeling was of course guided and made stronger by rising and ambitious men, who desired the places of the former leaders; but the whole process seems to have been, in the main, like that of 1800-1, a sudden revelation of the fact that the people, in their natural development, were no longer in harmony with those who had hitherto represented them in politics. The results were, first, the rise of a multitude of new men, who came into public life in the Jackson procession; second, the relegation to private life of those who were not, like Clay and Webster, strong enough to accommodate themselves to the new order of things; and, lastly, a far stronger popular cast in the coming broad construction party than had ever been possible in its predecessor, the Federal party.

The old name of Republican was retained for a time by all parties, the factions calling themselves "Jackson men" or "Adams men." The latter showed at once a strong predilection for the word "national," and soon began to call themselves National Republicans, retaining this title until 1834, when, as opponents of the "personal rule" of Jackson, they adopted that of the Whig party.³ The Jackson party, on the other hand, from the beginning of Adams's term, began to make an exclusive use of the old alternative title of Democrat, retaining as an official title that of the Democratic-Republican party.⁴ The Congressional struggles between the two new parties during Adams's term were insignificant. Both parties were manœuvring for position; and the efforts of the Democrats to arouse popular enthusiasm for the "injured" candidate of 1824 were the more

¹ Jackson had 99 votes, Adams 84, Crawford 41, and Clay 37. For the Vice-Presidency, Calhoun had 182 votes, to 78 scattering.

² For very different reasons, Randolph denounced the appointment as an alliance of "Blifil and Black George, the Peritan and the black-leg." Like others of the amenities of politics of that time, this led to a duel.

³ *Niles's Register*, xlvii. 9.

⁴ The old title of Republican passed out of ordinary use, though it was occasionally heard, and never quite lost its favor in agricultural regions, until it was revived and rehabilitated in 1854-55 by the new Republican party. See Wilson's *Slave Power*, ii. 410.

successful. In 1828-1829, Jackson and Calhoun were elected over Adams and Richard Rush, of Pennsylvania.¹

Jackson's administration moved on quietly for its first year. But the quiet was merely a reorganization of political warfare on a new base. The new President's constitutional power of removing office-holders was turned into an instrument of political proscription; the first "clean sweep" was made in the civil service of the United States. Some of the economic consequences were a series of startling defalcations, caused by the business incompetence of the newly appointed officials, and such inefficiency in the post-office department as received direct condemnation from the Democratic House within five years.² The political consequences were more lasting. The new system put an end to nomination by legislative and congressional caucus. There was a sudden development of the system of delegate conventions, through which democratic power, incited, guided, and often represented by office-holders or aspirants for appointment, was to make nominations.³ County, district, and State conventions became general, and in 1831-32 national conventions to nominate candidates for the Presidency and Vice-Presidency completed the machinery of the modern American party. The new system was really a nationalization of the methods of the "Albany Regency," whose members,⁴ having clear notions of party principle, entire devotion to them, and no desire for personal profit, made it their rule never to desert a party friend or forgive disobedience or breach of party discipline, and to enforce discipline by merciless removal from office. All this met Jackson's cordial approval, and it became the basis of political conflict for the future.

The second Bank of the United States, having narrowly escaped shipwreck in its early years, had become a reasonably successful institution. The new party had come in under able leaders, — such men as Van Buren, Livingston, Woodbury, Hugh L. White, Marcy, Buchanan, Cass, Ingham, Taney, Silas Wright, and Amos Kendall. To these men, strict constructionists by instinct and training, the bank could not but be offensive, and they certainly inspired the President with no love for it. His first message,

¹ Jackson's electoral vote was 178 to 83. Calhoun's vote was less by 7, that number of Georgia electors voting for William Smith of South Carolina. The election left Clay the real leader of his party. Adams returned to the House of Representatives in 1831, and served there until his death in 1848.

² The story of the introduction of the new system is vividly told in Parton's *Life of Jackson*, iii. 206-55.

³ The system had already been begun in New York and Pennsylvania. The dividing line between the old and new systems in New York may be seen in Hammond's *Political History of New York*, ii. 156-58, where the opponents of the Albany Regency, out-voted in the legislative

caucus for governor in 1824, called a State convention at Utica. The idea seems to have been taken from the Democratic State convention of the previous year in Pennsylvania (*Niles's Register*, xxiv. 20). The germ of the system is in the action of the Clinton party in New York in 1817, in admitting delegates for districts represented in the legislature by Federalists (*Hammond*, i. 437). The Tammany men, or "Bucktails," had suggested a State convention in 1813 (*Ibid.* 343). For county and district conventions, see *Ibid.* 473.

⁴ Some of them, at various times, were John A. Dix, Marcy, Van Buren, Croswell, A. C. Flagg, and Dean Richmond.

in 1829, referred to the possibility of a renewal of the charter in 1836 in unfriendly terms; and the language was made more emphatic in 1830 and 1831. By this time, the bank, forced into a struggle for existence, had begun to cast about for an ally, and had found one in the National Republicans, who were eager to make support of the bank operate as an attack upon the administration. The time of Congress was occupied by the struggle until the bill for a recharter, passed by both houses, was vetoed by the President, July 10, 1832, and failed to pass over the veto. The introduction of the bill at this time seems to have been intended to provide an "issue" for the approaching Presidential election,¹ and in this object, at least, it was successful.

The President had not been hostile to protection or internal improvements before his election; but in this respect, also, the influence of the body of leaders who had come into prominence with him showed itself. Veto after veto killed bills intended to promote these objects,² until his opponents came to consider the veto power the worst part of the Constitution. A quarrel with Calhoun took away from the President the support of the strong Southern element which Calhoun best represented. The rise of a new political party, the Anti-Masons,³ controlling most of western New York and Pennsylvania, and certain not to support Jackson, made the electoral votes of those two great States exceedingly doubtful. Altogether, the number and strength of elements which the President had contrived or been compelled to array against him made the election of 1832-33 a serious crisis in his political fortunes. As if to throw down the gauntlet to all his opponents at once, he secured from his party's national convention, for the Vice-Presidency, the nomination of the "little magician," Van Buren, who was considered the evil spirit of the new order of things by those who did not approve of it. The Whigs nominated Clay and John Sergeant of Pennsylvania; and the Anti-Masons William Wirt of Maryland, and Amos Ellmaker of Pennsylvania. In spite of a somewhat closer popular vote, the electoral majority for the Democratic candidates was overwhelming,⁴ and Jackson felt with satisfaction that the voice of the people had approved his course toward both his friends and his enemies.

Congress no sooner met in December, 1832, than the President renewed his struggle with the bank, which he had come to consider as a menace to

¹ See, for example, Clay's *Private Correspondence*, 316, 322, 340-41.

² Nevertheless, Jackson signed the protective tariff of 1832, and a number of bills for internal improvements, and his course was not marked by rigid consistency.

³ This party originated in the murder of William Morgan, of Batavia, N. Y., in 1826, and the asserted responsibility of the Masonic order for it. See *Life of Thurlow Weed*, 210. The party was opposed to both Clay and Jackson, who were Freemasons; but, acting generally with the

Whigs, its influence within that party aided largely in forcing the nomination of Harrison in 1840. Weed, Seward, and Fillmore came into public life through the anti-Masonic movement.

⁴ The Democratic electoral votes were 219, the 30 votes of Pennsylvania, however, being cast for William Wilkins, of that State, for Vice-President. The Whig votes were 49, and the anti-Masonic, 7. South Carolina's 11 votes were cast for John Floyd of Virginia, and Henry Lee of Massachusetts.

the country. In his message he expressed strong doubts of the bank's solvency, recommending a sale of the government's interest in it: and a fall in the value of the bank's stock showed the effect. The refusal of both houses to agree with him only convinced Jackson of the power of the bank for corruption, and he prepared an attack on a new line. The public moneys were deposited in the bank and its branches, some twenty-five in number, and were transferred from place to place on order from the Secretary of the Treasury. It might very well happen that public money would be needed at some point where there was no branch bank; and the 16th section of the charter act, after directing the deposit of the revenues in the bank or its branches, added "unless the Secretary of the Treasury shall at any time otherwise order and direct," with a proviso that the Secretary should give the House of Representatives his reasons for thus ordering. The power had been used again and again for its proper purpose of depositing public money temporarily in the banks of smaller or frontier towns. Jackson conceived, or had suggested to him, a way in which it might be used to deal a stunning blow at the bank, by a general and permanent order from the Secretary to deposit *all* the revenue in other places than the bank and its branches. The usual leaders of the party, including the Secretary of the Treasury, objected strongly, but the President persisted, and appointed a new Secretary. This officer also refused to give the order, and he was dismissed, September 23, 1833, and R. B. Taney was appointed in his place. He gave the necessary order for what was called the "Removal of the Deposits," which was more properly a suspension or cessation. Few political actions have called forth more intense or long-continued party passion than this. For nearly ten years it was the stock subject of caricature, pamphlet, set speech, and party warfare. It may have been hasty or ill-advised, but within three months it became evident that it had given the President the enormous advantage of the first move. He had crippled the bank; he had in the new House a majority just sufficient to prevent impeachment or a countermand of the Secretary's order; and the slight Whig majority in the Senate could do nothing effectual to get the bank out of its predicament. From this time the game was in the President's hand. The Senate passed a resolution of censure against him; but this merely opened a new theatre of conflict until the Senate had a Democratic majority, in 1837, when the resolution was "expunged" from the record. The tide was always growing stronger in favor of the President; the votes against a recharter were becoming more numerous in both houses; and the bank abandoned the struggle, and obtained a charter from the State of Pennsylvania.

All these conflicts had been as to the direction of the national life; the first severe struggle against internal disease had been going on at the same time. As the development of the slave-system had made the South more inevitably agricultural, it became more evident that the benefits of the new protective system were going exclusively to the North; and Southern oppo-

sition to the new tariffs became more pronounced. The highly protective tariff of 1828 brought the opposition to fever heat. Southern legislatures protested against it, and the language of public meetings grew more angry as the year passed by.¹ Vice-President elect Calhoun appeared as the spokesman of State sovereignty, and drafted the South Carolina "Exposition" of that doctrine, passed by the legislature in December, 1828. Then the feeling smouldered until February, 1830, when, in a debate in the Senate on public lands,² Hayne of South Carolina, in reply to Webster, put into shape the doctrine of "nullification," as Calhoun had elaborated it. If the State was sovereign, the Constitution and the Federal power existed within its jurisdiction by its continuing will; and the State was the only judge as to what powers over its citizens it had entrusted to the Federal government. If the State should declare that an act of Congress was a usurpation of powers not granted, its citizens were not bound to obey the act. This was nullification, for which the Kentucky Resolutions at least were claimed as direct precedent and authority.³ An attempt by the Federal government to enforce the act against the State's will involved, of course, the consequence of secession, which, however, Calhoun always deprecated.

Since 1826, Georgia, impatient at the delay of the Cherokees to leave the State, and in defiance of Federal treaties, the declarations of President Adams, and the orders of the Supreme Court, had expelled the Indians and seized their lands. This example of practical nullification was suggestive; and, when the more scientifically protective tariff of 1832 was passed, South Carolina declared it "null, void, and no law, nor binding upon South Carolina, her officers and citizens," and arranged to support the ordinance of nullification by force. This action ought to have been embarrassing to Jackson, as to other Democrats who had rested on State sovereignty; but precedents were as pack-thread to the President. He issued his "Nullification Proclamation"⁴ to the people of South Carolina, warning them of his intention to enforce the Tariff Act, even though "the military forces of the State of South Carolina should be actually embodied and called out." Every one knew how Jackson would probably "enforce the laws" under such circumstances; and though he collected the duties at Charleston by naval and military force, and obtained from Congress the passage of a "Force Bill," giving him additional powers, the nullification ordinance was *not* put into effect on the specified date, February 1, 1833. Instead, a meeting of "leading nullifiers," the day before, agreed to avoid all collision with the Federal government, thus yielding the point in dispute.⁵ In the mean

¹ The feeling may be studied in Niles's *Register*, xxxv., Index, under the title "Southern Excitement."

² Senator Foot's resolution on public lands gave a name to the debate: but the resolution, as Webster said, was almost the *only* matter which was not discussed in the debate.

³ Jefferson was dead; but Madison was living, and his protests against any use of either

the Virginia or the Kentucky resolutions as authority for nullification were vehement.

⁴ Prepared by Livingston, Secretary of State, and dated Dec. 11, 1832. The nullification ordinance was adopted Nov. 19th.

⁵ This was really a suspension of an ordinance of a "sovereign State convention" by an official body: nullification nullified.

time the compromise tariff of 1833 was contrived by Clay, passed by both houses, and became law March 2, 1833. It scaled down all duties of more than twenty per cent. by one tenth of the surplus annually for ten years, so that twenty per cent. should be the standard duty in 1842. This was claimed as a triumph for nullification, and the ordinance was solemnly repealed. But Calhoun's programme had failed: it never was tried again, even when the re-entry of protection in the tariff of 1842 gave provocation for it. The time had passed when any single State could withstand the national democracy; for such a task the energies of a strong combination of States were now needed.

The administration was successful in its management of foreign affairs. The British colonial trade was reopened (1830); the Maine boundary was partially settled (1831); indemnity was obtained from France for commercial spoliations in the opening years of the century (1831); a similar treaty was made with Naples (1832), and less important ones with Denmark (1830), and Spain (1834); and commercial treaties were negotiated with Austria, Brazil, Turkey, and other countries. In internal affairs the introduction of the locomotive engine, and the building of railways, turned some of the popular attention from politics to business.¹ But the embarrassing question of 1820 had re-entered politics in a new form, and this time to remain.

The strong original desire for abolition of slavery had died out at the South with the increase of the business interests involved in slavery. The only remnant of it was the Colonization Society, intended to aid the emigration of free negroes to Liberia or other places abroad. A few persons continued to denounce slavery itself. Benjamin Lundy travelled over the country from 1815 until his death in 1839, preaching and publishing journals and pamphlets against slavery; but the chief result of his work was the conversion of William Lloyd Garrison, who in 1829 became the real founder of American Abolitionism, substituting immediate for gradual abolition, and finally urging even a separation of the sections, to free the North from complicity with slavery. He began the publication of the *Liberator* at Boston in 1831. In 1832 he formed the New England Anti-Slavery Society and attacked the Colonization Society as an agent of the slave system for the removal of troublesome freedmen. His followers had so far increased in number in 1833 that the American Anti-Slavery Society was formed at Philadelphia. By this time the mob-spirit had awakened and spoken. The meetings of the Abolitionists, as enemies of the Union, were broken up by violence; and it was not long before murder and arson became a feature of the crusade against them. As usual the blood of the martyrs was the seed of the church, and the Abolitionists gauged their growth of influence by the increase of violence. The country owes more to them than it fully realizes. If the national democracy, which had grown in strength until it was now able to hold even a "sovereign State" in unwilling obedience,

¹ Arkansas and Michigan had been admitted as States in 1836 and 1837.

never degenerated into a national tyranny over the individual, much of the gratitude therefor is due to the man who dared to call the Constitution "a covenant with death and an agreement with hell,"—and lived.

Lack of space forbids any attempt to detail the wrath roused at the South and at the North by the new movement, the attempts to exclude Abolition documents from the mails, to extradite Abolition speakers and writers, to "boycott" members of the Anti-Slavery Society, and to shut out Abolition petitions from Congress. Every effort to smother the agitation only made the attempted victim struggle more strenuously and make more trouble. Within five years the Abolitionists at the North had become numerous enough, in many places, to be an object of growing solicitude to politicians. Some of the Abolitionists were intoxicated by the sweets of such rapid importance; others were affronted by the recognized leadership of Garrison; others were alienated or frightened by his hearty support of woman's rights and perfectionism, by the uncompromising individualism with which he denounced church¹ and Constitution with equal freedom, and by his doctrine that voting or any participation in politics under a Constitution which permitted the existence of human slavery was an offence against God and man. In 1840, after internal dissensions of several years, the original Abolition Society split. Those who had begun political action the year before as the "Liberty Party," including Birney, Gerrit Smith, Goodell, Elizur Wright, Earle, the Tappans, and others, formed the American and Foreign Anti-Slavery Society. Garrison was left with those who had always supported him warmly, including the woman element. In addition to these, however, Garrison had found a tower of strength in Wendell Phillips, the only American who has ever rivalled Webster as an orator, even surpassing his great rival in fiery force and intense conviction. The original organization never grew largely in numbers. Its function is easily perceptible; it acted as the storehouse of the energy which was transmitted to its former associate, the new Liberty party, and thence, in diminished degree, to the more purely political organizations, the Free-Soilers, the Anti-Slavery Whigs, and the Republican party of 1855.

Jackson closed his second term in complete, confessed, and almost unbroken triumph.² All his enemies were in the dust: no one who had suffered on his behalf had failed of reward. Van Buren had become Vice-President in 1833, and was elected President in 1836-37.³ Taney had succeeded John Marshall as Chief Justice. The other supporters of the President were Senators, Representatives, in the diplomatic service,

¹ These denunciations were levelled at the church organizations for their attitude on the subject of slavery. Garrison's religious feeling was deep and fundamental, and, in many points, rather of the old Hebraic type.

² Clay, writing home that he was soon to leave Washington for Kentucky, adds (Feb. 10, 1837), "Would to God it were for the last time," (*Private Correspondence*, 411.)

³ His electoral votes were 170 to 124: 73 for Harrison, 26 for Hugh L. White of Tennessee, 14 for Webster, and 11 for Mangum of North Carolina. R. M. Johnson was elected Vice-President by the Senate, having received 147 votes to 147: 77 for Francis Granger of New York, 47 for John Tyler of Virginia, and 23 for William Smith of Alabama.

in the post-office, everywhere. The rise of the railway system and the increase of migration had increased the sales of public lands enormously. The growth of the revenue extinguished the debt in 1836, and it was decided, at Calhoun's suggestion, to "loan" the surplus revenue to the States.¹ The government revenues were deposited in banks selected by the Treasury — "pet banks," as they were often called. Neither these nor their unselected rivals were under any sort of supervision by the States which had chartered them or by the Federal government; and no bank-notes had any certainty of value. In 1836 the Treasury issued the "Specie Circular," ordering land agents to take only gold and silver in payment for lands.² The consequent demand for specie, and the return of paper from the West for payment, brought on the "panic of 1837" in the following spring. The business failures and public distress had had no previous parallel; the government revenues were locked up in suspended banks; and Van Buren called a special session of Congress in September. The policy which he recommended to Congress was to allow business affairs to take their natural course; to provide for the temporary needs of the government; and to "divorce bank and State" by the adoption of the "Sub-Treasury" or "Independent-Treasury" system. Failing again and again to secure a majority in Congress, even when his own party was in a majority, Van Buren persisted, and the Sub-Treasury Act finally became a law, July 4, 1840.³

Van Buren had thus been successful in that which was the one great subject of political struggle in his administration. But the panic of 1837, a smaller event of the same nature in 1839, and the usual disposition of voters to hold the administration responsible for all general evils, encouraged the Whigs to a new form of attack in 1840. Adopting no public declaration of principles, they nominated William H. Harrison, of Ohio, for President, and John Tyler, of Virginia, for Vice-President.⁴ The first of the modern "campaigns" followed. Long processions, monster mass-

¹ The loan, amounting to about \$37,000,000, was brought to an end by the panic of the following year. It was the "strict constructionists'" evasion of a difficulty. Clay's proposal, renewed again and again without success, was for an absolute distribution of the proceeds of the public lands among the States.

² Sumner, in his *Life of Jackson*, compares Jackson's management of the finances to a monkey's "regulation" of a watch: he simply "smashed things" and left his successor to repair damages. It was certainly reckless for the administration to discredit all the banks of the country when the national revenues were deposited in some of their number. Nevertheless, it ought to be noted that the Specie Circular had the merit of bringing bad financial management to a crisis.

³ Repealed in 1841 by the Whigs, the Act was

re-passed in 1846 by the Democrats, and is still law. Its principle was to throw the responsibility for the care of public moneys on receiving and disbursing officers, keeping them under sufficient bonds. The Act was framed by Silas Wright. When the National Banking system was introduced by Secretary Chase, the new banks were made legal depositories of public moneys: in so far, the principle of the original Act has been altered.

⁴ Tyler was an extreme nullifier, too strict a constructionist to endure Jackson, and a Whig only in his opposition to that President. Calhoun had shown a strong disposition to return to the Democratic organization after the retirement of Jackson, and Tyler's nomination was intended to retain the nullification element in the Whig party.

meetings, log-cabins and hard-cider (as an answer to Democratic ridicule of Harrison's frontier life), and uproarious chorus-singing became a feature of the Whig contest. For the first time, Demos was called to the help of a broad construction party, and the experiment was completely successful. The Democrats had adopted a strict construction platform, opposing protection, internal improvements, a national bank, and interference with slavery in the States, and had renominated Van Buren and Johnson. The result was the election of Harrison and Tyler, and a Whig majority in both houses of the new Congress.¹ The successful candidates were inaugurated in March, 1841, and the first step of the new President was to call a special session of Congress for May 31, the intention being, of course, to overturn the political structure which the three preceding administrations had erected. Within a month Harrison was dead, and Tyler was President in his stead.²

Clay had been very sore over Harrison's nomination; for Tyler he had small respect; and he came into the special session with a determination to reduce the new President to the ranks. The Sub-Treasury Act was repealed. A charter for the "Fiscal Bank of the United States" was then passed, and the President vetoed it, stating his objections to special clauses of it. A new bill was framed, with Tyler's approval, and passed. Its opponents contrived to fill the President's mind with jealousies and suspicions; he seems to have imagined all sorts of snares; and he vetoed the very bill which he had approved in private. The Whig majority was not large enough to override the veto; they were stale-mated through their own President; and all they could do was to denounce his treachery. The tariff of 1833 was to expire the next year, and the Whigs passed a bill to continue its duties, dividing surplus revenue among the States. This again was vetoed. Finally, the tariff of 1842, containing the principle of protection, but with lower duties,³ was passed and became law. In the last half of Tyler's term the Democrats had a majority in both Houses, and their hopes were high for the coming election of 1844. They were met by a new issue. Texas, which had been practically independent of Mexico since 1836, covered territory which had been claimed by the United States as a part of the Louisiana purchase, though the claim had been abandoned in 1819 in part-payment for Florida. Efforts had been making beneath the surface for its reannexation to the United States, but they had little prospect of success until 1843-44. By that time a small section of Southern politicians had decided that the interests of slavery

¹ The electoral votes were 234 to 60. Of the Democratic votes for Vice-President, 11 were cast for L. W. Tazewell of Virginia, and 1 for James K. Polk of Tennessee.

² The new administration had repudiated the idea of a "clean sweep" among the office-holders, but the pressure of the "hungry crowd," as Crittenden called it, was too strong, and the Jackson mode of procedure was henceforth a

precedent for all parties. See Von Holst's *Constitutional History* (trans.), ii. 406, and authorities there cited.

³ The percentage of duties rose in 1844 to 35.1% on dutiable imports and 26.9% on aggregate. Compare the 48.8% on dutiable and 40% on aggregate in 1830, under the tariff of 1828, and the 47.21% on dutiable, and 31.42% on aggregate in 1885, the highest point since 1871.

required an extension of territory. Where so great a power as the slave system is open to attack on moral or economic grounds, it cannot hold all its members in check; some of them will, from time to time, bring up more radical and advanced proposals; nor can it afford to desert its radicals. So long as the attacks on slavery should be kept up, the inevitable political destiny of the body of slave-holders was thus aggressive; and the attacks had now gone far enough to show the nature of the process. When Tyler, in 1844, called Calhoun into the Cabinet, the Texas annexation scheme acquired a new dignity. No one could say how general was the Southern favor for the scheme; and this very vagueness perhaps made Democratic politicians more timid in the matter, for Van Buren was committed against the present annexation of Texas. Further, Van Buren represented the strictness of the party opposition to protection, and the experience of 1840 was fresh in every one's memory. Polk, one of Van Buren's rivals for the nomination, had written a letter¹ for general reading, in which, while upholding the principle of free-trade, he had admitted his strong liking for a "reasonable incidental protection." The majority of the delegates to the Democratic convention, therefore, went prepared to vote for Van Buren, as a cloak of political virtue, while the requirement of a two-thirds vote for a nomination should make his success impossible. After a session of three days, Polk was nominated, the nomination for Vice-President being given to Silas Wright,² Van Buren's close friend. The convention also demanded the re-occupation of Oregon³ and the re-annexation of Texas. The Whigs nominated Clay and Theodore Frelinghuysen, of New York, both being opposed to annexation. Clay, however, attempted to make his opposition to the scheme less pronounced, and thus arrayed against him the Liberty party, which voted for candidates of its own, Birney and Thomas Morris of Ohio. Their votes in New York, withdrawn from Clay, gave the electoral votes of that great State to Polk and elected him.⁴ Congress, at its meeting in December, 1844, taking the result as a popular approval of annexation, passed a joint resolution for that purpose,⁵ which was approved by the President. The assent of the Texas congress, ratified July 4, 1845, by convention, made Texas part of the soil of the United States; and it was admitted as a State in December.⁶

The foreign slave-trade had been made illegal at the earliest moment

¹ The so-called "Kane letter."

² Wright declined, and George M. Dallas, of Pennsylvania, was substituted for him.

³ There was a vague popular belief that the American claim in the Oregon country went as far north as the southern limit of the Russian claim, 54° 40'; and that it ought to be maintained "with or without war with England." Hence the popular cry, "Fifty-four-forty, or fight."

⁴ The electoral votes were 170 to 105. New York's 36 votes, given to Clay, would have elected him.

⁵ The resolution would have been defeated in the Senate but for the addition of a provision authorizing annexation by treaty, and a general understanding that the execution of this clause was to be left to the incoming President. As it was, it passed by a vote of only 27 to 25. Tyler hurried to offer the original resolution to Texas for its assent. See Benton's *Thirty Years' View* ii. 632.

⁶ Texas was the last slave State admitted. Florida had been admitted in March, 1845. Iowa and Wisconsin followed in 1846 and 1848.

allowed by the Constitution — 1808. The trade from one American port to another had produced diplomatic difficulties. If the vessel were forced by stress of weather into an English port, the slaves were set free, to the discontent of the owner. The suppression of the African slave-trade brought up again the old question of the right of search. The Webster-Ashburton treaty of 1842 provided for a joint squadron on the African coast, fixed the Canadian boundary up to the Rocky Mountains, and introduced extradition of criminals.¹ This was supplemented in 1846, under Polk, by a treaty settling the boundary west of the Rocky Mountains as it now stands.²

Texas had claimed the Rio Grande as a western boundary, but had never maintained the claim west of the River Nueces. Early in 1846 the administration brought on the Mexican war by ordering Taylor, then commanding in Texas, to pass the Nueces. When armed conflict followed, the President sent a message to Congress, declaring that Mexicans had at last shed the blood of Americans on American soil,³ and advising a declaration of war. Seizing the opportunity to put the Whigs on the wrong side, the Democrats made the declaration of war,⁴ passed May 13, 1846, an assertion that the war had been begun "by the act of the Republic of Mexico." This manœuvre failed. The Whigs, asserting that the war existed by the act of the President, voted under protest for all bills meant to support the army which the President had sent into danger. The Democrats soon had other matters to attend to. Almost the first swoop of the war gave the United States possession of all the territory north of the present northern boundary of Mexico; and in August the President applied to Congress for a grant of money with which to buy Mexico's rights in the conquered territory and end the war. A bill was brought in appropriating \$2,000,000 for this purpose. David Wilmot of Pennsylvania, a Democratic member of the House, offered as an amendment a proviso, drawn from the ordinance of 1787, forbidding slavery in any territory thus to be purchased. It passed the House by an almost unanimous vote of the Northern Democrats, and

¹ Jay's treaty of 1794 had made partial provision for extradition.

² This was so complete an abandonment of the Democratic "fifty-four-forty" programme that Polk, at Benton's suggestion, adopted the extraordinary course of stating the terms of the treaty to the Senate in advance, and leaving to that body the responsibility of "advising" the acceptance of it. The Whig senators, to their great honor, relieved the Democratic President of his embarrassment by voting to advise an acceptance. See Benton's *Thirty Years' View*, ii. 674.

³ Lincoln, in Congress in 1847, represented the Whig feeling as to this proposition by offering what was called his "spot resolution," asking for information from the President on eight points relating to the location of "the spot on

which the blood of our citizens was shed, as in his messages declared." See *Century Magazine*, xxxiii. 529.

⁴ For the events of the war see Chapter VI. Von Holst, in his third volume, chapters vi.-viii., elaborates the theory that the Polk administration carried the quarrel with Great Britain about Oregon just far enough to seduce Mexico into the belief that she was to have Great Britain as an ally if she resisted Taylor's advance; and that, when Mexico had gone too far to retrace her steps, England's terms were accepted in full, the treaty of 1846 was hurried through, and the whole storm of war was turned upon Mexico. One objection to all this is, that it required a Macchiavellian subtlety for which we can find no parallel in the Polk administration.

went to the Senate just at the end of the session. Here a Whig senator, a supporter of the proviso, used up all the time in arguing in favor of it,¹ and the session expired without action. When the next session opened, most of the Democrats had seen new light. Although the Wilmot Proviso was offered as an amendment to every territorial bill, the Democratic vote against it became constantly larger. The war ended, and the territory was acquired by treaty, without any settlement of the slavery question.

The end of the war, and the few years after, are just such a period of party disintegration as that which followed the war of 1812. But there was no natural evolution of new parties, no "era of good feeling": the new policy of rotation in office, acted upon by sectional division, prevented that. Political managers, whose control of their respective groups of offices depended on the Southern vote, had to trim their sails carefully to avoid shipwreck on one side or the other, and they could have no good feeling for any one who opened new and embarrassing questions. There had always been a strong anti-slavery feeling within the Democratic party, especially in the agricultural districts, whereas the orthodox Whig policy was to ignore slavery altogether. Further, the notion of State supremacy has had its effect in giving Democratic State organizations a certain feeling of independence; and some of the Northern State organizations showed a disposition to go into alliance with the Abolitionists, as the New York Barnburners did in 1848. It is true that an evasion of the difficulty by an adoption of the notion of "popular sovereignty" or "squatter sovereignty," of leaving the choice of slavery or prohibition of slavery to the people of the interested territory, carried the Democratic party through the great struggle of 1850, which wrecked the Whig party, and four years beyond it. But the future of the party was gone when its thorough-going Abolitionists, the men whose Jeffersonian principles were not limited by the color of the individual's skin, left it in 1848 to form the Free-Soil party. The dominant party had re-enacted the Sub-Treasury Act in 1846, thus barring a national bank; it had passed the tariff of 1846, from which protection was excluded; it had now illustrated all the phases of its fundamental principle; but the principle itself left it in 1848, not to return until slavery, the disturbing force, should disappear. The case of the Whigs was worse. The dry rot had always been at work on the party organization. Composed at first of a congeries of jarring elements, it had never yet dared to formulate a platform, except a single resolution in 1844. Its success in electing Taylor in 1848² confirmed it in the fatal belief that it needed no particular party principle in regard to slavery, provided it could nominate a popular man. Thus, of the two great parties, one had no principles at all

¹ See Greeley's *American Conflict*, i. 189.

² Taylor and Fillmore had 163 electoral votes; Cass and Butler, 127. The 36 electoral votes of New York were decisive in this election also. The Free-Soil vote in that State, for

Van Buren and Charles F. Adams of Massachusetts, though it was largely a Barnburner revolt against the national Democratic organization, cost Cass the votes of the State and the election.

on the subject of slavery, and the only principle of the other was a temporary evasion. The "great struggle of 1850" was an affair of offices and sectional advantage, not of political principle. With the end of the war in 1848, real political history is suspended, the question of slavery in the territories being in the air, until the Kansas-Nebraska Act brings an issue between two real political principles: the Republican doctrine of Congressional prohibition of slavery in the territories on the one side; on the other, the doctrine wrought out by the logical mind of Calhoun, of Congressional protection of slavery in the territories.¹

Alexander Johnston

CRITICAL ESSAY ON THE SOURCES OF INFORMATION.

BY THE EDITOR.

THE official publications of the government under the Constitution, which record its political progress and development, are the following:—

Thomas B. Waite's *State Papers and public documents of the United States* [from 1780], exhibiting a complete view of our foreign relations.²

The compilation of what is known as Peter Force's *State Papers* (edited by W. Lowrie, M. St. C. Clarke, and others) have been ordered by Congress at different times since 1831, in two series of 21 and 17 volumes each, making 38 volumes in all.³

There was copyrighted in 1834 what purports to be vol. i. of a *History of Congress; exhibiting a classification of the proceedings, March 4, 1789, to March 3, 1791* (Philad., 1843). It is not known that more was published.

The *Annals of Congress* of Joseph Gales and W. W. Seaton were published at Washington between 1834 and 1856, and include the debates and proceedings of Congress from 1789 to 1824, with the more important documents and laws, making forty-two volumes. Gales was a very competent reporter. The title was then changed to a *Register of Debates*

¹ The popular vote has no constitutional influence on Presidential elections, but is given merely as an approximation to the voting strength of parties. It can be no more than an approximation, for in some States the lowest vote is reported, in others the vote for the highest elector on the list, in others the average. The figures of Spofford's *American Almanac* are used. Until about 1824, electors were so largely chosen by the legislatures that no reliable record of the popular vote is available.

1824: Jackson, 155,872; Adams, 105,321; Clay, 46,587; Crawford, 44,282.

1828: Jackson, 647,231; Adams, 509,097.

1832: Jackson, 687,502; Clay, 530,189.

1836: Van Buren, 761,549; all the opposition, 736,656.

1840: Harrison, 1,275,017; Van Buren, 1,128,702; Birney, 7,050.

1844: Polk, 1,337,243; Clay, 1,299,068; Birney, 62,300.

1848: Taylor, 1,360,101; Cass, 1,220,544; Van Buren, 291,203.

² The series was begun by order of Congress in 1816, and consists of twelve volumes (Boston, 1817-1819), extending to 1818. The third is the last edition. Cf. *Boston Athenæum Catal.*, p. 3062.

³ They have satisfactory indexes, and are divided thus:—

Foreign relations, 1789-1828, in six volumes.

Indian affairs, 1789-1827, in seven volumes.

Finances, 1789-1828, in five volumes.

Commerce and navigation, 1789-1823, in two volumes.

Military affairs, 1789-1838, in seven volumes.

Naval affairs, 1789-1836, in four volumes.

Post-office, 1789-1833, in one volume.

Public lands, 1789-1837, in eight volumes.

Claims, 1789-1823, in one volume.

Miscellaneous, 1789-1823, in two volumes.

in Congress, which extended to twenty-nine volumes. The speeches of Congressmen were revised by themselves. It carried the record from 1824 to 1837.

After 1833, the accredited report of Congress is *The Congressional Globe, containing the debates and proceedings* (Washington, 1834-1873), which began with the twenty-third Congress and included the forty-second, making 110 volumes in all, with indexes by sessions. It was conducted by Francis P. Blair and J. C. Rives, and later by Rives alone, and by others. The speeches are revised by the speakers, and the laws are reported in an appendix of each session. It is well known that speeches not delivered were often included in its reports, and Hudson (*Journalism in the United States*) affirms that its records were not always trustworthy, the soberer after-thought of speakers obscuring or transforming what was actually said.¹

The ordinary recourse for the debates of Congress is Thomas H. Benton's *Abridgment of the Debates of Congress, 1789-1850, from Gales and Seaton's Annals of Congress; from their Register of Debates; and from the official reported Debates by John C. Rives* (N. Y., 1857-1863), in 16 volumes, with an index in each volume.² This publication may be well supplemented by another, involving much more his own personality, his *Thirty Years' View, or a History of the working of the American Government, 1820-1850* (N. Y., 1854-56), in two volumes, chiefly taken from the Congress debates, the private papers of Jackson, and speeches of Benton, with his actual view of men and affairs.³

The Senate sat with closed doors till February 20, 1794.⁴ Although its *Legislative Journal* was printed from 1789, and for the period (1789-1815) was reprinted in 1820-21, in five volumes, and its *Executive Journal* (1789-1829) was printed in three volumes, we had no record of its earliest debates, before the notes made by one of its members, William Maclay, of Pennsylvania, recently appeared as *Sketches of debate in the first senate of the United States* [April 24, 1789, to March 3, 1791], edited by George W. Harris (Harrisburg, 1880).⁵

The *Journal of the House of Representatives, 1780-1815*, was reprinted (1826) in nine volumes, with an index in each. This may be supplemented for the earlier part of the time by Thomas Lloyd's *Congressional Register, or Proceedings and Debates of the first House of Representatives* (N. Y., 1789-1791), in four volumes.⁶ The *Journal of the House of Representatives* is in print from 1789.

Congress soon began to add other publications, called *Senate Documents; Executive Documents*, usually called *State Papers; Reports of Committees of the House; Extra Journals* in trials of impeachment; and *Bills*. After the thirtieth Congress (1847-1849) these additional publications increased.⁷

¹ Cf. Benton, *Thirty Years' View*, i. ch. 43, on the Globe newspaper establishment. The *Globe* was succeeded in 1873 by the *Congressional Record*, printed at the government printing-office. Cf. R. W. Kerr's *History of the Government Printing-Office, with a brief record of the public printing, 1789-1881* (Lancaster, 1881).

² The debates at the time (1856) Benton undertook his work made a hundred volumes, and the essential trains of thought and action, as showing the development and motions of government, were buried in a mass of other temporary and extraneous matters. This was his warrant for a compilation which should omit routine business and private bills and avoid repetitions. He adds some notes and comments.

³ There are other reminiscent books:—

C. W. March, *Reminiscences of Congress* (1813-1834), N. Y., 1850.

H. G. Wheeler, *Hist. of Congress, biographical*

and political (1839-1847), N. Y., 1848, in two vols.

⁴ Cf. *Life of Geo. Read*, 532. For the reasons which impelled the opening of the doors, see contemporary letter in *Am. Antiq. Soc. Proc.*, April, 1887, p. 371. For its later secret sessions, see D. B. Eaton's *Secret Sessions of the Senate* (N. Y., 1886), who deems the custom disastrous.

⁵ This record is of a continuous character, while that which we derive from the writings of Adams, Jefferson, Madison, and Wolcott is fragmentary. A debate of the Senate, Dec. 30, 1791, on the sending of ministers abroad, is preserved among Washington's papers (Sparks, x. 470).

⁶ It is the best account we have, and is adopted by Gales and Seaton. Van Buren (*Polit. Parties*, 191) calls the reports "tolerably full and obviously fair," and regrets that Benton did not adopt them.

⁷ The *Boston Public Library, Bates Hall Catal.*,

The *Laws of the United States*, as issued by sessions, began at Philadelphia in 1796, and was continued at Washington, making 35 vols. down to 1850.¹

The principal collection of the *Laws of the United States* is an early one, compiled by J. B. Colvin, and authorized by Congress in 1814. The plan of the compilation was one suggested by Richard Rush, and adopted by Monroe, then Secretary of State.²

What is known as the United States *Statutes at Large* was published in Boston (1845, etc.), under the editing of R. Peters to vol. viii., and of G. Minot and G. P. Sanger, later, being authorized by Congress in 1845, and subsequently authenticated as competent evidence in all courts.³

In studying the course of political parties in the United States,⁴ the readiest bibliographical aid is W. E. Foster's *References to the History of Presidential Administrations, 1789-1885* (N. Y., 1885); though many of the political biographies are ample in their foot-notes, and some of the general histories, which emphasize the political side.⁵

The best concise mapping of the whole course of American politics under the Federal government is Alexander Johnston's *History of American Politics*, which was originally

p. 796, gives a convenient synopsis of all these extra documents, as does the *Boston Athenæum Catal.*, p. 3065, etc.

Indexes of the documents of the first eighteen Congresses were printed in 1823-24; and others, continuing the same, were added in 1832, 1840, and 1870. A *General index to the Journals of Congress (1st-10th, 1789 to 1809), with references to debates, documents and statutes*, by Albert Ordway, was printed in 1880. The documents in the *State Papers* prior to 1823 are well indexed. An index to the Congressional documents after 1823 will be found in the Boston Public Library's *Bates Hall Index*, p. 815. There is a *Synoptical index to the laws and treaties, 1789-1851* (Boston, 1860).

¹ There were other contemporary editions of the early acts, as one at Boston, 1795, for the first and second Congress.

² Vol. i. — the Declaration of Independence, the Constitution, treaties, proclamations, etc. Vols. ii., iii., and iv. — the laws from 1789 to 1815. Vol. v. — lists of acts and resolutions, and various indexes. Vols. vi. and vii. brought the laws and treaties down to 1827, with an excellent index, by Samuel Burch, for the whole period, 1789-1827. It is sometimes found in separate binding. Vols. viii. to xi. continue the collection to 1848.

³ The collection begins with the Declaration of Independence, the Articles of Confederation, the Constitution, and then follow the public acts from 1789 to 1845. — these making volumes i. to v. Vol. vi. has private statutes at large, 1789-1845. Vol. vii. has Indian treaties, 1778-1845. Vol. viii. has treaties with foreign powers, 1778-1845, and a general index to the eight volumes. The series was continued beyond this, putting the public and private acts, treaties, and proclamations together in successive volumes. There

are several lesser collections. Richard Folwell's *Laws of the United States*, containing also treaties, covers 1789-1797, in 3 vols.

Judge Story edited in 3 vols. the *Public and General Statutes of the United States, 1789-1827*, with marginal references and index, and an appendix, with a fourth volume (1828-1836), edited by G. Sharswood (Philad., 1837).

The different compends are:—

Digest of the general Laws of the U. S. [1789-1856], with references to acts repealed and notes of decisions of the Supreme Court, by J. Dunlop (Philad., 1856).

Analytical Digest of the laws of the U. S., 1789-1869, by F. C. Brightly (Philad., 1859-1869), in 2 vols.

A *Synoptical index to the Laws and Statutes of the U. S., 1789-1851*, prepared by A. Dickens (Boston, 1852).

A volume of *Official opinions of the Attorneys General of the U. S.* was printed in Washington in 1852.

⁴ On the necessity of parties, with particular reference to our early history under the Constitution, see John Adams's *Works*, x. 23, 48, 50; Sparks's *Washington*, x. 283. On the general proposition, see Smyth's *Lectures on History*, Bohn's ed., ii. 502; Lalor's *Cyclo. Polit. Science*, iii. 95; Crane and Moses's *Politics* (N. Y., 1884).

⁵ Like Von Holst and Schouler, Hildreth masses his references at the end of his sixth volume. There is a good list of the party literature from 1789 down, in the *Boston Athenæum Catal.*, pp. 3148, etc. The distinctively political periodicals did not begin till a late day, like the *American Whig Review* (1844, etc.), and the *Democratic Review* (1841, etc.), but their articles sometimes are retrospective. Talcott Williams wrote the article on "Party government in the U. S." in Lalor's *Cyclopaedia*, iii. 112.

issued in 1879, and reached a revised and enlarged edition in 1882. It follows the course by administrations.¹

The most conspicuous surveys of the subject, by virtue of the positions of the writers, are John Quincy Adams's *Jubilee Discourse before the N. Y. Hist. Society, April 30, 1839*, on the origin and progress of parties; and the posthumous *Inquiry into the origin and course of political parties in the United States, by the late ex-president Martin Van Buren, edited by his sons* (N. Y., 1867). The remarkably independent career of Adams lends interest to his views, and as an exponent of Democracy the *Inquiry* of Van Buren gives us the vindictive though somewhat mollified estimates of the Jacksonian Democracy.² The latter book is not well constructed, and there is a recurrence of thought verging at times upon garrulity.

¹ Mr. Johnston also furnished the articles on the political history of the United States in John J. Lalor's *Cyclopaedia of Political Science* (Chicago, 1881, 3 vols.), and the principal ones are supplemented by useful references to documents, discussions, and narratives appertaining. There are some specific monographs worth noting:—

M. W. Cluskey's *Political Text-book* (Washington, 1857, and later editions), a mass of documentary material, topically arranged. E. G. Tileston's *Hand-book of the Administrations of the U. S.* (Boston, 1871).

M. C. Spaulding's *Hand-book of Statistics of the U. S. (1789-), a record of Administrations and Events* (N. Y., 1874).

Edward Stanwood's *History of Presidential Elections* (Boston, 1884), with the campaign platforms. Cf. E. W. Gilliam, "Presidential Elections historically considered," in the *Mag. of American Hist.* xiv. 189; the "Early Presidents,"

in *Ibid.*, Feb., 1884; and "Unsuccessful Candidates for the Presidency," with portraits of A. Burr, J. C. Calhoun, L. Cass, H. Clay, DeWitt Clinton, Geo. Clinton, W. H. Crawford, E. Gerry, R. King, W. Scott, W. Wirt, in *Ibid.*, Nov., 1884.

The Presidential counts: a complete official record of the proceedings of Congress at the counting of the electoral votes in all the elections of president and vice-president of the United States: together with all congressional debates incident thereto, or to proposed legislation upon that subject. With an analytical introduction (New York, 1877).

The votes for the Presidents will be found in the *American Almanac*, 1860, p. 198; Lalor's *Cyclopaedia*, ii. 53, iii. 1001, and elsewhere. The series of Presidents, so far as they come within the scope of the present chapter, is:—

NAMES.	Born.	Inaugurated.	Con. in office.	Died.	Native of.
George Washington	Feb. 22, 1732	Apr. 30, 1789	8 years . . .	Dec. 14, 1799	Virginia.
John Adams	Oct. 30, 1735	Mar. 4, 1797	4 " . . .	July 4, 1826	Mass.
Thomas Jefferson	Apr. 2, 1743	" 4, 1801	8 " . . .	July 4, 1826	Virginia.
James Madison	Mar. 16, 1751	" 4, 1801	8 " . . .	June 28, 1836	"
James Monroe	Apr. 2, 1759	" 4, 1817	8 " . . .	July 4, 1831	"
John Quincy Adams	July 11, 1767	" 4, 1825	4 " . . .	Feb. 23, 1845	Mass.
Andrew Jackson	Mar. 15, 1767	" 4, 1829	8 " . . .	June 8, 1845	S. Carolina.
Martin Van Buren	Dec. 5, 1782	" 4, 1837	4 " . . .	Dec. 27, 1862	New York.
William H. Harrison	Feb. 9, 1773	" 4, 1841	1 month . .	April 4, 1841	Virginia.
John Tyler	Mar. 29, 1790	Apr. 5, 1841	3 yrs 11 mo's	Jan. 17, 1862	"
James K. Polk	Nov. 2, 1795	Mar. 4, 1845	4 years . . .	June 15, 1849	N. Carolina.
Zachary Taylor	Nov. 24, 1790	" 4, 1849	1 year 4 mo's	July 9, 1850	Virginia.

A few special subjects:—On the veto power, see Lalor, iii. 1064; Lucy M. Salmon's *Hist. of the appointing power of the president*, no. 5 of the papers of the Amer. Hist. Association, 1886. Cf. "Appointments and Removals," in Lalor, iii. 586. The Caucus System, in Lalor, by Frederick W. Whitridge, and published separately in 1883 (*Economic Tracts*, no. 8); and by G. W. Lawton, *The American Caucus System, its origin, purpose, and utility* (1884), in *Questions of the day*. Lalor (ii. 1039) also has a paper on "Nominating Conventions." On the creation of Departmental Offices, see George N. Lamphere's *United States Government, its organization and*

practical workings (Philad., 1880); Towle's *Hist. and Analysis of the Constitution*, p. 377; and Lalor's *Cyclopaedia*. Cf. Lossing on the Executive Departments and their Seals, in *Harpur's Mag.*, xxxviii. 319. J. F. Jameson's *Introd. to the study of the constitutional and political history of the States* (Baltimore, Johns Hopkins Univ. Studies, 1886) is in some measure an appeal for the study of local political opinion, as affecting the masses and the progress of parties. *Paole's Index* gives many references on "government of the U. S.," p. 1349.

² Cf. p. 74 upon Hamilton. Also C. C. Hazewell's review in *No. Amer. Rev.*, cv. p. 267. The

The principal of the more extended histories of later origin is Dr. J. D. Hammond's *History of the political parties in the State of New York, 1789-1840*. To the 4th enlarged edition (Buffalo, 1850, in two vols.)¹ General Root has added notes. The author is a Democrat, but not a partisan, and the book is generally commended.²

To these may be added two well-known books of reference: Edwin Williams's *Statesman's Manual*, or more properly cited as *The addresses and messages of the Presidents, 1789-1840, with memoirs, etc.* (N. Y., 1847-49, in 3 vols.; new and enlarged ed. in 4 vols., N. Y., 1854), with each President's papers followed by a review of his administration and policy;³ and T. V. Cooper and H. T. Fenton's *American Politics* (Philad., 1882),⁴ in which book i. gives a history of parties, and book ii. has the party platforms, 1800-1880.

The lives of leading statesmen,⁵ as well as the general histories, necessarily trace the

estimate of Alexander Hamilton led the son of that Federalist leader, James A. Hamilton, to counteract its unfavorable tone in his *Reminiscences* (N. Y., 1869), which contains also an examination of Jefferson's charges against Hamilton. Matthew Carey published his *Olive Branch* (Boston, 1815; Philad., 1818, and later) after the Federalists had begun to lose ground, in which he endeavored to show that there were faults on both sides, but he hardly pleased either extreme, though the book was sufficiently popular to pass through numerous editions. Carey acknowledged himself an Anti-Federalist, though not necessarily an approver of all his party might do. His mediatory efforts consisted mainly in assailing what he did not like in both parties, and not always temperately. He defends the Alien and Sedition laws, and abuses Jefferson for his mistakes. He thinks the New England Federalists plotted treason, and arrogated for themselves a commercial importance which they did not have. (Cf. Duyckinck, i. 641.)

¹ Hammond's *Life and Times of Silas Wright* (1848) is sometimes called a third volume.

² C. K. Adams's *Manual*, p. 554. There are several other helpful books:

Orrin Skinner's *Issues of American Politics* (Philad., 1873). Arthur Holmes's *Parties and their principles, a manual of historical and political intelligence* (N. Y., 1859). The book unfortunately has no references or authorities.

Walter R. Houghton's *Hist. of Amer. Politics (non-partizan) embracing a history of the federal government and of political parties in the Colonies and United States from 1607 to 1882* (Indianapolis, 1883).

Of less importance are:—L. J. Jennings's *Eighty years of Republican Government in the U. S.* (London, 1868; cf. P. W. Clayden in *Fortnightly Rev.*, ix. 117). A. W. Young's *American Statesman: Political history of the U. S., enlarged by G. T. Ferris* (N. Y., 1877). "It might with some propriety be called," says C. K. Adams (*Manual*, 578), "a history of public opinion on political questions." Joseph Brucker's *Chief political parties in the United States, their history and teaching* (Milwauk22, 1880). Lewis O.

Thompson's *Presidents and their Administrations* (Indianapolis, 1873). William C. Roberts's *Leading Orators of twenty-five Campaigns, with a concise history of political parties* (N. Y., 1884).

There is a paper on "The Origin and Character of the Old Parties" in the *Am. Rev.*, xxxix. 208. J. M. Cutts, in his *Constitutional and party questions* (1866), has a section on the origin, history, and state of parties.

W. G. Sumner summarizes the history of politics 1776-1876 in the *Am. Rev.*, Jan., 1876. W. C. Fowler gives an outline history of parties in their territorial relations in his *Sectional Controversy* (N. Y., 1868). Cf. Horace White on the relations of government to State sovereignty in the *Fortnightly Review*, Oct., 1876. S. M. Allen's *Old and New Republican parties, 1789-1880* (Boston, 1880).

³ Cf. Jeremiah Chaplin's *Chips from the White House; or selections from the speeches, etc., of the Presidents* (Boston, 1881). *Addresses and Messages of the Presidents, Washington [to] Van Buren* (N. Y., 1837).

⁴ *Contents*:—History of the political parties. — Political platforms. — Great speeches on great issues. — Parliamentary practice. — Existing political laws. — Federal blue-book. — Tabulated history of politics.

⁵ Greg (*United States*, i. 459) charges the "Amer. Statesmen Series" with expressing the views of a party "which conquered in the civil war as developed by conflict and exaggerated by victory, and written for a generation which has converted a confederacy into a consolidated sovereignty." Cf. on the other hand, on this same series, Goldwin Smith in the *Nineteenth Century*, Jan., 1888.

The earlier, much more condensed sketches of leading statesmen in J. G. Baldwin's *Party Leaders* (N. Y., 1855) offers comparisons of party champions in the sketches of Jefferson, Hamilton, Jackson, Clay, and Randolph. We may trace the rivalry of leadership in the early administrations with due allowances in such representative books as Randall's *Jefferson* and J. C. Hamilton's latest *Life of Hamilton*, letting one correct the other.

history of parties, but reference may be particularly made on the origin and development of the two great parties to Schouler's *United States* (i. 47); Roberts's *New York*, ii. ch. 27; and for the comments of a wary observer, O. A. Brownson's *Works*, xvi. 350. Carl Schurz in his *Henry Clay* (i. 316) traces sharply the sequence from Federalism and Republicanism through the Democratic Republican adherents of Jackson and the National Republican followers of Clay, till they became respectively the later Democratic and Whig parties.¹

Madison has some exculpatory remarks on the changes of the policy of the Republican party, which brought them to the ground held by the Federalists (*Letters*, iii. 317, 321, 325), which may be taken as indicative of the explanations given by both parties for similar tergiversations.

Von Holst's *Constitutional History of the United States* is in some respects the most suggestive book we have on the progress and shiftings of parties. It is written so as to presuppose in the reader some knowledge of events, and he soon learns to make allowances for the disheartening quality of his comment.²

Of all the personal experiences of prominent actors in political events which have come before the public, the most important is the *Memoirs of John Quincy Adams*, which makes a current diary from 1795 to 1848, embraced in twelve large volumes, covering his career as diplomatist, Secretary of State, President, and member of the House of Representatives. One gets weary of his rasping criticism of his contemporaries, and wonders if he felt all he said of condemnation to be true.³

It was the mission of Washington, in the early days of our constitutional history, to hold the passions of the young parties in check:⁴ and while there can be no doubt of his sympathy with the more conservative of the Federal leaders, he was not by policy openly adverse in all ways to the principles of their opponents. As the commanding character of all, he deserves our attention, first, to discover what best unveils him, and to make it plain how different men understood him then and since. There were almost innumerable sketches of his career, temporary in their character, before we began to have any that have left an impress of some sort. The earliest of these last is one of little value, but it has set the popular standard with the masses of readers. This is the narrative by Mason L. Weems, an Episcopal clergyman, who, while officiating at a church neighboring to Mount

¹ R. McK. Ormsby's *Hist. of the Whig Party*, 2d ed. (Boston, 1860), traces its immediate origin in the unimpassioned time of Monroe's administration. Cf. on the origin of the Whig and Democratic parties in *Amer. Whig Review*, ix. 6; and Johnston's article in *Labor*, iii. 1101, under "Whig Party." Lodge (*Webster*, 297) places its definite formation in Jackson's time.

² Unfortunately the English translation is not faultless. An older book, Alden Bradford's *History of the Federal Government for fifty years, 1788-1839* (Boston, 1840), is chiefly now of importance for the personal acquaintance of the author (b. 1765; d. 1843) with the progress of political belief during that period.

³ John T. Morse, in his *John Quincy Adams*, "travels along its broad route to the end," and he doubts if any one ever before left to posterity a "portrait of himself more full, correct, vivid, and picturesque."

There is only space here to refer to a few other personal records, like those of Nathan Sargent's *Public Men and Events*, sometimes known as the

reminiscences of "Oliver Oldschool"; the *Letters and Times of the Tylers*, by L. G. Tyler; the *Autobiography and Memoir of W. H. Seward* (1801-1846); the *Autobiography and Memoir of Thurlow Weed*; and G. T. Curtis's lives of *Buchanan and Webster*, both based on personal papers, — not to name others.

On the phases of political life in Kentucky and the Southwest, we find some personal flavor in Lucius P. Little's *Gen. Harvin, his Times and Contemporaries* (Louisville, 1887); the *Autobiography of David Crockett* (Philad., 1834, — cf. *Pool's Index*); the *Memoir of Sargeant S. Prentiss*, by George L. Prentiss (N. Y., 1855), in two vols., and a life of him by Shields. The lives of Jackson and Clay necessarily touch this region and period.

⁴ Cf. Randall's *Jefferson*, ii. 518. The testimony of Wm. Smyth (*Lectures on Modern History*, ii. last chap.) deserves all the more confidence that it was written in 1811, when the relations of England and America were strained to excess.

Vernon, had known Washington. His *Life of George Washington* was published a few months after Washington's death, and is the source of most of the popular estimation of the superhuman goodness of the man, which it has kept alive by repeated editions, dressed out with all the arts in which Weems, who became a book-agent, was an adept.¹

It was in John Marshall's *Life of George Washington, compiled under the inspection of Bushrod Washington*,² that the most authentic material respecting Washington's life, namely, his own papers, received the impress of a true historic spirit. Its execution was



JOHN MARSHALL.*

not altogether deliberate, however, and there were improvements introduced in a revision. Jefferson at the time, indeed, judged there was a purpose in its hastened publication, which was to affect the pending presidential election.³ The book has, indeed, notwithstanding a perspicuous method, suffered in popular estimation from a somewhat dull style; and Smyth⁴ points out that its more conspicuous merit is in the pictures it gives of the distresses which at times gathered about Washington.

The *Life of Washington*, by David Ramsay, was largely an attempt to give more popular interest, in a less extended narrative, to the story as told by Marshall.⁵

An account is given in another place of the labors of Jared Sparks as editor of Washington's Papers. They resulted in what has been the historical student's ultimate resort, *The Writings of George Washington, being his Correspondence, addresses, messages, and other papers, official and private, selected and published*

from the original manuscripts, with a life of the author, notes, and illustrations, by Jared Sparks. Boston, 1834-1837.⁶

¹ It gave Parton occasion to publish his "Real and Traditional Washington" in the *Mag. of Amer. Hist.*, iii. 465, to which Dr. De Costa made a rejoinder in *Ibid.* v. 81. (Cf. references in Allibone, iii. 2633.)

² Published in Philad. and London, in five quarto vols., in 1804-1807; and at the same time in octavo. The introduction, on colonial history, was published separately in 1824, and in the revised ed. of the *Life* (Philad., 1832) it was omitted. It was abridged in a school ed. in 1838.

³ Magruder (*John Marshall*, ch. 12) says that Jefferson wished Joel Barlow to take Marshall's material, and such other as he and Madison could offer, and write a history of the United States since the war, to be a corrective of Marshall.

⁴ *Lectures*, Bohn's ed., ii. 461, 475.

⁵ It was first published at New York in 1807, and has passed through many editions (cf. Sabin, xvi. 67, 695, etc.), and has been once or twice revised. Of a more ethical character was the study of Washington made by the Rev. Aaron Bancroft, then a minister settled at Worcester, Mass., and the father of George Bancroft. He published in the first place one of the very many printed eulogies of Washington which marked the year following his death. This led to the *Essay on the Life of Washington* (Worcester, 1807), and the later *Life of Washington* (Boston, 1826, and later dates).

⁶ The plates were used for other issues, dated 1842, etc., and are now the property of Harvard College. An attempt to issue the book in England failed; but in 1839, and again in 1842, some

* After a print in the *Analectic Magazine*, July, 1817, engraved by E. Kearny from a painting by J. Wood.

The most important of the later accounts of Washington is the *Life* by Washington Irving, a graceful rendering of accessible knowledge, with little independent research of importance.¹

selections from it were printed in London, without the sanction of Sparks, under the title of *Personal memoirs and diaries of George Washington . . . by Jared Sparks*, in two volumes.

The first volume of the original work, which contained the life, was separately issued in 1839, and during Sparks's life seventeen editions had been printed. Sparks abridged the life, in two volumes (Boston, 1840, etc., and Auburn, N. Y., 1851). The complete text of the life was also published in 1855 at Dessau, Germany, in a collection of standard American authors.

Guizot condensed the original twelve volumes into six (Paris, 1839-1840), under the title of *Vie, correspondance, et écrits de Washington, publiés d'après l'édition Américaine, et précédés d'une introduction sur l'influence et le caractère de Washington dans la révolution des États-Unis de l'Amérique*. Sparks's life is followed in the *Vie*, and such parts of the letters are given as were deemed necessary. The *Vie* was also published separately in 1839, and an English translation appeared in 1851. It has been the subject of some surprise that Sparks's name was never mentioned in the title, and this omission, it is claimed, caused successive editions of the French translation to be credited to Guizot alone (*Sparks Catal.*, no. 2789). Sparks notes that the atlas of plates and maps which accompanied Guizot's publication were taken from Sparks's publication without acknowledgment (*Ibid.*, preliminary, p. 2).

In 1851 there appeared *Fondation de la république des États-Unis d'Amérique. Vie de Washington, traduite de l'Anglais de M. Jared Sparks par M. Ch. . . . et précédée d'une introduction par M. Guizot*, in two volumes.

A German version in two vols., issued at Leipzig in 1839, was called *Leben und Briefwechsel Georg Washingtons, nach dem Englischen des Jared Sparks im Auszuge bearbeitet, herausgegeben von F. von Raumer*.

A work supplemental to the twelve volumes was projected by Sparks, to be called *Illustrations of the principal events in the life of Washington, edited by Jared Sparks*, each part to have four engravings; but some failure in the details prevented the publication of more than a single part (Allibone, p. 2193).

A few years after the publication of the twelve volumes there was prepared in Boston, under the editing of Charles W. Upham, a *Life of Washington in the form of an autobiography; the narrative being to a great extent conducted by himself in extracts and selections from his own writings* (Boston, 1840, two volumes). The book was, in fact, mainly a collection of extracts

from Washington's writings, as edited by Sparks, strung together so as to make a continuous narrative of his life. The Circuit Court of the United States held it to be an infringement of Sparks's copyright, and the book was never published, though a very few copies are known to be in existence (Boston Pub. Library; Harvard College library). The plates were sent to England, and various editions from them were disposed of there. The *Sparks Catalogue*, no. 2790, notes one, entitled *The life of General Washington, written by himself, comprising his memoirs and correspondence as prepared by him for publication, including several original letters now first printed. Edited by C. W. Upham* (London, 1852, in two volumes). Cf. G. E. Ellis's memoir of Upham in *Mass. Hist. Soc. Proc.*, xv. 198.

¹ An illustrated copy in the Menzies sale, no. 1,041, brought \$4,080. The details of his private life are especially studied in Richard Kush's *Domestic Life of Washington* (Philad., 1857), and in G. W. P. Custis's *Recollections of Washington* (1860). There are some anecdotes in John Barnard's *Retrospections of America*, p. 85. There is a chapter on his religious character in Meade's *Old Churches of Virginia*.

The more popular lives of lesser extent are those by James K. Paulding in *Harper's Family Library; The Life and Times of Gen. Washington, by Cyrus R. Edmonds* (Washington, 1835); Mrs. Kirkland's *Memoirs of Washington* (N. Y., 1857); and Schroeder's *Life and Times of Washington*, an illustrated volume. The latest are John Habberton's *George Washington* (N. Y., 1884), and Edward E. Hale's *Life of George Washington*, studied anew, as he claims, to present the human side of his character (N. Y., 1887).

From the time of the eulogy of Gen. Henry Lee, which gave currency to the phrase "First in peace, first in war, and first in the hearts of his country," leading American orators like Fisher Ames, Webster, Winthrop, and Everett have made his character and career the subject of addresses. That of Mr. Everett is the best known from the many repetitions which he gave of it to help the fund for the redemption of Mount Vernon, and he has told the story of his successful efforts in the *Mass. Hist. Soc. Proc.* (June, 1858). Mr. Everett also elucidated Washington's career in his *Mount Vernon Papers*, and wrote the article on him in the *Encyclopedia Britannica*, which was later printed separately as a *Life of Washington* (N. Y., 1860). Among essayists, Theodore Parker in his *Historic Americans*, and E. P. Whipple in his *Washington and the principles of the Revolution*, are perhaps the best

The antagonisms and contrasts of Jefferson and Hamilton as representing the Republican and Federalist views¹ have given color to all the literature rehearsing the history of their times, and of the later outgrowths; and as their characters embody more distinctively than any others the spirit of the two opposing divisions of the American people at the beginning of the constitutional period, the consideration of the literary aids to the understanding of their respective agencies can best engage us first before going farther.

The only estimate of these opposing founders of the Republic, in a historical spirit, made near their time, was by Marshall in his *Life of Washington* (1804-1807). Marshall was a strong Federalist, and his views were promptly questioned.² What are known as his *Anus* was Jefferson's record, while he was Washington's Secretary of State, of the opinions which he had given the President on the questions about which they were more or less at variance. In the revision which he made at a later day (1818), for their

known. The reader needs to be warned against a fraudulent *Memorials of Washington*, by one Walter (N. Y., 1887). The French lives, since Guizot, of the most importance are those by Cornelis de Witt (reviewed by J. J. Ampère in *Revue des Deux Mondes*, x. 630), and Joseph Fabre's *Washington libérateur de l'Amérique, suivi de La révolution américaine et Washington; documents et éclaircissements* (Paris, 1882).

The best display of the multifarious characterizations of Washington will be found in W. S. Baker's *Character portraits of Washington as delineated by historians, orators, and divines; selected and arranged in chronological order, with biographical notes and references* (Philadelphia, 1887), which will serve as a key to the works drawn upon. John Adams (*Works*, ix. 541) has a striking estimate of Washington's relation to his countrymen; Lecky's estimate (vol. iii. 468) is one of the best.

Among the later American general historians, we have conspicuous drawings of his character in Bancroft (final revision, vi. 177); in Schouler (i. 121-126); and a not altogether grateful one, strained in some respects, by McMaster.

The most popular estimate of Washington as a soldier is in Joel T. Headley's *Washington and his generals* (1842, and various later eds.). Col. Carrington has examined his conduct as a strategist in *Am. Rev.*, Oct., 1881. There are papers on his various headquarters in Custis's *Recollections* (ch. 9); on his military family in the *Mag. of Amer. Hist.* (1881), vii. 81; and on his life-guard in *Hist. Mag.*, May, 1858, in G. W. P. Custis's *Recollections of Washington* (ch. 7), and Lossing's *Field-Book*, ii. 120. For the feeling for and against him during the war, see Sargent's *Stansbury and Odell*, p. 176.

Respecting the English ancestry, the paper of Col. Joseph L. Chester (*N. E. Hist. and Gen. Reg.*, xxi. 25, Jan., 1867) disposes of the earlier belief in Washington's connection with the Washingtons buried at Irington, Northamptonshire.

Fa-similes of the memorial stones of the last English ancestors of George Washington in the parish church of Brington, Northamptonshire,

England; permanently placed in the State house of Massachusetts (Boston, 1862), which contains Gov. Andrew's Message to the House of Representatives, with letters from Jared Sparks and Charles Sumner, etc.

Cf. papers in *Harper's Mag.*, March, 1879; *Mag. of Amer. Hist.*, 1882, p. 705, and 1885, p. 587; *Amer. Antiq. Soc. Proc.*, 2d ser., ii. 231; and for the Virginia family, Meade's *Churches of Virginia*, ii. 160; and the reader needs hardly to be warned against the folly of Albert Welles's *Pedigree and History of the Washington Family from Odin, B. C. 70 to Gen. Geo. Washington* (N. Y., 1879).

For the proceedings in Congress on his death, see Sparks's *Washington*, i. 563; Irving's *Washington*, v. App. 3. Cf. also Hildreth, v. 337; McMaster, ii. 452; *Washingtoniana* (Baltimore, 1800; reprinted, New York, 1866); Francis Johnston and Wm. Hamilton's *Washingtoniana* (Lancaster, Pa., 1802); F. B. Hough's *Washingtoniana, a memorial of the death of Washington, with a list of tracts and volumes printed on the occasion, and a Catalogue of medals* (Roxbury, Mass., 1865, in 2 vols.); Sullivan's *Public Men*, 168.

His will was printed at the time, and is given by Sparks. He bequeathed five swords (*Mag. Amer. Hist.*, March, 1887, p. 257). One was presented to Congress with a staff of Franklin (*House Doc. no. 144; 27th Cong. 3d session*).

Materials for the bibliography of Washingtoniana exist in the *Brinley Catal.*, nos. 4, 189-4, 276; the *J. J. Cooke Catal.*, iii. nos. 2, 563-2, 683; *Boon Catal.*, pp. 430-452. Cf. also *Pool's Index*, p. 1387.

¹ Cf., for instance, Von Holst, Eng. transl. i. ch. 3; Schouler, i. 171, 174, 203, 209; Parton's *Jefferson*, ch. 40 and 47. Sumner, in his *Life of Jackson*, recapitulates the history of the different points of antagonism: the Federal judiciary; the Southern Indian question; the land system; internal improvements; the tariff; nullification; and the U. S. Bank. Cf. also Sparks's *Washington*, x. 315; Hildreth, iv. 297, 359, 393; Rives's *Madison*, iii.

² Cf. Jefferson's *Works*, iv. 443.

ultimate publication, he aimed, as he said, to leave out all personal reflections,¹ and accounted for his desire to preserve the notes at all because they bear testimony "against the only history of that period [Marshall's] which pretends to have been compiled from authentic and unpublished documents."²

The earliest publication of Jefferson's works was in the *Memoir, Correspondence and Miscellanies of Thomas Jefferson*, edited by T. J. Randolph.³ The attacks on what was called Jefferson's infidelity mainly rested upon a passage in his *Notes on Virginia*,⁴ and they were used for political effect, principally in New England. The main exposition of this sort against Jefferson was made by John M. Mason, and his accusations⁵ are reprinted in an edition of his writings by his son, — *The Writings of the late John M. Mason, D. D.* (N. Y., 1832, 1849. Cf. Allibone, p. 1237).⁶ Another cause of complaint was found by the sensitive son of General Henry Lee in some words of Jefferson, now made public by Randolph, respecting an intimation that Jefferson had suspected Washington of British leaning; and the younger Henry Lee published at New York, in 1832, some *Observations on the Writings of Thomas Jefferson, with particular reference to the attack they contain on the memory of the late General Henry Lee*.⁷ It was a studied attempt to prove the unbridled hostility of Jefferson to many of the great men of the Federal side, like Washington, Marshall, Hamilton, Knox, Jay, and R. H. Lee.⁸

An early result of this publication of Jefferson's writings had been the little compendious *Life of Jefferson*, by B. L. Rayner (N. Y., 1832);⁹ but ample justice was not done to Jefferson's memory till, with the aid of new papers not included in Randolph's edition, and with Madison's sympathy and assistance,¹⁰ Professor George Tucker produced his *Life of Thomas Jefferson, with parts of his Correspondence never before published, and notices of his opinions on questions of Civil Government, National Policy and Constitutional Law* (Philad., 1837, in 2 vols.; also London, 1837).¹¹ The book was in fact a

¹ The *Anas* are in Jefferson's *Writings*, ix., and after excisions we still read there (p. 96): "Hamilton was not only for a monarchy, but for a monarchy bottomed on corruption." Randall, i. ch. 15, attempts to explain this phrase. Cf. Morse's *Jefferson*, 109, on the unfortunate preservation of the *Anas*.

² The biographers of Jefferson all have to protest gently or vigorously against the tone of that biography of Washington. Cf. Tucker and Randall (ii. 35) particularly on Marshall's historical method; and on his influence on the action of parties, Van Buren's *Polit. Parties*, ch. 6; and on the enmity of Jefferson to Marshall, the *Amer. Quarterly*, vii. 123.

³ Charlottesville, Va., 1829, in four volumes, and in 1829 and 1830, at Boston, New York, and London; the London edition having the title changed to the *Memoirs, Correspondence, and private papers*, etc. Cf. Madison's *Letters, etc.*, iii. 532, 538, 618, 629; Tompkins's *Bibl. Jeff.*, p. 113; Sabin, *Dict.*, ix. 35, 891-2.

⁴ See O'Callaghan on the bibliog. of this book in Allibone; Sabin's *Dict.*, ix. 35, 894, etc. The first private ed. bears date [Paris], 1782; the first published ed., Philad., 1788. The latest and best bibliography of the *Notes on Va.* is in H. B. Tompkins's *Bibliotheca Jeffersoniana*, pp. 65, etc.

⁵ *Voice of Warning to Christians* (N. Y., 1800).

⁶ Randall (ii. 568; iii. App. 8) replies to this attack, and gives a chapter (iii. ch. 14) on Jef-

erson's belief in Christianity. Cf. *Test of the religious principles of Thomas Jefferson, extracted from his writings* (Easton and Philad., 1800; Sabin, *Dict.*, ix. 35, 936, etc.); C. C. Moore's *Observations upon certain passages in Mr. Jefferson's Notes on Virginia, which appear to have a tendency to subvert religion, and establish a false philosophy* [Anon.], (New York, 1804); Gay's *Pop. Hist. U. S.*, iv. 164; McMaster's *U. S.*, ii. 501; and titles in Tompkins's *Bibl. Jeffersoniana*.

⁷ It passed to a second ed., with an introduction and notes by C. C. Lee, at Philadelphia, in 1839.

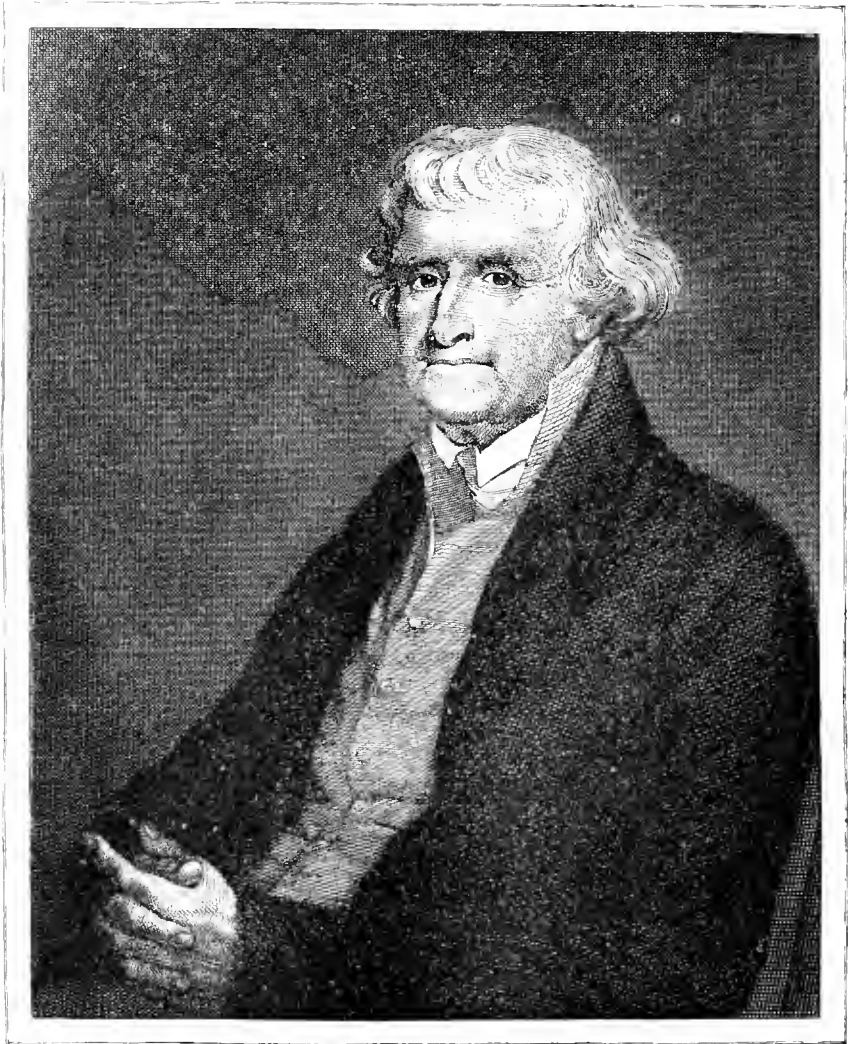
⁸ Cf. Randall's *Jefferson*, iii. 660.

⁹ There had been various contemporary lives of a partisan character, the most considerable of which, "printed for the purchasers," was an anonymous *Memoirs of Thomas Jefferson* (N. Y., 1809) in two volumes, which was aimed at the French influence, and was so libellous that it was suppressed. There is a copy in Harvard College library. We find other extreme Federalist views of Jefferson in Dennie's *Portfolio*, and Thomas G. Fessenden's Hudibrastic poem, *Democracy unveiled*. Cf. Schouler's *United States*, ii. 87. For some contemporary tracts on Jefferson, see Sabin, *Dictionary*, ix. 35, 920, etc. H. B. Tompkins's *Bibliotheca Jeffersoniana, a list of books written by or relating to Thomas Jefferson* (N. Y., 1837), is now the chief record.

¹⁰ Madison's *Letters, etc.*, iv. 70.

¹¹ Reviewed by Lord Brougham in the *Edin-*

professed vindication of the Republican party, which was felt to be necessary with a public which had been made cognizant, in Randolph's edition, of so much that Jefferson had written in the private confidence of friendship, and which had been made the occasion of animadversion by his old political antagonists.



THOMAS JEFFERSON.*

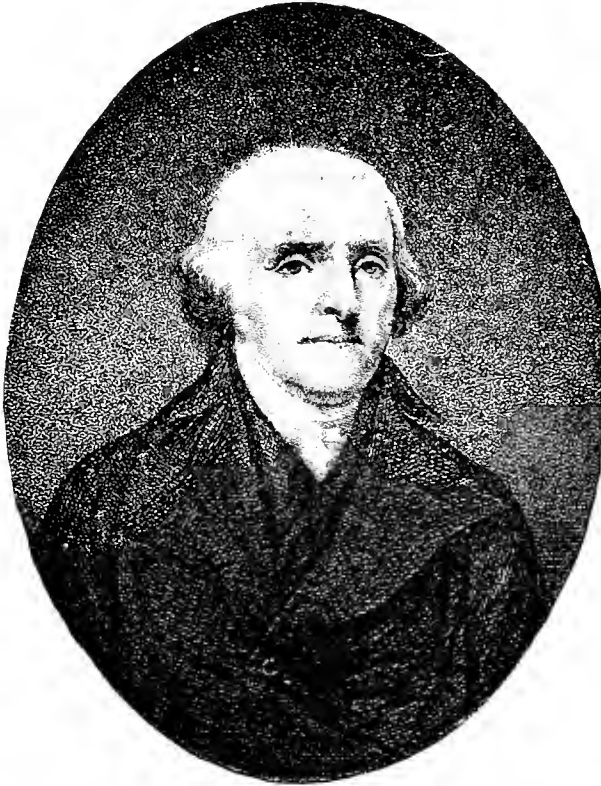
Another distinctively Federalist arraignment of Jefferson was in William Sullivan's *Familiar Letters on the Public Characters of the Revolution, 1783-1815* (Boston, 1834; 2d ed., 1834, with App. omitted), whose title was changed in the new edition to *Public*

burgh Review, vol. lvi. Cf. Brougham's *States-* and Macaulay's opinion in the Appendix of *men of the Reign of George the Third, 2d series*, Trevelyan's *Macaulay*.

* After the engraving by Neagle, following Otis's picture, as given in Delaplaine's *Repository*. Cf. T. P. H. Lyman's *Life of Jefferson* (Philad., 1826). A bust by Ceracchi was burned in the Capitol in 1851.

*Men of the Revolution*¹ (Philad., 1847; cf. particularly on Jefferson's character and writings, p. 178).

Theodore Dwight published at Boston, in 1839, his *Character of Thomas Jefferson, as exhibited in his own writings*. It was mainly given to setting forth the proofs, as he thought he found them in Jefferson's own words, of the allegations against Jefferson, which were the grounds of the Federal opposition to him; and as summing up his opponents' allegations, the book is worth looking at. Dwight points out Jefferson's opposition to the Constitution and disregard for it when it stood in his way; his dangerous attachment



PRESIDENT JEFFERSON

to Revolutionary France; his misuse of patronage; his hate of an independent judiciary; his vagaries as regard the co-ordinate powers of government; his belief that obligations by act of legislature could not be transmitted to successors;² his secret enmity to Washington;³ his visionary schemes; his charging the Federalists with a monarchical aim,

¹ This edition has a biography of the author, by his son, J. T. S. Sullivan. Cf. Loring's *Hundred Boston Orators*, p. 313.

² On the question of the legislative power of one generation to bind another in contracts,

Randall gives the correspondence of Jefferson and Madison, in his *Life of Jefferson*, iii. 589.

³ Jefferson's biographers all deny this, and his letter on Washington's character seems to place him in the category of his discriminating

* After a print in the *European Mag.* (1802), vol. xli., as "painted by Stuart in America." This differs from the ordinary full-face Stuart likeness as given in Gillet's *Democracy; the Statesman's Manual* (engraved by Balch); Irving's *Washington* (vol. v.); as engraved by Buttre in *Jefferson's Writings* (1853), and in Randall's *Jefferson*. See notice of likenesses of Jefferson in the present *History*, Vol. VI, p. 258. The portrait there given is also in Randall's *Life*, and in S. N. Randolph's *Domestic Life of Jefferson*.

simply to create a party cry;¹ his opposing the Alien and Sedition laws, simply to propitiate foreigners; and his habits of defamation and intrigue.

The main authoritative edition of Jefferson's works came after the government had bought his papers, when they were printed as *The Writings of Thomas Jefferson, being his Autobiography, Correspondence, Reports, messages, addresses, and other writings, official and private, published by order of Congress, from the original manuscripts, Ed. by H. A. Washington* (Washington, 1853-1854; and Philad., 1864; N. Y., 1884; in nine volumes). The notes, which are scant, are explanatory and historical, and there is an index in each volume, and a general one for all.²

A more elaborate record and declaration was yet to come. All that Tucker had had, and much more, was given to Henry S. Randall when the family of Jefferson recognized him as their authoritative spokesman.³ His *Life of Thomas Jefferson* was published in three large volumes at New York in 1858, and again at Philadelphia in 1863. To some, as to Schouler, it is "admirable"; to those who sympathize less with the spirit of the author it is not free from partiality and too constant palliation. While the student must recognize its valuable contributions to the elucidation of the character of an interesting and conspicuous historical person, he can hardly but find the picture, through iteration, taking too much space,⁴ and does not gain a high opinion of the writer's judicial quality by finding him almost always on the defensive as regards his subject, and equally aggressive towards other characters, though sometimes with caution; as, for instance, respecting Washington and Marshall, in his delineation of Jefferson's opponents.⁵ The book gave a more extensive view of Jefferson in his private life than any of its predecessors.⁶

admirers. Cf. Randall, iii. 641. The famous Mazzei letter is mainly depended upon to prove the hostility of Jefferson to Washington. Cf. Randall, ii. 361; iii. 608; Hildreth, iv. 617; v. 53; Schouler, i. 360; Sullivan's *Public Men*, 171. Jefferson's letter to Van Buren, June 29, 1825, denying that he referred to Washington, is in his *Works*, vii. 362. Cf. Tompkins's *Bibl. Jeff.*, p. 157.

¹ Cf., on the two sides for this charge, Randall (i. 560-573) and J. C. Hamilton; also Hildreth, iv. 331; Wells's *Sam. Adams*, iii. 314; Sullivan's *Public Men*, 196.

² The volumes are thus divided: i., autobiography; letters, 1773-1783; and letters in Europe, 1784-1790; and these last are continued through ii. and part of iii., till the letters, 1790-1826, begin, which are extended into vol. vii., and that volume is completed with his papers as Secretary of State. Vol. viii. has his inaugural addresses and messages, his replies to addresses, his Indian addresses, his notes on Virginia, and a few sketches of distinguished men. Vol. ix. holds the parliamentary manual, the *Anas*, and some miscellaneous papers. It is said that the plates of the work have been destroyed. (Cf. C. K. Adams's *Manual*, p. 591.)

³ He claims that one third of his material came from Jefferson's approving and surviving descendants, and we find in his pages an occasional addition "by a member of Mr. Jefferson's family."

⁴ C. K. Adams's *Manual*, p. 584.

⁵ Cf. reviews by A. P. Peabody in *Mo. Amer. Rev.*, October, 1858, vol. ci.; and by William

Dorsheimer in *Atlantic Monthly*, ii., October, 1858.

⁶ The special monograph on the family life of Jefferson is *The domestic life of Thomas Jefferson, compiled from family letters and reminiscences by his great-granddaughter, Sarah A. Randolph* (N. Y., 1872), which embodies much that is scattered through Randall's book, and embraces some part of his family and private papers, which had been surrendered not long before by the United States government.

There is an essay on Jefferson's private character, by Thomas Bulfinch, in the *North Amer. Rev.*, July, 1860, which was replied to by E. O. Dunning in the *New Englander*, 1861 (xix. 648). In 1862, H. W. Pierson published *The private life of Thomas Jefferson*, in which all that is new was obtained from the reminiscences and papers of an old overseer at Monticello. On this estate and its associations, see *Hist. Mag.*, Dec., 1861, p. 367; Lossing in *Harper's Mag.*, vii. 145; G. W. Bagby in *Lippincott's Mag.*, iv. 205; J. G. Nicolay in *The Century*, xxxiv. 643. Accounts of a visit of Daniel Webster and Geo. Ticknor to Monticello in 1824 are in Webster's *Private Correspondence*, i.; G. T. Curtis's *Webster*, i. 223, 226, and App.; *Memoirs of Ticknor*, i. 35, 348. A sketch of his daughter, Mrs. Randolph, and her relations to Jefferson, is in the *Worthy Women of our first Century*. What is called Jefferson's Financial Diary, Jan., 1791, to Dec., 1803, or a view of his daily life from the side of its expenses, is given by John Bigelow in *Harper's Mag.*, March, 1885, p. 534, from a MS. in S. J. Tilden's library.

The latest of the lives of Jefferson are those by James Parton and John T. Morse, Jr. Parton sifted his material through the *Atlantic Monthly* (vols. xxix. and xxx.), and intended to make a small book for "the mass of readers." The growth of the subject under his hand ended in a stout octavo of compact type. Parton cannot commend the Jeffersonian ideas without expressing aversion to those opposed, and Adams and Hamilton were to him ideas incarnate, deserving of such aversion. His *Life of Thomas Jefferson* (Boston, 1874, and later) is lively, easy reading, and generally unconvincing to the impartial student. John T. Morse's *Thomas Jefferson* (Boston, 1883) is by an admirer of Hamilton, but more to be trusted. It is an excellent and engaging book, and written with an earnest purpose to be even-handed.

At the time of the coincident deaths of Jefferson and Adams in 1826,¹ there was a large number of joint eulogies of the two. The occasion softened asperities, and most of them need to be read in cognizance of that fact.²

Alexander Hamilton³ has found champions in his two sons. James A. Hamilton, in his *Reminiscences* (N. Y., 1869), has, in the earlier part, defended him against what he calls the misrepresentations of Van Buren;⁴ and John C. Hamilton began his filial service in his *Life of Alexander Hamilton* (N. Y., 1834, only one volume printed: and 1840-1841, in two volumes), using his father's papers, and driven to the task, as he says, "to check the promulgation of a hurried, imperfect narrative."⁵ It stopped with the adoption of the Constitution.

A few years later, J. C. Hamilton edited for the government the Hamilton papers, as noted a little further on: and using these, as well as the Jefferson, Madison, and Monroe papers, he produced in New York and Philadelphia, in 1857-61, what he called "a combined biography and history," under the title of *History of the Republic of the United States, as traced in the writings of Alexander Hamilton and in those of his contemporaries*, in seven volumes.⁶ This work was sharply attacked for its criticisms of Jefferson, the Adamses, Madison,⁷ and Joseph Reed, and gave much offence by his inordinate claims for Hamilton's having been the author of a large number of Washington's letters, which he wrote as secretary. He says that he found over a thousand of such letters in Hamilton's handwriting. In the preface to his second volume he attempted a defence of his claims for them to have been Hamilton's proper work.⁸ The book is, nevertheless,

¹ Schouler, iii. 387; Madison's *Letters*, iii. 525; Benton's *Thirty Years*, i. ch. 31.

² Cf. *Eulogies pronounced in the several States* (Hartford, 1826); and reference may be particularly made to those of William Wirt, Daniel Webster, and Edward Everett. Cf. Tompkins's *Bibl. Jeffersoniana*. There are some other characteristic delineations of Jefferson's nature: by J. Q. Adams in *Old and New*, Feb., 1873; by C. F. Adams in *John Adams's Works*, i. 616; in Hildreth's *United States*, vi. 141; in Theodore Parker's *Historic Americans*; A. H. Everett's *Defence of the character and principles of Jefferson* (Boston, 1836); Samuel Fowler's "Political Opinions of Jefferson," in the *Am. Amer. Rev.*, Oct., 1865; "Adams and Jefferson as founders of parties," in the *National Quart. Rev.*, March, 1875; his "Opinions on Slavery," by A. D. White in the *Atlantic Monthly*, ix. 29 (see Randolph, iii. App.); C. de Witt's *Étude*, 1862, from the *Revue des Deux Mondes* (July, 1859), and a version in English by R. S. H. Church, 1862; Ste. Beuve's *Premiers Lundis*, vol. ii.; Taine's *Nouveaux Essais*; J. E. Cooke in *New Amer.*

Cyclopædia; and references in Poole, Allibone, and Duyckinck.

There is a good exemplification of the Federalist views of Jeffersonism, received by inheritance and long clung to, in S. G. Goodrich's *Recoll. of a Lifetime*, i. 109, 118.

³ The fullest bibliography is the *Bibliotheca Hamiltoniana. A list of books written by or relating to Alexander Hamilton*, by Paul Leicester Ford (N. Y., 1886, — 500 copies).

⁴ He also defends him in *Martin Van Buren's Calumnies repudiated* (N. Y., 1870).

⁵ The author says that nearly all the copies were burned in the binder's hands.

⁶ There was a third edition in 1868; and in 1879 it was reissued at Boston as *The Life of Alexander Hamilton, a History, etc.*

⁷ Rives's *Madison* is a frequent object of his attack, and Rives (i. 437), in turn, points out the other's prejudices and perversions. Von Holst (Eng. transl. i. 172) charges J. C. H. with suppressions.

⁸ Parton (*Jefferson*) calls the book "a lumbering pamphlet in seven volumes octavo, designed

the essential storehouse for the student of Hamilton. He can, if steady of head, make allowances for the over-partial zeal, and can avoid the snares of the writer's perversions, and desert him in his not altogether guileless meanderings.¹

The earliest gathering of Hamilton's writings is John Wells's edition of the *Works: comprising his most important official reports; an improved edition of The federalist, and Pacificus, on the proclamation of neutrality* (N. Y., 1810; some copies, 1816: in three volumes). Only one volume was published of Francis L. Hawks's *Official and other papers of Hamilton* (N. Y., 1842), and they are wholly of the Revolutionary period.

The first extensive collection was that under the title, *Works, comprising his correspondence, and his political and official writings, exclusive of The federalist, civil and military. Published from the original manuscripts deposited in the Department of state, by order of the joint library committee of congress. Edited by John C. Hamilton* (N. Y., 1850-1851, in seven volumes).² The latest edition is that edited by Henry Cabot Lodge, *Works* (N. Y., 1885, etc., 500 copies, in 8 volumes).³

The bitter and unblushing character of the political antagonisms of the day are no better exemplified than in the charge against Hamilton of speculating in government securi-

ties to show that George Washington was Punch, and Alexander Hamilton the man behind the green curtain, pulling the wires and making him talk." Cf. Randall's *Jefferson* (ii. 208) on similar use made by Washington of Jefferson.

¹ The lesser lives may need a few words of characterization. James Kenwick's is a popular recital in *Harper's Family Library* (1840 and later). S. M. Smucker's *Life and Times of Hamilton* (Boston, 1857) is too compressed for the student. Christopher James Rietmüller's *Life and Times of H.* (London, 1864) is a foreigner's view, not wholly intelligent, of Hamilton's influence in shaping the destinies of the republic. J. Williams's *Life of H.* (N. Y., 1865) served as an introduction to the Hamilton Club series. Ford, *Bibl. Ham.*, no. 108, thinks a Boston book, 1804, which this life pretends to follow, does not exist. Ford (p. 99) also says that Francis S. Hoffman assumed the name of the Hamilton Club to print old attacks on Hamilton. The *Life* by John T. Morse, Jr. (Boston, 1876; and later eds. in two vols.), is the best substitute for the voluminous work of the son. He confesses admiration of his subject, but expresses his temperate purpose, when he fears, in the preface, that he has neither pleased the ardent admirer nor the strenuous enemy. The *Alexander Hamilton* of Henry Cabot Lodge, in the "American Statesmen Series" (Boston, 1882, and later eds.), is probably the most read of all the lives. Lodge had shown his study of the subject in a paper in the *N. Amer. Rev.*, cxxiii. 113. Cf. his *Studies in History*, p. 132.

The abundant testimonies and criticisms can be gathered from Allibone (i. 773) and *Pool's Index* (p. 567). For warm French admiration, see Laboulaye's *États-Unis*, iii. ch. 9; and Schouler's estimate (particularly ii. 63) is not an unfair, as it is a varied one in praise and dispraise. At the time of his death there were eulogies from some of the most distinguished of his

countrymen in the Federalist party, whose encomiums may be taken as expressions of contemporary admiration, which it is of interest to consider, if the soberer judgment of posterity may more or less qualify it, — such as came from Harrison Gray Otis, Gouverneur Morris, and Fisher Ames. Otis's was printed at Boston in 1804; Morris's is in F. Moore's *American Eloquence*; Ames's was reprinted (Boston, 1804) from the *Boston Repository*. (Cf. Ames's *Works*, ii. 256.) There are some reminiscences in G. W. P. Custis's *Recollections*.

William Coleman published a *Collection of facts and documents relative to the death of General Hamilton* (N. Y., 1804), a volume which includes orations, sermons, and eulogies. The fatal duel with Burr, beside making part of the *Lives* of both Hamilton and Burr, was of such political significance that all the general histories rehearse the facts. Cf. also Sullivan's *Public Men*, p. 260; *Autobiog. of Chas. Biddle*, 302, 402; *Mag. of Amer. History*, March, 1884; Sabine's *Notes on Duelling*; B. C. Truman's *Field of Honor* (N. Y., 1884), etc.; and the titles in Ford's *Bibl. Hamil.*, pp. 69, etc.

² Ford, no. 124.

³ It differs from the edition of 1851 in discarding letters addressed to Hamilton, others "valueless for history," and the Washington letters included in J. C. Hamilton's edition, because drafts were found in Alexander Hamilton's handwriting; and in admitting the *Federalist*, the Reynolds pamphlet, and letters printed since 1851, and others unpublished in the State Department collection; also the first volume of the *Continentalist* (incomplete in the 1851 ed.), the speeches in the Federal Convention of 1787 as reported by Madison and Tate, and address to the electors in 1780. The papers are grouped by subjects, and chronologically under subjects. There are in the *Sparks MSS.* (xlx. 23) a series of Hamilton's letters, 1787-1795. Sparks has indorsed on

ties,¹ when Secretary of the Treasury, which was made in a virulent book by one James Thomson Callender, an outcast Englishman,² who is said to have received assistance from Jefferson in formulating the charge.³ It occurred in chapters 5 and 6 of Callender's *Hist. of the U. S. for 1796* (Philad., 1797). Hamilton did not hesitate, in his defence and explanation, to acknowledge a crime of another sort, as helping to account for appearances against his official honor. This, which is known as the "Reynolds pamphlet," and which his enemies helped him to circulate by reprinting it (1800), is called *Observations on certain documents, contained in The History, etc., in which the charge is fully refuted, written by himself* (Philad., 1797).⁴

The life of the Federal party expended itself very nearly, as a national organization, during the administrations of Washington and Adams, and here is the field to study it in its first principles. The trials to which it was subjected were singularly mixed by the equipoise of Washington, and because of the dissensions in his cabinet, which grew important as soon as the opposing views of Federalists and Anti-Federalists became openly sustained.⁵

Matters became more complicated when, with John Adams President, an ultra Anti-Federalist like Jefferson presided in the Senate, and stood in the line of succession in case of the President's death. We trace the certain and uncertain outcomes of Federalist views, of course, in the histories of parties, to which reference has been made:⁶ but the general histories, like Hildreth, Schouler, and Von Holst, must not be forgotten, if no reference to them is made in later notes to this chapter,⁷ and it must not be overlooked that it is in the lives of the leading Federalists and Anti-Federalists that their party views and passions are most vividly presented.⁸

It may well be doubted if the party of the Federalists had collapsed with so little credit, if it had not tried to do more than its legitimate work. With securing the Constitution and giving the key to its interpretation, the party had justified its existence. Some foolish measures in the end rendered its overthrow inevitable, and, it must be confessed, there were some able men bound to effect their downfall, and were persistent in it.

them: "The letters were copied from the originals in the Treasury Department in Washington, 1830. The office has since been burned, and the originals destroyed."

¹ The Congressional resolutions of Feb., 1793, are said to have been drawn by Madison (Gay's *Madison*, 197).

² Having been apprehended for his *Political Progress of Britain*, Edinb. and Lond., 1792. *Brinley Catal.*, no. 4786.

³ Sullivan's *Pub. Men*, 156; Morse's *Jefferson*, 225.

⁴ The pamphlet was reprinted by the Hamilton Club in 1865, and is included in Lodge's ed. of *Hamilton's Works*. Callender replied in *Sketches of the Hist. of America* (Philad., 1798) with more virulence than ever. Cf., on this scandal, McMaster, ii.; Schouler, i. 302; Parton's *Jefferson*, 534.

⁵ Cf., on these dissensions, *Works of John Adams*, i. 451; Randall's *Jefferson*, i. ch. 15; Schouler, i. 109; Parton's *Jefferson*, ch. 42; Morse's *Hamilton*, ii. ch. 1.

Hamilton, irritated at Freneau's attacks, which he knew to be in the interests of Jefferson, retaliated in Fenno's *Gazette* as "An American," which was but a thin disguise. As the war

waged, Washington remonstrated, when Jefferson replied briefly, but Jefferson returned a long letter outlining his views.

C. F. Adams (*Works of J. Adams*, vol. i.) points out the repeated necessity for John Adams, while Vice-President, to throw a casting vote, which decided constitutional principles. Cf. John Adams's letters on the affairs of this time, addressed to James Lloyd, in *Works*, vol. x.

⁶ Cf. Lalor's *Cyclopaedia*, ii. 165-172.

⁷ Dr. Wharton, in his *State Trials of the U. S. during the Administrations of Washington and Adams*, has an introd. on the political history of this period. Gay (*Madison*, ch. 12) portrays the characteristics of the Federal and Republican leaders in the first Congresses. There is an interesting letter of Ames (*Works*, i. 103) on the conflict of temper, North and South, in 1791. Judge Treddell's *Address to the Citizens of the U. S.*, in the *Federal Gazette* (reprinted in McRee's *Treddell*), goes over calmly the differences of the two parties. For some of the features of the Western counter-parties, see Albach's *Annals of the West*, p. 683. Cf. Wm. H. Seward's characterization of the Federalists and Republicans in his *Autobiography*, p. 59.

⁸ See notes A and B to this Essay.

The Anti-Federalist movement found a great obstacle removed in the retirement and death of Washington: and its policy was best mapped, perhaps, by Jefferson, when, retiring from Washington's cabinet, he drew up his *Report on commercial relations*.¹

Unfortunately we have not, as a monograph, any good exposition of the history of the Republican party as led by Jefferson.² The unfinished *History of Democracy*, by Nahum Capen, barely touches the subject, and one must be content with such insufficient records as Ransom H. Gillet's *Democracy in the U. S.* (N. Y., 1868), and B. F. Hall's *Republican Party, 1796-1832* (N. Y., 1856).³ We can find a statement of the origin of the party in Austin's *Gerry* (ii. 121), written with the traditions still unbroken. The later writers of biography have necessarily occasion to note the beginnings.⁴

¹ *Works*, Washington's ed., vol. vii.

² Capen issued only three parts of a *History of Democracy in the United States* (Boston, 1852); and of his more elaborate but rather confused conglomerate, *The History of Democracy, from the earliest to the latest periods* (Hartford, 1874), only the first volume was printed. Cf. Jonathan Norcross's *Hist. of Democracy* (N. Y., 1883),—an adverse view.

³ Cf. a sketch and references in Lalor, 768, 788.

⁴ Cf., for instance, the history of the movements leading to the rise of the party in Irving's *Washington*, vol. v., written "with more truth than sympathy," as Parton says, who, in his *Life of Jackson* (ch. 17), has his own way of telling

the story under the head of "Filthy Democrats." Henry Adams says, in his *John Randolph*, p. 253, speaking of a later period (1815): "Jefferson's party was still in power, but not a thread was left of the principles with which he had started on his career in 1801." Duane's *Collection of select pamphlets* (Philad., 1814) is not an edifying exhibition of Democratic argument at this time. Cf. Schouler (iii. 45) on the rehabilitation of the Democracy in the subsequent administration of Monroe.

For the early movements allied to the Tammany Hall section of the Democrats, and for some explanation of the confusion later connected with that name, see Lalor, iii. 850.

NOTES.

A. THE LIVES AND WRITINGS OF THE LEADING FEDERALISTS.—Those of Washington and Hamilton have been given in the preceding essay. The list will be completed down to the final extinction of the party at the close of the war of 1812-1815. A view of the necessity of John Adams succeeding to the chair of Washington is set forth (vol. i. 491) in C. F. Adams's *Works of John Adams, with a life, notes, and illustrations, by his grandson, Charles Francis Adams* (Boston, 1856, etc., in ten volumes). This is the full and essential biography of the first Vice-President. It is as free from one-sidedness, perhaps, as could be expected, and Prescott speaks of its "singular and honorable impartiality," though Von Holst (Eng. transl. i. 140) advises caution in respect to its estimate

of Hamilton. The works include his diary, autobiography so far as it was written, and his correspondence, as well as public papers,¹ but the material employed was but a fraction of the papers left by him. The life, which was begun by John Quincy Adams and completed by Charles Francis Adams, has been published separately. There was a brief *Memoir of John Adams* (Washington, 1827) published by his nephew shortly after Adams's death; but the best compressed biography is in the "Amer. Statesmen Series," *John Adams*, by John T. Morse, Jr. (Boston, 1885).²

A memoir by John T. Kirkland was prefixed to the *Works of Fisher Ames* (Boston, 1809), which produced some animadversions on his political views. These, appearing first anonymously as

¹ On Adams as a writer, see Greene, *Hist. View Am. Rev.*, 381; cf. Allibone and Duyckinck, and D. A. Goddard in *Mem. Hist. Boston*, iii. 141.

² There were numerous eulogies at the time of his death, combined with those of Jefferson (Hartford, 1826; or separately published (*Brintley Catal.*, iii. 4764; *N. E. Hist. and Gen. Reg.*, xi. 97-100). Cf. Theodore Parker's *Histori. Americans*; Quincy's *Figures of the Past*; and references in *Pool's Index* and Cushing's *Index*, *No. Amer. Rev.* For the Adams genealogy, see *N. E. Hist. and Gen. Reg.*, Jan., 1853.

American Principles, were soon known to be the work of J. Q. Adams; and they were replied to with little sparing of rebuke, by John Lowell in some *Remarks* (Boston, 1809). Some opinions by John Adams are printed in *Scribner's Mag.*, xi. 577.¹ The son, Seth Ames, re-edited the *Works* in 2 vols. in 1854; in the first volume is a collection of very readable letters recovered from the descendants of Ames's correspondents. Ames kept no letter-book. The letters given by Gibbs

are not reprinted in this collection. The second volume is a reprint of the edition of 1809. George Cabot, in 1809, is said to have designed the publication of Ames's private letters; but the plan was put off (*Life of Jeremiah Smith*, 225, — in which some pleasant glimpses of Ames are found). A supplement volume of *Speeches in Congress, 1789-1790*, was edited by Pelham W. Ames (Boston, 1871).²

The *Life of Timothy Pickering* was begun by



FISHER AMES.*

¹ On Ames as a speaker, see Parsons's *Theophilus Parsons*, p. 113; and the comparison of Ames and Madison in *Amer. Antiq. Soc. Proc.*, April, 1887, p. 374, as they appeared in Congress in 1704.

² Cf. Loring's *Boston Orators*, p. 291; Magoon's *Orators of the Rev.*; George Lunt's *Three Eras of W. E.*, etc.; *Poole's Index*, p. 34.

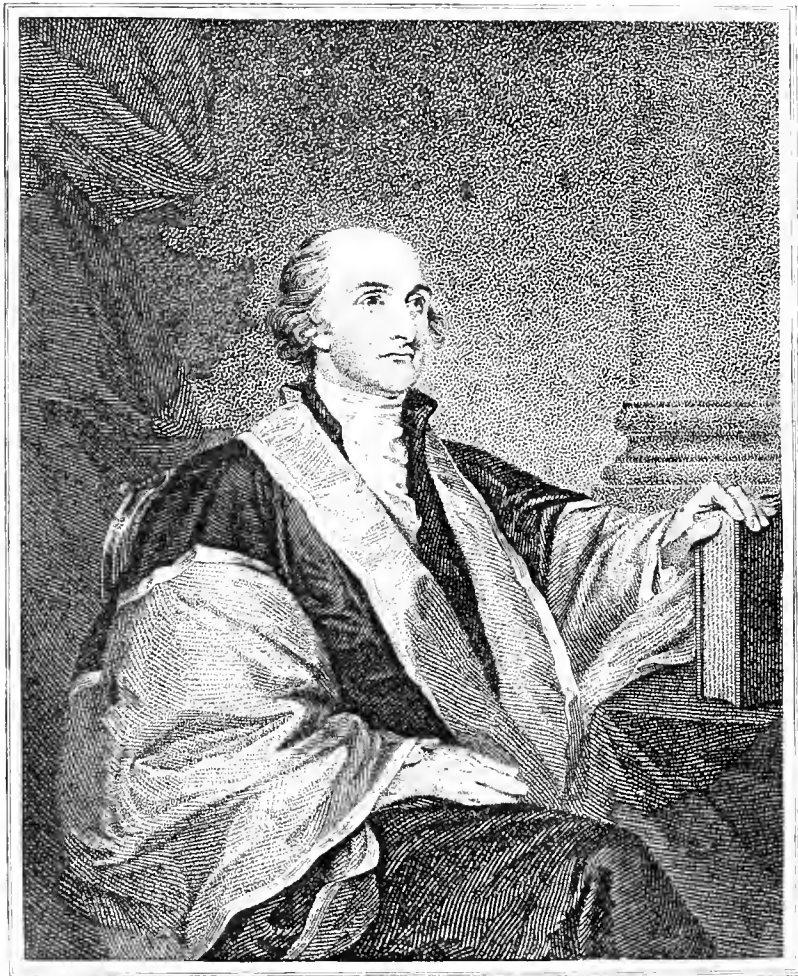
* After J. Boyd's engraving of the painting by Stuart, as given in Delaplaine's *Repository* (1815). There is an engraving of this same picture by Leney, in the *Analectic Mag.*, April, 1814; one by Kelley in the *Boston Monthly Mag.*, Jan., 1820; and Edwin's in Ames's *Works*, i. (1854). An excellent woodcut is in Higginson's *Larger Hist. U. S.*, p. 301. The original is owned by Mrs. John E. Lodge. Copies are in Independence Hall, and in Memorial Hall, Cambridge. Cf. Mason's *Stuart*, 127.

his son, Octavius, and finished by C. W. Upham. (Ct. Hildreth, vi. 718; Sabin, vol. xv.) H. C. Lodge (*Atl. Monthly*, June, 1878, and *Studies in History*, p. 182) complains of this biography as softening the asperities, personal and political, of Pickering's character so much as to do reader and subject injustice. Lodge's view is commended by Schouler (i. 304; cf. p. 467).

The *Life of John Jay* (N. Y., 1833), by William

George Gibbs's *Memoirs of the Administrations of Washington and John Adams, Edited from the papers of Oliver Wolcott* (N. Y., 1846), in 2 vols.

Trescot, in his *Diplom. of the Am. of Washington and Adams* (p. 66), while admitting the great value of Gibbs's material, deplors that the book is "written with all the violent animosity of perverted party feeling in making



JOHN JAY AS CHIEF JUSTICE.*

Jay, Whitlock's *Life and Times of Jay*, and the memoir in Van Santvoord's, and in Flanders's *Chief Justices*.

The *Life and Correspondence of Henry Knex*, by F. S. Drake (Boston, 1872).

jealousies, gossip, and scandal of the day the ground of historical induction."

Sparks's *Life of Gouverneur Morris* introduces us to a Federalist who kept up a busy correspondence with the leading members of his

* After the engraving in Delaplaine's *Repository* (Philad., 1813) by Leney, following Stuart's picture. See *ante*, p. 91, for note on portraits.

party, at first from Europe, when he was living in Paris, and after 1799 in his own country. An opponent's view is in Randall's *Jefferson* (i. 515). Roosevelt's *Morris* is more readable.

Luther Martin, of Maryland, became so boldly persistent as a Federalist that Jefferson called him "the Federal bull-dog." Story called him "a compound of strange qualities." There is a short life of him in the *Publications* of the Maryland Hist. Society.

The *Memoir of Theophilus Parsons*, by his son (Boston, 1859), who calls the father "always and thoroughly a Federalist."

Henry Cabot Lodge's *Life of George Cabot* (Boston, 1877), who was in Congress as a senator 1791-1796; but his career is more particularly illustrative of the hard-dying New England Federalism. (Cf. *Nation*, July, 1877.) We have others of this type in Samuel Dexter and Josiah Quincy, but Dexter went over to the support of "Madison's War."¹

There is not so extended a life of Chief Justice Marshall as there should be; but he has put his opinions before us in the early formative days of the government in his *Life of Washington*. His judicial career has been most emphasized, and to his decisions we trace some of the most important early-established constitutional questions as illustrating the Federalist theories.² The only monographic biography is Allan B. Magruder's *John Marshall* (Boston, 1885) in the "Amer. Statesmen Series," in which the author had some aid from family papers.³

Of Oliver Ellsworth there is no good record, though he is of course included in the works of Flanders and Van Santvoord.⁴ He was one of Washington's firmest supporters in politics, and entered upon the chief-justiceship near the close of Washington's administration. (Cf. *Poole's Index*, p. 404.)

In the *Life and Correspondence of James Iredell, one of the associate justices of the Supreme Court of the U. S.*, by Griffith J. McRee (N. Y., 1857, and 1883-85), in 2 volumes, we find in the second volume a correspondence of interest in

disclosing the Federal side of the interpretation of the Constitution, and also various charges to juries (1790-1798), in which judicial opinions are set forth with more warmth and partisanship than we would countenance in these days.

The *Life of Jeremiah Smith* (Boston, 1845), by John H. Morison, is a record of a member of the second and succeeding Congresses from New Hampshire, who did not comprehend Hamilton's funding system and hated France, so that he swung between the two parties; but he was steadfast in his adherence to Washington, and ultimately believed Federalism to be too good for the age.

During the war of 1812, the leading Federalists in Congress were Christopher Gore and Rufus King, and of neither have we any adequate memoir. There is a brief sketch of Gore in the *Mass. Hist. Soc. Collections*, xxxiii. 191, by Mr. Ripley. Of King there is nothing better than the sketches in general biographies like the *National Portrait Gallery* (vol. iii.).⁵

During the war, New England was represented in the Senate by a decided Federalist, whose career is told by George S. Hillard in the *Memoir and Correspondence of Jeremiah Mason, privately printed* (Cambridge, 1873). Cf. Curtis's *Webster*, i. 87.

There is no considerable memoir of Caleb Strong. H. C. Lodge printed the most extensive one, which we have in the *Mass. Hist. Soc. Proc.* (i. 290; also in his *Studies*, 224). Strong was in the first Senate.

The chief repository, however, respecting the New Englanders is the *Documents relating to New England Federalism, Ed. by Henry Adams* (Boston, 1877). It contains papers which passed between the Mass. Federalists and J. Q. Adams, ending with his "Reply to the Appeal of the Massachusetts Federalists," which had never been printed before, though it had served a purpose for Lodge in his *Cabot*, and for the *Life of Wm. Plumer*. The *Reply* was written under the disappointments of Adams when driven from the presidency, and with a natural bitterness;

¹ Cf. L. M. Sargent's brief *Reminiscences of Samuel Dexter* (Boston, 1857), and an essay by Judge Story in his *Miscell. Writings*. Edmund Quincy's *Life of Josiah Quincy* (Boston, 1807), and J. R. Lowell's "A Great Public Character" in his *Study Windows*, show us an unflinching Federalist. In the *Memoir of the Life of John Quincy Adams, by Josiah Quincy* (Boston, 1858), the author says he has derived his matter "from personal acquaintance, from Adams's public works, and from authentic unpublished materials;" but the book has a special interest as from the pen of an actor in the events he describes during the reign and decline of the Federalists.

² Cf., for instance, the eulogies of Judge Story (*Miscellaneous Writings*, p. 630) and of Horace Binney, and the addresses of Chief Justice Waite and W. H. Rawle in *Exercises at the ceremony of unveiling the statue of John Marshall, in Washington, May 10, 1884* (Washington, 1884), and the memoirs in Flanders, and in Van Santvoord's *Lives of the Chief Justices*.

³ Cf. Benton's *Thirty Years' View*, i. ch. 149; F. W. Gilmer's *Sketches and Essays of Public Characters*; R. Hughes in the *Reformed Quart. Rev.*, Oct., 1887; and references in *Poole's Index*, p. 804.

⁴ His son-in-law, Joseph Wood, contemplated the writing of a biography in 1836, but his purpose failed (*Madison's Letters*, etc., iv. 427).

⁵ Cf. Benton's *Thirty Years' View* (i. ch. 23); *Maine Hist. and Geneal. Recorder*, vol. i.

and the editor finds it prudent to omit some passages respecting H. G. Otis. John T. Morse, in his *John Q. Adams*, contends for the justice of Adams's ground (p. 219). The Appendix is mostly from the Pickering Papers in the Mass. Hist. Soc.,—"the most considerable collection of Federalist papers yet thrown open to students."¹

Much of the writing on the Federalist side was done by the political actors;² but they

were reinforced by two journalists of different quality. The scope of Noah Webster as a political writer is shown by H. E. Scudder in his *Noah Webster* (Boston, 1882), and his N. Y. *Minerva* had more the confidence of the respectable part of the Federal party than John Fenno's *Gazette*.³ The position of William Cobbett was a peculiar one, and we associate both hard-fisted vigor and scurrility with the name of "Peter Porcupine."⁴

¹ John T. Morse, Jr., characterizes some of the leaders of the Federalists in Boston at this time in a chapter on "The Bench and Bar of Boston," in *Mem. Hist. Boston*, iv.; cf. also Sullivan's *Public Men*, 374, etc. There is a good instance of the jubilant spirit of the New England Federalists in 1809 in a letter of John Eliot to Josiah Quincy (*Mass. Hist. Soc. Proc.*, xvii. 19), and in the account of the celebration in Boston in 1813 because of Napoleon's Russian disasters (*Ibid.* xviii. 379), as printed in the *Columbian Centinel*, March 27, 1813.

Something of the more respectful antagonism of the two parties can be seen in some of the letters of John T. Kirkland (*Mass. Hist. Soc. Proc.*, xvii. 112), and the flavor of the time is preserved in the reminiscences of Harrison Gray Otis, William Sullivan, and Timothy Pickering, in Josiah Quincy's *Figures of the Past, from the leaves of old journals* (Boston, 1883). There is a picture of the experiences of a Republican among the Connecticut Federalists in W. M. Meigs's *Life of Josiah Meigs* (Philad., 1887).

At the time of the war of 1812, Dexter of Massachusetts, Plumer of New Hampshire, William Pinkney of Maryland, Rufus King of New York, J. A. Bayard of Delaware, and R. G. Harper of South Carolina went over to the support of the administration. At this time two thirds of the newspapers in New England were in opposition to the government.

² Some of the best of their writings will be found in Robert Goodloe Harper's *Select Works* (Baltimore, 1814); but his speeches and tracts usually appeared separately at the time of their composition. Morse says in his *Jefferson* (p. 343), "The Federalists have to this day been more successful than the Republicans in getting their side forcibly and plausibly before the reading public." But a distinguished Federalist gives us another picture. "The newspapers," wrote Fisher Ames in 1801, "are an overmatch for any government. They will first overawe, and then usurp it. The Jacobins owe their triumph to the unceasing use of this engine: not so much to skill in the use of it, as by repetition" (*Works*, i. 204).

John Lowell, of Boston (b. 1769; d. 1840), was never in office; but he was one of the Federalists' strongest pamphleteers. His *New England Patriot, being a candid comparison of the principles and conduct of the Washington and Jefferson Administrations* (Boston, 1810), sets forth powerfully the contrast in respect to hostility to Britain and subserviency to France, hostility to commerce, depleting of the Treasury, and violations of the Constitution.

³ Schouler, i. 369; Hudson's *Journalism*, 101. Cf. index to *Belknap Papers*, vol. ii.

⁴ *Peter Porcupine's Works, exhibiting a faithful picture of the United States of America, their Governments, Laws, Politics, Resources, Presidents, Governors, Legislators, Customs, Manners, Morals, Religion, Virtues, Vices, etc., and a complete Series of Historical Documents and Remarks, from the end of the War, in 1783 to 1801* (London, 1801), in twelve volumes, is not so wearisome reading as the extent might indicate, for his characterizations are racy, and we get principles and men set before us vividly. He settled in Philadelphia in 1799, and of course a portion of his survey is his observation of events not within his experience; but he looked sharply at the past as well as sharply at the present. An impetuous zeal carried him often beyond the bounds of prudence, sometimes beyond decency, and his *Peter Porcupine's Gazette* occasionally hurt the Federalist party as much as its enemies. It was issued in Philadelphia from March 4, 1797, to Jan., 1800, and it was from this and his pamphlets that the *Works* were made up. Schouler (i. 367), referring to the *Gazette*, speaks of it as "ostensibly the mouthpiece of the ultra-Federalists, but in reality to propagate British opinions of a deeper dye." Benj. Russell, in the *Columbian Centinel*, thus defined Cobbett's work: "The Federalists found the Jacobins had the *Aurora*, *Argus*, and *Chronicle*, and they perceived that these venim were not to be operated on by reason or decency. It was therefore thought necessary to hunt down these skunks and foxes, and the 'fretful porcupine' was selected for this business" (Buckingham's *Reminiscences*, ii. 81). Cobbett's free pen brought him easily into libel suits, and for an attack on Dr. Rush he was fined \$5,000, which, with expenses, cost him \$8,000. He took his revenge in his *Rush Light* (five nos.; cf. *Brinley Catal.*, iii. no. 4,815), which he printed in New York in 1800, just before leaving for England, and there were those who said that he was pensioned from England to advocate monarchial ideas in America! (Cf. Hildreth, v. 104, etc., for other libel suits.)

Porcupine was a good mark for the Republican arrows, and McMaster (ii. 253) enumerates some of their shafts. Cobbett's own venom was emitted upon Priestley, particularly in his *Observations on the Emigration of Dr. Priestley* (Philad., 1794), and upon everybody who was thought to favor a French policy. Cf. titles in

B. THE LIVES AND WRITINGS OF THE LEADING ANTI-FEDERALISTS, OR REPUBLICANS.—Of Jefferson there has been given a sufficient account in the preceding Essay.

Next to Jefferson, we must consider Madison as possessing the greatest influence in combating the Federalists. Lodge (*Studies in History*, 157) says that "Madison cannot fairly be numbered with either party"; but when we find him facing where he had earlier shown his back, we may consider he had fairly taken his side among the Anti-Federalists in the time of the second Congress. Fisher Ames (*Works*, i. 49) pictures Madison as "Frenchified" in his principles, and bookish rather than practical in his political theories. "One of his speeches," he adds, "was taken out of Smith's *Wealth of Nations*. The principles of that book are excellent, but the application of them in America requires caution."

There are two collections of the writings of Madison, gathered out of the papers which Congress at two different times has bought, and they have been published by their order:—

The papers of James Madison, being his correspondence and reports of debates during the Congress of the Confederation, and his reports of debates in the Federal Convention. Published under the superintendence of Henry D. Gilpin (Washington, 1840; N. Y., 1841; Mobile, 1852), in three volumes. This is usually cited as *The Madison Papers*,¹ and, beside the reports mentioned in the title, they include some correspondence of the same period, 1782–1787. *The Letters and other writings of James Madison* (Philadelphia, 1805; N. Y., 1884), in four volumes, includes material falling between the limits of Madison's active life, 1769–1836; and the book, only in the case of a few letters, duplicates the matter of the earlier publication, while reprints of certain of Madison's political tracts are included. The committee of publication also acknowledge the courtesy of Mr. James C. McGuire in helping them to copies of certain papers in his possession. Mr. McGuire published at

Washington, in 1859, *Selections from the private correspondence of James Madison, 1813–1836*.

John Quincy Adams published an *Eulogy on the life and character of Madison*, at Boston, in 1836; and later his *Lives of James Madison and James Monroe, with historical notices of their Administrations* (Boston, 1850; Philad., 1854).

The weightiest book on Madison, however, is the *Life and Times of James Madison*, by Wm. C. Rives (Boston, 1859–1868), in three volumes, only two of which, however, the author lived to publish. The third, bringing the narrative no farther than the close of Washington's administration (1797), was issued by his son, editing the father's manuscript. From Madison's prominence, during Washington's terms, as an exponent of Republicanism in the House of Representatives, the record of his life is of the first importance. The younger Rives claims Washington to have been with Madison, in principle, Republicans of the conservative school. The elder Rives, in his preface, says that he has worked from the Madison papers, and from other papers placed in his hands by private courtesy, and presents his book as belonging "more, perhaps, to the department of history than of biography, though partaking of the character of both." One must, however, go to his foot-notes to learn with any precision what his authorities have been.² Mr. Rives made no pretensions to authorship, and his book warrants his reservation. It is not attractive reading, and Sydney H. Gay has called it "stately, not to say stilted;" but its worth will be apparent to the student. There was need of a condensed memoir of Madison, which should also include his later and not so brilliant years, and this we have in S. H. Gay's *James Madison* of the "American Statesmen Series" (Boston, 1884). Gay's view of Madison is not an admiring one, and is moulded largely by the weaknesses of his presidential career. Gay (p. 172) says: "As his career is followed, the presence of the statesman grows gradually dimmer in the shadow of the successful politician."³

Brinley Catal., iii. p. 41. Priestley answered him in his *Letters to the inhabitants of Northumberland*, 2d ed., with additions, Philad., 1801. Some of Cobbett's chief American writings constitute the first volume of J. M. and J. P. Cobbett's edition of *Selections from Cobbett's Political Works, being an Abridgment of the 100 volumes of the Writings of Porcupine and the Polit. Register, with notes historical and explanatory* (London, 1835–48), in six volumes. Cf. Edward Smith's *William Cobbett, a Biography* (London, 1878), and the paper upon it, in H. C. Lodge's *Studies in History*, p. 110; the references on Cobbett in *Brooklyn Library Catal.*, i. 133; *Pool's Index*, p. 270; and the bibliog. in *Boston Athenæum Catal.*, p. 611.

¹ Sabin, no. 43716.

² There is an excellent review of his book in the *Quarterly Review*, April, 1878.

³ Wirt's defence of Madison's political career up to 1808 is in Kennedy's *Life of Wirt* (i. 220); and for a Federal view, see Sullivan's *Public Men*, p. 315. Accounts of Madison in his domestic aspects will be found in Paul Jennings's *Colored man's Reminiscences of James Madison* (Brooklyn, 1895); Meade's *Old Churches, etc., of Va.*, ii. 96; a paper by E. W. Johnston in *Homes of Amer. Statesmen*, and on his home in *Lippincott's Mag.*, ix. 473. Webster and Ticknor visited him in 1824 (Curtis's *Webster*, i. 223). There are references in *Pool's Index*, p. 786. Of Dolly Madison, the wife and long the widow, we find accounts in *Dennie's Portfolio*, xix. 91; Mrs. Ellet's *Queens of Amer. Society*; L. C. Holloway's *Ladies of the White House*; and particularly in *Memoirs and Letters of Dolly Madison, ed. by her grand-niece* (Boston, 1887).

It was Madison who set Philip Freneau upon his editorial career, as a champion of the Republican side (Gay's *Madison*, 176, etc.), and the *National Gazette*, under Freneau, led the opposition to Fenno's paper, which was patronized by Hamilton.¹

Parton says: "It is Aaron Burr who taught the Democratic party how to conquer." The first attempt to write an account of Burr, except for political purposes, was the little *Life of Aaron Burr*, by Samuel L. Knapp (N. Y., 1835), published the year before his death, and of not much value except as reflecting current opinion. Burr was singularly deficient among the party leaders of his day in published writings.² We have, however, two considerable lives of Burr. Matthew L. Davis was his friend, to whom he entrusted his papers, with the expectation that Davis would prepare an account, which was done in the *Memoirs of Aaron Burr, with a miscellaneous selection from his correspondence* (N. Y., 1837 and 1850), in two vols.³ He throws little light, is hardly responsive to the confidence of his friend, and tells us of Burr's insuperable prejudices against Washington. John Adams (*Works*, x, 124) says that when he suggested the appointment of Burr as a brigadier, at the time of the threatened French war, Washington said: "By all that I have known and heard, Col. Burr is a brave and able officer; but the question is whether he has not equal talents at intrigue." James Parton claimed that it was not possible, from Davis, from his European journals, or from the evidence of his trial, to tell what sort of a man Burr was. So he sought such survivors of Burr's acquaintance as he could find, and gathered reports from them; not to follow them, as he says, but to elucidate with them the material

in the records already named. With this purpose he wrote his *Life and Times of Aaron Burr* (N. Y., 1857); and while acknowledging Burr's lack of conscience, he made the most of such amiable qualities as he had, to paint him not quite so black as the popular notion. His book drew out some indignant reviews (mostly in religious periodicals; cf. list in Poole, p. 179), and, with the indignation, a little new material, which Parton made some use of in a new edition, in 1864, by adding an appendix.⁴

In Albert Gallatin the Democratic party had, perhaps, their ablest administrator. Lodge says of him in his *Studies*, p. 263: "The life of Gallatin from 1801 to 1815 is the cabinet history of the administrations of Jefferson and Adams." It cannot be said of him, as of Burr, that his pen was idle. What he wrote was never made wholly apparent till Henry Adams edited *The Writings of Albert Gallatin* (Philad., 1879), in three volumes, and gave in the last of them a list of all his writings and where they could be found.⁵ To Mr. Adams's labors the student is also indebted for a well-considered *Life of Albert Gallatin* (Philad., 1870), in which the author's even judgment is evinced. He has given us a book of the first importance in the study of Jefferson.⁶

The bibliography of James Monroe has been done for the student in an appendix to Gilman's *Monroe*, prepared by J. F. Jameson. Excepting the *Eulogy* by J. Q. Adams (Boston, 1831);⁷ and his subsequent *Lives of Celebrated Statesmen* (Madison, Lafayette, and Monroe), N. Y., 1846, and his *Lives of Madison and Monroe* (Buffalo, 1850; Philad., 1854; and other eds.), there was no memoir of importance till President D. C. Gilman's *James Monroe in his relations to the*

¹ Cf. Duyckinck, *Cyc. Amer. Lit.*, i, 327, etc.; Morse's *Jefferson*, 132. Fisher Ames wrote in 1793 (*Works*, i, 128) of the attacks of Freneau, that "their manifestos indicate a spirit of faction, which must soon come to a crisis."

² We have his *Private journals during his residence in Europe* [1808-1811], with selections from his correspondence, ed. by M. L. Davis (N. Y., 1838, 1850), in two vols.; and such correspondence as Davis also included in his later work.

³ Cf. Thulow Weed's *Autobiography*, p. 415.

⁴ Parton drew Burr's character more concisely in his *Jackson. The Life of Aaron Burr*, by C. B. Todd, is simply a reprint of a portion of Todd's *Hist. of the Burr Family*. There are the beginnings of a Burr bibliography in Sabin, iii, p. 150, and in the *Menues Catal.*, p. 50. Poole (p. 170) points out the periodical papers, to which may be added two papers by C. H. Peck in the *Mag. Amer. Hist.*, Nov. and Dec., 1887. To fill out the references for further study, there is McMaster, on his early days (ii, 40); Garland's *Randolph* (i, ch. 32); Benton's *Thirty Years' View*, ch. 150; Atkinson's *Newark, N. J.*: a young student's impression, in Dr. William Hague's *Life Notes* (Boston, 1887).

⁵ Adams's book was a selection merely, embracing, in two of the volumes, letters largely unprinted and uncollected, together with letters addressed to Gallatin; and, in the third, his essays. He drew the material largely from the files of the State Department, and from the Jefferson and Madison papers.

⁶ The lesser books and papers are John Austin Stevens's *Albert Gallatin* (Boston, 1883), in the "American Statesmen Series;" and articles by H. C. Lodge in the *Ency. Britannica*, and *International Review*, Sept., 1879; and by J. T. Morse in the *Atlantic Monthly*, Oct., 1879. At the time of Gallatin's death, in 1849, there were some reminiscences of him by J. R. Bartlett, published in the *N. Y. Hist. Soc. Proc.*, 1840, p. 281.

⁷ There is a *Notice* (Washington, 1832) of this eulogy by John Armstrong. Cf. character of Monroe in Schouler, iii, 203.

public service during half a century, 1776-1826 (Boston, 1883), in the "American Statesmen Series." There is no general edition of Monroe's writings.

Perhaps the most brilliant, and certainly the most erratic, of the Democrats in these days was John Randolph of Roanoke. His appearance in Congress dates from 1800 (Hildreth, v. 343). Plumer wrote not long after this: "Randolph has more talents than any one man of that party; but they are unwilling to own a leader who has the appearance of a beardless boy more than of a full-grown man" (*Life of Plumer*, 248). In 1806 Randolph broke with the administration on questions of policy and on the matter of a successor to Jefferson, Monroe instead of Madison being the nominee of Randolph's faction.¹ The main account of Randolph is Hugh A. Garland's *Life of John Randolph* (N. Y., 1850, etc.); but a sufficient account for most readers will be found in Henry Adams's *John Randolph* (Boston, 1882). The way in which Randolph sometimes found his match in impudence is seen in Henry L. Bowen's *Memoir of Tristram Burges* (Providence, 1835). It is a question if he did not at times break through the bounds of sanity.²

Henry Wheaton wrote *Some account of the life, writings, and speeches of Wm. Pinkney* (N. Y., 1826; cf. Madison's *Letters*, etc. iii. 338, 553), and abridged it in the *Life of William Pinkney* (Boston, 1836) for Sparks's *Amer. Biography* (vol. v.). A nephew, the Rev. Dr. William Pinkney, published at N. Y. (1853) *The Life of William Pinkney*, one of those overdone performances that make the

unsympathetic regret. Kennedy's rather sharply drawn sketch of Pinkney (*Wirt*, 355) disturbs the Rev. Dr. Pinkney, of course. There is an appreciative sketch by Judge Story in his *Miscellaneous writings*, and a memoir in Boyle's *Marylanders*.

These characters, already named, are the most considerable personal factors in the transition



JOHN RANDOLPH.

of the Republican to the Democratic organization during the rise and decline of Federalism.³

¹ Cf. Lalor's *Cyclop.*, "Quids," iii. 483; Morse's *Jefferson*, 277; *Life of Plumer*, 341.

² Cf. Curtis's *Webster*, i. 147, and Bouldin's *Home Reminiscences of John Randolph* (Danville, Va., 1878), where this question of sanity is discussed (ch. 10) amid the recollections of neighbors and acquaintances, which form the staple of the book. The political literature of his time is full of references to his erratic humors. Josiah Quincy kept friendship with him, though the men were so different; and the *Memoir of Quincy*, by Edmund Quincy, contains his characterization (p. 64) and various letters. Cf. the younger Josiah Quincy's *Figures of the Past*, p. 200. There is a sketch by J. K. Paulding in his *Letters from the South* (1835), quoted in the *Literary Life of J. K. Paulding* (p. 237). Cf. Benton's *Thirty Years' View* (i. ch. 112); Parton's *Famous Americans*; F. W. Thomas's *John Randolph and other sketches, including Wm. Wirt* (Philadelphia, 1853); McMaster, ii. 457; A. P. Russell's *Characteristics* (Boston, 1884).

³ One may look also to *The life of Edw. Livingston* (in Congress, 1794-1800), by Chas. H. Hunt, and to *The Life and Writings of Alexander James Dallas, by his son George M. Dallas* (Philad., 1871). John P.

* From the *National Portrait Gallery*, 1830, vol. iv., following a painting by J. Wood. It is also engraved by T. B. Welch. Cf. the engravings in *Analytic Mag.*, Jan., 1815; two in Garland's *Randolph*, one of which is the familiar long and lank figure in profile, with cap drawn over the eyes. There is a similar figure on horseback in Smith's *Hist. and Lit. Curiosities*, 2d series. An early likeness is given in T. W. Higginson's *Larger History*, p. 307. The portrait "given by a citizen of Pennsylvania to Virginia" is engraved in Bouldin's *Home Reminiscences of Randolph* (1870). Cf. *Mass. Hist. Soc. Proc.*, January, 1884, p. 30.

except a class of New England men, who deserve a special grouping.

The later unquestioned leader of New England Anti-Federalism was Elbridge Gerry, and he began his influence upon national politics in the first Congress. His son-in-law, James T. Austin, published a *Life of Elbridge Gerry, with contemporary letters, to the close of the American Revolution* (Boston, 1828), and a continuation, *From the close of the American Rev.* (1829).¹

We find no better representation of the diverse views of the Federalists and Anti-Federalists, at the very beginning of the government, than in some letters which passed between Samuel Adams and John Adams in 1790, when the latter was Vice-President and the former Lieutenant-Governor of Massachusetts. They are easily accessible² in W. V. Wells's *Life and Public Services of Samuel Adams* (Boston, 1865), in three vols., the essential source for the study of Adams's career, though the volume *Samuel Adams* (Boston, 1885), by James K. Hosmer,³ in

the "Amer. Statesmen Series," is a compact and successful presentation, and with more discrimination than Wells has, as to Adams's dogged and outspoken sentiments, through his remarkable career,—sentiments not so temperately uttered, always, as to escape frequent animadversion. Locally, and as an agitator, he was perhaps more prominent than Gerry, and Wells may not be far from right in claiming for him the headship of the Republicans in Massachusetts.⁴

There needs to be but mention of two others prominent in the Republican ranks in Massachusetts,—James Sullivan and Benjamin Austin. Sullivan was a ready writer for his party,⁵ and some of his political papers have been reprinted in T. C. Amory's *Life of James Sullivan, with selections from his Writings* (Boston, 1857), in 2 vols. The somewhat violent writings of Benjamin Austin were collected in his *Constitutional Republicanism in opposition to fallacious Federalism* (Boston, 1803).⁶

Kennedy delivered a memorial *Discourse on William Wirt* (in Baltimore, 1834.—cf. Madison's *Letters*, etc., iv. 344), and later prepared an extended *Memors of the Life of William Wirt* (Philad., 1840), in 2 vols., which proved a successful book (new and revised ed. Philad., 1850, etc.). Cf. Benton's *Thirty Years' View* (i. ch. 113), and references in *Poole's Index* (p. 1416).

¹ Reviewed by Edward Everett in *No. Amer. Rev.*, xxviii. 37. There are lesser narratives in the various *Lives of the Signers of the Declaration of Independence*.

The name of Gerry became curiously connected with the political trick of so combining towns in a district as to secure victory, which is said to have its origin in an effort of Gerry's party in 1812 to carry the election in a certain part of Massachusetts. The territorial outline formed in this way so resembled some fabled monster that the name of "Gerrymander" was given to it. There are some conflicting stories about the originators of the drawing which was circulated at the time. Cf. Carey's *Olive Branch* (ed. 1818, ch. 70); Buckingham's *Reminiscences*; Drake's *Landmarks of Middlesex*, 321; *Mem. Hist. Boston*, iii. 212; Lossing's *Cyclo. U. S. Hist.*, i. 574; *N. E. Hist. and Geneal. Reg.*, 1873, p. 421; *Amer. Law Review*, vi. 283; *Amer. Hist. Record*, Nov., 1872; Feb. and June, 1873; Lalor's *Cyclopedia*, i. 102, ii. 307; Parton's *Caricatures*, 316.

² Vol. iii. p. 207; also in C. F. Adams's *John Adams*, vol. vi. The original edition is *Four Letters, being an interesting correspondence between John Adams and Samuel Adams, on the important subject of government* (Boston, 1802). They are also included in *Propositions of Col. Hamilton, etc.; also a Summary of the political opinions of John Adams, proved by extracts from his writings on government, and a most interesting discussion of the fundamental points of difference between the two great political parties in the U. S., by the said John Adams, a Federalist, and Samuel Adams, a Republican, in four letters* (Pittsfield, 1802).

³ Hosmer had earlier presented a paper on "Samuel Adams, the man of the town meeting" in the *Johns Hopkins Univ. Studies*, 2d ser.

⁴ Wells's, iii. 318. It was while governor that Adams was attacked by the Rev. David Osgood, of Medford, a Hamiltonian, in a sermon which was widely circulated, and led James Sullivan to answer it in a pamphlet (Wells, iii. 344). See *ante*, Vol. VI., index, for references to traits of Samuel Adams. His character, with its boldness and stubbornness, could but be variously drawn. Cf. Hutchinson's *Mass. Bay*, iii. 204; John Adams's *Works*, x. 202, 364; Bancroft, v. 195; Mahon, vi. 121; D. A. Goddard in *Mem. Hist. Boston*, iii. 140; Brooks Adams's *Emancipation of Massachusetts*, 345; Thomas Thacher's *Tribute of Respect* at his death, Oct., 1803 (Dedham, 1804). Poole's Index gives abundant references. The only doubt of his integrity arose from a deficiency in his accounts as a collector for the town of Boston before the Revolution broke out. It seems to have been a venial fault at the worst, but opinions differ. Cf. A. C. Goodell in *Mass. Hist. Soc. Proc.*, xx. 213; Mahon, vi., App. p. xxxvi.; Lecky, iii. 300, who exonerates him.

⁵ *Observations on the Government of the United States* (Boston, 1791). *The Altar of Baal thrown down, or the French Nation defended* (Boston, 1795), etc. Cf. *Boston Athenaeum Catal.*, p. 288; Allibone, 2300; *Mem. Hist. Boston*, iv. 500; Hildreth, v. 606.

⁶ *Mem. Hist. Boston*, iv. 587. It was a son of Austin, a student in Harvard College, who assaulted T. O. Selfridge in the street in Boston, for affronts which Selfridge, as a Federalist leader, had put upon the boy's

C. EARLY SYMPTOMS OF DISUNION.—The most serious charges, involving constructive or deductive treason, during the long struggle of the Federalists and the Republicans, are grouped as respects the Anti-Federalists about the Virginia and Kentucky resolutions of 1798 and 1799, and as respects their opponents about the movements alleged to look towards secession among the Federalists of New England in 1803-4 and in 1814.¹

With the Republicans the provocation was in the Alien and Sedition laws of John Adams's

administration, and the movement was an attempt, by the passage of resolutions in Virginia and Kentucky signifying the unconstitutionality, and therefore the neutralized effect of those laws, to draw the sympathies of other States, and secure a sufficient seconding to intimidate the Federal administration. Jefferson seems to have made the first draft of those passed in the Kentucky legislature.²

Morse (*Jefferson*, 193) says that "Jefferson concocted a Republican antidote far worse than the Federal poison, and fell into the abyss of



GOVERNOR JAMES SULLIVAN.*

father, and was shot dead by Selfridge, — an event not lost upon the Republicans in the opportunity of largely increasing the bitterness of their charges. Selfridge had the advantage in his defence of the skill of Samuel Dexter, Harrison Gray Otis, and Christopher Gore, leading Federalists of great weight, and was acquitted. James Sullivan conducted the prosecution. There is a short-hand report of the *Trial* (Boston, 1807). Cf. Buckingham's *Reminiscences*, and *Personal Memoirs*; *Mem. Hist. Boston*, iv. 587; Hudson's *Journalism*; and the sketches of the savage character of the political feelings engendered by such scenes in Edward Warren's *Life of John Collins Warren, M. D.* (Boston, 1860), vol. i. ch. 6. On the comparative want of bitterness in later political controversies, as against those of the Federalist time, see Chas. T. Congdon's *Reminiscences of a Journalist* (Boston, 1880).

¹ See an outline history of secession views in the United States in Lalor, iii. 605, with references, p. 702.

² Randall's *Jefferson*, ii. 448, iii. 616, for the draft, and Jefferson's letter, Dec. 11, 1821, on his authorship in Collins's *Kentucky*, i. 401, 415; and in Jefferson's *Memoirs and Corresp.*, iv. 344.

* Follows a wax medallion in the American Antiquarian Society's hall at Worcester. A likeness by Stuart, engraved by H. W. Smith, is in Amory's *Life of James Sullivan* (Boston, 1859).

what has since been regarded as treason." (Cf. H. Adams's *Annals*, 26.)

A new view of the authorship of these resolutions is taken in *The Kentucky Resolutions of 1798, an Historical Study*, by Ethelbert D. Warfield (N. Y., 1887).² Mr. Warfield has mainly worked from the newspapers and correspondence of the day, and particularly from the papers of John Breckinridge, the mover of the resolutions in the assembly. Warfield contends that Breckinridge's authorship of the resolutions was not questioned before the publication of J. M. Taylor's *Inquiries into the Principles and Policy of the Government of the U. S.* (Fredericksburg, 1814, p. 174), where they are credited to Jefferson.

It is quite certain, says Warfield, that Jefferson drew up certain resolutions and gave them to Breckinridge, or they were found among Jefferson's papers. (Cf. Jefferson's Works, iv, 258, 303; ix, 474.) Warfield (p. 152) gives them, and points out their difference from the resolutions as passed. They were made the basis of those drawn by Breckinridge.

The resolutions passed in Virginia were "only a little less objectionable," says Morse (*Jefferson*, ii, 193), and were drawn by Madison.

Madison drew a report upon the answers of the States, and it was printed in the *Report on the Proceedings of the other States on the Virginia Resolutions of 1798* (Richmond, 1816).³

Madison's views on these resolutions at the

time and later, and his protest against the inference that they embodied the later nullification doctrines of South Carolina, can be followed in *Madison's Letters, etc.*⁴

As regards the threatened movements in New England, there is a good summary of them in the life of one who did not hesitate at one time to call himself a disunionist, for he was an independent Federalist, who turned away from his associates when the war of 1812 called him to support the government. During Jefferson's administration he was a senator from New Hampshire, and he speaks of his Federalist associates in Congress as, "though few, a check upon the ruling party." The *Life of William Plumer*, by Wm. Plumer, Jr., ed. by A. P. Peabody, was published in Boston in 1856. There were some strenuous denials made of the prevalence of the secession views set forth in this book (pp. 277-282, 288, 292, 293, 299, 322, 308), and it is not unlikely that the yielding spirit of the administration at the time served to set back any set purpose of the extreme Federalists.⁵ Carey, in the preface of his *Oliver Branch* (1814) referring to what he calls a conspiracy in New England to dissolve the Union, traces the beginnings of it in some papers signed "Pelham," which appeared in the *Connecticut Courant* in 1796. Cf. Lodge (*Sturges*, 203-207) on these manifestations. In 1808, John Quincy Adams, who had broken with the Federalists on the embargo question, told Madison that there was a plan in

¹ The resolutions as actually passed are given in Shaler's *Kentucky* from the copy sent to Massachusetts, and in the latter's archives; in H. A. H. Warfield's *Amer. P. Hist.*, 131; and in Warfield's *Kentucky Resolutions of 1798* (p. 179) from another copy printed at the time.

² In some parts an expansion of papers by the same writer in the *Mag. of Amer. Hist.* and *Mag. of Western History*.

³ Warfield first discussed the mooted question of authorship in the *Mag. of West. Hist.*, April, 1886, p. 373; and his views in their final shape may be found in chapter of his *Kentucky Resolutions of 1798*.

⁴ In *The Nation* (N. Y., March 3, and June 2, 1887, pp. 382, 407) there is a communication, "New light on the Resolutions of 1798," by Miss S. N. Randolph, and a reply by Warfield. The question mainly in dispute was Jefferson's statement of a meeting for consultation to arrange for the opening attack on the government through resolutions, which it was first intended to bring forward in North Carolina. Papers on the subject by K. T. Durbin are in the *Seaboard Review*, March, April, May, 1888.

⁵ The *Nation*, Dec. 20, 1887, in reviewing Warfield's monograph, thinks that he "minimizes the share of Jefferson and magnifies that of Breckinridge." Warfield, sect. 1, 309 prints the answers of the several States, and adds that the first use of the word "nullification" is in some resolutions passed in the Kentucky House of Representatives, N. Y., 14, 17, 18 (p. 120).

⁶ Given in *Madison's Letters*, etc., iv, 271; in Cooper and Fenton's *Amer. P. Hist.*, book ii.; in Houghton's *Amer. P. Hist.*, v, 131; and the answers of the States will be found in Houghton and Cooper.

⁷ *Proceedings of the Virginia Assembly on the answers of the Southern States to their Resolutions* (Phila., 1810), ed. in *Madison's Letters*, etc., iv, 219.

⁸ Cf. *Works*, i, 37, 114-117, 221, 281, 287, 321, 117, 118, 117, 117, 134, 136, 204, 228, 296, 272, 288, 260, 334, 384, 393-423. Cf. Warfield, 137; Clarke's *P. P. Treatise*, 80. For other views of their meaning and effect, see James's *Works*, vii, 212; ix, 212; Elliot's *Debates*, iv, 344; Benton's *Thirty Years' View*, i, ch. 87 and 88; H. P. O'Connell, 200; Gay's *P. P. Hist. U. S.*, iv, 101; and his *Madison* (p. 247); Greeley's *Amer. Conflict*, 28; Van Hook's *Eng. Tr.*, i, ch. 1; Sumner's *Tracts*, ii, 213; Schouler, i, 423; McMaster, ii, 410, 414; Lunt, i, 177, 178, with other references. Cf. also *Virginia and Kentucky Resolutions of 1798 and 1799*, ed. by the *Original Draftsmen of the Madison's Report* (Washington, 1832).

⁹ Cf. Schaler, ii, 10, 41; Lodge's *Cabot*; Adams's *N. E. Federalism*; and references in C. K. Adams's *Manual*, 225.

New England to nullify the embargo and defeat the laws, and perhaps secession and union with England would follow. At this time (1809-1812) there was an effort made by the British government, acting through Lord Liverpool and Sir James H. Craig, the governor-general of Canada, to tempt the disaffected New Englanders, as it had tempted the men of Vermont during the Revolution. One John Henry came with a sort of commission to find out the temper of leading persons in New England; but he seems to have met with no success. His exorbitant demands for money having been rejected by the English government for so little return, he sought to get some pecuniary gain by selling his papers to the administration. So he contrived to cajole Madison into giving him, out of the secret-service money, \$50,000 for such papers and letters as he had, which the President was led to believe might yield proofs against some of the more obnoxious Federalists. The proofs failed.¹ In 1828, Harrison Gray Otis and other Federalists demanded of Adams his proofs (Young's *Amer. Statesman*, ii. 15). The correspondence² and Adams's final reply, which was not printed till those concerned had been long dead, is given in Henry Adams's *Documents relating to New England Federalism*, elsewhere referred to.

The later movement, as expressed in the Hartford Convention, originated in the opposition to the war of 1812, as the earlier movement had grown out of the stress of the Embargo; both touched sharply the commercial interests of New England. The convention was first suggested by Harrison Gray Otis in 1808, in a letter to Josiah Quincy.³ From thence on through the period of the war indignation was not easily kept from

seeming like sedition, and there was sufficient incentive on the one hand, and doubtless much cause on the other, for acts that looked like, and were easily deemed to be, treasonable. In Jan., 1814, the Massachusetts legislature went dangerously far in an answer drawn by Harrison Gray Otis to the governor's speech.⁴ The record of the doings of the convention was soon published, under the title of *Proceedings of a convention of delegates, from Massachusetts, Connecticut, and Rhode Island; the counties of Cheshire and Grafton, in N. H.; and the county of Windham, in Vermont; convened, Dec. 15th, 1814*.⁵

It was hard to convince the friends of the administration that there was not something kept from sight in this record.⁶ The earliest authoritative statements by friends were the *Letters developing the character and views of the Hartford Convention* by [Harrison Gray Otis], first published in the "*National Intelligencer*," Jan. 1820 (Washington, 1820), written because he had discovered that "the clamors raised against the convention had made a profound impression upon many intelligent minds;" and the anonymous *Short Account of the Hartford Convention, taken from official documents; added an attested copy of the secret journal*,⁷ of that body (Boston, 1823), which is known to have been written by Theodore Lyman (b. 1792; d. 1849). The next year, Harrison Gray Otis published a *Letter in defence of the Hartford Convention and the People of Massachusetts* (Boston, 1824), which was in effect a "campaign document" to defeat the election of Eustis as governor of Massachusetts.⁸

In Theodore Dwight's *History of the Hartford Convention, with a Review of the Policy of the*

¹ The account of the Henry transaction by Josiah Quincy, who was one of the persons in Boston that was polite to the concealed spy, is given in Edmund Quincy's *Life of Quincy*, p. 252. Cf. also Sullivan's *Public Men*, p. 320; Hildreth, vi. 284; Dwight's *Hartford Convention*, 105; *Niles's Register*, ii. 10; Von Holst, i. 221, with citations; and Lalor's *Cyclop.*, ii. 445. The letters, etc., are in Carey's *Old Branch*, ch. 27.

² *Correspondence between J. Q. Adams and citizens of Massachusetts concerning the Charge of a design to dissolve the Union* (Boston, 1820, in 2 eds.).

³ Ed. Quincy's *Quincy*, 194; Schouler, ii. 101.

⁴ *Columbian Centinel*, Jan. 26, 1814, cited in Hillard's *Jeremiah Mason*, p. 80. On the disaffection and its consequences, see Hildreth, vi. 490; Gillet's *Democracy in the U. S.*, 20, 70; Schouler, ii. 347, 417; Randall's *Jefferson*, iii. 634; and the article "New England secessionists," in *New Englander*, March, 1878.

⁵ There were editions at Hartford, 1815; Newburyport, 1815; a second ed., "improved and corrected," at Boston, 1815; a third, "corrected and improved," Boston, 1815; and it is also in *Public Documents, containing Proceedings of the Hartford Convention; Report of the Commissioners while at Washington; Letters from Massachusetts members in Congress, and letters from the [governors] of Pennsylvania, New Jersey, and New York* [Mass. Senate Doc.] (Boston, 1815).

⁶ Cf. Carey's *Old Branch*, ch. 83; *Niles's Register*, 1813-1814; the Resolutions are also in Porter's *Outlines of the Constitutional Hist. of the U. S.*, and in Houghton's *Amer. Politics*, p. 185. See fac-simile of signatures of the members in Lossing's *War of 1812*, p. 1015.

⁷ The original journal was deposited, in 1810, in the Massachusetts Department of State, with a deposition from George Cabot that it was a complete record of its doings. Gov. Eustis subsequently gave it to John Quincy Adams, and so it passed into the Adams Archives (Lodge's *Cabot*, 100).

⁸ Jeremiah Mason (*Memoir*, p. 270) wrote to Gore: "This is the second time Mr. Otis has been the unfortunate occasion of calling up the ghost of that unlucky convention. I hope it is now laid forever. This is probably the last struggle of Federalism."

United States Government which led to the War of 1812 (New York, 1833), we have the final full exposition made by its secretary and its friends, including the journal, and this is the chief record of its documentary history.¹ The friends of the convention came to believe that its object was "to give a safe direction to the indignation of the community, and thus to avert the threatening danger of secession."² The best of the modern accounts is that in the *Life and Letters of George Cabot, by Henry Cabot Lodge* (Boston, 1877), in which he has brought to bear such letters of Cabot, who was the president of the convention, and such other material as he could find in the papers of Caleb Strong and of Timothy Pickering, — the last being the most violent of the extreme Federalists, — since Cabot himself destroyed nearly all of his papers in his last days.³ We have accounts, more or less full, of some who were closely allied with the spirit of the convention, like the defence by Noah Webster in his *Essays* (1843), and the testimony of Otis and of Roger M. Sherman as quoted in the *Recollections of a Lifetime* (vol. ii. pp. 1-50), by S. G. Goodrich, who was a looker-on in Hartford at the time, and, though a young man, he was favored with opportunities for observing some

of the leading members of the convention. Sullivan, in his *Public Men* (p. 356, etc.), gives two letters to the subject. We find it more or less expounded in the lives of contemporaries, like Pickering, Plumer (p. 421), and Quincy (p. 357), and the later writers have borrowed from all these.⁴

The earliest successful defiance of the United States was the action of Georgia in disregarding the treaty of the United States with the Cherokees. The legal documents of the case are gathered in Richard Peter's *Cherokee Nation vs. The State of Georgia*.⁵

The later nullification movement of South Carolina was more portentous. The views of the nullifiers are best arrayed in Calhoun's *Address to the people of South Carolina* (1831). The people in convention published *Report, ordinance, and addresses of the Convention of the people of South Carolina* [on the subject of the several acts of Congress, imposing duties for the protection of domestic manufactures, with the ordinance to nullify the same] (Columbia, 1832).⁶ Jackson's proclamation (Dec., 1832)⁷ was probably written by Edward Livingston.⁸ It was indignantly received at the South,⁹ and incited

¹ Von Holst (Eng. transl., i. 200) says that the only worth of "that verbose and badly written book" is these documents.

² William H. Channing's *Memoir of Wm. Ellery Channing, with extracts from his correspondence and manuscripts* (Boston, 1848, etc.), in three vols., and the *Centenary Memorial Edition* (Boston, 1880), p. 280.

³ George Ticknor, *Memoirs*, i. 13, tells of the fiery antagonism of John Adams, who accused Cabot of a desire to be President of New England.

⁴ Cf. the index to Adams's *New England Federalism*, and *Poole's Index*, p. 572; Hildreth, vi. 533, 545-553; Schouler, ii. 424; Gay's *Pop. Hist. U. S.*, iv. 220; Barry's *Mass.*, iii. 411; Randall's *Jefferson*, iii. 411, etc.; Loring's *Hundred Boston Orators*, 202; Fowler's *Sectional Controversy*, p. 65; S. D. Bradford's *Works* (Boston, 1858), for a paper on the convention; and Lalor's *Cyclopaedia*, i. 624. There was a satire upon it published at Windsor, Vt., in 1815, called *The Hartford Convention in an uproar* (Stevens's *Hist. Coll.*, ii. 185). The convention was long a reproach to even the Whig party of a later day, and Webster had to repel the accusations of Hayne (*Works*, iii. 314; cf. Curtis's *Webster*, i. 134). For Webster's disavowal of his connection with the convention, see *Private Correspondence*, ii. 184; and for the libel suit which he instituted against Theo. Lyman for connecting him with earlier supposed disunion movements, see *Mass. Hist. Soc. Proc.*, xix. Cf. *Identity of the Hartford Convention Federalists with the modern Whig Harrison party* (Boston, 1847), and L. Josselyn's *Appeal to the people; proof of an alliance between the American Whigs and the British Tories* (Boston, 1840).

⁵ For the laws and treaties, see *Statutes at Large*, ii. 130; vii. 18, 30. William Wirt's opinion was published separately at Baltimore, 1830. Cf. Kennedy's *Wirt*, ii. ch. 15. The case is reported in Peters's *Reports*, v. 1; vi. 315; also see Curtis, ix. 178. Gov. Troup's message is in *Niles's Reg.*, xxix. 200; also see *Ibid.* vols. xli., xlii. Cf. Joseph Hodgson's *Cradle of the Confederacy, or the times of Troup, Quitman, and Yancey. — a sketch of southwestern political history from the formation of the federal government to 1861* (Mobile, 1876); Lalor, i. 300; Sumner's *Jackson*, 181; Von Holst, i. 433; Benton's *Debates*; Greeley's *Amer. Condict.*, i. 102; Clay's *Speeches*, ii. 249; other speeches noted in Parton's *Jackson* (i. p. xxi.); A. H. Everett in *N. Am. Rev.*, xxxiii. 136; index of Poore's *Descrip. Catal.*, etc.

⁶ The nullification documents are also printed with Jackson's *Message of Jan. 16, 1833*. Cf. also Elliot's *Debates*, iv. 580; Benton's *Debates*, xii. 30 (and his *Thirty Years*, i. 207); *Niles's Reg.*, xliii. 219, 231; *Statesman's Manual*, ii.

⁷ *Niles's Reg.*, xliii. 231, 260, 330; *Annual Reg.*, viii.; Elliot's *Debates*, iv. 580-502; *Statesman's Manual*, ii. 800; Von Holst, i. 420, 478; Benton's *Thirty Years*, i. 303; Sumner's *Jackson*, 207; Parton, iii. 433.

⁸ Hunt's *Livingston*, p. 371.

⁹ *Niles's Reg.*, xliii. 231; Garland's *Randolph*, ii. 350; Calhoun's *Works*; Von Holst's *Calhoun*; Hodgson's *Cradle of the Confederacy*. The *Charleston Mercury* was the chief organ of Southern feeling (Hudson's *Journalism*, 423).

enthusiasm at the North.¹ The course of the debates in Congress is outlined in Von Holst (i. 459-476), and the speeches are given in Benton's *Debates* (vols. xi, xii.), and in *Viles's Reg.* (vols. xiv.-vi.)² There is a succinct sketch of the progress of nullification ideas in Sumner's *Jackson* (ch. 10, 13), and it may be followed in all the general histories and leading biographies.³

D. THE SLAVERY QUESTION AND ITS OPPOSING CHAMPIONS. — The subject of slavery and the slave-trade in America is one which needs a specific bibliography.⁴

The general surveys of the whole progress of the movement are these:—

William Goodell's *Slavery and Anti-Slavery, a history of the great struggle in both hemispheres* (N. Y., 3d ed., 1855), well judged and comprehensive (C. K. Adams's *Man. of Hist. Lit.*, 509).

Henry Wilson's *Rise and Fall of the Slave Power in America* (Boston, 1872, etc., 3 vols.), the first volume covering the period prior to

1850, — the most extensive book on the subject, but without references to authorities, and sometimes warmly denunciatory of the conservative side.

Alexander Harris's *Review of the Political Conflict in America, from the Commencement of the anti-slavery agitation* (N. Y., 1876), a compact book.

Horace Greeley's *American Conflict* (Hartford, 1864, 1867, in 2 vols.), — a history of the civil war in its political aspects mainly, and of the movements of the slavery agitation from 1776, leading up to the war. An earlier book by the same writer, editor of the N. Y. Tribune, is a *History of the Struggle for Slavery Extension or Restriction in the U. S. (1776-1856), mainly compiled from the Journals of Congress and the other official records* (N. Y., 1856).

The rise and progress of the anti-slavery idea and development of the slave power with reference to its related forces is succinctly gone over in Draper's *Civil War* (i. ch. 16, 17).⁵

¹ Cf. W. H. Seward's *Autobiog.*, for instance.

² The debates are abridged in Elliot (iv. 494, 580). Cf. the published speeches of Peleg Sprague, Tristram Burges, etc. Those of Calhoun are the leading ones on the side of the nullifiers. Cf. his *Works* (on the Force Bill) ii. 197; (on State Rights), ii. 292, iii. 140; and (his later view in 1850) iv. 542; also vi. 59, 124; Von Holst's *History*, i. 495; his *Calhoun*, ch. 5; Benton's *Thirty Years*, ch. 84.

On the side of the Union we have the strongest expression in the speeches of Webster, like the three on Foot's Resolution (*Works*, iii. 248-355); that on the Constitution not a compact (iii. 448); and his final utterance in his seventh of March speech, 1850 (v. 324). On his position towards nullification, see Curtis's *Webster*, i. 351, 429, 456. It was the second of the speeches on Foot's Resolution which was the famous Reply to Hayne. Cf. Curtis's *Webster*, i. 357; Lodge's *Webster*, 172; Schouler, iii. 483; Sargent's *Pub. Men*, ii. 160. The original short-hand report of this speech, with Webster's subsequent MS. emendations, is preserved in the Boston Public Library. On Col. Hayne, see J. B. O'Neill's *Biog. Sketches of the Bench and Bar of So. Carolina* (Charleston, 1859), and Benton's *Thirty Years*, ii. ch. 51.

³ Benton's *Thirty Years* (i. ch. 46, 78, 79, 80); Roosevelt's *Benton* (ch. 5); Madison pronounced against it, *Letters*, iv. 95-105; J. A. Hamilton's *Reminiscences*; W. L. G. Smith's *Lewis Cass*, ch. 17; L. G. Tyler's *Letters and Times of the Tylers*, i. 441; S. J. Tilden's *Public Writings and Speeches*; Schouler, iii. 441, 489; Tucker's *History*; Greeley's *Amer. Conflict*; Wilson's *Slave Power*, overwrought; Von Holst, i. ch. 12; Gay's *History*, iv. 399; T. S. Goodwin's *Natural Hist. of Secession* (N. Y., 1864); Fowler's *Sectional Controversy*, 101; Draper's *Civil War*, i. ch. 21, etc.

There was no necessary connection between States-rights and nullification. Wirt called secession a revolution (Kennedy, ii. 347), and H. S. Legaré's *Writings* show how he opposed nullification. Cf. on States-rights, H. Adams's *Randolph*, 273; Schouler, iii. 381; Lator, ii. 1050; iii. 789; and President Welling's "States Rights theory" in *Papers Amer. Hist. Assn.*, ii. 72. The ultra Southern view is in Thomas Cooper's *Consolidation, an account of parties from 1787* (Columbia, S. C., 1830, 2d ed.). Hodgson's *Cradle of the Confederacy* emphasizes its New England origin. Cf. Tyler's *Tylers*, i. 285; and *Harper's Monthly*, xxiv. p. 807.

⁴ There are the beginnings of one in J. R. Bartlett's *Literature of the Civil War*, and in such classified *Catalogues* as that of the Boston Athenæum (pp. 2746-56). The chief other collections of books are those in Cornell University library, including those brought together by Samuel J. May; in the Boston Public Library, including the library of Theodore Parker; in Harvard College library, including the books of Charles Sumner, T. W. Higginson and others; and in the Public Library of Providence, from the collection of C. F. Harris. Mr. Daniel Parish of New York city has a large gathering. In newspaper files the libraries of Yale College and the Philadelphia Library Company are particularly strong.

⁵ The subject is also necessarily interwoven, by one of the colored race, in George W. Williams's *History of the Negro Race in America, 1619-1850* (New York, 1883), in such chapters as "Slavery a political and legal problem, 1775-1800," "Restriction and extension, 1800-1825," "Anti-slavery methods and efforts," etc.

Lator's *Cyclopaedia* takes up the phases with the most useful references to sources, as in "Abolition" (i. 7), "Fugitive Slave Laws" (ii. 313), "Slavery in the U. S." (iii. 725).

Of the general histories, Von Holst is probably the most useful to the student, through the foot-notes of his chapters (vol. i. 7, 8, 9), tracing the development down and through the Missouri Compromise, and (ii. ch. 2)

The course of the congressional action is followed chronologically by picking out the entries in Poore's *Descriptive Catalogue*, and topically by its index. Benton's *Debates* are a necessary resort in the periodical crises. Morse calls John Quincy Adams (*J. Q. A.*, 190) the first leader in the long crusade against slavery, and his *Memoirs* are of importance.¹ Benton (*Thirty Years*)

chronicles the agitation through a long period, 1816-1846, while he was in the Senate.² The three chief champions of distinct, but for the time conjoined, policies as the conflict was prolonged, were Clay, Calhoun, and Webster, till we reach the limit of the present *History*; and Greeley has studied their relations to the Compromises of 1850 in his *Busy Life*, ch. 30.³

later. Hildreth, Schouler, and Gay may help in broadening the view from different points of approach: and particularly on the side of Southern sympathy or justification, such books as James Buchanan's *Administration on the Eve of the Rebellion* (cf. Curtis's *Buchanan*) and George Lunt's *Origin of the Late War, traced from the beginning of the Constitution to the revolt of the Southern States* (N. Y., 1866). A Southern but not overstrained view is given in Tucker's *Hist. of the U. S.* Other views with a Southern sympathy are in L. G. Tyler's *Letters and Times of the Tylers* (i. 311) and in Hodgson's *Cradle of the Confederacy* (ch. 6).

¹ As the champion of the right of petition while a member of the House of Representatives, Adams became steadfastly prominent in all congressional encounters on the subject arising from the Southern opposition to petitions for the abolition of slavery. Cf. Morse's *J. Q. Adams* (pp. 240-280); Quincy's *J. Q. Adams* (p. 250); Wilson's *Slave Power* (i. 23, 25, 340, 427); Lalor's *Cyclo.* (iii. 167, 166). For Calhoun's opposition see Von Holst's *Calhoun* (ch. 6). Buchanan defended the right of petition (Curtis, i. ch. 13). Cf. R. C. Winthrop's *Addresses*, i.; and W. H. Smith's *Charles Hammond and his relations to Henry Clay and John Quincy Adams: or, Constitutional limitations and the contest for freedom of speech and the press. An address delivered before the Chicago historical society, May 20, 1881* (Chicago, 1885).

² A chapter is given to the slavery conflict in Theodore Roosevelt's *Thomas Hart Benton* (Boston, 1887) in the "American Statesmen Series." Cf. an article by W. C. Todd in the *Atlantic Monthly*, xxvi., and references in *Poole's Index*, p. 113.

³ It is convenient here to examine the leading records of the service of these three men in the public councils, and all that has been written upon them touches more or less upon their relations to this great struggle.

The leading lives of Henry Clay are:—

Life and Speeches of H. C., compiled and ed. by Daniel Mallory (N. Y., 1843), in two vols.

Life and Times of H. C., by Calvin Colton (N. Y., 1846, 2d ed.), in two vols.,—written with access, "entirely at his own discretion," to such papers as Clay preserved, for he was not careful in this way, and to such as could be gathered from Clay's friends. In 1850 a chapter was added to the book, detailing the last seven years of his life. Colton also edited Clay's *Private Correspondence* (N. Y., 1855) and issued the *Works of Clay* (N. Y., 1855) containing in three volumes his life, in a fourth his letters, and in the fifth and sixth his speeches.

Henry Clay, by Carl Schurz (Boston, 1887), in two vols., in the "American Statesmen Series,"—the most satisfactory view of his relations to contemporary politics which has been written. We have a sketch by one who knew him in the biography which R. C. Winthrop contributed to the *Memorial Biographies* (1880) of the N. E. Hist. and Genial. Society (reprinted in Winthrop's *Addresses*, 1878-1886). Cf. also Reminiscences by J. O. Harrison in the *Century*, xxxiii. 163, 170; Parton in his *Famous Americans* (originally in *No. Am. Rev.*, Jan., 1866) and in his *Jackson* (ch. 16); Ormsby's *War Party*; and on Clay's duel with Randolph, Garland's *Randolph* (ii. ch. 31) and Benton's *Thirty Years* (ch. 70).

Of Calhoun there is no extended biography, and perhaps the most trustworthy of the accounts published during his life was a brief anonymous *Life* issued by the Harpers (N. Y., 1843), which appeared with and without "a selection from his speeches, reports, and other writings." The best resource for the understanding of him is in Richard K. Cradle's *Works of Calhoun* (N. Y., 1853), which contains (vol. i.) A Disquisition on Government and a discourse on the Constitution and government of the U. S.,—printed from his MS. as he left it, a notable exemplification of the strict constructionist grounds (vol. ii. to iv.); his speeches in the House and Senate; and (vol. v., vi.) his reports and public letters. Von Holst has contributed the *John C. Calhoun* (Boston, 1882) to the Statesmen Series, which gives Calhoun a more distinct treatment than we get for him from Von Holst's history. Cf. also, for minor notices, Parton's *Famous Americans* and his *Jackson* (ch. 23); A. H. Stephens's *War between the States*; O. A. Brownson's *Works* (xv. 451); Benton's *Thirty Years*; and references in Poole. Benton's *Debates* and Poole's *Catalogue* enable us to trace his career in the government service.

Of Daniel Webster we have a full register of his career under the best auspices. At his death in 1852, he appointed literary executors, and directed the transfer of his papers to them by his son, Fletcher Webster. Chief among these executors was Edward Everett, who had already prepared a considerable memoir as an introduction to an edition of Webster's *Works*, which was published in 1851. There had been a single volume of Mr. Webster's speeches published in 1830, a second added in 1835, a third in 1843, and finally in 1848 a fourth volume of diplomatic papers. The series of six uniform volumes which contained Mr. Everett's memoir included also his legal arguments and public letters,—the whole omitting, however, much that must

The episodes of the slavery question have their distinctive treatment. W. F. Poole published at Cincinnati in 1873 his *Anti-Slavery opinions before 1800, with a fac-simile reprint of Dr. Geo. Buchanan's oration on the moral and evil effects of slavery, delivered in Baltimore, July 4, 1791*.¹

The dying Franklin had, in 1790, launched the shafts of his ridicule at the upholders of slavery (Parton, ii. 611). For the abolition of the slave trade in 1808, see Hildreth, v. 627; Schouler, ii. 123; Quincy's *Josiah Quincy*, 102.

For the text and debates on the Missouri Compromise and congressional papers, see Ben-

ton's *Debates*, vols. vi., vii., and Poore's *Descriptive Catalogue*.²

The struggle in Illinois in 1823-24 is mainly illustrated in E. B. Washburne's *Sketch of Edward Coles and the slavery struggle of 1823-24* (Chicago, 1882).³

The personal leadership of the early abolitionists is illustrated in Benj. Lundy, of which the account in Wilson's *Slave Power*, i. ch. 13, is perhaps the best; and in his most absolute successor William Lloyd Garrison, of whom the most complete account yet given is in Oliver Johnson's *Garrison and his Times*, though the sons of Garrison have begun an elaborate and

be searched for in the public prints of his time and in Benton's *Debates*. Particularly not to be found in the editions of his speeches is one made in Boston, October 2, 1820, in which he argued for incidental rather than for the essential protection which he later advocated. It was printed Oct. 11, 1820, in the *Boston Daily Advertiser*. In the preparation of the memoir Mr. Everett acknowledges his obligations to a paper by George Ticknor in the *Amer. Quarterly Review* (June, 1831), and to Chas. W. March's *Reminiscences of Congress, or Daniel Webster and his contemporaries* (1850, and later). The *Private Correspondence of Daniel Webster*, as edited by Fletcher Webster, was published in 2 vols. in 1857, and it included an autobiography, coming down, however, only to 1817, which was written for Mrs. Eliza Buckminster Lee, in 1828, and to this were added some personal reminiscences of his friends. Meanwhile, George Ticknor, another of the literary executors, had assiduously collected from Webster's correspondents all that could be secured of his letters, which, with his own papers and printed material, was put into the hands of Mr. Everett for use in preparing the authoritative memoir. Mr. Everett died (1865), however, without having done anything, when the papers passed to Mr. George T. Curtis, and he, with the countenance of Mr. Ticknor, the surviving executor, prepared and published *The Life of Daniel Webster* (N. Y., 1870), in 2 vols., and later supplemented it with *The last years of Daniel Webster* (N. Y., 1878). Lodge, in the best of the compact lives of *Daniel Webster* (Boston, 1883), acknowledges his main dependence on Curtis, but says he has been constrained to differ from him in many conclusions, such as in his views of Webster compounding with slavery; and this estimate is also sustained in Lodge's *Studies in History* (p. 294).

There are a number of associative recollections of Webster by those who had enjoyed his confidence, like the *Private Life* (1852 and later eds.), by his secretary Lanman, and the *Reminiscences of Webster*, by his Boston companion Peter Harvey, which, as Lodge says, is "the reflection of a great man upon the mirror of a very small mind and weak memory." From three friends of different stamp we have larger estimates: from Edward Everett (*Works*, iii. 158; iv. 186) in his address at the dedication of the Webster statue in Boston, and in his commemorative remarks on his death; from Robert C. Winthrop (*Addresses*, iii. 436; iv. 375) in an address at the unveiling of a statue in New York, and at the Webster Centennial Commemoration at Marshfield in 1882; and from Rufus Choate (*Writings*, vol. i.). The excess of antagonistic views can be found in Parton's *Famous Americans*, Theodore Parker in his *Speeches* (vol. i.), and the *Speeches of Wendell Phillips*. There are some characterizations of Webster in Schouler (iii. 260). A condensed book is S. M. Smucker's *Life, Speeches and Memorials of D. W.* (Philad., 1867). The references in Allibone and Poole and the titles in the *Boston Athenæum Catal.* (p. 3275) make a good beginning for a Webster bibliography.

¹ The rise of Abolitionism is traced in Hildreth (iv. 175; v. 177); Rives's *Madison* (iii. 129); McMaster (ii. 20); and Schouler (i. 143; ii. 120). Wm. Pinkney, in 1789, had argued for the right to manumit slaves (Wheaton's *Pinkney*, 8). On the compromises of the Constitution see Wilson's *Slave Power*, i. ch. 4; Von Holst, i. ch. 7, and the histories of the Constitution by Curtis and Bancroft.

² For personal relations see Colton's *Clay* (ch. 13), and Schurz (i. ch. 8); Garland's *Randolph* (ii. ch. 12, 15); Morse's *John Quincy Adams* (p. 120); Quincy's *Quincy* (ch. 6); Tyler's *Tylers*; Pinkney's speech in Wheaton (p. 573) and Dr. Pinkney (288, 292); George W. Julian's *Polit. Recollections*, 1840-1872 (Chicago, 1884); E. B. Callendar's *Thaddeus Stevens, Commoner* (Boston, 1882); the Virginia report and resolutions sent to the other States; the report of the New Hampshire Legislature, drawn by Jeremiah Mason (*Memoir*, 250); the narratives in such general works as Wilson's *Slave Power* (i. ch. 11, 12); Greeley's *Amer. Conflict* (ch. 7); Stephens's *War between the States*; Draper's *Civil War* (i. 351); J. R. Giddings's *Hist. of the Rebellion* (N. Y., 1864); Von Holst (i. ch. 6), with something of excessive emphasis; Hildreth, vi. 661, 684; Schouler, iii. 147-173, 181, etc.; Gay, *Pop. Hist.*, iv. 262-266; also contemporary comment, as in Jefferson's *Works* (vii.) and Madison's *Letters* (iii.); and references in Lalor (p. 554), C. K. Adams (*Man. of Hist.*, p. 627), and Poole (p. 855).

³ Cf. further in Wilson's *Slave Power*, i. 163; Cooley's *Michigan*, 139; George Flower's *Hist. of the English Settlement in Edwards County* (Chicago, 1882).

extensive record, *William Lloyd Garrison, 1805-1879: the story of his life* (N. Y., 1885), of which we now have the account, coming down to 1840. The authors state that Garrison's manuscripts will eventually go to the Boston Public Library.¹

The *Writings* of Wm. Jay constitute some of the most effective of the early applications of literary help to the cause. The lives of others of the less combative stamp, like Joseph Story (i. ch. xi.) and Channing's *Channing* (Cent. ed., p. 520), mark feelings deep, but less turbulent. Of Boston as the centre of the agitation, the story is told by James Freeman Clarke in a chapter in the *Memorial Hist. Boston* (iii. 360), who has also told the story not so locally in his *Anti-Slavery Days* (N. Y., 1884). The *Memorial of Wendell Phillips* (Boston, 1884), with an oration by George W. Curtis; *The Life and Correspondence of Theodore Parker, by John Weiss* (Boston, 1864); and O. B. Frothingham's *Theo. Parker* (1874), need to be added, but further references will be found in the *Mem. Hist. Boston* (iii. 305). Various sets of Garrison's paper, *The Liberator*, as a chronicle of the movement, are preserved (*Ibid.* iii. 372).

The question of the character of slavery in Massachusetts and the process of its extinction has elicited some controversy, conducted by G.

H. Moore in his *Notes on the Hist. of Slavery in Mass.* (N. Y., 1866) on the one side, and by Emory Washburn on the other in *Mass. Hist. Soc. Coll.*, xxxiv. 333, and *Proc.*, iii. 188, and in *Lectures on the Early Hist. of Mass.* (Boston, 1869).²

E. A BIBLIOGRAPHICAL RECORD OF THE SUCCESSIVE ADMINISTRATIONS. — It should be borne in mind that in these notes scant reference is made to questions of constitutional interpretation, territorial acquisitions and the public lands, diplomacy, with military and naval matters, because the principal treatment of those subjects is made in other parts of the present volume.

I. GEORGE WASHINGTON, 1789-97. The documents relating to the making of Washington President,³ with the papers of attending ceremonies, are given in Sparks's *Washington*, x. App. i.; and his inaugural speech is in *Ibid.* xii. p. 12. We find an account of the inauguration ceremonies in Maclay's *Sketches of Debates*, etc. McMaster gives one of the fullest of the later accounts.⁴

It devolved upon the first Congress to begin the settlement of the varied lines of policy which put the government in working order.⁵ After

¹ The biographical annals of the Garrisonian school include the lives of Arthur Tappan, Samuel J. May (with his *Recollections of the Anti-Slavery Conflict*), Gerrit Smith, James and Lucretia Mott, George Bradburn, the letters of Lydia Maria Child, and Parker Pillsbury's *Acts of the Anti-Slavery Apostles*. The latest contribution is *The Life of Cassius Marcellus Clay, Memoirs, Writings and Speeches, written by himself* (Cincinnati, 1886); but Clay was not of the Garrisonian type.

² The Massachusetts view is that the Constitution of Mass. by implication from its Bill of Rights, extinguished slavery. Cf. also "How slavery was abolished in Mass.," by J. S. Clark in the *Congregational Quarterly*, ii. 42; the correspondence of Belknap, Judge Tucker, and others in the appendix of *Belknap Papers*, vol. ii., and queries by S. G. Tucker in *Mass. Hist. Soc. Coll.*, iv. 101; also see *Ibid.* xliii. 373; L. M. Sargent's *Dealings with the Deed*, nos. 43, 44, 47; Amory's *James Sullivan*, i. 114; Judge Gray's notes on Cushing's memoranda of the Jemison trial in *Mass. Hist. Soc. Proc.*, April, 1874, and references in *Pool's Index*, p. 1207; *Boston Pub. Library Bull.*, vii. p. 186. Moore's views are adopted in Williams's *Negro Race*, and he maintained his views and conducted a controversy with C. F. Dunbar in the *Hist. Mag.*, vols. x. and xv.

Cf. Joseph Williamson on "Slavery in Maine," in the *Maine Hist. Soc. Coll.*, vol. vii.

³ Cf., on Washington's acceptance of the Presidency, G. T. Curtis in *Harper's Monthly*, Feb., 1882.

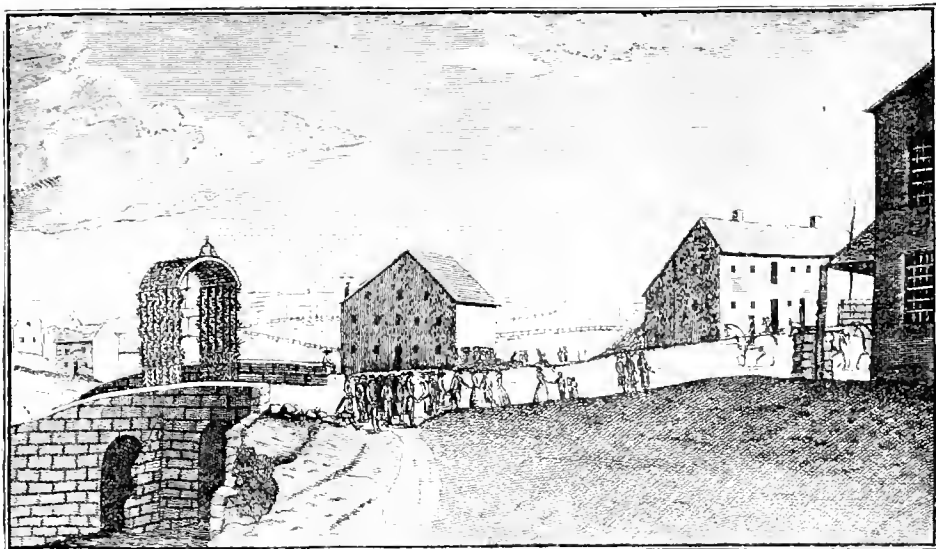
A collection of the speeches of the President to both houses of Congress, with their answers. Also, the addresses to the president, with his answers. With appendix, containing the circular letter to the governors of the states, and his farewell orders (Boston, 1790). The speeches, etc., can also be found in the *Statesman's Manual*, and similar books.

A collection of Washington's cabinet papers, part of which Sparks printed (vol. x. App., etc.), are in the *Sparks MSS.*, no. lxiv.; letters of Washington, not printed by Sparks, in *Ibid.* no. lxxv.; and letters to Washington, in *Ibid.* no. xvi., — during his presidential terms and later. The originals are of course in the Department of State.

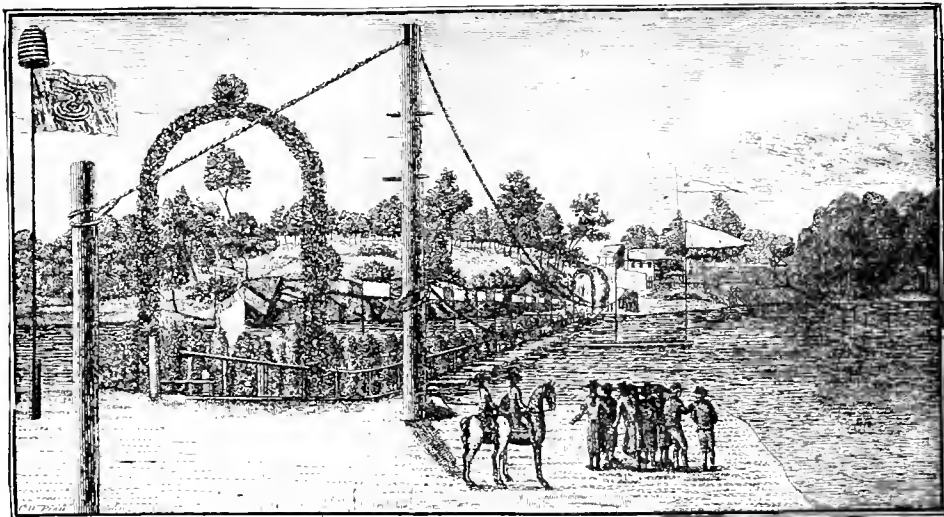
Lists of cabinet officers in the successive administrations will be found in Towle's *Constitution*, p. 411, and in various other places.

⁴ Cf. vol. i. 525; on the preparations in New York, p. 532. Cf. also Irving's *Washington*, iv. ch. 37; Rives's *Madison*, iii. ch. 37; Griswold's *Republican Court*, 137; Barry's *Mass.*, iii. 306, with references; and G. W. Curtis's *Address at the unveiling of the statue of Washington, upon the spot where he took the oath as first president of the United States. Delivered on the (25th) 20th Nov., 1853* (New York, 1883).

⁵ On the composition and action of the first Congress, see Garland's *Randolph*, i. ch. 7; Rives's *Madison*, iii. 173; Gay's *Madison*, ch. 10; McMaster, i. ch. 6; Schouler, i. 74; Ames's *Speeches in Congress*, for his



RECEPTION OF WASHINGTON AT TRENTON, N. J., APRIL 21, 1789.*



PREPARATIONS FOR WASHINGTON'S RECEPTION AT GRAY'S FERRY, NEAR PHILADELPHIA, APRIL 20, 1780.†

part in debates : John Adams, *Works*, iii., for an abstract of a debate on the power of the President to remove heads of departments, which was decided in the affirmative by Adams's casting vote.

The various views held in Congress as to the title to be given to the President came out in Maclay's *Sketches of Debate*, p. 38; Benton's *Debates*, i. 65; *The Correspondence of John Adams and Mercy Warren*, 437. Cf. Sparks's *Washington*, x. 21; Griswold's *Repub. Court*, 132; Garland's *Randolph*, i. 43; Hildreth, iv. 30; McMaster, i. 241. On the titles of Excellency, Honorable, and Esquire, as used in the Revolution, see Sparks's *Gouv. Morris*, i. 80.

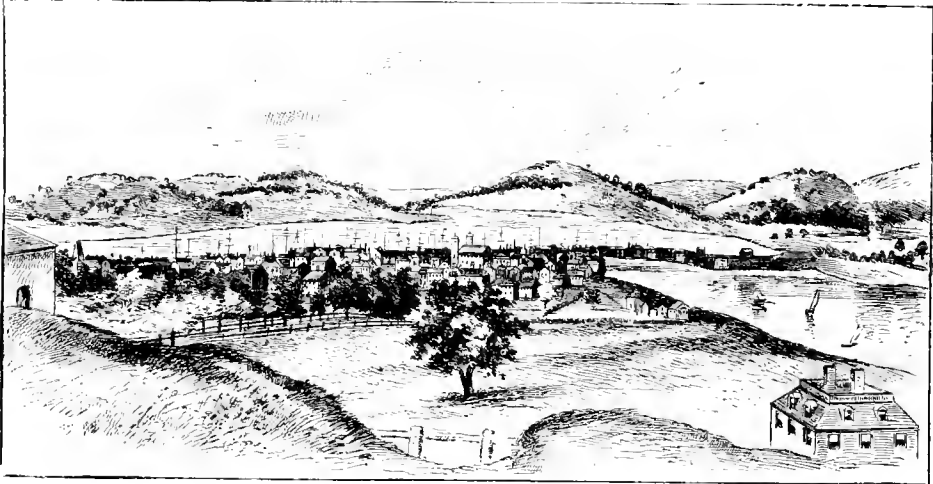
* Reduced from a plate in the *Columbian Mag.*, May, 1780.

† Reduced from the plate in the *Columbian Mag.*, May, 1780.

Congress had adjourned, Washington made an Eastern tour. We have his own account of this and later journeys in his *Diary, from 1789 to 1791; embracing the opening of the first congress, and his tours through New England, Long Island, and the southern states. Together with his journal of a tour to the Ohio in 1753.* Edited by Benson J. Lossing (Richmond, 1861), being a publication of the Virginia Hist. Society.¹

The most striking result of Hamilton's management as Secretary of the Treasury was his settlement of the public debt and the establishment of a national bank.² After Hamilton, Albert Gallatin³ stands for the most vigorous of these early financiers, and his views are now easily accessible in Henry Adams's *Writings of Gallatin*, vol. iii.⁴

Of special value as to the early finances is



BOSTON, 1790.*

¹ We have other records of his progress in Wm. S. Stryker's *Washington's Reception by the People of New Jersey in 1789* (Trenton, 1882). For his passage through New York, see McMaster, i, 538; Griswold's *Repub. Court*, 134; Hildreth, iv, 55; Bancroft, vi, 470; Marshall, Irving, etc. In Massachusetts there was a foolish point of etiquette raised by Gov. Hancock, as to priority of calls between the President of the United States and the governor of a State, when the Federal executive visited a State. The gouty governor pleaded earlier physical incapacity, when he finally went wrapped in flannels to show the hospitality of Massachusetts to a guest who had properly stood upon his dignity. Ames (*Works*, i, 73) wrote to Thomas Dwight: "The Governor finally waited upon him [Washington]. His friends say that he [Hancock] never doubted the point of etiquette, and that it was a mere falsehood invented to injure him. The popularity of the President seems to bear every thing down." See the correspondence in Sparks's *Washington*, x, 47, and app. vii.; and his *Correspondence of the Rev.*, iv, 289; also see *Mem. Hist. Boston*, iii, 100; Barry's *Mass.*, iii, 310, with references; *N. E. Hist. and Gen. Reg.*, April, 1860; beside Marshall, Irving, McMaster, Griswold, etc. Hancock was prone to make his gout a convenience. Cf. *Belknap Papers*, ii, 134. What Hancock's political enemies thought of him at the time can be read in the rather vigorous onset of Stephen Higginson, *The Writings of Luce*, as published in the *Mass. Centinel, Feb. and March, 1780* (Boston, 1780), reprinted as *Ten Chapters in the Life of John Hancock* (N. Y., 1857). Cf. *Brinley Catal.*, iii, 488-82.

Washington avoided Rhode Island in his progress; but the next year, when that erratic State came into the Union he made it a special visit. A fac-simile of his reply to the freemen of Newport is given in *The Curio*, i, 67. His Southern tour in 1791 is described by Griswold, p. 273, and the general authorities, and in the histories of the States traversed.

² Hamilton's arguments for a bank are summarized in Marshall's *Washington*. The tracts on Hamilton's financial policy, and his reports, are enumerated in Ford's *Bibliotheca Hamiltoniana*. Gouverneur Morris's *Observations on the finances of the U. S. in 1780* are in Sparks's *Morris*, iii, 46a. They favor a direct tax and duties on imports. Fisher Ames's speech on the public credit is in Ames's *Speeches in Congress*, p. 19.

³ Cf. H. C. Adams's *Taxation in the U. S. (Johns-Hopkins Univ. Studies, 2d ser., nos. 5-6)*.

⁴ The later historical treatment of the finances of the government, as a whole, are Albert S. Bolles's *Finan-*

* Fac-simile of a print in the *Mass. Mag.*, Nov., 1790. The point of view is in Gov. Hancock's grounds; the common, with the great elm, is in the middle distance, the south part of the town with the Neck, are beyond, and in the further parts are Dorchester Heights.

the very general work of Adam Seybert, founded on official documents, *The Statistical Annals, embracing views of the population, commerce, navigation, fisheries, public lands, post office, revenue, mint, military and naval expenditure, public debt and sinking fund, 1789-1818* (Philad., 1818).

The volumes on "Finance" in the *American State Papers* are of the first importance as setting forth the official statements of the government; and among other governmental documents (see index to Poore's *Descriptive Catal.*) reference may be made to certain historical sections of the *Report of the International Monetary Congress of 1878*.¹

A part of Hamilton's scheme required the as-

sumption by Congress of the debts of the States contracted during the Revolutionary War, and it failed of passage in Congress, till, by a bargain for votes, it acquired Southern support through a plan of placing, after ten years, the Federal city on the Potomac. This combination of interests encountered bitter opposition.²

Although tariff legislation was begun in the first months of the government, and with a purpose to protection as well as revenue, the party differences on this question did not come to be prominent for many years. The most important paper at this time is probably Hamilton's *Report on Manufactures* in 1791.³

As respects the Whiskey Insurrection of 1794,

cial Hist. of the U. S., 1774-1780 (N. Y., 1870), and 1780-1800 (N. Y., 1883). The book is somewhat deficient in clearness, and has met adverse criticism on account of a certain patch-work character; but it is the completest general survey. He refers to the scant treatment in Von Hoek's *Die Finanzen und die Finanz-Geschichte der Vereinigten Staaten von Amerika*, and to the "brief efforts of Bueck, Schucker, Blomson, and occasional magazine writers." A less extended account is John Watts Kearny's *Sketch of American Finances, 1780-1835* (N. Y., 1887), in which the settlement of the war debt of the Revolution (ch. 1) and the finances of the War of 1812 (ch. 3) are considered. Pitkin (ii.) states the arguments *pro* and *con* for the funding system. Gibbs's *Administrations of Washington and Adams* is a necessary authority, with the Letters of Hamilton and Wolcott, contained in the book. — Wolcott (1795) succeeding Hamilton. (Cf. Rives's *Madison*, iii. 485.) Oliver Wolcott's *Address to the people of the U. S. on the subject of the Report of a Com. of the Ho. of Rep. [as to] whether moneys drawn from the treasury have been faithfully applied* (Boston; Hartford, 1802) elucidates his administration of the treasury. There is something of local interest in Mrs. M. I. Lamb's *Wall Street in History*, as republished from the *Mag. of Amer. Hist.* For the early period, see also Hildreth, iv. 65, 153; McMaster, ii. 27; Schouler, i. 133; Gay, iv. 105. See the lives of Hamilton by J. C. Hamilton, Morse (i. 280), and Lodge (ch. 5 and 6); that of Washington by Marshall; the lives of Jefferson by Randall, Parton (ch. 43), and Morse (ch. 9), and Garland's *Randolph*, i. ch. 10, for the urgent opposition of Jefferson to Hamilton's plans; and also lives of Madison by Rives (iii. 71-90, 135, 241, 273), and Gay (ch. 9).

On the bank question, see Benton's *Debates*; Hildreth, iv. 257; Schouler, i. 150; and Morse's *Hamilton* (i. ch. 6). There is a condensed history of bank controversies in Lalor (i. 109-204, with references), and Sumner runs over the early period in his *Jackson* (ch. 11).

¹ The index of *Benton's Debates* affords clues. A few leading references are given in C. K. Adams's *Manual of Hist. Lit.*, 618; and others will be found in Lalor (ii. 185-109; iii. 633, 696-680), under such heads as "Finance," by A. S. Bolles, "Treasury Department," and "U. S. Notes." *Poole's Index* gives references (see pp. 1349, 1351, 1354); and numerous titles are found in the *Boston Athenæum Catal.*, p. 3123.

² Fisher Ames's letters reflect the surging opinions in Congress (*Works*, i.), and his speech on assumption is in his *Speeches in Congress*, 32. Jefferson's retrospect is in the preface (1818) of his *Ann.* in his *Writings*, ix. 92. Cf. Morse's *Jefferson*, i. 99; Benton's *Debates*, i. 191, 210, etc.; Hildreth, iv. 206, 211, 403; McMaster, i. 581; Schouler, i. 130; Rives's *Madison*, iii. 100; *Life of George Read*, 524; Lalor's *Cyclopædia*, i. 352.

³ The tariff and the connected antagonistic policies of free-trade and protection pass down with intermittent prominence through the whole political history of the United States, and the successive authorities may be best noted here. The purely historical literature is not very extensive; but the theoretical and argumentative expression is considerable in quantity; and as they are necessarily more or less compelled to draw on experience, this latter class of monographs is in some degree invariably useful in the history of party differences. The bibliographies of political economy must be looked to for extended reference. Lists of moderate extent can be found in the *Catalogues of the Boston Athenæum* (p. 3161) and of the *Brooklyn Library* (p. 617). — not to name other principal library catalogues. The readiest way to reach the action of the government is by the entries under "Tariff" in the index of Ben. Perley Poore's *Descriptive Catal. of Publ. of the U. S. Government*; by the index to Congressional Documents in the *Boston Public Library Catalogue*, under "United States"; and in the indexes to Benton's *Debates*. Of course, those volumes of the *State Papers* devoted to finance, and the current record of Niles's *Register*, are indispensable. All histories of parties, severally or jointly, touch the subject for a test of party views, as almost invariably the subject is. The published speeches and lives of all leading members of Congress necessarily yield something. Cf., for instance, the views of Madison in his *Letters, etc.*, vols. iii. and iv.; and the incidental history of the tariff in L. G. Tyler's *Tylers* (i. ch. 14).

The reports of the treasury and of various commissions treat the subject historically at times, but perhaps the best survey of this kind is in E. Young's *Special Report on the Customs-tariff legislation of the*

the evidence which induced Judge Wilson to inform President Washington (*Penna. Archives*, 2d ser., iv. 82) that rebellion against the United States laws existed, is in the *Mag. West. Hist.*, Sept., 1887, p. 514, and Nov. 1887, p. 104. The President's proclamation is in Sparks, xii. p. 125, and Washington's letters and other papers are in *Ibid.* x. 439. There is an account of *The Proceedings of the executive respecting the insurgents* (Philad., 1795). The Congressional views are in *Benton's Debates*, i. 551.¹

U. S., 1789-1870. Cf. H. C. Adams's *Taxation in the U. S. 1789-1810*, in Johns-Hopkins University Studies, 2d series.

In *Pool's Index* (under Tariff, Free-Trade, Protection) there is an abundance of reference, from which a few compact historical statements can be gleaned; as in the history of the tariff, 1789-1861, in *Hunt's Merchants' Mag.*, xlv. 501, xlv. 502; the U. S. Tariff before 1812, by A. S. Bolles, in the *Penn. Monthly*, xii. 730. Cf. also F. W. Taussig's *Topics and references on Tariff legislation in the U. S.* (Cambridge, 1888), and W. E. Foster's *Reference Lists*.

In Lalor's *Cyclopedia* (iii. 850) there is a compact history of the U. S. tariff by Worthington C. Ford; a paper on "Protection," and in its favor (*Ibid.* iii. 440), by David H. Mason, referring to his principal sources; and another paper by David A. Wells on "Free-Trade" (*Ibid.* ii. 280), and in its favor, and in accordance with the prevailing spirit of Lalor's work.

There is another summarized history of the tariff in W. G. Sumner's *Life of Andrew Jackson*; and, as a free-trader, the same author has written *Lectures on the History of Protection in the United States* (N. Y., 1877). A. W. Young's *Hist. of the American Protective System* (N. Y., 1860) gives summaries of Congressional debates. There are chapters on protection in Bolles's *Financial History*, and others on free trade in Perry's *Political Economy*. The latest survey is in F. W. Taussig's *Tariff Hist. of the United States* (N. Y., 1888). The controversy over protection did not much engage public discussion till after 1810.

¹ Cf., for documents, Poore's *Descriptive Catal.*, index, p. 1305. A report on the trials of the insurgents is in Wharton's *State Trials*, p. 102. Hamilton's relation to the revolt as the author of the Excise Law, which was resisted, is to be studied in his *Works* (Lodge's ed., vols. v. and vi.), and particularly in his *Report on the execution of the excise laws in Penna.* Cf. Ford's *Bibliotheca Hamiltoniana*; J. C. Hamilton's *Life of Hamilton*, 1870 ed., vol. v.; Morse's *Hamilton*, ii. ch. 4. The party views of the Federalists are in *Porcupine's Works*, vol. i.; and a contemporary view of the salutary effect of the exercise of the Federal power in suppressing the revolt is in *Amer. Antiq. Soc. Proc.*, April, 1887, p. 373.

The Republican view is in Randall's *Jefferson*, ii. 241; Rives's *Madison*, iii. 452; and of the violent sort in J. T. Callender's *Sketches of the Hist. of America* (Philad., 1798). Gallatin countenanced the movement till it went beyond control, and his *Speech in the Assembly of Penna.* (Philad., 1795) has an appendix of documents. Cf. lives of Gallatin by Adams and by Stevens. His "Memoir" on the insurrection is in Townsend Ward's "Insurrection of 1794" in the *Penna. Hist. Soc. Memoirs*, vi. (Cf. *Penna. Hist. Soc. Coll.*, i. 349; and *Penna. Mag. of Hist.*, v. 440.) There are personal relations in the *Autobiog. of Charles Biddle*, p. 202; and in G. M. Dallas's *Life and Writings of A. J. Dallas* (Philad., pp. 33 and App.).

There are journals of the military expedition against the insurgents in the *Hist. Register* (Harrisburg, 1883, pp. 64, 134); and (Capt. David Ford's of the N. Jersey forces) in the *N. J. Hist. Soc. Proc.*, viii.

There were some early and later historical accounts: William Findley's *Hist. of the Insur. in the four western counties of Penna.* (Philad., 1796); Henry M. Breckenridge's *Hist. of the Western Insurrection* (Pittsburgh, 1850); Hugh H. Breckinridge's *Incidents of the Insurrection* (Philad., 1795); one by J. Carnahan in the *N. J. Hist. Soc. Proc.*, vol. vi.; the "Nation's first rebellion" in the *Mag. Amer. Hist.*, Oct., 1884; and in the general histories, Hildreth, iv. 373, 400; Gay, iv. 118; Schouler, i. 275; McMaster, ii. 189; Alluch's *Western Annals*, 687. Cf. also Egle's *Penna.*, 371; his *Notes and queries*, Part v.; *Amer. Pioneer*, ii. 266; the excellent statement of its political bearings in Lalor, iii. 1108, with references, and others in *Pool's Index*, pp. 688, 1405.

² *An Essay on the seat of the federal government and the exclusive jurisdiction of Congress, over a ten miles district. By a citizen of Philadelphia* (Philad., 1780).

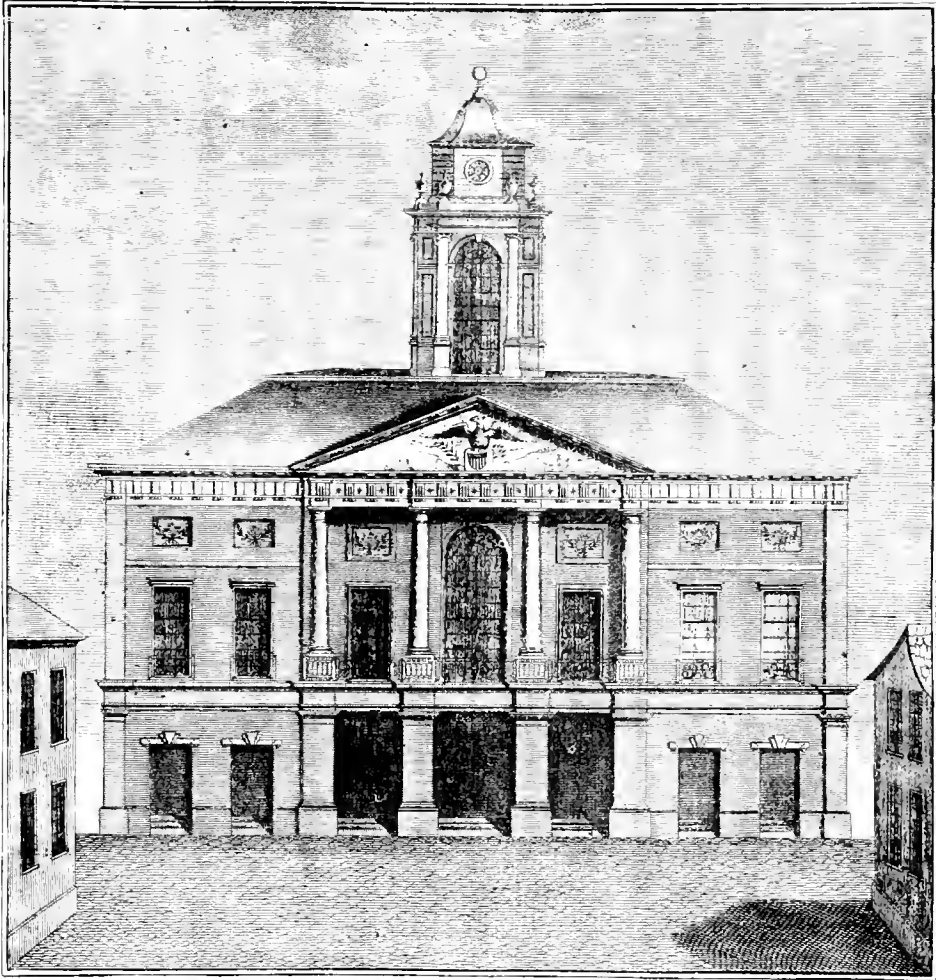
³ Cf., on the various propositions, Benton's *Debates*, i. 143, etc.; Sparks's *Washington*, ix. 549; Towle's *Constitution*, 373; and the Index of Poore's *Descriptive Catal.* On the history of the location of the capital on the Potomac, see J. B. Varnum, Jr., in the *N. Y. Hist. Soc. Proc.*, 1847, p. 9; A. R. Spofford's *Founding of Washington City* (Maryland Hist. Soc. Fund publ., no. 17); J. A. Porter's *City of Washington, its origin and administration* (Johns-Hopkins Univ. Studies, Baltimore, 1885); *Mag. of Amer. Hist.*, 1877, p. 583; 1884, p. 46; Jonathan Elliot's *Hist. Sketches of the ten miles square forming the Dist. of Columbia* (Washington, 1830); Towle's *Constitution*, 373; Lalor's *Cyclopedia*, i. 351, 818, with references; Hildreth, iv. 177, 278, 627; Bancroft, final revision, vi. 97; McMaster, i. 553; Schouler, i. 181, 475; Rives's *Madison*, iii. 50-61.

There is a note in Curtis's *Hist. of the Constitution* (i. 226) on the various orders of the Continental Congress, during its career, for the location of the seat of government. There was an early effort made after the organization of the government to have Philadelphia chosen as its permanent abode.² John Adams (*Works*, iii. 412) records a debate on the effort to establish it on the Susquehanna.³

We have a special account of the social life surrounding the administration of Washington

in R. W. Griswold's *Republican Court, or American Society in the days of Washington* (N. Y., 1853, 1867).¹

There is in the *Madison Letters* (i. 554; also see p. 563) the substance of a conversation with Washington relative to his purposed retirement



View of the FEDERAL EDIFICE *in NEW YORK.**

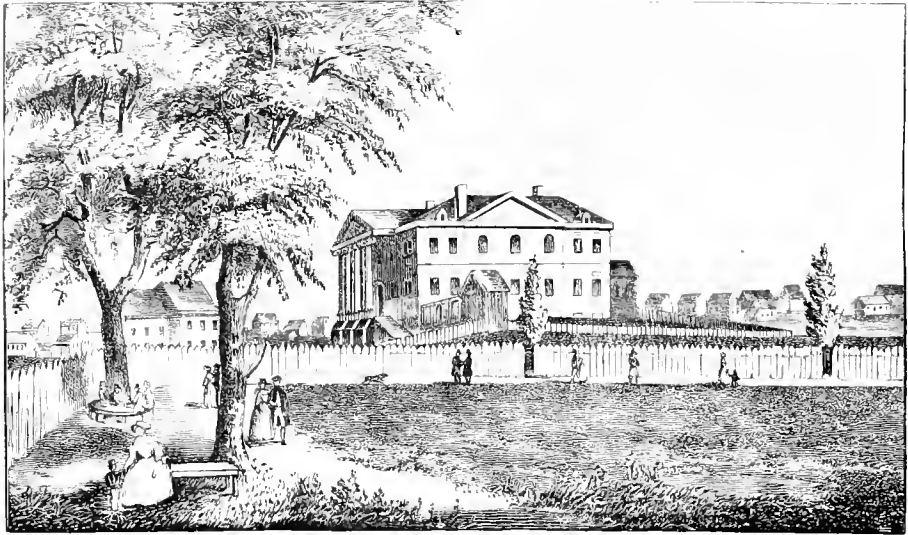
¹ On the ceremonials of Washington's receptions, see Randall's *Jefferson*, iii. App. 12; McMaster, i. 563. For accounts of New York in the beginning of the government (1789-99) we must turn to Griswold, who quotes mainly Wm. A. Duer's *New York as it was during the latter part of the last century* (an address, — N. Y., 1849), and also points out the houses occupied by Washington. For the Cherry Street house, see *N. Y.*

* Reduced from a plate in the *Columbian Mag.*, Aug., 1789, p. 504. Cf. *Massachusetts Mag.* (June, 1789), vol. i. 320, for a folding view of the front; Valentine's *N. Y. City Manual*, 1856, p. 37; 1866, pp. 352, 359; Lossing's *Field-Book of the Rev.*, ii. 864. This was the old City Hall which was repaired and improved by the city of New York for Congress to sit in. Hazard wrote of it in 1788 that he supposed it "the largest and most elegant building on the continent." It stood on the site of the custom-house in Wall Street. It is described in R. W. Griswold's *Republican Court*, 118. Cf. Lamb's *New York City*, ii. 350; Hildreth, iv. 47.

The architect of the altered Federal Hall was the Frenchman Major l'Enfant, who was also the deviser of the original plan of Washington City. He came to America in 1780, and died about 1817.

in 1793; and the letters of Randolph, Jefferson, and Hamilton, urging him to serve for a second term, are in Sparks, x. 509.¹

The authorship of Washington's *Farewell Address* has been the subject of controversy. Madison furnished to him a draft of a contemplated



GOVERNMENT HOUSE, N. Y., 1795.*

City Manual, 1853, p. 304, and Lamb's *N. Y. City*, ii. 330, 362. Cf., for the life of the town, Parton's *Jefferson*, ch. 41; McMaster, ii. 236; and Schouler, i. 115.

For the life in and appearance of Philadelphia, see Griswold, Westcott's *Philad.*, and *Hist. Mansions of Philad.*; Watson's *Annals*; Susan Coolidge's *Short Hist. of Philadelphia* (Boston, 1887); Egle's *Pennsylvania*, p. 232; Samuel Breck's *Recollections, 1771-1802*, ed. by H. E. Scudder (Philad., 1877); letter in *Penna. Mag. Hist.*, July, 1886, p. 182; Parton's *Jackson*, i. 107, 214; Schouler, i. 220, 233, 337; McMaster, ii. 280; Higginson's *Larger History*, 312, 323; Edmund Quincy's *Josiah Quincy* (for a glimpse of Washington, p. 50), and the journal of Wm. Maclay in his *Sketches of Debate*. There is a paper by Nath. Burt on the house occupied by Washington, published by the Penna. Hist. Soc. in 1875. Cf. *Mass. Hist. Soc. Proc.*, iii. 123.

¹ It is needless to particularize all the general works which include Washington's administration, but it may be well to mention Marshall as a contemporary; Sparks (i. ch. 16-16) in a more condensed way, and Irving very fully (vol. v.), in their lives of Washington. The works of J. C. Hamilton (vol. iv.-vi.), Gibbs (vol. i.), and C. F. Adams, Sullivan's *Pub. Men* (pp. 60, 121), Pitkin's *Polit. and Civil Hist.*, Hildreth (vol. iv.), not

* After a sketch in Valentine's *N. Y. City Manual*, 1852, p. 180. Cf. *N. Y. Magazine*, 1795; *Appleton's Journal*, viii. 352; *Mag. of Amer. Hist.*, Sept., 1886, p. 222; Lamb's *New York City*, ii. 435.

This building was begun on the site of old Fort George, and its foundations were built of stone from the walls of the old fortress. Before it was finished, in 1791, it had been decided to remove the seat of government from New York, and it was then made the residence of the governors of New York, and here Geo. Clinton and Jay lived, till it was turned into a custom-house in 1799. It was torn down in 1818. Views of New York at the time of its occupancy by Congress, and for the years following during the early part of this century, will be found in Valentine's *New York City Manual* in 1851, p. 270 (taken in 1787); in 1802 (taken in 1790, panoramic from the water); in 1850 (taken by a French officer of the fleet, when driven into port by an English fleet, in 1792); in 1866, p. 553 (taken in 1799); in 1801 (panoramic, in 1798); in 1860 (taken in 1810).

The same publication has various views of localities in the town: in 1856, p. 442 (view of the meadows from the site of the present St. Nicholas Hotel, in 1783); in 1860, p. 480 (view of the park in 1800); in 1855, p. 344 (view of north battery in 1812); in 1850 and 1857 (views in 1812-14); in 1853, p. 60 (Broadway in 1818), etc. There is a view of Trinity Church in the *N. Y. Mag.*, Jan., 1790.

During the same period, there are likewise in the *Manual* the following plans of the city: in 1857 (Hill's plan, 1782-83); in 1857, p. 372 (plan of 1780); in 1851, p. 320 (plan of 1791); in 1853, p. 324 (plan of 1797); in 1856, p. 338 (plan of 1803); in 1849, p. 312 (plan of 1804); in 1853, p. 260 (plan of 1807); in 1852, p. 452 (plan of 1808). Castiglioni's *Travels* (p. 175) has a plan of 1786.

address, to have been used in 1793, had he not consented to fill the Presidential chair for a second term (Sparks's *Washington*, xii. 382, 387). Near the end of his second term he took Hamilton in a large degree, and Jay to a lesser extent, into his counsels, in fashioning the later paper; but in no such manner, it is Sparks's opinion, and seemingly such was the case,¹ as to diminish his own substantial authorship. James A. Hamilton (*Reminiscences*, p. 29, etc.) believed

more in the predominant, if not in the exclusive, share of Hamilton in the address,² and cites the papers which passed between Washington and Hamilton. Jay's belief in Washington's substantial authorship³ is, with other papers, in the *Penna. Hist. Society's Memoirs* (vol. i.), where will be found the letter of Claypole, the printer to whom Washington gave the manuscript used at the press, which was wholly in his own handwriting.⁴



PUBLIC BUILDINGS IN PHILADELPHIA.*

to name others, may, for the Federal side, offset the lives and works of Jefferson, Madison (particularly Rives, vol. iii.), Gallatin, and Monroe, the *History* of Tucker as a Southern view (cf. McMaster, ii. ch. 1, on the condition of the South), and the *Political Parties* of Van Buren, on the side of the Republicans. The incomplete *Constitutional History* of Cocke is a moderate view. A contemporary English view is in George Henderson's *Short View of the Administrations of Washington and Adams* (London, 1802).

¹ Cf. Rives's *Madison*, iii. 570.

² Horace Binney's *Inquiry into the Formation of Washington's Farewell Address* (Philad., 1850) will show how far this was the case. Binney's conclusion is that "the principal party" has "the merit and the responsibility of the fundamental thoughts," and the other "the merit of expounding, defending, and presenting them in the most suitable form" (preface, p. vi.). He gives Washington's original draft; Hamilton's "Points" and original draft; the address as on record, and a copy of the Lenox MS. Binney's book is the essential authority.

³ Cf. Sullivan's *Public Men*, pp. 115, 421.

⁴ This manuscript, bought by James Lenox in 1850 for \$2,300, is now in the Lenox Library in New York. (Cf. Stevens's *James Lenox*, p. 100; Sparks, xii. 306; Rives's *Madison*, iii. 570.) There was an early draft in

* Reduced from a plate in the *Columbian Mag.*, Jan., 1790. The buildings, from left to right, are: 1, back part of Protestant Episcopal Academy, not entirely finished. 2, County Court-House, showing west side on Sixth Street, and the back part extending into State House Square. 3, State House, built 1735; its original lofty steeple has been removed. Cf. view in *Columbian Mag.*, July, 1787, as it appeared in 1778; and the architectural drawing in Henry Wansey's *Journal of an Excursion to the U. S. in 1791* (Salisbury, 1796). 4, Hall of the American Philosophical Society. 5, Library Company of Philadelphia, begun last summer. 6, Carpenter's Hall.

The best map of the suburbs of Philadelphia near this time is that surveyed by John Hills, 1801-1807 (Philad., 1808), showing a ten miles' radius about the city. There are plans of the city by Hills (1796), Vale (about 1802), and in Castiglioni's *Viaggio, 1790*, ii. 20, and in various other places. The roads leading out of Philadelphia to New York and to Washington are given in Moore and Jones's *Travellers' Directory*, 1804.

II. JOHN ADAMS, 1797-1801. — The close of Washington's administration was marked by the struggles of the two parties for the succession.¹ The characters of Adams and Jefferson were in strong contrast, and the two had long been standing menaces to each other's political hopes. (Cf. Hildreth, iv. 293; v. 29.) And when Jefferson became the Vice-President, their relations were soon strained.²

A condensed statement of the force and working of the Alien and Sedition laws³ is in Lalor's *Cyclopaedia*, i. 58, with references on their historical and legal bearings. The argument for their constitutionality is given in Judge Iredell's charge, in McKee's *Iredell* (ii. 552). Madison, in a *Report to the Virginia Assembly on the Constitutionality of the Alien and Sedition Laws*, sets forth the Republican view.⁴ Van Buren analyzes them (*Polit. Parties*, 264). Records of trials under the laws are given in Francis Wharton's *State Trials* (pp. 322, 333, 345, 659, 684, 688). Lodge (*Hamilton*, p. 223) points out how the full consent of all who voted for them renders futile the efforts of biographers to shield their heroes.⁵ C. F. Adams (*John Adams*, i. 562) argues that his grandfather was no farther responsible than that he gave his official assent. Patrick Henry was their advocate (Garland's *Randolph*, i. ch. 20).

Cf. Sullivan's *Public Men*, 156; and their effect on the newspapers in Hudson's *Journalism*, 145, 159, and North's *Report on the Census of 1850*, p. 32. The public press was the vehicle of incessant vituperation.⁶ Callender's *American Remembrance*, which John Adams says (*Works*, x. 117, 118) had a circulation in the Middle and Southern States, was hardly known in New England, and Adams complains that no effort was made by the Federalists to counteract its influence during Washington's administration, nor to answer *The Prospect before us* (Richmond, 1800, 1801). An action was at last set on foot against its author under the sedition law.⁷ These attempts could not silence Callender, and a new part of his *Prospect before us*, more scandalous than ever, was written in Richmond jail. McMaster (ii. ch. 11) gives a good account of these movements. John Wood, an English hireling writer, strung together long patches from Callender's *Prospect* and other writings, and Duane helped him out with clippings from the *Aurora*, and from the scraps in his desk; and so Wood's *History of the Administration of John Adams* (N. Y., 1802) came to be announced as ready for publication. Burr took a glance at the book, and, by buying off the publisher, sought to suppress it as a dangerous weapon for his own

Washington's handwriting, with corrections by himself, making 18½ quarto pages, among the relics of Washington, bought by the N. Y. State Library from Mrs. Lewis W. Washington. I am indebted to Mr. George R. Howell, of that library, for a description of this MS.

The printed editions are very numerous. (Cf. for instance, *Boston Athenaeum Catal.*, p. 3250.) Sparks (xii. 214) printed it from the earliest newspaper print, *Claypole's American Daily Advertiser*, Sept. 19, 1706. Lenox printed it privately (N. Y., 1850) from the printer's copy (showing the corrections) used in that first publication of it. It is easily found in such books as Irving's *Washington*, vol. v. (who declines to go into the question of authorship); Lossing's *United States*, 633; Houghton's *Amer. Politics*, 112; Cooper and Fenton, ii. 14; *Washingtoniana*, etc., etc. The effect of the delivery of the address is noted in Morison's *Jeremiah Smith*, p. 100. Cf. references in *Pool's Index*, p. 1387.

William Duane is considered the writer who, under the name of "Jasper Dwight of Vermont," published (Philad., Dec., 1706) *A letter to George Washington, containing structures on his address*.

¹ Cf. Fisher Ames's letters in his *Works*; and, for modern survey, McMaster, ii. 203.

² Lives of Jefferson by Randall (ii. 8), Parton (ch. 55), and Morse (ch. 12). Cf. *J. Adams*, x. 10, for Rush's endeavors, in 1812, to reconcile Adams and Jefferson. This was accomplished later, and the *Works* of Adams and Jefferson both testify to the frequency of their correspondence in their last years.

³ A synopsis of them is in Coker's *Const. Hist. U. S.*, i. 175.

⁴ Cf. *Address to the People of Va., on the Alien and Sedition Laws* (Philad., 1797), and *Letter from George Nicholas of Kentucky* (Lexington and Philad., 1799). A minority of the Virginia Assembly issued an address sustaining the laws. For the feeling in the West, see Albach's *Annals of the West*, 747, and Warfield's *Kentucky Resolutions of 1798*.

⁵ The lives of leading Federalists of later years generally contain frank acknowledgments of the blunder committed. Cf. such views as Morse's in his *Jefferson*, p. 103, and *John Adams*, p. 287. Schurz's *Henry Clay* (i. 32) emphasizes their folly. We expect denunciation on the other side, as in Randall's *Jefferson* (ii. ch. 8), and Parton's *Jefferson* (ch. 58). Cf. Gay's *Madison* (p. 240), and Adams's *Gallatin*. Hildreth (v. 215, 225, 207) and Schouler (i. 304) represent the restoration of the judicial balance. Cf. Von Holst, i. 143; Gay's *Pop. Hist.*, iv. 120; Barry's *Mass.*, iii. 347. There is a note elsewhere (*ante*, p. 320) on the Resolutions of 1798 as an effect of these laws.

⁶ Cf. note in Wharton's *State Trials*, 24; and McMaster, ii. 303, 307, 418, 423, 425, 427.

⁷ Hildreth, v. 368, 454. Callender's comments on Fenno and his *Gazette* can be seen in ch. 6 of his *Sedgwick and Co.* (Philad., 1708). One Harry Crosswell charged Jefferson with paying money to Callender, and was indicted therefor for libel. The *Speeches at full length, in the great cause of the people against Crosswell*, were published in N. Y., 1804.

party, and it was accordingly held back long enough to avoid publicity during the election.¹

Adams's independent action in sending an embassy to France completed the downfall of the Federal party.² The President's rupture with Hamilton and Pickering made reconciliation of faction impossible; and Lodge (*Studies*, 159) has some reasonable remarks on this needless and unfortunate alienation.³ The sympathy between Hamilton and Pickering, Wolcott and McHenry, of Adams's cabinet, as Van Buren points out (*Polit. Parties*, 235-241), placed enemies as well as spies in Adams's camp, and this may account in some measure for Adams's omission to consult his cabinet. It was a time when the sense of political honor was running low. Hamilton prepared an arraignment of Adams in a tract professedly private, but there was no sufficient reason for preparation unless it was intended to defeat Adams's chances of reelection; and if such was the intent there was no ground for privacy. One of the few early copies of a *Letter from Alexander Hamilton concerning the public character and conduct of John Adams* fell into the hands of Aaron Burr, who caused large parts of it to be printed in the Republican press.

Hamilton now publicly issued it.⁴ Adams prepared a reply the next year, but it was not published till he made it the essential part of a series of sixty-three letters (April 10, 1809, to February 10, 1810) in the *Boston Patriot*, which collectively (in ten parts) appeared as *The Correspondence of the late President Adams* (Boston, 1809).⁵

The bitter feelings which induced and followed the discharge of Pickering from the cabinet were revived at a much later day. Two years after his retirement, Adams was led, easily no doubt, into pouring out his grievances and hates in a correspondence with a kinsman, William Cunningham, which was continued from 1803 to 1812. In these letters he wrote with irrepressible animosity his own account of his troubled career as President. "No human being but myself can do me justice," he says. In 1823, when J. Q. Adams was a candidate for the Presidency, these letters were given by a son of Cunningham to Adams's opponents, and published as an aid to their political designs, in the *Correspondence between John Adams and the late Wm. Cunningham* (Boston, 1823). It gave occasion to Pickering to print a *Review of the Cor-*

¹ When it was actually ready, a large part of the first issues was burnt up; but some copies escaped, which are occasionally found with the publisher's name cut out. A title-page was then printed without publisher's name, and in this shape it was actually published in June, 1802 (Tompkins's *Bibl. Jeffersoniana*, p. 100). It was reprinted as *The suppressed history of the administration of John Adams. Republished with notes and appendix by J. H. Sherburne* (Philad., 1849). This appendix is made up from the Adams-Cunningham correspondence and Pickering's rejoinder. James Cheetham published *A narrative of the suppression by Col. Burr, &c., with strictures on the conduct of John Adams, by a Citizen of N. Y.* (N. Y., 1802, two eds.). This contained some extracts from the suppressed history; and Cheetham further published, under the name of "Warren," *An Antidote to John Wood's poison* (N. Y., 1802). Wood himself replied in *A correct statement of the various sources from which the History was compiled and the motives for its suppression by Col. Burr* (N. Y., 1802, — two eds.), and in a *Full exposition of the [De Witt] Clintonian Faction and the Society of the Columbian Illuminati, with an acc. of the writer of the narrative and the Characters of his Certificate men; as also remarks on Warren's pamphlet* (Newark, 1802). Cf. *Brintley Catal.*, iii. 4794, 4796, 4948, 4949. All of these books are illustrative rather than credible sources of history.

² Lodge's *Cabot*, ch. 8; Hildreth, v. 353, 417; McMaster, ii. 417. Morse emphasizes Adams's self-sacrifice in disrupting the party and preventing war (*Hamilton*, ii. ch. 6; *John Adams*, ch. 11 and 12. Cf. Garland's *Randolph*, i.).

³ As early as the first election, in 1788, Hamilton was accused of intrigue in Connecticut to cause Adams's vote to fall far enough below Washington's to prevent the appearance of an equality of confidence (*John Adams's Works*, i. 449; x. 124). He had again reluctantly supported Adams against Jefferson in 1796, and he would have used Washington as an opposing candidate to prevent Adams securing a second term. Cf. Washington's views in two letters to Governor Trumbull, July 21, Aug. 30, 1799, in Fisher's *Benj. Silliman*, ii. 380, etc. Cf. his letter to Patrick Henry, cited in Hildreth, v. 306.

⁴ N. Y., 1800, in three eds.; Duane reprinted it in Philad. Ford (*Bibl. Hamil.*, nos. 60-73) gives the replies by James Cheetham, Noah Webster, Uzal Ogden (Bishop of New Jersey), and others. There is an *Appendix to Aristides' [Webster's] Vindication of the Vice-President, proving that Hamilton exerted all his influence to support Jefferson in opposition to Burr* (Virginia, 1804). Cf. *John Adams's Works*, i. 582; x. 123; McMaster, ii. 504; *Hamilton's Works*, vi. 450-452. Josiah Quincy (*John Quincy Adams*, p. 23) says that the younger Adams considered Hamilton's letter on *The Public Conduct*, etc., a full vindication of his father's administration, though written to injure him.

⁵ *Brintley Catal.*, iii. 4752. Cf. *John Adams's Works*, vol. ix. p. 239, for a reprint of only a portion of the book. This publication (1800) was naturally the occasion of a new edition of Hamilton's tract (Boston, 1800), with a preface, which, while it condemns the original publication as impolitic, justifies the new issue as an answer to the attack on Hamilton's memory embodied in the *Patriot* articles. Cf. Stanwood's *Presidential Elections*.

residence (Salem, 1824), in which swords were crossed with the old bitterness.¹

III. THOMAS JEFFERSON, 1801 - 1809. —
When a tie in the Electoral College between



¹ Jefferson's letter (June 20, 1824) to Van Buren on this philippic is in the App. of Van Buren's *Polit. Parties*. Pickering's letter to C. C. Pinckney, May 25, 1800, describing the opening of the quarrel, is in H. Adams's *N. E. Federalism*. Cf. further in this quarrel, John Adams's *Works*, x. index; *Life of Pickering*, iii. ch. 12; iv. p. 338; Hildreth, v. 413; Gibbs's *Adm. of Washington, etc.*; Lodge's *Cabot*. The only meeting of Adams and Pickering afterwards is described in Ed. Quincy's *Josiah Quincy*, 205.

For general references on the administration of John Adams, we may refer in the first place to the biographies and writings of the leading actors, premising that in those of Adams (*Works*, i. ch. 10, etc.) we must remember what his hostilities were; and in those of Hamilton with the life by J. C. Hamilton, and in the work of Gibbs based on Wolcott's Papers, there is as much control of judgment to be exercised as in the other case. *The Life of Pickering* is softened by the less imperious tempers of his biographers. Beside his *Patriot and Cunningham letters*, we have Adams's private feelings in his *Correspondence with Mrs. Warren* (p. 470, etc.). Morse can be trusted in his *John Adams* (ch. 11), and so can Lodge in his *Hamilton* (ch. 9). Randall needs scrutiny in his *Jefferson*, ii. 332.

The historians are not excessive in their views: Hildreth, vol. v.; Gay, iv. 127; Schouler, i. 341, 393, 492;

NOTE TO ABOVE CUT. — From the *Universal Asylum and Columbian Magazine*, March, 1792 (Philad.). The city was laid out by Peter Charles L'Enfant, who published his *Plan* "projected in pursuance of an Act of Congress, passed 16th July, 1790, establishing the permanent seat on the bank of the Potomac." A facsimile reproduction, by W. F. Boogher, of this original plan, was published in Washington in 1882. Cf. the plans in *N. Y. Magazine*, June, 1792; on the map of Maryland (53×30 inches), published by Vallance in Philad., 1795; in Weld's *Travels* (London, 1796), i. 65; in Winterbotham's *United States*, 1795, vol. iii.; in S. S. Moore and T. W. Jones's *Traveller's Directory* (2d ed., Philad., 1804); Cassell's *United States*, ii. 523. A view of Washington in 1800 is engraved in Higginson's *Larger History*, 351, and one drawn by Parkyn and engraved by Heath was published in London in 1804. Another in 1830 is given in Gay, iv. 238. McMaster (ii. 483) gives a long account of the appearance of the city in 1800, when it was first occupied by Congress. Cf. Hildreth, v. 392; Gibbs's *Administrations, etc.*, ii. 377; Spaulk's *Gouverneur Morris*, iii. 129; *Harper's Mag.*, xl. 186, and B. P. Poore in *The Century*, April, 1883, and in his *Reminiscences*.

Jefferson and Burr threw the election into the House, with its Federalist majority, Morse (*Jefferson*, 205) says it is "one of the strangest tales which history has to tell, that Hamilton was a chief influence in making Jefferson President, — the result was achieved, not by apostate votes, but by the more agreeable process of abstinence."¹

The inauguration was the first to take place in the new capital on the Potomac,² and the President made a change from the former custom, by sending in a message instead of delivering a speech. The document was at once scrutinized by Hamilton.³

H. C. Lodge (*Studies*, 166) says, "With the exception of the Alien and Sedition Laws, which

Cocke's *Const. Hist.*, ch. 4. Von Holst (i. 133) scans the party relations of Hamilton and Adams. Gouverneur Morris (Sparks's *Life of M.*, i. ch. 25) and Jackson (Parton, i. 217) were in the Senate; and Marshall led the administration side in the House (cf. Magruder) till he succeeded Pickering as Secretary of State. The story of Marshall signing commissions of Federal officers up to midnight of March 3, 1801, with Levi Lincoln standing by and holding Jefferson's watch to put a stop to the process on the tick, is affirmed by Parton and denied by Magruder.

The Harvard boys in 1798 sent an address to Adams in praise of his dignified firmness (Channing's *W. E. Channing*, Cent. ed., p. 35).

The "Essex Junto," so called, was a set of Massachusetts Federalists, who attacked Adams on his French mission, and stood later for extreme views. Cf. Lodge's *Cabot*, 17; Hildreth, v. 370; Schouler, i. 409; Sullivan's *Public Men*, no. 23; R. S. Rantoul in *Essex Inst. Hist. Coll.*, xix. 220.

¹ Hildreth (v. 403) and Parton (*Burr*, i. ch. 15) show how Burr was kept below Jefferson in the count. The interest in this first disputed election is shown in Lalor, *Cyclop.*, i. 807; Hildreth, v. 355, 380, 402, 407; Schouler, i. 473, 481; McMaster, ii. 522; J. C. Hamilton's *Hamilton* (1879 ed. vii. 430); Morse's *Hamilton*, ii. ch. 7; Jefferson's *Works*, iv. 354; ix. 210; Garland's *Randolph*, i. ch. 26; Whitelock's *Jay*, ch. 22; Von Holst, i. 108, 170, etc.

Cf. P. Linn's *Serious Considerations on the Election of a President* (N. Y., 1800), and De Witt Clinton's *Vindication of Thomas Jefferson against the charges* [of the preceding pamphlet] (N. Y., 1800). This attack on Jefferson was mainly on religious grounds. Manasseh Cutler (*Life*, etc., ii. 50) says that Mrs. Washington, in Jan., 1802, entertaining some Federalists at Mount Vernon, referred to Jefferson as the "most detestable of mankind."

Some remarks in Jefferson's *Anas.* reflecting on James A. Bayard, were answered in R. H. and J. A. Bayard's *Documents relating to the Presidential Election of 1801* (Philad., 1831), and in *Remarks on the Senate by J. A. Bayard* (Washington, 1855).

² The later historians (Schouler, ii. p. 1, with references, p. 4) and McMaster (ii. 533) give more picturesque accounts of the ceremonies than the earlier writers. They disagree as to the truth of the story about Jefferson's hitching his horse to a tree in a plebeian way before going into the building.

³ The *Examination of the President's Message, Dec. 7, 1801, revised and corrected by the author* [Lucius Crassus] (N. Y., 1802). It was originally published in the *N. Y. Evening Post*. The message is annexed to the pamphlet ed.: and is in the usual reference books, like the *Statesman's Manual: Jefferson's Inaugural speeches and messages* (Boston, 1800). Cf. Tompkins's *Bibl. Jeffersoniana*, p. 87, for editions of the address.

For general references on this administration one may note that a considerable part of Hildreth's fifth volume and Schouler's second are given to this period. McMaster's second volume carries one only well into the period. Tucker (vol. ii.) gives the distinctively Republican view, and Bradford (p. 110), and Sullivan's *Public Men*, pp. 201, 313, the Federalist. There is a popular narrative in Gay (iv. 144, etc.). The lives by Randall (ii. 630), Parton (ch. 61, 62), and Morse (ch. 13); those of Gallatin, the Secretary of the Treasury; of Madison, the Secretary of State, give the administration view. Gideon Granger, the Postmaster-General, under the name of "Algeron Sidney" published at Hartford, in 1803, a *Vindication of the measures of the present administration*, and in 1800, an *Address to the People of New England*. John Randolph becomes prominent as the recusant leader of a Republican faction, and we need the lives of him by Garland and Adams, and the picture of him in Wirt's *British Spy* (1803). Schouler (ii. 112) describes this break in the Republican ranks, and characterizes the Federal leaders (ii. 185, 180, etc.). Josiah Quincy had a great repugnance to Jefferson, and became the leader of the small band of Federalists (*Life of Quincy*, 87, 115). Josiah Quincy (*Life*, by Edmund Quincy, p. 118) said in 1807: "Smith was the only stenographer in the house, and we were wholly at his mercy. In general, however, he was fair, and often submitted his reports of speeches of members of the minority to them for correction." Cf. *Life of Manasseh Cutler*, ii. 63. Clay (Schurz, i. ch. 4), and Judge Story (*Life of Joseph Story*, 151) were in Congress, and so was Manasseh Cutler (*Life by H. P. and J. P. Cutler* (Cincin., 1888). On Jefferson's use of executive patronage, see J. M. Merriam in *Amer. Hist. Assoc. Papers*, ii. 47.

Cf. Cocke's *Const. Hist.* (ch. 5, 6); Fowler's *Sectional Controversy* (ch. 5); Houghton's *Amer. Politics* (ch. 7); Gillet's *Democracy*, 15; *Statesman's Manual*, i. 150; Lalor, iii. 994; Von Holst (i. ch. 4); and C. De Witt's *Étude historique sur la démocratie américaine* (Paris, 3d ed., 1801 — reprinted with additions from the *Revue des Deux Mondes*, 1857-60). Schouler, ii. ch. 7, gives an account of the social condition of

expired by limitation, there was no act of the Federalists that the Democrats either dared or could undo." They, however, impeached Judge Chase for his method in the conduct of trials under those acts, and his acquittal was held by the Federalists as a great point gained,¹ but the hasty and partisan repeal of the Act to increase the Federal judiciary was a counter-blow.²

It is probably not possible to give a wholly satisfactory explanation of the so-called conspiracy of Burr. The story is told most fully and graphically by Parton (ii. ch. 22-26, with appendices), and by Davis, but not with much more elucidation of its mysteries than Burr himself would have given. The *Autobiography of Charles Biddle* (p. 313) details Burr's talk of his expedition beforehand, as does Lyon's deposition in Parton, ii. 33. Burr's preliminary visit to the West in 1805 is examined in Hildreth (v. 595).³ Burr was entertained by Jackson at his home, which led to accusations of Jackson's being in league with Burr (Parton's *Jackson*, i. 309-329). Burr now met Wilkinson (Hildreth, v. 607; Parton's *Burr*, ii. ch. 21), who tells the story in his *Memoirs*, ii. ch. 8, 9⁴; but Wilkinson's book, as well as its writer, has a loose scattering way, and is unsafe as an unsupported authority.⁵

The doctor, Erich Bollman, whom Wilkinson subsequently arrested at New Orleans for complicity in the plot⁶ taken to Washington, made a statement of what he knew, under promise of immunity for his admissions, and this communication is in the *Madison Letters*, ii. 393. Daniel Clark, with whom Wilkinson and Burr conferred at New Orleans, published what he called *Proofs of the Corruption of Gen. James Wilkinson, and of his connection with Aaron Burr, with a full Refutation of his Slandorous Allegations in Relation to the Character of the Principal Witness against him* (Philad., 1809), but it must be taken with caution.⁷ Late in 1805, Burr enticed Blennerhassett into the scheme, and the fullest details of his subsequent connection can be found in Wm. H. Safford's *Life of Harman Blennerhassett* (Chillicothe, 1850; Cincinnati, 1853, — Thompson, *Bibliog. of Ohio*, no. 1000), and in *The Blennerhassett Papers embodying the Private Journals of Harman Blennerhassett, and the hitherto unpublished correspondence of Burr, Alston, Dayton, Emmett, Theodosia Burr, Mrs. Blennerhassett, and others; developing the purposes of the Wilkinson and Burr Revolution, with a memoir of Blennerhassett* (Cincinnati, 1864), which were collected by Safford.⁸

the country. Cutler (*Life*, ii. 71) gives us a glimpse of a presidential dinner. On Washington life at this time, see Dr. Mitchell's letters in *Harper's Mag.*, 1870.

On the return of Thomas Paine, and his intimacy with Jefferson, see McMaster, ii. 595; *Life of William Plumer*, 242; T. C. Richman's *Life of Paine* (London, 1816), and Paine's own *Letter to the Citizens of the U. S.* (N. Y., 1802).

For contemporary repositories see *The Amer. State Papers; authentic documents relative to the history, politics, and statistics of the U. S., 1805-1807* (Boston, 1808); and *The American Register, a general repository of History, Politics, and Science for 1800-7, vol. i.* [ed. by Charles Brockden Brown], Philad., 1807, which includes American and foreign state papers and intelligence. Vol. vii. (1810) is the last in the Harvard College set.

¹ Cf. contemporary views in Sullivan's *Public Men*, 227; and the *Life of Wm. Plumer*, p. 320, and later ones in Schouler, ii. 70; Adams's *Randolph*, ch. 5 and 6; Morse's *Jefferson*, 200; Lalor, ii. 482. Sumner traces the sequel in his *Jackson*, ch. 8. Burr presided at the trial in the Senate (Davis, Parton). The removal of Judge Pickering, in a similar way, was regarded as mainly a political movement. Cf. *Memoirs of J. Q. Adams*, i. 283; *Life of Manasseh Cutler*, ii. 166; A. P. Peabody in *Mass. Hist. Soc. Proc.*, 1883, p. 332, taking exception to statements in Randall's *Jefferson*, and in Morse's *Jefferson*, in which last book an App. is given in later copies, correcting errors into which Randall had probably led its author.

² Cf. Sparks's *Gouv. Morris*, iii. 365, 378, for his speeches in opposition to the repeal; McMaster, ii. 600.

³ Cf. Monette's *Hist. of the Discovery, etc., of the Valley of the Mississippi*; and Albach's *Annals of the West*, 790, 807, 815.

⁴ He published this second volume earlier than the rest of the book, as *Burr's Conspiracy exposed and Gen. Wilkinson vindicated against the slanders of his enemies* (Washington, 1811, — Brinley, iii. no. 5081; J. J. Cooke, no. 2726). On Jan. 20, 1808, Jefferson sent a *Message* to Congress "touching the official conduct of Gen. Wilkinson" (Washington, 1808); and reports on his conduct were made in Congress, May 1, 1810, and Feb. 26, 1811.

⁵ Schouler (ii. 121) says that the book "fairly illustrates his own character, and reveals a career open to frequent suspicions and requiring the most elaborate self-justification, — a justification accompanied by the admission of unworthy motives." Shaler (*Kentucky*, 130) says of him, "there is no more enigmatical or pathetic figure in American history." Henry Adams (*Randolph*, 222) speaks of his "playing fast and loose with treason for twenty years."

⁶ Hunt's *Livingston*, 128. Cf. Cable's *Creeles of Louisiana*, ch. 22.

⁷ Clark, also, in Congress, made, Jan. 11, 1808, depositions against Wilkinson, and presented papers in support of his allegations, April 25.

⁸ On Blennerhassett and his island, which Burr made his headquarters, see Hildreth's *Pioneer Settlers*,

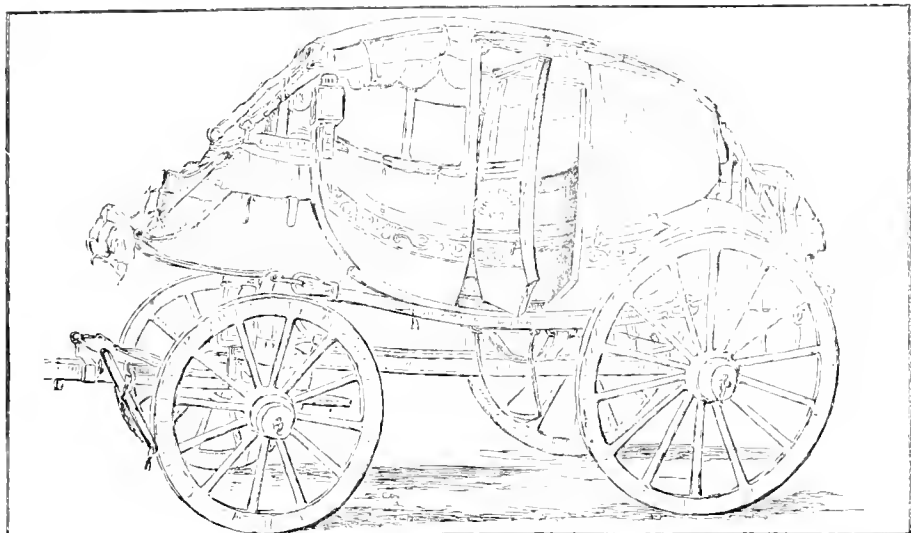
Parton (ii. 59) enumerates the principal persons who were induced to embark with Burr, ostensibly in some land purchase. One was Commodore Truxton, and we have his correspondence with Burr and Wilkinson in the appendix of Charles Biddle's *Autobiography*. The *Life of Gen. Wm. Eaton*, by Prentiss (1813; cf. also life by C. C. Felton in Sparks's *Amer. Biog.*), is that of another important witness in the crisis.

Of Burr's premature arrest in Kentucky, by Daveiss, the District Attorney, there is an ac-

count in Collins's *Kentucky* (i. 292), and Clay (Schurz's *Clay*, i. 35) was his counsel.¹

In the beginning of 1807 Jefferson submitted (Jan. 22, 20, Feb. 10) to Congress three several messages conveying intelligence of the progress of events, as he obtained it, with depositions, etc. (Cf. Tompkins's *Bill. J. ff.*, pp. 99, etc.)

We have the story at length of Burr's final arrest in Pickett's *Alabama*. Of the trials we have minor reports by Seaton Grantland and Wm. Thompson, and two extended short-hand reports by T. Carpenter,² and David Robertson.³



AMERICAN STAGE-COACH.*

p. 401; Lossing's *War of 1812*, 130; J. S. C. Abbott in *Harper's Monthly*, Feb., 1877; W. Wallace in *Amer. Whig Rev.*, ii. 133; A. C. Hall in *Potter's Amer. Monthly*, xvi. 280; *Macmillan's Mag.*, June, 1880; *Littell's Mag.*, Feb., 1870, and *Pool's Index*, p. 141. A report was made in Congress, April 5, 1842, in favor of paying Margaret Blennerhassett for property destroyed by the troops on the island.

¹ Cf. John Wood's *Full statement of the trial and acquittal of Burr* (Alexandria, 1807).

² *The Trial of Col. Aaron Burr, including the arguments and decisions, and on the motion for an attachment against Gen. Wilkinson* (Washington, 1807-8), in 3 vols.

³ *Reports of the Trials of Col. Aaron Burr for Treason and for a Misdemeanor in preparing the means of a Military Expedition against Mexico, a territory of the King of Spain with whom the United States were at peace. To which is added an Appendix containing the Arguments and Evidence in support*

* This sketch of the coach in use in the early years of this century, is reduced from a drawing made "with the camera lucida by Capt. B. Hall, R. N.," in his *Forty Sketches in No. America*, London, 1820. For the better sort of private vehicles see Washington's coach, figured in Smith's *Hist. and Lit. Curiosities*, 2d series, pl. xix. Christopher Colles's *Survey of the roads of the U. S.* (N. Y., 1780) is a series of copper-plate maps, showing routes from Connecticut to Virginia. The *Brinley Catal.*, iii, no. 4818, shows a set of eighty maps. On the stage-coach travel in early days to reach Washington, see B. Perley Poore's "Reminiscences of Washington City" in the *Atlantic Monthly*, xlv. 53. There is a view of the Waterloo Inn, the first stage from Baltimore to Washington, in Fitzgerald de Roos's *Travels in the U. S.* (London, 1827). Upon early methods of travel see McMaster's *History*, ii. 500; E. Everett in *Old and New*, vii. 47; R. S. Rantoul in *Essex Institute (Mass.) Hist. Collections*; and George L. Vose's *Notes on Early Transportation in Mass.*, reprinted from the *Journal of the Asso. of Engineering Societies*, Dec., 1884. Benjamin Hall, the pioneer of the eastern lines of stages running from Boston (1700) is said to have invented the trunk rack, by which the baggage was made to ballast the coach.

William Wirt was the counsel for the government.¹ Jefferson wrote frequent letters of advice respecting the conduct of the case,² and conviction and acquittal became the party cries of the Republicans and Federalists.³ Luther Martin, by the vigor of his defence, acquired from Jefferson the name of the "Federal Bull-dog." Marshall presided at the trial (Magruder, ch. 11). Washington Irving was in attendance, and we have his observations in his letters (*Life of Irving*, i. 191, etc.).

The writings on the embargo controversy, though the measure was an outcome of diplomatic complications, will be enumerated here. Francis Blake's *Examination of the Constitutionality of the Embargo Laws* [in U. S. District Court at Salem, Mass., with the opinion of the Court] (Worcester, 1808), gives a case "in which for

the first and only time," says the preface, "the constitutionality of the laws became a subject of judicial decision." Mr. Blake's argument was for the government, and the decision was an affirmative one.

Daniel Webster's *Considerations on the Embargo Laws* (Boston, 1808) set forth the grounds of a belief in their unconstitutionality.⁴

The opposition to the embargo was naturally most urgent in New England, and the fear of an attempt at secession was renewed (*Life of Wm. Plumer*, p. 309). The largest ship-owner of his day, however, Wm. Gray, a Boston merchant, sustained the measure (*Mem. Hist. Boston*, iii. 209). The speeches in the Mass. legislature and the uprising throughout New England, from the distress which it occasioned, finally frightened Congress into a partial repeal of the law.⁵

and defence of the Motion to commit A. Burr, H. Blennerhassett, and J. Smith, to be sent for trial to the State of Kentucky, for Treason or Misdemeanor alleged to be committed there. Taken in shorthand by David Robertson. 2 vols. (Philadelphia, 1808.)

There is also a *Trial of Aaron Burr for High Treason, in the Circuit Court of the United States for the District of Virginia, Summer Term, 1807, to which is added an account of the subsequent proceedings against Burr, Blennerhassett, and Smith, in the same Court, with notes on the Law of Treason as applicable to the existing Rebellion. Prefaced by a brief Historical Sketch of Burr's Western Expedition in 1800. By J. J. Coombs* (Washington, 1867).

J. Q. Adams made a report to the Senate on the complicity of John Smith, December 31, 1807, and his deposition in detence is dated June 13, 1808 (*Pub. Docs.*). Cf. *Trials of Cols. Lewis Kerr and James Workman for setting on foot an expedition against Mexico* (New Orleans, 1807).

¹ Kennedy's *Wirt*, ch. 13, 14; and Wirt's *Two principal arguments on the trial of A. Burr* (Richmond, 1808).

² *Works*, v.; Morse's *Jefferson*, 281; Randall's *Jefferson*.

³ Cf. *An examination of the various charges against Aaron Burr and a development of the characters and views of his political opponents, by Aristides* [Wm. P. Van Ness] (Philad., 1803; revised ed., 1804; Virginia, 1804), in Burr's defence. *A reply to Aristides, by James Cheatham* (N. Y., 1804).

Cf. Sullivan's *Public Men*, p. 245; John T. Danver's defence of Burr and attack on Jefferson in his *Picture of a Republican Magistrate of the new school* (N. Y., 1808), and J. H. Daviss's *View of the President's Conduct, concerning the Conspiracy of 1806* (Frankfort, 1807), for Federalist views.

For later views see Hildreth, v. 600; *Life of Pickering*, iv. 111; Randolph's *Jefferson*, iii. 175; Parson, ii. ch. 20; Schouler, ii. 118; Claiborne's *Mississippi*, i. ch. 24; *Pool's Index*, p. 179. The Rt. Rev. C. F. Robertson's "Attempts made to separate the West from the American Union" (St. Louis, 1885), and his papers in the *Mag. Western Hist.*, March and April, 1885 (i. 581, 467).

⁴ Cf. Curtis's *Webster*, i. 64-66.

⁵ Cf. *Life of Joseph Story*, i. ch. 6; Benton's *Debates*, iii. 602; iv. 64; Lodge's *Cabot*, 306; Von Holst, i. 272; Hildreth, vi. 93; Barry's *Mass.*, with references, 352; Schouler, ii. 103. For commercial distress see Gould's *Portland*, 423, and the New England local histories generally.

Josiah Quincy as the leading New England Federalist in the House at Washington made his speeches (Ed. Quincy's *Life of Josiah Quincy*, 127, 139, 183). Of the two Massachusetts senators, John Quincy Adams broke from his party on the question and sustained the administration (Hildreth, vi. 79, *Memoirs of J. Q. A.*; Morse's *J. Q. A.*, 39, 52; *Life of Quincy*, 123). The governor of Massachusetts was a Republican (T. C. Amory's *Life of James Sullivan*). Timothy Pickering, the other senator, wrote to him a letter in Feb., 1808, asking him to lay it before the legislature. The governor returned it to the writer (T. C. Amory in *Mass. Hist. Soc. Proc.*, Oct., 1877), but Pickering had sent a duplicate to Geo. Cabot, who printed it as *A Letter, exhibiting to his constituents a view of the imminent danger of an unnecessary and ruinous war* (Boston, 1808). It was also printed at Northampton, 1808, preceded by a Federal *Address to the people of the U. S.* Pickering also published a *Speech in the Senate, Nov. 30, 1808* (*Life of Pickering*, iv. ch. 4), and referred to his letter to the governor in his *Review of the Correspondence of Adams and Cunningham* (Salem, 1824), p. 49. Sullivan addressed a letter to Pickering, March 18, 1808, and received a reply, April 22, which constitute the *Interesting Correspondence between Gov. Sullivan and Col. Pickering, in which the latter vindicates himself against the charges by the Governor and others* (Boston, 1808, in two eds.).

The controversy is further illustrated in John Quincy Adams's *Letter* [March 31, 1808] to H. G. Otis, with

We have the Federalist view in Wm. Sullivan's *Public Men*, no. li, and in W. C. Bryant's earliest poem, *The Embargo*, which was written at thirteen (Boston, 1808; corrected ed. 1809), and reflects, says Duyckinck, "the prevalent New England Anti-Jeffersonian Federalism."

We must look to Jefferson's *Writings* (correspondence in vol. v.) for the leading views of the advocates of the embargo. The sentiments of Congress are in Benton's *Debates* (vol. iii.). Much of the documentary material, with the proceedings of the several States, is in Carey's *Olive Branch*, ch. 24, 25.¹

IV. JAMES MADISON, 1809-1817.—Most of the interest of Madison's administrations² comes under the head of diplomacy and war, and is treated elsewhere.

The aspects of the war of 1812, as connected with party movements, need only be touched upon here. Madison's messages and the reports of committees (see Poore's *Descriptive Catal.* under these years, and the index) will help us, — such are his messages of Nov. 6, 1811, with



JAMES MADISON.*

remarks upon Pickering's letter to the Governor (Boston, 1808, two eds.; Portland, 1808; Salem, 1808). Cf. Wm. Coleman's *Remarks and Criticisms on the Hon. J. Q. Adams's letter to the Hon. H. G. Otis* (Boston, 1808).

T. C. Amory (*Mass. Hist. Soc. Proc.*, Oct., 1877, p. 322) says that he was wholly denied assistance from the family of Cabot, and was but partly successful in his application to the family of Pickering, in writing his *Life of James Sullivan*. Some of these withheld papers have appeared in Lodge's *Cabot*.

¹ Later historical treatment is in Hildreth, vi. 37, 71, 87, 93, 99, 111, 121, 129; Schouler, ii. 106, 138, 150, 175; Von Holst, i. ch. 6; Gay's *U. S.*, iv. 178; lives of Jefferson, by Randall (iii. 243), Parton (ch. 66), and Morse (300); Gay's *Madison*, ch. 17; Garland's (i. ch. 33) and Adams's (p. 227) *Randolph*; Lalor's *Cyclop.*, ii. 79.

² There is no more extended life of Madison, for his presidential career, than Gay's (ch. 18); but we can depend on his *Letters, etc.* Cf. Benton on his death (*Debates*, i. ch. 147). We have the story of course in the general histories like Hildreth, vi.; Schouler, ii. 279; Tucker; Gay; Cocks's *Const. Hist.*, ch. 7; Von Holst, i. ch. 6; Fowler's *Sectional Contrav.*, ch. 6; Houghton's *Amer. Politics*, ch. 8; Stanwood's *Pres. Elections*, p. 51; Van Buren, ch. 5, 6; and in leading biographies like those of his cabinet officers, Monroe (Gilman, ch. 5), Gallatin, A. J. Dallas, and William Pinkney. H. Adams (Gallatin, 492) says the weight of the administrative labor fell on Gallatin and Monroe. The Vice-President during the second term was Gerry (Austin's *Gerry*). There is in Madison's *Letters, etc.* (ii. 495) a paper on the President's rupture with his first Secretary of State, Robert Smith; and Smith issued an *Address to the people of the U. S.* Crawford, his last Secretary of the Treasury, is depicted in Schouler, iii. 17, with other leading members of Congress like Randolph, who ill concealed his enmity to Madison (Garland, i. ch. 35, etc., and Adams), Quincy, Clay, Calhoun (Von Holst's C., ch. 2), etc. Jefferson watched events from Monticello (Randall, iii.; *Writings*, vi.).

The current view of the administration can be followed in the files of the *National Intelligencer*; and of the opposition in the *N. Y. Evening Post* (cf. Hudson's *Journalism*). The *State Papers* and Benton's *De-*

* After an engraving in the *National Portrait Gallery*, 1830, made by Wilmer, from a print by D. Edwin, following a portrait by Stuart. The picture belongs to Mr. Edward Coles of Philadelphia. Other Stuarts are owned by T. Jefferson Coolidge of Boston (engraved in Higginson's *Larger History*, p. 393), A. A. Low, of Brooklyn, and by Bowdoin College. The last has been reproduced in photogravure in a book on their art treasures published by the college. Stuart's likeness is also engraved by Balch in the *Statesman's Manual*; and another is in Irving's *Washington*, vol. v. There is a picture in Independence Hall. Engravings are numerous, — one by H. B. Hall & Sons in the *Letters of Madison*, published by the United States. A profile medallion head by Ceracchi (1792) is engraved by S. A. Schott, in Rives's *Madison*, vol. i.



MRS. MADISON.*

documents; of June 1, 1812,¹ — but they as a rule are concerned with measures of diplomacy. His *Letters* (vol. ii.) give more political illustration. After his signing of the declaration of war, June 18, 1812, the Federal members published a protest in the shape of an address to their constituents.²

Henry Clay, Speaker of the House, soon became the leader of the war party and brought Congress to a belief in the necessity of fighting.³ Randolph did what he could to keep New England in line (Garland, ii. 51), but the opposition in that part of the country was not easily appeased. William Pinkney wrote as "Publius" to sustain the war (Wheaton, p. 116; and Pinkney's *Pinkney*, 63). J. T. Austin had remonstrated against the expected action of Massachusetts in *Resistance to the laws of the U. S. considered in four letters to H. G. Otis* (Boston, 1811). Gov. Plumer of New Hampshire, who had been a disunionist, now urged in an *Address* (Concord, 1814) the clergy to abate their opposition. A good deal of the pamphleteering on the side opposed to the war fell to John Lowell, who published

bates are the official records. *Niles's Register* began Sept., 1811, and henceforward becomes important. *The National Register, published weekly, no. 1, March 2, 1810*, included State papers and connected intelligence, domestic and foreign.

¹ This message reviewed the history of the difficulties with England, and the committee to whom it was referred, in reporting in favor of the war, summarized the grievances, such as impressment of American seamen, the Orders in Council, the paper blockade, etc. Before the war closed, Dallas prepared "An Exposition of the Causes and Character of the War," as an official vindication of the government, but the news of peace caused the suppression of it, after copies had been printed at Baltimore and Philadelphia in 1815 (Madison's *Letters*, ii. 600, — letter accompanying a copy sent to Jefferson). It is reprinted in the *Life and Writings of A. J. Dallas*, App. 5, and is helpfully provided with references. The federal presentation is given in Sullivan's *Public Men*, 321, and in John Lowell's *Appeal to the people on the Causes and Consequences of a War with Great Britain* (Boston, 1811), with an examination of the grounds of complaint as to the impressment of seamen, and the Orders in Council. The history of impressments is given in Carey's *Olive Branch* (ch. 32-38), with documents, 1780-1818. Edward Stanwood reviews the subject in "An old time grievance" in the *Atlantic Monthly*, Nov., 1885. A Canadian view of the causes of the war is given by J. Stevenson in the *Quebec Lit. and Hist. Soc. Trans.*, 1870-80.

A Mr. McCormish of Edinburgh visited the United States and published a *View of the state of parties in the U. S., being an attempt to account for the present ascendancy of the Anti-English or Democratic party* (Edinburgh, 2d ed., with additions, etc., 1812).

On the political aspects of the war in general, see Garland's *Randolph*, i. ch. 36; Joseph Gales in *Hist. Mag.*, 187:75, etc.; Cocke's *Const. Hist.*, ch. 8; Lalor, iii. 1080; Von Holst, i. 233. There are numerous titles in the *Boston Athenæum Catal.*, p. 3151.

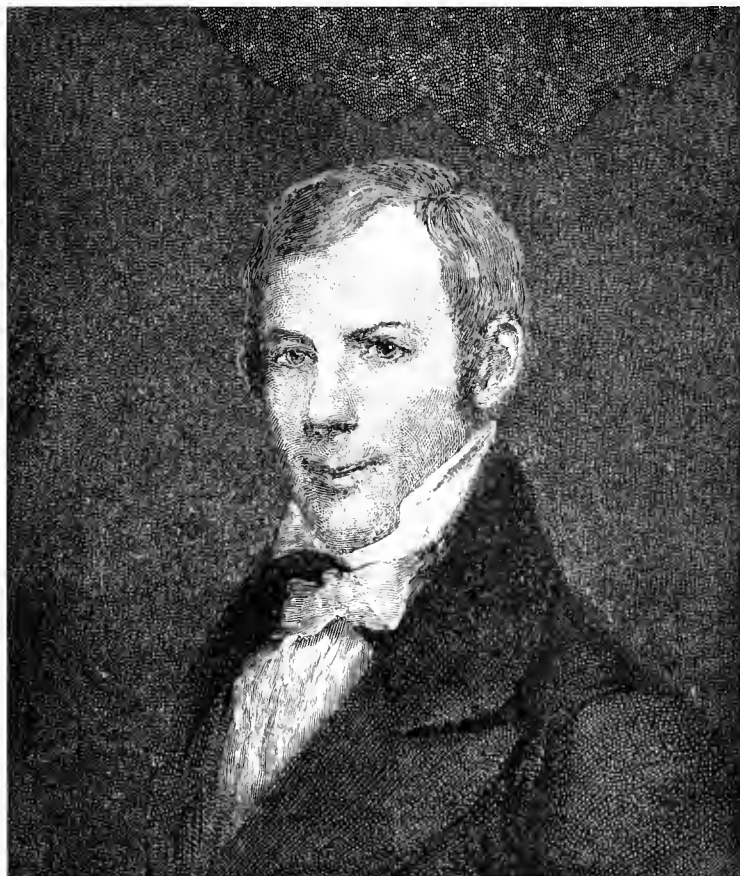
Ct. N. M. Butler on *The Effect of the War upon the Consolidation of the Union*, in the *Johns Hopkins University Studies*, 1887.

² *Address to their Constituents on the subject of the war*, signed by George Sullivan, Josiah Quincy, and others. Printed in 1812, at Portsmouth, Raleigh, etc.

³ Edward Quincy's *Life of Quincy*, 227, 237, 255, 272, 280, 207; Colton's *Clay*, i. ch. 9; Schurz's *Clay*, i. ch. 5; Hildreth, vi., 190, 205. Clay and Quincy were pitted against each other in debate.

* After the engraving by Prud'homme, as drawn by J. Herring after the picture by J. Wood, — in the *Nat. Portrait Gallery*. There are engravings of Stuart's portrait of Mrs. Madison, by D. Edwin and by J. Rogers. It is reproduced in R. W. Griswold's *Republican Court*. It shows her at an earlier age.

some vigorous tracts.¹ Daniel Webster, now in his early prime, was fighting beside his Federalist friends, and delivering speeches, which were eagerly read in New England.²



HENRY CLAY.*

¹ *Mr. Madison's War. A Dispassionate Inquiry into the reasons alleged by Mr. Madison for declaring an Offensive and Ruinous War against Great Britain* (Boston, 1812).

He had earlier published: *Peace without dishonor—War without Hope; being a calm and dispassionate enquiry into the question of the Chesapeake and the Necessity and Expediency of War.* By a Yankee Farmer (Boston, 1807).

² Curtis's *Webster*, i. 101, 117; Lodge's *Webster*, 40. His *Private Correspondence* begins at this time.

In a *Speech*, Jan. 14, 1814 (Portsmouth, 1814), he urged upon Congress that commerce compelled the formation of the Constitution, and continued restrictions on commerce would destroy it.

For the New England opposition, see Carey's *Olive Branch* (1818 ed.), ch. 42, etc.; Sullivan's *Public Men*, 334, 336; *Hist. Mag.*, 2d series, i. 18, 114, 143; Ingersoll's *War Dept.*, 51; *St. Pap. Mil. Aff.*, i. 310, 604; Ingersoll's *War of 1812*, i. 50; Lodge's *Studies*, 247. S. G. Goodrich's *Recoll. of a lifetime*, i. no. 27, exhibits the feelings of opposition; and (p. 503) he describes the jubilation over the peace.

* After a likeness in the rooms of the Long Island Historical Society. Engravings of Clay are very numerous; by Longacre, after a painting by W. J. Hubbard in the *National Portrait Gallery*; after a drawing by Davignon in Higginson's *Larger History*, p. 301; a daguerreotype by Brady is reproduced in Bartlett and Woodward's *United States* (vol. ii.); one by Root is engraved by Sealey; another is engraved by T. Johnson, in the *Century*, July, 1885, accompanying a sharp and short characterization by George Bancroft,—these three last pictures being taken, of course, in his later years. On some earlier pictures see *Mass. Hist. Soc. Proc.*, Feb., 1880, p. 31.

V. JAMES MONROE, 1817-1825. — Monroe's messages to Congress are in such collections as the *Statesman's Manual*, and Dr. Jameson gives an abstract of them in an appendix to Gilman's *James Monroe in his relation to the public service during half a century* (Boston, 1883), — one of the "American Statesmen Series," and the only

life of importance. Gilman points out that while the papers of all Monroe's predecessors have been in large part published, the student of Monroe's administrations must depend upon publications in which others are central, unless he is in convenient relations with the manuscript collections in Washington.¹



JAMES MONROE.*

¹ A few leading references to Monroe's administration: Hildreth, vi.; Tucker: Schonler, ii.; Gay, iv. 238; Samuel Perkins's *Hist. Sketches of the U. S., 1815-1830* (N. Y., 1830); Fowler's *Sect. Controversies*, ch. 7; Houghton's *Amer. Politics*, ch. 9; Stanwood's *Presidential Elections*: Joshua Leavitt in *Harper's Mag.*, xxix. 461. S. G. Goodrich (*Recollections*, ii. 401) gives a picture of Monroe at the close of his administration. Curtis's *Buchanan* (i. ch. 2) affords some recollections of Randolph and others at this time. On Randolph's death, see Benton's *Thirty Years*, i. ch. 48; Madison's *Letters*, iv. 188.

In New York the "Albany regency" began their ascendancy (Lalor, i. 43). The Whig party first took shape at this time (Ormsby's *Whig Party*, and Thurlow Weed's *Autobiography and Memoir*). On the application of the phrase "Era of Good Feeling" to his administration, see Schouler, iii. 12. These feelings were helped by two adventitious circumstances a few years apart: the tour of Monroe to the Northern States in 1817, and Lafayette's visit to the country in 1824-25.

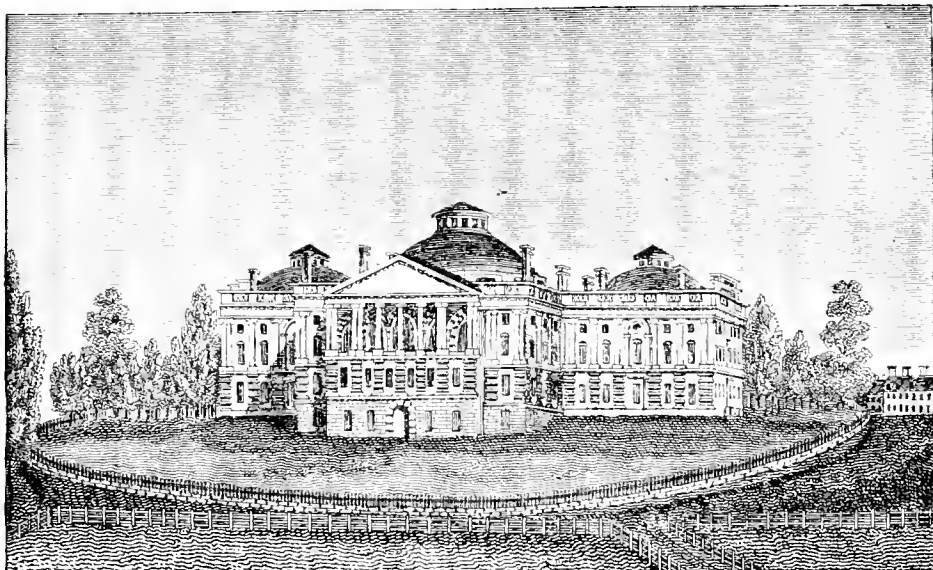
Of the President's journey we have record in *A Narrative of a Tour of Observation* (Philad., 1818); and in S. P. Waldo's *Tour of James Monroe* (Hartford, 1818; new ed. with tour of 1818, Hartford, 1819).

Of Lafayette's visit we have a book by his secretary, A. Levasseur, *Lafayette en Amérique en 1824 et 1825* (Paris, 1826), and an anonymous *Voyage du Général Lafayette aux États-Unis d'Amérique* (Paris, 1826), known to be written by C. O. Barbaroux and J. A. Lardier. John Foster, of Portland, Me., issued *A Sketch of the tour of Gen. Lafayette* (Portland, 1824), and there are records of the tour in *Niles's Register*. James Schouler has described the tour in the *Mag. Amer. History*, Sept., 1883 (x. 243).

* From the *National Portrait Gallery* (1830), engraved by A. B. Durand, after a painting by Vanderlyn, which is in the N. Y. City Hall. It is also engraved by H. B. Hall in Irving's *Washington*, vol. v. The Stuart likeness, owned by T. Jefferson Coolidge of Boston, is engraved in Higginson's *Larger History* (p. 385). Likenesses after Stuart are also in the *Statesman's Manual*, engraved by Balch, and in Bartlett and Woodward's *United States*, vol. iii. On a portrait by Morse, see *Charleston Year-Book*, 1883, p. 102.

Apart from the foreign relations considered elsewhere, the main political bearings of Monroe's term were the questions of the tariff,¹ internal improvements,² and the Missouri Compromise.³

In a general way, the lives of Monroe's cabinet officers and political contemporaries will necessarily serve us.⁴



BACK VIEW OF THE CAPITOL.*

There is a compiled account by Mrs. Martha J. Lamb, illustrated with reproductions of pictures from J. Milbert's *Picturesque Sketches in America* (Paris, 1826), in the *Mag. Amer. Hist.*, Dec., 1887. His visit to Boston is made graphic in E. Quincy's *Josiah Quincy* (pp. 401, 423, 435, 448), and in the *Figures of the Past* by the younger Josiah Quincy (p. 101). Cf. Kennedy's *Wirt* (ii. 150, 177); Benton's *Thirty Years* (i. ch. 12); Bonney's *Historical Legacy* (i. ch. 10); Thurlow Weed's *Autobiography* (p. 101).

Something of the life in Washington at this time can be got from Schouler (iii. 211); Nathan Sargent's *Public Men*; letters of Elijah Mills in *Mass. Hist. Soc. Proc.* (xix. 14-53), and in George Watterson's *Letters from Washington, 1817-18* (Washington, 1818). The House of Representatives is described in L. G. Tyler's *Letters, etc. of the Tylers* (i. 289).

¹ See also the references as connected with the public finances in C. K. Adams's *Manual of Hist. Lit.*, p. 621; and the lives and speeches of leading Congressional contestants like Randolph, Webster and Clay. The texts of the tariffs of 1816 and 1824 are in the *Annals of Congress*, etc.; and the debates of Congress in Benton's *Debates*, vols. vi. vii.

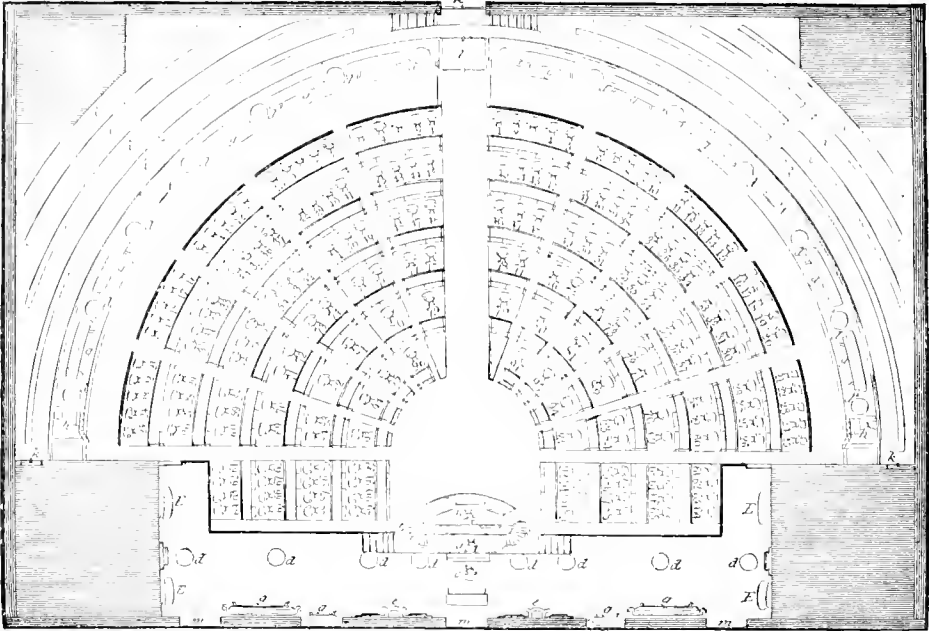
² Cf. arguments against the constitutional right of Congress to aid internal improvements, in Madison's Report of 1800, and his veto message of 1817, and Monroe's message of May 4, 1820. The arguments in favor are in Clay's speech of March 13, 1818; and Webster's *Works* (index). Cf. Story's *Constitution* (ii. 602); Sumner's *Jackson* (ch. 9); Lator (i. 711, "Cumberland road," and ii. 568); Von Holst, i. 380-395; *Statesman's Manual*, i. 101, 332, 402, 401, 515; *Niles's Register*, xxvii. 270; xxviii. 255; Benton's *Debates*, vi. 67, 120. There is a map of the Cumberland Road in John Melish's *Geog. Description of the U. S.* (Philad. 1822), p. 113.

³ See *ante*, p. 325.

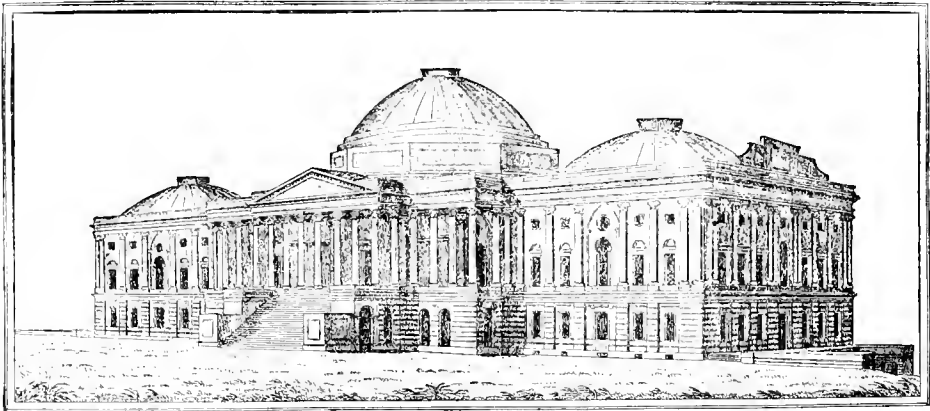
⁴ The *Memoirs* of John Quincy Adams (vols. iv.-vi.), with the lives by Quincy (ch. 5) and Morse (ch. 2); Von Holst's *Calhoun* (ch. 3); and such accounts as we can find of William H. Crawford. Cf. Jos. B. Cobb's *Leisure Labors* (N. Y., 1858). "Hardly any public man of his time has so completely disappeared from general recollection" (Johnston in Lator, i. 604). For estimates of Crawford, see Benton's *Thirty Years*, ii. ch. 125; Parton's *Jackson*, ii.; S. F. Miller's *Bench and Bar of Georgia* (Philad., 1858), vol. i. p. 218; and Morse's *J. Q. Adams*, 155.

* After a print in the *Analectic Magazine* (1826). Cf. W. Birch's *Country Seats of the United States* (Springland, Penna., 1808).

VI. JOHN QUINCY ADAMS, 1825-1829. — sources, as given for preceding administrations, Without enumerating the ordinary official we turn to the *Memoirs* (vols. vi, vii, viii.)¹ of



PLAN OF THE REPRESENTATIVES HALL, 1820.*



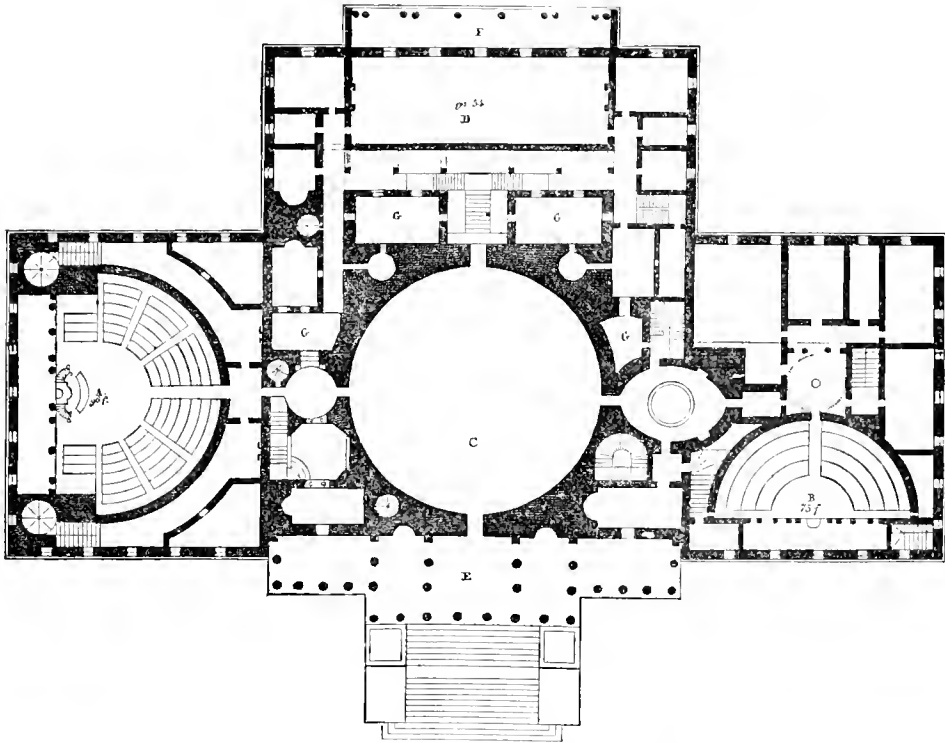
EAST FRONT OF THE CAPITOL AT WASHINGTON.†

¹ *Memoirs of John Quincy Adams, comprising portions of his diary from 1795 to 1848, ed. by C. F. Adams* (Philad., 1874, etc.). The typography of this book is unfortunately such that it is not readily to be distinguished what is the diary and what the editor's comment. The memoir is condensed in the *Mass. Hist. Soc. Proc.*, vol. ii. Cf. *International Review*, Feb., 1881.

* After an engraving in the *Analectic Magazine* (1820), where the occupants of the several seats are designated by a Key.

† Reproduced from the *Reise des Herzogs Bernhard zu Sachsen Weimar-Eisenach durch Nord Amerika, 1825-26, herausgegeben von Heinrich Luden* (Weimar, 1828). Cf. view in *Amer. Mag.*, i. 510, and numerous other engravings.

the President as a chief unofficial source, and to the three lesser lives by Josiah Quincy, William H. Seward, and John T. Morse.¹ The leading public men of Adams's time illustrate in their lives the political views and conflicts of his administration,—like those of his Secretary of



GROUND PLAN OF THE CAPITOL, 1825 *

¹ Seward's was first published in 1840, the next year after Adams's death, as the *Life and Public Services of J. Q. A., with an Eulogy delivered before the legislature of N. Y.* (Auburn, 1840). Josiah Quincy's *Life of J. Q. A.* (Boston, 1838),—a book written with the aid of family papers. (Cf. Parton's opinion, in his *Jackson*, i. p. xix.) Morse's contribution to the Statesmen Series, *John Quincy v. Adams* (Boston, 1882), has the great advantage of the prior publication of the Adams *Memoirs*, and gives the best picture of the man in a moderate compass. The inquirer must be referred to the entries in the *Catal. of the Boston Athenæum* (i. 13), in *Pool's Index*, pp. 3, 6, and in *Poore's Descriptive Catal.*, for the beginnings of a bibliography of Adams's career; but to select a few entries, see Benton's *Thirty Years* (i. ch. 21; ii. ch. 172); Curtis's *Buchanan*, i. ch. 13; Edw. Everett's *Speeches* (ii. 553); Wm. Everett in the *Atlantic Monthly*, Aug., 1875; Schouler, iii. 399; and for a very depreciatory view Hugh Hastings' "Pricking an historical bubble," in the *Mag. Am. Hist.*, July, 1882.

The choice of Adams for the presidency by the House of Representatives was a marked stage in our constitutional government. Cf. Stanwood's *Presidential Elections; the Counting the electoral votes, 1760-1876* (Washington, 1876); Lalor, i. 808; Morse's *J. Q. A.*, 149; Parton's *Jackson*, iii. 40; Sumner's *Jackson* (ch. 4); L. G. Tyler's *Tylers*, i. 358; Lodge's *Webster*, 137; and on Clay as the arbiter, Schurz's *Clay* (ch. 10). Clay published an *Address to the Public*, defending himself against charges of bargain in securing the election of Adams; and Colton enlarges on the matter. Cf. also F. P. Blair's *General Jackson and James Buchanan* (Washington, 1856); Curtis's *Buchanan* (i. ch. 3; also p. 306); and the letter of Albert H. Tracy in Thurlow Weed's *Autobiography*, p. 173.

* Reproduced from the *Reise des Herzogs Bernhard zu Sachsen-Weimar-Eisenach* (Weimar, 1828). KEY: A, House of Representatives, 96 feet radius; B, Senate Chamber, 75 feet radius; C, Central Rotunda, 96 feet in diameter; D, Library, 92×34 feet; E, Eastern portico; F, Western portico; G, open areas. The eastern front is 350 feet long.

State, Clay, and of the Vice-President, Calhoun, and of the Attorney General, Wirt.¹ The same biographical memorials will help us picture the life at the capital.²

VII. ANDREW JACKSON, 1829-1837. — The two most recent lives of Jackson give each a

bibliography, that of Parton being much more full than that in Sumner.³

Much of the biographical material for Jackson's career as a soldier is noted elsewhere; but the comprehensive accounts of his life may find special treatment here,⁴ as well as those surveys of his administrations in the more general



WILLIAM HARRIS CRAWFORD.*

¹ Cf. Schurz, i. ch. 11; Von Holst, ch. 4; Kennedy. What an erratic opponent could do is seen in Adams's *Randolph*, 284, and in Garland (ii. ch. 26). The lurking antipathy of Jackson, who was not satisfied with the way in which Clay had worsted him, is shown by Parton (ch. 10) and Sumner (ch. 5). Cf. also Curtis's *Webster* (i. 237); Sullivan's *Pub. Men*, 145; Ormsby's *Whig Party*; Von Holst's *History* (ii. ch. 10, 11); Schouler (iii. 336); Gay (iv. 280); Houghton's *Amer. Politics* (ch. 10); Fowler's *Sectional Controversy* (ch. 8). In passing from Monroe, we unfortunately get beyond the range of Hildreth. For the influence of New York upon national politics at this time, see Roberts's *New York* (ii. ch. 33). The Anti-Masonic movement was dividing the Democrats (Lalor, i. 101; Hammond's *Polit. Hist. of N. Y.*; Schurz's *Clay*, i. 340; W. H. Seward's *Autobiog.*, pp. 60, 147, 231; Curtis's *Webster*, i. 301; Sumner's *Jackson*, 250; Hammond's *Polit. Parties*, ii. 360; Thurlow Weed's *Autobiography*, ch. 20-28). The literature of this episode of Freemasonry is considerable. Cf. H. Gassett, *Catal. of [adverse] books on the masonic institution* (Boston, 1852); titles in *Boston Athenaeum Catal.*, p. 1075; and references in *Pool's Index*, under "Antimasonry" (p. 46), and "Freemasonry" (p. 487).

² Cf. also Quincy's *Figures of the Past*, 254; Ben. Perley Poore's *Reminiscences of Washington* in the *Atlantic Monthly*, Jan., 1880.

³ Cf. titles in Sabin, ix. pp. 172-177. Beside *Niles's Register* for the period, there is much documentary evidence in the *Annual Register*, 1825-33, and, of course, in Poole's *Descriptive Catalogue*, not to name other general sources. For his messages, beside these sources, we have them grouped in *Messages of General Jackson* (Concord, N. H., 1837). Current and later comment is recorded in *Pool's Index*, p. 674.

⁴ The earliest of these accounts of any moment is the *Life of Andrew Jackson, commenced by John Reid; completed by John H. Eaton* (Philad., 1817), which passed through several editions, and was enlarged with a

* From the *National Portrait Gallery*, 1830, vol. iv., after a painting by J. W. Jarvis. Cf. Gay's *United States*, iv. 277.

works,¹ and illustrations of it in the lives of his political supporters and opponents.² The course of party contests began with the struggle in the election between Jackson and

narrative of the Seminole War in 1828. An anonymous *Memoir* (Boston, 1828) is based upon it. William Cobbett filched mainly from Eaton his *Life of Andrew Jackson* (N. Y., 1834). The beginning of a *Life of Andrew Jackson, private, military, and civil* (N. Y., 1843), was made by Amos Kendall, who brought it down nearly to the end of the Creek War, and not much to the satisfaction of Jackson. The papers which Jackson had entrusted to him were then put into the hands of Francis P. Blair, who did nothing with them; and they passed out of sight till they were discovered in the garret of the Globe building in Washington in 1882 (G. F. Hoar in *Amer. Antiq. Soc. Proc.*, Oct., 1882, p. 130. The legal representatives of Jackson have entered a suit for their recovery). The correspondence of Jackson and Maj. Wm. B. Lewis, 1814-1845 (213 letters) was sold in N. Y., June 3, 1884. Extracts from some of his letters addressed to a ward, and illustrating his private character, are edited by Charles Gayarré in the *Mag. of Amer. Hist.*, Feb., 1885, p. 191. One of the accounts produced after Jackson's death is John S. Jenkins's *Life and public services of Andrew Jackson: including the most important of his state papers. With the eulogy, at Washington city, June 21, 1845, by George Bancroft* (Buffalo, 1851). Cf. G. Bancroft's *Lit. and Hist. Miscellanies* (1855), p. 444. The most extensive narrative is James Parton's *Life of Andrew Jackson* (Boston, 1859), in three volumes. It is very readable and not over-partial; but, like most of Parton's biographies, not wholly in good taste. Von Holst in his *History* (vol. ii.) writes of the "Reign of Andrew Jackson," and Alexander Johnston (Lalor, ii. 629) points to Von Holst as a critic of Parton, though he says that Von Holst allows the dictates of expediency to Jackson's opponents as a guide, and does not allow them to Jackson himself. Von Holst began his studies in American history in a separate examination of Jackson's administration, which is reviewed by Henry Adams in the *No. Amer. Rev.* (cxv. 170). The latest biography is Wm. G. Sumner's contribution to the "Statesmen Series," his *Andrew Jackson as a public man, what he was, what chances he had, and what he did with them* (Boston, 1882). It is conveniently arranged for the student's apprehension of the distinct phases of the various commanding questions that elicited the energy of Jackson and the antagonism of his opponents. The lesser campaign lives — not a few — are noted in Parton's list. A few minor characterizations: Jefferson's opinions of Jackson are given in Randall's *Jefferson*. The accuracy of Daniel Webster's reports of Jefferson's conversations at Monticello, respecting Jackson, has been questioned (Parton's *Jackson*, i. 210; Randall, ii. 507). A paper with illustrations by Lossing, in *Harper's Monthly* (x. 145). A recent statement of the "Political influence of Andrew Jackson," by Prof. Anson D. Morse, in the *Polit. Science Quarterly*, June, 1880. "Two years with Andrew Jackson," by J. H. Clay in the *Atlantic Monthly*, ix. 187. The *Oration* of S. A. Douglas (Washington, 1853) was delivered at the inauguration of Clark Mills's equestrian statue of Jackson in Washington.

¹ Of the comprehensive histories, Tucker, Gay, and Bradford (*Federal Governments*) are the only ones which cover Jackson's two terms. Schouler (vol. iii.) has as yet not gone beyond his first term. The more specially political accounts are in the *Statesman's Manual: Benton's Thirty Years' View*; Fowler's *Sectional Controversy* (ch. 6); Houghton's *Amer. Politics* (ch. 11); Van Buren's *Polit. Parties*; Ormsby's *Wing Party*; Stanwood's *Presidential Elections*. A mass of tracts, *pro* and *con*, are listed in Parton. The most popular of all the humorous burlesques was the *Letters of Maj. Jack Downing* (N. Y., 1834), by Seba Smith. (Cf. Allibone, 2155.)

² Principal among the accounts of his cabinet officers are: C. H. Hunt's *Life of Edw. Livingston*; Samuel Tyler's *Memoirs of Roger B. Taney* (Balt., 1872). There is little of party politics in the *Writings of Levi Woodbury* (Boston, 1852), in three vols.; but in the third volume is his "Life and character of Jackson." W. T. Young's *Life and Public Services of Lewis Cass* (Detroit, 1852), — a book which, in Parton's phrase, "tells nothing more voluminously than usual."

Of the so-called "Kitchen Cabinet" (see Parton, iii. 278; Lalor, ii. 677), we have the *Life of Amos Kendall* by Stickney, and Kendall himself gives some anecdotes of Jackson in the *Democratic Rev.*, xi. 272. Of Duff Green we get glimpses in Hudson's *Journalism*, 230, 240. The little *Biography of Isaac Hill of New Hampshire, with selections from his speeches and miscellaneous writings* (Concord, N. H., 1835). Of James A. Hamilton, one of Jackson's advisers, and at one time acting Secretary of State, we have his *Reminiscences* (N. Y., 1869).

Upon the disruption of Jackson's cabinet, we have Eaton's version in a *Confidential appeal to the Amer. Public* (Washington, 1831). How it appeared to the opponents of the administration is effectively told in an *Address to the people of Maryland* by Joseph Kent and others (Balt., 1832).

The characteristics of Congress at this time can be discovered in Benton's *Thirty Years* (vol. i., beginning with ch. 40, — with which compare Kennedy's *Hist.*, ii. ch. 14); the *Memoirs* (vols. viii., ix.) of J. Q. Adams, during his remarkable career in the House, and Morse's *J. Q. A.* (ch. 3); *Memoir of Hugh Lawson White, with selections from his speeches and correspondence, ed. by Nancy A. Scott* (Philad., 1836); the lives of Clay by Colton and Schurz (particularly for a sharp characterization of Jackson, i. 325); G. T. Curtis's *Webster* (i. 337, etc.); his *James Buchanan* (i. ch. 6); S. G. Brown's *Refus Choate*; etc. The relations of Randolph to Jackson are described in Garland (ii. ch. 38). S. G. Goodrich (*Recollections*, ii. 466) describes the Senate at

Adams,¹ The confusion of party lines became perplexing. *Buchanan*, who at this time entered Congress, makes a survey of the state of parties (vol. i.



JOHN QUINCY ADAMS.*

this time. There is an occasional homely touch of ways in Congress and in Washington in W. C. Richards's *Memoir of George N. Briggs* (Boston, 1860).

A Jacksonian of independent judgment, and editor of the *N. Y. Evening Post*, is figured to us in *A Collection of the political writings of Wm. Leggett, with a preface by Theo. Sedgwick, Jr.* (N. Y., 1840). The *Recollections of the life of John Binns* (Philad., 1854) is anti-Jacksonian in temper.

The speeches of some members of Congress at this time, like Everett's, are not included in their published writings, and we must search for them in the records of the debates.

Of the political life of Washington city we have, apart from the lives of Congressmen, a few books, written on such opposite grounds that they offset one another. Robert Mayo's *Political Sketches of eight years in Washington, 1829-1837* (Balt., 1839), is called by Parton "the tirade of a disappointed office-seeker," to be mated with his *Fragments of Jacksonism* (Washington, 1840). James Gordon Bennett was the Washington correspondent of the *Courier and Inquirer* during Jackson's term, and the *Memoirs of J. G. Bennett and his Times* (N. Y., 1855) throw some light.

Parton refers to the *New York Courier and Inquirer* of 1831 as containing all the documents of the Mrs. Eaton scandal. A sufficient outline is given by Parton. L. A. Gobright's *Recollections of men and things at Washington* (Philad., 1860) begins with Jackson's inauguration; but it is scant on this early period. Story wrote some letters home from the capital, which are given in W. W. Story's *Life of Joseph Story*. There are some observations of a foreigner in Harriet Martineau's *Society in America* (Lond. and N. Y., 1837) and *Retrospect of Western Travel* (N. Y., 1838). A few transient observations are in the *Journal of Frances Anne Butler* (Philad., 1835), and in Michel Chevalier's *Lettres sur l'Amérique du nord* (Paris, 1836; ed. spéciale, 1837).

For Jackson's presidential tours, see Parton (iii. 485); and on his appearance in Boston, see Quincy's *Figures of the Past*, 352.

¹ Cf. Parton, iii. 137; a letter of Calhoun in *Mass. Hist. Soc. Proc.*, xix. 280; and the spectacle of the silk-stocking Democrats under Theodore Lyman and others in Boston (*Ibid.* xix. 281).

* From the *National Portrait Gallery*, 1839, vol. iv., following a portrait by A. B. Durand; also in *The Statesman's Manual*. An engraving by H. W. Smith, after the painting by Durand, is in Quincy's *John Quincy Adams*. One by G. P. A. Healy, in the Corcoran Gallery, is engraved in T. W. Higginson's *Larger History*, p. 406. A bust by Powers is represented in the *Memoirs* of J. Q. A., vol. iii., and a medal in Lombat, no. 54. There are numerous other engravings.

231).¹ The suppression of nullification is considered elsewhere (*ante*, p. 322); but the war conducted by Jackson against the bank needs to be examined here.² Jackson sounded the first alarm in his *Message* of Dec. 8, 1829. The history of the succeeding banks from the beginning of the government can be followed readily in Sumner's *Jackson* (ch. 12, 13, 14), and there is a good summary in Curtis's *Webster* (vol. i.); the documentary proofs are reached through Poore's

Descriptive Catal., the current views through *Poole's Index*, the opposing parties of Congress in Benton's *Debates*, and in his *Thirty Years' View*, epitomized in Roosevelt's *Benton* (ch. 5). The rupture in the cabinet owing to the refusal of Duane to remove the deposits is explained by himself in his *Narrative and Correspondence concerning the removal of the deposits, and occurrences connected therewith* (Philadelphia, 1838).³



VAN BUREN.*

¹ There was the rise of the Whig party as opposed to Jackson's ideas of prerogative (Curtis's *Webster*, i. 499; W. H. Seward's *Autobiography, etc.*, i. 237). The seceders from the Jacksonian Democracy are followed in F. Byrdsall's *Hist. of the Locofoco or equal rights party* (N. Y., 1842). Cf. Sumner's *Jackson*, 369; Lalor, ii. 781; and i. 476 for the later Democrats.

The spoils system took shape at this time. The speech of Holmes of Maine calling on the President for his reasons for removals from office (Washington, 1830) gives a list of removals from Washington to J. Q. Adams. Cf. on the revolution it now brought about, Lalor (iii. 783); Benton's *Thirty Years* (i. ch. 50); Roosevelt's *Benton* (ch. 4); Curtis's *James Buchanan* (i. ch. 12); Dorman B. Eaton's *Spoils System and Civil Service Reform*.

² See, however, *ante*, p. 329, for general references on the history of finance in the U. S.

³ This tract is scarce, as he printed only 250 copies to give to friends. Cf. specially on the removal of the deposits, Benton's *Thirty Years* (i. ch. 92, etc.); the note in Von Holst (ii. 50); Sumner's *Jackson*, p. 297; Colton's *Clay* (ii. ch. 3, 4); Schurz's *Clay* (ii. ch. 15). The speeches are almost without number, as in the collected works of Clay, Webster, Calhoun, Tristram Burges, Peleg Sprague, and of course in Benton's *Debates*. Paton (*Jackson*, i. p. xxi, etc.) notes a good deal of the transient publications, and calls *The War on the Bank of the U. S.* (Philad., 1834) one of the strongest statements against the administration. Buchanan

* From the *Nat. Portrait Gallery*, where it is engraved by Wellmore after a painting by Holman. The engraving in the *Statesman's Manual* is from a daguerreotype. He is represented in the seventy-fifth year of his age in the engraving by Ritchie from a photograph in Van Buren's *Political Parties* (N. Y., 1867). The portrait by H. Inman, at a table, with the hand on an upright book, is engraved by Wellman. Cf. cuts in Gay, iv. 358, etc.; and the medal in Loubat, no. 57.

VIII. MARTIN VAN BUREN, 1837-1841. — This administration has never been well considered as a whole. Van Buren's own political affiliations can easily be made out from his own *Political Parties*, though it does not touch his administration as such.¹ There are no lives of

Van Buren of value, — perhaps W. L. Mackenzie's *Life and Times of M. Van Buren* (Boston, 1846) is as good as any.² We shall find his messages and the Congressional documents in the usual places.³ The lives of his cabinet officers and other public men help us.⁴ The general



GENERAL HARRISON.*

defended Jackson's policy throughout (Curtis's *Buchanan*, i. 409). Webster represents the opposers (Curtis's *Webster*, i. ch. 20), and a pamphlet by Albert Gallatin was circulated in the interests of the bank, *Considerations on the Currency and Banking System of the United States* (Philad., 1831).

Cf. Van Buren's *Polit. Parties*, 314, 412; L. G. Tyler's *Tylers*, i. ch. 13; J. A. Hamilton's *Reminiscences*; Parton's *Jackson*, iii. 235, 493; Tyler's *Taney*; William M. Gouge's *Short History of Paper Money and Banking in the U. S.* (N. Y., 1835); Royal's *Andrew Jackson and the Bank of the U. S.* (N. Y., 1880). Jackson's protest against the censure of the Senate is in *Niles's Register* (xlvii. 138), and some of the speeches in the long debate on receiving it (pp. 213, 240), but others can be found in the *Debates* of Benton, who pressed for several sessions his motion to expunge the censure from the records. (Cf. Benton's *Thirty Years*; Poore; Curtis's *Webster*, i. 545; his *Buchanan*, i. 293, — not to name other references.)

¹ For his connection with New York politics see Roberts's *New York* (ii. ch. 33).

² Parton (*Jackson*, i. p. xx. — where will be found other titles) calls it "a formidable mass of letters and gossip," and "a revolting view of interior politics."

³ *Congressional Globe*; *Niles's Reg.*, v.; Benton's *Debates*, v.; *Statesman's Manual*, ii. 1157, etc. Cf. also Poore's *Descrip. Catal.* — not to name other places.

⁴ Hunt's *Edward Livingston* (ch. 16, — his Sec. of State). Stickney's *Amos Kendall* (his Postmaster-general); Sargent's *Public Men*; The *Memoirs* of John Quincy Adams (vol. x.); Benton's *Thirty Years* (vol. ii.), and *Life* (ch. 6) by Roosevelt; Curtis's *Buchanan* (i. ch. 13); Jenkins's *Silas Wright*; James A. Hamilton's *Reminiscences*; Curtis's *Webster* (i. 505); Schurz's *Clay* (ii. ch. 20); Von Holst's *Calhoun* (ch. 7).

* From the *National Portrait Gallery* (1856) after a painting by J. R. Lambdin. A portrait by Hoyt is engraved in the *Statesman's Manual*. A full length, with a cloak, is in Mrs. Bonney's *Gleanings*, i. 437. There is another likeness, engraved by H. B. Hall & Sons, in the *Mag. of Western History*, February, 1885. The campaign of 1840 produced very many engraved likenesses.

histories do not as a rule come down so late, and the most can be got from Von Holst.¹

IX. HARRISON AND TYLER, 1841-1845. — The political campaign of 1840 has kept its reputation as the most hilarious on the part of the Whig victors ever known.² Their confidence and enthusiasm was equal to their electoral preponderance; but far exceeded their majority in the popular vote. There is no commendable life of Harrison.³ The life of Tyler is best

studied in H. A. Wise's *Seven decades of the Union, the humanities and materialism, illustrated by a memoir of John Tyler, with reminiscences of some of his great contemporaries* (Philadelphia, 1872), and in Lyon G. Tyler's *Letters and Times of the Tylers* (Richmond, 1884-85), in two volumes, — the author is the son of the President. The messages and Congressional documents are in the usual repositories.⁴ The accounts of his cabinet officers and other public men are necessary aids.⁵ The general histories



HARRISON'S HOUSE AT NORTH BEND.*

¹ Vol. ii. ch. 3, 4. Cf. Gay (iv.); Tucker (iv.); Hammond's *Polit. Parties*; Ormsby's *Whig Party*; Fowler's *Sectional Controversy* (ch. 10); Lalor (iii. 1061); *Atlantic Monthly*, July, 1880; Hodgson's *Cradle of the Confed.* (ch. 10); C. T. Congdon's *Reminiscences* (Boston, 1886); Poole's Index, 1361. Some glimpses of Washington life can be got in Gobright, and in N. P. Willis's *Famous Persons and Places* (N. Y., 1854).

The references to the progress of finance (given *ante*, p. 329) will largely avail here. The sub-treasury system was established and the debates of Congress, collectively, or in the speeches of members, record the arguments which prevailed or failed.

The great financial crisis of 1837, as an outcome of Jackson's policy, was an important concomitant of political views. It is very well depicted in Schurz's *Clay* (vol. ii. ch. 10). Cf. also Sumner's *Hist. Amer. Currency*; Von Holst (ii. 173, 194); *Statesman's Manual* (ii. 1157); Benton's *Thirty Years* (ii. 6).

The anti-rent troubles in New York (1830-1840), while not immediately touching national politics, disturbed the relations of national parties in an important State. There is a short bibliography of the subject in Edward P. Cheyney's *Anti-Rent Agitation in N. Y.* (Philad., 1887), being no. 2 of the "Political Economy and Public Law series," published by the Univ. of Penna. Cf. Roberts (ii. ch. 35) and other histories of N. Y.; local histories like Jay Gould's *Delaware County*; Barnard's *Rensselaerswick*; biographies like Jenkins's *Silas Wright*; D. D. Barnard in the *Amer. Whig Review*, 1840, ii. 577; *New Englander*, iv. 62; A. J. Colvin's *Review of Anti-Rent decisions*; and also J. Fenimore Cooper's *Littlepage Tales*.

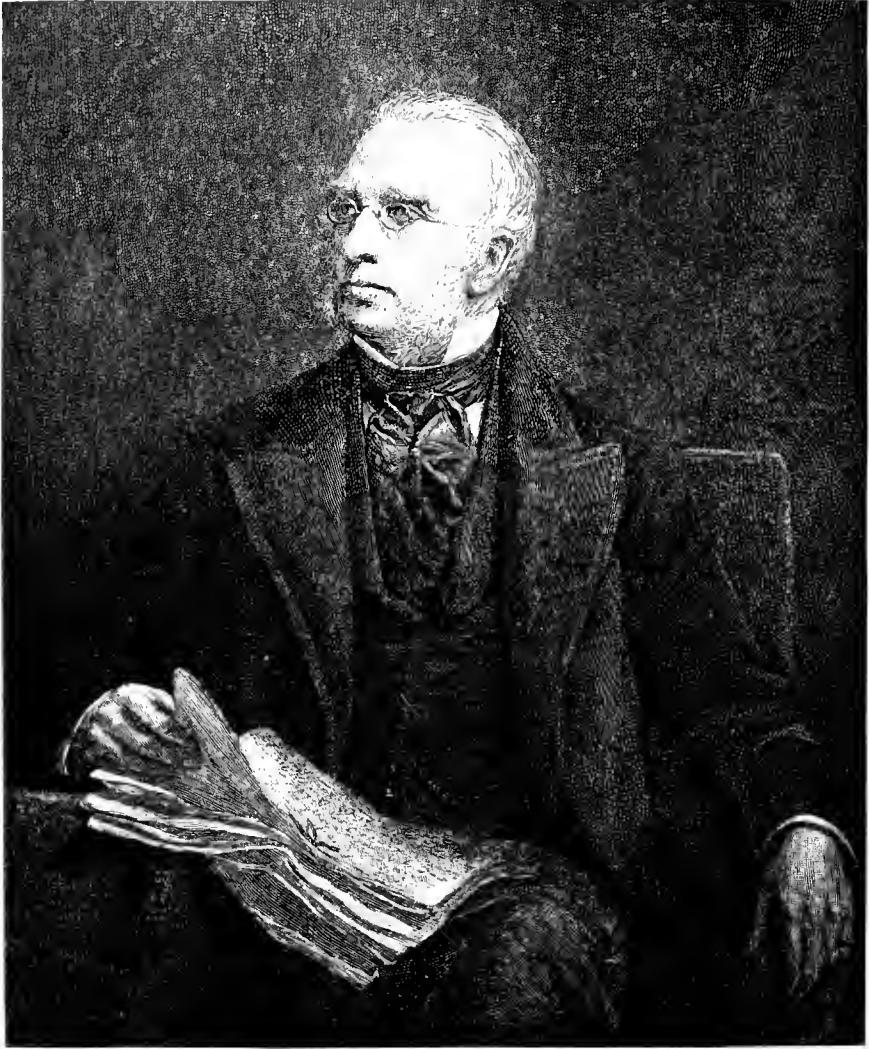
² Stanwood's *Presidential Elections*; Ormsby's *Whig Party*; Johnston in Lalor, iii. 1101; Gay's *Pop. Hist.*, iv. 357; Von Holst, ii. ch. 5; Schurz's *Clay*, ii. ch. 22; H. Greeley's *Busy Life*; Thurlow Weed's *Autobiog.*, ch. 48; and *Memoir*, p. 80. E. Eggleston's *Roxy* illustrates the days in the West.

³ Perhaps H. Montgomery's *Life of Maj.-Gen. Harrison* (Cleveland, 1852, and later eds.) is the best. There is a foundation for a Harrison bibliography in Peter G. Thomson's *Bibliog. of Ohio*, pp. 150-156.

⁴ *Congressional Globe*; Niles's *Reg.*; Benton's *Debates*; *Statesman's Manual*, ii.; Poore's *Desc. Catal.*

⁵ Of Secretaries of State: Curtis's *Webster* (ii. 56); Lodge's *Webster* (ch. 8); the lives of Calhoun; the writings of Hugh S. Legaré; with Coleman's *Life of J. J. Crittenden*, his Attorney-General. Tyler in 1856 made an address on the dead members of his cabinet, which is in L. G. Tyler's *Tylers*, ii. 584. Of public characters: The *Memoirs of J. Q. Adams* (vols. x, xi.); Schurz's *Clay* (iii. ch. 23) and Clay's *Private Cor-*

* Fac-simile of cut in Howe's *Hist. Coll. Ohio*, 231. There are cuts of the house and tomb in Lossing's *War of 1812*, pp. 572, 574.



Rufus Choate

residence; Roosevelt's *Benton* (ch. xi.); Curtis's *Buchanan* (i. ch. 16); *Writings of Levi Woodbury*; J. D. Shields's *Life and Times of Sargeant Smith Prentiss* (Philad., 1884); George W. Julian's *Polit. Recollections, 1840-1872* (Chicago, 1884), particularly elucidates the slavery controversy; S. G. Brown's *Life of Rufus Choate* (Boston, 3d ed. improved; cf. Jones's *Index to Legal Periodicals*, p. 83), and Joseph Neilson's *Memories of Rufus Choate* (Boston, 1884).

For Washington life see B. P. Poore's papers in *Atlantic Monthly* (vol. xlvii, 360, 531) and his collected reminiscences.

* From a picture painted by Daniel Huntington for the Mass. Historical Society. There is another standing figure by the same artist in the House of Representatives at Washington.

and purely political records are somewhat deficient. Benton's *Thirty Years' View*, and Von Holst's *History* are the best.¹

X. JAMES K. POLK, 1845-1849. — There is not yet any judicious history of Polk's administration, — Chase's *Administration of Polk* (1850) being as good as anything;² but the *Statesman's Manual* (vol. iii.) and the usual reference books must suffice for the student who wishes to collate Von Holst's account (vol. iii.), where the references,³ in addition to the lives of prominent public men, will help him.⁴ The questions of the

tariff and internal improvements must be followed in books already referred to (p. 329, *ante*). The Oregon boundary and the annexation of Texas are reserved for consideration in the sections on diplomacy and boundaries; and so are certain phases of the Mexican War, while the chapter on the Wars of the United States will follow its military aspects. The relations of that war as a party question are fully set forth in Von Holst's *History* (iii. ch. 7-12); and Curtis in his *Life of Buchanan* (i. ch. 21) entitles his sketch the "Origin of the War, and the efforts of Polk's administration to prevent it."⁵

¹ Benton (ii. 211-638); Von Holst (ii. 406-500); Lalor, iii. 950; Gay, iv.; Betts's *Hist. of the Rebellion*; Houghton's *Amer. Politics* (ch. 13); Fowler's *Sectional Controversy* (ch. 11). The contemporary periodicals of the opposing parties were: *Democratic Review*; *Amer. Whig Review*; *Brownson's Review*, — a significant part of the latter is easier found in O. A. Brownson's *Writings*.

The question of the tariff (that of 1842 is in the *Amer. Almanac*, 1843, p. 180), as showing the ascendancy of protection, is a leading interest of this administration, but reference is made to a preceding page (*ante*, p. 329). The beginning of the Liberty party forms a part of the slavery movement (*ante*, p. 323) and includes the rising of the question of the annexation of Texas (see ch. on diplomacy).

The Dorr rebellion in Rhode Island, though not of national relations, was an important phase of the general suffrage question. The references are: —

The R. I. charter of Charles II is in *Federal and State Constitutions*, ii. 1505 (cf. this *History*, III., 370). The proposed Constitution of 1842 is in Greene's *Short Hist. of R. I.* (p. 317); and the Constitution adopted is in *Fed. and State Const.* (ii. 1003). Cf. E. R. Potter's *Considerations on the R. I. question* (1842, 1870); Frieze's *Concise Hist. of the efforts to secure an Extension of the Suffrage in R. I.* (1842) — in favor of the movement; D. King's *Life of T. W. Dorr*; Lalor, i. 835; Gay's *U. S.* (iv. 367); L. G. Tyler's *Tylers*, ii. 102; Thurlow Weed's *Autobiog.* (ch. 53); C. T. Congdon's *Reminiscences* (ch. 8); Jameson's *Constitutional Convention* (p. 216); a paper with references by W. L. R. Gifford in *New Princeton Rev.*, Sept., 1887. W. E. Foster, who has guided the editor to some of these references, also refers to Burke's *Report on the interference of the Executive in the affairs of R. I., June 7, 1844* (Washington, 1844); and Webster's argument in the Supreme Court, Luther vs. Borden, 1848 (Webster's *Works*, vi. 217). Cf. *Report of the Trial of Thomas Wilson Dorr for Treason against the State of Rhode Island, containing the arguments of counsel and the charge of Chief Justice Durfee. By Joseph S. Pitman* (Boston, 1844). S. S. Rider gives some details about Burke's *Report* (*Book Notes*, Jan. 21, 1888), and has announced his intention of publishing a monograph on *The Dorr War in Rhode Island*, having in his possession large MS. material.

² Cf. J. S. Jenkins's *Life of Polk*, Hudson (1850).

³ Cf. Houghton's *Amer. Politics* (ch. 14); Fowler's *Sectional Controversy* (ch. 12).

⁴ Curtis's *Buchanan* (Sec. of State), vol. i. ch. 19; J. Q. Adams's *Memoirs* (vol. xii., — Adams died Feb. 23, 1848); *Life* of him by Morse; lives and works of Webster, Clay, and Calhoun; the writings of Levi Woodbury, including a eulogy on Polk; Benton's *Thirty Years*, and Roosevelt's *Life of Benton* (ch. 13); Seward's *Autobiog.*, i. 772, and *Works*, edited by G. E. Baker (N. Y., 1853-84); John A. Dix's *Speeches and Addresses* (N. Y., 1804), and Morgan Dix's *Memoirs of J. A. Dix* (N. Y., 1883) in 2 vols. II. G. Wheeler's *History of Congress* (i. 376, 424) is the best record of R. C. Winthrop's career in Congress — Speaker of the House in 1847-48. Horace Greeley (*Busy Life*, 226) considers that at this time Massachusetts had the strongest delegation in the lower house of Congress.

William Henry Milburn (*Ten Years of Preacher Life*, N. Y., 1850) describes the Senate at this time (ch. 13) with a characterization of Calhoun (p. 152), and gives the impression that A. H. Stephens and S. A. Douglas (pp. 126, 131) made at this time. Cf. B. P. Poore in *Atlantic Monthly* (xlvii. 799), and in his *Reminiscences*.

⁵ William Jay's *Review of the Causes and Consequences of the Mexican War* (Boston, 1849 — various eds.) sets forth vigorously the views of the opponents of the war. Cf. other presentations in A. A. Livermore's *War with Mexico reviewed* (Boston, 1850); C. T. Porter's *Review of the Mexican War* (Anburn, 1849); E. D. Mansfield's *Mexican War* (N. Y., 1873); *Southern Quart. Rev.*, xv. 83; and *Am. Whig Rev.* (vols. v.-vii.) Webster disapproved of the war, but stood for maintaining it when begun (Curtis's *Webster*, ii. 291, 301; *Works*, v. 253, 271). The speeches of Charles Sumner and Thomas Corwin are types of the uncompromising opponents. Cf. also speeches of John A. Dix, Rufus Choate, and Robert C. Winthrop. For other personal attitudes see Benton's *Thirty Years* (i. ch. 140, 161-165, 173); Schurz's *Clay*, ii. ch. 25; Von Holst's *Calhoun* (ch. 9) and his *History* (iii. ch. 4); Greeley's *Amer. Conflict* (ch. 14); Lalor's *Cyclopedia of Political Science* (iii. 1070); *Pool's Index*, 832. Lowell's *Biglow Papers* is the satirical expression of the Abolitionists'

sentiments against the war. Probably the best exposition of the Mexican side of the political controversy can be found in Hubert H. Bancroft's *History of the Pacific States, Mexico, vol. v.* (San Francisco, 1885), chap. 13, whose views are indicated by his opening sentences: "It was a premeditated and predetermined affair, the War of the United States on Mexico; it was the result of a deliberately calculated scheme of robbery on the part of the superior power." Bancroft is very full in his references (especially pp. 344-45) both to American and Mexican official documents; and as to William Jay's *Review*, he holds that, "whatever differences of opinion there may be as to Jay's conclusions, his facts are incontrovertible."

CHAPTER VI.
THE WARS OF THE UNITED STATES.
1789-1850.

BY JAMES RUSSELL SOLEY,
Professor in the United States Navy.

SHORTLY after the close of the Revolutionary War, the army was in great part disbanded, and the navy ceased to exist. According to a return made by General Knox on January 3, 1784, the entire military force of the United States was composed of one regiment of infantry numbering 527 men, and one battalion of artillery numbering 138 men. With unimportant breaks and re-enlistments, and with slight changes in numbers, this regiment and battalion continued in service until the adoption of the constitutional government.¹ The first Congress at its first session, by the act of September 29, 1789, converted this force, with its organization unchanged, into the regular army. At this time the infantry was commanded by General Josiah Harmar, and the artillery by Major Doughty. A small increase of the force was made in the next year, and it was with a detachment of these troops and a body of worthless militia that Harmar made his ineffectual campaign of 1790 against the Miami Indians. In 1791, a new regiment of infantry was created, and Arthur St. Clair was appointed major-general. The second campaign against the Miamis, under the new commander, ended still more disastrously in the defeat of November 4, 1791. In the spring of the following year, the number of troops was increased to 5,000 men, Anthony Wayne was appointed major-general, and a legionary organization was adopted. With this army General Wayne took the field against the Indians, whom he overthrew at the battle of Maumee Rapids, on August 20, 1794.

The War Department was organized under the act of August 7, 1789, with the charge, under the President, of matters relative to the land and naval forces and to Indian affairs. General Knox, who, as commander-in-chief of the artillery during the Revolutionary War, had gained a substantial reputation as a professional soldier, and who had been in charge of military affairs under the Confederation, was appointed the first Secretary

¹ According to a report of the War Office, Point and the other at Springfield, 76; troops Aug. 8, 1789 (*Am. St. Pap., Mil. Aff.*, i. 1), the establishment at that time was as follows:— 506; total, 672 men; wanting to complete the establishment, 168; total complement, 840.

of War. Knox's successors, down to the war of 1812, namely, Pickering, McHenry, Dexter, Dearborn, and Eustis, were all men of a certain capacity, and, with the exception of Dexter, who held office for less than a year, had seen active service in the Revolution. Nevertheless, during this period, no complete and well-digested scheme of army organization was adopted. The active service of the little army, which alone could afford a real test of efficiency, and thus reveal the weak points in the system, was for twenty years confined to desultory Indian campaigns. The military calling, as a distinct and exclusive profession or occupation, seems not to have reached any high development, and in consequence the operations of the first important war that engaged the army's attention, that of 1812, were of an amateurish and feeble character. The organization of the general staff was by no means equal to that of the Revolution, when Gates, Reed, and Scammell were adjutant-generals, Steuben was inspector-general, Knox the chief of artillery, and Mifflin, Greene, and Pickering had the quartermaster's department. The strong prejudice against a standing force inherited from the Revolutionary period prevented the enlargement of the army, and interfered somewhat with its development. On the other hand, the plan for raising a militia, adopted in 1792, became fairly adequate when supplemented by the action of the States. The question, however, was not so much one of numbers as of administrative organization, education, and discipline. The country did not need a large standing army, but it needed all the machinery of a military establishment, capable of ready expansion to meet the demands of war. Under the persistent pressure of Hamilton, Congress enacted, in 1792, that the purchase of all supplies for the army should be under the direction of the Treasury Department. This not only had a disastrous effect upon the Indian wars, then in progress, but its mischievous consequences, by delaying and confusing all attempts to perfect the organization of the general staff, were projected far into the future. By the act of March 3, 1799, the enactment was repealed, and authority to make its own purchases was vested in the War Department. The scheme of departmental reorganization then adopted did not have time, however, to take root before the reduction of the army under Jefferson in 1802, when all the reforms that had been accomplished were swept away.

In 1794, a combined corps of engineers and artillery had been established by Congress. Up to this time the artillery, although a distinct body, had been little more than an adjunct of the infantry, to which it furnished artificers and gunners. The new corps was to be devoted to much more important uses; among others, the supervision of the newly projected and elaborate system of fortifications. This work was to be conducted principally by accomplished foreign engineers, and three of them, Rochefontaine, Tousard (who was rather an artillerist than an engineer), and Rivardi, were appointed to the highest grades in the corps. The plan failed from various causes, but chiefly from the confusion brought about by the union

of two essentially distinct arms of the service, under officers of foreign origin, whose absorbing occupations in works of construction left them no time for other duties. When hostilities with France became imminent in 1798, foreigners came to be looked upon with disfavor, and they were gradually discharged. The reorganization of 1802 did away with the combined corps, and created a new corps of engineers and a separate regiment of artillerists. At the same time, the numerical strength of the army, which had been temporarily increased in expectation of war, was fixed at 3,200 men, and the staff departments were virtually abolished.

During the next ten years, little was done to add to the efficiency of army administration. The military academy had already been established, work on the fortifications continued, and as difficulties with England and France became more threatening, the number of men was increased; but the organization remained palpably defective. The Secretary of War, in addition to his proper duties, was his own commissary-general, quartermaster-general, and ordnance officer. Among the additions to the force in 1808 was a regiment of light artillery, and Secretary Dearborn took energetic measures to organize it, but he retired from office before the completion of the work, and his successor, Dr. Eustis, allowed it to lapse, even going so far as to sell the horses rather than bear the cost of their maintenance. The consequence of this policy was that at the end of three years the field artillery, an indispensable arm of the service, though its personnel was excellent, was wholly inefficient. Attempts were made on the eve of war to remedy the defects of the organization in this and other respects, but they came too late to be of real benefit.

During this period of twenty years, the navy, although starting with the most discouraging prospects, had a much more prosperous development. At first there was not even the nucleus of a maritime force. Beyond the recital in the act of 1789, creating the War Department, of the fact that it was charged with matters relative to the "naval forces, ships, or warlike stores of the United States," no recognition was given to the navy by either the Executive or the legislature.

The first steps towards the creation of a naval force were brought about by the depredations of Algerine cruisers upon the merchant vessels of the United States. Two of these had been seized in 1785, the schooner "Maria" of Boston and the ship "Dauphin" of Philadelphia, which were captured off the coast of Portugal, and carried, with their cargoes and their crews, numbering twenty-one persons, to Algiers. Attempts were made to effect the ransom of the imprisoned Americans, at first through an agent, and later through the Order of the Mathurins, whose ancient and peculiar vocation was the redemption of Christian subjects captured by the infidel powers. The negotiations were protracted through several years, but without success, owing to the exorbitant demands of the Algerines, who insisted on a ransom of \$60,000, or nearly \$3,000 per head.

Matters remained in this shape until September, 1793, when the war between Portugal and Algiers, which had held the corsairs in check, came to an end, and the seizure of American vessels was renewed. In October and November of that year, eleven ships, with crews aggregating 109 men, were captured. In consequence of these events, as set forth in the preamble, an act was passed, March 27, 1794, to provide a naval armament consisting of six frigates, four of 44 and two of 36 guns. The act met with violent opposition from many members of Congress, in some cases ostensibly from motives of economy, in others from traditional prejudice or habitual antipathy to standing forces in general, and to the navy in particular. As a concession to this sentiment, it was provided that work on the frigates should be stopped upon the conclusion of a treaty with Algiers, for the purchase of which a large sum had already been set apart.

The act of 1794 provided only for the crude elements of a naval organization, and in pursuance of it six captains were immediately appointed, — Barry, Nicholson, Talbot, Dale, Truxtun, and Sever, — all of whom had seen Revolutionary service. The work of construction, under the superintendence of the six captains, was distributed among the different seaports: Portsmouth, Boston, New York, Philadelphia, Baltimore, and Norfolk.

No event in the history of the navy is of greater importance than the construction of these six frigates. By a remarkable piece of good fortune as well as good judgment, the design and direction of the work were placed in the hands of a man of extraordinary capacity, — Joshua Humphreys, a Pennsylvania shipbuilder. In 1793, some time before the frigates were projected, Humphreys had written a letter to Robert Morris, then in the Senate, stating his views about the navy. In it he proposed to build "such frigates as in blowing weather would be an overmatch for double-decked ships, or in light winds may evade coming to action by outsailing them;" and he added: "If we build our ships of the same size as the Europeans, they having so great a number of them, we shall always be behind them. I would build them of a larger size than theirs, and take the lead of them, which is the only safe method of commencing a navy."

Upon this general principle the frigates were built, and Humphreys had a leading hand in their design, taking personal charge of the building of one of them at Philadelphia. Although they were not equal to two-deckers, they were much stronger and better than the majority of frigates of their day; and the advice of Humphreys, adopted thus early, was the foundation of the policy of naval construction adhered to for the next sixty years, namely, to build ships which should be the best of their class afloat. The fleets with which the great maritime powers of that day fought their naval battles were composed wholly of line-of-battle ships, powerful but slow and unwieldy vessels, carrying two or three gun-decks, and mounting from 60 to 120 guns. These the United States made no attempt to rival, the cost of their construction and maintenance being far beyond its resources at the time. By building superior frigates it accomplished all that was

really needed, for they could avoid the enemy's ships-of-the-line, while they were more than a match for his smaller vessels. Herein lay one principal cause of the naval successes of 1812.

Owing to delays in procuring materials, none of the new ships were ready in September, 1795, when a treaty with Algiers was concluded, and, under the law, the work of construction came to a stop. The cost of the treaty, including the redemption of prisoners, presents to the Algerine government, and gratuities or bribes to officials, was stated by the Secretary of the Treasury as \$992,463.25.¹ The total cost of the six frigates, whose completion would have obviated the necessity of purchasing a treaty, was estimated at \$1,142,160.² In addition to the original expense of securing the treaty, an annual payment was agreed upon, to be made to Algiers by the United States, of 12,000 sequins, or \$21,600; and, in accordance with Algerine usage, further payments were exacted, including \$20,000 upon presentation of a consul, \$17,000 in biennial presents to officials, and "incidental and contingent presents," of which, according to the report of the Secretary of State in 1808, "no estimate can be made."³ The convention with Algiers was followed in the next two years by treaties with Tunis and Tripoli, obtained by similar means, though at somewhat cheaper rates, and without stipulations for annual payments. The treaty with Morocco, which had been concluded in 1787, still remained operative.

As a compromise measure, and in spite of vehement opposition, Congress passed an act, April 20, 1796, providing that the President should continue the construction of three of the frigates, and that the perishable materials which had been purchased for the others should be sold. The three that were selected — the "United States" and "Constitution" of 44 guns each, and the "Constellation" of 38 — thus composed the first fleet of the reorganized navy.

Before this time, other questions affecting our foreign relations had arisen, which gave additional reasons for the existence of a naval force. During the wars incident to and following the French Revolution, from 1793 to 1815, the United States was in the position of a feeble and timid neutral between aggressive belligerents. As early as December, 1793, the President called attention to the vexations and spoliations suffered by American commerce. From year to year these outrages continued, and protests were made to the offending governments, based upon complaints filed with evidence at the Department of State, but efforts at redress were for a long time unsuccessful. The treaty with Great Britain concluded November 19, 1794, commonly known as Jay's treaty, disposed of the principal points in dispute with that power, and provided for a commission to pass upon claims of American citizens for loss or damage sustained by reason of the illegal capture or condemnation of their vessels. The other principal offender

¹ *Annals of Congress*, 4th Cong., 2d sess., 2239.

² Letter of the Secretary of War to chairman of House Committee, Jan. 20, 1796.

³ *For. Rel.* iii. 33.

was France, from whose government the United States, by a series of abortive missions, made fruitless endeavors to obtain satisfaction. Meanwhile, the outrages grew more and more frequent. They included aggressions of privateers, indiscriminate seizures of merchantmen by French cruisers, oppressive decisions of admiralty courts, payments of contract obligations in a debased currency, unrecognised and unwarrantable extensions of the list of contraband, delays, ill-treatment of the crews of prizes, and a variety of similar acts, by which American commerce was annoyed and harassed its operations delayed, and its legitimate profits wasted. Each year a larger list of complaints was transmitted to Congress, and the necessity for action became more apparent.

The growing spirit of French aggression and the pronounced anti-French tendencies of the Adams administration led to the adoption of a definite policy of defence. In 1798, the last effort at negotiation made by the mission of Charles C. Pinckney, Marshall, and Gerry ended in scandal and failure. At the first session of the fifth Congress, held in May and June of the previous year, a few measures of defence had been adopted, among which were appropriations for fortifications, for revenue cutters, and for completing the three original frigates, together with acts authorizing their employment and the detachment of a large body of militia. At the next session, in the winter and spring of 1798, the energetic opposition of the Anti-Federalists, under able leaders, blocked the way to further preparation until the publication, early in April, of the despatches of the American envoys, which had been transmitted by the President in answer to a resolution of the House. The startling revelation, made by the so-called X Y Z correspondence, of the attempt of the French Directory to obtain, both personally and for the state, a pecuniary compensation for repairing the injuries it had deliberately permitted, destroyed the power of the opposition, and before the session was over Congress was fully committed to the policy of armed naval reprisal, if not of actual war.

Measures of defence followed in quick succession. On the 27th of April, an act was passed for the construction or purchase of twelve vessels of 22 guns, to be armed, fitted out, and manned, \$950,000 being appropriated for the purpose. On the 30th, the conduct of naval affairs was vested in a newly created Department of the Navy, of which Benjamin Stoddert was appointed Secretary. On May 4, \$80,000 were appropriated for small vessels for harbor service. On the 28th, an act "more effectually to protect the commerce and coasts" authorized the President to instruct the commanders of ships of war to seize any French armed vessel which had committed depredations, under whatever authority, upon American merchantmen, or which was found hovering on the coast for the purpose, as well as to retake captured Americans. In June, the strength of the revenue-cutter force was increased, and provision was made for the condemnation of prizes, the distribution of prize-money, and the confinement of prisoners; while a further increase was made in the naval force by authorizing the President

to accept, on the credit of the United States, twelve vessels, six of 18 guns or less, and six of 32 or more. Shortly after, a marine corps of 880 men was created, — a force which, from its first establishment, has proved most efficient for its purpose. Finally, on the 16th of July, an appropriation of \$600,000 was made for three frigates, which covered the completion of the "President," "Congress," and "Chesapeake," these being the vessels on which work had been suspended three years before in consequence of the treaty with Algiers. As a result of these measures, the country, which up to this time had been without the shadow of a navy, became possessed, in addition to eight revenue cutters, of twelve frigates of from 32 to 44 guns, twelve vessels of from 20 to 24, and six sloops of 18 or less, — in all, thirty-eight excellent vessels, with a cabinet officer at the head, a full complement of officers and men, and a well-equipped and sufficiently large force of marine infantry. At no other time in the history of the country, except during the Civil War, has the service undergone such rapid and successful development; and this development, which was largely due to Adams's resolute efforts, may fairly entitle him to be considered the father of the reorganized navy.

Already, on the 7th of July, 1798, Congress had declared the treaties with France to be no longer obligatory, and two days later had authorized the President to instruct the naval force to "subdue, seize, and take" any armed French vessel whatever, and to issue commissions or letters of marque to privateers for the same purpose, the vessels so taken being subject to condemnation and forfeiture. On the day following the passage of the act the instructions were issued, and the ships were sent to sea as fast as they could be got ready. The "Ganges," under Captain Richard Dale, a purchased vessel, had sailed immediately upon the receipt of her orders of May 22d. She was followed in June by the "Constellation," under Captain Thomas Truxtun, and the "Delaware," Captain Stephen Decatur. The latter made the first capture of the war, the French privateer "Croyable," a 14-gun schooner. The instructions of July 10th, authorizing all captures of French armed vessels, had not yet been issued, but the prize was taken under the act of May 28th, having been guilty of seizing American coasters. She was received into the service, named the "Retaliation," and assigned to Lieutenant William Bainbridge.

In July, the "United States," the first of the 44-gun frigates, got to sea, under the command of Captain John Barry, the senior officer of the navy. The "Constitution," the second of the 44's, under Captain Nicholson, came next, and was followed by the ships "George Washington" and "Merri-mack," the sloops "Baltimore," "Montezuma," "Richmond," and "Herald," the captured schooner "Retaliation," and several of the cutters. All these were cruising actively during the autumn, and in December the whole force was assembled in the West Indies, which offered an excellent station for operations. It was near the base of supplies, it was filled with French armed merchantmen which were not protected by any adequate force, and

it was one of our own principal fields of commercial activity. The fleet was arranged in four squadrons, so disposed as fairly to cover the ground. The largest force, under Barry, with the "United States" and "Constitution" and eight smaller vessels, had its rendezvous at the island of Dominica, and cruised among the Windward Islands as far south as Tobago. The second squadron, under Truxtun, with the "Constellation" as flagship, had its rendezvous at St. Kitt's, and cruised among the Leeward Islands as far to the west as Porto Rico. Four smaller vessels were also under Truxtun's command. A third squadron of three vessels, under Captain Tingey, in the "Ganges," cruised about the channel between Cuba and San Domingo; while the fourth, composed of the "Delaware" and two revenue cutters, was stationed near Havana, to protect American commerce on the coast of Cuba.

In addition to these, a small flying squadron under Captain Murray, composed of the "Montezuma," "Norfolk," and "Retaliation," made a roving cruise, which was not of long duration. Murray fell in with the French frigates "Volontaire" and "Insurgente," and the "Retaliation" being sent to reconnoitre them, her captain, Bainbridge, with characteristic overconfidence, ventured too near, and was captured. The "Insurgente" was a fast vessel, and Bainbridge's consorts, the "Montezuma" and "Norfolk," little 20-gun sloops, which had kept at a distance, were only saved by his assurance in doubling their force in his statement to the French captain.

Three months later, on the 9th of February, 1799, the "Insurgente" fell in with the "Constellation," cruising near Nevis. The French frigate fired a challenge gun, and waited for Truxtun to come up. She was an exceptionally fine ship, and in the number of her guns and of her men was a little superior, but this numerical superiority was of no importance beside the fact that the ordnance of the American ship was nearly double her own in weight.¹ The "Insurgente" was therefore by no means a match for her antagonist in force; and the course of the battle showed that her captain and crew were still less a match for their opponents in skill. By rapid manœuvring, Truxtun repeatedly gained an advantage in position, and raked his enemy effectually. The Frenchmen, pointing their guns too high, only injured the "Constellation's" upper works, while the Americans, aiming at the enemy's hull, covered his decks with killed and wounded. The battle was short and sharp, and the result was decisive. After a spirited fight of an hour the "Insurgente" struck, having seventy casualties in her crew. The "Constellation" had but three men wounded, one of whom afterwards died.² After the battle, the prize, then in charge of Lieutenant John Rodgers, with Midshipman David Porter and eleven men, was separated from the "Constellation" by a gale of wind, before the prisoners, numbering 160 or more, could be transferred. Rodgers, with his feeble

¹ The "Constellation's" main battery was composed of 24's, the "Insurgente's" of 12's.

² Besides the above, one man who flinched at his gun was killed by the third lieutenant.

crew, performed the double task of holding in check the prisoners and navigating the ship. At the end of three days he brought her safely into St. Kitt's, whither the "Constellation" had preceded him.

Several months before this event, in fact as early as the summer of 1798, the French government, influenced by the active preparations for war then going forward in America, had issued certain decrees calculated to remove in part the most serious ground of complaint. In consequence of overtures made through the French and American ministers at the Hague, the Adams administration resolved, towards the close of the year, to reopen negotiations by sending a new mission, composed of Murray, the minister at the Hague, Chief Justice Ellsworth, and Governor Davie of North Carolina. The frigate "United States" was assigned to take out the two envoys, and was thus withdrawn from the West Indies. Captain Barry's place on the Guadalupe station was taken by Truxtun, the "Constellation" being in turn replaced at San Domingo by the "Constitution," under Talbot.

The "United States" sailed with the envoys in November, 1799. The reopening of negotiations did not lead to any change in the naval policy of the administration; on the contrary, forcible measures during this year and the next were pushed more vigorously than before, to strengthen the hands of the American negotiators, as well as to protect American commerce. Two schooners, the "Enterprise" and "Experiment," were built for light service against the picaroons or quasi-pirates of the West Indies, — a service for which they were peculiarly fitted, and which they executed with remarkable success. Other ships, forming the remainder of those projected under the acts of 1798, were added to the squadron, and in spite of frequent absences, due to the short term of enlistment of the crews,¹ the operations of the squadron were actively continued.

On the 2d of February, 1800, the "Constellation" had a protracted and bloody engagement off Guadalupe with the French frigate "Vengeance." After a long chase the "Constellation" overtook her enemy at eight p. m., and the fighting continued for five hours at close quarters. It was Captain Truxtun's impression that the French ship was beaten, but the darkness of the night and the falling of his mainmast prevented him from following up whatever advantage he might already have gained. The "Vengeance" was a heavier ship, with a larger crew, and was in a position, had she been so disposed, to continue the engagement. As she made sail from the "Constellation," the latter is entitled to the credit of a victory, though not a decisive one. The casualties on the American side were fourteen killed and twenty-five wounded, eleven of the latter dying subsequently

¹ Limited by § 10 of the act of July 1, 1797, to one year. The act of April 27, 1798, § 2, provided that the President might extend the term beyond one year if the vessel should then be at sea, and until ten days after the vessel should arrive at some convenient port. This privilege

did not meet the necessities of the case; but it was not until 1809, by the act of Jan. 31, § 2, that the term was extended to two years. By the act of May 15, 1820, the term was further extended to three years, and by that of March 2, 1837, to five years.

from their wounds. The "Vengeance" put in at Curaçoa in distress, a few days later.¹

The only other encounter between ships of war was that of the "Boston," Captain Little, with the corvette "Berceau," Captain Senez, on the 12th of October, which resulted in the capture of the latter. In this battle, as in that of the "Constellation" and "Insurgente," the advantage in force was with the Americans. In addition to the strictly naval prizes, ninety French vessels, carrying altogether more than 700 guns, were captured during the war, and a very large number of American merchant vessels were recaptured. By the close of the year 1800 the purposes of the campaign had been accomplished. The treaty with France had been concluded three months before, and it only awaited ratification. This was completed in the following February, and on March 23, 1801, the "Herald" was dispatched to the West Indies, with orders of recall for the whole squadron.

In the instructions to the American envoys in France they had been directed to secure a claims commission, the abrogation of the former treaties, and the abolition of the guarantee of 1778, as it was called, contained in Article XI. of the Treaty of Alliance of that year, and covering "the present possessions of the Crown of France in America, as well as those which it may acquire by the future treaty of peace." Upon none of these points were the envoys able to carry out their instructions. In reference to claims, a distinction, which was finally embodied in the treaty, was drawn by the French government between two classes of claims: first, debts due from the French government to American citizens for supplies furnished, or prizes whose restoration had been decreed by the courts; and secondly, indemnities for prizes alleged to have been wrongfully condemned. The treaty provided that the first class, known as debts, should be paid, but excluded the second, or indemnity class. In reference to the indemnity claims, and to the questions involved in the old treaties, including, of course, the guarantee of 1778, as the envoys were not able to come to an agreement, the treaty declared that the negotiation was postponed. The Senate of the United States expunged this latter article, inserting in its place a clause providing for the duration of the present convention; and this amendment was accepted by the French government, with the proviso that both governments should renounce the pretensions which were the object of the original article. To this the Senate also agreed, and upon this basis the convention was finally ratified. It thus appears that the United States surrendered the claims of its citizens against France for wrongful seizures, in return for the surrender by France of whatever claim it might have had against the United States for the latter's failure to fulfil the obligations assumed in the earlier treaties. The United States, therefore, having received a consideration for its refusal to prosecute the claims of

¹ For the relative force of the two vessels, see Roosevelt, pp. 460, 461; Goldsborough, p. 168; Emmons, pp. 50, 51.

its citizens, thereby took the place, with respect to the claimants, of the French government, and virtually assumed the obligations of the latter.¹

The convention of 1800 contained a large number of detailed provisions with reference to commerce, blockade, contraband, passports, search, seizure, condemnation of prizes, and privateers. It was intended to be a code for the two countries, defining the rights of belligerents and neutrals. Provision was made for the restoration of public vessels captured on both sides during the quasi-war,² and for other property captured but not yet condemned.

After the settlement of the difficulties with France it was decided to reduce the navy, and on March 3, 1801, the Peace Establishment Act was passed, by which the President was authorized to sell all the vessels except the thirteen largest frigates, namely, the "President," "United States," "Constitution," each of 44 guns; "Congress," "Constellation," "Chesapeake," "Philadelphia," "New York," each of 36 guns; "Essex," of 32 guns; and "Adams," "John Adams," "Boston," and "General Greene," each of 28 guns.

The schooner "Enterprise," which had shown herself a very efficient vessel in the West Indies, was also retained, although not specified in the law. Six of the frigates were to be kept in commission, with two thirds of their full complement, and the others were to be laid up in ordinary.

The Peace Establishment Act also reduced the corps of officers. The material composing this corps, though collected hastily to meet an emergency, was somewhat better than at the outbreak of the Revolution. The highest officers, selected from among the well-known Revolutionary names, had been for twelve years or more in private life, but they retained the traditions of their Revolutionary training, and they created at the beginning in the new navy that professional spirit which the old navy had only been able to acquire after several years of war. The lieutenants came from the merchant service, and the midshipmen directly from home. Among them there were many who, according to Commodore Morris, "had few or none of the higher qualifications proper for their new situations."³ The important

¹ The claims for indemnity thus devolving upon the United States, known as the French Spoliation Claims, have been from that day to this the subject of frequent report and discussion in Congress, but with no result until the passage of the act of Jan. 20, 1885, referring them to the Court of Claims. At the present time (1888) they are undergoing judicial examination before that tribunal.

² The only public vessels captured from the French were the "Insurgente" and "Berceau." The "Insurgente," commanded by Captain Fletcher, left the Chesapeake, under orders of July 14, 1800, for an eight weeks' cruise, and was never afterwards heard of. On the other side, the only vessel captured was the "Retaliation," which had been previously captured from the

French. In reference to the restoration of the "Berceau," see *American St. Pap., For. Rel.*, ii. 428.

³ Morris (*Autobiography, Nav. Inst.*, vol. vi. 117, 119, 120) had a low opinion of the officers of this period. He says: "All our commissioned lieutenants [in the "Congress," 1799] . . . were good seamen, but with one exception had few qualifications as officers." The second detail of lieutenants, "as with their predecessors, were unwilling to give that full and ready obedience to orders which the captain required, and which was due from them." Again: "The navigators who could ascertain the longitude by lunar observations were few in number, and the process of the calculations a mystery beyond ordinary attainments."

question was, how to get rid of the bad officers and to develop the good. The hostilities with France, which kept four cruising squadrons for two years in the West Indies, gave the veterans at the head an opportunity to work the raw material into shape, while the best of the juniors were enabled to show of what they were capable. This made easy the problem of winnowing out, under the Peace Establishment Act, the chaff which had entered the service in 1798. The measure was unnecessarily severe, seeing that it excluded three hundred officers out of a total of five hundred, but its general result was highly beneficial. The officers retained, who formed the nucleus of the modern navy, comprised a large number of able men, most of them young men, who were animated by an intense *esprit de corps* and ambition for their profession, and who discovered later an extraordinary aptitude for it. All that they needed was training in active service, and a field for the exercise of their undeveloped powers. This came to them almost immediately afterwards.

The difficulties with France were no sooner at an end than new difficulties arose with the Barbary powers. About the time of the conclusion of the convention of 1800, the "George Washington," under Captain Bainbridge, proceeded to Algiers with the annual instalment of supplies, in pursuance of the treaty. During her stay at Algiers, the Dey insisted upon her undertaking a voyage to Constantinople, with presents for the Porte, whose vassal he was. Bainbridge thought it prudent to comply with the demand, in view of the unprotected condition of American commerce in the Mediterranean.¹

The "tribute," as it was commonly called, sent annually to Algiers now began to arouse the envy of the neighboring governments of Tunis and Tripoli, which, though not so powerful as Algiers, were equally rapacious. In the spring of 1801, the Bey of Tunis sent a summary request to the President of the United States for forty cannon, stating that he wished them all to be 24-pounders, and in June he demanded of the American consul ten thousand stand of arms, assuring him that peace depended upon compliance.

With Tripoli affairs were even worse. The Pasha could not understand why remittances should be sent to Algiers and nothing to him. In April, 1800, he had told Cathcart, the American consul, to say to the President that he was "pleased with his proffers of friendship," but adding, "Had his protestations been accompanied by a frigate or a brig of war, he would be still more inclined to believe them genuine." In May of the same year he said, "Why do not the United States send me a voluntary present? I am an independent prince as well as the Pasha of Tunis, and I can hurt the commerce of any nation as much as the Tunisians."² In the same month he wrote an insolent letter to the President, in which the following passage occurred: "Our sincere friend, we could wish that these your

¹ For the consular report of this incident, see *Am. St. Pap., For. Rel.*, ii. 353.

² *Am. St. Pap., For. Rel.*, ii. 350.

expressions were followed by deeds, and not by empty words. You will therefore endeavor to satisfy us by a good manner of proceeding. We on our part will correspond with you with equal friendship, as well in words as in deeds. But if only flattering words are meant, without performance, every one will act as he finds convenient. We beg a speedy answer without neglect of time, as a delay on your part cannot but be prejudicial to your interests."¹

As the United States government paid no attention to these demands, the Pasha, on the 14th of May, 1801, cut down the flag-staff of the American consulate in Tripoli, and notified the consul that he declared war. Cathcart thereupon left the city.

This was the state of affairs when the first Mediterranean squadron, composed of the frigates "President," "Philadelphia," and "Essex," and the schooner "Enterprise," all under the command of Commodore Richard Dale, left the United States early



RICHARD DALE.*

in June, 1801. Dale was a capable officer, but his operations were so restricted by the orders of the government that he could accomplish little or nothing. At the time of his departure it was not known in the United States that war had been declared by Tripoli, but the Department's instructions provided for this contingency by directing Dale to proceed to that port, and so dispose his ships as to prevent the entrance or egress of Tripolitan vessels. At the same time he was instructed that any prisoners he might take should be released and landed at convenient points on the Barbary coast. No authority was given to him to retain either prisoners or prizes.

These instructions were not changed during the course of the summer. According to the constitutional theory of Jefferson's administration, as the power to declare war was vested in Congress, no war measure could be undertaken, certainly no measure of offensive war, until Congress had adopted a declaration, not even though an enemy had issued his manifestoes and made an overt attack. "Unauthorized by the Constitution," said the

¹ *Am. St. Pap., For. Rel.*, ii. 352.

* From the *National Portrait Gallery* (1830), following a drawing by Longacre, after a painting by J. Wood. Cf. *Lossing*, 118.

President in his message of December, 1801, "to go beyond the line of defence," the Executive gave its officers instructions to use force to repel an attack, but forbade them to take the offensive, or to make captures of persons or prisoners.

Under such instructions, Dale could do little more than convoy American merchantmen. Two Tripolitan cruisers were found at Gibraltar, and a vessel was stationed off the port to blockade them. The blockade was maintained for two years by one ship or another, the enemy never daring to come out. The "Enterprise," commanded by Lieutenant Sterrett, met and captured a Tripolitan polacca of about her own size, but being forbidden to make her a prize, stripped her and sent her back to Tripoli. In December, the squadron returned home, the time of service of the crews, limited by law to one year, having nearly expired.

At the session of Congress in the following winter, an act was passed, February 6, 1802, which amounted to a declaration of war against Tripoli, and a new squadron, larger than the last, was fitted out under Commodore Richard V. Morris. The vessels composing the new squadron were the frigate "Chesapeake," flagship, the "Constellation," "New York," "Adams," and "John Adams," and the schooner "Enterprise." Ample powers and an efficient force were thus given to the new commander-in-chief, but, being an inert and unready man, he allowed the fifteen months of his command to be trifled away without any results of importance. Towards the end of his term, in June, 1803, one of his captains, John Rodgers, was left for a time in charge of the blockade of Tripoli; and on the 21st of that month, observing preparations in port, he disposed the blockading vessels in such a manner as to cut off any vessels attempting to pass out. Early the next morning the squadron succeeded in destroying the "Meshouda," a large Tripolitan cruiser, in the act of attempting to force the passage. This was the only event of importance during Morris's command. Shortly afterward he was recalled, and upon his return to the United States the President at once called a court of inquiry, and dismissed him from the service. Rodgers was left in command of the squadron.

Early in 1803,¹ Congress authorized the construction of four new vessels, the brigs "Argus" and "Siren," of sixteen guns, and the schooners "Nautilus" and "Vixen," of twelve guns, all well-modelled and well-built vessels, designed for coast and blockade operations. These ships, with the "Constitution" and "Philadelphia" and the schooner "Enterprise," which had remained out, were to constitute a new squadron, under the command of Commodore Edward Preble. Preble was at this time forty-two years old, and had seen active service in the cruisers of Massachusetts during the Revolutionary War. He was a man of remarkable professional ability and high character, austere in his manners, a severe disciplinarian, and liable to violent outbursts of temper; but he was an active and capable organizer, prudent but resolute in his operations, full of resource and ingenuity, and

¹ Act approved Feb. 28, 1803, appropriating \$96,000 dollars for the purpose.

he never shrank from a responsibility when he thought that the circumstances justified him in taking it.

Tripoli, the objective point of Preble's operations, was a town of twenty or thirty thousand inhabitants, protected, especially on the water-front, by massive masonry walls and by several outlying forts of considerable strength. It was garrisoned by a large force of troops, Arabs, Berbers, and Moors, accustomed to war, upon whom the small force, imperfectly armed, which Preble could have landed from his squadron would have made no impression. The position of the harbor and the character of the adjacent coast presented peculiar difficulties of attack. On both sides of the city the coast stretches away in long reaches of sand, in which Tripoli is the only harbor. The basin is formed by a line of rocks and reefs, making a break-water to the north. The narrow northern entrance, made by an opening between the rocks, has only nine feet of water. The main entrance is deeper, but the channel is difficult, and the harbor abounds in shoals and sunken rocks. Around it, at this time, lay a semicircle of batteries, armed with a hundred or more heavy guns, and within it was the Tripolitan navy, composed of a brig and two schooners and a flotilla of twenty-one gun-boats. The anchorage outside, in consequence of the incessant northerly gales, was dangerous and difficult.

Preble's squadron, as already stated, consisted of two frigates, two brigs, and three schooners. The 24-pounders carried by these vessels were too light to tell much on solid walls of masonry, and the smaller guns were useless. This force, already too small for the service required of it, was further diminished by the loss of the "Philadelphia," in October, 1803.

When Preble first arrived out he was detained for some time on the coast of Morocco by difficulties with that state. It appears that in the previous summer the governor of Tangier had given orders to certain Moorish cruisers to seize American merchantmen, in violation of the treaty of 1787. In pursuance of these orders, the "Mirboka," a Moorish ship, had captured the brig "Celia" of Boston, but had herself been discovered and seized, with her prize, by Captain Bainbridge in the "Philadelphia." These occurrences compelled Commodore Preble to devote his attention for several weeks to Morocco. He immediately issued orders to the vessels of his squadron to capture all Moorish cruisers, and, proceeding to Tangier, entered upon negotiations with the Emperor. These resulted in the renewal and ratification of the treaty, the disavowal of the acts of the governor of Tangier, and the issue of an order to Moorish cruisers to abstain from further depredations; Preble, on his part, restoring the "Mirboka," and revoking the instructions to his squadron.

On the 15th of October Preble arrived at Gibraltar, and made a formal declaration of the blockade of Tripoli, at that time actually maintained by the "Philadelphia" and "Vixen." A few days later, while he was still at Gibraltar, the "Philadelphia," then blockading Tripoli, having imprudently run close to the shore in pursuit of a blockade-runner, struck on a rock,

and, after protracted efforts to get off, surrendered to the enemy's gun-boats. This event not only deprived the squadron of one of its best vessels which had fallen into the enemy's hands in good condition, but the capture of the three hundred officers and men on board of her would lead to complicated questions about ransom, and increase enormously the difficulty of securing a peace honorable to the United States.

Notwithstanding this severe blow at the outset of his cruise, Preble at once set about active measures. He fixed his rendezvous at Syracuse, made a reconnoissance of Tripoli, set on foot measures to carry on a secret correspondence with Bainbridge, sent an agent to Malta to forward supplies to the prisoners, and finally went there himself to superintend the arrangements.

On leaving Malta, towards the end of January, Preble returned to Syracuse. He had now matured a plan for the destruction of the "Philadelphia."



STEPHEN DECATUR.*

A month before, a small Tripolitan ketch or square-rigged gunboat had been captured on a voyage to Constantinople, and had been taken into the service under the name of the "Intrepid." Preble resolved to use her for the expedition, which he placed under the command of Stephen Decatur, a young officer, who, although he had gone to sea for the first time only five years before, had already given proof of remarkable professional aptitude. On the 3d of February Decatur received his orders. He was to take the "Intrepid," with seventy-five officers and men of his own

selection, to Tripoli, and the "Siren" was to go with him to support and cover his retreat. His preparations were made in a few hours, and on the evening of the day on which the orders were issued the two vessels sailed.

A storm kept them off for ten days, but on the afternoon of the 16th they were in sight of Tripoli, and, the wind being light and favorable, Decatur made up his mind to attack that night. The boats of the "Siren" were to join him; but the brig, by arrangement, kept out of sight to lull suspicion,

* [From the *Nat. Portrait Gallery*, engraved by A. B. Durand after a copy by Herring of a picture by T. Sully, which belongs to the Penna. Hist. Soc., and was engraved for Mackenzie's *Decatur*. There is a picture by Stuart, which is at the Navy Yard, Brooklyn. Edwin's engraving of it is in the *Analectic Mag.*, vol. i. Jarvis's picture, engraved by J. W. Cook, is in the London ed. of Cooper's *Naval Hist.*

There is a portrait of Decatur in Independence Hall. A picture by Chappel is in Dawson's *Battles*, ii. 41. Cf. Lamb's *New York*, and Lossing, 988. There is a profile likeness on the medal given to him by Congress (Loubat, no. 28, and Lossing, p. 458) to commemorate the capture of the "Macedonian."—Ed.]

and her boats did not come up in time ; or rather, Decatur, fearing delay, was unwilling to wait for them, and decided to make the attack alone. The "Philadelphia" was lying in the inner harbor, within easy range of all the batteries. She mounted forty guns, which were kept loaded, and she had a full crew on board. Between her and the shore lay the flotilla of gunboats.

The "Intrepid" entered the harbor at nine o'clock, passed slowly in, her men hidden under the bulwarks, and approached the "Philadelphia." On being hailed, her Maltese pilot answered that she had lost her anchors, and requested permission to ride by the "Philadelphia" for the night. This was granted, and the ketch was hauled close alongside. As soon as the vessels touched, the crew of the "Intrepid," headed by Decatur, leaped on board the "Philadelphia," and after a short struggle drove the enemy out. Fires were then started in different parts of the frigate, and Decatur and his men returned to the ketch and pushed off. As soon as the alarm was given, the Tripolitan gunboats got under way and the batteries opened on the "Intrepid," but she passed out of the harbor without receiving any injury. Soon after the party reached the "Siren," the "Philadelphia" blew up.

During the rest of the winter and spring Preble was engaged in preparations for the summer campaign. The blockade was closely kept up, notwithstanding the bad weather. The flagship was at Syracuse, Tunis, Tripoli, Malta, Messina, and Naples, as occasion called her, but never long in one place. The longest stay was at Naples, in May, where the commodore was occupied for ten days in negotiating for gunboats. At the end of this time he sailed for Messina, with an order from the king of the Two Sicilies for six gunboats and two mortar-boats, which last were indispensable for shell bombardment. The gunboats were clumsy vessels, but they were necessary for operating against the enemy's flotilla.

On the 25th of July the entire squadron took its station before Tripoli, and from this time until the 10th of September attacks followed each other in rapid succession, whenever the weather would permit. The first engagement was on the 3d of August ; on this day the Tripolitan gunboats, twenty-one in number, had ventured outside of the rocks. The American flotilla of six boats, in two divisions, commanded respectively by Lieutenant Richard Somers and Lieutenant Stephen Decatur, advanced to attack them, under cover of the fire of the fleet. The action was exceedingly warm, and resulted in the capture, by boarding, of three of the Tripolitan boats, and the dispersion of the remainder. Three boats were sunk. During the encounter of the gunboats, a separate action had been going on between the batteries and the fleet. Late in the afternoon, the wind coming out from the north, Preble withdrew. The casualties on the American side were one killed (Lieutenant James Decatur) and thirteen wounded.

The second engagement took place on the 7th of August. The gunboats, now increased to nine, and the mortar-boats, made an attack on the 7-gun battery to the westward of the city. The battery was silenced and

its walls badly breached. As the wind was on shore, the larger vessels could not join in the bombardment. During the engagement, one of the prize gunboats was blown up by a hot shot which passed through her magazine. The explosion killed Lieutenant Caldwell, the commander, Midshipman Dorsey, and eight petty officers and men.

During the engagement of the 7th of August, the "John Adams," Captain Chauncey, arrived off Tripoli from the United States. She was the first of the new and more powerful squadron which the government had determined to send out. As, however, there were only two captains junior to Preble in the United States at this time, and as the law required an officer of this grade for the command of a frigate, the Navy Department had thought it necessary to supersede him, and the new squadron had been placed under the command of Commodore Barron. This action was a bitter disappointment to Preble, but as some time must elapse before the new squadron would arrive, he continued his operations.

Towards the close of August, two smart and successful night attacks were made by the squadron, in which serious injury was done to the town. These were followed up, on the 3d of September, by a general engagement in the eastern part of the harbor, where a new battery had been thrown up by the American prisoners, working under compulsion. While the smaller vessels were occupied in this quarter, the mortar-boats were shelling the town, though exposed to the fire of all the harbor batteries. Seeing their unprotected situation, Preble ran down in the "Constitution," and brought to within the mortar-boats and close to the rocks, to divert the enemy's attention. In this position he kept up a heavy cannonade, directed at each of the batteries in turn, and silenced them one by one, finally drawing off when the freshening wind made it imprudent to remain longer.

In the last three attacks, the ships, although freely exposed to the fire of batteries largely superior to their own, suffered only in their sails and rigging, and that not to any serious extent. The Tripolitans could not stand to their guns under the "Constitution's" fire. The effectiveness of the attacks was best shown by the progress of negotiations. Before Preble's first action the Pasha's terms included a ransom of half a million dollars for the prisoners. He now made a proposal, through the French consul, to treat for peace, reducing the amount to \$150,000. This offer Preble rejected.

On the 4th of September Preble made his final demonstration against the city. It was of an experimental character, and resulted in failure. The "Intrepid" had been converted into a floating magazine by stowing one hundred barrels of powder on board, and distributing fixed shells about the vessel. The powder was to be fired by a fuse. Lieutenant Somers was in charge of the operation, having with him Lieutenants Wadsworth and Israel, of the "Constitution," and ten men. The plan was for the "Intrepid" to enter the harbor in the night and take a position close to the mole. Her officers and crew, after lighting the fuse, were to make their

escape by boats. Notwithstanding the darkness of the night, the "Intrepid" was sighted from the batteries soon after she entered the harbor; fire was opened upon her, and before the time fixed, indeed before she could have reached her destination, the explosion took place. All on board were killed, and it is not known whether the explosion was their voluntary act, or whether it was caused by the enemy's fire. No serious damage was done to the town.

As the bad season was now approaching, further operations were impossible, and the squadron was sent into port, with the exception of the "Constitution" and two of the smaller vessels, which continued the blockade. On the 10th of September Commodore Barron arrived in the flagship "President," and Preble gave up his command.

During the next winter the fleet was necessarily inactive, but in the spring of 1805, Commodore John Rodgers, whom Commodore Barron's illness now placed in command, arrived before Tripoli, with six frigates, two brigs, three schooners, a sloop, two mortar-boats, and ten gunboats. In the presence of such a force, the Pasha, who had already lowered his terms under the stress of Preble's attacks, was easily induced to conclude a treaty. An adventurous expedition undertaken by General William Eaton about the same time, in conjunction with Hamet Pasha, a claimant of the Tripolitan throne, which resulted in the capitulation of Derne, doubtless had a strong additional influence with the Tripolitan government, though it is hard to see how it could have acted otherwise in face of the overwhelming naval force. The negotiations lasted a week, and were conducted on board the flagship; \$60,000 were paid for the prisoners, and on the 4th of June the treaty was concluded which has since that day governed the relations of the United States and Tripoli.¹

During the interval between the Tripolitan war and the war of 1812, one noticeable campaign was made against the Indians. The operation took place in 1811, under General William H. Harrison, governor of Indiana Territory, and was directed against the Shawnees and other tribes which adhered to Tecumseh. This chief, with his brother, known as "the Prophet," had been engaged since 1806 in planning a species of crusade against the whites, and had acquired great influence among the northwestern Indians. For the previous two years Harrison's suspicions had been aroused by reports of Tecumseh's intrigues, and attempts had been made from time to time to negotiate with him, but without satisfactory results. In the summer of 1811 it was decided to strike a decisive blow at the Indians, and in the autumn Harrison, with a regiment of regulars under Colonel Boyd, and a force of militia, marched upon Tecumseh's town, situated on the Tippecanoe River. On the 7th of November the Indians, in Tecumseh's absence, attempted to surprise Harrison's camp, but in the

¹ The treaty is given in the volume published by the Department of State, p. 840. Eaton's services are set forth in the petition of his heirs to Congress (*Am. St. Pap., Mil. Aff.*, vi. 1).

battle which followed they were driven off, and presently abandoned their town, which Harrison burned. The invading force then retired. The importance of the expedition was largely due to the military reputation which Harrison acquired by it.¹

Apart from the expedition against Tecumseh, no military or naval operations took place during this period, although the condition of foreign relations was far from satisfactory. The foreign policy of Washington and Adams had been one of compromise and adjustment, where compromise did not involve too great a surrender, and where compromise was impossible, of careful and judicious preparation for defence. Menace and hostility were carried only so far as was warranted by the state of preparation and the necessities of the controversy. This policy met with definite and indisputable success in 1798-1800. The policy of Jefferson, on the other hand, especially in dealing with England, was to yield nothing by way of compromise, to insist on every point in dispute, and to induce compliance by commercial restrictions; at the same time avoiding all preparations for hostility, as inherently objectionable on financial grounds, and for reasons connected with internal politics. The outcome of this course was the embargo, which as a coercive measure of foreign policy was a failure, and as a domestic measure was productive of serious loss. Notwithstanding this failure, the administration of Madison followed along the same general lines of futile negotiation, restrictions upon American commerce, and the absence of military preparation. But Madison lacked the strong hold of his predecessor over his party, and a growing spirit of impatience began to make itself felt. The interminable protests, the aggressions and injuries repeated year after year, the incessant worry and clamor and bad feeling, the disturbance of business, with no prospect of an harmonious settlement, were calculated to try men's nerves to the utmost. At this juncture, in 1811, a small knot of resolute men within the party determined upon active measures, and compelled the administration, however unwillingly, to follow them. As the military policy of the government had for ten years been one of diminution and enfeeblement, and as the administration, yielding to the war movement under protest, was not disposed to take any measures itself, it was all-important that Congress should make the necessary preparations; but unfortunately the leaders, in concentrating their energies upon pushing the President, left everything else undone, and in the act of June 18, 1812, declaring war against Great Britain, while securing a political victory, they prepared the way for a series of military defeats.

By the act of April 12, 1808, the army had been increased by the addition of five regiments of infantry, and one regiment each of riflemen, light artillery, and light dragoons, or about 6,000 men in all.² Previous to the

¹ Harrison's report, containing a full account of the battle, will be found in *Am. St. Pap., Ind. Aff.*, i. 776.

² *Stat. at L.* 1797, ii. 481.

passage of the act, the number in service, according to a return of the War Department of December 2, 1807, was 3,358 officers and men.¹ The effect of the new legislation was shown in the Department's return of January 30, 1810, which placed the total at 6,954.² This number was maintained with little change for the next two years, the Secretary's report of June 6, 1812, showing a total at that date of 6,744.³ The first of the war measures passed at the session of 1811-12, was the act of January 11, 1812, which provided for the general staff, including two major-generals, five brigadier-generals, an adjutant-general, and an inspector-general, and for an additional force of 25,000 men.⁴ Of this additional force, the Secretary of War on June 8 estimated from imperfect returns that 5,000 men had probably been enlisted.⁵ This conjectural force of 5,000 raw levies represented all the preparation which had actually been made, at the time of the declaration of war, to meet the emergency. Other acts authorized the President to accept the services of volunteers to the number of 50,000 men,⁶ and to require of the states 100,000 militia, according to their quotas.⁷ The total number of the militia at this time, according to the latest return (Feb. 19, 1811), was 694,735.⁸ The remaining acts passed at this session to improve the efficiency of the army had reference chiefly to organization. Among them were that of March 12, establishing the quartermaster's and commissary departments; of April 23, organizing a corps of artificers; of April 29, enlarging the corps of engineers; of May 14, establishing the ordnance department; of May 16, for the appointment of paymasters; and of June 26, providing for a new regimental organization. Other acts were passed relating to privateers, prisoners, and prizes.

The army entered upon the war with few officers of professional training or traditions. The general officers were appointed largely for political reasons, and with one or two exceptions had been out of military life since the Revolution, or had seen no service at all. They were not competent even to discipline their men, and much less to conduct strategic operations against the enemy. The senior major-general, Henry Dearborn, had served in the Revolution, and had been for eight years at the head of the War Department, but he was at this time over sixty years old, in bad health, and in every way unfitted for the chief command. The rank and file, of whom nearly all were new levies, were unable to learn the rudiments of their calling, and had no confidence either in themselves or in their officers. That Americans, when properly led, could make as good fighting material as any other people had been shown earlier in the Revolution, and was still more forcibly shown later in the war with Mexico and in the Civil War; but in 1812-15 they were without leaders. With the exception of Brown, Jackson, Scott, Gaines, Harrison, Macomb, and

¹ *Am. St. Pap., Mil. Aff.* i. 222.

² *Ibid.* i. 249.

³ *Ibid.* i. 319.

⁴ *Stat. at Large*, ii. 671.

⁵ *Am. Stat. Pap., Mil. Aff.* i. 320.

⁶ February 6, 1812. *Stat. at Large*, ii. 676.

⁷ April 10, 1812. *Stat. at Large*, ii. 705.

⁸ *Am. St. Pap., Mil. Aff.* i. 297.

Ripley, most of whom were at first in subordinate positions, there were few general officers worthy of the name, and it required only the simplest strategic movement to demonstrate their incompetency.

With the navy the case was different. Although it had never been regarded by the government with favor, it happened that the three most essential measures had been adopted to secure its efficiency, — the ships built for it were the best of their class in the world, the officers had been



ISAAC HULL.*

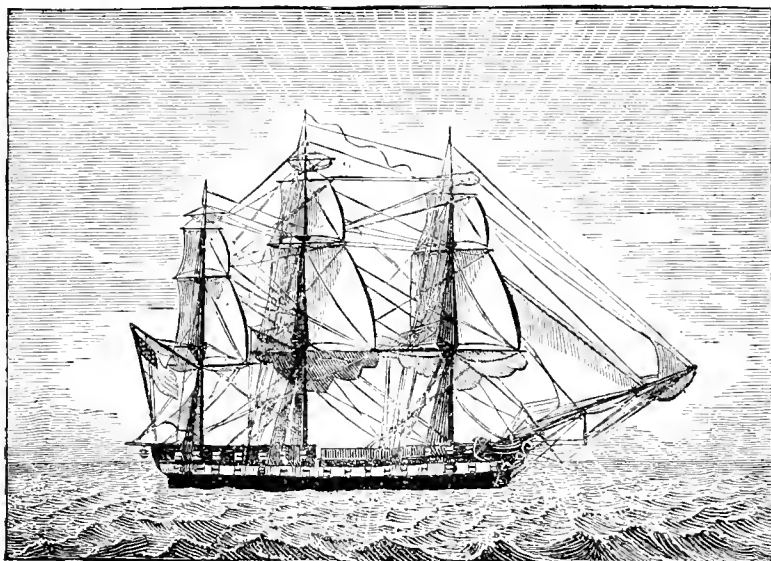
carefully selected (200 out of a total of 500 having been retained under the Peace Establishment Act), and they had received — at least a large number of them — in Preble's squadron at Tripoli a training such as has fallen to the lot of few navies, either before or since. To these three causes the successes of 1812 were directly due; and although Commodore Preble died in 1807, the credit of the later war belongs more to him than to any other one man. It was not only that he formed many of the individual officers who won the victories of 1812–15, — for Hull, Decatur, Bainbridge, Macdonough, Porter, Lawrence, Biddle, Chauncey, Warrington, Charles

* [After an engraving in the *Analytic Mag.*, vol. i. (March, 1813), following Stuart's picture, as engraved by David Edwin. It is in the Museum of Fine Arts in Boston; but owned by the family. The engraving in the *Memorial Hist. Boston* (iii. 330) was made from the original.

A profile is on the medal given to him by Congress, on account of his escape from the British fleet in July, and his capture, in the "Constitution," of the "Guerrière," in Aug., 1812. The medal is figured in Lossing, p. 446, Frost's *Commodores*, and in Loubat, no. 26. — Ed.]

Morris, and Stewart were all in his squadron, — but he created in the navy the professional spirit or idea, which was the main quality that distinguished it from the army in the war with Great Britain.

At the outbreak of the war there were 18 vessels in the navy, ranging from 44-gun frigates to 12-gun brigs. There were also 176 gunboats, on which a large sum of money had been expended, but which were of no use whatever. The annual abstracts of the British navy show that it possessed at this time 230 ships-of-the-line, of from 60 to 120 guns each, and 600 frigates and smaller vessels. From the English standpoint, no vessel of the American fleet was large enough to take her place in the line of battle, or was regarded as being really a combatant.



THE CONSTITUTION.*

Immediately after the declaration of war, the frigates in commission in the home ports, together with two of the sloops, put to sea as a squadron under Commodore John Rodgers. They fell in with the English frigate "Belvidera," but she got away from them; and after an ineffectual cruise across the Atlantic, they returned home, without meeting anything of consequence.

Three weeks later, the "Constitution," under Captain Hull, sailed from Annapolis. Soon after leaving the Chesapeake she came upon a British squadron of one sixty-four and four frigates, and then ensued the famous three days' chase, in the course of which, by a marvel of good seamanship and good discipline, the American frigate escaped. After a short respite

* [From the *Amer. Mag.*, 1834, vol. i. 84, where it is said that the cut was made "on a piece of wood taken from one of her live-oak knees in 1833." There is in *Ibid.*, i. 86, a view of the ship at her moorings at the Charlestown Navy Yard. Cf. *Mem. Hist. Boston*, iii. 332, 334. A posthumous publication of Cooper on "Old Ironsides" appeared in *Putnam's Mag.*, new series, May and June, 1853. — Ed.]

in Boston, Hull set out again, and on the 19th of August he fought and captured the "Guerrière," Captain Dacres, in an engagement lasting about an hour. The "Constitution" being armed with 24-pounders instead of 18's, threw at a broadside a weight of shot half as large again as that of the "Guerrière," and her crew was numerically superior in a still greater



COMMODORE BAINBRIDGE.*

degree. Nevertheless, the immensely greater disproportion in the casualties which the "Constitution" inflicted and received, and the short time which she took to do the work, cannot be explained by the difference in force alone; for the "Guerrière" had five times as many killed and wounded as her opponent, and at the close of the engagement she was a dismantled wreck, while the "Constitution" had suffered no injury of importance. The essential point of difference lay in the practical training and skill of the crews in gunnery. The English often appeared to fire without pointing their guns; the Americans always fired to hit. This was seen in all the subsequent victories.

In the next action, in October, the sloop "Wasp," Captain Jacob Jones, captured the English brig "Frolic," of approximately the same force. The relative loss of English and Americans was again five to one. Both vessels were soon after taken by a seventy-four. Later in the same month, another frigate action took place, the "United States," under Decatur, capturing the "Macedonian." The advantage of the Americans in men was about the same as in the first action, while in guns it was greater. The American casualties were 13, the English 104. This difference was not due to the fact that the American guns were 24's and 42's instead of 18's and 32's, or that the Americans had three more of them in a broadside; it was really due to the way in which the guns on both sides were handled.

* [From the *Nat. Portrait Gallery* (1830). Engraved by G. Parker, after a painting by J. W. Jarvis. Stuart's picture is at the Brooklyn Navy Yard, and has been engraved by Edwin, and by Sartain, in John Frost's *Commodores*, and in Harris's *Bainbridge*. Cf. the engraving in the *Analectic Mag.*, vol. ii., and the full-length by Chapell in Dawson, ii. 183. The medal given to him by Congress to commemorate this capture (June 20, 1812) of the "Java" by the "Constitution" gives his likeness in profile. It is figured by Lossing, p. 463, and by Loubat, no. 20. — ED.]

Shortly after this capture, a cruise in the Pacific was projected for a squadron to be composed of the "Constitution," "Essex," and "Hornet." The "Essex" failed to meet the other vessels at the rendezvous off the coast of Brazil, and went on the Pacific cruise alone. The "Constitution," now commanded by Bainbridge, met the frigate "Java," near Brazil, on the 29th of December. The antagonists were more nearly matched than in the previous frigate actions, but the fight, lasting a little over an hour, resulted in the total defeat and surrender of the "Java," with a loss of 124



DAVID PORTER.*

to the Americans' 34. The "Java" was a wreck, and could not be taken into port, and Bainbridge returned home. Two months later, February 24, 1813, the "Hornet," commanded by Lawrence, met the "Peacock" off the Demerara, and reduced her in fifteen minutes to a sinking condition, while the "Hornet's" hull was hardly scratched. The English sloop sank so quickly that she carried down part of her own crew and three of the "Hornet's" who were trying to save them. The casualties, apart from those drowned, were five in the "Hornet" and thirty-eight in the "Pea-

* [From an engraving in the *Analytic Mag.* (Sept., 1814), vol. iv., made by Edwin after a picture by Wood. There is a portrait in Independence Hall. Cf. Lossing, 721, who copies the picture by J. Wood, engraved by Prud'homme in Porter's *Journal of a Cruise*, where there are engravings after drawings made by Porter, one showing his fleet at Madison Island, and the other his final fight in the "Essex," both of which are copied by Lossing. — ED.]

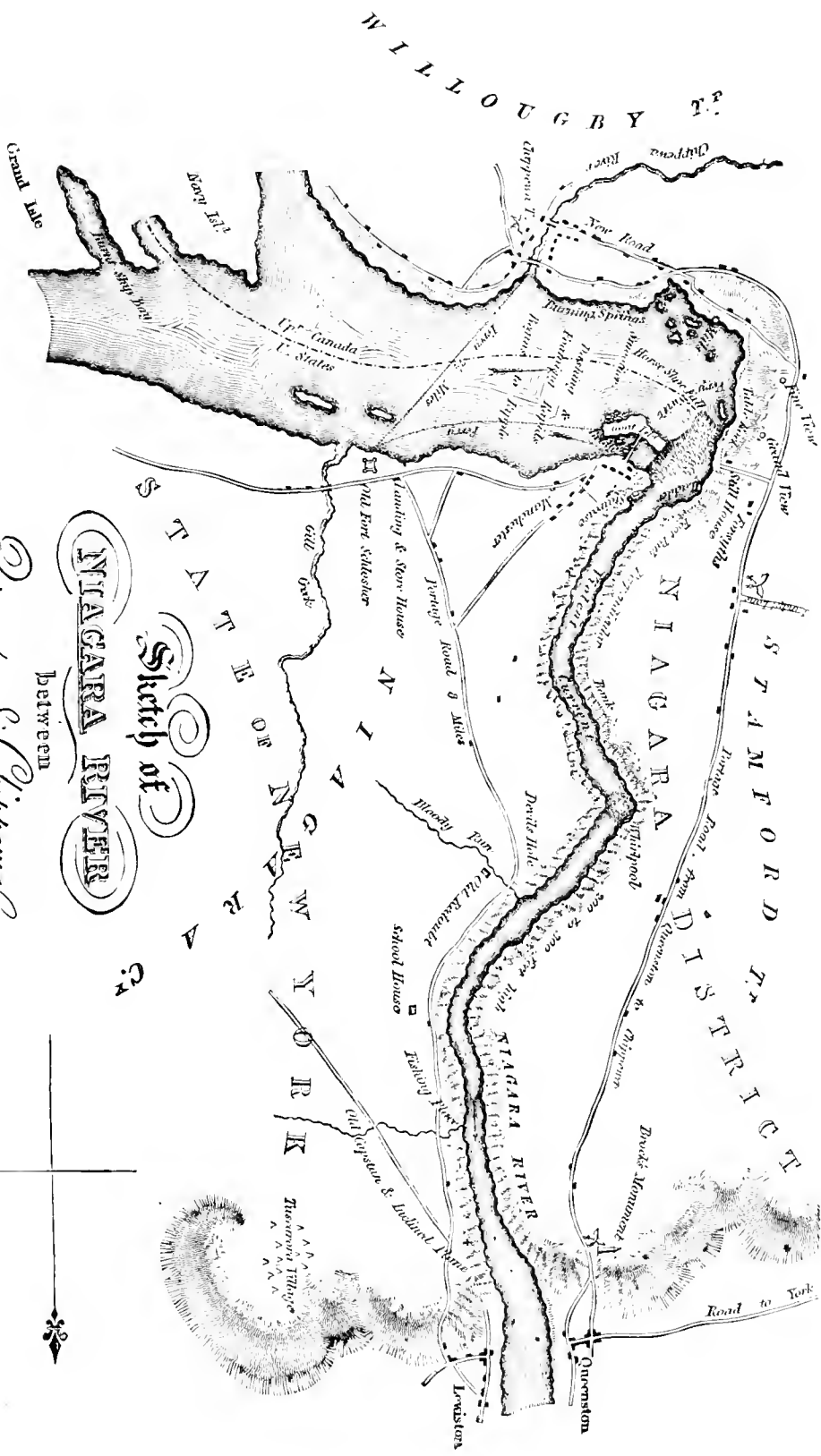
cock." This action shows even more than the others that the difference between the contestants was not so much in numerical force as in skill in handling weapons.

The moral effect in England of these defeats was very great. The long succession of victories over the French, Spaniards, Dutch, and Danes had led the English to regard their navy as invincible, and to place in it unlimited confidence. The five actions caused a shock, which was all the more severe from the feeling of contempt with which naval men in England had taught their countrymen to regard the American ships of war. The prevailing notions about United States frigates evidently required readjustment, and the admiralty and the navy were bitterly attacked for having underrated their enemies.

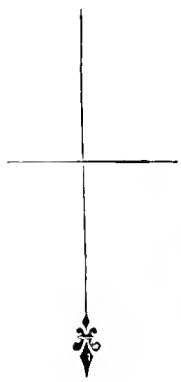
With the exception of two isolated attempts at invasion, the first on the Chesapeake and the second at New Orleans, the war on land was almost wholly on the northern frontier. It had been the vague intention of the leaders of the war party in Congress to make the conquest of Canada the main feature of the land campaigns. Little had been done, however, by the War Department to prepare for the movement. Indeed, the War Department did not have at its command either the men or the machinery to draw up a strategic plan or to put it into successful operation. Eustis, the Secretary, had formerly been a surgeon in the army, and had but slight knowledge of military affairs. Few of the general officers had seen any military service since the Revolution. The troops were mostly raw recruits. Among the regimental officers were some men of decided military talent, but until the latter part of the war their efforts were neutralized by incompetent commanders. The invasion of Canada presented a complex problem which should have been seriously and deliberately worked out. Apart from the intrinsic difficulty of invasion, the facility of communication between different points in the enemy's country, the remoteness and inaccessibility of the northern frontier, the unfriendliness of the Indians, and the superiority of the Canadians on the lakes, created obstacles which could only be overcome by an efficient organization in the government, and a capable strategist in the field. In the absence of both, the first campaign of the summer of 1812 was a disastrous failure.

The events of the campaign may be briefly told. Governor William Hull of Michigan Territory, one of the recently appointed brigadier-generals, was ordered to advance into Canada. His point of departure was Detroit, then a small frontier settlement, 200 miles by land from the

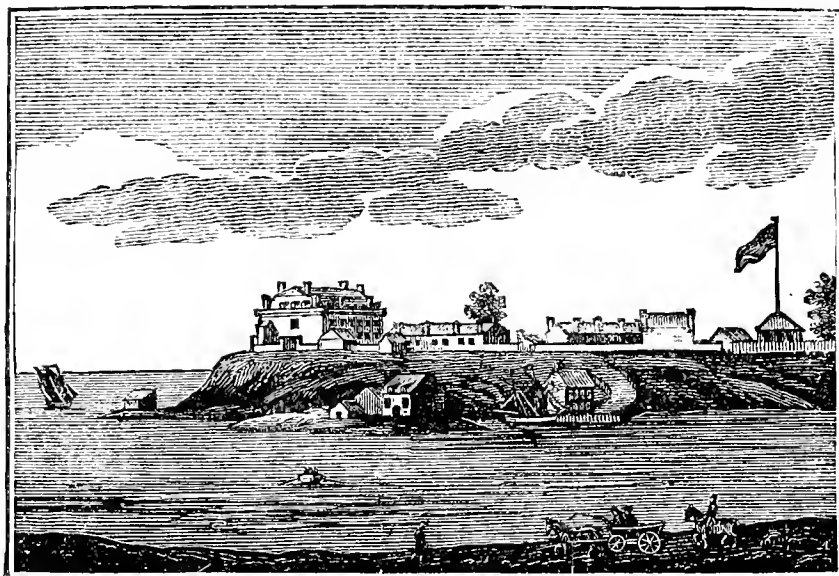
[NOTE. — The map on the opposite page is reduced from a plate in Bouchette's *British Dominions in No. Amer.* (London, 1832). Cf. the map in Lieut. Francis Hall's *Travels in Canada and the United States in 1810-17* (London, 1810, 2d ed.); and the "Straits of Niagara, from a map by Mr. Darby," in *An Excursion through the United States and Canada, 1822-23*, by an English gentleman [William Newnham Blane] (London, 1824). There is also a map in John Melish's *Travels* (Philad., 1814), vol. ii. Cf. those in Wilkinson's *Memoirs*, *Atlas*, no. 15; Lossing, 382; Gay, *Pop. Hist.*, iv, 101; Cullam's *Campaigns of the War of 1812-13*; James's *Mem. Occurrences* (London, 1818); and Gen. Van Rensselaer's *Affair of Queenstown* (N. Y., 1830). — Ed.]



Sketch of
NIAGARA RIVER
between
Concord & Chippewa



advanced posts in Ohio, and he had with him about 2,000 men, of whom 350 were regular troops. Not having received word of the declaration of war, Hull sent his supplies by Lake Erie, but the British, getting earlier information, captured them on the way. On the 12th of July Hull crossed into Canada. The British post at Malden, garrisoned by a force less than half his own, might have been captured by a bold stroke; but Hull, after issuing an ineffectual proclamation and fortifying his camp, delayed action until Malden had been reinforced, and after losing a part of his troops in an attempt to open communications which had been closed by the Indians, finally returned to Detroit. On the 16th of August, General Brock, the



FORT NIAGARA.*

governor of Upper Canada, a professional soldier of experience and courage, appeared before Detroit with a force composed chiefly of Indians and militia. Without waiting for the enemy's attack, Hull surrendered his command, and with it the Territory of Michigan.

At the other advanced posts of the frontier, the Niagara River and Lake Champlain, the army, though it accomplished nothing, did not meet with such conspicuous disaster. At the Niagara, General Stephen van Rensselaer was in command of about six thousand men, half of whom were militia. On the 13th of October an attack was made upon the enemy at Queens-town. Imperfect organization, hap-hazard preparations, and the absence of discipline in the militia, made the operation a fiasco. Colonel Solomon van

* [Facsimile of a woodcut in P. Stansbury's *Pedestrian Tour in North America, 1821* (New York, 1822). A view of Fort Niagara from the British side of the river, 1814, is given in the *Doc. Hist. N. Y.*, ii. 1195. Lossing (p. 274) also gives a view from Fort George; and copies a picture (p. 507) which was made in 1813, and originally appeared in *The Portfolio*, July, 1817, and in which both Fort Niagara and Fort George are seen from a point on the lake opposite the entrance to the river. Cf. *Harper's Mag.*, xxvi. 730; xxvii. 596. — Ed.]

Rensselaer, who commanded the attack, was severely wounded early in the day, and on the British side Sir Isaac Brock was killed. Colonel Scott, who had volunteered for the occasion, assumed command of the detachment, but the failure to send him reinforcements from the New York side, and the arrival of General Sheaffe with a force from Fort George, finally compelled him to surrender. The British loss was trifling. General Van Rensselaer resigned his command, and was succeeded by General Alexander Smyth, a most incompetent officer, who also presently retired.¹

The force on the New York frontier, under the immediate command of General Dearborn, confined its operations to desultory forays, one of which captured a small garrison at the village of St. Regis, and the other a block-house at La Colle. Neither event was of any strategic importance, and the army soon after withdrew to winter-quarters.

After Hull's surrender, nothing was done in the West beyond raising a new army, chiefly composed of volunteers from Kentucky, which, after some changes, was finally placed under the command of General Harrison. Raids were made upon various Indian settlements, and the country south of Lake Erie, which now represented the advanced line of defence, was effectively garrisoned. These events concluded the land campaign of 1812.



H. Dearborn

In March, 1813, Admiral Sir John Warren assumed the command of the British squadron on the American coast. Although rather past his prime, his defects were more than compensated by the activity of his second in command, Rear-Admiral Cockburn, who during this summer and the next kept the coasts of Chesapeake Bay in a continuous state of alarm by suc-

¹ Part of Smyth's correspondence while in command, transmitted by him to the House of Representatives, will be found in *Am. St. Pap.*, *Mil. Aff.*, i. 490-510.

* [Cf. Lossing, p. 249. Dearborn was born in 1751, and died in 1820. There is a woodcut of a portrait by Stuart, painted in 1812, in the *Mem. Hist. Boston*, iii. 574 (Mason's *Stuart*, p. 170). The original, now belonging to Miss Mary Dearborn, is deposited in the rooms of the Bostonian Society. I find a statement that a Stuart likeness belongs to Herbert Welsh of Philadelphia. On the Dearborn portraits, see Goodwin's *Provincial Pictures* (Chicago, 1886, pp. 72, 74). There is a portrait in Independence Hall. For views of Dearborn's house, see Drake's *Roxbury*, p. 327; Lossing's *Field-Book of the War of 1812*, p. 250. — ED.]

cessful raids, in which much valuable property was destroyed. Among the more important of the actions of 1813 were the capture and destruction (in part) of Havre de Grace, Md., early in May, and an attack on the village of Hampton, Va., on the 25th of June. "Acts of rapine and violence"¹ on the part of the invading forces characterized the latter attack, which excited intense indignation throughout the country. An attempt to capture Craney Island, made a few days earlier by a boat expedition from the British fleet, was repulsed, with severe loss to the enemy.



JAMES LAWRENCE.*

In the summer of 1813 occurred the first serious reverse of the navy during the war. On the 1st of June the frigate "Chesapeake," Captain James Lawrence, sailed from Boston to engage the "Shannon," which was lying outside, waiting for the battle. The two ships were nearly matched in guns and men, what slight difference there was being in favor of the "Chesapeake"; but the crew of the latter had been recently shipped and was partly composed of disaffected men, and Lawrence had had no time to discipline them. The engagement was short and decisive. Ranging up

¹ James's *Nav. Hist.*, vi. 234.

* [From an engraving in the *Analytic Mag.* (1813), vols. ii. and iii. (separate engravings), after Stuart's picture, which is owned by Mrs. Wm. Redmond of Newport, R. I., and has been engraved by Edwin, by N. Rollinson, and by W. S. Loney. (Cf. Mason's *Stuart*, 212.) The medal given to Lawrence for his capture of the "Peacock" has a profile likeness (Loubat, no. 34; Lossing, 700). A view of Lawrence's tomb in Trinity Church is given in *Harper's Mag.*, Nov., 1876, p. 872. — Ed.]

alongside of the "Shannon," whose crew had been brought to the highest state of efficiency by Captain Broke their commander, the "Chesapeake" at the first fire received a severe injury in the loss of several of her officers. Falling foul of the "Shannon," she was effectually raked, and presently a boarding party, led by Captain Broke, got possession of her deck. The great mortality among the officers,¹ and the want of discipline in the crew, resulted in a victory for the boarders. The battle lasted fifteen minutes only, and the "Chesapeake" was carried as a prize to Halifax.

During this summer the naval war on the ocean continued with varying fortunes, two important actions being fought. The brig "Argus," Captain Allen, after a successful voyage in the Irish Sea, in which many prizes were taken and destroyed, was captured by the English brig "Pelican," on the 14th of August. Early in September the brig "Enterprise," commanded by Lieutenant Burrows, captured the English brig "Boxer," near Portland, Me.

The opening event of the land campaign of 1813 took place in January. General Winchester, who commanded the advance of Harrison's army of the West, reaching the Maumee Rapids on the 10th, received an urgent call for succor from the village of Frenchtown, on the River Raisin, which had been attacked by the English and Indians. Winchester sent a detachment to its relief, which beat off the assailants, and then marched to Frenchtown in person. Meanwhile, Colonel Proctor, taking advantage of the ice on the lake, crossed over in force from Malden, attacked the Americans, and made Winchester a prisoner. The latter then ordered his late command to surrender, which was done. Taking with him six hundred prisoners, Proctor returned to Malden, leaving the wounded, mostly Kentucky volunteers, at Frenchtown, where they were massacred by the Indians.

The massacre of the River Raisin aroused intense indignation in the army of the Northwest, but for the present it was decided to act on the defensive. General Harrison's advance post was now Fort Meigs, on the Maumee River. The fort was invested in May by Colonel Proctor with a force of British and Indians, but the timely arrival of General Clay with a body of Kentucky volunteers compelled Proctor to retire. Another attempt to take Fort Meigs, made by Proctor in July, met with no better success, and the English general moved against Fort Stephenson, on the Sandusky, from which, on the 2d of August, he was repulsed, with great gallantry, by Major Croghan and a small garrison.

During the spring of 1813, Secretary Armstrong, who had succeeded Eustis at the War Department, had issued an order dividing the territory of the United States into nine military districts, the eighth comprising the neighborhood of Lake Erie, under Harrison, and the ninth the rest of the frontier from Niagara to Lake Champlain, under Dearborn. It was now recognized that the command of the lakes was essential to the

¹ See list of killed, wounded, and prisoners, *Amer. St. Pap., Nav. Aff.*, ii. 629.

success of military operations in the adjacent territory, and the judicious efforts made by the navy, with this object, were destined shortly to lead to definite results. Already in the autumn of 1812, Commodore Isaac Chauncey had taken command at Sackett's Harbor, the naval depot of the Americans on Lake Ontario. At the beginning the only naval vessel on the lake was the small sloop of war "Oneida." Before Chauncey's arrival, Lieutenant Woolsey had captured the schooner "Julia," and had purchased



ISAAC CHAUNCEY.*

six other schooners. With these, Chauncey, on the 9th of November, made a brisk attack on the Canadian flotilla in Kingston harbor, — a much stronger force, but badly officered and manned. Although he could not capture the Canadians, Chauncey obtained virtual control of the lake for the time. Meanwhile the construction of new vessels was actively pushed under the direction of a skilful constructor, Henry Eckford. Four additional schooners were purchased, the ship "Madison" was completed and launched, and a powerful corvette, the "General Pike," by far the largest vessel on the lake, was begun.

When the spring navigation opened, General Dearborn, being now sure of efficient coöperation on the water, determined on an offensive movement, which met with greater success than any which had hitherto been

* [From an engraving in the *Analectic Mag.* (1816), vol. viii., made by Edwin after a picture by J. Wood. Stuart's picture is at the Navy Yard, Brooklyn. Cf. Lossing, 887; Lamb's *New York*, vol. ii. — Ed.]

undertaken on the frontier. The chief ports of the enemy on the lake were Kingston and York, at each of which a large vessel was building. Kingston was supposed to be well protected by forts and by the flotilla, while York was poorly fortified, and defended only by a garrison under General Sheaffe. Against the latter post General Dearborn now directed a combined military and naval expedition. On the 27th of April, the troops, led by General Pike, landed under cover of the fire of the flotilla, which was skilfully handled. The attack was successful; the enemy was driven off with the loss of over half their numbers, the town was taken, one ship under construction was burnt, and the brig "Gloucester" was captured. Unfortunately the Parliament House was also burnt, which served as the pretext for the destruction of the government buildings at Washington in the following year. The American loss was 286, of which the largest part was caused by the explosion of a magazine. Among the killed was General Pike.

A month later a still more important movement was directed against Fort George, at the mouth of the Niagara River, an excellent position, with a strong garrison. The skilful management of the fleet was conspicuous here, as at York. The hot fire of the vessels made it impossible for the enemy's troops to make an effectual opposition to the landing, and his batteries were silenced. The disembarkation was ably conducted by Captain Oliver H. Perry, who had come from Lake Erie to act as Chauncey's chief of staff, and the troops were led to the assault with great gallantry by Lieutenant-Colonel Winfield Scott. The enemy blew up the fort and retreated, with a total loss of about 900. The American loss was between 60 and 70. The capture of Fort George turned the remaining British posts on the Niagara frontier, and they were presently abandoned.



WINFIELD SCOTT.*

While the American forces were thus occupied, an attack was made (May 29th) on Sackett's Harbor by the enemy under Sir George Prevost and Sir

* [From the *National Portrait Gallery*, 1836, vol. iv., following a painting by C. Ingham. There is a profile likeness on the medal awarded by Congress for his gallantry at Chippewa, which is engraved in *Lossing*, 826, and in *Loubat*, no. xlv. Cf. the engravings in the *Analytic Mag.*, vol. iv., and in *Scott's Autobiography*. — Ed.]

James Yeo, the former commanding the land forces and the latter the fleet. Notwithstanding the inadequacy of the defences and the misconduct of the militia, General Brown, of the New York militia, a man of native military genius, who commanded the defence, was able to repel the attack, thereby saving the "General Pike," the largest of the American vessels, which was then in course of construction.

The campaign during the remainder of the year 1813, on the New York frontier, which had opened so successfully, was from this time a long and unbroken succession of miserable failures. Even on the lake, although with the launch of the "Pike" Chauncey obtained a force superior to the enemy, he was unable to obtain any decided advantage. The strength of Dearborn's army was wasted in detached enterprises, which would have been of no great moment had they succeeded, but which generally ended in humiliating disasters, owing to the professional ignorance and inexperience of the officers and want of discipline among the men. In July Dearborn was recalled from the command, and his place was taken in August by General James Wilkinson, a professional soldier, but of impaired powers, and at this time in bad health. A considerable force was assembled, and an important expedition projected, the preparations being directed personally by General Armstrong, the successor of Eustis at the War Department, who proceeded to Sackett's Harbor in October for the purpose.

The object of the proposed expedition was Montreal, which was selected in preference to Kingston, a far more accessible, and in some ways more important, point. General Hampton, who had been appointed to the command in Northeastern New York, and who had soon after made an unsuccessful incursion into Canada, was to march directly north from Lake Champlain, and cooperate with the main force under Wilkinson. The latter set out from Sackett's Harbor late in October, and, though the season was far advanced, descended the St. Lawrence, and penetrated some distance into the Canadian territory after a small engagement at Chrysler's Farm. Notice had already been sent to Hampton to advance with his troops and supplies and effect a junction at St. Regis. But at this point a despatch was received from Hampton, declining, for various reasons, to cooperate. This defection, together with the want of supplies and the lateness of the season, led Wilkinson to give up the expedition, and his army retired to winter-quarters.

In December, General McClure, a militia officer who had been left in command of the Niagara region after Wilkinson's departure, abandoned Fort George, after burning, entirely without cause or justification, the adjoining Canadian village of Newark. Reprisals followed upon all the American posts in the neighborhood, which were at the time imperfectly defended, including Lewiston, Fort Niagara, Black Rock, and Buffalo. Fort Niagara was held by the enemy till the end of the war.

On Lake Erie and in the adjacent territory, the campaign of 1813 offered a marked contrast to the ineffectual operations of the Ontario forces. The

abandonment by the enemy of the Niagara frontier in the previous May had had a most important bearing upon the movements in the Northwest. The squadron on Lake Erie, which in March had been placed under the command of Commander Oliver H. Perry, was composed of two good-sized



O. H. PERRY.*

brigs and three schooners, building at the town of Erie, and of the small brig "Caledonia" and four gunboats, which were lying at Black Rock, in the Niagara River. The evacuation of the British posts enabled Perry to move the vessels at Black Rock out of the river, and thence by the lake to Erie, thus uniting the two portions of the fleet.

By May, the two new brigs, the "Lawrence" and the "Niagara," were launched, and every effort was made to fit them out for a cruise. The bar at the entrance of the harbor had only a few feet of water, rendering the passage of the brigs exceedingly difficult at any time, and quite impossible under the fire of the enemy. Commodore Barclay, however, who commanded the English squadron lying before Erie, withdrew from his position

* After an engraving in the *Analectic Mag.* (Dec. 1813), vol. ii., made by Edwin from Waldo's picture. The portrait by J. W. Jarvis has been engraved by I. B. Forrest, and in Cooper's *Naval Hist.* (London, 1839), vol. i., by S. Freeman. The original is in the New York City Hall (Lossing, pp. 521, 527). Stuart's picture is owned by O. H. Perry of Lowell, Mass.

There is a profile likeness on the medal given by Congress for his victory on Lake Erie, Sept. 10, 1813. It is figured by Lossing, p. 535, and by Loubat, no. 32.

for two days, thereby enabling Perry to get the brigs over the bar. From that time he had the superiority in force on the lake.

On the 14th of September, when the American ships were lying at anchor in Put-in-Bay, the British squadron was sighted outside, and Perry advanced to meet them. By a shift of wind he obtained the weather-gauge. His tactical arrangement was what is known as "the oblique attack" in column ahead, the enemy being at anchor, and the advancing column being directed towards the head of the enemy's line. The wind was light, and the "Lawrence," Perry's flagship, which led the advance, was for a long time exposed to a severe fire, without receiving much support from the vessels in the rear. The protracted engagement which the "Lawrence" sustained, almost alone, resulted in the killing or wounding of nearly every man on board, and the complete disabling of her battery.

At this juncture Perry lowered his boat, left his flagship, and went on board the "Niagara," which was still fresh, sending Captain Elliott of the "Niagara" to bring up the schooners in the rear. He re-formed his ships in line abreast, and, the breeze freshening, he advanced rapidly, and broke the enemy's line at several points. The two principal British ships were caught while attempting to wear, and, being unable to withstand this fresh attack, surrendered. The others followed their example. Two of the smaller vessels, which attempted to escape, were pursued and brought back, and Perry was able to announce to General Harrison a complete victory.

Immediately upon the receipt of Perry's famous despatch, General Harrison prepared to move against Malden, which for more than a year had been the strategic centre of British operations in the Northwest. The captured vessels were used as transports, and the troops sailed across the lake. The cavalry regiment from Kentucky, under Colonel Richard M. Johnson, marched around by land. General Proctor, foreseeing the attack, abandoned the fort at Malden and fled up the River Thames. Thither he was pursued by Johnson's cavalry, and on the 5th of October, near Moravian Town, Harrison, with the main body of his army, came up with the enemy, composed, as usual, of British and Indians, the latter under Tecumseh. The battle which followed was a decisive victory. Proctor escaped by flight, but Tecumseh was killed, and all of the enemy who remained on the field surrendered. The supremacy thus established on Lake Erie and throughout the Northwest continued unbroken to the close of the war.

During the summer of 1813, the Creek Indians, occupying Southwestern Georgia and a large part of Alabama, became restless and hostile, having been incited to disturbance by the influence of Tecumseh. Two parties soon developed among these Indians: the Georgians, or Upper Creeks, as they were called, adhering to the whites; while the Lower Creeks, living in the country about the Coosa and Tallapoosa rivers, were bent on war. In August, a party of the latter, led by a chief named Weathersford, surprised and took Fort Mims, near the Alabama River, and massacred a large num-

ber of settlers who had taken refuge in the fort. Four expeditions were immediately set on foot, from West and from East Tennessee, from Georgia, and from Mississippi, to attack the hostile Indians. The four columns of invasion entered Alabama from different points, and, during a campaign lasting from October to December, they were generally successful, especially that from West Tennessee, which was commanded by General Andrew Jackson. Owing to the want of unity among the different commands, the work was not fully accomplished, and a considerable portion of the militia returned home. The war, as far as it went, was one of extermination, the whites giving no quarter, and great numbers of Indians were slaughtered in the successive encounters.

In January, 1814, fighting was renewed, and the Georgians and Tennesseans, with reduced forces, barely held their own. In March, Jackson, who was about this time appointed a major-general, received large reinforcements, consisting of regulars and Tennessee militia, as well as friendly Indians. Towards the end of the month, he resolved to stake everything on a final encounter, and on the 27th attacked the main body of Creeks, in a strongly fortified position at the Horse Shoe, or bend of the Tallapoosa. The enemy's rear, protected by the river, was assailed by the volunteers and the Indian allies, while the works in front were carried by an assault of the regulars. The battle of Tohopeka, as it was called, lasted for five hours with great fury, and resulted in a complete victory for Jackson. As in the previous campaign, the Indians were put to death even after the battle was over. Soon after, a treaty of peace was signed, by which the hostile Creeks lost the greater part of their territory.

The opening event of the campaign of 1814 was a second futile expedition, under Wilkinson, into Canada, which proceeded only as far as the British outposts at La Colle, and terminated in a failure unparalleled even in the events of the two preceding years, for ludicrous feebleness and inefficiency. Wilkinson was soon after relieved.

The general officers who had hitherto attempted the conduct of the war on the northern frontier, and who had only displayed various degrees and phases of incompetency, had now retired from the scene of active campaigning, and the men who were appointed to succeed them were fortunately real soldiers. Colonel George Izard of South Carolina, who had served as an engineer officer in the French army, was appointed major-general, with the command of the army near Lake Champlain. Izard hardly fulfilled the hopes raised by his appointment, owing largely to his dissatisfaction at finding the condition of his army so far below the standard to which his European experience had accustomed him; but at least he committed no conspicuous blunders. The force on the Niagara frontier was entrusted to another new major-general, Jacob Brown, who, although not a trained soldier at the start, had become one during the war, and whose quick eye and prompt decision, aided by a genius for command and organ-

ization, had rewarded him with success in the two operations for which he had already obtained distinction, the defence of Ogdensburg and of Sackett's Harbor. Brown was fortunate in the assistance of three brigade commanders, Winfield Scott, Edmund P. Gaines, and E. W. Ripley; the first two trained officers of merit, — Scott, indeed, being a man of very uncommon merit, — and the third making up in sound judgment what he lacked in experience. All were men of resolution and unmistakable gallantry, and the subordinate officers included several of great promise. The want of discipline hitherto shown in the ranks was corrected by the establishment of a camp of instruction under Scott, which raised the little army to a degree of excellence hitherto unknown on the frontier.

During the winter, large ships had been built on Lake Ontario by both sides; but the enemy, more favorably situated for procuring supplies, succeeded in getting ready first. For the time, no cooperation could be expected from Commodore Chauncey, and early in July General Brown started alone on his projected invasion, with between three and four thousand men, in three brigades: the regulars under Scott and Ripley, and the volunteers under Porter. Fort Erie, held by a small garrison, surrendered without a blow. After an advance of a few miles, on the 5th of July, the enemy was encountered, under General Riall, in a secure position behind the Chippewa River. Riall advanced from his position and crossed the river to meet a demonstration made by the volunteer brigade; but, as the latter fell back, Scott made an impetuous charge, which drove the enemy across the river again, and inflicted a heavy loss. Riall then immediately retreated to Lake Ontario, uncovering the Niagara frontier on the Canada side, with the exception of Fort George.

Brown remained here three weeks, vainly endeavoring to get supplies and aid from the fleet. At the end of that time a large body of men, under General Drummond, had come to Riall's assistance, and the combined force advanced to the Niagara. Late on the afternoon of the 25th, Scott, leading the American advance, fell in with the enemy, strongly posted on an eminence at Lundy's Lane, near the falls, with a battery in position. In approaching this position, Scott suffered severe loss; but a regiment which he had sent, under Major Jesup, to turn the enemy's left penetrated to the rear and captured a number of prisoners, among whom was General Riall. Soon after dark, Brown came up with the main army, and Colonel James Miller, being ordered to storm the enemy's battery, carried it by assault in a charge of great gallantry. The hilltop which Miller had carried was held by Ripley, supported by Porter and Jesup, against three determined assaults of the enemy's whole force, greatly superior in numbers. During these attacks, Scott, with the remains of his brigade, took the assailants in flank, and the latter finally desisted and left the Americans in position. Brown and Scott were both wounded, and Ripley, who was left in command, being unable to bring off the captured guns, retired to the camp without them, and the enemy was thus enabled to recover them.

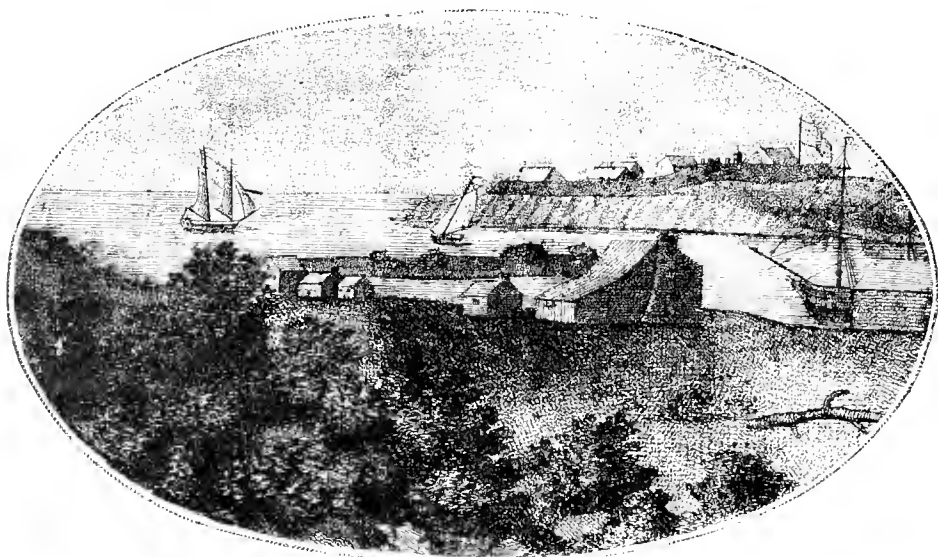
The superiority of the British force made it prudent for the army to return to Fort Erie, of which Gaines was ordered to take command. The fort was warmly attacked by Drummond on the 15th of August, but Gaines repelled his assaults successfully, and Drummond, after having lost nearly one fourth of his troops, gave up the attempt and began a regular investment. Matters thus remained for a month, the besiegers constantly advancing their lines and erecting strong works. At length, Brown, who had in the mean while recovered from his wounds and resumed the command, conceived the audacious plan of raising the siege by a sortie. On the 17th of September he carried out the plan with brilliant success. The enemy's advance works were surprised, carried, and blown up before the main army could reach the ground, and Brown withdrew to the fort, taking with him a large number of prisoners. Drummond thereupon abandoned the siege and drew off his forces beyond the Chippewa.

All that had been gained in the summer campaign was lost by Izard in the fall. This officer, who had come from Plattsburg some time before with 4,000 of his best troops, took the place of Brown and his depleted army. Although superior to Drummond in force, Izard delayed action until the enemy had retreated from his exposed position; then, without striking a blow, he destroyed Fort Erie and withdrew to the New York side. This was the last movement of importance on the Niagara frontier.

The "Essex," under Captain David Porter, having set out in the autumn of 1812 for a cruise in the Pacific with the "Constitution" and "Hornet," but having failed to meet her consorts on the coast of Brazil, as had been intended, proceeded on her cruise alone. This cruise lasted eighteen months, during which the "Essex" was cut off from communication with the United States, and depended on her prizes for supplies. At this time the Pacific was filled with American and English whalers, the former of which were unarmed, while the latter, being commissioned as privateers, carried small but formidable batteries. The enemy had no naval vessels in that quarter. Had the "Essex" not made her cruise, the English privateers would undoubtedly have destroyed the American whaling trade in the Pacific. As it was, the "Essex" not only prevented this result, but inflicted a like injury upon the enemy.

In the course of the cruise, Porter captured thirteen fine vessels, a few of which were sent to make the best of their way to the United States, while the remainder were fitted out as cruisers, forming a squadron under Porter's command. Considerable time was spent during the autumn of 1813 at the Marquesas Islands, where Porter took an active part in the wars of the native tribes. Returning, finally, to Valparaiso with his ship and her tender, the "Essex Junior" (one of the captured prizes), Porter met the enemy's frigate "Phœbe" and the sloop "Cherub," commanded by Captain Hillyar. A battle ensued on the 28th of March, 1814, in which, after a resistance almost unparalleled for stubbornness and tenacity, the "Essex" was defeated and destroyed.

In the year 1814 occurred several minor actions. The sloop "Peacock," under Captain Warrington, captured the enemy's brig "Epervier," off the coast of Florida, in April. The "Wasp," a new sloop-of-war, which had been named after the first "Wasp," the captor of the "Frolic," cruised to the English Channel, where she destroyed several prizes. Her first battle was with the sloop "Reindeer," which she captured and burned. Early in September the "Wasp" had another action with the sloop "Avon," which was defeated, and which sank soon after the action. After this engagement, a despatch was received from the "Wasp" by a prize which she had subsequently captured, but this was the last that was ever heard of her.



FORT OSWEGO, 1798.*

By this time the English fleet on the coast of America had been so largely reinforced that it was able to maintain an effective blockade of all the principal ports of the United States, and very few American cruisers were able to get to sea, and these only with the utmost difficulty. Privateers were still actively cruising in great numbers, and their prizes during the war amounted, altogether, to over 1,400. About 300 more were taken by vessels of the navy. Considering the disparity in naval force between the two belligerents, this result is remarkable.

In the spring of 1814, the government of Great Britain, which, as far as it had exerted itself in the war at all, had made it hitherto a defensive war, was in a position to pursue an aggressive policy, its armies being no longer required, since the abdication of Napoleon, for operations on the Continent. Detachments of veteran troops were sent to America, and invasions were

* From a print in *Description of the Genesee Country*, Albany, 1798.

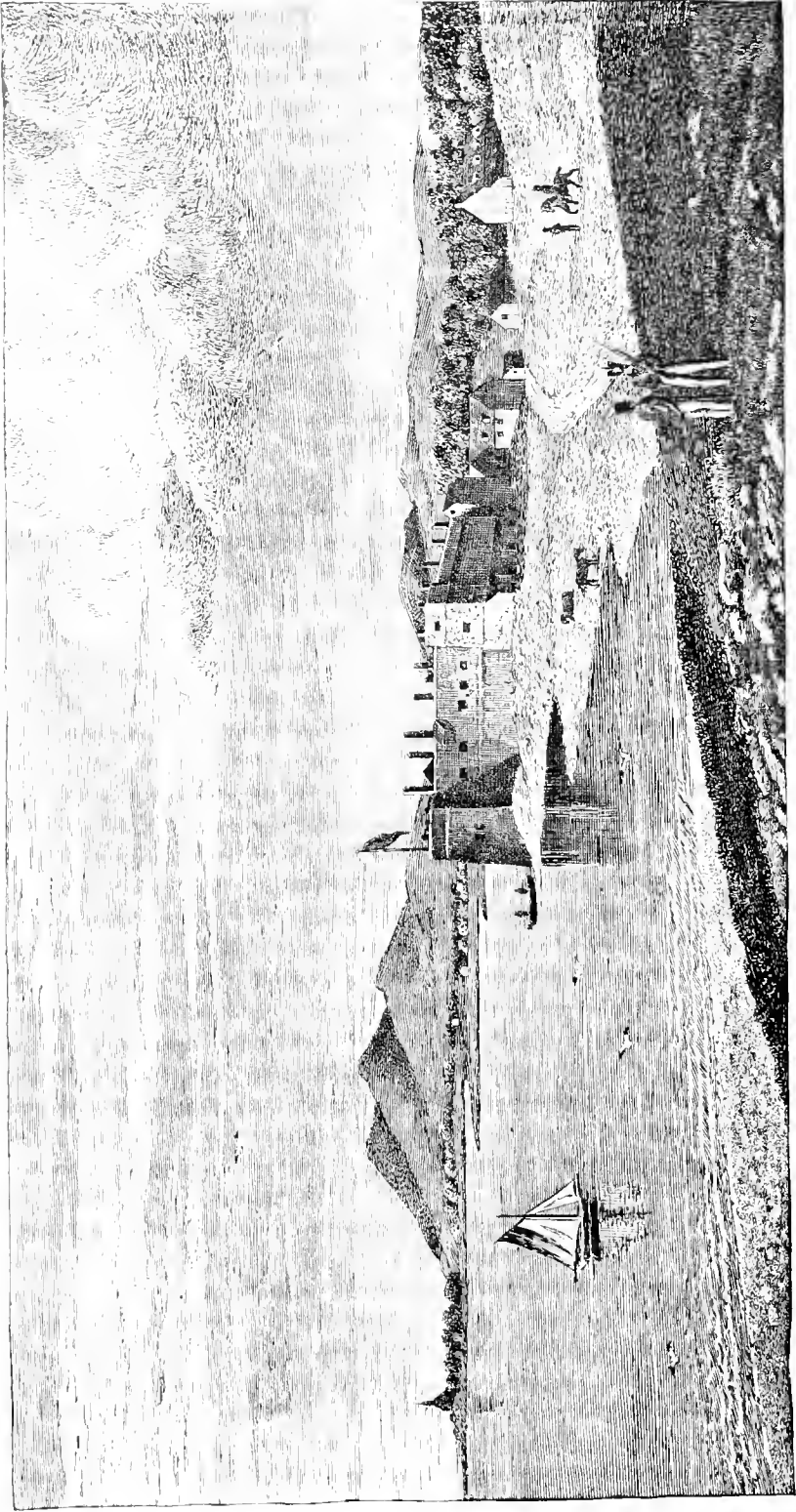
planned from Canada and at points on the seaboard. Late in the spring, Sir James L. Yeo, with a British squadron, was cruising on Lake Ontario, and descending upon Oswego, captured the fort and the stores and provisions that were contained in it. The first of the armies of invasion, numbering about 12,000 men, was commanded by Sir George Prevost, and starting from Montreal, crossed the frontier early in September. The withdrawal of Izard with 4,000 of his picked troops to the Niagara, left the west



COMMODORE MACDONOUGH.*

shore of Lake Champlain guarded only by a small force at Plattsburg under General Alexander Macomb, which was presently increased somewhat by militia from New York and Vermont. The advance of the British was supported by a fleet on the lake, consisting of the frigate "Confiance," carrying a heavy battery of thirty long 24-pounders, the brig "Linnet," and the sloops "Chubb" and "Finch." To oppose this fleet, a force had been created by Commodore Macdonough, consisting of the flagship "Saratoga," the brig

* After Stuart's picture, owned by his descendants, and now hanging in the Century Club, N. Y., whence, through the interposition of Dr. Edward Eggleston, permission was kindly granted by Mr. A. R. Macdonough to make a negative.



FORT CHAMBLÉ.

“Eagle,” the schooner “Ticonderoga,” and the sloop “Preble.” Each squadron had also a flotilla of gunboats. The two forces on the lake were nearly matched, although the heavy battery of the “Confiance” gave whatever advantage there was to the enemy.

Macdonough had arranged his squadron in a line heading north,—the “Eagle” leading, next to her the “Saratoga,” and the “Ticonderoga” and the “Preble” astern of the flagship. In this order the ships lay at anchor in Plattsburg Bay, awaiting the arrival of the enemy. Careful preparations had been made beforehand to wind or turn the “Saratoga”



THOMAS MACDONOUGH.*

in case her engaged broadside should become disabled. On the 11th of September the English fleet, under Commodore Downie, appeared in sight, and, rounding Cumberland Head, advanced to the attack. Prevost's army had already arrived before Plattsburg, but remained inactive, awaiting the issue of the naval battle. Macdonough's position was well chosen, and he was enabled to inflict serious loss upon the enemy as the latter advanced. Finally Downie came to anchor, and opened fire. The mortality on both sides was great, the water being smooth and the guns fired at point-blank

NOTE TO OPPOSITE CUT. — Facsimile of a plate in Bouchette's *Brit. Dominions in No. Amer.* (London, 1832). This Canadian fort was built by M. de Chambly before the English conquest, and was strongly garrisoned during the war, 1812-14, and made a rendezvous in the last year for a force of over 6,000 men. Cf. the woodcuts in P. Stansbury's *Pedestrian Tour in No. America* (N. Y., 1822), p. 228; and in Cassell's *United States*, ii. 468.

* After a print in the *Analectic Magazine*, vii. 201, engraved by Gimbrede, after a painting by J. W. Jarvis. (Cf. Lossing's *War of 1812*, pp. 856, 870.) There is another engraving by J. B. Forrest in the *National Portrait Gallery*, vol. i.; and engraved by Freeman, it is given in Cooper's *Naval Hist.* (London), vol. ii. A medal likeness is given in Loubat, no. 35, and in Lossing, p. 878. The title-page of the *Analectic Mag.*, July-Dec., 1818, has a vignette, showing Com. Macdonough's farmhouse on Cumberland Bay, Lake Champlain, and in the distance the American forts, Plattsburg, and the camp of Sir George Prevost. It has been reproduced in Lossing's *War of 1812*, p. 879.

range. The "Preble" was driven from her position by the enemy. On the other hand, the "Finch" was disabled by the "Ticonderoga" and drifted ashore, and the "Chubb" was captured. The fight continued between the "Ticonderoga" and the enemy's gunboats at the rear, and between the two flagships at the head of the line. The "Ticonderoga," gallantly defended by Captain Cassin, finally succeeded in driving off the gunboats. The "Saratoga," when her starboard guns were gradually disabled, succeeded, by the help of the appliances previously prepared, in turning and bringing a fresh broadside to bear. This attack proved to be too much for the "Confiance," whose captain, Downie, had already fallen, and she surrendered, the other vessels of the enemy's force sharing her fate.

Sir George Prevost, seeing the result of the battle in the bay, made only a feeble demonstration against Plattsburg, and presently retreated to Canada.

The second of the armies of invasion of 1814 was commanded by General Ross, and was an excellent force, although not numerically large. Its objective point was the Chesapeake, and it was accompanied by a powerful fleet under Vice-Admiral Sir Alexander Cochrane, who had now replaced Sir John Warren. Rear-Admiral Cockburn remained as second in command, his knowledge of the bay and of the people around it, gained during his previous service, being of great value to the expedition. The troops arrived about the middle of August. For two months past Admiral Cockburn had been scouring the bay, and had effected landings at nearly every important inlet, where he destroyed such stores as he could reach, the small militia detachments in the neighborhood generally falling back at his approach.

Upon the arrival of the troops, the fleet proceeded up the Patuxent River, where General Ross and his army landed, with Admiral Cockburn, to march against Washington. Intimations of the projected attack had been received by the government in June,¹ and early in July preliminary preparations were made for defence. A tenth military district was created, composed of Maryland, the District of Columbia, and the adjacent counties of Virginia, and General William H. Winder, a Baltimore lawyer who had seen some service in Canada the year before, when he had been taken prisoner, was appointed to the command. His force consisted of a very small body — numbering perhaps 500 — of regular troops, and the district militia of about 2,000 men. The Pennsylvania, Maryland, and Virginia militia was subject to call, but Armstrong regarded an attack upon Washington as improbable, and the government funds being then exceedingly low, he had postponed the summons. A month, which the militia might have passed in training, had their officers been competent to train them, was thus lost, and the call, which was only issued towards the end of July, when the Brit-

¹ From Gallatin and Bayard, *Am. St. Pap., Mil. Aff.* i. 524.

ish fleet had arrived in the bay, came so late that only the Maryland troops reached the ground before the invasion was over. A flotilla of gunboats, under Commodore Joshua Barney, a famous officer of the Revolutionary navy, lying at the time in the upper Patuxent, was destroyed at the enemy's approach, and Barney joined Winder with 500 seamen, the only body that offered any resistance to the enemy.

The expeditionary force under Ross and Cockburn, for it could hardly be called an army, composed of about 4,500 men, without cavalry, and with only such artillery as the seamen could haul, marched from the landing to the neighborhood of Washington. The expedition, from a military standpoint, was a rash venture, and had the defence been conducted with any judgment whatever, would have ended in overwhelming disaster. The experience of Cockburn, however, with the militia on Chesapeake Bay had led him to gauge accurately the probabilities of opposition,

and the British advanced for five days into the heart of an enemy's country, away from their base of supplies, heavily laden, in a severe climate, through a country favorable for incessant attack, without meeting the slightest resistance. On the 24th of August they arrived at Bladensburg, on the eastern branch of the Potomac.

The engagement that took place at this point, known as the battle of Bladensburg, was a battle only in name. By the arrival of the Maryland militia the night before, Winder's army had mounted up to 6,000 men, at a low estimate, with some cavalry, and a large number of guns from the Navy Yard, a force quite double that of the enemy, with the additional advantage of position and the neighborhood of a base. The British troops were worn out with their march, and hardly in a condition to resist a vigorous attack, even from an inferior force. They had entered on a hazardous undertaking, and a slight repulse would have resulted in a disastrous retreat, with the ultimate destruction of their force.

The victory of the enemy under these apparently hopeless circumstances was due to the incapacity of the military authorities and to the demoralization



JOSHUA BARNEY.*

* From the *National Portrait Gallery*, 1830, vol. iv., following a drawing by W. G. Armstrong, after a miniature by Isabey. Cf. Lossing, 932. A likeness by Wood is in Mrs. Barney's *Biog. Mem. of Barney*.

zation of the militia. The latter defect might have been corrected if time had been taken for the purpose; but for the former, which tended still further to destroy the confidence of the men, there was no remedy. The President, as commander-in-chief of the army, was on the field, together with Monroe, the Secretary of State, Armstrong, the Secretary of War, and other members of the cabinet; and while General Winder was ostensibly in command, the civil functionaries took a hand in directing details, and orders were given and countermanded by amateurs, with the enemy in sight, until the army presented a spectacle of rare confusion. As the enemy advanced, the militia stampeded, carrying with them the President and the officials. Barney's artillery held its ground, and inflicted considerable loss; indeed, almost the only collision between opposing forces in the battle was the artillery fight of the sailors. The latter, however, being



FORT M'HENRY AND THE ENTRANCE TO BALTIMORE HARBOR.*

unsupported, were presently outflanked on both sides, and they also fled. The Americans passed through Washington, and that part of the force which remained together, comprising 2,000 or more men, occupied Georgetown.

The enemy took possession of the city and burnt the Capitol, the Executive Mansion, the Treasury, and the state and war departments, with such part of their contents as had not been removed. The pecuniary loss from the destruction of these buildings, for which the burning of the parliament house at York furnished some sort of justification, was considerable, but it was as nothing compared with the irreparable loss of records which were consumed by the fire. A wholesale destruction of property at the Navy Yard, including several ships on the stocks, was begun by the Americans and finished by the British. Ross only remained a night and a day at

* After a print in the *Analetti Magazine*, Oct., 1818. Cf. cut in Gay's *U. S.*, iv, 223; and in Lossing, 954, where are other views of neighboring localities. Cf. the view in *The Naval Temple* (Boston, 1816), and the fac-simile in Preble's *Amer. Flag*, 2d ed., p. 724.

Washington, and withdrawing deliberately, took up his line of march to the Patuxent and rejoined the fleet. No special precautions were taken on the return march, nor were any needed, for the retreating enemy was suffered to go on his way without molestation. While the retreat was in progress a detachment of the enemy's fleet, under Captain Gordon, which had moved up the Potomac, attacked Fort Washington, which was immediately abandoned, and, appearing before Alexandria, captured all the vessels in the port and an immense quantity of merchandise. The prizes and stores were then carried safely down the river.

The immediate result of this episode, the culmination of all the military disasters of the war, was the enforced resignation of Secretary Armstrong, who was not, however, the only person to blame for it.

Early in September, the British squadron made an attempt upon Baltimore, but the advance of the ships was checked by the naval defences and by Fort McHenry, which held out successfully against bombardment. The army which had landed at North Point met with considerable loss in a series of skirmishes, General Ross being among the killed. The strength of the defences and the large number of militia which had been called out led the enemy to abandon the attempt; and after an ineffectual boat expedition, the fleet sailed away from the Patapsco, and the greater part of it soon after left the bay.

Other detachments had already made incursions at points along the coast, especially in Maine, but none of them assumed the importance of Ross's daring raid upon Washington; while an attack of four sloops-of-war under Captain Percy in the "Hermes," on Fort Bowyer, at the entrance of Mobile Bay, was met by the determined resistance of Major Lawrence, the officer in command, and ended in the destruction of the "Hermes" and the repulse of the assailants.¹

In December, the British undertook the invasion of Louisiana, the most important offensive movement made, thus far, during the war. A large fleet appeared off Lake Borgne, with 10,000 veteran troops, commanded by General Keane, and afterwards by General Sir E. Pakenham. The army was moved through the bayous to the left bank of the Mississippi, below New Orleans. Here it was met by General Jackson, who, upon his return from a short campaign in Florida, had assumed the command at New Orleans, and had with great difficulty formed an army out of local militia, levies hastily raised in Kentucky and Tennessee, the free negroes of Louisiana, and the Baratavia outlaws, under their chief Lafitte. He also obtained material assistance from a small naval force, which, from its position on the river, was able to assail the enemy in flank.

On the 23d of December, Jackson made a night attack upon the enemy's advance position on the river, where General Keane was in command. The attack, though vigorous, produced no decisive result, and Jackson withdrew

¹ For Jackson's general order narrating the incidents of this conflict, see *Am. St. Pap., Indian Affairs*, i. 860.

to a well-chosen position between the swamp and the river. Here he intrenched himself. A demonstration made by the enemy on the 28th was successfully repulsed, and after ten days of further preparation the final attack was made, January 8, 1815, Pakenham leading the main assault, and Colonel Thornton at the same time attacking a small work which had been thrown up on the opposite side of the river. The latter movement was successful, but the main column, advancing to storm Jackson's position, after



COM. CHARLES STEWART.*

capturing a small detached work on his right, was twice thrown back in confusion. General Pakenham was killed and General Gibbs mortally wounded; and General Lambert, who succeeded to the command, finding that the protracted struggle was of no avail, retreated after the loss of over 2,000 in killed and wounded. The Americans, according to Jackson's official report, lost sixty-two. The British army fell back to Lake Borgne, where it reëmbarked, and the expedition was abandoned.

In the mean time the American commissioners at Ghent had agreed upon a treaty of peace, which was immediately ratified by the British govern-

* From an engraving in the *Analectic Mag.* (Dec., 1813), vol. vi., made by Goodman after a picture by Wood. There is a picture in Independence Hall. The medal with profile likeness, given to him for his capture of the "Cyane" and "Levant" in the "Constitution," is in Loubat, no. 48; in Frost's *Book of the Commodores*; and in Lossing, 986, who also gives a likeness taken in 1864 (p. 987). Cf. *Democratic Rev.*, xxviii.

ment, and in February peace was declared in America. The whole country was so thoroughly tired of the contest that it was ready for peace at almost any price. The finances were in a desperate condition, and although, under the alarm caused by the recent invasions, great numbers of militia had been organized and armed, the military administration was in a state of worse confusion than ever, and the exposed States were generally taking their own measures for protection, without much reference to the Federal government, which was powerless to help them.

The navy, which had covered itself with credit during the war, was now in a high state of efficiency, and ready for successful employment in any quarter. Several naval engagements had taken place after the commissioners had concluded their negotiations at Ghent. The "President" was captured just outside of New York, by the blockading squadron, on January 15th. In February, the "Constitution," under Stewart, in one of the prettiest actions of the war, took the "Cyane" and the "Levant," the latter being afterwards recaptured; the "Hornet," Captain James Biddle, took the "Penguin" in March; and, last of all, the "Peacock," Captain Warrington, took the East India Company's sloop "Nautilus" in June.

Before the return of these vessels, the government resolved to send a squadron to the Mediterranean, where the Dey of Algiers, taking advantage of the withdrawal of United States cruisers, had resumed his old trade of piracy. The new ships, constructed in the latter part of the war, were rapidly completed and equipped, and in May Commodore Decatur, with a powerful squadron of eleven ships, sailed for the Mediterranean. The Algerines, supposing that the naval power of the United States had been crushed by the war with England, had no expectation of meeting an enemy. Before they could learn of his setting out, Decatur had surprised and captured two of their cruisers at sea, and, suddenly



COM. JAMES BIDDLE.*

* From an engraving in the *Analectic Mag.* (Nov., 1815), vol. vi., made by Gimbrede after a painting by Wood. Thos. Sully's picture of Biddle is owned by Craig Biddle, Esq., of Philad. (*Philad. Loan Exhib. Catal.*, no. 52). There is a profile likeness on the medal (Loubat, no. 49; Frost's *Commodores: Lossing, out.*, who reproduces also, p. 999, another portrait) given to him for the capture of the "Penguin" in the "Hornet."

appearing before the city, he frightened the Dey into setting free his American prisoners without ransom, and signing a treaty abolishing the tribute.¹ Indemnity was next recovered from Tunis and Tripoli for violations of their neutrality during the war, in permitting the capture of American vessels within the territorial waters. The effect of Decatur's presence was increased by the arrival soon after of a new fleet under Commodore Bainbridge, whose flagship, the "Independence," 74, was the first American line-of-battle ship in the Mediterranean. Since that time there have been no serious difficulties with the Barbary powers.

The United States now entered upon a long period of peace, which, during thirty years, was only broken by Indian campaigns and by operations against pirates. The army now numbered about 10,000 men. The first of the Indian wars occurred in 1817-18, and was occasioned by outrages alleged to have been committed by the Seminoles upon settlers on the borders of Florida, which was still a Spanish possession. General Jackson took the field at the head of an army of regulars, friendly Creeks, and volunteers from Georgia and Tennessee. The "war" was a mere foray into Florida, little resistance being made by the Seminoles, who were the ostensible object of attack; while considerable disturbance was caused by Jackson's highhanded proceedings in seizing the Spanish posts of St. Mark's and Pensacola, and in executing two English subjects who were accused of aiding and inciting the Indians.

During the years 1821-1825, the navy was actively employed in the suppression of piracy in the West Indies, the squadrons being commanded successively by Henley, Biddle, Porter, and Warrington. The service was arduous and difficult, but it was carried out successfully, and, after four years of determined resistance, the gangs of pirates which infested the coasts of Cuba and the neighboring islands were completely broken up.

In 1832, the Sacs and Foxes, led by their restless chief Black Hawk, at this time sixty-five years old, crossed the Mississippi to recover the lands formerly held by them east of the river. General Scott was to have conducted the campaign against them, but before his arrival they were twice defeated, — first by Colonel Dodge, and finally and completely at Bad Axe, early in August, by General Henry Atkinson. Black Hawk soon after surrendered.

On May 9th, 1832, a treaty was signed at Payne's Landing, Fla., by Colonel Gadsden, on the part of the United States, and by the chiefs of the Seminoles, in which the latter consented, upon certain conditions, to a removal to lands west of the Mississippi. Two years elapsed before the treaty was ratified, and the delay had an unfavorable effect; so much so that when preparations were at last made for removal a large number of the chiefs refused to go. The year 1835 was spent in a series of fruitless negotiations, during which occasional outrages, committed by both whites

¹ *Ann. of Cong., 1st sess. 14th Cong., 1475.*

and Indians, increased the bad feeling. At the end of the year the Seminoles divided into two hostile parties, and the chiefs adhering to the treaty, with their followers, were obliged to take refuge near Fort Brooke, while the others, influenced chiefly by the violent half-breed Osceola or Powell, resorted to arms.

Notwithstanding the imminence of the danger and the knowledge of the facts possessed by the government at Washington, very little preparation had been made for hostilities against the Indians. The garrisons of Fort King near the Ocklawaha, and of Fort Brooke at Tampa Bay, between which the enemy lay in the almost inaccessible swamps of the Withlacoochee, comprised altogether less than 450 men. With a part of these, General Clinch, commanding at Fort King, contemplated making an attack, and he sent to Fort Brooke for such men as could be spared. A detachment of 110 men, under Major Dade, was sent to join him; but on the 28th of December, four days after setting out, it was surrounded by the Seminoles, and, after a long struggle, was totally destroyed, only three men escaping with their lives. Three days later, General Clinch's force defeated a detachment of the Indians on the Withlacoochee, after which it withdrew to Fort Drane.

The territory of the United States was at this time divided into two military departments, the eastern under General Scott, and the western under General Gaines; and the present scene of hostilities lay about the dividing line between the two commands. Upon the news of Dade's massacre, and of the Indian raids upon the settlements south of St. Augustine, General Gaines, who was then in Louisiana, got together a body of regulars and volunteers, sailed to Tampa, and, after landing at Fort Brooke, marched to Fort King. Learning that General Scott had been ordered from Washington to take command, and had already arrived in Florida, Gaines, after a short incursion into the Indian territory, withdrew to his department, and soon after Scott took the field with a considerable and well-organized army, the right wing under General Clinch, the centre under Colonel Lindsay, and the left under General Eustis, all of whom advanced in March and April, 1836. No great results followed from this campaign.

In June, 1836, Governor Call took command of the forces in Florida, and in November he defeated the Seminoles on the Withlacoochee River. He was soon after relieved by General Thomas S. Jesup. In the winter campaign of 1836-37, the Indians were driven from their territory about the Withlacoochee, and forced to take refuge in Southern Florida. Negotiations now took place, and in March the chiefs signed a capitulation and agreed to emigrate. The agreement was not carried out; but in October General Jesup succeeded, by a stratagem, in making Osceola a prisoner. The chief was put in confinement, and soon afterwards died, but the war did not come to an end.

In May, 1838, Jesup was relieved by General Taylor, who five months before had defeated the Indians in the battle of Okechobee, on December

25th, 1837. The war now went on for several years in a desultory manner : General Armistead relieving Taylor, and being in turn superseded by General Worth. This last change was in 1841. After an active campaign, in which Worth and his forces penetrated the swamps where the Seminoles had taken refuge, the fragments that still held out were persuaded to surrender, and were removed from Florida to the West. The war came to an end in 1842, after having cost many lives and a large sum of money.

The next war of the United States was that with Mexico. The causes of the war were intimately connected with the internal politics of the United States. Negotiations had for some time been pending in reference to the Texan question and to certain claims of American citizens against Mexico, the last flicker of which was the ineffectual mission of Slidell in 1845-46. The annexation of Texas, occurring in the summer of 1845, transferred to the United States a dispute between Texas and Mexico, in reference to their common frontier and to the ownership of a strip of territory between the Nueces and the Rio Grande, which each claimed. The occupation of this territory in March, 1846, by the forces of the United States, under General Taylor, was the ostensible ground of war, the first offensive movement on the part of the Mexicans being the passage of the Rio Grande by General Arista.

After throwing up a work opposite Matamoras, called Fort Brown, Taylor marched with the main body of his troops to protect his depot at Point Isabel, which was threatened by the enemy. During his absence, a protracted attack was made on Fort Brown, which held out with difficulty, until, on the 8th of May, the assailants were drawn off by the return of General Taylor. The latter, with about 2,000 men, on the 8th and 9th, engaged Arista's greatly superior force in the battles of Palo Alto and Resaca de la Palma. In the first day's fight the Americans, through the efficiency of their artillery, held their ground and beat off the enemy, inflicting a heavy loss. On the second day, Arista, who had retired to intrenchments previously thrown up at Resaca de la Palma, although in a strong position, was completely routed by the Americans, his batteries being captured by a gallant charge of dragoons under Captain Charles May. The pursuit of the Mexicans extended to the river, and the victory was complete. On the 18th, the American forces crossed the Rio Grande and occupied Matamoras.

The army was now delayed for some time, waiting for reinforcements, supplies, and means of transportation. This delay was of inestimable value to the enemy, enabling him to assemble a new army, an advantage which he could not have obtained had Taylor been able to follow up his first blows. The President's call for 50,000 volunteers received a prompt answer, and as they arrived on the ground they were taken in hand by the very capable officers of the regular army, and trained for war. In the war of 1812, military operations had failed almost uniformly through the rawness,

not so much of the troops as of the generals. The Mexican war showed few mistakes, because the officers were well trained, and as a necessary consequence the troops were in a short time well trained also. The war of 1812 on the American side was a war of amateurs; that with Mexico was a war of professional soldiers and strategists.

Towards the close of August General Taylor began his advance, the three divisions of his army being commanded by Generals Worth, Twiggs, and Butler. On the 20th of September, Taylor reached the neighborhood of Monterey, a strongly fortified city, defended by a large force under General Ampudia. In the battle, which lasted three days, September 21-23, with severe fighting, the enemy's batteries were successfully stormed one by one, and on the 24th Ampudia surrendered. By the terms of the capitulation, which were unusually lenient, the city was to be delivered up, but the Mexican troops, with most of their arms, were allowed to retire.

During the autumn a movement was undertaken against Chihuahua by a separate force, called the army of the centre, under General Wool. It failed to accomplish its object, and was finally united to the force under General Taylor, the latter having been much weakened by detaching troops to take part in Scott's campaign from Vera Cruz. In January, 1847, Taylor had advanced to Agua Nueva, but presently, on the approach of the enemy, fell back to Angostura, near Buena Vista. After having taken up a strong position, with less than 5,000 men, he was attacked on the 22d of February by General Santa Anna, with a force estimated at four times his own. The fight on this day was little more than a skirmish. On the 23d, Santa Anna attacked the Americans with his whole force. The battle lasted from early morning until dark, with varying fortunes. On both sides it was fought with great bravery and obstinacy, the Mexicans, after each repulse, returning resolutely to the attack. At the close of the day, Santa Anna retired, and the Americans were left in possession, not only of the field but of the district. The loss in the battle was heavy, being estimated at 700 on the American side, and on the Mexican at 2,500, in addition to 3,000 who were reported missing, but who had in fact deserted. The battle of Buena Vista closed the operations of Taylor's campaign, the invasion being now directed upon a new line from Vera Cruz.

In the mean time, other operations, conducted on a small scale, but momentous in their results, had been taking place in a different quarter. In addition to the army of occupation under General Taylor, and the army of the centre under General Wool, a third force, known as the army of the west, composed of about 1,800 men, chiefly Missouri volunteers, had been placed under the command of Colonel (afterwards General) S. W. Kearny, to operate against New Mexico and California. After a march of 900 miles through the deserts, lasting nearly two months, Kearny's force reached Santa Fé on the 18th of August, 1846. At this point the command was divided. Colonel Price remained in command in New Mexico. General Kearny took up his march to California; and three months later, in De-

cember, a force of 1,000 or more men, under Colonel Doniphan, invaded Chihuahua. After a protracted winter campaign in the enemy's country, in the course of which he gained two victories, at Brazito and at the river Sacramento, Doniphan, on the 2d of March, 1847, entered the city of Chihuahua, the capital of the province. Having completed his conquest, he communicated with General Taylor, and received orders to join Wool at Saltillo. From this point his command proceeded to Matamoras, where it embarked for home.

General Kearny had set out for California with 100 dragoons. His force was reduced somewhat on the march, and near his journey's end, in an engagement at San Pasqual, it was nearly cut off. A detachment from the fleet on the coast was sent inland to its rescue, and the remains of the force arrived safely at San Diego.

Long before Kearny's arrival possession had been taken of the principal ports in California. In June, 1846, Commodore Sloat, commanding the Pacific squadron, learned at Mazatlan of the passage of the Rio Grande. Under the orders which he had received to "employ his force to the best advantage," he at once sailed for Monterey (Cal.) and seized the town, while Commander Montgomery took a similar step at Yerba Buena, or San Francisco. Captain Frémont, an engineer officer in command of a surveying party, after raising the flag at points in the interior, joined forces with the fleet at Monterey. Late in July, Commodore Stockton, an energetic and brilliant officer, relieved Commodore Sloat, and organizing a naval brigade, he marched upon Los Angeles, the capital of California. The Mexican forces fled at his approach, and he took possession of Los Angeles. California was then declared a territory of the United States, a constitution was drawn up, and Frémont was appointed governor.

In September, during Stockton's absence at the North, a rising of the Mexicans took place in the interior, and after driving out the garrison they recovered possession of Los Angeles. Laying siege to San Pedro, they were presently repulsed by the commodore on his return, and the latter set about more elaborate preparations for a second attack on the capital. About this time (December, 1846) General Kearny arrived, but his coming added only sixty men to the commodore's force of five hundred seamen and marines. Towards the end of the month the expedition set out under Stockton's command, and in two well-fought battles, at San Gabriel and the Mesa River, on January 8 and 9, 1847, the enemy was totally defeated. For the second and last time California was conquered. Soon after, detachments of troops, sent around by sea, arrived, and were detailed for garrison duty at the scattered posts. The important result of these operations was the cession of a territory of over 600,000 square miles to the United States at the close of the war.

After Stockton's second expedition, the fleet, now commanded by Commodore Shubrick, was engaged only in detached enterprises along the coast, the most important of which was the capture of Mazatlan. On the east

coast, the naval forces under Commodore David Conner, and later under Commodore M. C. Perry, maintained an extensive blockade, and took possession one by one of the ports on or near the coast, — Tampico, Tabasco, Alvarado, and Tuspan. One of the most important services rendered by the navy was its co-operation in the reduction of Vera Cruz, after landing the army which was to march from that point to the Mexican capital.

General Scott, who was to command the new movement, arrived off Vera Cruz early in March, 1847, with a force of 12,000 men. The disembarkation was effected on the 9th, and for the next ten days the army was employed in erecting batteries and completing its line of investment. In addition to the siege-guns and mortars, one battery was thrown up, in which were mounted the heavy guns from the ships-of-war. It was planted within seven hundred yards of the city wall and manned by the navy, and it did more execution than all the other batteries put together. General Morales having refused to comply with Scott's demand for a surrender, the bombardment opened on the 22d. It continued for four days, doing great injury to the city and its inhabitants. On the 29th the city surrendered, together with the castle of San Juan d'Ulloa, situated on a reef to the northward.

While Scott was bombarding Vera Cruz, a revolution at the Mexican capital had made Santa Anna president of the republic. Bestirring himself to raise money and troops, the new president was able shortly after to concentrate an army of 12,000 men at the almost inaccessible pass of Cerro Gordo, which lay between Vera Cruz and the City of Mexico. General Scott, arriving with his troops before Cerro Gordo, found the enemy's position too strong to be carried in front, and with great labor cut a road around the mountain. On the 17th of April he had reached the Jalapa road and obtained an advantageous position, and the next morning he attacked Santa Anna's rear with great fury. A difficult and impetuous advance of a part of Twiggs's division, under Colonel Harney, resulted in carrying the tower of Cerro Gordo, the key to the enemy's position. On the Mexican right, General Pillow's division made an attack under a withering fire from the enemy, and, though driven back, finally succeeded in compelling the surrender of General Vega with 3,000 men. Upon the fall of Cerro Gordo and the capture of Vega, Santa Anna found it necessary to make good his retreat. Less than a month later the American army occupied the city of Puebla.

General Scott remained at Puebla during June and July, awaiting reinforcements and drilling them as they arrived. On the 7th of August he set out for the capital, which was now defended by about 30,000 troops. A series of encounters took place on the 19th, and on the next day three battles were fought, at Contreras, Churubusco, and San Antonio. They were in reality parts of one general engagement. The troops on both sides fought with stubbornness and bravery, but in the end the Mexicans were completely routed, and the pursuit of the flying enemy reached almost to the gates of the capital.

A commissioner, Nicholas P. Trist, having been previously appointed to negotiate with the Mexicans, an armistice was now agreed upon, to begin on the 23d of August. The armistice, from a strategic point of view, was a mistake. The advantage of the overwhelming victories of the 19th and 20th was in great part lost, and the Mexicans were enabled to recover from the demoralization which had followed their defeat. The position of the American army, in the heart of the enemy's country, where it might be cut off from reinforcements and supplies, was full of danger, and the fortifications which barred the way to the capital, Molino del Rey, Casa Mata, and Chapultepec, were exceedingly formidable.

On the 7th of September the armistice came to an end. The negotiations had failed, and General Scott prepared to move on the remaining works. A reconnoissance was made on that day, and on the 8th Scott attacked the enemy. The army of Santa Anna was drawn up with its right resting on Casa Mata, and its left on Molino del Rey. Both these positions were carried by assault, and the Mexicans, after severe loss, were defeated and driven off the field.

The next two days were occupied in preparing for the final assault upon Chapultepec. A careful disposition was made of the troops, batteries were planted within range, and on the 12th they opened a destructive fire. On the 13th a simultaneous assault was made from both sides, the troops storming the fortress with great bravery and dash, and the works were carried, the enemy flying in confusion. The army followed them along the two causeways of Belen and San Cosmé, fighting its way to the gates of the city. Here the struggle continued till after nightfall, the enemy making a desperate defence.

Early the next morning, a deputation of the city council waited upon General Scott, asking for terms of capitulation. These were refused, and the divisions of Worth and Quitman entered the capital. Street fighting was kept up for two days longer, but by the 16th the Americans had secure possession of the city. Negotiations were now renewed, and the occupation of the territory, meanwhile, continued. The principal towns were garrisoned, and taxes and duties collected by the United States. Occasional encounters took place at various points, but the warfare was chiefly of a guerrilla character. Towards the close of the war General Scott was superseded by General Butler. But the work had been already completed. On the 2d of February, 1848, the treaty of Guadalupe Hidalgo was signed, by which California and New Mexico were ceded to the United States, and ratifications were exchanged in the following spring. The skill and daring of the officers, and the discipline, endurance, and courage of the men, during the war with Mexico, were as noticeable as the absence of these qualities during the war of 1812.

CRITICAL ESSAY ON THE SOURCES OF INFORMATION.

I. GENERAL WORKS. — The principal repository of original authorities in print is, of course, the Congressional series of documents. The regularly numbered documents begin with the 2d session of the 13th Congress (1813) in the House, and the 1st session of the 14th (1815) in the Senate. Prior to this date the publications were made without much order or sequence, and it is now almost impossible to tell what the full series comprises.¹ These early documents, with others of a later period, have been in great part reprinted, by order of Congress, in the *American State Papers*,² some of which are *Indian Affairs*, two vols., to March 3, 1827; *Military Affairs*, seven vols., to 1838; and *Naval Affairs*, four vols., to 1836. The documents were selected and edited by the secretary of the Senate and clerk of the House, and comprise every variety of reports, returns, registers, proceedings of courts of inquiry and courts-martial, reports of Congressional committees, despatches, and correspondence.³

The MS. archives of the War Department (Secretary's office) begin in 1800. The correspondence is in two series, letters sent and letters received. The abruptness in the commencement of the correspondence is explained in the first document in the series of letters sent. This is a letter of Nov. 10, 1800, from Acting Secretary Dexter, directing the preparation of a new seal for the department, and it begins with these words: "On Saturday evening last, my office, with all the records, papers, &c., was consumed by fire."⁴ From the date of the fire the correspondence proceeds without break. It has no classification, but the arrangement is generally chronological. The letters received are in loose files, with indexes. The letters sent are copies written in volumes, and therefore much more easy of access for purposes of investigation. Upon the establishment of the differ-

¹ [The readiest key to them is Poore's *Descriptive Catalogue*. — Ed.]

² [See *ante*, p. 204. — Ed.]

³ The series on *Indian Affairs* gives in detail the documentary history of the dealings of the United States with the Indians to 1828. Of the volumes on *Military Affairs*, the first contains considerable material relating to the War of 1812, especially the campaign of the Northern army in 1813, and the capture of Washington. It also comprises all the documents relating to the First Seminole War. A vast quantity of papers on the Second Seminole War will be found in vols. vi. and vii. The other volumes relate almost wholly to administrative matters, — the organization and discipline of the army, the military academy, fortifications, ordnance, armories, and militia.

The four volumes on *Naval Affairs* are also chiefly useful as a history of naval administration. Much space is given to the record of unimportant courts-martial. Brief reports are published from commanding officers in many of the engagements of 1812-15, though by no means all. The proof-reading is more accurate than in the private publications of despatches, and the lives of many leading officers, which should therefore be verified by the *State Papers*, for names and dates, when practicable. The first volume contains Fulton's scarce pamphlet on the torpedo. The Pirates' war is partly covered in

vols. i. and ii. Vols. iii. and iv. relate almost wholly to the routine business of the navy.

Owing to the intimate relation between the two subjects, many papers referring to the war-history will be found in the early volumes of the series on *Foreign Relations*, which throw light on the subject of French spoiliations and the hostilities of 1798, difficulties with the Barbary powers, and the negotiations which preceded and terminated the war of 1812.

Reference has been made on another page to the several official publications of the proceedings of Congress, which include many of the documents also given in the *State Papers*; and the collections of treaties referred to in the following chapter necessarily contain provisions as to the mode of carrying on war, especially in reference to contraband, blockade, privateering, maritime capture, and to dealings with the Barbary States, France, and Great Britain, and the student of war-history will find many important points touched upon therein.

Viles's Register (75 vols.) is an indispensable record of current events, from its first publication in September, 1811, to its close, July, 1849. It is especially full in relation to naval and military affairs, and the student must have it constantly before him, but sometimes give scrutiny to its accompanying comments.

⁴ See *Report* of committee of the House of Rep., on the causes of the fire, in *Amer. State Papers, Misc.*, i. 247.

ent staff-offices, the correspondence relating to their special branches was transferred to them, and has so remained. The more important reports on military operations were published in Congressional documents, and afterwards in the *American State Papers*, but a large mass of material is to be found in the Secretary's office of the War Department and in its dependencies, much of which has never been made the subject of thorough and critical examination.

The MS. archives of the Navy Department fortunately escaped a later and similar peril. The burning of the department by the British in 1814 involved no loss of records, as Secretary Jones reports (*Am. St. Pap., Nav. Aff.*, i. 320) that all the papers and effects, except the furniture of the office, were preserved. The fire in the War Office in 1800 had, however, destroyed many papers connected with the administration of the navy during the period prior to the establishment of the Navy Department in 1798. Fragments of these papers, including letters of Secretary James McHenry on subjects connected with the new frigates, may be seen, with their charred edges, in the library of the Navy Department. They have only the interest of relics. From 1798 to 1805 the archives are scanty, but after the latter date they have been carefully preserved. They are arranged in classes, according to a simple and easily understood system, and, having been bound in volumes and fully indexed, are readily accessible. In fact, there are few series of early archives in Washington in so satisfactory a condition for the student as those of the Navy Department. As in the War Department, the correspondence is arranged in two groups, letters received and letters sent. Of the letters received during the period 1789-1850, the most important series is that known as "Captains' letters," in 350 volumes, beginning in 1805. Of nearly equal interest are the "Masters' Commandant letters," 1804-1837, and the "Commanders' letters," 1838-1850, making 92 volumes in all. In addition to the above, a series of 390 volumes of "Officers' letters" begins in 1802. A comprehensive class is that of "Miscellaneous letters," 426 volumes, beginning in 1794. As the business of the department increased, and work was distributed more systematically among its offices, new series were begun. Thus the reports of the African squadron date from 1819, Marine Corps letters from 1828, and Executive letters from 1843. Communications from the Board of Navy Commissioners form a series from 1827 to 1842, when the board was abolished and its place taken by the bureaus, whose correspondence begins at this time. Classified reports from cruising stations, including the Brazil, Mediterranean, Pacific, East and West Indian, and Home squadrons begin in the years 1844-46, and Navy-yard reports about 1848.

The "Letters sent," as might be expected, are much less numerous, comprising for the period about 170 volumes of all classes. The most important of these, as far as naval operations are concerned, are the 60 volumes of *Instructions to officers of ships of war*, and 30 volumes of "General letters." The instructions to commanders of gunboats, 1803-8, are contained in a separate volume. A single volume, for 1803, is devoted to the Barbary powers. The volumes of instructions, together with those known as "Captains' letters," "Masters' Commandant and Commanders' letters," and "Officers' letters," are indispensable to the student of the naval wars, and the naval history of the United States cannot be adequately written without a careful examination of them. The examination must be supplemented by the study of the records of the Office of Detail, and of the court-martial records contained in the office of the judge-advocate-general.

Hildreth, as the leading comprehensive historian for a long period, gives in his *History of the United States* a reasonably full and correct synopsis of military and naval events down to 1820, though nothing more. His judgments upon the incompetent military leaders in the war of 1812 are severe, though not too severe. He has an evident desire to be fair, though with Federalist leanings, which crop out even in criticism of matters purely military. On the whole, his book, so far as it goes, is accurate and just.¹

¹ [Lossing, beginning with the troubles with 1812. Schouler comes down to 1831. McMaster has made a bare beginning. Gay's *Pop. Hist.*

A full and detailed statement of legislative and executive measures affecting the War Department¹ and its various branches, including the adjutant-general's and inspector-general's departments, the corps of engineers and of topographical engineers, the ordnance, the judge-advocate-general's, quartermaster's, subsistence, pay, and medical departments, will be found in T. H. S. Hamersly's *Complete Army and Navy Register, 1776-1887* (N. Y., 1888), pp. 215-381. The book is roughly made up, with irregular paging, and the passage referred to will be found in what may be called the second part. It makes no pretensions to a narrative treatment, but is rather a full collection of notes upon the statutory and administrative history of the army. The paper on the organization and administration of the War Department proper, although printed by Hamersly as original matter, was prepared by William A. De Caidry, of the subsistence department, as a part of the report of the board on behalf of the United States Executive Departments at the International Exhibition of 1876. The same paper, as well as that on the Corps of Engineers, by Lt.-Col. Thomas L. Casey, was published in the appendix to the *Report of the Joint Committee on the Reorganization of the Army*, commonly known as the Burnside Report (*45th Cong., 3d Sess., Senate Rep. no. 555, Dec. 12, 1878*), an invaluable storehouse of materials on the subject of army administration and organization. The *Compilation of Official Documents illustrative of the Organization of the Army of the United States, from 1789 to 1876* (Washington, 1876) was made for the use of the Board for the Reorganization of the Army, Sept. 1, 1876. It is a reprint of various reports and papers, some of which may be found in the series of Congressional documents, or in the *Amer. State Papers, Mil. Affairs*. Unofficial papers are also included, and tabular abstracts of force at various periods are especially useful.²

The above works have a quasi-official character. No good history of the army has been written. Such a work, based on official authorities, with critical discussions from a professional standpoint, is much needed. The ground is partly covered by a work of great value in a hitherto unexplored field of research, Lieut. William E. Birkhimer's *Historical Sketch of the organization, administration, matériel and tactics of the Artillery, U. S. Army* (Washington, 1884), pp. vii and 406. Indefatigable industry, a clear and concise style, and a thorough technical familiarity with the subject give the author an unusual grasp and certainty in handling the obscure material out of which he has constructed a most satisfactory work. Another important work is Col. A. G. Brackett's *History of the U. S. Cavalry from the formation of the government* (N. Y., 1865). Equal commendation cannot be extended to L. D. Ingersoll's *History of the War Department* (Wash., 1879), a sketchy and imperfect book.

The beginnings of the navy (1794) were made under the auspices of the War Department.³

Of unofficial histories of the naval service, the *History of the United States Navy*, by James Fenimore Cooper, has long had the field to itself. It is written in the somewhat pompous style of the period (1839), and although it has a strong fascination as a sea-story, its historical value has been somewhat overrated. Cooper's naval officers have all been cast in the same mould of heroic type and proportions. They are classic and statuesque,

U. S. covers the whole period of the present chapter. There are no other general histories worth considering. — Ed.]

¹ Established Aug. 7, 1789 (*Statutes at Large*, i. 49).

² Cf. William A. Gordon's *Compilation of Registers of the Army of the U. S., 1815-1837. Appended a list of officers on whom brevets were conferred for services during the war with Great Britain* (Washington, 1837).

³ [Washington recommended further action in 1796 (*Statesman's Manual*, i.; Benton's *De-*

bates). John Adams failed (1797) to secure the support he hoped for (Morse's *John Adams*, 279; Adams's *Works*, index). In 1797, the launching of the "United States," "Constitution," and "Constellation" was a decided step (Upham's *Pickering*, iii. 155; McMaster, ii. 323, 384, 431). The creation of the Navy Department, under the act of April 30, 1798, showed the final triumph of a Federalist measure. The action of the government can be traced through the index (pp. 1332-1333) of Poore's *Descriptive Catal.* — Ed.]

but scarcely human, and there is little or nothing to differentiate them. As James, the leading English authority, sees everything from the British standpoint, Cooper sees everything from the American; but while James is violent and scurrilous, Cooper is always dignified, and on the whole rather patronizing to the enemy. His one-sidedness never shows itself in abuse, but occasionally in passing over an inconvenient episode either in silence or with only a general and cursory allusion. In the statement of essential details he is often unsatisfactory, and he relies too frequently upon the recollection of traditions current in his day in the service. He does not appear to have made a thorough study of the records except in so far as they had appeared in print. Considering that his book was subsequent to James, and that it was clearly designed to present the American side, it is remarkably inadequate as an answer to the charges of the British historian.

The *United States Naval Chronicle*, by Charles W. Goldsborough, vol. i. (Washington, 1824), although entirely devoid of literary construction, is the most useful book of reference on the navy during the period of the first four presidential terms. The author had exceptional facilities for obtaining authentic information, having been attached to the Navy Department forty-four years, — as its first chief clerk from 1798 to 1815, as secretary of the Board of Navy Commissioners from 1815 to 1842, and finally as chief of the Bureau of Provisions and Clothing. Although the volume designated vol. i. was published in 1824, and the author remained in office until his death in 1843, he never carried out his intention of giving a second volume to the press, — a fact much to be regretted, as his documentary materials were the original archives of the department, and no other man had so great a familiarity with every detail of its operations. The narrative, if the name can be applied to Goldsborough's scrappy and disjointed statement of events, is brought down to the conclusion of the treaty with Tripoli, and is followed by a series of valuable notes upon the different branches of naval organization, including gunboats, docks, marine railways, disputes concerning rank, and the hospital and pension funds.

Lieut. (afterwards Rear-Admiral) Geo. F. Emmons's *Navy of the United States from the Commencement, 1775 to 1853* (Washington, 1853), is a statistical work, compiled from sources in the Navy Department. It consists wholly of tables, showing the ships in the navy at various periods, their prizes, and the essential facts about their construction. It also gives lists of privateers during the wars of the Revolution and of 1812, with captures made during the latter. It is a valuable compilation, but it can only be safely used with a discriminating eye, as it has occasional, and in some cases very glaring, typographical errors, — a serious fault in any work, but especially serious in a statistical work.

Thomas Clark's *Naval History of the United States from the Commencement of the Revolutionary War to the present time, January 3, 1814*, 2d ed.,¹ was the first book which attempted to treat the subject as a whole, and is a very creditable work for the period. The accounts of operations, however, based largely on newspaper statements, must be taken with caution. The narrative is confined to the first volume. The second and perhaps more valuable volume comprises the statutory history of the navy, administrative regulations, statistical matters, and prize-lists.

A brief but important *History of the U. S. Marine Corps* (Boston, 1875), by M. Almy Aldrich, from documents compiled by Capt. Richard S. Collum, U. S. M. C., is a work of labor and research, and is the only book on the subject.²

¹ [The original edition was called *Sketches of the Naval History of the United States* (Philad., 1813). — E1.]

² A large collection of pamphlets and tracts on different branches of naval administration and legislation is to be found in the Navy Department library. Minor works of value are: *History of the U. S. Navy Yard at Gosport, Va.* (Wash., 1874), by Comr. E. P. Lull, U. S. N.; *Centennial History of the U. S. Navy Yard at Portsmouth,*

N. H. (Portsmouth, 1876), by W. E. H. Fentress. The only considerable account of the Charlestown Navy Yard is that by Admiral Preble in the *Memorial History of Boston*. The earlier navy lists are to be found in the *American State Papers, Naval Affs.* A *Complete List of the American Navy* was published at Boston in 1813. An official *Register* was published at Washington in 1814, by order of the Senate. The regular series of *Naval registers*, published by order of the

II. WORKS RELATING TO SPECIAL PERIODS IN MILITARY AND NAVAL HISTORY, 1789-1850. — Respecting the first Algerine difficulty (prior to 1795) the *Am. St. Pap.*,

Secretary of the Navy, begins with that of Aug. 1, 1815. No register was published in 1816, but from 1817 the issues were made regularly. The library of the Navy Department contains one of the very few complete sets in existence. In 1848, Mecklin and Winder's *General Register of the navy and marine corps since 1708* was published at Washington. The latest edition of Hamersly's *Complete general Navy Register, 1776-1857*, was published at New York in 1858.

A large number of books on the navy may be dismissed with a brief mention, few of them being of any historical value. Among them are: *The pictorial history of the American Navy* (N. Y., 1845), and the *Book of the navy* (N. Y., 1842), both by John Frost; Barber Badger's *Naval Temple* (Boston, 1816), which was popular enough to pass through later editions before, with slight variations, it was re-issued (Boston, 1831, 1837; Concord, N. H., 1848) as *American Naval Battles: Memoirs of the generals, commodores, and other commanders in the army and navy* (Philad., 1848), by Thomas Wyatt; *The Army and Navy of America* (Philad., 1845), by Jacob K. Neff; *The American Navy* (Philad., 1856), by Charles J. Peterson; *Biography of the principal American military and naval heroes* (N. Y., 1817) (2 vols.), by Thomas Wilson; *A compilation of biographical sketches of distinguished officers in the American Navy* (Newburyport, 1814), by Benjamin Folsom; *American naval biography* (Providence, 1815), by Isaac Bailey; *Biographical sketches of distinguished American naval heroes* (Hartford, 1823), by S. P. Waldo.

Of perhaps greater importance than the so-called histories are the numerous works on naval biography. As the principal biographies relating to important periods are referred to fully under special heads, they need only be mentioned here. These include Sabine's *Life of Edward Preble* (Bost., 1847); Porter's *Memoir of Commo. David Porter* (Albany, 1875); Mackenzie's *Lives of Perry* (N. Y., 1841) and *Decatur* (Bost., 1846); Harris's *Life of Commo. Bainbridge* (Philad., 1837); Jarvis's *Life of Elliott* (Philad., 1835); Mary Barney's *Memoir of Commo. Barney* (Boston, 1832); *Life of Commo. Stockton* (N. Y., 1856); Cooper's *Lives of distinguished American naval officers* (Auburn, N. Y., 1846; Philad., 1846, — originally contributed to *Graham's Magazine*); and Griffin's *M. C. Perry* (Boston, 1887). All of these memoirs are based on personal papers. Harris used Bainbridge's journals and correspondence. Mrs. Barney's narrative is largely based on her husband's notes and journals. Mrs. Decatur gave her husband's papers to Mackenzie. Col. C. C. Jones's *Commo. Josiah Tattnall* (Savannah, 1878) uses MS. notes left by Tattnall.

The *Autobiography of Commodore Charles Morris* (Annapolis, 1880) is unique. It is the only narrative published by a naval officer of the older period, giving in his own words the story of his own life. It begins with Morris's entry into the service in 1799, and ends in 1840. During nearly the whole period Morris was actively employed, and in many important episodes he bore a prominent part. Nearly every stage of naval development for forty years is therefore covered by the book: the reorganization of the navy under the Peace Establishment Act in Jefferson's first administration; the Tripolitan war, during which Morris served under Commodore Preble, and took part in the most important operations against the city; the impressment and embargo period; the war of 1812, in which he served as first lieutenant of the "Constitution" and as commander of the "Adams"; and the period of development subsequent to the war, during a large part of which he was a navy commissioner. The author's style is marked by freshness and vigor, as well as by simplicity and modesty; his memory is retentive, his judgment sound. He is devoid of all affectation and pretence, and his book is a trustworthy guide in matters of fact as well as of opinion, while it offers a graphic and interesting picture of life in the service during the period. [The *Autobiography of Morris* was edited by Professor Soley, and published in the *Proceedings of the U. S. Naval Institute*, and separately at Boston. — Ed.]

Other naval memoirs, covering the less eventful portions of the period 1789-1850, but valuable as throwing a strong light upon the navy and naval life, are: *Notes and commentaries during a voyage to Brazil and China, in the year 1848* (Richmond, 1854), by W. S. W. Ruschenberger, a surgeon in the navy; *Voyage round the world, including an embassy to Muscat and Siam in 1835, 1836, and 1837* (Philad., 1838), and *Three Years in the Pacific* (Philad., 1834), by the same author; *Sketches of foreign travel and life at sea* (Boston, 1842, 2 v.), by Rev. Charles Rockwell, chaplain in the navy; *Sketches of naval life* (New Haven, 1829, 2 v.), by "a civilian" [Chaplain George Jones]; *Stories of the Mediterranean* (N. Y., 1846, 2 v.), by Francis Schroeder, secretary to the commodore commanding the squadron; *Visit to the South Seas in the U. S. Ship Vincennes* (N. Y., 1831, 2 v.), by Chaplain C. S. Stewart; *The Flagship* (N. Y., 1840, 2 v.), by Chaplain Fitch W. Taylor; *Deck and Port* (N. Y., 1850), by Chaplain Walter Colton; *Two years and a half in the Navy* (Philad., 1832, 2 vols.), by E. C. Wines; *Maritime scraps, or scenes in the Frigate United States during a cruise in the*

For. Rel. (i. 100-108, 116, 129, 136, 288-300, 413-423), are of special importance. Jefferson's *Report to Congress on the Mediterranean trade* (*For. Rel.*, i. 104) is an interesting and valuable document. Consult also Goldsborough's *Naval Chronicle*.¹

On the hostilities with France, the principal authority is Goldsborough, *Naval Chronicle*, supplemented by Emmons's *Statistical History*. See also *Am. St. Papers, Naval Aff.*, vol. i., especially p. 71. The papers connected with foreign relations, in the same collection, vol. i. and vol. ii. *For. Rel.*, are of course to be examined in reference to spoliations and other causes of complaint and negotiation on one side or the other.

The naval memoirs covering this period are of no great assistance to the student. The most important is the *Memoir of Commodore David Porter* (Albany, 1875, pp. 14-42), by Admiral David D. Porter. The little *Life of Silas Talbot*, by Henry T. Tuckerman (New York, 1850), deals, though in a very slight and cursory manner (pp. 115-134), with Talbot's operations in the West Indies during the campaign. References to the cruise of 1800 may be found in an anonymous *Biographical sketch and services of Commodore Charles Stewart* (Philadelphia, 1838).

For a French view of the actions in the West Indies, see O. Troude, *Batailles navales de la France*, 4 vols. (Paris, 1867). The actions of 1799 and 1800 are considered in vol. 3. Troude's figures are very inaccurate.

As to the character of the hostilities with France, and especially as to whether they constituted a war or not, see the very able and learned opinion delivered by Judge John Davis in *Gray, Administrator, v. U. S.* (French spoliation cases), 21 *Court of Claims Rep.* 307-375.

For the Tripolitan war (1801-5), the *Am. St. Pap., Nav. Aff.*, i. 122, 127, 133 (the last is Preble's full report), and *For. Rel.* ii. 359, 360 (Dale's instructions and despatches), 461, 695-725 (proceedings of fleet under Commodores Barron and Rodgers and campaign of Gen. Eaton), are of the first importance.² The petition of Hamet Pasha to Congress and the correspondence thereon are given in *Am. St. Pap., For. Rel.*, iii. 26. For Eaton's expedition, see Charles Prentiss's *Life of Gen. Wm. Eaton* (Brookfield, 1813), and C. C.

Mediterranean (Boston, 1838), by "a man-of-war's-man" [H. Kivers]; *Journal of an African cruiser* (N. Y., 1845), by "an officer of the U. S. Navy" [Horatio Bridge], ed. by Nathaniel Hawthorne; *Four years in a government exploring expedition* (N. Y., 1852), by Lieut. Geo. M. Colvocoresses; the early part of the *Memoir of John A. Dahlgren, Rear-Admiral U. S. N.* (Boston, 1882), by Madeline V. Dahlgren; H. M. Brackenridge's *Voyage to South America in the frigate Congress* (London, 1820, 2 v.).

Similar works in military biography or autobiography may be referred to with advantage. Most of these are mentioned in this summary in connection with the special episodes of which they treat. Such are the memoirs of Generals Scott and Wilkinson, and the lives of Eaton, Wayne, Wm. Hull, Pike, and Jackson for the earlier period, and those of Grant, Lee, Kearny, Harrison, Taylor and A. S. Johnston for the later. Of a more general character are the following: *Fifty years' observation of men and events, civil and military*, by Gen. E. D. Keyes, N. Y., 1884; Gen. Geo. W. Cullum's *Biographical Register of the officers and graduates of the U. S. Military Academy* (N. Y., 1868, 2 v.); C. K. Gardner's *Dictionary of all officers who have served in the Army, 1789-1853*, 2d ed. (N. Y., 1860); Gen. R.

B. Marcy's *Thirty years of army life on the border* (N. Y., 1866), and *Border reminiscences* (N. Y., 1872); *Memoirs of John Adams Dix*, by Rev. Dr. Morgan Dix (N. Y., 1883). Much interesting reminiscence of army and navy officers is to be found in the *Autobiography of Charles Biddle* (Philad., 1883).

¹ On the general history of the relations of the United States with the Barbary powers, see the admirable monograph on "the Piratical Barbary powers," in chapter 4 of Eugene Schuyler's *American Diplomacy* (N. Y., 1886). [The movements respecting the confinement of Americans in bondage by the powers can be traced through Poore's *Descriptive Catalogue*. Cf. J. W. Stephens's *Hist. and Geog. Acc. of Algiers, containing detail of events relative to American captives*, 2d ed. (Brooklyn, 1800): Benton's *Debates*, i. 475; Parton's *Jefferson*, ch. 63. The government finally bought an unstable immunity through treaties (*Statutes at Large*, viii.; *St. Pap., For. Rel.*, ii. 18, 123, etc.) — ED.]

² [Preble's *Reports*, and O'Brien's letter accompanying Jefferson's *Messages* of December 31, 1804, February 20, and April 14, 1805. They are also with the medal voted to Preble (*Naval Aff.*, i. 282), given in Loubat's *Medallie History*, i. 137]. — ED.]

Felton's *Life of William Eaton*, in Sparks's *Lib. of Am. Biog.*, 1st ser. vol. ix. The latter was based partly upon Prentiss's book, but chiefly upon Eaton's original papers and journals.¹ In addition to the narrative of the Derne expedition, the book contains much historical matter upon the relations of the United States with the Barbary powers.² Preble's campaign is discussed in a paper entitled *Operations of the Mediterranean Squadron under Commo. Preble*, by J. R. Soley, published in the *Proceedings of the U. S. Naval Institute*, vol. v. no. 4. The paper is accompanied by a reproduction of the original map of the harbor, showing the position of the vessels in action, by Midshipman De Krafft, who was serving on board the "Siren." With the article is printed Preble's private journal before Tripoli, which was found among his papers, and covers the period from July 24 to Aug. 22, 1804. This journal was also contributed by Admiral Preble to the *Mag. Amer. Hist.* (iii. 182).

The naval biographies take an important place among authorities on the Tripolitan war. The admirable *Life of Edward Preble*, by Lorenzo Sabine, in Sparks's *Lib. of Am. Biog.*, 2d ser., vol. xiii., is an invaluable book, by far the largest part of which is taken up with a full and satisfactory examination of Preble's Tripoli campaign.³ Another excellent memoir, which deals in part with the same subject, and which has the advantage of coming from a professional hand, is Comr. A. S. Mackenzie's *Life of Stephen Decatur*, in vol. ii. of the same series. These two books go very far towards covering the ground during the period of Preble's operations.⁴

A third naval memoir is *The Life and services of Commodore William Bainbridge, U. S. N.*, by Thomas Harris, M.D. Dr. Harris was a surgeon in the navy, and was the physician and intimate personal friend of Commodore Bainbridge. Besides the advantages of frequent conversation and constant personal intercourse, he had at his disposal the commodore's private journals and correspondence. The book is, however, a disappointment. Although Bainbridge's correspondence was said to be extensive, few of his letters are reproduced, and the book is chiefly made up of a narrative whose accuracy the reader has no means of testing. Dr. Harris never quotes an authority for his statements; and though his story is interesting, and may be true, there is no way of distinguishing truth from fiction, or from mere gossip and after-dinner reminiscence. Another and shorter memoir of Bainbridge is to be found in Cooper's *Lives of Distinguished American naval officers* (Auburn, N. Y., 1846), vol. i. The same volume contains lives of Preble and Somers. A notice of Dale is published in vol. ii. of the work. Although Cooper's biographies are brief, they have considerable merit.

Lieutenant Porter was actively engaged during the Tripolitan war in the squadron of Commodore R. V. Morris, and later in that of Commodore Preble: he was first lieutenant of the "Philadelphia" when she ran ashore and was captured by the Tripolitans in October, 1803. After this event, the officers of the "Philadelphia" were for eighteen months prisoners in Tripoli. This period of the war is covered by chapters 4 and 5 of Admiral Porter's *Memoir of Commodore Porter*.⁵

¹ [His despatch about Derne is given in Dawson, ii. 56. Cf. J. T. Headley in *Harper's Mag.*, xxi. 496. Eaton died June 1, 1811. There are references to his consulship at Tunis in Poore's *Desc. Catal.*, p. 1280. — ED.]

² Other valuable books covering the general ground are *Travels in England, France, Spain, and the Barbary States*, by M. M. Noah, consul at Tunis, N. Y., 1819; *History and present condition of Tripoli*, by Robert Greenhow, Richmond, 1835, originally published in the *Southern Literary Messenger*; and Schuyler's *American Diplomacy*, already referred to.

³ [Sabine at a later day presented many of

Preble's papers, with letters of Bainbridge and others, to the Mass. Hist. Soc. (*Proc.*, iii. 84). For portraits of Preble, see Lossing, pp. 120, 123; *Amer. Journal of Numismatics*, v. 49, and other records of national medals. There is also the account of Preble by Cooper in *Graham's Mag.*, Jan., 1845, included in Cooper's *Lives*, etc.; and some details in Goold's *Portland, past and present*. — ED.]

⁴ S. P. Waldo's *Life and Character of Stephen Decatur* (Middletown, Conn., 1821) is a book of no value.

⁵ [There is a contemporary estimate in Stephen C. Blyth's *Hist. of the War between the U. S.*

For the difficulty with Morocco in 1803, see *Am. St. Pap., Nav. Aff.*, i. 115; *For. Rel.*, ii. 591; and the despatches printed in J. R. Soley's *Operations of the Mediterranean Squadron*, published by the Naval Institute.

The question of the causes of the war of 1812 has been covered in other chapters of the present volume,¹ with all the details of diplomatic correspondence with England respecting the impressment of American seamen and commercial restrictions. The account of the impressment of men from the sloop-of-war "Baltimore" in 1798 is given in Goldsborough's *Naval Chronicle*, and with valuable additional documents in a scarce pamphlet (1825) by Capt. Isaac Phillips, preserved in Harvard College library.² The all-important authority on the naval aspects of the affair of the "Chesapeake" and "Leopard," in 1807, is the *Proceedings of the general court-martial convened for the trial of Commo. James Barron, Capt. Charles Gordon, Mr. William Hook, and Capt. John Hall, of the U. S. S. Chesapeake, in the month of January, 1808* ([Wash.], 1822). The detailed evidence is given in all the trials, the reports of which were published by order of the department. See also *Am. St. Pap., For. Rel.*, iii. 6-24, 183 *et seq.*, where will be found a part of the diplomatic correspondence on the subject.³

For the war of 1812, one of the most valuable and comprehensive collections of official despatches on the American side is John Brannan's *Official letters of the military and naval officers of the United States during the War with Great Britain* (Wash., 1823). The papers are printed verbatim, without comment, and comprise all the more important reports.⁴ Details of correspondence must be looked for elsewhere.

A rather full collection of documents in very convenient shape, with running comments of no special value, is contained in *The War. Being a faithful record of the transactions of the war between the United States of America and their territories, and the United Kingdom of Great Britain and Ireland and the dependencies thereof*. This publication was a weekly journal, published in a small quarto form by S. Woodworth & Co., N. Y., the first issue being on Saturday, June 27, 1812. Vol. i. continues regularly through 52 numbers to June 15, 1813. Vol. ii., 52 numbers, June 22, 1813-June 14, 1814. Vol. iii., 12 numbers, to Sept. 6, 1814. At this point the critical condition of military affairs caused the suspension of the paper; but a final number, 13, was published in the year 1817, carrying the documentary record to the close of the war. A large proportion, if not all, of these documents are to be found in *Niles's Register*, but in a less convenient form.

Another important volume of documents is the *Collection of the official accounts in detail, of all the battles fought by sea and land; between the navy and army of the United States, and the army and navy of Great Britain, during 1812-13-14-15*. By H. A. Fay, late Capt. U. S. Artillery (N. Y., 1817).

An equally valuable collection of reports, Congressional debates, state papers, and war correspondence for 1813 and 1814 will be found in the *Historical Register of the United States*, 4 vols., edited by T. H. Palmer (Philadelphia, 1814-1816). Other collections are:

History of the War between the United States and Great Britain, compiled chiefly from public documents, by J. Russell, jr. (Hartford, 1815, 2d ed.).⁵

and Tripoli (Salem, 1806). Cf. Cooper, i. ch. 18, 19; Dawson's *Battles* (ii. 35), with references; *Mag. Amer. Hist.*, iii. 182.

The episode of the destruction of the "Philadelphia" is covered by the official account of Decatur (Mackenzie's *Decatur*, App. iv.), which, with other papers, is given in *St. Pap., Nav. Aff.*, i. 122 (also *Ex. Doc. March 20, 1804*); and also in the pamphlet issued in support of a claim for prize-money, *Documents relative to the claims of Mrs. Decatur* (Georgetown, 1826). — ED.]

¹ Chapters v. and vii.

² *An impartial examination of the case of Capt. Isaac Phillips, compiled from the original Documents and Records, with the proceedings upon his application to be restored to his rank in the U. S. Navy* (Baltimore, 1825).

³ Cf. *post*, ch. vii.

⁴ Cf. *Madison's Letters*, iii. 328. [For the Congressional acts of preparation, and for the conduct of the war, see Carey's *Olive Branch*, ch. 39; and the index to Poore's *Desc. Catal.* Cf. Schouler, ii. 340; Hildreth, vi. 275, 295. — ED.]

⁵ [It contains a list of vessels captured from

The *Am. St. Pap., Nav. Aff.*, vol. i., containing reports of actions with the "Macedonian," "Frolic," "Java," "Boxer," "Epervier," "Reindeer," "Penguin," and "Cyane" and "Levant," and those on Lakes Erie and Champlain.

The Naval Monument, compiled by Abel Bowen (Boston, [1816]), contains official reports and documents relating to the American naval operations of the war, together with several private letters, extracts from log-books, newspaper cuttings, and miscellaneous matter. Many of these are not conveniently to be found elsewhere in print. Inaccurate proof-reading and copying have led to a number of serious typographical errors, against which the student must be on his guard.¹

The *Naval Chronicle*, published in London by Joyce Gold, appeared in semi-annual volumes, from Jan., 1799, to Dec., 1818, making forty volumes in all. Much information of interest will be found scattered through the whole series, but vols. 28-34 are of especial importance in the study of the war of 1812. All the British reports of actions which the admiralty gave out for publication² are to be found here, as well as a series of authentic memoirs of officers of distinction, correspondence and discussions of great value upon naval questions of the day, and a variety of items of naval information from miscellaneous sources.

The narrative histories of the war, on both sides, may now be mentioned:—

Charles J. Ingersoll, the author of an *Historical Sketch of the second war between Great Britain and America*,³ was a member of the House from Philadelphia during the war, and therefore personally familiar with much of the ground covered by his book. He took sides with the war Democrats. Of his book, Hildreth says: "Though not written till more than thirty years after [the war, it] affords, amid many shrewd observations and striking portraits, a perfect though unconscious reflection of the violent prejudices, limited knowledge, absurd expectations, incoherent reasonings, and general confusion of ideas prevalent among the war members."—that is, from Hildreth's standpoint. Ingersoll has a nervous, vigorous style, and though his book is rambling, it shows power and penetration.

Benson J. Lossing's *Pictorial Field-Book of the War of 1812* (N. Y., 1869). Notwithstanding their "popular" and pictorial character, the great value of Mr. Lossing's books in reference to obscure matters of minute detail is well known to students. The large place given to tradition and anecdote rather enhances than lessens their value, for both tradition and anecdote have an importance for the historian, when they are duly recognized as such. For topographical details Lossing is invaluable, and he gives attention to a multitude of side-points that throw much light on the main subject.⁴

Great Britain during the war. There is a similar list in James Butler's *American Bravery* (Carlisle, 1816), which shows 1,400 captures.—ED.]

¹ [Official documents in larger or smaller numbers necessarily make part of such books as Armstrong's *Notices*, the several biographies of leading actors, Coggeshall's *Privateers*, etc.: but their texts usually need verification. Cf. also the *Congressional Reporter, containing the pub. documents and debates, commencing Nov., 1812* (Concord, N. H.); and *The Examiner, containing essays on the most important events; public laws and official documents: Barent Gardenier, editor* (vol. i. beginning Oct. 25, 1813, and vol. iii. ending April, 1815). Poore's *Disc. Catal.* will be of assistance in guiding to the usual documents of Congress.—ED.]

² [Roosevelt (p. 11) says that no despatch of a defeated naval commander was allowed to be

seen by the public subsequent to the first year of the war. The British despatches are, of course, found in *The Gazette* (London), and many were reprinted in *Niles's Register*. The *Papers relating to the War with America*, issued from the Admiralty Office, Feb. 13, 1815, give returns of the British armaments on the lakes, the vessels captured and destroyed by the Americans, returns of Americans taken prisoners, and American vessels captured.—ED.]

³ The first series, covering 1812-13, was issued at Philad. in 1845-49; the second series, for 1814-15, in 1852.

⁴ [The book is in effect a history of the United States from the close of the Revolution to the end of the war in 1815. Dawson (*Hist. Mag.*, Jan., 1870) finds fault with it in his usual captious way. Lossing used some part of his material in *Harper's Mag.* (index, pp. 417, 419).—ED.]

Gen. John Armstrong's *Notices of the War of 1812*, two vols. (N. Y., 1836-40), is a succinct and caustic book, but is too brief to be satisfactory. As the author was Madison's¹ Secretary of War from 1812-14, he had the best opportunity of being familiar with his subject, but as he was deeply involved in personal controversies, especially in reference to his dismissal from office, a large allowance must be made for prejudice. His pungent criticisms of officers are generally correct, though marred occasionally by an ill-natured sarcasm. It must be remembered also that it was in Armstrong's power to remedy many of the defects of the army, and that he failed conspicuously to do so. Nevertheless, his tone is not that of one who wishes to conceal his deficiencies, but of one who has no deficiencies to conceal. In this respect the book is misleading.²

Historical Sketches of the late War between the United States and Great Britain (2d and 3d eds., 1816; 5th, 1818), by John Lewis Thomson, contains much detail, but is of doubtful value. If read at all, it should be read in connection with James's *Military Occurrences* (see *infra*), which consists largely of a running commentary on Thomson, and also on T. O'Connor's *Impartial and correct history of the War* (N. Y., 1815; 4th ed., 1817), and on Dr. S. S. Smith's continuation of Ramsay's *History of the United States* (Phil., 1817).

The pride of Britannia humbled; or the queen of the Ocean unqueen'd, "by the American cock-boats" (N. Y., 1815; new ed., Philad., 1815), is the title of one of Wm. Cobbett's political diatribes, written in his usual pungent and satirical manner. It contains much discussion of land and naval operations. Another volume of Cobbett's on the same subject is *Letters on the late war between the United States and Great Britain* (N. Y., 1815).³

The leading authority for the English side in the naval operations of the war of 1812 is *The Naval History of Great Britain from the Declaration of War by France in 1793, to the Accession of George IV.* By William James, 6 v., new ed., by Capt. Chamier, R. N. (London, 1837).⁴ Vol. vi. treats principally of the naval operations during the American

¹ [The relations of President Madison to the war are explained in Gay's *Madison*, ch. 19. The President had at one time an intention of writing a history of the war (*Madison's Letters*, iii. 57). — E11.]

² For a criticism on Armstrong, see the preface to Col. S. Van Rensselaer's *Narrative of the affair of Queenstown*.

³ Other books purporting to be general histories of the military and naval events of the war, but of little or no special value, are: *Authentic history of the late war*, etc., by Paris M. Davis (Ithaca, 1829; N. Y., 1836); a rather absurd little *History of the late war* (N. Y., 1832); *History of the American war of 1812* (2d ed., Philad., 1816); *Sketches of the war intended as a faithful history* (Rutland, Vt., 1815) — said to have been written by Gideon Minor Davison; J. Lathrop's little *History of the late war* (Boston, 1815); S. R. Brown's *Authentic History of the second war for independence* (Auburn, 1815, in 2 vols.); J. C. Gilliland's *Hist. of the late War* (Baltimore, 1817); T. Wilson's *Biog. of Mil. and Naval heroes* (N. Y., 1817, 1819); Samuel Perkins's *Hist. of the Polit. and Mil. Events of the late War* (New Haven, 1825, 1835; later continued as *Hist. Sketches of the U. S.*, N. Y., 1830).

Of a much higher character than the above is *The History of the late war between the United States and Great Britain*, by H. M. Bracken-

ridge, a Western lawyer of some note, who was engaged for many years in public life, filling a number of more or less important positions. The book passed through six editions (Baltimore, 1817, 1818; Philad., 1836, 1839, etc.), and was translated into French and Italian.

The above were all written soon after the war. Of more recent books may be mentioned J. T. Headley's *Second war with England* (N. Y., 1853, 2 v.); Robert Tomes's *Battles of America* (N. Y., 1861, vol. ii. 117, etc.); Dawson's *Battles of the U. S.* (N. Y., 1859); and Rossiter Johnson's *War of 1812* (N. Y., 1882). The service of negroes in the war is set forth in George W. Williams's *Hist. of the Negro Race in America, 1619-1880*.

⁴ [James in the first instance forwarded from Canada a series of letters which were published in the *Naval Chronicle*, and subsequently as a *Synopsis of naval actions between the ships of his Britannic Majesty and of the U. S.*, and in this form they were reviewed in America in the *Analectic Mag.*, vii. 295. They then became the ground-work of *An Enquiry into the Merits of the Principal Naval Actions between Great Britain and the United States, comprising an Account of All Ships of War reciprocally captured and destroyed since 18th of June, 1812* (Halifax, N. S., 1816). The next year he published *A Full and Correct Account of the Chief Naval Occurrences*

war. The book shows much careful and even minute investigation, but its partisan reasoning is carried to the furthest limit of special pleading. In some instances mis-statements are made which can only be characterized as deliberate falsification, and even where facts are correctly stated the author devotes laborious and persistent effort to their misinterpretation. More than this, he habitually indulges in scurrilous abuse of his opponents, and his tone, when speaking of them, is usually that of vehement and heated personal altercation. In dealing with matters exclusively British, James will generally be found a safe guide, but in his volume on the American war he has lost or sunk all sense of fairness or candor, and his bitter hostility to Americans, and especially to American naval officers, has made him rather the advocate of a cause than the impartial annalist of a contest. He appears to have put before himself the single aim of making out that all American officers, with the exception of Lawrence, who was beaten, were cowards, liars, and blackguards. No charges can be too severe, no language too abusive, to describe their conduct. According to James, they never fought when they could run away, they paid no regard to truth in their statements, they treated their prisoners with uniform brutality, they resorted to the basest fraud and trickery to deceive an opponent. It would be hard to find another book in the language which contains such a mass of malevolent misrepresentations of acts and of motives, such petty slurs upon men's characters, such dirty innuendoes, and such coarse and vulgar abuse. Of course the book is a gross libel; but, unfortunately, nearly all the later British writers who deal with this period have been too indolent to go over the researches which James has evidently made, and although not actuated by his spirit of rancor, they accept and follow his statements. The book has therefore come to be regarded in England as the highest authority upon the great naval wars.¹ The only

of the late War between Great Britain and the United States of America; preceded by a cursory Examination of the American Accounts of their Naval Actions fought previous to that Period (London, 1817), and reissuing it in two volumes (London, 1818), he examined in his preface the criticism of his American reviewers in the *Analectic Mag.* (vols. vii. viii.) D. B. Warden, in his *Statistical, Political, and Historical Account of the U. S.*, having provoked him by ignoring his comments on the American statements, he published *Warden refuted* (London, 1819). His more extensive *Naval Hist.* was first published at London in 1822, in five volumes. A second ed. (1826), with additions, was the occasion of a letter, Jan. 9, 1827, to George Canning, in vindication of his position (*Stapleton's Canning*, ii. 340). Since Capt. Chamier edited it there have been later editions (1846, 1857, 1878, 1886). — ED.]

¹ It is so reckoned by Mullinger in *The English Hist. for Students* (London and N. Y., 1881). Among other general works on English naval history, covering the wars with America during the period 1789–1850, or during some part of it, is Capt. Edward P. Brenton's *Naval History of Great Britain*, 2 vols. (London, 1823, 1837). This work shows less of national prejudice than James's book, but it is inadequate in the matter of detail. The war of 1812 will be found treated in vol. ii. pp. 450 to 540. *The history of the British Navy*, by C. D. Yonge, in 3 volumes (London, 1863, 1866), is an agreeable book, of a popular character, but of no especial use to the student. The same may be said of Joseph

Allen's *Battles of the British Navy* (2 volumes, London, 1858). A work of mixed history and biography is Dr. John Campbell's *Naval History of Great Britain, including the history and lives of the British Admirals*, in 8 volumes (London, 1818). Other works covering in part the American wars are the valuable *Naval Chronology of Great Britain, 1803–1816*, in 3 volumes, by J. Ralfe (London, 1820; vol. iii. contains correspondence and documents relating to the war of 1812); *Royal Naval Biography*, by Lieut. John Marshall, R. N., being memoirs of the services of officers of the English navy, 8 vols. (London, 1823 to 1835), and 4 vols. of supplements (London, 1827 to 1830); J. Ralfe's *Naval Biography of Great Britain*, consisting of historical memoirs of those officers who distinguished themselves during the reign of George III, in 4 vols. (London, 1828); W. R. O'Byrne's *Naval biographical dictionary* (London, 1849); *History of the Indian Navy*, by Charles R. Low, 2 v. (London, 1877); *Historical Record of the Royal Marine Forces*, by Lieut. P. H. Nicolas, 2 vols. (London, 1845).

Special biographies of English naval officers, to be consulted for detached operations during this period, are Capt. A. Murray's *Memoir of Admiral Durham* (London, 1846); *Admiral Sir P. B. V. Broke, Bart., a memoir*, by Rev. J. G. Brighton, M. D. (London, 1866); *Memoir of the life of Admiral Sir Edward Codrington*, by Lady Bourchier, 2 vols. (London, 1873.) (Codrington was captain of the fleet in the New Orleans expedition, and took part in the previous operations in Chesapeake bay); *Memorials of Admiral*

systematic attempt to dissect James is that made by Theodore Roosevelt, who has done this work most satisfactorily.

The Naval War of 1812 (N. Y., 1882; 3d ed. 1883), by Theodore Roosevelt, is an exceedingly valuable study of the naval history of the war. Its faults are in arrangement and construction rather than in matter. It is the only work in which a full use has been made of the original documents in the archives of the Navy Department. The author has thus accumulated much in the way of new materials, which are supplemented by full and intelligent discussions. The style of the book would be more satisfactory if the author had spent a little more time in working up his notes into a connected narrative. A tendency is noticeable to diminish the credit generally awarded to certain officers; in one case, that of Perry, quite unjustly. As a whole, the book is exceptionally impartial, and accuracy in statements of fact is one of its marked characteristics. No other published work presents so complete an answer to James's misstatements, which are analyzed and refuted in detail. In painstaking investigation the book is unrivalled in its own field, and to the student of the naval war it is indispensable.¹

The comparative qualities of the French and English navies during the Napoleonic wars are thoroughly discussed in the *Guerres maritimes sous la République et l'Empire* of Admiral E. Jurien de la Gravière, 4th ed. (Paris, 1865). The work has since passed through several editions, and commands the highest respect both in France and England. In vol. ii. chap. 18 are some invaluable comments on the actions between the Americans and English in the war of 1812.² Another very important discussion of the frigate actions is contained in part v. of Gen. Sir Howard Douglas's *Treatise on naval gunnery*, 4th ed. (London, 1855). Although Sir H. Douglas was not a naval officer, he was a most accomplished professional soldier, and his comments on the actions are the judgments of an expert. As might be expected from their authorship, they are absolutely free from the foolish bitterness of tone that disfigures James's works.³ A valuable technical examina-

Lord Gambier, by Georgiana Lady Chatterton, in 2 vols. (London, 1861.) (Lord Gambier was at the head of the commission which negotiated the Treaty of Ghent); *Personal Narrative of events from 1799 to 1815*, by Vice-Admiral William Stanhope Lovell, 2d edition (London, 1879.) (chapters 15 and 16 treat of operations in America, including the expedition to Washington); *Life and Correspondence of Admiral Sir Charles Napier*, by Major-General E. Napier, 2 vols. (London, 1862.) (chap. 4, in vol. i., treats of operations in America); *Autobiographic Memoir of Sir John Barrow, Bart.* (London, 1847.) (Barrow was second secretary to the admiralty during the war of 1812); *Correspondence and Diaries of John Wilson Croker, Secretary to the Admiralty from 1809 to 1830*, edited by Louis J. Jennings, in 3 vols. (London, 1884); *Naval adventures during 35 years Service*, by Lieut. W. Bowers, in 2 vols. (London, 1833); *My youth by sea and land, from 1809 to 1816*, by Charles Loftus, 2 vols. (London, 1876); *Recollections of a naval life*, by Capt. James Scott, R. N., 3 vols. (London, 1834); *Memoir of Adm. Sir H. D. Chads* (Portsea, 1869).

¹ [The Earl of Donndonald (*Autobiography of a Seaman*, London, 1860, 2d ed., vol. ii.) in commenting in Parliament on the unexpected British naval defeats, traced them to "the decay and heartless state of the crews compared with the freshness and vigor of the crews of the enemy,"

and Alison speaks of the "extraordinary and unlooked-for triumphs of the Americans at sea." It was James's mission to allay this despondency by magnifying the advantages of the American frigates over the British in weight of metal in the broadside, while the Americans claimed that superior gunnery was the cause of their success. James even asserted that the American victories were due to the large proportion of British seamen in their crews; but the American writers assert that a service by impressment in a British ship did not make an American an Englishman, and Roosevelt examining the matter thinks that not over a twentieth of the American crews could have been British seamen; and he insists that in underrating ships both sides were equally given to such kind of deception. — ED.]

² [M. Ch. Chabaud-Arnault contributed to the *Revue maritime et coloniale* a paper, which was published separately in 1883 as *Étude sur la guerre navale de 1812*. — ED.]

³ [Cf. the papers on the "Naval war of 1812 with the U. S.," by Captain Bedford Pim and Sir E. J. Reed, in *Colburn's United Service Mag.*, Nov. and Dec., 1880; and "The American naval war of 1812, according to the respective histories of William James and J. Fenimore Cooper," by H. V. Powell, with various fresh particulars from British and American authorities not hitherto collected (*Ibid.*, April and May, 1885). — ED.]

tion of the battles of Lake Erie and Lake Champlain will be found in Comr. J. H. Ward's *Manual of Naval Tactics* (N. Y., 1867). The frigate actions of 1812 are discussed in a paper by J. R. Soley, published in the *Proceedings of the Naval Institute*, vol. vii. no. 3.¹

Of special naval memoirs (American) for the war of 1812, the principal books are Mackenzie's *Life of Decatur*, Harris's *Life of Bainbridge*, Mackenzie's *Life of Perry*, and Porter's *Life of Commodore Porter*, all of which are more fully mentioned elsewhere. In chapter 5 of Griffin's *Matthew Calbraith Perry* is a rather slight account of the cruises in which Perry served during the war. The anonymous *Biographical sketch of Commo. Charles Stewart*, already mentioned, contains brief notices of Stewart's operations in the war of 1812. A sketch of Commo. Hull, by General James Grant Wilson, appears in the *N. Y. Genealogical and Biographical Record* for July, 1880.

Thirty Years from Home (Boston, 1843) is a little book of considerable value, containing the narrative of Samuel Leech, a seaman of the "Macedonian" at the time of her action with the "United States." Leech subsequently deserted, and served in the U. S. navy, and he gives a graphic account of the man-of-war life of the period.

For Fulton's torpedo-work in the war of 1812, see Lt.-Comr. J. S. Barnes's *Submarine Warfare*, N. Y., 1869 (chap. 3): the *Life of Fulton*, by James Renwick, in Sparks's Lib. of Am. Biog., 1st ser., vol. x.; and his own *Torpedo War and Submarine Explosions* (N. Y., 1810). Lives of Fulton have also been written by C. D. Colden (N. Y., 1817) and by J. F. Reigart (Phila., 1856).

Gen. Geo. W. Cullum's volume, *Campaigns of the War of 1812-15 — sketched and criticised* (N. Y., 1879), is a series of notes on military operations by a most accomplished professional critic. It contains also important notes on the history of the corps of engineers, and biographical sketches of prominent engineer officers.

On the English side we have *A full and correct account of the Military Occurrences of the late war between Great Britain and the United States of America*, 2 v. (London, 1818), by William James, which is the companion piece to the *Naval Occurrences*. Its tone is equally offensive, and its comments are of the same scurrilous character. When dealing with the enemies of his country, James is nothing if not vituperative. The war of 1812, with its absurd generals and its farcical strategy, affords a fine opportunity for caustic humor, but James only makes it the occasion of a tirade of vulgar abuse. The value of the book consists chiefly in the official reports and documents which it contains.²

¹ [Cf. Admiral Preble's "Ships of the Nineteenth Century" in the *United Service*, x. 431; and the chapter on "Men, ships, and guns" (ch. iv.) in Griffin's *M. C. Perry*. A detailed specification of the authorities on these frigate actions is given in a note at the end of the present chapter. Admiral Preble compiled, though not officially, a list of United States vessels from 1797 to 1874, of which his interleaved copy belongs to the Mass. Hist. Society. The *Register of the officers and agents* (Washington, 1816, and later eds.) gives "the names, force, and condition of all ships" at the close of the war. Lorenzo Sabine's *Report on the principal fisheries of the American Seas* (Washington, 1853), and the section, "Historical references to the fisheries of New England" in G. Brown Goode's *Fisheries of the U. S.* (Washington, 1887), p. 677, give some incidental information respecting the recourse which the government had to the fishery men, particularly of New England, during the war; but this subject is more completely treated in the histories of the various New England seaport towns. — ED.]

² [The war also enters into the scope of some of the general histories of England and Europe. Edward Baine's *History of the Wars of the French Revolution* (London, 1817) was reprinted in Baltimore in 1820, with an appendix on the American war, by E. H. Cummins; and again in Philad., 1835, "with notes and a history of the late war between the U. S. and Great Britain," by William Grimshaw, an Irishman domiciled in the United States, and a hack-writer of the time. The events of the war enter into Alison's *Europe, 1789-1815* (Harper's ed., iv. ch. 76); and also into Williams and Stafford's *England's battles by sea and land* (London, 1854). James Grant's *Recent British Battles on land and sea* (London, 1884) studiously avoids giving any account of those during the war, which were British defeats. Richard Trimen's *Regiments of the British Army* (London, 1878) shows the service of the different corps in the war. The *Canadian Antiquarian* (iv. 122) gives the medals awarded to the British commanders. Loud's *Dress of the British soldier* (London, 1852), pp. 103-4, shows the uniforms. — ED.]

On American privateers during the war of 1812, the leading work is Capt. George Coggeshall's *History of the American Privateers*, 2d ed. (N. Y., 1856; 3d ed., 1861). Coggeshall commanded two privateers, the "David Porter" and the "Leo," during the war. His experience in these vessels is given in detail. Other accounts are derived from such sources as the author had at ready command, chiefly newspapers. He occasionally gives original documents, and upon some points he appears to have had assistance from officers of the government. The book is not, however, either an exact or an exhaustive study. See also Clark, *Naval History, passim*; *American naval battles*, pp. 224-243; Capt. S. C. Reid's experience at Fayal (Sept. 26, 1814) as detailed in the *Collection of sundry publications and other documents, in relation to the attack made during the late war upon the private armed brig "General Armstrong"* [Anon.]¹ N. Y., 1833; *Biographical memoir of Joshua Barney*, ed. by Mary Barney (Boston, 1832), chap. 17, for the "Kossie's" cruise. In general, the historical materials for the history of the privateers are of the scantiest character.²

On the manner in which the war was conducted by the British, see the correspondence between Mr. Monroe and Vice-Admiral Cochrane in the *Am. St. Pap., For. Rel.*, iii. 693; also *Mil. Aff.*, i. 339-381. The latter document³ was reprinted, with some unimportant addenda, as a separate book, entitled *Barbarities of the Enemy* (Troy, N. Y., 1813, and Worcester, Mass., 1814). An account of the burning of Havre de Grace, written by Jared Sparks, who was an eye-witness of the event, is given in the *No. Amer. Rev.* v. 157. On the treatment of prisoners, see *For. Rel.* iii. 630, 726. An exhaustive inquiry into the Dartmoor prison massacre, April 6, 1815, with the testimony in full, is given in *For. Rel.* iv. 19-56. See also, for Dartmoor experiences, *The Prisoner's Memoirs* (N. Y., 1852), compiled by a "Prisoner in England" [Charles Andrews]; a *Journal of a Young Man of Massachusetts, late a surgeon on board an American privateer* [Dr. Benjamin Waterhouse], 2d ed. (Boston, Lexington, Ky., and Milledgeville, Ga., 1816).⁴

On the forces employed, see a return of the third auditor in *Am. St. Pap., Mil. Aff.*,

¹ [Cf. *International Law. The case of the private armed brig of war, "Gen. Armstrong," containing letters and documents referring to the history of the claim* (N. Y., 1857). It was claimed that the defence of the brig detained the British vessels carrying aid to Pakenham at New Orleans, and that such delay rendered it possible for Jackson to gain his victory. — Ed.]

² [There is some grouping of details in Dawson, ii. 137, 189, 209, 264, 396; Ingersoll's *Second War*, 2d ser. ch. 1; *Democratic Review*, xl. 523; *Harper's Mag.*, xxix. 396; but the best source of such data is in the local histories of seaboard cities and the towns, chiefly in New England. Cf. D. H. Hurd's *Essex County, Mass.* (Philad., 1888); Babson's *Gloucester, Mass.*; Gould's *Portland, Me.*, 432, 443; *Essex Inst. Hist. Coll.*, ii. 57; Col. Higginson on the old Salem sea-captains in *Harper's Mag.*, Sept., 1886. In the war of 1793-1815 the English government issued 10,000 letters of marque; yet England lost 11,000 merchant vessels, and captured only 1,000 of the enemy's privateers. (C. B. Norman's *Corsairs of France*, London, 1887, p. vii., with much information in the appendices.) The Blue-book, *Further Papers relating to the war with America* (London, 1815), shows the concern of the British merchants over the capture of their vessels in the channels by American privateers. On privateering in the

past, see J. K. Laughton's *Studies in Naval Hist.* (London, 1887). See also, an address on the *Privateersmen of Newport*, by Wm. P. Sheffield (Newport, 1883). — Ed.]

³ [A *Report of a Committee of Congress relating to the spirit and manner in which the war has been waged by the enemy* (Washington, 1813). There was a *Narrative of suffering*, etc. of a missionary, M. Smith, as a prisoner in Canada, which passed through various editions, and served to embitter the feelings of the Americans. Cf. Field's *Indian Bibliog.*, no. 1454. For Indian massacres see A. J. Ebell in *Harper's Mag.*, xxvii. p. 1. — Ed.]

⁴ [Both Andrews and Waterhouse give plans of Dartmoor prison. Cf. Lossing, p. 1068. Cf. *A Green Hand's First Cruise, with a residence of five months in Dartmoor* (Boston, 1841); Ingersoll's *Second War*, 2d ser. ch. 1; and references in *Poole's Index*, p. 333. There are various clues in *Poore's Desc. Catal.* to action in Congress; and particularly the *Message of the President of the U. S., transmitting a report of the Secretary of State . . . of the number of impressed American seamen confined in Dartmoor prison, the number surrendered, given up, or taken on board British vessels captured during the late war, together with their places of residence* (Washington, 1816). — Ed.]

vi. 927, stating in detail the number of officers and soldiers of the organized militia, and volunteers from the several States and Territories, in service during the war.¹

III. SPECIAL EPISODES OF THE WAR. — The British military records of the war, as to the campaigns on the Canadian borders, have for the most part been transferred, of late, from Halifax to Ottawa, though T. B. Akins's *List of Documents in the government offices at Halifax* (p. 24) shows that some are still left at Halifax. The War Office in London contained in 1872, when Brymner, the Dominion archivist, examined them, four volumes of letter-books pertaining to the war in Canada.²

Sir George Prevost's conduct of the war met with severe criticism in some letters published at the time in Montreal under the signature of "Veritas," which elicited a reply in *The Canadian Inspector* (Montreal, 1815). The accusations of "Veritas" were adopted in the *Quarterly Review*, 1822 (xxvii. 405), which led to an elaborate vindication of the Canadian governor in *Some Account of the Public Life of the late Lieutenant-General, Sir Geo. Prevost, particularly of his services in the Canadas* (London, 1823). An indispensable work on Brock's campaigns is Ferdinand Brock Tupper's scarce *Family Records; containing Memoirs of Maj.-Gen. Sir Isaac Brock, Lt. E. W. Tupper, R. A., . . . with the Life of Te-Cum-Sch* (Guernsey, 1835).

There is a professional view of the campaigns in Sir James Carmichael-Smyth's *Précis of the Wars in Canada*, 2d ed., edited by his son (London, 1862), pp. 133-194.

The Canadian press has given us various monographs. The earliest of importance is Robert Christie's *Memoirs of the Administrations of the Colonial Government of Lower Canada, by Sir James H. Craig and Sir George Prevost, 1807-1815, Comprehending the military and naval operations in the Canadas* (Quebec, 1818).³

The latest considerable account is W. F. Coffin's *1812, — the war and its moral, a Canadian Chronicle* (Montreal, 1864). The author was an officer of the war, and brings his narrative only to the close of 1813. He mentions among the MSS. used by him a memoir of Prevost and a journal of Gen. Simcoe.⁴

On the American side, beside the general histories, we have Edw. D. Mansfield's *Life of Gen. Winfield Scott* (N. Y., 1846), of which pp. 33-150 cover Scott's participation in the Northern campaigns. The *Memoirs of Lt.-General Scott, written by himself* (New York, 1864), did not add to the general's reputation. The Northern campaigns in the war

¹ [Cf. *Report*, Dec. 12, 1836, *Ex. Doc.*, no. 20, 24th Cong., 2d session. William Jay gives a table of the killed and wounded in the *N. Y. Hist. Soc. Coll.*, 2d ser., vol. ii. There is a statement of the expenses of the war in Adams's *Gallatin*, vol. iii. App. — Ed.]

² [James says (*Mil. occurrences*, p. xxiii.) that an official account of every military action has appeared in *The Gazette* (a set in the Boston Public Library), and he copies them, with some of the American accounts, in his appendix; but the accounts of naval defeats were not published after 1813, forcing the *Annual Register* to depend on the American reports "in numerous cases," as James says, rendering them, in his judgment, inaccurate. The *Montreal Herald*, 1811-1814, is sometimes the repository of such accounts. — Ed.]

³ [Others are: David Thompson's *Hist. of the late war between Great Britain and the United States* (Niagara, Canada, 1832); Major John Richardson's *War of 1812, operations of the right division of the Canadian army* (1842), left a fragment of 182 pp. Richardson was an officer

under Proctor, taken prisoner at the battle of the Thames. Gilbert Auchinleck's papers originally published in the *Anglo-American Magazine*, and then separately as *Hist. of the War between Great Britain and the United States* (Toronto, 1855, 1862), take extreme views of the American narratives, and defend the Indian allies of the British from charges of cruelty. — Ed.]

⁴ [There are some personal details in H. J. Morgan's *Celebrated Canadians*; in the *Biog. of the Hon. W. H. Merritt, of Lincoln, district of Niagara* (St. Catharines, 1875), by J. P. Merritt. There are lesser accounts of the war in Rver-son's *Loyalists* (vol. ii.); in Bryce's *Canada* (pp. 310-326); and in some local histories, like Robert Sellar's *County of Huntingdon* (Huntingdon, Quebec, 1888).

There are a few French and French Canadian records. The most considerable of the general works are Garneau's *Histoire du Canada* and Bibaud's *Histoire du Canada*. For the special service of some of the Indian allies we have Maurault's *Histoire des Abénakis* (1866). — Ed.]

of 1812, in which Scott took part, are treated in chapters 5 to 12. For Izard's operations, see his *Official correspondence with the department of war relative to the military operations of the American army under [his] command on the northern frontier of the U. S. in 1814 and 1815* (Phila., 1816).¹

On the campaigns of the Northern armies in 1812-13, including the operations of Dearborn, Lewis, Winder and Chandler, Wilkinson, Hampton and McClure, see the documents transmitted to Congress, with the report of the Secretary of War of Jan. 25, 1814, on the causes of the failure of the army on the Northern frontier (*Am. St. Pap., Mil. Aff.*, i. 439-488).² The campaign of General Stephen van Rensselaer on the Niagara frontier (October, 1812) was severely criticised by Armstrong, *Notices of the War*, chap. 4, which led to the publication of an answer, entitled *Narrative of the affair of Queenston* (N. Y., 1836), by Col. Solomon van Rensselaer, who commanded the attack.³ The *Memoirs of my own times* (Philad., 1816), by Gen. James Wilkinson, make a very tedious book, but one which is necessary to the student from its array of documents. Vol. i. chapters 13-16, treats of the Northern campaigns, and vol. iii. is devoted wholly to the Wilkinson court-martial. The *Life of Zebulon Montgomery Pike*, by Henry Whiting, in Sparks's *Lib. of Am. Biog.*, 2d ser. vol. v., chapters 5 and 6, relates to the campaign on Lake Ontario and the capture of York, where Gen. Pike was killed. See also F. B. Hough's *History of St. Lawrence and Franklin Counties*, N. Y. (Albany, 1853), and *History of Jefferson County* (N. Y., 1854).

Respecting the campaigns (1812-1814) in the Northwest, there are, beside the treatment in the general histories, several special accounts, serving to complete the story, like the contemporary compilations of R. B. McAfee⁴ and Samuel R. Brown,⁵ the journals of Adam Walker⁶ and Lt.-Col. Eleazer D. Wood,⁷ and the later compilations of Flint and others.⁸

The surrender of Detroit and Michigan by General William Hull has been the subject

¹ [A portrait of General Izard, engraved from a painting by Otis (1817), with a paper by G. E. Manigault on his military career, is given in *Mag. of Amer. Hist.*, June, 1888. — Ed.]

² [These papers accompanied a *Message from the President* [Feb. 2, 1814], transmitting letter from Secretary of War, with sundry Docs.; in obedience to resolution of 31st Dec. last, requesting such information as may tend to explain causes of Failure of Arms of U. S. on Northern Frontier. It was also printed at the same time at New York and at Albany. Cf. Gen. Geo. McClure's *Causes of the destruction of towns on the Niagara Frontier and failure of the Campaign of 1813* (Bath, N. Y., 1817); W. H. Winder's *Statement of Occurrences on the Niagara Frontier in 1812* (Washington, 1829); and a paper on Gen. Chandler in the *Maine Hist. Soc. Coll.*, ix. 183. The papers of Governor Daniel D. Tompkins, preserved in the State Library at Albany, throw light on the warfare on the N. Y. borders. Cf. Memoir of Tompkins in *N. Y. Hist. Soc. Proc.*, 1844, p. 121. Sparks (MSS. xxxiii. 433) quotes from a MS. life of Gen. Dearborn by his son. Cf. Daniel Goodwin's *Dearborns*. Ingersoll is very severe on him. — Ed.]

³ [Cf. documents in Mrs. Bonney's *Legacy of Hist. Gleanings*, i. ch. 12. — Ed.]

⁴ [*Hist. of the late War in the Western Country* (Lexington, 1816). Cf. Field, *Ind. Bibliog.*,

no. 964; P. O. Thomson's *Bibliog. of Ohio*, no. 738. McAfee had assistance from Harrison, Shelby, and Croghan, and he used the journal of Col. Wood. — Ed.]

⁵ [*Views of the Campaigns of the Northwestern Army* (Troy, 1814; Philad., 1815; Burlington, Vt., 1815). Cf. Thomson's *Bibl. Ohio*, no. 128. — Ed.]

⁶ [*Journal of two campaigns of the Fourth Reg. of U. S. Infantry in the Michigan and Indiana territories, under Col. John P. Boyd and Lt.-Col. James Miller, 1811-1812* (Keene, N. H., 1816). Walker was a drummer. Cf. Thomson, no. 1173; Field, no. 1619; Brinley, iii. 4526. — Ed.]

⁷ Included in ch. 10 of Gen. Cullum's *Campaigns of the War of 1812-1815*.

⁸ [Timothy Flint's *Indian Wars of the West* (Cincinnati, 1833), a somewhat confused book; Albach's *Annals of the West*: Knapp's *Maumee Valley*, ch. 2, with some official correspondence; and sundry monographic papers in the *Western Reserve Hist. Soc. Tracts* (nos. 3-7, 12, 15, 17, 18, 19, 22, 28, 36, 51, etc.); *Michigan Pioneer Coll.*, viii.; and *Mag. West. History*, Feb., 1885; and others.

Chief among the biographical material are the lives of Harrison (referred to elsewhere), of Gen. Leslie Coombs (N. Y., 1852; Washington, 1855), and Smith's *Lewis Cass*. — Ed.]

of conflicting opinions. The first reports to their respective governments of Hull and Brock are in the usual documentary repositories.¹ The failure of Van Horne at Brownstown and Miller at Maguaga, to preserve Hull's communications,² was the immediate cause of the surrender, which was made without consultation with his officers, for humane considerations, as Hull claimed, but evincing unsoldierly conduct, as the decision of the court-martial determined. The judges decided him worthy of death: but he was pardoned by the President, by reason of his services in the Revolutionary War. His defenders aver that his good name was sacrificed to a political exigency which demanded a victim. There are two editions of his trial,³ and Hull himself published at the same time the text of his defence.⁴ It is alleged by his defenders that the government withheld from him for some years the necessary papers, but ten years later he retold the whole story in his *Memoirs of the Campaign of the Northwestern Army in 1812* (Boston, 1824). In this he reflected on Gen. Henry Dearborn, who had been expected to co-operate with him, but had made an armistice with Prevost without including Hull in the terms of it. A son of Dearborn repelled Hull's charges.⁵ The fullest defence of Hull has been made by his kindred, his daughter and grandson,⁶ whose views have been reflected by some later writers;⁷ but the more common, as well as more correct opinion seems to be, that Hull failed to manifest what is demanded of a soldier in such circumstances.⁸

¹ [Cf. *Ann. Register*, 1812; Dawson, ii. 110; *Michigan Pioneer Coll.*, vii. 122. For maps of the Detroit River, see Lossing, p. 266; *Harper's Mag.*, xxvi. 732; for early plans of the post, one of 1796 in Sheldon's *Early Hist. of Michigan* (cf. *Mass. Hist. Soc. Proc.*, xi. 160), and one in Darby's *Tour from New York to Detroit in 1813* (N. Y., 1819); for early views of the town, one of 1796 in Farmer's *Detroit*, 367; one of 1811 in Roberts's *City of the Straits*, 60; one of 1815 in Gay, iv. 185. — ED.]

² [Cf. James Dalliba's *Narr. of the Battle of Brownstown, Aug. 9, 1812* (N. Y., 1816); *Michigan Pioneer Coll.*, vi. 466; Dawson, ii. 98, with references; and Fay's *Collection*. Also see Samuel Williams's *Exped. of Capt. Henry Brush with supplies for Gen. Hull, 1812* (Cincinnati, 1870, — Ohio Valley Series, no. 7, originally in the *Ladies Repository*, 1854). By Hull's orders, the post at Chicago, Fort Dearborn, which had been erected in 1803, was evacuated, and the attack on the retreating garrison followed. Cf. *The Dearborns*, by Daniel Goodwin, jr. (Chicago, 1884, — Chicago Hist. Soc. Proc.); Albach's *Annals*, 865; John Wentworth in no. 16, *Fergus Hist. Series*, with other papers in the same publication; J. G. Wilson in *U. S. Service Mag.*, Oct., 1865, p. 320, vol. iv., and *Hist. Mag.*, vi. 108; A. T. Andreas's *Hist. of Chicago* (Chicago, 1884-86), in three vols.; W. H. Hurlbut's *Chicago Antiquities*; Wm. Barrows' *United States of Yesterday*, etc., ch. 5, from *Mag. Amer. Hist.*, April, 1885, p. 360; Dawson, ii. 103. Early plans and views will be found in Hurlbut, 189; Andreas, i. 79, 81, 113; Lossing, 303, 308, 313. On the surprise at Michillimackinack, July 17, 1812, see Dawson, ii. 88, and Lieut. D. H. Kelton's *Annals of Fort Mackinac* (Chicago, 1882), a collection of scraps, of varying interest and importance. A map of the island is given (p. 81), and sketches of the early blockhouses. — ED.]

³ *Trial of Brig.-Gen. William Hull for treason, cowardice, neglect of duty and unofficer-like conduct, with the sentence of the Court and remission thereof by the President of the U. S.* (Boston, 1814); and another *Report of the trial, etc.*, taken by Lieut.-Col. Forbes (N. Y., 1814).

⁴ *Defence of Brigadier-General W. Hull, delivered before the General Court Martial, of which Major-General Dearborn was President, at Albany, March, 1814. With an Address to the Citizens of the United States, written by himself. To which are prefixed the charges against Gen. Hull as specified by the Government* (Boston, 1814).

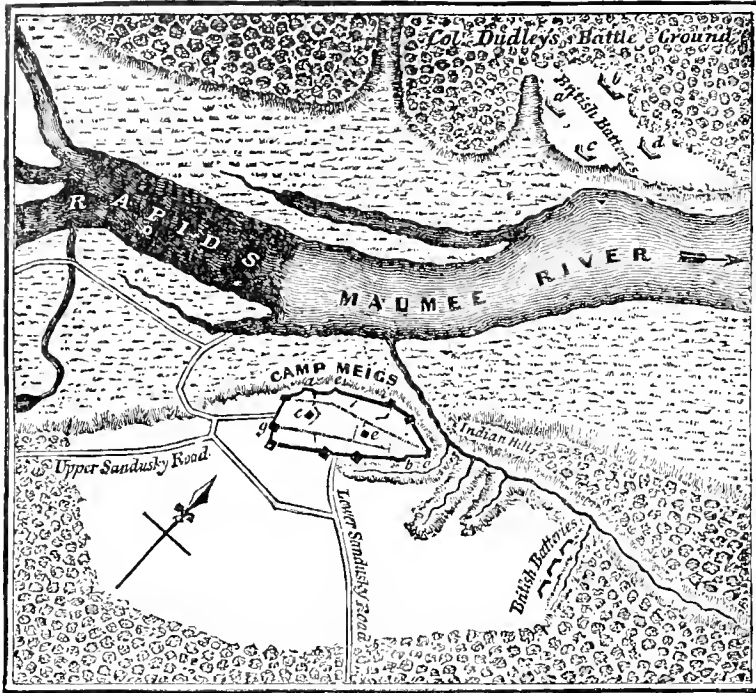
⁵ *Defence of Gen. Henry Dearborn against the attack of Gen. William Hull, by H. A. S. Dearborn* (Boston, 1824). A MS. defence of Dearborn is also in the Wisconsin Hist. Soc. library. Hull's *Memoirs* elicited other animadversions in Capt. Josiah Snelling's *Remarks* (Detroit, 1825).

⁶ *Revolutionary services and civil life of Gen. Hull, by his daughter, Mrs. Maria Campbell, together with the history of the Campaign of 1812 and surrender of the post of Detroit, by his grandson, James Freeman Clarke* (N. Y., 1848). [Dr. Clarke, in his *Memorial and Biog. Sketches* (Boston, 1878), in briefly reviewing the matter, holds that "public opinion has long since reversed this sentence." Another grandson, Samuel C. Clarke, resented the imputation of an earlier physical infirmity, which had been referred to as the real cause of Hull's conduct (*N. E. Hist. and Genral. Reg.*, 1855, p. 41; 1857, p. 13). — ED.]

⁷ [Lossing, in his *War of 1812*, sets forth the extenuating circumstances, and more specifically defends Hull in *Hull's Surrender of Detroit* (Philad., 1875), reprinted, with additions, from *Potter's Amer. Monthly*, Aug., 1875. Cf. Johnston's *Yale in the Revolution*, p. 281. — ED.]

⁸ Cf. Armstrong's *Notices*, i. 15-51. We get the different phases of the antagonism to Hull in W. L. G. Smith's *Life and Times of Lewis*

The surrender of Detroit in August, 1812, was followed during the rest of that year by a series of conflicts with the Indians.¹ These embarrassments caused delay in an attempt



FORT MEIGS.

Cass (N. Y., 1856), ch. 6, — Cass having been a colonel of Ohio troops in the garrison; and in an anonymous *Life of Cass* (Philad., 1848); in James Foster's *Capitulation, or a history of the exped. conducted by Wm. Hull, by an Ohio Volunteer* (Chillicothe, 1812); and in Wm. Stanley Hatch's *Chapter of the history of the war of 1812 in the north-west; embracing the surrender of the northwestern army and fort at Detroit, Aug. 16, 1812; with a description and biographical sketch of Tecumseh* (Cincinnati, 1872). Hatch was the acting assistant quartermaster-general of the army, and his journal, brief as it is, reflects the opinions that prevailed in the army. [The sarcasm of the hour is shown in *The War of the Gulls* (N. Y., 1812), ascribed to Jacob Bigelow and Nathan Hale, of Boston.

Of the later writers, Ingersoll takes the adverse view. Hildreth (vi. 337) says it is "not easy to find fault with the sentence." Gay (iv. 185) thinks the soldier was overcome by humane

considerations. James V. Campbell's *Political History of Michigan* is decidedly adverse to Hull. Judge T. M. Cooley (*Michigan*, ch. 9) says "the judgment of the country has not acquitted Hull of fault." Farmer, *Detroit and Michigan*, ch. 42, reviews the subject with pronounced hostility. — Ed.]

¹ [Chief among them, the siege of Fort Wayne, Sept. 1-12 (Dawson, ii. 125; *Harper's Mag.*, xxvii. 152); Capt. Zachary Taylor's defence of Fort Harrison, on the Wabash, Sept. 4th (Dawson, ii. 127; *Harper's Mag.*, xxvii. 147); Fort Madison, on the Mississippi, Sept. 5-8 (Dawson, ii. 133); the battle of the Peninsula, in the Western Reserve, Sept. 20th (A. G. Riddle in *Mag. West. Hist.*, i. 308; and by Col. Whittlesey in *Ibid.* vii. 322; *Fireland's Pioneer*, i., May, 1850); and later affairs (Dawson, ii. 182). The lives of Harrison and Lossing's *War of 1812* supplement these. — Ed.]

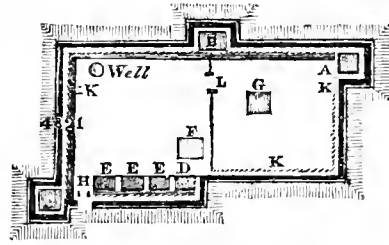
* [Fac-simile of a cut in Howe's *Hist. Coll. Ohio*, 528, after surveys made July 10, 1813, by Lieut. Joseph H. Larwill. Howe uses in his description the MS. journal of Lieut. Larwill, and cites the account of the siege by the Rev. A. M. Lorraine, published in the *Ladies' Repository*, March, 1845; and the British account in the *London New Monthly Mag.*, Dec., 1826.

There is a plan of the works on a larger scale in Lossing (484), and (p. 488) a plan of the final stages of the

to recover Detroit. In the winter a distressing experience was suffered on the Raisin River, which barred the approach to Detroit, and at whose mouth, near the debouchment of the Detroit River, Frenchtown was situated. Gen. Winchester, who had been superseded in the chief command by Harrison, pushed on to Frenchtown, where, on Jan. 18, 1813, a fight took place, followed by a massacre up the river, Jan. 22. Regarding these transactions we have both contemporary and compiled accounts.¹

Harrison's defence of Fort Meigs (April 28–May 9) and Croghan's later defence of Fort Stephenson (August 2) are conspicuous episodes of this year (1813).

The victory of Perry on Lake Erie gave Harrison water-transportation, and in September (1813) Harrison advanced to recapture Detroit and push into Canada, and the decisive conflict was the battle of the Thames, Oct. 5.²



FORT STEPHENSON.*

¹ The chief accounts by participators are the following: Elias Darnall's *Journal; containing an accurate and interesting account of the hardships, sufferings, battles, defeat and captivity of those heroic Kentucky Volunteers and Regulars, commanded by General Winchester, in the years 1812–13, also, two narratives by men* [Timothy Mallary and John Davenport] *that were wounded in the battles on the River Raisin, and taken captive by the Indians* (Paris, Ky., 1813; Shelbyville, Ky., 1814; Philad., 1834). Cf. Thomson, nos. 309–311. William Atherton's *Narrative of the sufferings and defeat of the northwestern army under Gen. Winchester* (Frankfort, Ky., 1842). Cf. Thomson, no. 47. McAfee attacked Winchester for his conduct, and he was defended in *Historic Details having relation to the campaign of the N. W. army under Generals Harrison and Winchester, 1812–1813* (Lexington, Ky., 1818).

There are various documents in the App. of Armstrong's *Notices*, and some details in the *Life of Leslie Coombs*, and in the account of Gen. W. O. Butler appended to the *Life of Cass* (Philad., 1848). Details of the massacre make part of the government report, which was printed as *Barbarities of the Enemy*.

[Of the later accounts, there is one by Thomas P. Dudley in the *Western Reserve Hist. Soc. Tracts*, no. 1 (Cleveland, 1870), and in *Hist. Mag.*, xix. 28; one in Dawson, ii. 191, 194; and on account of the body of Kentucky troops engaged, there is more or less in the histories of Kentucky by Collins (i. 299), and in Ranck's *Lexington*, 47, ch. 37. Lossing gives local and topographical matter, with a plan (*War of 1812*, 358; *Harper's Mag.*, xxvii. 156, 157). — ED.]

² [The English general Proctor was seconded by Tecumseh with his Indians. That chieftain's

siege. Cf. Cullum, p. 110. Lossing, who gives a good account of the siege, groups his authorities in a note (p. 489). Dawson (ii. 221) collates them in footnotes. Capt. Leslie Coombs's official report to Gen. Green Clay of the defeat of Col. Wm. Dudley's command, a part of the relieving force, was printed at Cincinnati (1860, — see Thomson's *Bibliog. of Ohio*, no. 234). There is a diary by J. Bonner in the *West. Reserve Hist. Soc. Tracts*, no. 40, — see also no. 23. Loubat (*Medal. Hist.*, 233) gives Harrison's report and an engraving of the medal given to Harrison. Cf. Atwater's *Ohio*; Howe's *Hist. Coll. Ohio*; Knapp's *Maumee Valley*, 193, with plans; Thomas Christian's *Campaign of 1813 on the Ohio frontier*, appended to C. C. Baldwin's *Relics of the Moundbuilders* (1874). — ED.]

* [Fac-simile of a cut in Howe's *Hist. Coll. Ohio*, 448, where is also a large plan of the neighboring ground. The plan originally appeared from the official drawing in the *Portfolio* (vol. v., March, 1815; later in Thomson's *Hist. Sketches of the late War*. Cf. Knapp's *Maumee Valley*, 185, and Lossing's *War of 1812*, p. 503, and for site, p. 507. Cf. *Harper's Mag.*, xxvii. 206; Cullum, p. 110.

The river runs parallel to the easterly end of the fort, with low ground between. The main attack was on the north side, and the assaulting column approached the angle near the well. K, K, K, wicker-gates. E E E, storehouses. D, hospital. F, commissary's storehouse. A, block-house, attacked by cannon. H, main gate. G, magazine. The figures 1, 2, 3, 4 mark respectively the line of pickets, embankment, dry ditch, and glacis.

Beside the general histories, see, for the attack on the fort, Atwater's *Ohio*; Elisha Whittlesey's *Defence of Fort Stephenson* (Toledo, 1838); Everett's *Hist. Sandusky County* (1882), p. 113; Dawson's *Battles*, ii. 260; *Proceedings at the unveiling of the Soldiers' Monument on the Site of Fort Stephenson*, 1885 (Fremont, O., 1885), with portraits of Maj. Geo. Croghan, and plan of the siege, and an engraving of the medal struck by Congress for Croghan, which last is also given by Loubat (*Medallie Hist. U. S.*, no. lvi., — with Croghan's report) and by Lossing, p. 505. — ED.]

The two great naval conflicts on the lakes were decisive of the military campaigns. Perry's on Lake Erie (1813) rendered Harrison's possible; and Macdonough's on Lake Champlain (1814) sent Prevost back beyond the borders. The two need to be studied.

The battle of Lake Erie has given rise to more extensive controversy than any other naval engagement of the war. The dispute turns on the question whether Capt. J. D. Elliott, commanding the "Niagara," did his duty in supporting the flagship and engaging the enemy. In his report of the battle,¹ Perry commended Elliott, and in a letter, written a few days later, he spoke of his subordinate's conduct with warm approval. The finding of the court-martial on Capt. Barclay, the English commodore in the action, having referred unfavorably to the part taken by the "Niagara," Elliott asked for a court of inquiry, which made a somewhat ambiguous report. Subsequently (Aug. 8, 1818), Perry preferred charges against Elliott, but no action was taken on them by the department. Perry, when leaving for his last cruise, left with Decatur a collection of illustrative documents, which Mrs. Decatur, after Decatur's death, and without the knowledge of Perry's friends, published as *Documents relative to the difference between Com. Perry and Capt. Elliott* (Washington, 1821; Boston, 1834). This elicited a defence of Elliott in a *Review of a pamphlet*, etc. (Boston, 1834), which gives minutes of the court of inquiry, April 24, 1815. The controversy was renewed in a *Biographical notice of Commo. Jesse D. Elliott* (Philad., 1835) by a "citizen of New York," a name assumed by the author, Russell Jarvis, who had evidently received the materials for his book from Elliott himself, embracing, among others, the papers and diagrams of the appendix. In 1839, Cooper's *Naval History* was published, and soon after, several sharp attacks were made upon the author in various magazines, aiming to show that Cooper had been unfair to Perry in his account of the battle. One of these articles, appearing in the *Commercial Advertiser*, and written by Wm. A. Duer, was made the ground of a libel suit brought by Cooper against the editor, which was one of the *causes célèbres* of the day, and which resulted in a victory for Cooper.² In the midst of the controversy appeared Comr Alexander Slidell Mackenzie's *Life of Perry*,³ in which Elliott is bitterly attacked. A lecture by Tristram Burges before the Rhode Island Historical Society, published in 1839 (Providence and Boston) under the title of *Battle of Lake Erie, with notices of Commo. Elliott's conduct in that engagement*, is another and not very valuable contribution to the

speech at the British council of war, September 18th, at Amherstburg, is given in Lt. Francis Hall's *Travels in Canada*, etc. (2d ed., Lond., 1810). Tecumseh was killed, and there has been a controversy as to the slayer (*Hist. Mag.*, July, 1866; x. 204; *Wisconsin Hist. Coll.*, iv. 369). Of the battle, Lossing (554, 561; *Harper's Mag.*, xxvii. 304) gives one of the best accounts and a plan. Cf. Ingersoll, ch. 6; Dawson, ii. 291; Cullum, 110, for a professional view; *Wisconsin Hist. Soc. Coll.*, iii.; and Loubat, no. 52, for the medal given to Gov. Isaac Shelby, of the Kentucky volunteers. Beside the lives of Harrison, see the *Biog. Sketch of Col. R. M. Johnson* (N. Y., 1843), supposed to be by Asahel Langworthy. — Ed.]

¹ [Perry's official despatches are in *Am. St. Papers, Nav. Aff.*, i. 295; Dawson, ii. (who gives also that of Barclay, the British commander); Albach's *Annals*, 902; *Ann. Reg.*, 1813, p. 187; *Niles's Reg.*, v. 60; Loubat's *Medallie Hist.*, 178. There are various British documents in the blue-

book: *Papers relating to the war with America* (London, 1815). Lossing (p. 530) gives a facsimile of Perry's famous despatch to Harrison and a cut of Perry's "Don't give up the ship" flag (p. 519), and says he used the log-book of the "Lawrence." The original flag is at the Naval Academy. — Ed.]

² A very able and interesting account of the controversy is given in Lounsbury's *Cooper*, Boston, 1883 (*American Men of Letters*), pp. 208-230.

³ [There are other memoirs, by John M. Niles (Hartford, 1820, 1821), and by C. P. Dwyer (Cleveland, 1860). Irving published an account of Perry not long after the victory, in the *Analectic Mag.* (also in Irving's *Spanish Papers*, ii.). There are various titles on Perry in J. R. Bartlett's *Bibliog. of Rhode Island*, that State, as the birthplace of Perry and of many of his seamen, claiming particular honor for the victory. Cf. the histories of Rhode Island, Mason's *Newport*, p. 302. — Ed.]

literature of the controversy on what may be called the anti-Elliott side.¹ In 1843, Cooper outlined his views in an account of Perry printed in *Graham's Mag.* (May and June), and later he published at Cooperstown an important review of the whole question in a pamphlet on *The Battle of Lake Erie; or, Answers to Messrs. Burges, Duer, and Mackenzie*. See also his memoir of Perry in vol. ii. of *Lives of distinguished American naval officers* (Auburn, N. Y., 1846).

The *Speech of Commodore J. D. Elliott, delivered in Hagerstown, Md., Nov. 14, 1843* (Philadelphia, 1844), is in the nature of an autobiography, and is a much more extensive work than the title would indicate. It treats the battle of Lake Erie from Elliott's standpoint, and is accompanied by a number of official documents.²

There are other contemporary witnesses who have left their views on record, like Dr. Usher Parsons, a surgeon on board the flagship,³ and various commemorative and elucidatory accounts, sometimes bringing out fresh material.⁴

As respects the victory of Commodore Macdonough and General Macomb at Plattsburg, there is no such dispute as attended the battle of Lake Erie; and we have both official⁵ and other contemporary accounts, upon which the later writers have had little difficulty in forming their narratives.⁶

¹ [There is some useful material in the appendix: Perry's despatches; extracts from the log-book of the "Lawrence;" Barclay's account; his trial; Perry's charges against Elliott. — ED.]

² [Of the later writers, Ward (*Naval Tactics*, 76) thinks Elliott's explanations are not satisfactory. Dawson inclines to the Elliott side. Lossing avoids the controversy. Roosevelt does not take so high a view of Perry's conduct of the battle as is usual. — ED.]

³ [Parsons's letter to a son of Perry is given by Burges. He answered Cooper in an address on the *Battle of Lake Erie* (Providence, 1853), and made a speech at Put-in-bay in 1858 (*N. E. Hist. and Gen. Reg.*, 1859, p. 171), and contributed some sketches of the officers in the battle (*Ibid.*, Jan. 1863, and separately at Albany). He also told what finally became of Perry's ships (*U. S. Service Mag.*, ii. 464).

There are some contemporary notices of the battle in *The Portfolio and Political Register*; in *Views on Lake Erie* (N. Y., 1814) and *Views of the Campaign* (Philad., 1815), both by S. R. Brown, who saw the action from the shore; and in the *Travels and Adventures* (Palmyra, N. Y., 1831) of David C. Bunnell, who was a drummer on board the "Lawrence." — ED.]

⁴ [George H. Calvert's *Oration* (Providence, 1854); E. Cooke's *Address at Put-in-bay, Sept. 10, 1858* (Sandusky, 1858); R. P. Spalding's *Oration at laying the corner stone of a monument* (Sandusky, 1859); *Inauguration of the Perry statue at Cleveland, Sept. 10, 1860* (Cleveland, 1861), with a paper on the battle by George Bancroft, and an address by Parsons. An address by Wm. P. Sheffield at the unveiling of a statue of Perry at Newport, Sept. 10, 1885, is in the *Bay State Monthly*, iii. 321.

To select a few American later accounts: — Ingersoll, ch. 4; Roosevelt (p. 256), who relies much on Lossing (*War of 1812*, and *Harper's*

Mag., xxvii. 298); Dawson (ii.), who collates authorities; M'Fee; Knapp's *Mauve Valley*; Egie's *Penna.*, 706. On the English side, James (*Naval Occurrences*), who gives the record of Barclay's trial, and in his *Naval Hist.* (vi. 109); Brenton (ii. 502). The U. S. government gave medals to both Perry and Elliott (Lossing, 535; Loubat, no. 33).

As to plans of the battle, Elliott gave in his *Address* a copy of that used before the court of inquiry, and calls one given Burges a "false diagram;" Cooper gives one in *Graham's Mag.*, May, 1843; there are others in the *Naval Monument*, and in Niles's *Perry*. Lossing (pp. 522, 529) shows three stages of the fight according to diagrams furnished by Stephen Champlin of the "Scorpion," and Roosevelt copies them. — ED.]

⁵ On the American side: *Am. St. Pap., Nav. Aff.*, iii. 309; *Senate Docs., 13th Cong.*, Oct. 4 and 6, 1814; Loubat (pp. 191, 234). On the English side: Capt. Pring's despatch to Sir James L. Yeo; *Annual Reg.*, 1814, p. 213. [Other illustrative matter on the English side is Lee's letter in *Niles's Register*, viii.; the account in James, vi. 217; the criticism of Carmichael-Smyth's *Précis*, p. 188. Trimen's *British Army* shows the following regiments to have been present: 3d, 5th, 13th, 27th, 58th, and 76th foot.

There is an account of an eye-witness in the *Memoires of the Hist. Society of Montreal (Bataille navale du Lac Champlain en 1814)*, by Sir E. P. Tache. — ED.]

⁶ [A sketch by Cooper was printed in *Putnam's Mag.*, Jan., 1869. Roosevelt (p. 375) considers it the great battle of the war. Lossing embodies details from participants. Dawson (ii. 378) collates the authorities.

Cooper (vol. ii.) gives four diagrams, and Ward (*Naval Tactics*, p. 107) copies them. There are other plans in Lossing, 860, 871;

Capt. David Porter's *Journal of a cruise made to the Pacific Ocean* (Philad., 1815), 2 v., is the all-important, and it might almost be added all-sufficient, work on the remarkable voyage of the frigate "Essex." It extends to the most minute details, and is written by the principal participant in the events narrated. Its style is satisfactory, and its matter is accurate. The 2d edition (N. Y., 1822) contains a preface, in which Capt. Porter answers a spiteful attack made upon him in the *Quarterly Review* (xiii. 352).

Some very interesting material in reference to this cruise, also furnished by an eyewitness, is to be found in the *Life of D. G. Farragut* (N. Y., 1879), by Loyal Farragut. Adm. Farragut was a midshipman on board the "Essex," and the account in the *Life* (chaps. 3, 4, and 5) is taken from his journal. The very important services of Commo. Porter during the war of 1812 are also treated at length in Admiral Porter's *Memoir*, pp. 88-262. The narrative of the cruise of the "Essex" is based chiefly on Commo. Porter's *Journal of a cruise*.¹

On the battle of Bladensburg and the capture of Washington (Aug. 19-25, 1814) the most important document is the *Report* of the committee appointed to investigate the causes and particulars of the invasion.² The misadventures of the day forced the resignation of General Armstrong as Secretary of War, and led to a controversy.³

The earliest carefully studied monograph on the subject is E. D. Ingraham's *Sketch of the Events which preceded the capture of Washington* (Philad., 1849), in which Armstrong is blamed and Winder exonerated, and an extensive appendix supplies the documentary proofs. An attempt to justify the militia,⁴ and to throw the responsibility of the

Palmer's *Lake Champlain*, 219, 230; *Naval Monument*.

Congress awarded four medals to Macdonough, Capt. Robert Henley, and Lieut. Stephen Cassin, of the fleet, and to Macomb of the army. All are figured in Loubat and Lossing. A contemporary picture is given in Lossing (p. 873), and even in the German periodical *Columbus*, Hamburg, 1828, vol. i. There are local addresses by Skinner (1835) and Moore (1843). Cf. Palmer's *Lake Champlain*; *Harper's Mag.*, vii. 208; xxix. 147. There is a *Memoir of Alexander Macomb* by G. H. Richard (N. Y., 1833), and a portrait of him in the *Nat. Port. Gallery* (N. Y., 1834. Cf. Lossing, 859; Mrs. Lamb's *N. Y.*, ii. ch. 15, 16). — ED.]

¹ [The final capture of the "Essex" within shore limits, near Valparaiso, by the English frigate "Phœbe" and her consort, was to be expected, in spite of the immunity properly to be secured by a neutral port; but the breach of Capt. Hilyar's word to Porter given at a time when Porter had him at a disadvantage is an action amenable to other laws. Hilyar's report is in the *Annual Register*, 1814, p. 179. Cf. James, vi. 151; and Douglas's *Naval Gunnery*, p. 108, on the futility of the "Essex's" carronades. Irving's sketch is copied from the *Analectic Mag.* into his *Spanish Papers*, ii.; Dawson (ii. 339) collates the authorities; and Lossing (p. 721) and Roosevelt (p. 297) follow the cruise. Cf. Benton's *Thirty Years* (ii. ch. 118), and *Harper's Mag.* xix. (by Robert Tomes).

The earlier history of the "Essex" is told in Admiral Preble's "First Cruise of the Essex" (Salem, 1870, in *Essex Inst. Hist. Coll.*, x.), when

she was commanded by Edward Preble. (Cf. *Harper's Mag.*, Aug., 1859.) Admiral Preble's own copy of his paper, with MS. additions, is in Mass. Hist. Soc. library. — ED.]

² It was made by R. M. Johnson, chairman, Nov. 29, 1814, and was published at Washington, 1814. Geo. W. Campbell made also a report, Jan. 2, 1815. (Cf. *Am. St. Papers, Mil. Affairs*, i. 524-599.) A statement in reference to the burning of the navy-yard is in *Ibid.*, *Nav. Aff.*, i. 360. (Cf. *Hist. Reg.*, iv.) Gen. Winder's narrative presented to the committee of investigation, as well as General Stansbury's report, are given by Williams also. [Monroe, then Secretary of State, wrote out a statement, which is in Gilman's *Monroe* (p. 119). There are some other semi-official and contemporary views in A. J. Dallas's *Exposition of the character of the war* (*Life of Dallas*, 362); in Carey's *Olive Branch*, ch. 8, with documents; and some experiences in the *Memoirs and letters of Dolly Madison* (ch. 8). — ED.]

³ Cf. Armstrong's *Notices*, with documents, including Col. Allen McClane's journal, and his letter (*Viles's Reg.* vii. 6; Ingraham's *War Dept.*, 67); T. L. McKenney's *Narrative of the causes which led to Gen. Armstrong's resignation*; Kosciusko Armstrong's *Review of the Narrative*, etc. (N. Y., 1846); a *Reply* by K. Armstrong (N. Y., 1847); K. Armstrong's *Examination of M'Kenney's Reply* (N. Y., 1847). *An Enquiry respecting the Capture of Washington by Spectator* (February, 1816) is thought to be by Armstrong himself.

⁴ Kennedy's *Wirt*, i. ch. 21, gives a picture of militia service in this region at this time.

defeat upon the cabinet, and particularly upon Gen. Armstrong, was made at a late day by John S. Williams, who was brigade-major under Gen. Smith, in his *Hist. of the Invasion and Capture of Washington and of the Events which preceded and followed* (N. Y., 1857).¹ A professional view of the campaign is given by Gen. Cullum in his *Campaigns of 1812-15* (ch. 8).²

On the English side, beyond the official accounts (*Annual Regs.*, 1814, pp. 183, 219), there are accounts by participants, the best of which is the *Campaigns of the British Army at Washington and New Orleans. By the author of the Subaltern* (London, 1821, 4th ed., 1836). The Rev. George Robert Gleig, the author, served in the British army (subsequently chaplain of H. M. Forces), and his narrative of the Washington campaign, based upon his journal, is exceedingly temperate and valuable. Although not without inaccuracies, its tone is judicial, and the author evidently intends to be fair.³

As respects the attack on Baltimore (Sept., 1814), the local histories, like McSherry's *Maryland* (ch. 17) and Scharf's *Baltimore*, supply what the general histories lack. Dawson (ii. 390) collates the authorities and refers to the essential sources.⁴

The war which Tecumseh had stirred up among the divided Creeks first manifested itself in the defeat of the whites at Burnt-Corn Creek (Claiborne's *Sam. Dale*), and shortly afterwards in the massacre of Fort Mims, Aug. 30, 1813, at the juncture of the Alabama and Tombigbee rivers.⁵

¹ [Williams examined the Madison papers which were then in Col. Force's hands, but was not allowed to copy any. In his appendix he gives letters of Richard Rush, who was in Madison's cabinet, and of Major George Peter, who commanded the light corps of the district.—ED.]

² [Cf. also Cullum's paper in the *Papers, Amer. Hist. Assoc.*, ii. 54. A few other references: Gen. Wilkinson's *Memoirs*, i. ch. 16; *Autobiog. of Chas. Biddle* on the effect of the event, p. 350; Dawson, ii. 371; *Mag. Amer. Hist.*, Jan., 1886, p. 85; *Harper's Mag.*, xxviii. 433; Lossing, 925, etc.]

The naval defence and the service of the sailors at Bladensburg is noted in Roosevelt, p. 317; Mrs. Barney's *Biog. Memoir of Com. Barney*, ch. 17, whose appendix contains Barney's "British Official Account set right," as printed in the *Nat. Intelligencer*. (Cf. *Niles's Reg.*, vii., Suppl. 159, and John Barney's *Fifty Years of Events*.)

For plans of the Bladensburg fight, the approaches to Washington, and views, see Wilkinson's *Memoirs*, nos. 16, 17, whose maps are the basis of those in James's *Military Occurrences*; Ingraham's *Sketch* for a map of the campaign; Cullum's *Campaigns of the War*, ch. 7; Lossing, 929; and a rude plan in a *Narrative of the battle of Bladensburgh in a letter to Henry Banning by an officer of Gen. Smith's Staff*. Cf. *Mag. Amer. Hist.*, Dec., 1885, p. 612.—ED.]

³ An American edition of the book was published in Philadelphia, 1821, with the title following the original London ed.: *Narrative of the Campaigns of the British army at Washington, Baltimore, and New Orleans; by an officer who served in the expedition*. The American edition contains an appendix, which corrects a

few of Gleig's minor errors. It was reprinted as *A subaltern in America; comprising his narrative of the campaigns, etc.* (Philad., 1833.) [Gleig at the age of ninety again returned to the subject in a letter (*Mag. Amer. Hist.*, May, 1886, p. 508) drawn out by a paper by Horatio King (*Ibid.*, Nov., 1885, p. 438).]

Other accounts are found in the *Memoirs of Admiral Sir George Cockburn: in Facts relating to the Capture of Washington, by an officer serving as quartermaster-general* [Gen. Sir De Lacy Evans] (London, 1829); and in the *Memoirs of the life of Sir Edward Cochrane, ed. by his daughter, Lady Bouchier* (London, 1873). James (vol. vi.) and Brenton (vol. ii.) of course touch the events. Trimen's *British Army* shows that the 4th, 21st, 44th, and 85th foot were present. A letter, Jan. 30, 1815, was sent by John Strachan, of the Loyal and Patriotic Soc. of Upper Canada, to Jefferson, in which the burning of the parliamentary buildings at York was cited against the burning of the buildings at Washington, and pronouncing false the story of finding at York a human scalp over the speaker's chair. This letter was printed in the *Report* of that society (Montreal, 1817), and is copied in the appendix to *Coffin's 1812, the War, etc.*—ED.]

⁴ [Cf. *Am. St. Papers, Mil. Affairs*; *Niles's Register*, vii.; *Analectic Mag.*, xii.; James, *Naval Hist.*, vi. 187; Gleig's *Narrative*, and Sir George Dallas's *Biog. Mem. of Sir Peter Parker, killed while storming the Amer. Camp at Bellair, Aug. 31, 1814* (London, 1816).—ED.]

⁵ We have the official accounts in the *State Papers, Ind. Aff.*, i. 845, with plans in Claiborne's *Dale*, Pickett, Lossing, p. 756, and *Harper's Mag.*, xxviii. 603. [Dawson (ii. 269) collates the

The Creek War was short. It started Jackson on his career, and the lives of him by Parton and Eaton — not to name others — give it due prominence.¹ The Georgians under Floyd were at the same time fighting at Auttose, Nov. 29, 1813, and at Calebee, Jan. 27, 1814 (Dawson, ii. 311, 323).² From the side of the Mississippi Territory the war was conducted by Gen. Claiborne.³

The treaty of Fort Jackson, Aug. 10, 1814, closed the conflict, with a large acquisition of lands to the United States, effectually separating the remaining territory of the Indians from Spanish contact.⁴

As respects the Louisiana campaign, perhaps the most important contribution is a work, written in French, by Jackson's chief-engineer, Major A. Lacarrière Latour, translated by H. P. Nugent, and published in Philadelphia in 1816 as *Historical Memoir of the War in West Florida and Louisiana in 1814-15*, accompanied by an atlas of eight maps.⁵ Jackson's despatches will be found in the usual official depositories, and are used in the

authorities. There are personal resources in Claiborne's *Life and Times of General Sam. Dale*, a useful book of a somewhat gossipy and anecdotic character. Pickett's *Alabama*, vol. ii., gives the fullest account, based on documents and an acquaintance with the actors of the time. He had the papers of Gen. Ferdinand L. Claiborne. Parton (*Jackson*, i. ch. 37) gives a rapid sketch. — ED.]

¹ James Parton, *Life of Andrew Jackson*, 3 vols. (N. Y., 1860). John Henry Eaton, *Life of Andrew Jackson*, comprising a history of the war in the South (Philad., 1824). The first four chapters, carrying the narrative partly through the Creek War, were written by Major John Reid, U. S. A., who was an eye-witness of the events related. John T. Jenkins, *Life and public services of Gen. Andrew Jackson, with the eulogy delivered by George Bancroft* (N. Y., 1860), and others of minor importance. The *Civil and military history of Andrew Jackson* (N. Y., 1825), by "an American Officer," contains a large number of Jackson's despatches. William G. Sumner's very able analytical study, *Andrew Jackson as a public man* (*American Statesmen*, Boston, 1882), gives the Creek war little more than a passing allusion. [Dawson (ii. 301, 303, 327) groups the authorities on the conflicts of Tallushatchee (Nov. 3, 1813), where Gen. Coffee was acting under Jackson's orders; at Talladega, Nov. 9, 1813, with Jackson in command; at Emuckfau Creek, Jan. 22, 1814; at Enitachopco, Jan. 24; and at To-hopeka, or Horseshoe Bend, March 27, 1814. Jackson's report of this last action, which ended the war, was printed in the *Mag. Amer. Hist.*, Jan., 1888, p. 45, with a map, p. 385. Dawson charges Eaton with misrepresenting the facts of the massacre, to help Jackson's political prospects. — ED.]

² [The appendix of Miller's *Bench and Bar of Georgia* (Philad., 1858, vol. i.) consists of a memoir of Gen. David Blackshear, including the correspondence of Governors Irwin, Jackson, Mitchell, Early, and Rabun, and of Maj.-Gen. McIntosh, Brig.-Gen. Floyd, and other officers

in the war of 1813-14, on the frontiers and sea-coast of Georgia. — ED.]

³ Cf. Nathaniel H. Claiborne's *Notes on the War in the South, with sketches of the lives of Montgomery, Jackson, Sevier, Claiborne and others* (Richmond, 1819); J. F. H. Claiborne's *Mississippi*, ch. 27, 28; and his *Sam. Dale*.

⁴ *State Papers, Ind. Aff.*, i. 827; Parton's *Jackson*, ch. 51 and text in App.

The essential sources on the Creek War: — *State Papers, Ind. Aff.*, i. Pickett's *Alabama*, ii., is an important book; Lossing's *War of 1812*, ch. 33 and 34 (also *Harper's Mag.*, xxviii.), and Parton's *Jackson* (using, among unpublished material, MSS. of Coffee, etc., in the Tennessee Hist. Soc.) are the two best later compiled accounts. [Cf. Ingersoll, ch. 10; the *Notes* and *Sam. Dale* of the Claibornes; the lives of Jackson; of General Sam. Houston and David Crockett; the histories of Georgia, Alabama, and Mississippi; Monette's *Mississippi Valley*; Drake's *Book of the Indians*; and, for documents, the references in Poore's *Descrip. Catalogue*, under "Creek," p. 1303, and *Poole's Index*. Parton (*Jackson*, i. pp. xiii-xv) notes some of the books on the subject, with comments, and says Trumbull's *Discovery of America* (see Vol. VI. p. 651) contains some early accounts not found elsewhere. — ED.]

⁵ [Roosevelt, who adds a chapter on New Orleans to his *Naval War*, 3d ed., considers Latour "the only trustworthy American contemporary historian" of the campaign. As Edward Livingston acted as aide-de-camp to Jackson, his *Life*, by Hunt (ch. 10), is of interest. M'Affee (*Hist. of the late War in the Western Country*, Lexington, Ky., 1816) derived his knowledge in part from acquaintance with actors in the campaign. Wilkinson (*Memoirs*, i. ch. 12) touches the campaign.

There are easily reached maps of the campaign: In Cullum, pp. 322, 326; in Sir W. H. Cope's *Rifle Brigade* (London, 1877); in the Atlas of Latour, perhaps the best; in Lossing, pp. 1032, 1040, 1044, 1051. Among the Jackson

biographies.¹ Parton says that, of the later accounts, he is more indebted to Alexander Walker's *Jackson and New Orleans* (N. Y., 1856) than to any other. Gen. Cullum gives the campaign a professional examination in his *Campaigns of the War* (ch. 8).²

On the English side we have the official reports of General Keane (Dec. 26, 1814) and of General Lambert (Jan. 10), who succeeded to the command, in R. H. Burgoyne's *Hist. Records of the 93d Sutherland Highlanders* (London, 1883); and in the *Annual Register*, 1815, p. 141.³ Capt. John Henry Cook, of the 43d British Regiment, participating in the attack, published, twenty years later, a *Narrative of events in the South of France and of the attack on New Orleans in 1814-15* (London, 1834).⁴ The narrative of Gleig, the same who was in the Potomac campaign, is equally useful here.⁵

All that need be considered of the war of 1812 on the northwest coast is given in H. H. Bancroft's *Northwest Coast*, i. ch. 10.

papers, recently found in Washington, was a British plan for the capture of New Orleans, indorsed by Jackson, "Picked up on the field of battle" (*Amer. Antiq. Soc. Proc.*, Oct., 1882, p. 130). There are various views of localities connected with the campaign in Lossing, pp. 1024-1055 (also cf. *Harper's Mag.*, x., xx., xxx.); Cable's *Creoles of Louisiana* (ch. 26, 27). An interesting bird's-eye view of the battle, drawn by Jackson's chief engineer Latour, is engraved in Lossing, p. 1047. There was published in Paris, as engraved by Debucourt, a picture of the battle, purporting to have been drawn "on the field and painted by Lacotte, architect and assistant-engineer in the Louisiana army" (*Mass. Hist. Soc. Proc.*, xv. 230). — Ed.]

¹ Particularly in the *Civil and mil. History of Andrew Jackson* (N. Y., 1825). [Loubat gives his report, with the medal (no. xlvi.). Eaton and Parton are sufficient; but Sumner's *Life of Jackson* has a chapter (ch. 2) on the war. Dawson (ii. 309) collates the sources.

The portraits of Jackson are very numerous. The most interesting for its connection with this campaign is the miniature by Vallé, a French artist then in New Orleans, which Jackson gave to Edward Livingston, and it is engraved in Hunt's *Livingston*, p. 208. Vanderlyn painted a full-length military figure (1819), which is now in the City Hall, N. Y. It is given entire in Lossing (p. 1020), and bust only in Hunt's *Edw. Livingston*, engraved by H. B. Hall, and in Parton. (Cf. *Charleston Year-Book*, 1883, p. 163.) The medallion profile is given in Loubat, plate lv., and Lossing, p. 1052. Sully's picture is in the War Department. There is a drawing by Longacre. (Cf. *Nat. Port. Gallery*, 1834.) A striking rugged head, after a lithograph by La Fosse, is engraved by G. Kruell in Higginson's *Larger History*. Parton also gives the standing figure, in civil dress, by Earl, and a silhouette uncovered full-length. — Ed.]

² Of use are the histories of Louisiana by Martin and by Gayarré; of Mississippi by Claiborne (i. 343). [The histories of Kentucky (Collins, i. 300) are jealously concerned with com-

bating charges upon the action of the Kentucky troops on the right bank of the river. Cf. *Letters of Gen. Adair and Gen. Jackson relative to the charge of cowardice made by the latter against the Kentucky troops at New Orleans* (Lexington, Ky., 1816).

Of little importance are Paris M. Davis's *Official and full detail of the great battle of New Orleans* (N. Y., 1836); *An Authentic Narrative of the memorable achievements of the Amer. Army before New Orleans* (N. Y., 1856). The list of titles prefixed to Parton's *Life of Jackson* (pp. xiii., etc.) will guide to other minor helps, and *Pool's Index* (p. 913) gives clues to separate papers in magazines. Cf. Ingersoll, 2d series, ii. ch. 2; and Waring and Cable's "New Orleans," in the *Tenth Census*, p. 37. The interesting story of Jackson's submission to the civil law, when he was fined \$1,000 after the peace was declared, is told by Charles Dimitry in *Mag. Amer. Hist.*, May, 1886.

Accounts of the service of Jean Lafitte and the Baratarians in the defence of New Orleans, after they had refused the offers of the British commanders, are given in Parton's *Jackson*, p. 580; the *Southern Brevue*, Aug., 1886; by G. W. Cable in *The Century*, April, 1883, and in his *Creoles of Louisiana: Mag. Amer. Hist.*, Oct., 1883, p. 284. Cf. *Pool's Index*, p. 717.

There are commemorative addresses by A. H. Everett (1836) and A. E. Rouquette (1846). — Ed.]

³ [Richard Trimen's *Regiments of the British army* (Lond., 1878) shows that there were present: the Rifle Brigade, the 14th Hussars, and the 4th, 7th, 21st, 43d, 44th, 85th, and 93d Foot. — Ed.]

⁴ Smith, *Life of Cass*, p. 314, says that a review of this book which appeared in the *Amer. Quarterly Review*, Dec., 1834, was written by Lewis Cass "under the eye of Gen. Jackson." [Cf. J. Leach's *Sketches of the Field Services of the Rifle Brigade* (London, 1838). — Ed.]

⁵ A few other books may assist the student: The *Memoirs of Admiral Codrington* (ch. 7); *Recollections of an artillery officer*, by Benson

On the war of 1815 with Algiers, Decatur's reports are given in Mackenzie's *Decatur* (App. viii.), and there are various documents in Bowen's *Naval Temple* (Boston, 1816). The official record is the Congressional documents (cf. Poore's *Desc. Catal.*, under 1815-16) and the *Am. St. Papers, For. Rel.*, iii. 748. The final treaty is recounted later.¹

Respecting the first Seminole War (1818), Jackson's despatches are given in the *Civil and Mil. Hist. of Jackson* (N. Y., 1825); and these and other official documents are in the *State Papers, Mil. Aff.*, i. 681-769.² and *Ind. Aff.*, ii. 154. The President's *Messages* of Dec. 2 and 28, 1818, elucidate the origin of the war, and the evidence on which Arbuthnot and Ambrister were executed.³ The question of indirect orders to invade Florida is reduced to a question of veracity between Monroe and Jackson, with the weight of evidence in favor of the former. The question has been examined by Schouler in "Monroe and the Rhea letter" in the *Mag. Amer. Hist.*, Oct., 1884.⁴ J. Q. Adams alone defended Jackson's conduct in the cabinet discussions.⁵ Jackson's defence in an "Exposition" was not printed till Benton inserted it in his *Thirty Years' View*, i. 168. It goes over the whole course of events, as Adams did in his despatch to Erving, in reply to the Spanish complaints. The later writers have emphasized the relations of the war to the interests of slavery.⁶

Earle (Lond., ca. 1830); *Proceedings of the Court-martial upon Lieut.-Col. Mullins of the 44th Infantry* (Lond., 1815). Of course, the general histories touch the story: Brenton, ii. 533; Alison's *Europe*, iv. 470, etc.; the reviews: *Quarterly*, xxxvii. 404; *Edinburgh*, xlv. 368.

¹ [See next chapter. Cooper goes over the war in his second volume. Cf. Ingersoll, 2d ser., ii.; *Analectic Mag.*, vii. 113. — Ed.] Col. M. M. Noah was consul of the U. S. at Tunis during the Algerine War, and his *Travels in England, France, Spain, and the Barbary States* gives a minute picture of the condition of the affairs at this time in Northern Africa, and recounts fully the circumstances of Decatur's negotiations at Tunis.

² This is by far the most important authority in reference to the war; it is entitled *Defeat of the Seminole Indians*, and gives all the correspondence and orders. The correspondence in reference to the arrest of Capt. Obed Wright will be found in the same volume, pp. 774-778. Cf. *Niles's Register*, xiv., xv., xvii., xxi. The correspondence of Monroe and Jackson in 1818 is in Parton's *Jackson*, ii. ch. 39. See also President Monroe's communication to the 15th Cong., 1st sess., relative to illegal armaments and the occupation of Amelia Island (*Am. St. Pap., For. Rel.*, iv. 183).

³ [The trial of Arbuthnot and Ambrister (1818) was published separately as transmitted to the President, and is in the *St. Papers, Mil. Aff.*, i. 721; *Civil and Mil. Hist. of Jackson*, etc.; *Niles's Register*, xv. Cf. Parton's *Jackson*, ii. ch. 36. — Ed.]

⁴ [Cf. Schouler's *History*, iii. 83; Parton's *Jackson*, ii. 528; Gay's *U. S.*, iv. 257; Judge Overton's *Vindication of the Seminole War* (Washington, 1810). — Ed.]

⁶ [Morse's *J. Q. A.*, 160; and Adams's *Me-*

moirs, anno 1818; Parton's *Jackson*, ii. ch. 39; Schouler's *History*, iii. 74. The cabinet disagreement ultimately produced a quarrel between Jackson and Calhoun, when Crawford caused Jackson to understand that his course had been censured by Calhoun (Von Holst's *Calhoun*, 88-93). Calhoun published in his vindication "to the people of the United States" the *Correspondence between Gen. Andrew Jackson and John C. Calhoun on the subject of the course of the latter in the deliberations of the Cabinet on the occurrences of the Seminole War* (Washington, 1831). Cf. *Niles's Reg.*, xxxix. 447; xl. 11, 37; Calhoun's *Works*, vol. vi., App.

The proceedings in Congress appear in *The Debates in the Ho. of Rep. on the Seminole War* (Washington, 1815). The speech of Henry Clay (Mallory's *Clay*, i. 365) made a breach between Jackson and Clay (Parton, ii. 535; Schurz's *Clay*, i. 151). Jackson was defended by James Tallmadge, Jr. (*Speech*, N. Y., 1819).

In the House, Jan. 12, 1819, there was a majority *Report* censuring, and a minority commending, Jackson's course.

In the Senate, Lacombe made a *Report*, Feb. 2, 1819, accompanied by documents, which censured Jackson (Niles, xvi. 33). It produced *Strictures on Mr. Lacombe's Report*, with an app. of the letters of Jackson and Calhoun. — Ed.]

⁶ *For. Rel.* iv. 539. Cf. a *Vindication of the measures of the President and his commanding generals, by a Citizen of Tennessee* (Washington, 1810); Eaton's *Jackson*; Sumner's *Jackson*, ch. 3.

[The quality of the contemporary censure is shown in *The letters of Algernon Sidney in defence of civil liberty* (Richmond, 1830, — first printed in the *Richmond Inquirer*, 1818, 1819); Samuel Perkins's *Gen. Jackson's Conduct in the Seminole War* (Brooklyn, Conn., 1828). — a campaign protest against Jackson; but Parton al-

For the details of the Pirate's War of 1821-1826, we must look to the official correspondence and the lives of those engaged in putting an end to the depredations.¹

In Lieutenant Fitzgerald de Roos's *Personal Narrative of Travels in the U. S.* (London, 1827) will be found some observations on the condition of the navy in 1826. On the affair of Quallah Batoo, 1832, see the *Am. St. Pap., Nav. Aff.*, iv, 150-158 (Reports and Correspondence); *Voyage of the U. S. Frigate Potomac, under the command of Commodore John Downes*, by J. N. Reynolds, N. Y., 1835 (pp. 88-130). On the protracted labors of the Navy in the suppression of the slave-trade, the all-important work is Comr. (afterwards Rear-Adm.) A. H. Foote's *Africa and the American Flag* (N. Y., 1854).

The general histories are scant upon the war with the Sacs and Foxes, or the Blackhawk War, as it is usually called, and we must depend mainly on the lives of some of the leading participants and the local literature.²

The documents relating to the causes of the second Seminole War (1835-42) are appended to a *Letter from the Sec. of War*, June 6, 1836. The other official material is found in the *Am. St. Pap., Mil. Aff.*, vi, 56-80, 433, 445, 450-783, 788, 992-1002, 1026-1069; vol. vii, 110, 745, 790, 918, 992. The voluminous reports of the courts of inquiry

lows it to be temperate; and for an English view, the *Narrative of a voyage to the Spanish Main on the ship "Two Friends," with an app. containing a detail of the Seminole War, and the execution of Arbuthnot and Ambrister* (London, 1819), which contains some documentary evidence against Jackson's course — ED.]

[Cf. Von Holst's *History*, i, 338; Henry Wilson's *Slave Power*, i, ch. 10; and Joshua R. Giddings's *Exiles of Florida, or the crimes committed by our government against the Maroons, who fled from South Carolina and other Slave States, seeking protection under Spanish Laws* (Columbus, O., 1858). — ED.]

¹ See *Am. St. Pap., Nav. Aff.*, i, 787; 804-814 (correspondence, Biddle's command); 822, 1004-1011, 1095, and 1103-1121 (Porter's command). See also *Fer. Rel.*, v, 343, 428, 471, 490, 589. On the affair of Foxardo, *A. S. P., Nav. Aff.*, ii, 132-440 (Record of proceedings of Court-Martial); *Ibid.*, 648-668 (correspondence). The record of the court-martial has also been published separately as *Report of the Trial of Commo. David Porter* (Wash., 1825). Farragut's *Life of Farragut*, ch. xi., and Griffin's *M. C. Perry*, ch. viii., deal with the Pirates' war. See also Aaron Smith's *Atrocities of the Pirates* (London, 1824). The incidents of Porter's command during the Pirates' war are treated in chapters xvii. and xviii. of Admiral Porter's *Life of Commodore Porter*. G. W. Cable in his *Creeoles of Louisiana* (ch. 24-28), gives some local details about the "pirates of Barataria."

² John A. Wakefield's *Hist. of the War between the U. S. and the Sac and Fox Nations . . . in 1828, 1831 and 1832* (Jacksonville, Ill., 1834).

A later edition of J. L. Thomson's *History of the War of 1812* was published in Philadelphia, 1873, with a supplement containing chapters on

the Black Hawk War, the Florida War, and the war with Mexico.

For Scott's part in the campaign, see the *Memoirs written by himself*, ch. 18, and E. D. Mansfield's *Life of Gen. Scott* (pp. 197-219). Cf. also William Preston Johnston's *Life of Gen. A. S. Johnston* (N. Y., 1878), ch. 3. There are several lives of the Indian leader: Benj. Drake's *Life of Blackhawk, with sketches of the late Blackhawk War* (Cincinnati, 1838, and other eds., — Thomson's *Bibliog. of Ohio*, no. 342); and *Life of Mak-tai-me she-wai-kak or Black Hawk . . . with an account of the Cause and General History of the late War, etc.* Dictated by himself (Cincinnati, 1833, and Boston, 1834); [J. C. Pilling's *Proof-sheets of a bibliog. of the languages of the N. Am. Indians* (Washington, 1885), no. 391, giving also an ed. of 1845. There is a sketch in McKenney and Hall, ii, 29.

In local histories: Ford's *History of Illinois, 1818-1847* (Chicago, 1854); Albach's *Annals*, 959; and the *Record of Illinois soldiers in the Blackhawk War and Mexican War*, by I. H. Elliott (Springfield, Ill., 1882). The *Catalogue of the library of the Minnesota Hist. Soc.* (St. Paul, 1888), in 2 vols., gives (i, pp. 221) under "Black Hawk" and "Black Hawk War" various references. The subject references of this catalogue are useful on all subjects of Northwestern history.

In serials: E. Backus in *Hist. Mag.*, xxii, 352; I. N. Arnold in the *Fergus Hist. Series*, no. 10; *Mag. West. Hist.*, Nov., 1886; *Mag. Amer. Hist.*, May, 1886; *Wisconsin Hist. Soc. Coll.*, ii, 326, 414; *Michigan Pioneer Coll.*, i, 48; v, 152.

Cf. Drake's *Book of the Indians*, v, ch. 8; Dawson's *Battles*, ii, 426; and for a later period, in the Northwest, 1835-1846, S. W. Pond in *Minn. Hist. Soc. Coll.*, iii, 129. — ED.]

on the campaigns of Gen. Scott and Gen. Gaines are in this volume, pp. 125-465; also the correspondence in reference to the withdrawal of Gen. Scott and the appointment of Gen. Jesup, pp. 794-894. The same volume contains Taylor's report of the engagement near the Kissimee River, p. 985.

Certain communications from army officers relative to the campaign will be found in the *Cong. Globe* for April 2, April 8, and June 4, 1836, and in the *Army and Navy Chronicle* for Aug. 11, 1836.

The most important work on this protracted and exhausting struggle is the voluminous *Origin, Progress, and Conclusion of the Florida War* (N. Y., 1848), by John T. Sprague, Bvt.-Capt. 8th Inf. Capt. Sprague was an officer of the regular army, retiring ultimately as col. of the 7th Inf. after the close of the Civil War. He received a brevet for meritorious conduct in the war of which he writes, and his narrative of the campaigns in which he took part leaves little to be desired. His account of the negotiations with the Seminoles, preceding the war, is full and satisfactory. He prints the most important official documents *in extenso*.

The war in Florida: being an exposition of its causes and an accurate history of the campaigns of Generals Clinch, Gaines, and Scott, by a late staff officer (Baltimore, 1836), is the work of Lieut. Woodbourne Potter, of the Seventh Infantry, who was well acquainted with the history of the early Seminole disturbance, and writes with full detail, and much of the time with the authority of an eye-witness. His book carries the narrative down to Scott's campaign in April, 1836. It is a sound little work, fair and reasonable in its views, and presents all sides of the question. It has a map of the early campaigns. The *Notices of Florida and the Campaigns* (Charleston, 1836), by M. M. Cohen, an officer of the left wing, who commanded the pioneers in Brisbane's regiment, begin with the discovery of Florida in 1497; but the valuable part of the book consists of his journal of the campaign made by the left wing of Scott's army under Gen. Eustis. Another narrative written by an officer in Eustis's division is the anonymous *Sketch of the Seminole War* (Charleston, 1836), by "a lieutenant of the left wing." The conduct of the early campaigns of the war is critically discussed in chapter 20 of Smith's *Life and Times of Lewis Cass*, Cass being at this time at the head of the War Department.¹

In general, for the Mexican War, the all-important authorities are the reports of the Secretaries of War and of the Navy for 1846, 1847 and 1848, with accompanying documents, which are given with great fullness, together with maps.²

¹ As regards special details, a slight reference to naval service in the Florida war will be found in J. W. Revere's *Keel and Saddle* (ch. 1).

[Secretary Poinsett made a *Report*, Sept. 21, 1837, on the number of Indians employed on the side of the whites, and another, Feb. 17, 1840, in defence of the use of bloodhounds.

For the views in Congress, see Benton's *Debates* and his *Thirty Years' View*, ii. ch. 18, 19; Roosevelt's *Benton*, ch. 10; Wilson's *Slave Power*, i. ch. 36; W. L. G. Smith's *Lewis Cass*, ch. 20.

Other references: Capt. James Barr's *Correct and authentic narrative of the Indian War* (N. Y., 1836); *Authentic Narrative of the Seminole War* (Providence, 1836, — Field, no. 60); T. F. Rodenbough's *From everglade to cañon with the 2d dragoons. An authentic account of service in Florida, Mexico, Virginia, and the Indian country, including the personal recollections of prominent officers. With an appendix containing orders, reports, and correspondence, military rec-*

ords, et., 1836-75 (New York, 1875); Drake's *Book of the Indians*, viii. ch. 17, 20, etc.; Williams's *Territory of Florida*; McCall's *Letters from the Frontier*, 293, etc.; Fairbanks's *Florida*, ch. 20-23; Dawson's *Battles*, ii. 439; Von Holst, ii. 295, with references on the cost of the war and the spirit animating its conduct; and on the Seminole leader, *Narrative of Osceola Ninkan-oochee and his renowned uncle, Osceola* (London, 1841, Field, 1118); M'Kenney and Hall, ii. 199; *Mag. Amer. Hist.*, v. 447.

The leading map of the campaigns is that compiled by Capt. John Mackay and Lieut. J. E. Blake, published by order of the Senate in 1840, which is given on a reduced scale in Drake's *Book of the Indians*, 8th ed., 1841. There are other maps in J. L. Williams's *Territory of Florida* (N. Y., 1837) and his *View of West Florida* (Philad., 1827), and in Cohen's *Notices*, etc. (1836). — Ed.]

² They are grouped together in a two-volume publication, *Messages of the President of the*

On the American side, the best military history of the war is Gen. Roswell S. Ripley's *The War with Mexico* (N. Y., 1849, and London, 1850), in two vols., with plans. As might be expected in a book by a professional soldier, it deals fully with strategic operations, and, except for a certain tendency to underrate the work of the navy, it is a highly satisfactory book.¹ The other general works on the war, with the exception of Mansfield's² among the professional treatments, and Horatio O. Ladd's convenient little *History of the War with Mexico* (N. Y., 1883), giving a fairly good general view, need not be characterized much beyond giving their titles.³ The personal element is an important one in the study of the war, and the dominating influence of the two leading generals, Taylor and Scott, make the telling of their lives, as combined, a history of the war. Of Taylor we have no considerable or well-studied account,⁴ and we must depend upon official and other sources for this part of the war.⁵ Of Scott, we have, beside the life by Mans-

United States, with the Correspondence, therewith communicated, between the Secretary of War and other officers of the Government on the subject of the Mexican War (Washington, 1847-48), which may be supplemented by the *Report of the Sec. of War*, Feb. 26, 1849, on the operations of the U. S. army (51st Cong., 1st sess., Sen. Ex. Doc. 32). The separate papers can be found through Poore's *Desc. Catal.*

¹ [It was not altogether satisfactory, however, to some of his brother officers, and issue was taken with him in certain directions by Isaac I. Stevens, of the army, in his *Campaigns of the Rio Grande and of Mexico, with notices of the work of Maj. Ripley* (N. Y., 1851). — Ed.]

² E. D. Mansfield's *Mexican War, a history of its origin and a detailed account of the victories*, etc. (N. Y., 1849, 1873, etc.). The book is in large part composed of official documents; and its narrative is in effect abridged in his *Life of Scott*.

³ [Brantz Mayer, who had been consul in Mexico, and had already published a book, *Mexico as it was and as it is* (N. Y., 1844; Philad., 1847), which had not pleased the people and the Catholics, published his *History of the War between Mexico and the U. S., with a view of its origin* (Lond. and N. Y., 1848, and other eds.), which is not without rendering justice to the Mexican arms.

There were a number of books issued just at the close of the war, of more value as showing current views than for historical use: W. S. Henry's *Campaign Sketches of the War* (N. Y., 1847); Loring Moody's *Hist. of the Mexican War, showing the relations of the U. S. Gov't to slavery* (Boston, 2d ed., 1848); N. C. Brooks's *Complete Hist. of the Mexican War* (Philad., 1849); C. J. Peterson's *Mil. heroes of the War with Mexico* (Philad.), a specimen of the ready-made book of the hour; John S. Jenkins's *Hist. of the Mexican War* (Auburn, 1851; N. Y., 1859), a politician's affair. George C. Furber, who was a Tennessee cavalryman, and published his experiences in *The Twelve Months' Volunteer* (Cincinnati, 1847, and later eds.), also wrote

a continuation of Philip Young's *Hist. of Mexico*, which includes the period of the war, and offers a good share of the documentary proof.

Of more special character is Fayette Robinson's *Acc. of the organization of the Army* (Philad. 1848), with sketches of the leading generals. H. H. Bancroft has a note on the losses of the army (*Mexico*, v. 544). The part of the cavalry is recounted in Albert G. Brackett's *Hist. of the U. S. Cavalry, 1780-1863* (N. Y., 1865). W. H. Robarts compiled from official sources his *Mexican War Veterans, a complete roster of the regular and volunteer troops, 1846-1848* (Washington, 1887).

Geo. W. Kendall's *War between the United States and Mexico illustrated, embracing pictorial drawings of all the principal conflicts, by Carl Nebel, with a description of each battle* (N. Y., 1851), may be of interest for costume. There is in the N. Y. Hist. Soc. library ten large volumes of contemporary newspaper scraps, compiled by J. B. Moore.

Cf., for foreign comment, E. L. G. de F. de Bellemarre's "La guerre des États-Unis et du Mexique" in *Revue des Deux Mondes*, xix. 385. — Ed.]

⁴ [Such as they are, we have: *Life and Public Services of Gen. Taylor, by an officer* (N. Y., 1846); Henry Montgomery's *Life of Gen. Taylor*, (Auburn, 20th ed., 1851); *Gen. Taylor and his Staff* (Philad., 1848). The best is *A life of Gen. Zachary Taylor: comprising a narrative of events connected with his professional career, by J. Reese Fry; and authentic incidents of his early years, by Robert T. Courad* (Philad., 1848). Cf. *Pool's Index*, p. 1287. Portraits of Taylor at the time of the war are, among others, one by James H. Beard in the City Hall at Charleston, S. C. (*Charleston Year-Book*, 1883, p. 164); and one by W. G. Brown in the War Department. Lombard gives on the medals two or three different profile heads. The *Statesman's Manual* has a likeness from a daguerreotype. — Ed.]

⁵ [Among personal narratives: Major George Deas's "Reminiscences of the Campaign on the Rio Grande" in *Hist. Mag.*, xvii. 19, 99, 236, 311.

field, his own *Memoirs*, in which ch. 26-35 are occupied with the story of his campaign, and his narrative is accompanied with some not very pleasant criminations of the President and General Taylor.¹ Of the generals of lesser rank, like Worth, Wool, and Quitman, we have no considerable biographies, unless of the last.²

Portions of De Peyster's *Life of Gen. Philip Kearny*. Samuel C. Reid's *Scouting Expeditions of McCulloch's Texas Rangers* (Philad., 1859). *Journal of the twelve months' Campaign of Gen. Shields' Brigade in Mexico, 1846-47, compiled from notes of Lieutenants J. J. Adams and H. C. Dunbar, by Capt. W. W. Bishop, of the Illinois Volunteers* (St. Louis, 1847). *Sketches of the Campaign in Northern Mexico, 1847, by an officer of the first Ohio volunteers* [Luther Giddings] (N. Y., 1853). Gen. John R. Kenly's *Memoirs of a Maryland Volunteer* (Phila., 1873). The *Encarnacion prisoners, by a prisoner* (Louisville, 1848), gives an account of the march of the Kentucky cavalry from Louisville to the Rio Grande, together with a narrative of the captivity of the American prisoners. There is hasty work in Thomas B. Thorpe's *Our Army on the Rio Grande* (Philad., 1846) and his *Our Army at Monterey* (Philad., 1847). John Bonner makes a popular story of the campaigns in *Harper's Mag.* (xi. 170).

For Palo Alto and Resaca de la Palma (May 8, 9, 1846), see II. H. Bancroft's *Mexico* (v. ch. 14), with plan and references, and reports and medals in Loubat, no. lx., and p. 282. The same use of Bancroft (v. ch. 15, and pp. 378, 381) and Loubat (no. lxi., and p. 291) can be made for Monterey (Sept. 19-24); but cf. *Hist. Mag.*, x. 207. Dawson (ii.) uses some MS. journals. We have large special material on Buena Vista (Feb. 22-23, 1847), and Bancroft (v. 420, 433-36) gives a long list of authorities, American and Mexican. Santa Anna's account is translated in Mansfield's *History* (pp. 143-162). Taylor's despatches, beside being in the official depositories, are in Loubat (p. 337), with a view of the medal given to him. James Henry Carleton, the author of *The Battle of Buena Vista* (N. Y., 1848), was a dragoon officer in the fight, and he combines personal observation with a study of the official documents, and enables the student to follow his investigations by his footnotes. Cf. Henry W. Benham's *Recollections of Mexico and the battle of Buena Vista, Feb. 22 and 23, 1847. By an engineer officer, on its twenty-fourth anniversary* [Anon.] (Boston, 1871), republished from *Old and New* for June and July, 1871; letters in Dix's *Gen. Dix* (i. 210); J. W. Gibson's *Letter descriptive of the battle of Buena Vista, written on the ground* (Lawrenceburgh, Ind., 1847); J. H. B. Latrobe's *Three great battles* (Balt., 1863); and references in *Pool's Index*, p. 173. For plans, see a folding plan in the anonymous *Campaign in Mexico* (Philad., 1847), by B. F. Scribner, which follows a draft by Lieut. Green,

of the 15th Infantry; a map in Mansfield; Gay's *Pop. Hist. U. S.*, iv. 374; Bancroft, v. 420; and *Mag. Amer. Hist.*, Dec., 1879 (vol. iii.), with an article by Ellen Hardin Walworth. The general histories of the war, of course, have other plans. — Ed.]

¹ [For details of Scott's campaign, with full references, see Bancroft's *Mexico* (v. ch. 17, 18, 19, and final references, p. 522). Dawson (ii. 498) is far less full in his collations of authorities. For popular accounts, see John Bonner in *Harper's Mag.*, xi. 311; and T. W. Knox's *Decisive Battles since Waterloo*. There are personal experiences in E. Parker Scammon's paper in *Mag. Am. Hist.*, xiv. p. 562. (Cf. *Hist. Mag.*, Nov., 1873.) Loubat gives the reports and medal (no. lxi., and p. 305). There is a good deal of personal reminiscence of Gen. Scott in Gen. E. D. Keyes's *Fifty Years Observation of Men and Events* (N. Y., 1885).

A narrative of Scott's campaign from the standpoint of an intelligent private soldier, which derives additional freshness and value from the fact that the author is a foreigner, is the *Autobiography of an English soldier in the United States Army* (N. Y., 1853). It is written by a clear-headed and educated man. Also, as pertaining to Scott's campaign, there is *A conclusive Exculpation of the Marine Corps in Mexico from the Slanderous Allegations* [of John S. Devlin], *with the record of the court-martial* [of Devlin], *by J. G. Reynolds* (N. Y., 1853). Devlin's defence appeared in the *Marine Corps in Mexico, setting forth its conduct as established by testimony* (Washington, 1852).

Maps of the battles, beside being in the general histories, and particularly in *The Other Side* and its Spanish original, are in Bancroft (v. 443, 454). Plans of the valley and attack on Mexico are in Bancroft (v. 470, 499); Mansfield; *Le Spectateur militaire*, 2d ser., vol. xlii. A *Map of the Valley of Mexico, with a plan of the defences of the Capital and the line of operations of the U. S. army in Aug. and Sep., 1847. Surveyed and drawn by Smith and Bondcastle, U. S. Top. Engineers*, accompanies a *Report of the Sec. of War*, Jan. 17, 1849, with the reports of the engineers. See map in the present *History*, II. p. 374. Scott's plan of Cerro Gordo is in 30th Cong., 1st sess., *Sen. Ex. Doc.*, i. 256. — Ed.]

² J. F. H. Claiborne's *Life and Correspondence of Gen. J. A. Quitman* (1860), in 2 vols. There are two minor accounts of Gen. Wool: Francis Baylies' *Nar. of Maj.-Gen. Wool's Campaign in Mexico* (Albany, 1851). Cf. his "March of the U. S. troops under Gen. Wool from San An-

The best Mexican source on the conduct of the war is the conglomerate *Apuntes para la historia de la guerra entre México y los Estados-Unidos* (Mexico, 1848). There is an English translation by Albert C. Ramsey, colonel of the 11th U. S. Infantry, called *The other side, or notes for the history of the War between Mexico and the United States* (N. Y., 1850), which reproduces the battle-plans of the original.¹

The part performed by the navy on the east coast is well told, though too briefly, by Wm. Elliot Griffis, in his *Matthew Calbraith Perry: A typical naval officer* (Boston, 1887). Mr. Griffis's name is a guarantee of accuracy, and his work shows literary taste and skill. He is an ardent admirer of his subject, but this does not interfere with the fidelity of his work. His material was derived from original sources, and was only obtainable through great labor and painstaking research. The book is especially useful in bringing out the importance of the naval operations, which military writers have an apparently uncontrollable tendency to slight.²

For a vivid picture of naval life in the Mexican war period, there is nothing comparable to *Recollections of a Naval Officer, 1841-1865*, by Capt. William Harwar Parker (N. Y., 1883). It is as entertaining as a romance and as accurate as a photograph. The later half of the book belongs to the Civil War period. Previous to this, Capt. Parker's cruises had extended to nearly every station abroad, including the Mediterranean, the Coast of Africa, Brazil, the Pacific, and the West Indies. His style is anecdotic and racy, but his facts are faithfully presented, his judgments are sound to the core, and his impressions are sharply outlined. During the Mexican war, Capt. Parker, then a midshipman, served in the squadrons of Conner and Perry on the east coast, and took part in all the prominent operations. He was present at the capture of Vera Cruz, taking his tour of duty in the naval battery,³

tonio, Texas, to Saltillo, Mexico, 1846," in the *Amer. Q. Rev.*, July, 1850), and a *Sketch* (N. Y., 1851) reprinted from the *Democratic Review*, Nov., 1851.

Among other biographies may be mentioned: *Personal memoirs of U. S. Grant* (N. Y., 1885), vol. i. ch. 3-13; *Memoirs of Robert E. Lee*, by A. L. Long (N. Y., 1886), ch. 3; *Personal and military history of Philip Kearny*, by J. W. De Peyster (N. Y., 1869), ch. 10 and 11; *Life of W. S. Hancock*, by F. E. Goodrich (Philad., 1886), part ii.; another *Life of Hancock*, by Junkin and Norton (N. Y., 1880), ch. 3 and 4; *Life of Gen. A. S. Johnston*, by Wm. P. Johnston (N. Y., 1878), ch. 9; *Life of Gen. Thomas J. Jackson*, by Sarah N. Randolph (Philad., 1876), ch. 2; Gen. Geo. M. McCall's *Letters from the Frontier* (Philad., 1868).

¹ [H. H. Bancroft, in his *Hist. of Mexico*, vol. v. (p. 362, etc.), gives a more favorable idea of the Mexican side than we get from other American narratives, and Bancroft's footnotes display to us nearly all the important Mexican authorities on the subject. Cf. also his bibliography in his vol. i. He used (v. 553), among other material, a MS. *Invasion de México*, by Bustamante. In extensive notes (pp. 550, 802) he characterizes the leading American and Mexican sources, as well as the general histories of Mexico, some of which cover the period of this war. *Niles's Register* gave translations at the time of some of the Mexican reports of the battles. One of the important Mexican documents, to be taken with

some allowance for the bitterness of rivalry, is the *Apelacion al Buen Criterio de los Nacionales y Estrangeros* (Mexico, 1849), which is Santa Anna's defence against the charge of treachery which had been brought against him. He supports his views by an array of documents (Bancroft's *Mexico*, v. 553). Bancroft (v. 433) also says of José Mana Roa Bárcenas's *Recuerdos de la Invasion Norte-Americana, 1846-1848* (Mexico, 1883), that it is the result of the study of both American and Mexican documents, from which he equally quotes. — Ed.]

² Cf. *Operations of the U. S. naval forces, 1846-47* (Washington, 1848) in *Doc. i. of House Ex. Docs., 30th Cong., 2d session*; and *Reports and Despatches [of] the U. S. naval forces during the war with Mexico* (Washington, 1849). Also, the index of Poore's *Descriptive Catalogue*.

³ There are some other books of memoirs by naval officers. *El Tucheró* (Phila., 1850), by Dr. Richard McSherry, U. S. N., who served as surgeon with the regiment of marines that formed part of Gen. Scott's force from Vera Cruz to Mexico. It is a sensible book, by a careful observer.

The campaign of the army from Vera Cruz to Mexico is treated in the latter part of Raphael Semmes's *Service afloat and ashore during the Mexican War* (Cincinnati, 1851). During this campaign Semmes acted as aide-de-camp to Major-General Worth. That portion of his book which relates specially to the operations of the army was republished under the title of *The*

Concerning the most momentous fruits of the war, the conquest of the North Mexican States and Alta California, the material is extensive. The official documents are of course the basis,¹ and there are various personal experiences among the published books.² H. H. Bancroft's *California* (vol. v. being in reality a history of the conquest)³ is the most abundant source, based on the largest knowledge, with a full statement in notes of all authorities, American and Mexican, essential and even non-essential, including a large amount of manuscript material.⁴

Bancroft's *North Mexican States*, vol. ii., has not at present writing been published, and we miss his guidance. On the conquest of New Mexico, conducted in the main by Gen. S. W. Kearny, with his subsequent march to the Pacific, the material is ample.⁵

campaign of General Scott in the Valley of Mexico (Cincinnati, 1852).

The east-coast operations are also touched in Chaplain Fitch W. Taylor's *The broad pennant; a cruise in the U. S. flagship of the Gulf Squadron* (N. Y., 1848). For the important services of the Mosquito flotilla on the east coast, consult Charles C. Jones's *Life and Services of Commodore Josiah Tattnall*, Savannah, 1878, ch. 6.

¹ [As a whole, they will be found grouped in H. H. Bancroft's *No. Mexican States and California*, in the lists prefixed to the first volume of each; and particularly see *California*, v. pp. 233, 241. Poore's *Desc. Catal.* is another ready key; and many documents are in *Niles's Register*. — ED.]

² Gen. (then Lieut.-Colonel) P. St. George Cooke's *Conquest of New Mexico and California* (N. Y., 1878) covers the infantry march to the Pacific, and the final stages of the conquest there. Walter Colton, a chaplain in the navy, in his *Three Years in California* (N. Y., 1850, 1852) gives an excellent notion of some aspects of the war. He was made Alcalde of Monterey by Stockton. Lieut. Joseph Warren Revere's *Tour of duty in California* (N. Y., 1849) is a gossipy and discursive book, but contains much original testimony, of a useful character, as to Stockton's operations, Revere being a lieutenant in his squadron, and taking an active part in the events of the campaign. The same author's *Keel and Saddle* (Boston, 1872) is a pleasant, chatty book of naval and other experiences, part of which (pp. 42-50) refers to Stockton's campaign. Cf. W. D. Phelps's *Fore and Aft* (Boston, 1871).

³ See his abridged statement, "How California was secured," in the *Mag. Amer. Hist.*, Aug., 1887.

⁴ [Among this last are the papers of Consul T. O. Larkin (Bancroft's *California*, i. p. lviii), who was also in the beginning the secret agent of the United States to effect the transfer of the government of California by peaceful means, whose efforts, it seems apparent, were thwarted by the precipitate conduct of Stockton and Frémont. There has been a good deal of mystery about

the exact terms of his instructions from the government, but the Larkin papers revealed the despatch, which is corroborated by the copy at Washington. Both Bancroft and Royce, the latest writers, and possessing the amplest means of judging, make Larkin the main instrument of the conquest. Royce's *California* (Boston, 1886) is in the "Amer. Commonwealths" series. The author made use of the material in the Bancroft library, and submitted his proofs to Gen. Frémont. There are numerous other general works, but reference need only be made to James Madison Cutts's *Conquest of California and New Mexico* (Philad., 1847), with its app. of official documents; John S. Hittell's *Hist. of San Francisco*, who takes the better developed views; Tut-hill's *Hist. of California*, of the old beliefs; the *Annals of San Francisco*, etc. The Mexican side is presented in a condensed way in the translation, *The other side*, of the leading Mexican account, ch. 26. — ED.]

⁵ Cf. list in Bancroft's *No. Mexican States*, vol. i.; his *California*, i. p. lviii; Poore's *Desc. Catal.*, etc. For Kearny's instructions, see Bancroft's *California*, v. 334. On his march he met Kit Carson (Pettis's *Life of Kit Carson*), who told him of the success of Stockton and Frémont. Emory's *Notes of a mil. reconnaissance from Fort Leavenworth, Mo., to San Diego, Cal.* (Washington, 1848. — 30th Cong., 1st sess., Ho. Ex. Doc. 41), elucidates this march. [Bancroft (v. 337) gives other references, and some (*Ibid.* v. 352-3) on the San Pasqual campaign, fought on the way, in hostile review of which Thos. H. Benton made a speech, July, 1848 (*Cong. Globe*, 1847-48, app. 977; Benton's *Debates*, and *Thirty Years' View*). There are some episodes of the Northern campaign. Bancroft (v. 477) bases his account of the march of the Mormon battalion from Santa Fé to California on Sergt. Daniel Tyler's *Concise Hist. of the Mormon battalion in the Mex. War* (Salt Lake City, 1881).

Kearny left a garrison at Santa Fé under Col. Sterling Price, who put down an insurrection (Dawson, ii. ch. 105; *Mag. Amer. Hist.*, October, 1887).

A detachment was sent under Col. Doniphan to join Gen. Wool in an attack on Chihuahua

Meanwhile, what is known as the "Bear Flag insurrection" had taken place in California in anticipation of the declaration of war, and Frémont had ranged his small force on the side of the American insurgents, who justified themselves by a belief, with no considerable foundation, that the Spanish authorities were preparing to expel them, and with the further belief that England would seize the country unless they did, which, in the opinion of Bancroft and Royce, was equally unfounded.¹ Bancroft gives abundant references.²

The conduct of Frémont in precipitating an armed revolt without warrant, and in embarrassing the efforts at a more peaceful acquisition, is set forth, according to such views, both by Bancroft and Royce.³ Royce's masterly marshalling of evidence and cogent reasoning point to the conclusion that Frémont's plan was the result of a family intrigue. The plan was doubtless suggested to Frémont by Senator Benton, but whether on the strength of a private understanding with the Secretary of State does not appear. If so, Buchanan covered his tracks completely. The hypothesis that the State Department intended a demonstration of force, and used Benton as its intermediary with Frémont, is rendered improbable, though not absolutely negatived, by the absence of corroborative evidence, and by the incompatibility of the scheme with that embodied in the instructions to Commo. Sloat and Consul Larkin.

Frémont has since published the first volume of his *Memoirs of my Life* (Chicago and New York, 1887), which comes down to the close of hostilities, but does not include the subsequent judicial investigations of his conduct. His narrative embodies his own representations and views, but is not thought by his critics to be determinate upon the mooted points of his exceeding his orders.⁴

Commodore Sloat, the naval commander on the coast, first raised the American flag at Monterey; but he did not favor the revolutionary schemes.⁵ When Sloat left the com-

(Dawson, ii. ch. 104). There are some personal narratives:—Frank S. Edward's *Campaign in New Mexico with Col. Doniphan* (Philad., 1847; London, 1848), with a map of the route, and some official papers in an appendix. The *Journal of Wm. H. Richardson, a private soldier under the command of Col. Doniphan* (N. Y., 1848, 3d ed.). The little *Journal of the Santa Fé Expedition under Col. Doniphan, which left St. Louis in June, 1846, kept by Jacob S. Robinson* (Portsmouth, 1848).—ED.]

The essential review of the whole matter, however, is *Doniphan's Expedition, containing an account of the Conquest of New Mexico: Gen. Kearny's overland Exped. to California; Doniphan's campaign against the Navajos [and] Chihuahuas . . . and the operations of Gen. Price at Santa Fé*, by John J. Hughes of the 1st Missouri Cavalry (Cincinnati, 1848, 1850), with maps. He had the advice of leading officers and his own experience.

¹ Bancroft, v. 209.

² *California*, v. ch. 4-8, and references, particularly at p. 187. One of the most important books is *A biog. sketch of the life of Wm. B. Ide* (privately printed, Claremont, N. H., 1880),—a book which claims for Ide the leading influence which was claimed for Frémont. For an estimate of this book, see Royce, 67 *et seq.* Ide was a native of Massachusetts, and he had lived in Vermont and at the West before he joined the train of emigrants to California in 1845, and in

the next year he came into prominence in the Bear Flag affairs, and issued a proclamation as their leader; under which the movement was to secure independence and political equality. Ide had, says Royce, "all our common national conscience; was at heart both kindly and upright, and an idealist of the ardent and abstract type."

³ [The inference from what these writers say seems to be, that Frémont, by the lapse of years in which he has nourished the notion of his pre-eminence in the matter, has reached a stage where his judgment is warped and his memory treacherous. Royce succeeded in getting from him certain statements, that in that writer's judgment indicate this; and Bancroft (v. 180) says, that Frémont often promised, but as often failed to furnish to him a statement.—ED.]

⁴ John Bigelow's *Life of Frémont* (N. Y., 1856) is an excellent book, and gives many of the California documents. [Frémont, in furtherance of his plan, seized horses and supplies from the people, and the demands for payment made by the sufferers on the government, constitute what are known as Frémont's California claims, and the testimony adduced in sustaining these claims constitutes a body of proofs as to the events. Cf. 30th Cong., 1st sess., *Sen. Rept.*, no. 75; II. II. Bancroft's *California*, v. 462, with references.—ED.]

⁵ Sloat's despatches, 31st Cong., 1st sess., *Ho. Ex. Doc. 1.*

mand to Commo. Stockton, it fell into the hands of an officer more ready to join Frémont in his plans, and Stockton made to the government an extensive *Report* in vindication of his conduct.¹ Upon the revolt and final reconquest, there are much the same resources as for the earlier matters.²

James Russell Soley.

¹ Dated Feb. 18, 1848 (*31st Cong., 1st sess. Ho. Ex. Doc., i.; Despatches relating to mil. and naval operations in California* (Washington, 1849)). Royce is perhaps unduly severe in his strictures on Stockton, and thinks that the latter magnified his own importance. At a later day, when Stockton was a possible candidate for the presidency, there was then written an anonymous *Sketch of the life of Commodore Robert F. Stockton* (N. Y., 1856), chap. 9 to 12. It contains in an appendix Stockton's correspondence with the Navy Department, and with officers in California, and extracts from the defence of Colonel Frémont. Valuable as the work is, it was written as a campaign document, and it abounds too much in unqualified panegyric to be taken without large grains of allowance. An interesting little paper on Commodore Stockton is contained in Josiah Quincy's *Figures of the Past*, Boston, 1883.

Other of the naval operations on the coast appear in the *Official Despatches of Adm. Du Pont* (Wilmington, Del., 1883) and the cruise of the "Cyane" by Du Pont in the *Proc. of the U. S. Naval Inst.*, 1882, p. 419.

² [Beside Bancroft, Royce, Tuthill, Cutts, Cooke, Colton, Revere, and the *Annals of San Francisco*, already referred to, add Edwin Bryant's *What I saw in California* (N. Y., 1848); Hall's *Hist. of San José*, with the references in Bancroft, v. 396. The final quarrels of Stockton and Frémont with Kearny, who wished to assume command on his arrival, and was resisted by both Stockton and Frémont, led to charges against Frémont, and to a court-martial, the report of which is one of the chief sources for the study of events (*30th Cong., 1st sess., Sen. Ex. Doc. no. 33*; Bancroft's *California*, v. 396, 456). Some of the closing events are treated in the *Memoirs of Gen. W. T. Sherman* (N. Y., 1886, 2d ed.), vol. i. ch. 2. — ED.]

EDITORIAL NOTES.

A. THE INDIAN TREATIES AND WARS. — Judge Story (*Commentaries on the Constitution*, vol. i.) elucidates the method of acquiring the Indian title to lands. As regards the Indian right of occupancy, and the relations of guardian and ward between the United States and the Indians, see Marshall's opinion in *Wheaton*, viii. 543; and the opinion in *Peters*, v. p. 1.¹ Various collections of the early treaties of the Federal government with the Indians have been printed.² The Creeks, or Muscogees, were left by Great Britain, after the peace of 1783, to make the best terms they could with the new Republic, and in a treaty at Augusta, in 1783, those Indians agreed to extensive cessions of territory, which were confirmed and enlarged by treaty, made by the State of Georgia with them at Galphinton in 1785, and at Shoulderbone in 1786,³ and this by securing their allegiance to a single State somewhat complicated matters, when later in 1790 they bound themselves to no power but the United States. The cessions of 1783 failed to command the acquiescence of a considerable part of the Creek tribe, and banding under a half-breed chief, Alexander McGillivray, they carried on for some years a desultory strife known as the Oconee War.⁴ The Spaniards, claiming that the Creek Country was theirs by

¹ For the history of legal relations with the Indians, see Kent's *Commentaries*, 2d ed., iii. 376. Cf. George E. Ellis's *Red man and the White man in No. America* (Boston, 1882), ch. 9; *Laws of the Colonial and State governments, relating to Indians and Indian affairs, 1633-1831* (Washington, 1832); and on the connection of the War Department with Indian affairs, Ingersoll's *War Department*.

² *Indian treaties: laws and regulations relating to Indian affairs* (Washington, 1826); *Treaties between the U. S. and Indian Tribes, 1775-1837* (Washington, 1837).

The Indian treaties are in the *Statutes at Large*, vii., and as far as operative at a late day they appear in the *Compilation of all the treaties between the U. S. and Indian tribes, now in force* (Washington, 1873). A summary of the early treaties in the Northwest is in Albach's *Annals of the West*, 522, 623; and in Knapp's *Maumee Valley*, ch. 3. Schoolcraft (*Indian Tribes*, ii. 546) gives a list of Indian land cessions, beginning with 1795.

³ *State Papers, Ind. Aff.*, i. 616.

⁴ Absalom H. Chappell's *Miscellanies of Georgia* (Columbus, Ga.), 1874; and the treaties with the Indians, in

conquest from Great Britain during the Revolutionary War, inveigled M'Gillivray into making a treaty at Pensacola in 1784, by which the Creeks formed an alliance with Spain,¹ under which the war was continued, the most considerable conflict occurring at Jack's Creek in 1787, when they were defeated by Gen. Elijah Clark.²

M'Gillivray and other chiefs went to New York in 1790, when General Knox, as Secretary of War, concluded a treaty, Aug. 7, by which, and in violation of their treaty at Pensacola, they came under the protection of the United States. Certain territories were retroceded to them, but not sufficient to satisfy all the tribe, so that the war still fitfully continued.³ After Spain, by the treaty at San Lorenzo, Oct. 27, 1795,⁴ had come to terms with the United States, yielding to them all claims to the Creek country, the Creeks finally, in June, 1796, ended the war by the treaty of Colraine. Meanwhile General Clark, who had been enlisted by Genet to invade Spanish territory, finding by Genet's downfall that he was left to himself, endeavored, in 1794, with his men to establish a state within the bounds granted to the Creeks; but his rebellion was short-lived.⁵

Col. Benj. Hawkins was the first Indian agent among them,⁶ and prepared an account of their country in 1798-99, which was found among his papers (afterwards in the Georgia Hist. Soc.), and this, edited by Wm. Brown Hodgson, was published by that society in 1848.⁷ In 1786, Jan. 16, there had been a treaty made with the Chickasaws at Hopewell,⁸ which had been opposed by a leading Tennessean, Col. Robertson.⁹

In 1788, the Cherokees had accused the whites, under John Sevier, of intruding upon their lands.¹⁰

Rufus King (June 5, 1794) had reported in the Senate on carrying on offensive operations against the Creeks and Cherokees; but in 1796 a treaty was made at Holston with the Cherokees, in which those Indians subjected themselves to the United States, and in 1798 made further cessions of land to the United States.¹¹

In 1798, the agents of Tennessee recapitulated the history of the successive land-treaties with the Indians in a communication which is given in Putnam's *Middle Tennessee*, p. 350. Georgia was reimbursed in 1827¹² by the United States for her expenses in these Indian wars.

The bounds of the Six Nations at the close of the Revolutionary War is shown in the *Map of part of the State of N. Y., etc., made in 1753-54, by John Aldam and John Wallis*.¹³

At Fort Schuyler, Oct. 22, 1784, the Six Nations, meeting the American commissioners, Oliver Wolcott, Richard Butler, and Arthur Lee, surrendered by treaty their claims to lands west of Pennsylvania.¹⁴

The New York commissioners concluded, meeting usually at Fort Stanwix, sundry treaties in 1788 and 1789, with the Onondagoes, Oneidas, and Cayugas.¹⁵

Washington, in his message of Aug. 7, 1780, had recommended the appointment of a commission to treat with the Indians. In Nov., 1790, Col. Pickering at Tioga Point held a council with the Senecas, and in Dec., 1790, Cornplanter and a party of the Senecas had an interview with the President.¹⁶

The relations of the government, just after the Revolution, with the Indians of the Northwest is well

which Georgia was interested, in Geo. White's *Hist. Coll. of Georgia* (N. Y., 1853); Pickett's *Alabama*, ii. 30.

¹ *State Papers, Ind. Aff.*, i. 278. Cf., for Spanish intrigue, *State Papers*, iv. 89; Sparks's *Washington*, x. 297; *Corresp. of the Rev.*, iv. 272-274; and the *Senate Report*, Aug. 17, 1789, for the relations with the Creeks.

² Chappell; Stevens's *Georgia*; White's *Hist. Coll.*

³ Cf. Putnam's *Middle Tennessee*.

⁴ *Statutes at Large*, viii. 140.

⁵ Chappell, p. 43, controverting Stevens's *Georgia* on the facts. Cf. *St. Pap. Ind. Aff.*, i.

⁶ Cf. acc. in Chappell.

⁷ *Collections*, iii., and separately (Savannah, 1848).

⁸ *Journals of Congress*, iv. 628.

⁹ A. W. Putnam's *Hist. of Middle Tennessee, or life and times of Gen. James Robertson* (Nashville, 1859), ch. 14, 15; Stevens's *Georgia*, ii. 410.

¹⁰ *Journals of Congress*, iv. 853.

¹¹ Stevens, ii. 454; Pickett's *Alabama*, ii. 145; Sumner's *Jackson*, p. 177.

¹² Cf. on the removal of the Cherokees at about this time, Poore's *Descriptive Catal.*; Drake's *Book of the Indians* (iv. ch. 33); Curtis's *Webster*, i. 283; Benton's *Debates*; Jeremiah Evarts's *Essays on the present crisis in the condition of the Indians* (Boston, 1829), etc.

¹³ Given in F. B. Hough's *Proceedings of the Commissioners of Indian affairs in the State of N. Y.* (Albany, 1861).

¹⁴ *Journals of Congress*, iv. 531; *Amer. St. Papers, Ind. Aff.*, i. 206; F. B. Hough's *Proc. of the Commissioners of Ind. Affairs in N. Y.* (Albany, 1861), vol. i. 64; Stone's *Brant and Red Jacket*; Hubbard's *Red Jacket*, 58.

¹⁵ Hough, i. 198, 241; ii. 307, 428.

¹⁶ *Amer. St. Papers, Ind. Affairs*, i.; Hough, i. 161; Harvey, ch. 16, 17; Upham's *Pickering*, ii. 490; Timothy Alden's *Account of sundry missions* (N. Y., 1827); and J. R. Snowden's *Cornplanter memorial. An historical sketch of Gyant-wau-ckia—the Cornplanter, and of the six nations of Indians. Report of Samuel P. Johnson, on the monument at Jennesadaga. Published by order of the legislature of Pennsylvania* (Harrisburg, 1807).

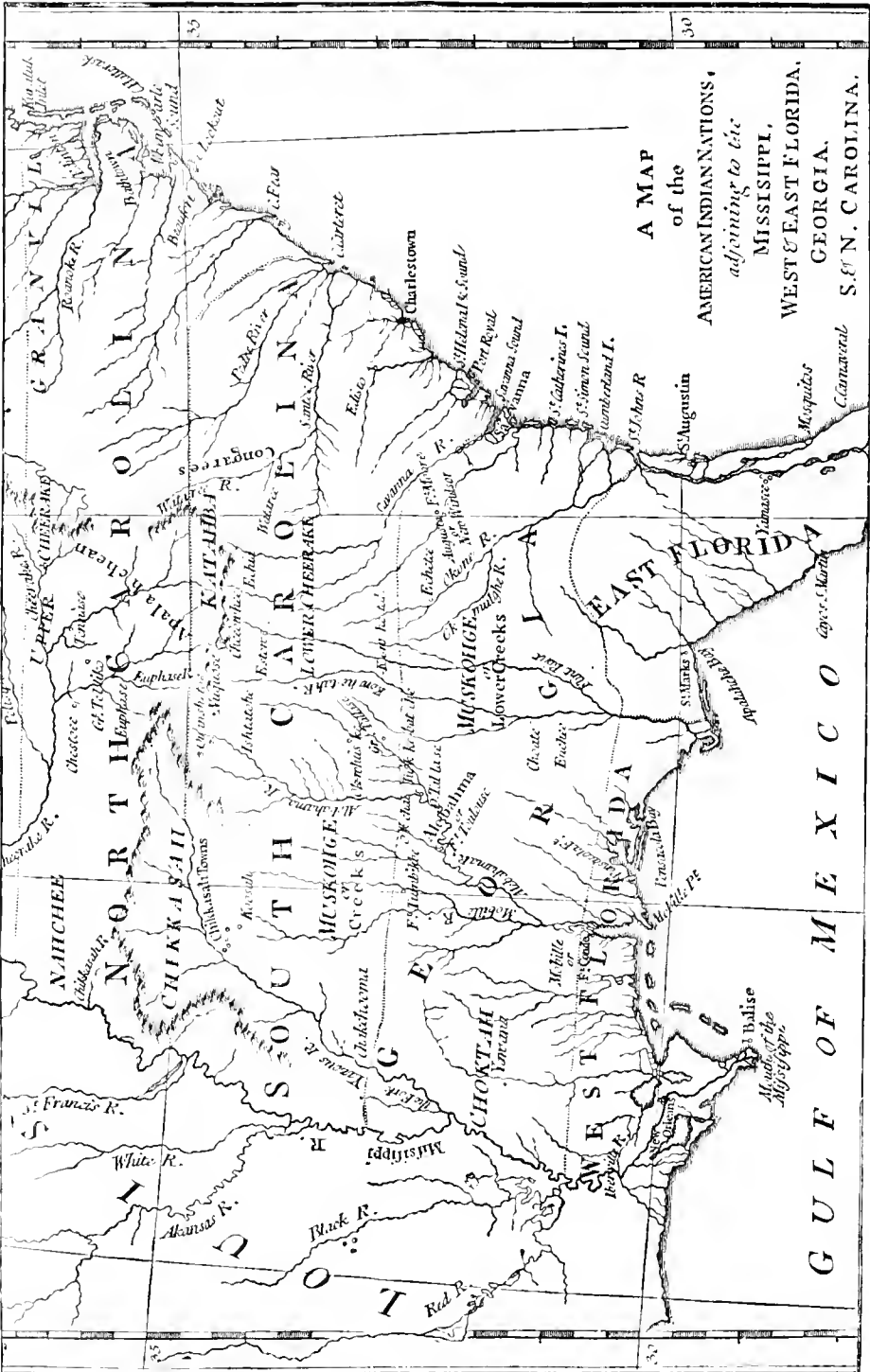
There are more extensive accounts of Red Jacket, the other Seneca chief of this time. The *Life of Red Jacket*, by W. L. Stone, has a good account of Indian conferences and (p. 104) an engraving of the medal given to him by Washington, and always worn by him. The series of Indian medals of the successive Presidents is represented in Loubat's *Medallie Hist. U. S. N. Y.*, 1878).

There are portraits of Red Jacket in the Long Island Hist. Soc.; one by Neagle in the Penna. Hist. Soc.; another in M'Kenney and Hall, i. p. 1; and a sitting figure by S. Eastman in Schoulercraft's *Indian tribes*, iii. 198. Cf. *Harper's Mag.*, xxxii. 323. Some of his speeches were published at the time (Sabin, xvi. 68, 472, etc.).

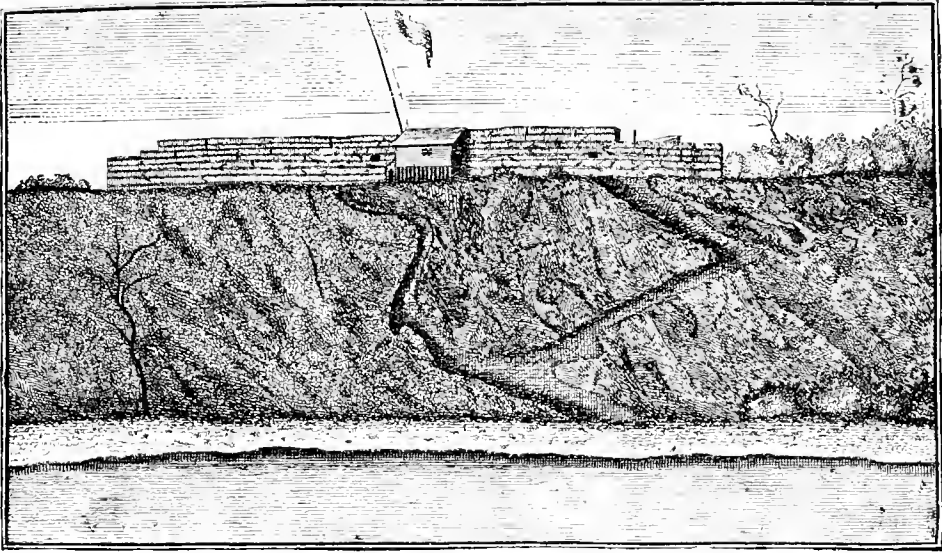
J. N. Hubbard's *Account of Sa-go-ye-wat-ha, or Red Jacket and his people, 1750-1830* (Albany, 1886), written to reflect a more kindly spirit towards him than Red Jacket's friends felt Stone to have shown, is mainly, however, derived from Stone.

The remains of Red Jacket were reinterred, with ceremony and addresses, in Wood Lawn Cemetery, Buffalo, Oct. 9, 1884. *Buffalo Hist. Soc. Trans.*, iii.; *Mag. West. Hist. Dec.*, 1884; *Hist. Mag.*, v. 73.

Cf. G. S. Conover's *Birth-place of Sa-go-ye-wat-ha or the Indian Red Jacket, the great orator of the Senecas. With a few incidents of his life* (Waterloo, N. Y., 1884); Drake's *Book of the Indians*, v. ch. 6.

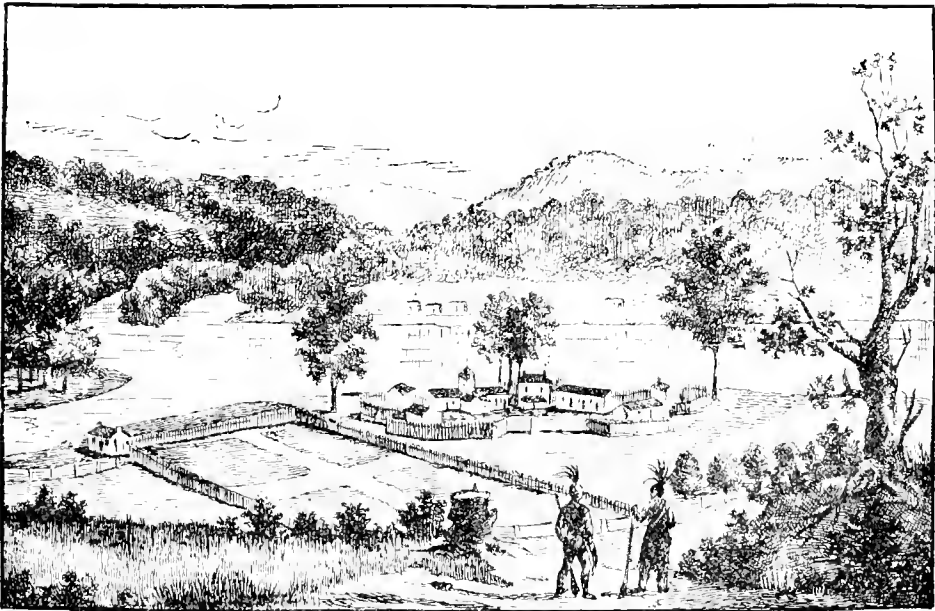


NOTE. — A section, somewhat reduced, of the map in Adair's *Hist. of the American Indians* (London, 1776), showing the position of tribes about the close of the American Revolution. There is a map (1791) of the Creek Country in Schoolcraft's *Indian Tribes*, v. 253; one of the war in Southern Alabama (1813-14) in Pickett's *Alabama*, ii.; others are in Lossing, pp. 157, 778.



FORT MCINTOSH *

shown in Jonathan Heart's *Journal*, on the march with his company from Connecticut to Fort Pitt, in Pittsburgh, Penn., from the 7th of Sept., to the 12th of Oct., 1755, inclusive. To which is added the Dickinson-



FORT HARMAR †

* Reduced from the plate in the *Columbian Mag.*, Jan., 1756, where it is said to have been built by Gen. McIntosh in 1770, and to have been recently destroyed, because a garrison at this post (lat. 40° 41' 36") was no longer necessary.

† After a drawing in 1790 by Joseph Gilman, produced by lithography in the *Amer. Pioneer*, vol. i., Cincinnati, 1844. The fort was built by Maj. Doughty and the United States troops in the autumn of 1783. The building on the left, at the corner of the enclosed garden, is the council-house in which Gen. St. Clair made his treaty with the Indians in 1783. On the point beyond the Muskingum, on the left of the picture, is the site of Marietta. The farm buildings of Col. Isaac Williams are seen on the Virginia shore in the distance. A life of Williams is in *Ibid.* i. 310. Cf. the view of the fort

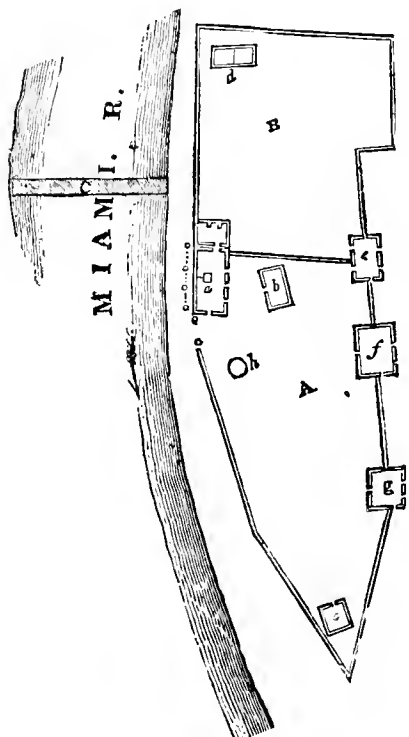
Harmer correspondence of 1784-5. The whole illustrated with notes and preceded by a biographical sketch of Capt. Harter by C. W. Butterfield (Albany, N. Y., 1885).¹

By a treaty, Jan. 21, 1785, at Fort McIntosh, with the Wyandots, Delawares, Chippewas, and Ottawas, there was reserved to them a region lying between what is now Cleveland and the Maumee River, and bordering on Lake Erie, while their title to all other lands was ceded.²

On Jan. 31, 1786, a treaty was made at the mouth of the Great Miami River with the Shawnees, who acknowledged the rights of the United States to lands acquired from Great Britain by treaty.³

New treaties were made in Jan., 1780, by Gen. St. Clair, at Fort Harmar, with the Six Nations, confirming their bounds on the west line of Pennsylvania; and at the same time with the Wyandots and other tribes, confirming their lands neighboring to Lake Erie, with reservations for the whites.⁴

The first disappointment, in the defeat of Harmar, soon followed. Harmar's despatches (*Am. St. Papers, Ind. Aff.*, i. 104) are misleading, and the main source is the result of the investigations contained in the *Proceedings of a Court of inquiry held at the request of General Josiah Harmar* (Philad., 1791; also in *St. Pap., Mil. Aff.*, i. 20-36). There is another rare report: *Proceedings of a Court of Inquiry held at Fort Washington, Sept. 15, 1791, agreeably to the following order, A Court of inquiry, of which Maj.-Gen Butler is appointed President, and Colonels Gibson and Darke members, will sit to-morrow at 12 o'clk, at the south east block house, Fort Washington.*⁵



FORT HAMILTON.*

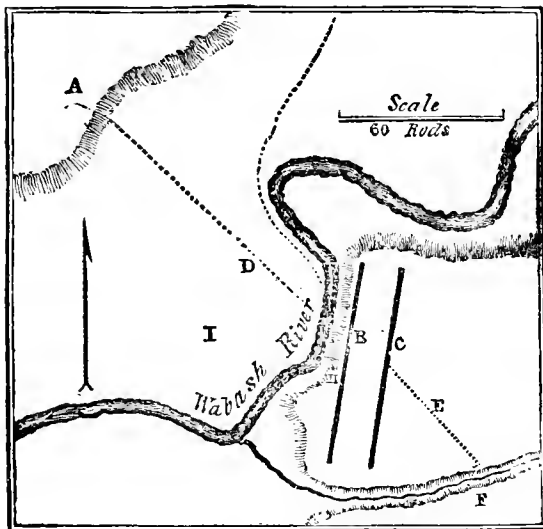
¹ The Indian life is depicted in the travels of Jean Baptiste Perrault. Cf. Schoolcraft's *Indian Tribes*, iii. 351; and in *Ibid.* ii. 33, is an account of the distribution of the tribes.

² Albach's *Annals*, 433.

in *Mag. of West. Hist.*, Nov., 1884, p. 27, with a description; Howe's *Hist. Coll. Ohio*, p. 506; and Lossing's *Field Book of the War of 1812*, p. 39.

* After a plan by James McBride, given in Howe's *Hist. Coll. of Ohio*, p. 74. The part A was built by St. Clair in Sept., 1791, at the crossing of the Great Miami. The section B was added in 1792 by Wilkinson. The officers' quarters are at *a*; the mess-room, *b*; the magazine, *c*; the artificers' shop, *d*; block-houses, *e, f, g*. The bridge C was a later construction.

† Fac-simile of a plan by John S. Houston in Howe's *Hist. Coll. of Ohio*, p. 133, in connection with narratives of Maj. Jacob Fowler and Mr. M'Dowl, who were present, and from other accounts. — KEY: A, high ground on which the



ST. CLAIR'S DEFEAT.†

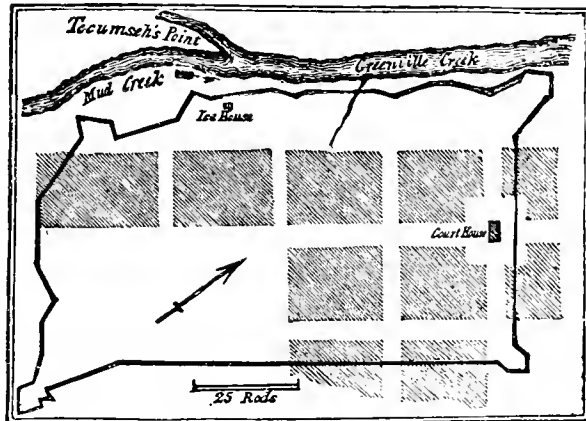
³ *Journals*, iv. 627; Albach, 443; Henry Harvey's *Hist. of the Shawnee Indians, 1051-1854* (Cincinnati, 1855), ch. 15.

⁴ Albach, 517; *Mag. Amer. Hist.*, ix. 285; Harvey, ch. 15; Hough, vol. i.; *Amer. State Papers, Indian Affairs*, i. 5; *St. Clair Papers*, i. 156, and ii. for letters; Stone's *Brant*, ii. 280.

⁵ For illustrative accounts, see the military journal of Major Ebenezer Denny, edited by W. H. Denny in the *Penna. Hist. Soc. Publ.*, vii. pp. 204-498 (and also separately, Philad., 1850), with six plans, including that of the Maumee town destroyed, and a plan of the battle (Thomson's *Bibliog. of Ohio*, no. 322). Lossing (*War of 1812*, p. 43) gives a plan and a view of the ground. Irving (*Wash-*

Respecting St. Clair's defeat, in 1791, we have his first despatches (*Am. St. Pap., Ind. Aff., i. 137*), and there is a report and supplemental report of a committee of the House of Representatives (*State Papers, Mil. Affairs, i. 39, 41*). The Report was published separately at the time (Philad., 1792).¹ For Congressional proceedings, see Benton's *Debates*, i. 393. St. Clair, when in Congress, had urged the increase of the army; but the feeling of that body was against an army of regulars, and in favor of militia. Gen. Knox in 1792 brought forward a plan for organizing the militia.²

The British are said to have instigated the Indians to depredations,³ and Judge Campbell describes a British plot to buy up, in 1795, the lower peninsula of Michigan.⁴



FORT GREENVILLE.*

ington, v.) cites the diary of Col. Winthrop Sargent, adjutant-general, during the campaign. The *Remarkable Adventures of Jackson Johonnot of Mass.* (Walpole, N. H., 1795) gives a few particulars. It is included in Metcalf's Collection (Thomson's *Bibl. of Ohio*, 632).

The later compiled accounts, beside the general histories (cf. McMaster, i. 578), are: Albach's *Annals*, 547; C. Cist in the *Cincinnati Miscellany*, i. (1845); Dawson's *Battles*, ii. ch. 1; H. S. Knapp's *Maumee Valley* (Toledo, 1872); Blanchard's *North West*, with map; Miller's *Cincinnati's Beginnings*; Burnet's *Notes*, ch. 4; Smith's *St. Clair Papers*, i. p. 108; Bryce's *Fort Wayne*; Johnston's *Tale in the Rev.*, 163.

¹ The report bore hard on the Secretary of War and the quartermaster, and they laid papers before Congress, Nov. 14, 1792, which induced the House to recommit the report. St. Clair now made some "Observations" on the report, and asked for the publication of all the papers, which the House refused. He accordingly printed them himself as a *Narrative of the manner in which the campaign against the Indians, in the year 1791, was conducted under the command of Major-General St. Clair* (Philadelphia, 1812), giving, beside the reports and his own Observations, various letters appertaining, and extracts from the minutes of the committees (Thomson's *Bibl. of Ohio*, no. 1,612; Field, *Ind. Bibliog.*, no. 1,349). St. Clair's papers are now in the State Library at Columbus, Ohio, and the essential parts of them have been published as *The St. Clair Papers*, edited by William Henry Smith, who gives in the first volume a life of St. Clair. Cf. Poore's *Desc. Catal.* p. 92.

Winthrop Sargent's journal of the campaign is given in the *Wormsloe Quartos* (see Vol. V. p. 402, of the present *History*), and in the *Amer. Hist. Record*, i. 481. The diary of Thomas S. Hinde is in the *Amer. Pioneer*, ii. 135, with (p. 150) a statement by B. Van Cleave. Cf. *Mass. Hist. Soc. Coll.*, iii. 21, for the diary of an officer.

The news, as it reached Wilkinson in Kentucky, is in the *N. E. Hist. and Gen. Reg.*, 1867, p. 379. Gen. Armstrong's comments on St. Clair's management of the battle is in Sparks's *Washington*, x. 223. Cf. Upham's *Pickering*, iii. 22.

militia were encamped at the beginning of the action. B, C, encampment of the main army. D, retreat of the militia in the beginning. E, route of retreat of St. Clair. F, burial-place of Butler and others. H, site of Fort Recovery, built by Wayne. I, spot of unearthing a brass cannon in 1830.

Lossing (*Field-Book, War of 1812*, p. 47) gives a map of more detail, being a fac-simile of a sketch by Winthrop Sargent in his MS. journal of the campaign, which is also given in the *Wormsloe Quarto* ed. of Sargent's journal.

* Fac-simile of a plan in Howe's *Hist. Coll. of Ohio*, 142. Wayne built the fort in Dec., 1793, and remained here till July 28, 1794. The plan was made by James M'Haide, and shows the relations of the outline of the fort to the later town. On Aug. 3, 1795, Wayne made his treaty here. Tecumseh lived on the point bearing his name, 1805-1808.

There are a few details of not much importance in John Brickell's narrative in the *Amer. Pioneer*, i. 43, while he was a prisoner with the enemy; and in two chap-books, Matthew Bunn's *Life and Adventures* and Johonnot's *Adventures* (Thomson, *Bibliog. of Ohio*, nos. 130-133; Sabin, xvi. 69, 374).

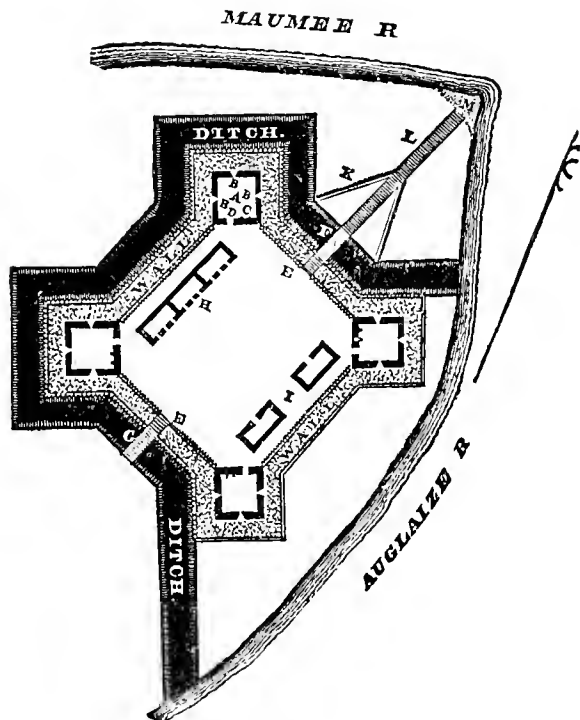
For compiled accounts beside the general histories, see Drake's *Book of the Indians*, book v. ch. 4; Dawson's *Battles*, ii. ch. 2; Lossing's *War of 1812*, 47; Albach's *Annals*, 571; Dillon's *Indiana*; Dr. C. R. Gilman in *N. E. Hist. Soc. Proc.*, 1847, p. 34; Stone's *Brant*, ii. 304; *Western Review*, iii. 58. For the subsequent condition of the field, see Knapp's *Maumee Valley*, 430. Cf. Cist's *Cincinnati Miscellany*; Burnet's *Notes*, ch. 5, 20.

² This plan, with the criticisms which it has received, is considered in the *Mass. Hist. Soc. Proc.* vi. 394.

³ See evidence of Washington's belief in it in Sparks's *Washington*, x. 434. Washington, on May 21, 1794, communicated to Congress the correspondence between the Secretary of State and the British minister, respecting accusations against the governor-general of Canada (*St. Papers, For. Rel.*, i. 461). Cf. letter of Secretary Randolph, May 22, 1794. There is other testimony in *Amer. State Papers, Ind. Affairs*, i. 795; Stone's *Brant*, ii. 271, 300; Albach's *Annals of the West*, 542; Schouler, i. 267; Madison's notes of conversation with Colonel Beckwith in Madison's *Letters*, i. 530; and, at a later day, Madison's *Message transmitting copies of a correspondence between Mr. Monroe and Mr. Foster, relating to the alleged encouragement by the British government of the Indians to commit depredations on the inhabitants of the United States* [etc.], June 11, 1812. Cf. Poore's *Desc. Catal.* pp. 29, 92, 95. The negotiation with the Indians during this period was entrusted in considerable degree to Col. Pickering (*Life*, iii.). Pickering was thoroughly convinced of the British machinations to prevent the settlement of difficulties on the part of the Americans. Among the Pickering papers in the *Mass. Hist. Soc.*, vols. lix.-lxix. relate wholly to his labors in negotiating with the Indians.

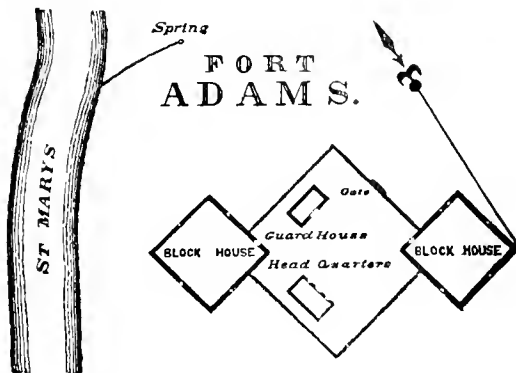
⁴ *Michigan Pioneer Collections*, viii. 406.

In 1792, Gen. Rufus Putnam made a treaty with the tribes at Vincennes,¹ and in 1793 there was another meeting, of which a "Journal of a treaty" with the Indians of the Northwest, by the commissioners of the U. S.,



PLAN OF FORT DEFIANCE.*

is in the *Mass. Hist. Soc. Coll.*, 3d ser., v. 109. This concerns a conference held by Benjamin Lincoln, Timothy Pickering, and Beverly Randolph, on the part of the United States. The Indians insisted on making the



PLAN OF FORT ADAMS, 1794.†

¹ Albach, 605; Dillon's *Indiana*, 317.

* Fac-simile of a cut in the *Amer. Pioneer* (Sept., 1843), ii. 387, after a sketch made in 1794. It was begun during Wayne's campaign, on Aug. 9, 1794. — KEY: A, block-house, with port-holes (B) and chimney (C) and door (D). Each bastion had a similar structure. E, E, gateways. F, bank of earth for passing the ditch. G, drawbridge. H, officers' quarters. I, storehouses. K, pickets. L, smiken passage for getting water from the river. M, sand-bar.

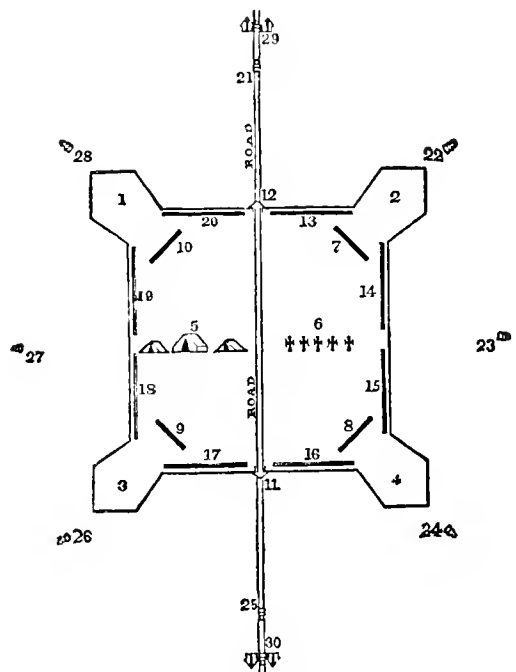
See the cuts in Lossing, 330, 333; *Harper's Mag.*, xxvii. 154; Knapp's *Maumee Valley*, 87; and Howe's *Hist. Coll. Ohio*, 144.

† Built by Gen. Wayne on the St. Mary's. Fac-simile of cut in *Amer. Pioneer*, ii. 203.

Ohio the boundary; and accordingly nothing came of the conference.¹ The victory of Wayne, in 1794, solved, for a while at least, most of the Indian difficulties.²

Knox, the Secretary of War, Dec. 30, 1794, recommended in a *Report* the establishment of military posts throughout the Northwest.

The next year (Aug. 3, 1795), Gen. Wayne meeting, at Greenville, with the Northwestern tribes, Wyandots, etc., a treaty was concluded, by which a restitution of prisoners was made and boundaries established.³



REFERENCE.

- | | |
|---------------------------------|---------------------------------------------|
| 1. Lieutenant Massie's bastion. | 11. Rear gateway. |
| 2. Lieutenant Pope's bastion. | 12. Front gateway. |
| 3. Captain Porter's bastion. | 13 and 14. Third sub-legion. |
| 4. Captain Ford's bastion. | 15 and 16. First sub-legion. |
| 5. Head-quarters. | 17 and 18. Second sub-legion. |
| 6. Park of artillery. | 19 and 20. Fourth sub-legion. |
| 7. Second troop of dragoons. | 21, 22, 23, 24, 25, 26, 27, and 28. Picquet |
| 8. First troop of dragoons. | guards. |
| 9. Fourth troop of dragoons. | 29. Advance guard. |
| 10. Third troop of dragoons. | 30. Rear guard. |

GENERAL WAYNE'S DAILY ENCAMPMENT.*

¹ Stone's *Brant*, ii. 340, gives a full account.

² Wayne's official report is in the *Amer. State Pap.*, *Ind. Aff.*, i. 491, with correspondence, and in Dawson's *Battles* (ch. 2), accompanied by a narrative, with minute references. Cf. *Amer. Pioneer*, ii. 388.

H. N. Moore's *Life of Wayne* (Philad., 1845) is founded on papers furnished by Col. Isaac Wayne, the son. Gen. Armstrong prepared the life in Sparks's *Amer. Biography*. J. Watts De Peyster (*Mag. Am. Hist.*, Feb., 1886), in a sketch of Wayne, says the best life is in *The Casket*, 1829-30, published at Philadelphia. (Cf. this *History*, VI. 514.)

Cf. W. A. Brice's *Fort Wayne, Indiana* (Fort Wayne, 1808); the report to Congress, Jan. 10, 1811, on claims of his heirs. There is in Walker's *Athens Co., Ohio*, p. 108, a curious story of the reinterment of the Wayne's remains.

There are some journals of the campaign: that of Rev. David Jones, a chaplain, in *Michigan Pioneer Coll.* viii.

302; Lient. Boyer, July 28-Nov. 2, 1794 (Cincinnati, 1866), and appended to Jacob's *Capt. Cresap*, and also in *Amer. Pioneer*, i. 315, 351; and the account in Brickell's narrative in *Ibid.* i. 43. Cf. Upham's *Pickering*, iii. ch. 4; Stone's *Brant*, ii. 383; Burnet's *Notes*, ch. 6, 7, 8; Jos. Pritt's *Incidents of Border Life* (Chambersburg, Pa., 1839; Lancaster, Pa., 1841), rearranged, with omissions, as *Mirror of Olden Time Border Life* (Abingdon, Va., 1841); Wither's *Chronicles of Border Warfare*; Albach's *Annals*, 619; Knapp's *Mauwoc Valley*, 83; *Western Review*, ii. 229; historical notes to Andrew Coffinberry's *Forest Rangers* (Columbus, 1842); Bonney's *Legacy of Hist. Gleanings*, ch. 4; Blanchard's *North West*. The best of the general narratives is in Lossing's *War of 1812*, p. 53.

³ Albach, 647; Burnet, ch. 10-12; Knapp's *Mauwoc Valley*, 355; Harvey, ch. 18, 19.

The later treaties worth mentioning are those at Fort

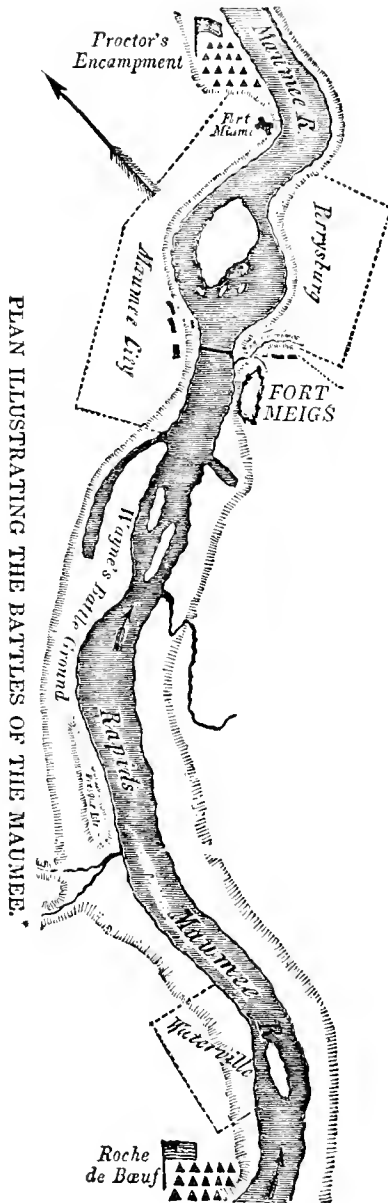
* Fac-simile of a cut in the *Amer. Pioneer*, July, 1843, of Wayne's encampment at Greenville, — a form of encampment used by him when the ground admitted.

The story of the plot and league formed some years later by Tecumseh, or Tecumtha, is told in Peter D. Clarke's *Origin and Traditional History of the Wyandots, and Tecumseh and his league* (Toronto, 1870), and in Benjamin Drake's *Life of Tecumseh* (Cincinnati, 1841, with later eds.).¹

Harrison's detailed report of the engagement at Tippecanoe is in the *St. Paf., Ind. Aff.*, vol. i., and in Dawson, ii., who compares the accounts. The best narrative is in Lossing, who gathers new information.²

This essay may be closed with some general references.³

B. THE FRENCH WAR OF 1768. — The correspondence of Washington relative to his taking command of the provisional army against France, his movements in organizing it, and his wishes to give



* Fac-simile of a cut in Howe's *Hist. Coll. Ohio*, p. 319. Wayne, advancing from Roche de Bœuf, met the Indians, and drove them under the cover of the guns of Fort Miami, — a post within the U. S. territory still held by the British. Fort Meigs was a later construction. Cf. the plans and details in Lossing's *Field-Book of the War of 1812*, pp. 55, 477; and the view of the ruins of Fort Miami in Lossing, 491, and in *Harper's Mag.*, xxvii. 209. There is a map of the ground in the *N. Y. Mag.* (1794). A manuscript map by Dr. Belknap, showing Wayne's line of march, is in Harvard College library. Cf. *Mass. Hist. Soc. Proc.*, xviii. 18.

Wayne, June 7, 1803; Fort Industry, July 4, 1805; Detroit, Nov. 17, 1807; Brownstown, Mich., Nov. 25, 1808; the Rapids of the Miami, Sept. 29, 1817; St. Mary's, Sept. 17, 1818; Saginaw, Sept. 24, 1810.

There is in Schoolcraft's *Indian Tribes* (v. 768) an estimate of the number of Indians in the Northwest at the breaking out of the war in 1811-12.

¹ Cf. S. G. Drake's *Book of the Indians*, bk. v. ch. 7; Cooley's *Michigan*, 194; Parton's *Jackson*, i. 491; Stone's *Red Jacket*, ch. 9; *Harper's Mag.*, xxvi. Tecumseh's speeches are in Moore's *Amer. Eloquence*, ii. 325.

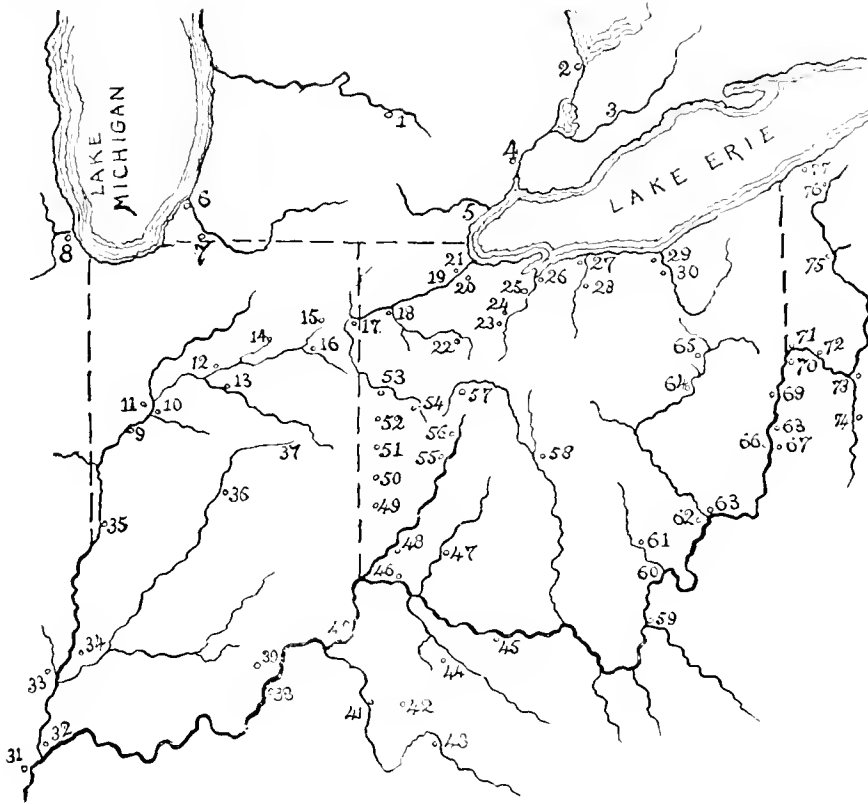
² Cf. Albach, 839; Marshall's *Kentucky*, 491; Dillon's *Indiana*, 467; Harvey's *Shawnee Indians*, ch. 24; *Harper's Mag.*, xxvii. 145; and E. Deming's *Oration* (1835). There is a plan of the battle in Lossing (p. 205), and views of the ground in *Ibid.* 202, 209; and in Gay's *U. S.*, iv. 183.

The lives of Harrison are enumerated in P. O. Thomson's *Bibliog. of Ohio*, no. 515. Chief among them are H. Montgomery's (Cleveland, 1852); James Hall's (Philad., 1836); Moses Dawson's (Cincinnati, 1824), with an App. of documents.

³ Beside the comprehensive histories of the United States and of the war, see the histories of the N. W. States, such as Caleb Atwater's *Hist. of Ohio* (2d ed., Cincinnati, 1838); Dillon's *Indiana*, etc.; Farmer's *Detroit and Michigan*, ch. 40; local histories, like that of *Washington County, Ohio*, ch. 6; Albach's *Annals of the West* (Pittsburg, 1857); Will De Hass's *Early settlements and Indian wars of West Virginia* (Wheeling, 1851); Samuel L. Metcalf's *Collection of narratives of Indian warfare in the West* (Lexington, Ky., 1821); Charles R. Brown's *Old Northwest Territory, its missions, forts, and trading posts* (Kalamaazoo, 1875), a pamphlet, containing a list of such localities with plans, and a general map indicating their position, of which a sketch is given herewith, called "Military Sites of the North West"; Dodge's *Red Men of the Ohio Valley*, a compilation (Springfield, Ohio, 1860); and some papers in the *Mag. West. Hist.* (1885), i. 193, 312. There are a large number of compiled books, — some of the best of which are Drake's *Book of the Indians* and B. P. Thatcher's *Indian Biography* (Harper's Family Library). The story is told in a popular way in C. R. Tuttle's *Border Wars of Two Centuries, 1750-1874* (Chicago, 1874), and in Charles McKnight's *Our Western Border* (Philad., 1870). For biographical material, there is the large work of McKenney and Hall, *Hist. of the Indians of No. America* (Philad., 1837), in three vols. Schouler, (i. 151) shows how the Indian question was presented to

rank to his major-generals in the order of Hamilton, C. C. Pinckney, and Knox, while Adams would have reversed the order, but yielded, will be found illustrated.¹

The only active service was on the sea, where the services of the navy were creditable.²



MILITARY SITES OF THE NORTHWEST.

Washington's administration. Cf. Hildreth, iv. 138, 378; Irving's *Washington*, v. ch. 11, 14; McMaster, i. 504; ii. 17. We get the views of a missionary in Schweinitz's *Zeit-berger*, ch. 51. On the location of the several tribes at this period, see S. D. Peet in the *Amer. Antiquarian*, i. 85. There is a considerable mass of documentary evidence on the defence of the frontiers in *Tenna. Archives*, 2d ser., iv. Cf. Benton's *Debates*, i. 341, 491, 599; the references in Poore's *Descriptive Catalogue* (pp. 1303, 1304); and occasional papers in the *Amer. Pioneer*. The leading bibliographical source is Thomas W. Field's *Essay towards an Indian Bibliography* (N. Y., 1873), who says that W. V. Moore's *Indian Wars of the U. S.* (Philad., 1850), a fair sample of the chap-book order, is really one of John Frost's

productions. The Rev. Jedediah Morse's *Report to the Secretary of War on Indian Affairs* (New Haven, 1822) is the best account we have of the condition of the tribes in the United States after their trials in these wars and in that of 1812 (Field, no. 1098).

¹ Sparks's *Washington*, xi. 242, 249, 254, 257, 261, 273, 280, 293, 303, 304, 327, 346, 370, 374, and appendixes; John Adams's *Works* (vol. i., viii.); Irving's *Washington* (v. 273, 277; Upham's *Pickering*, iii. ch. 11; Pickering's *Review of the Adams-Cunningham Correspondence*, ch. 6; Gibbs's *Administration of Washington*, etc.; Lodge's *Cabot*, 145; Lodge's *Hamilton*, 210; Schouler, i. 406; *Washingtoniana* (1860, 1895).

² See in general the naval histories of Cooper (i. ch. 15,

NOTE — KEY: 1, Site of Lansing, Michigan. 2, Fort Gratiot. 3, Place of the battle on the River Thames. 4, Detroit. 5, Frenchtown, on the River Raisin. 6, Fort Miamis, later Fort St. Joseph, taken by the Indians in 1763. 7, Mission of St. Joseph. 8, Chicago and Fort Dearborn. 9, Ouiatenon, or Wee Town, on the Wabash, destroyed in 1791 by Gen. Scott. 10, Ponce Passu, Wild Cat Creek. 11, Tippecanoe battle-ground, Nov. 7, 1811. 12, Eel River, Indian village, destroyed by Gen. Wilkinson, 1791. 13, Mississinewa, scene of Indian council in May, 1812; in Dec., 1812, some of the Indian villages hereabouts destroyed by Lt.-Col. Campbell. 14, Little Turtle town. Col. Harbin's unsuccessful attack on the Indians near here, Oct., 1790. 15, La Balme defeated here, 1790, while marching to attack Detroit. 16, Forks of the Wabash. 17, Fort Wayne, built Sept., 1794 (now city of Fort Wayne). Gen. Harmar defeated near by, Oct. 22, 1790. 18, Fort Defiance, built 1794. Fort Winchester was near by (1812). 19, Battle of Fallen Timbers, Wayne's victory, Aug. 20, 1794. 20, Fort Meigs, besieged April-May, 1813. 21, Fort Miami, occupied (1794) by the British. 22, Fort Findlay, built by Col. James Findlay. 23, Fort Ball, on the Sandusky River, named from Col. James V. Ball, of Harrison's cavalry. 24, Fort Seneca. 25, Fort Stephens, on the Sandusky River, built in 1812. 26, Fort Junandot, built 1754. 27, French trading-post at mouth of Huron River, afterwards a military post

There were, however, threatening complications at the West, and the agency of Wilkinson was prominent in anticipated attacks on New Orleans.¹

On land, however, the quasi war had more political than military bearings.²



GEN. CHAS. COTESWORTH PINCKNEY.*

16), Roosevelt (p. 499, App.), beside Hildreth (v. 270), and McMaster (ii. 519). On the French side, see Edouard Chevalier's *L'Histoire de la marine française sous la première république*, and the sequel, *Sous le Consulat et l'Empire* (Paris, 1886).

The principal engagements were those of "L'Insurgent" (Feb. 11, 1799), and of "La Vengeance" (Feb. 2, 1800) with Com. Truxton in the "Constellation." Cf. beside, Lossing, *War of 1812*, pp. 103, 104; Dawson, ii. 27, 31, who gives Truxton's despatches. That respecting "La Vengeance" is also in Sec. Stoddart's *Report, March 20, 1800, Senate Ex. Docs.*, and in Lombat's *Medallie Hist.* (i. 130), with the medal awarded to Truxton. (Cf. also Los-

sing, 105. There is a portrait in later life in the Long Island Hist. Soc. gallery.) Cf. McMaster, ii. 475, and *Autobiog. of Chas. Biddle*, 278. There are some data respecting the Continental ship "Trumbull" in *Mag. Amer. History*, March, 1885, p. 256; and the capture of "Le Berceau" by Capt. George Little in the "Boston," taken from her log-book, in *Mass. Hist. Soc. Proc.* xx. 270.

¹ See his *Memoirs*, vol. i. Cf. Gay's *Pop. Hist. U. S.*, iv. 139, 141; and the histories of the Mississippi Valley and Louisiana. There is a paper on "The Quasi War with France" by Lieut. Nathan Sargent in the *United Service*, ix. p. 1.

² For the Federalist views, see Ames's *Works* (vol. i.),

during the French wars. 28, Moravian mission (1804). 29, Moravian mission station (1776) on the Cuyahoga, ten miles above the modern Cleveland. 30, A French station in 1755. 31, Old Shawnee town on the Ohio River. 32, Stockade Fort, built by the French in 1750. 33, Mouth of Embarras River. 34, Vincennes. Council between Harrison and Tecumseh in 1810. 35, Fort Harrison, on the Wabash, built 1811; defended against the Indians by Taylor, Sept., 1812. 36, Site of Indianapolis, on the White River. 37, Principal village of the Delawares on White River, 1810. 38, Falls of the Ohio, at Louisville. 39, Pigeon Roost massacre, 1812. 40, Defeat of Col. Loughrey's party, while marching to join Gen. Clark at the falls of the Ohio. 41, Site of Frankfort, Ky. 42, Lexington, Ky. 43, Boonesborough, Ky., on the Kentucky River. 44, Battlefield of Blue Licks, 1752, on the Licking River. 45, Limestone, now Marysville, Ky. 46, Fort Washington, built 1790, now Cincinnati. 47, Location of Fort Ancient. 48, Fort Hamilton, built 1791, on the Great Miami River. 49, Fort St. Clair, built 1791-2. Capt. John Adair attacked near here by Indians, Nov. 6, 1792. 50, Fort Jefferson, built 1791. 51, Fort Greenville, built 1793. 52, Fort Recovery, built 1793, by Major Henry Burbeck. 53, Fort Adams, on the St. Mary's River. 54, Fort St. Mary's. 55, Fort Piqua. 56, Fort Laramie, built by Wayne in 1799. 57, Fort MacArthur, on the Scioto River. 58, Site of Columbus, Ohio. 59, Battle of Kenawba, Oct. 19, 1771. 60, Fort Gowen, erected by Gov. Dummore, 1774, near the mouth of the Hockhocking River. 61, French Margarets, a French station, 1755. 62, Fort Harmer, at the mouth of the Muskingum River, built 1785-86. 63, Campus Martius, 1791; later Marietta. 64, Massacre of the Moravian Indians on the Tuscarawas River, in 1782. 65, Fort Laurens, built 1788. 66, Dillie's fort, built 1799. 67, Baker's fort, built 1790. 68, Wheeling, Va., founded 1770. 69, Fort Steuben, built 1780. 70, Massacre at Baker's Bottom, in 1774. 71, Fort McIntosh, built 1778-79. 72, Site of Logstown. 73, Fort Duquesne, now Pittsburg. 74, Brownsville, or Redstone Old Fort, on the Monongahela River. 75, Venango Fort, built 1752; destroyed 1793. 76, Fort Le Boeuf, on French Creek; destroyed May, 1763. 77, Presqu'isle, fort destroyed in 1763. This map has been fashioned on one given by Brown in his *Old North West Territory*.

* From the *National Portrait Gallery*, 1859, vol. iv., following a miniature by Malbone. Cf. J. C. Hamilton's *Hamilton*, 1879, ed., vol. vii.; Lossing, 92.

G. JEFFERSON'S GUNBOATS. — For the legislation, see Poore's *Descriptive Catalogue*, and Goldsborough's *Naval Chronicle*, 322.¹

D. SINGLE SHIP ACTIONS, 1812-1815. — It was the success of the American navy in most of these conflicts that created the most surprise, and made for the young country its most creditable record.

CONSTITUTION AND GUERRIERE, Aug. 19, 1812. After the American frigate's skilful escape from the British fleet (Roosevelt, 83; Coggeshall, 9; James, v. 300), she met one of her pursuers, and in a successful fight with her made the first conspicuous success of the war. Professor Soley (*U. S. Naval Inst.*, vii, Oct. 20, 1881) gives a diagram and collates the accounts of the four principal eye-witnesses: Hall's official report (*Naval Monument*; Dawson, ii, 116); Dacre's report (*Ann. Reg.*, 1812, p. 249; *Naval Chronicle*, xxviii, 347; Dawson); account by an officer (*Naval Monument*, 12); Com. Mottis's in his *Autobiography*.²

WASP AND FROLOU, Oct. 18, 1812. Cf. Soley; Cooper; Lossing; Roosevelt, 102; Dawson, ii, 108; Loubat, i, 161, with medal of Capt. Jacob Jones; James, v, 389.

UNITED STATES AND MACEONIAN, Oct. 25, 1812. Soley, giving a plan, compares Decatur's report (*Naval Monument*, 24; *State Papers, Naval Aff.*, i, 280; Mackenzie's *Deceator*, App. v, vi; Loubat, i, 164; Dawson) with that of Caiden, the British commander (Gold's *Naval Chronicle*, xxix, 77; *Ann. Reg.*, 1812, p. 255; Dawson).³

CONSTITUTION AND JAVA, Dec. 29, 1812. Soley gives a plan and examines the official account by Bainbridge (*Naval Monument*, 28, 32; documents accompanying the President's message of Feb. 22, 1813; Dawson, ii, 183) with those of Chad (Gold's *Nav. Chron.*, xxix, 346, 403; *Ann. Reg.*, 1812, p. 132; Dawson).⁴

HORNET AND PEACOCK, Feb. 24, 1813. Cf. Cooper; Lossing; Dawson, ii, 200; Loubat, i, 180; Roosevelt, 100; *N. E. Hist. and Gen. Reg.*, Oct. 1874, p. 392; James, vi, 47.

CHESAPEAKE AND SHANNON, June 1, 1813. The official despatch of Lieut. Budd, the surviving officer, is in Brannin's *Official letters*, p. 197. There are documents and other relations of the time in *Niles's Reg.*, v.; and in a *Biog. of Lawrence* (New Brunswick, N. J., 1813), and in a lesser *Life of Lawrence* (Hartford, 1814). A statement of the loss on the "Chesapeake" is in *House Ex. Doc. no. 110* (Feb. 24, 1829). Washington Irving prepared at the time a memoir of Lawrence for the *Analytic Mag.*, in which the account of the action was derived from a surviving officer (also in his *Spanish Papers*, ii, 37; cf. *Harper's Mag.*, xxiv, 173). The accounts of the conflict as seen from land, and published in the Boston newspapers of June 2 and 3, were reprinted in the *Boston Evening Transcript*, Sept. 15, 1884, including the report of Knox, the pilot, who carried the "Chesapeake" out of the harbor. There were particulars of the fight brought out in the proceedings of the Court of Inquiry, and in the *Trial of Lieut. Cox, April 18, 1814* (Ingersoll, 1812-13, p. 300), when Dr. John Dix, a surgeon in attendance upon Lawrence after he was carried below, reported the directions given to him by the wounded commander, which were slightly changed popularly to "Don't give up the ship." It has been charged that Major Russell of the *Centinel* invented these words (Gillet's *Democrat*, p. 61). Cf. *An account of the funeral honors bestowed on the remains of Capt. Lawrence and Lieut. Ludlow, with the Eulogy by Joseph Story, with documents* (Boston, 1813). The fullest account of the action was prepared by Admiral Geo. H. Preble for the *United Service*, Oct. 1870, with a list of authorities; and the author's interleaved copy of this paper, with additional notes from Sir Provo Wallis, of the British navy, and last survivor of the fight, is in the Mass. Hist. Soc. library. We have Capt. Broke's despatch on the British side, and a *Memoir of Broke*, with a life of him in the *Ann. Register*, 1812, p. 185; and *Naval Chronicle*, vol. xxxii.; beside the accounts in James (vi, 51, with diagram), and in the volume published (5th series) by the *Quebec Literary and Hist. Soc.*⁵

ARGUS AND PELICAN, Aug. 14, 1813. Cf. Cooper; Lossing; Dawson, ii, 206; Roosevelt, 209; James, vi, 81.

and for the opposition of Washington to the views of Jefferson, see Garland's *Randolph* (i, 120). How the aspects of the time struck a young Northerner in Virginia, surrounded by anti-Federalists, will be seen in Channing's *Channing* (Cent. ed. 48). The views of a Northern Republican are seen in T. C. Amory's *James Sullivan* (ii, 68). Cf. Hildreth, v, 221; Parry's *Mass.* iii, 339. The attitude of the different members of Congress can be seen in the *General personal index of the Journals of Congress, First to Eighth Congress, 1780-1805* (Washington, 1885).

¹ Jefferson's *Writings*, v., particularly his letter of January 20, 1805; and his messages (*Statesman's Manual*, i.); Benton's *Debates*; Tucker's *Jefferson*, ii, 175; Randall's *Jefferson*, iii, 125; Garland's *Randolph*, i, 34; Sullivan's *Pub. Men*, 238; Hildreth, v, 539; Schouler, ii, 67, 138; Lalor's *Cyclopedia*, ii, 427. There are views of the boats in Lossing's *War of 1812*, 165; a description of them in Wm. Gould's *Portsmouth*, 412. A sample of the current ridicule is in Col. Tumbull's *Autobio.* 246.

² Cf. on the American side: Cooper; Loubat; Lossing; Roosevelt, 80; Coggeshall, 28; *Hist. Mag.*, Jan., 1879, and some reminiscences of Hull in Edmund Quincy's *Josiah Quincy*, 262. On the British side: James, v, 372, with diagram; Brenton, ii, 453, and Douglas's *Naval Gunnery*, 539.

³ Cf. Cooper; Lossing; Roosevelt, 108; Coggeshall, 72; and on the English side, James, v, 345, with a diagram; Douglas, 534.

⁴ Cf. Cooper's *History*; Lossing; Roosevelt, 119, 509; Harris's *Bainbridge*; Cooper in *Graham's Mag.*, Oct., 1842, and *Lives of Naval Officers*; Dennie's *Portfolo*, x, 553; and on the English side, James, v, 409, with diagram; Brenton, ii, 402; Douglas, 548.

⁵ Lossing gives an interesting account, with cuts, and Dawson collates the authorities. Cf. Douglas, 552, who also gives (pp. 78-80) a detailed account of the damage done to each ship; Brenton (ii, 400), who says he got his facts from officers of the British ship.

ENTERPRISE AND BOXER, Sept. 5, 1813. Despatch of Lieut. E. R. McCall, and medals to him and Lieut. Wm. Burrows, in Loubat, i. 173.¹

PEACOCK AND EVERVIER, April 29, 1814. Cf. *Am. St. Papers, Naval Aff.*, i. 313, 314; Loubat, i. 198, with medal given to Capt. Lewis Warrington; Cooper; Lossing; Dawson, ii. 338; Roosevelt, 312.

WASP AND REINDER, June 28, 1814. Cf. *Am. St. Papers, Naval Aff.*, i. 315; Loubat, i. 201, with medal given to Capt. Johnston Blakeley; Cooper; Lossing; Roosevelt, 344; Dawson, ii. 345; James, vi. 101.

WASP AND AVON, Sept. 1, 1814. Cf. Dawson, ii. 377; Lossing, 681; Cooper; Roosevelt, 320.

LOSS OF THE PRESIDENT, Jan. 10, 1815. Decatur's report in Mackenzie's *Decatur*, App. vii.; Dawson, ii. 420; Cooper; Lossing; Roosevelt, 404; James, vi. 230.

CONSTITUTION TAKES THE CYANE AND LEVANT, Feb. 20, 1815. Cf. Loubat, i. 247, for Stewart's report and medal; Cooper; Lossing; Roosevelt, 417; Dawson, ii. 422; *Dem. Rev.* xxviii. 449; *Analectic Mag.* vii. 132; R. W. Gilder, *Hours at Home*, x. 208, 498; James, vi. 240.

HORNET AND PENGUIN, March 23, 1815. Cf. Rept. of Secretary Crowninshield to the Ho. of Rep., Dec. 21, 1815; *Autobiography of Charles Biddle*, App. p. 307; Dawson, ii. 424; Cooper; Lossing; Roosevelt, 420; James, vi. 201.

E. ON THE SEABOARD IN 1812-1815.—Lossing (p. 235) gives a list of the coast forts. Sumner's *East Boston*, p. 738, gives an account of the defensive measures along the coasts of Maine and Massachusetts, to be supplemented by the local histories of the seaboard towns. For the British occupation of Eastport, Me., see Williamson's *Maine*, ch. 20; Lorenzo Sabine in *Hist. Mag.*, April, May, 1870; on the works at Castine, see Lossing, 807; forts at Salem, *Ibid.* 007; defences of Boston, *Mem. Hist. Boston*, iii. 304; of New London, Lossing, 602; *Harper's Mag.*, xxviii. 3; attack at Stonington, James R. Durand's *Life and Adventures* (Rochester, N. Y., 1820); Fort Phoenix at New Haven, Lossing, 013.

For the defences and events about New York city, see *Mag. Amer. Hist.*, Nov., 1885, p. 522. Gen. Cullum's *Campaigns of War of 1812-13* gives a plan of the fortifications about the city. Others are given in Lossing, 071, etc.; in Valentine's *Manual of the City of New York*, 1850, 1857, with documents, etc.; and in *Ibid.*, 1850, the proceedings of the Com. of Defence.

The minutes of the Com. of Defence in Philadelphia are in the *Penna. Hist. Soc. Memoirs*, viii.

For the operations of the British fleet in the Chesapeake, see James, vi., and Dawson, ii. 212, 226, 248, 250, 255. For the attack on Craney Island, Dawson, ii. 257; the Report to the Virginia legislature on the defence of Craney Island in 1813; Gen. Cullum's *Campaigns*, etc., 273; Lossing, 670, etc.; *Harper's Mag.*, xxviii. 10; *Virginia Hist. Reg.* i. 132.

F. THE NORTHERN FRONTIER, 1812-1814.—Lossing and Dawson, with some personal narratives like Wilkinson's *Memoirs* (i. ch. 13-15), and a few local histories like Johnson's *Eric County* and F. B. Hough's *Jefferson County*, give us most of the detail of this campaign.²

The capture of York (Toronto) was the first considerable success. Lossing (588, etc.) gives a plan. Dawson (ii. 214) collates the authorities.³

For the attack on Sackett's Harbor (May, 1813), see Wilkinson's *Memoirs*; Dennie's *Portfolio* (xiii. 307); and plan and collations in Lossing (613, etc.) and Dawson (ii. 235). Col. Edward Bayne's official report of the attacking party is in *Some Account of Gen. Prevost* (App. p. 61).⁴

Of the disgraceful campaign of Gen. Wade Hampton, conducting the right wing of Wilkinson's army, and his discomfiture near Johnston, on the Chateaugua (Oct. 26, 1813), Lossing (p. 648) gives a good account, and it may be supplemented by Dawson (ii. 268). Cullum (ch. 4) examines the campaign professionally, and gives a map of the region between Lakes Champlain and Ontario, with the route of Hampton. There is also a map of the fight in Wilkinson's *Atlas*, no. 8.⁵

Of the affair at Chryster's Farm, or Field (Nov., 1813), there are professional accounts in Carmichael-Smyth's *Précis of the Wars in Canada* (p. 100), and in Gen. Cullum's *Campaigns*, etc. (p. 167), who gives a plan (others in Lossing, 655; *Harper's Mag.*, xxvii. 755). Trimen's *Brit. Army* shows the 40th and 80th Foot to have been present. Cf. Dawson (ii. 305), and John Parker Boyd's *Documents and facts relating to military events during the late war* (1810) affords some illustrations.

For the affair at the La Colle mill (March 30, 1814) we turn to Wilkinson and Lossing (p. 700) for maps, and to Dawson (ii. 337) for a comparison of authorities.

¹ Cf. Dawson, ii. 272; *Am. St. Papers, Naval Aff.*, i. 204, 207; Cooper; Lossing; Roosevelt, 213; Preble's *Three Hist. Flags*; *Analectic Mag.*, by Irving, also in his *Spanish Papers*, ii.; Gould's *Portland, Me.*, 482, 490; *Hist. Mag.*, i. 118; James, vi. 75.

² They will suffice for some of the minor operations, like the expedition to Gananoqui (Sept. 21, 1812), to St. Regis (Oct. 23d), to Elizabethtown (Feb.), and the attacks on Ogdonsburgh (Oct. 4, 1812; Feb. 22, 1813).

³ Cf. *Canadian Antiquarian* (vii. 128); letter of Abraham Eustis in *Mass. Hist. Soc. Proc.* (xi. 432).

⁴ There is a contemporary view in the *Do. Hist. N. Y.* (vol. ii.); Gay (iv. 107), etc.

⁵ Among Canadian accounts is that of an eye-witness, "La bataille de Chateaugua," in Coffin's *1812, the War, etc.* Cf. Sir Etienne Taché and the address of James Stevenson before the Lit. and Hist. Soc. of Quebec, Dec. 29, 1877.

Dawson (ii. 325) describes the expedition to Longwood (March, 1814); and for the attack on Oswego (May, 1814) we must resort to Lossing (p. 709, — also *Harper's Mag.*, xxviii. 140) and Dawson (ii. 340).

The American commander's defence of his conduct in this final campaign at the North is in the *Official Correspondence with the Department of War, relative to the mil. operations of the Amer. army under the command of Maj.-Gen. Izard on the northern frontier of the U. S., for 1814 and 1815* (Philad., 1816).

The readiest means for studying the topography of this region is given by the maps in Lossing (p. 881, etc.). Wilkinson's plans do not purport to be accurate surveys; but his drafts are followed more or less closely in James's *Mil. Occurrences*.

G. CAMPAIGNS ON THE NIAGARA RIVER, 1812-1815. — The plan of the invasion of Canada is sketched in Madison's *Letters* (iii. 509). The defeat at Queenstown (Oct. 13, 1812)¹ was the occasion of censure in Armstrong's *Notes*, and Gen. Solomon Van Rensselaer answered his critic in his *Narrative of the affair of Queenstown* (N. Y., 1839). Cf. Mrs. Bouney's *Legacy of Hist. Gleanings* (ch. 9-11). Armstrong prints a journal of the campaign by John Chrystie. Scott's account of the fight is in his *Autobiography* (ch. 9), and this gave occasion to a reply from Gen. Wool (*Hist. Mag.*, Nov. 1807). See a paper by Col. C. Whittlesey on "Gen. Wm. Wadsworth's Division" in the *West. Reserve and Ohio Hist. Soc. Tracts*, no. 5. Cf. Lossing (301) and Dawson (ii. 143). Sir James Carmichael-Smyth (*Précis, etc.*, p. 142) and Cullum (ch. 2) give a professional review. Sheafe's despatch is in the *Ann. Reg.*, 1812, p. 253. Cf. F. B. Tupper's *Life and Correspondence of Maj.-Gen. Sir Isaac Brock* (London, 1845). Bymner's *Report on the Canadian Archives* (1883, p. 13) shows letters of Brock.²

The campaign of 1813 has also received professional treatment on both sides in Cullum's *Campaigns* (ch. 3, with a map) and in Carmichael-Smyth (p. 158). Lossing (p. 418, etc.) and Dawson (ii. 231, 244, 253, 259, 314) best illustrate the material we have for judgment.³

There is a general survey of the campaign of 1814, with those of 1812-13 as introductory, in David B. Douglass's papers in the *Hist. Mag.* (July to Oct., 1873), accompanied by a map of the Niagara country and special maps of the battles. Douglass was a lieutenant of engineers. The British official reports are in the *Ann. Register*, 1814 (pp. 200, 202, etc.).⁴ The conduct of the campaign is criticised adversely by Gen. Cullum (*Campaigns, etc.*, p. 222). The management of Gen. E. W. Ripley is elucidated in *Facts relative to the Campaign on the Niagara in 1814* (Boston, 1815).⁵

The interest of the campaign centres in three conflicts. The battle of Chippewa (July 5, 1814) was the occasion of Capt. Jos. Treat's *Vindication against the atrocious calumny contained in Maj.-Gen. Brown's Official Report of the battle of Chippewa* (Philad., 1815), which contains the proceedings of a general court-martial held at Sackett's Harbor. Cf. Samuel White's *Hist. of the Amer. troops during the late War, under the command of Colonels Fenton and Campbell* (Baltimore, 1820, 1830); Scott's *Autobiography* (i. 128); the professional treatment by Cullum (p. 206, with a plan); the topographical detail in Lossing (p. 810, etc.); also *Harper's Mag.*, xxviii. 154; the collations in Dawson (ii. 348); and the account in Stone's *Red Jacket* (ch. 10).

The fight sometimes known as Lundy's Lane, otherwise as the battle of Bridgewater or Niagara (July 25, 1814), has been professionally examined in Scott's *Autobiography* (ch. 12), in Cullum's *Campaigns* (p. 213), and in Carmichael-Smyth's *Précis, etc.* (p. 180). Cf. Wilkinson's *Memoirs*, with plans showing the fight at four stages, and other topographical details in Lossing (p. 818, etc., — also in *Harper's Mag.*, xxviii. 145), beside the details in Dawson (ii. 352).

The siege of Fort Erie (Aug. 3-Sept. 21, 1814). Loubat gives the medal (no. xlv.) to Gen. Gaines, and his report (i. 227). There are plans in Lossing (p. 830) and in the *Mag. West. Hist.* (April, 1886, pp. 711, 722). Cullum (ch. 6) gives a professional treatment, and also a plan (p. 244).⁶ After Gen. Izard came from Sackett's Harbor to assume command on the Niagara, we have his *Official Correspondence*, already referred to.⁷

H. THE MILITARY AND NAVAL ACADEMIES. — The military academy at West Point was established by

¹ The capture of two British vessels under the guns of Fort Erie, by Elliott, a few days before (Oct. 6th), is described in Elliott's *Address at Hagerstown* (Philad., 1844); Dawson (ii. 140); Ketchum's *Buffalo* (ii. 270); and in the *Correspondence in relation to the capture of the British brig Detroit and Caledonia on the night of Oct. 5, 1812* (Philad., 1843).

² Cf. Lossing, 414; J. C. Dent's *Last Forty Years of Canada*; W. L. Stone's *Life of Brant* (ii. 503, 537); John Symon's *Narr. of the battle of Queenstown Heights* (Toronto, 1859); *Canadian Antiquarian* (vii. 128). For further accounts of Indian service in the war, see Stone's *Red Jacket*.

³ Cf. Boyd's *Documents and facts relating to mil. events during the late War*. The events at Black Rock and near Buffalo can be followed also in Ketchum's *Buffalo*.

(Cf. view of Buffalo in 1815 in *Doc. Hist. N. Y.*, ii. 1178, and Gay's *U. S.*, iv. 211.)

⁴ Trimen's *British Army* shows that during the campaigns of 1813-14, the 6th, 8th, 92d, and 8th Foot were engaged.

⁵ Cf., for minor details, *N. Y. Hist. Soc. Proc.*, 1844, p. 123; and an *Interesting Acc. of the Campaign of 1814 by a musician of the army*. Loubat records the medals (nos. xl-xliii.) given to Maj.-General Jacob Brown, Maj.-General Peter B. Porter, Brig.-General E. H. Ripley, and Brig.-General James Miller, with their respective reports (i. 205, 216.)

⁶ Cf. further in Dawson (ii. 363), who uses Maj. Douglass's MS. Reminiscences. *Mag. Amer. Hist.*, (June, 1881, vi. 401); and *Hist. Mag.*, (3d ser., ii. 210).

⁷ Cf. *Buffalo Hist. Soc. Publ.*, ii. 351.

act of Congress, March 10, 1802, and for a while a part of its graduates became midshipmen in the navy. The present organization of the academy dates back to 1812.¹

After many makeshift experiments and abortive attempts, the Naval Academy was founded at Annapolis by George Bancroft, then Secretary of the Navy, in 1845.²

I. STEAM VESSELS. — The earliest use of a steamer in the American wars appears to have been when the Americans employed a steamboat on the Potomac to reconnoitre, against the wind, the fleet of Admiral Warren (Fieble's *Hist. of Steam Navigation*, p. 81). The "Fulton the First," launched at New York, Oct. 29, 1814 (*Ibid.*, 83), a vessel with twin-hulls, with the paddle between, and with bulwarks four feet and ten inches thick, was the first war-ship on record propelled by steam. The war was over when she made her trial trip, June 1, 1815. She some years later blew up at her moorings, opposite the Brooklyn Navy Yard. Griffin, in his *Life of M. C. Perry* (ch. 13, 14), looks upon the real beginning of the steam navy in Perry's results in 1837 (Fieble, 157), with the most conspicuous early performances in the twin-steamers "Mississippi" and "Missouri," in 1841 (ch. 18).

J. MAPS. — The maps of North America and of the United States, for some years before and after 1800, as serving to show the geographical knowledge at the time of the wars of that period, are mainly these: The early hydrography in Carleton Osgood's *American Pilot* (1701), engraved by Norman, the Boston engraver; the coast surveys of Capt. Holland, bearing date usually in 1704; and the *North American Pilot* of 1800.

The maps in Payne's *Universal Geography* (1702); in Thomas Kitchin's *New Universal Atlas* (1709); but the maps are often dated earlier, as the one of the United States in 1704, given in fac-simile in Mill's *Boundaries of Ontario*. Samuel Lewis's *Map of the United States* in 1795, and again in 1815; the French *Atlas* of Robert de Vaugondy (1768). The English *Map of the United States* by Arrowsmith (1813 and later dates). The American maps of the *Seat of War*, both in North America at large, with minor side maps, and in the *Southern Section of the U. S.*, published about 1813, and gathered later in the *Military and Topographical Atlas of the U. S.* (Philad., 1815; 2d ed., 1816). A map of the U. S. in 1814 is in Anderson's *Canada*.

¹ Cf. Poore's *Descriptive Catalogue*, index, p. 1336; Benton's *Debates*; his *Thirty Years' View*, i. ch. 55; George W. Cullum's *Register of Officers and Graduates of West Point*, 1802-1850 (N. Y., 1850), and his more extended work, *Biog. Register of the Officers and Graduates, etc., 1802-1867*, 2d ed., in two vols., covering respectively 1802-1840 and 1841-1867 (N. Y., 1868); Blanche Berard's *Reminiscences of West Point in the olden time, derived from various sources*; and *Register of graduates of the United*

States military academy, corrected to Sept. 1st, 1886, with an index (East Saginaw, Mich., 1886); R. Park's *Hist. and Topog. of West Point* (1840); Boynton's *Hist. of West Point*.

² Cf. index Poore's *Descr. Catal.*, p. 1332; Benton's *Debates*, and *Thirty Years*, ii. ch. 131; E. C. Marshall's *Hist. U. S. Naval Acad.* (N. Y., 1862); Jas. R. Soley's *Hist. Sketch of the U. S. Naval Acad.* (Washington, 1876), an official publication of chief importance.

CHAPTER VII.

THE DIPLOMACY OF THE UNITED STATES.

1789-1850.

BY JAMES B. ANGELL, LL. D.

President of the University of Michigan.

PRIOR to the inauguration of Washington as President in 1789, the United States had concluded eight treaties with foreign powers.¹ The eminent American statesmen who represented our country in these negotiations succeeded in incorporating into these compacts not a few of their broad and humane views, and in securing from the European powers the recognition of generous principles, which had not been formally acknowledged in international law. Several stipulations served to mitigate the cruelties and hardships of war, by regulating the use of letters of marque, by allowing citizens of one belligerent government proper time to retire with their property from the territory of the other, by limiting strictly the doctrine of contraband, by securing care for prisoners of war, and by protecting noncombatants. Generous commercial regulations were made. The *droit d'aubaine* was abolished, and provisions were made to enable aliens to hold, sell, and bequeath property, and to exercise religious liberty. The treaty with Prussia was, perhaps, too liberal for the times in its provisions for abolishing privateering against merchant ships and the forfeiture of goods as contraband.

The generous spirit which characterized and shaped these earliest diplomatic negotiations was never lost. Those first treaties naturally served in large measure as the models of subsequent treaties. Especially in the exposition and illustration of the rights and duties of neutrals, which had been so cogently set forth by Franklin and John Adams and their colleagues in their negotiations in Europe, the administration of Washington was soon called to take decided action. This it did under the most trying circumstances, and with so much fairness and skill as to draw from Canning, a few years later, in the House of Commons, the warmest commendations.² On taking the executive chair, Washington found himself

¹ These treaties were: a Treaty of Alliance and a Treaty of Amity and Commerce with France, negotiated in 1778; Treaties of Amity and Commerce with the Netherlands, 1782, with Sweden, 1783, and with Prussia, 1785; the

Treaty of Peace and Independence with Great Britain, 1783; a Treaty of Peace and Friendship with Morocco, 1787; and a Consular Convention with France, 1788.

² In 1823, Canning, then British Secretary of

confronted at once by serious difficulties with Great Britain, and not long after by almost as grave troubles with France. The British government had never fulfilled the obligations assumed by it in the treaty of 1783 to withdraw its troops from the posts on the northern frontier, and to make compensation for the negroes it carried off at the close of the war. It had steadily refused to make any commercial regulations satisfactory to the American government, and especially to remove what were deemed burdensome restrictions upon trading with the British West Indies. John Adams had spent three weary years at the British court in the vain attempt to secure a recognition of the American demands. The British government sent no minister to the United States, and after John Adams returned home, in 1788, there was no agent through whom direct official communication between the two governments could be held. The Americans were bitterly offended at the failure of the British to execute the treaty, and the British sharply complained that, in violation of the treaty, the American States and their citizens rendered nugatory all their attempts to collect debts from American creditors. The situation was the just cause of solicitude, especially on the part of the young republic, which was so poorly prepared to enforce its claims by a military or naval demonstration.

In October, 1789, Washington requested Gouverneur Morris, who, after a useful public career at home, was then residing in Paris, to go to London and endeavor to ascertain the intentions and the temper of the British government. Mr. Morris had interviews with the Duke of Leeds and with Mr. Pitt, but obtained no satisfaction.¹ The British government did, however, decide in 1791 to send a minister to the States. The choice fell on George Hammond, who had been secretary under Mr. Hartley at the negotiations in Paris in 1783. In the same year, 1791, Thomas Pinckney was commissioned as American minister at the Court of St. James. On Mr. Hammond's arrival, the Secretary of State, Mr. Jefferson, soon learned that the British representative was not empowered to make a treaty, but merely to talk about the principles which might form the basis of one.² Jefferson therefore decided to consider with him at once the means of securing the execution of the seventh article of the treaty of 1783, which provided for the evacuation of the military posts by the British. Mr. Hammond, in reply to Mr. Jefferson's first note on the subject, asserted that the king had suspended the execution of that article because the United States had not executed the fourth, fifth, and sixth articles. These articles provided that creditors on either side might without impediment

State for Foreign Affairs, opposing a motion to repeal the Foreign Enlistment Act of 1819, which had been made in imitation of the American Neutrality Act of 1818, said: "If I wished for a guide in a system of neutrality, I should take that laid down by America in the days of the presidency of Washington and the secretary-

ship of Jefferson." Hansard's *Parliamentary Debates*, viii. (new series), 1056.

¹ *American State Papers, Foreign Relations*, i. 122-124.

² The correspondence, which is voluminous, between Jefferson and Hammond, is found in *Am. St. Pap., For. Rel.*, i. 188 *et seq.*

collect debts due from citizens of the other nation, and that Congress should recommend to the States to provide for the restitution of confiscated estates of British subjects, and the articles also forbade future confiscations or prosecutions of persons for the part they might have taken in the war.

Mr. Jefferson then entered into details in his communication of December 15, 1791. He showed (1) that the British, in contravention of the treaty, retained possession of the following posts: Mackinaw, Detroit, Fort Erie, Niagara, Oswego, Oswegatchie (on the St. Lawrence), and Port-au-fer and Dutchman's Point (on Lake Champlain); (2) that the British officers had tried to exercise jurisdiction in the vicinity of the forts; (3) that they had excluded American citizens from the navigation of the American side of the lakes and streams forming the boundary, and had thus seriously interrupted their fur trade; (4) that they carried off negroes and also other property in ways which he specified; (5) and, finally, that it was important to determine which of the two rivers called the St. Croix was to be taken as our boundary on the east. Mr. Hammond, in his response, March 5, 1792, justified the retention of the posts as a proper retaliation for the vexatious laws and judicial decisions in our States concerning the collection of debts, but he said nothing of the seizure of the negroes and property. On May 29th Mr. Jefferson presented an elaborate review of the legislative and judicial decisions in the States, and affirmed, (1) that the treaty was the supreme law of the land; (2) that the United States government had done all that it promised to do, had in good faith recommended to the States what it stipulated it would recommend; and (3) that the delivery of the posts was a plain and simple duty, while the change of legislation in thirteen States was necessarily difficult and slow. To this cogent paper no answer was ever returned by Hammond.

Nothing had been determined by the long discussion when Jefferson resigned his position of Secretary of State, at the close of 1793. Mr. Pinckney, who reached London in August, 1792, could get no consideration of his representations to the British Foreign Office concerning the retention of the frontier posts, and concerning the impressment of seamen taken from American vessels and forced into the British service.

While the relations of the United States with Great Britain were thus unsatisfactory, the American government found itself involved in embarrassing discussions with France, as soon as the war between France and England began, in February, 1793.¹ By the treaty of 1778 with France, the American people had put themselves under certain obligations to her, such as they owed to no other power.² Among these obligations were that of guaranteeing the possessions of France in America, that of receiving

¹ Even before this there had been some friction concerning commercial relations. Congress having subjected French vessels to the same tonnage dues as British, the special favor, granted by royal decrees of 1787 and 1788, of admitting whale oil and other American articles

with low duties had been withdrawn. In general the French were disappointed in not reaping greater commercial advantages. *Foreign Relations*, i. 113.

² *Art. XI. Treaty of Alliance. Art. XVII. and Art. XXII. Treaty of Commerce and Amity.*

her prizes into American ports, that of denying refuge to vessels having made prizes of French subjects, people, or property, and that of forbidding privateers of her enemies to fit their ships or exchange or sell their captures in such ports. Under the Consular Convention of 1788 France could with plausibility claim a jurisdiction for her consuls, which might be very embarrassing to the United States as a neutral power.

Washington, believing it to be a duty to maintain an attitude of impartiality towards the belligerents, issued, with the approval of his cabinet, a proclamation of neutrality on April 22, 1793.¹ In this he exhorted and warned the citizens of the United States carefully to avoid all acts and proceedings whatsoever which might in any manner tend to contravene the disposition to preserve a friendly and impartial course towards France and her opponents, Great Britain, Austria, Prussia, Sardinia, and the United Netherlands.

The French minister, M. Genet, who landed at Charleston, S. C., June 8, 1793, eagerly and in impertinent language claimed for France not only the privileges granted by the treaties, but other unwarrantable privileges. He proceeded to fit out privateers in American ports, and send them forth to prey on British commerce on the coasts, and demanded that they should be allowed to bring their captured goods free of duty into port and sell them.² The public sympathy with the French was so strong and the antipathy to the British was so violent, that the difficulty of resisting Genet's appeals was greatly enhanced. But the administration remained firm. It demanded of France the return of the British prizes which French privateers had seized in American waters, and announced that in case of refusal the United States would pay the damages to the British and ask reparation from the French. In opposition to the French contention it maintained that American courts, not the French consular courts, must determine whether captures had been made in American waters.

The British minister also pressed Mr. Jefferson with his complaints. He objected to the selling of arms to French citizens, or to permitting the French to ship them from American ports. Mr. Jefferson, in his letter of May 25, 1793, set forth what is substantially the doctrine now generally accepted and embodied in neutrality acts, namely, that the citizens of a neutral nation may sell arms to a belligerent and send them to their destination subject to capture as contraband. Hamilton, the Secretary of the Treasury, on August 4th issued a circular to collectors of the ports, directing them to refuse asylum to unlawful belligerents. English privateers, as well as French, were interrupted in their attempts to violate neutrality.³ All

¹ *Foreign Rel.*, ii. 140. The word "neutrality" was avoided in the proclamation, as some doubt was felt whether the Executive had the power to issue a declaration of neutrality, and it was thought larger privileges might be gained by avoiding it. Jefferson's *Works*, iii. 501; iv. 18.

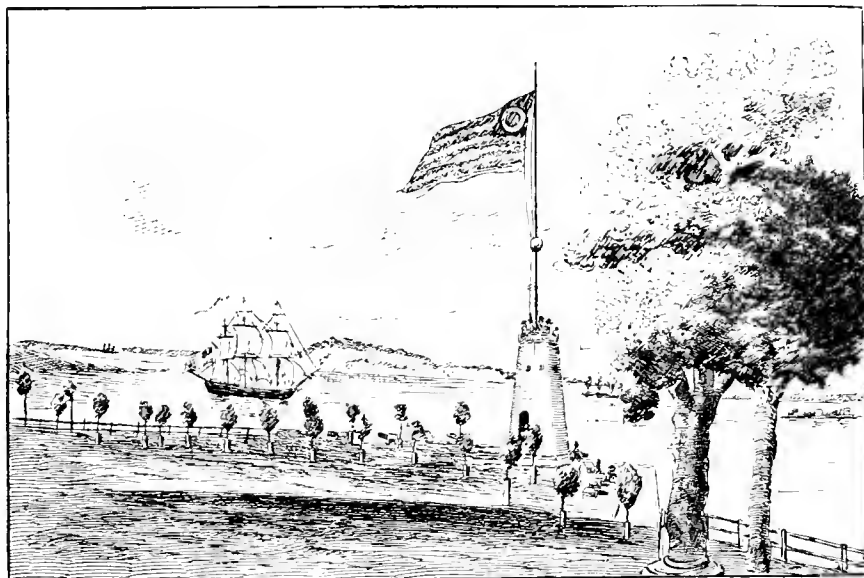
² It is now clear, though it was not known

then, that Genet was using American territory as a base of operations to recover for France the Louisiana territory, which had been ceded to Spain by secret treaty in 1762. Gardien (*Histoire*, vi. 266; viii. 40) makes this the chief object of his mission.

³ *For. Rel.* i. 159 and 163

these acts of the administration, it should be remembered, antedated the first American Neutrality Act, that of 1794.

Meanwhile, the decrees of the British and French governments were seriously threatening our commerce. The national convention of France on May 9, 1793, directed French armed vessels to seize and carry into port



THE BATTERY AND HARBOR OF NEW YORK.*

vessels bound to an enemy's port, and laden with provisions or with merchandise belonging to the enemy, though the provisions, if neutral property, and the freight were to be paid for.¹ On June 8th of the same year the British ordered armed vessels to arrest and send into port vessels loaded with corn or meal or flour destined for France, and all neutral vessels, save those of Denmark and Sweden, which should attempt to enter any blockaded port.² Mr. Pinckney labored in vain at the Court of St. James for some modification of the British Order, which, he argued, violated the law

¹ *For. Rel.* i. 244. The decree was suspended as to the United States May 23d, but soon afterwards was again made operative. *Ibid.* 244. See Washington's Message, *Ibid.* 141. ² *Ibid.* 240. The British government claimed credit for generosity in not ordering the seizure of rice. *Ibid.* 242.

* [From *Letters written during a tour through the Northern and Eastern States of America*, by John Drayton (Charleston, S. C., 1794). The author says of the sketch: "While I was taking it, the 'Ambuscade' sailed by, having a liberty cap on the foretop-gallant-mast head."

The "Ambuscade" was the French frigate from which Genet had landed at Charleston in April, 1793. At a later day, while lying in New York harbor, she had been challenged by the British frigate "Boston," cruising off the port, to a combat. Going out, the two ships fought, when the "Boston" steered away for Halifax in a crippled condition, and the "Ambuscade" returned to New York, to be received with enthusiasm.

There is an engraving of Fort George, the Battery, and Bowling Green in 1762 by Tiebout. There is a sketch of the picture in Lossing's *Empire State*, 163. — Ed.]

of nations by treating provisions as contraband and by denying that free ships make free goods.

The fairness and wisdom of the administration in these most trying circumstances were so conspicuous, its exposition of the rights and duties of neutrals was so just and so cogent, that publicists now willingly credit it with the honor of anticipating the position to which the world has at last been brought.¹

It seemed for a time that, in spite of the best efforts of the administration, the American government would inevitably be drawn into hostilities with Great Britain or with France. There were two parties in the country, one of which loved British political ideas, esteemed highly the value of commercial relations with Great Britain, and disliked the excessive radicalism of the French leaders. The other detested the English, admired the doctrines of the French revolutionists, and desired the government to show its sympathy with France by official action. On April 7, 1794, a motion was introduced into the lower house of Congress discontinuing commercial intercourse with Great Britain in articles grown or manufactured in that country until the posts should be surrendered and damages for property taken should be paid. It seemed probable that Congress was in a temper to pass it. That would have led to war. The administration decided that to avert such a calamity one more effort must be made to settle the questions at issue with Great Britain by negotiation. Washington hoped that this could be done without perilling the relations with France. He therefore determined on sending a special mission to the Court of St. James.

The President's first choice of a minister to perform this delicate and difficult service was Hamilton, but it was soon made apparent that his nomination would be sharply opposed by Monroe and others. John Jay was therefore nominated and confirmed (April 19, 1794), though the Virginians opposed both the sending of any mission and especially the appointment of Jay.² Notwithstanding the confirmation of Jay, the nonintercourse bill passed the House, and was defeated in the Senate only by the casting vote of the Vice-President. Both Jay and the mission were publicly denounced up to the time of his departure.

His instructions, drawn by Randolph (May 6, 1794), who had succeeded Jefferson as Secretary of State, touched on the following subjects:³—

¹ W. E. Hall, one of the latest English writers, says (*International Law*, p. 515): "The policy of the United States in 1793 constitutes an epoch in the development of the usages of neutrality. There can be no doubt that it was intended and believed to give effect to the obligations then incumbent upon neutrals. But it represented by far the most advanced existing opinions as to what these obligations were, and in some points it even went further than authoritative international custom has up to the present time advanced. In the main, however, it is identical with the standard of conduct which is now adopted by the community of nations."

² Jay was confirmed by a vote of 18 to 8. There was force in the objections, that he was Chief Justice; that while Secretary of Foreign Affairs under the Confederation he had expressed the opinion that the British could not properly have returned the negro slaves they had carried away, though they might well have paid for them; and that the retention of the posts was justifiable. See *Secret Journals of Congress, For. Affairs*, iv. 277-280; Trescot's *Dipl. Hist.* 102-105. On the other hand, Washington justly thought that the high position of Jay would lend weight to the mission.

³ *For. Rel.* i. 472.

1. Compensation was to be asked for injuries done to American commerce. 2. An adjustment was to be sought of the points of difference in the treaty of peace. 3. If an auspicious settlement of these two questions were reached, it was to be considered whether a commercial treaty should be negotiated. The general objects of the treaty were set forth. They looked to an enlargement of commercial privileges and the protection of the property of neutrals on the sea. 4. The ministers of Russia, Denmark, and Sweden were to be sounded, if necessary, on the prospect of forming an alliance with them, on condition of co-operating with them in support of the doctrines of the armed neutrality. 5. No treaty was to be made inconsistent with the obligations of the United States to France.

Jay reached England June 8, 1794. Mr. Thomas Pinckney, the American minister to London, could not but feel that the importance of his position was somewhat diminished by the appointment of Mr. Jay;¹ but he heartily co-operated with Jay. The latter was cordially received by Lord Grenville. The negotiations, begun on June 27th and carried on largely by informal conversation, proceeded with reasonable despatch. The British government promptly expressed its willingness to make provision for indemnity for illegal captures of vessels or cargoes. Very soon, as Jay's despatch of September 13th to Randolph shows,² the American negotiator was yielding to the British arguments on the carrying away of the negroes and the retention of the posts. The British contention concerning the negroes was, that when they or any other property came into the British lines in war they became British property, and therefore to carry them away was not to carry away American property. Their argument for holding the posts was that there was no obligation to give them up until after the ratifications of the treaty were exchanged in 1784, and that before the stipulation to yield them became binding some of the American States had enacted laws concerning the collection of debts by British creditors which were in contravention of the treaty, and therefore the British were justified in continuing their possession of the posts.³ On December 15th Randolph wrote a strong letter, opposing Grenville's argument on the negroes, and objecting stoutly to the postponement of the surrender of the posts from 1795 to 1796. But before the despatch was written Jay and Grenville had completed the treaty. It was signed on November 19th. The most important British demand refused by Jay was for the cession of territory at the head of the Mississippi.

The substance of the first ten articles, which were to be perpetual, was as follows: The posts were to be evacuated by the British by June 1, 1796. Free commercial intercourse across the boundary and free navigation of the Mississippi were secured. A survey of the Upper Mississippi was

¹ See Pinckney's own language, copied from his MS., Trescot, *Dipl. Hist.*, 106 (note).

² *For. Rel.* i. 485.

³ The details of the negotiations between Jay and Grenville, their projects and counter-projects of a treaty, are given in *For. Rel.* i. 476 *et seq.*

ordered to fix the boundary in that region. Three commissions were provided for: one to determine which river is the St. Croix named in the treaty of 1783;¹ one to fix the amount of debts due British creditors and not collectible owing to obstructions of justice, and to be paid by the United States; and one to determine the amount due from Great Britain for damages done by cruisers. Citizens of each country were to be permitted to continue in possession of lands held at that time, or to sell and devise them. Neither public nor private debts were thenceforward to be sequestered.

The twelfth article, which was to continue in force for two years after peace should be declared, but which was suspended, provided that citizens of the United States might trade in vessels not exceeding seventy tons burden with the British West Indies, carrying thither the produce of the United States alone, and transporting West India products to the United States alone; but American vessels were not to carry molasses, sugar, coffee, cocoa, or cotton anywhere from the United States, or from his Majesty's islands anywhere but to the United States.² British vessels of any tonnage were allowed to carry any products of the United States to the islands, and any products of the islands to the United States.

The most important provisions of the remaining articles, which were to remain in force for twelve years, were these: Citizens of the United States might trade between their country and the East Indies, but could not transport East India goods elsewhere. Commerce between the United States and the European possessions of Great Britain was to be unrestricted. Contraband was so defined as to include naval stores, and in some cases, not described, provisions. But if provisions were seized they were to be paid for. A vessel approaching a blockade in ignorance of its existence was to be warned off once. The commanders of privateers were to give bonds not to cause damage in contravention of the treaty. Joint action was to be had for the suppression of pirates. Neither British nor Americans were to be permitted to take privateers' commissions from a third party against the other. Foreign privateers were not to be allowed to fit or arm in the ports of either for war against the other. Reprisals were not to be authorized until justice should be formally refused. Neither party was to give refuge to prizes and privateers of an enemy of the other, and both were to guard the neutrality of their waters. In case of war, citizens of one country might remain in the other, if peaceable; if they were obliged to go, they should have a year's notice. Extradition for murder and forgery was authorized.

It is worthy of notice that this was the first provision made in any American treaty for extradition.³

¹ [See *ante*, p. 171. — ED.]

² It is said that neither of the negotiators knew at this time that cotton had been exported from the United States. Only a very little had

been exported, and certainly neither could foresee how important the growth of cotton was to become in the South.

³ The American government has steadily held

No sooner were the contents of the treaty made known than it was most fiercely attacked in all parts of the country. Public meetings were held in Boston, New York, Philadelphia, and Charleston to inveigh against it.¹ The chief points raised against it were these: 1. The evacuation of the posts was deferred too long, and British traders were meanwhile allowed to remain in them, while American traders were excluded. 2. The surrender of the negroes was unjust. 3. The prohibition of the confiscation of debts in future wars was injurious, since the United States needed such a weapon as confiscation for its own protection. 4. The permission to aliens to hold land here would encourage mischief.² 5. The limitations on trade with the East Indies and the West Indies left America worse off than she was before. 6. The extension of the list of contraband articles, especially the inclusion of provisions among them, struck a blow at American commerce by perilling on the sea its principal article of exportation.

When Jay transmitted the treaty, November 19, 1794, he defended its provisions in a despatch to Randolph.³ This defence anticipated some of the objections above named. His chief argument, and really the main ground on which the treaty ever has been or can be defended, was that there was "no reason to believe or conjecture that one more favorable to us was attainable." His position on some of the details was as follows: The time granted to the British traders to remain at the posts was not unreasonable, since they had goods spread abroad, and could not collect the debts due them in a shorter period. The provision made for the settlement of debts was a *sine qua non*. No treaty could be had without it. The prohibition of confiscation would be helpful to Americans as borrowers. The provision for the East India trade showed good-will on the part of Great Britain. If the stipulations concerning the West India trade were unsatisfactory, there was the opportunity of reconsidering them in two years. The article making provisions contraband secured compensation in case of seizure, and did not abandon the general principles maintained by the United States. The privateering clauses were taken from treaties between England, France, and Holland.⁴

Hamilton, writing under the signature of *Camillus*, brought his vigorous

that extradition is obligatory only under treaty stipulation, though it may be exercised through courtesy where no treaty demands it. Wharton's *International Law Digest*, § 268.

¹ Perhaps the most formidable assault upon the treaty was made by A. J. Dallas of Philadelphia. See his *Life and Writings*, 160.

² The fear was cherished that British settlers would gain too large an influence in American public affairs.

³ *For. Rel.* i. 503.

⁴ In the *Life of Jay*, by his son (i. 329), it is stated that Jay proposed to Grenville the abolition of privateering.

It may properly be added here that Jay's son, in the biography of his father (i. 326), sets out these advantages gained by the treaty: 1. Reparation for damages done by British cruisers was secured by calling the spoliations acts done under color of royal authority (Jay's treaty, art. 7), and the United States did receive \$10,345,000. 2. By making concessions on the claims for negroes, America obtained her other claims. 3. While the general European policy was for each nation to retain the monopoly of its colonial trade, Jay obtained an important relaxation of this policy from Great Britain.

powers of argumentation to the support of the treaty. After a little time, merchants who wanted to trade in the Indies also held meetings and sent to the Senate petitions for the ratification. The tide of popular feeling against the treaty was at last stayed. The Senate, by a vote of twenty to ten, ratified it, with the exception of the article on the West India trade (the twelfth), which was suspended. The suspension was subsequently agreed to by Great Britain.¹

The President, on March 1, 1796, communicated to the two houses of Congress the proclamation of the ratification of the treaty. The question was immediately raised, whether, under the Constitution, the House was bound to furnish the appropriations needed to carry the treaty into effect. Assuming that it was not thus bound, the House requested of the President the papers relative to the negotiation of the treaty. The President respectfully declined to comply.² A remarkable debate, extending through three weeks, ensued. Madison and Gallatin were the leading opponents of the President's views. But finally, April 30, 1796, the House voted, 51 to 48, that it was expedient to carry the treaty into effect.³

After this action, the public excitement concerning the treaty rapidly subsided. But the treaty was long subjected to severe criticism ;⁴ and it must be conceded that much of the criticism was well founded. The justification of the treaty is found in the fact that it saved the States from a war with Great Britain, for which they were entirely unprepared, and gave them years of peace, which in their weakness they so much needed. Looking back from our present point of view, we must admit that the completion of the negotiation was wise and fortunate.⁵

Congress made the necessary appropriations for executing the treaty,

¹ Mr. Pickering, Secretary of State, in a despatch to Mr. Monroe, minister to France, Sept. 12, 1795 (*For. Rel.* i. 596), sets forth the reasons why the administration assented to the treaty.

1. The negotiation did not proceed from any predilection to Great Britain. 2. War was seriously deprecated as most calamitous to the United States. 3. Many differences between America and Great Britain needed adjustment without delay. 4. The commercial part of the treaty, though not unimportant, was not subordinate and was not a new measure. Mr. Pickering argued that in allowing the seizure of provisions in certain cases as contraband, the government had not abandoned old doctrines, but by obtaining compensation for provisions seized had mitigated the severity of the British doctrine, the application of which by England, America was in no condition fully to resist. As his object in the despatch was to convince the French that our action was not unfriendly to them, he maintained that the stipulation concerning provisions would probably stimulate

Americans to send supplies of food to France, since in any event they would be secure against loss.

² His language was: "As it is perfectly clear to my understanding that the assent of the House of Representatives is not necessary to the validity of a treaty; as the treaty with Great Britain exhibits in itself all the objects requiring legislative provision, and on these the papers called for can throw no light; and as it is essential to the due administration of the government that the boundaries fixed by the Constitution between the different departments should be preserved, a just regard to the Constitution and to the duty of my office, under all the circumstances of this case, forbid a compliance with your request." *Annals, 1st sess. 4th Cong.*, 761.

³ For the debate, see *Ibid.* 423-783; 970-1291.

⁴ For recent criticism, see Schouler's *History U. S.* i. 292, and Adams's *Gallatin*, 158.

⁵ For collation of important judicial interpretations of the treaty, see Wharton's *Digest of Int. Law*, § 150 a.

May 6, 1796, and Parliament, July 4, 1797. Two mixed commissions were appointed to settle claims.¹

Meanwhile, during these prolonged negotiations with Great Britain from the arrival of Hammond to the conclusion of Jay's treaty, our relations with France were far from harmonious. We have already spoken briefly of Genet's extraordinary course. He became so offensive that his recall was asked in August, 1793. Gouverneur Morris, who had been appointed minister to France in 1792, had, by his sympathies with the royalist party, become unacceptable to the revolutionary party, which had come into power, and the Executive Provisory Council of the French Republic requested that he should be recalled. Accordingly, May 27, 1794, Washington recalled him and appointed James Monroe in his stead.² Monroe was opposed to the administration in politics, had stoutly opposed the confirmation of Jay, and warmly sympathized with France. In the circumstances the appointment was not a wise one, since the minister could not be in sympathy either with Jay or with Washington, when the hearty co-operation of the three was greatly needed.

Monroe was directed to say that Jay was instructed to do nothing incompatible with the obligations of the United States to France. He was also directed to endeavor to secure the removal of an embargo which had been placed on American vessels at Bordeaux, to ask compensation for illegal captures of our ships and goods, to demand the correction of violations by France of her treaties with us, to remove suspicions which France entertained of the purposes of Jay's mission, and to invoke the aid of France in securing for us from Spain the free navigation of the Mississippi.³

Monroe was cordially received, and during his few months' stay maintained pleasant personal relations with the French government. He found the Directory much disturbed by the negotiation of Jay's Treaty with Great Britain.⁴ They presented to him what they called "a summary exposition of the complaints of the French government against the government of the United States."⁵ These were substantially complaints that America was violating the treaty of 1778 by allowing United States courts to take jurisdiction over French prizes, and by admitting British men-of-war to American ports; that she was violating the Consular Convention of 1788 by neglecting to empower any one to enforce consular judgments; that the American government permitted the captain of the "Cassius" to be arrested in Philadelphia for an offence on the high seas, and the French

¹ For a succinct account of the proceedings of the commissions see J. C. Bancroft Davis's *Notes on Treaties of the U. S.*, 1013, a work to which once for all we wish to acknowledge our great obligations. No one can traverse the ground covered by this chapter without receiving assistance from Mr. Davis at every step.

² *For. Rel.* i. 463.

³ *For. Rel.* i. 668.

⁴ Jay, it will be remembered, refused to comply with Monroe's request for information concerning the negotiation and for a copy of the treaty to lay before the French government. Jay offered to send the desired information in confidence to Monroe personally, but the latter was unwilling to receive it unless he could communicate it.

⁵ *For. Rel.* i. 730.

minister's effects to be seized by a British vessel within American waters; and, finally, that Jay's treaty, by increasing the number of articles which as contraband a neutral was forbidden to carry, and especially by allowing provisions to be seized as contraband in certain cases, was discriminating in favor of England and against France. On July 2, 1796, the Directory decided to notify all neutral or allied states that the French would treat all neutral vessels as these suffered the English to treat them. Mr. Pickering made long replies to the complaints of the Directory in his instructions to Mr. C. C. Pinckney, who was commissioned in September, 1796, to succeed Monroe,¹ and in correspondence with the French minister to the United States, Adet.² In October the Directory recalled Adet and issued a decree prohibiting the importation of manufactured articles of English make or of English commerce. They announced to Monroe that they would neither recognize nor receive a minister from the United States until reparation was made for the grievances of which they had complained. Mr. Pinckney was treated in the most discourteous manner. He was placed under police supervision, and was finally obliged to retire to Amsterdam.³ The President of the Directory, in his farewell address to Monroe, among other words insulting to that minister's government, spoke of "the condescension of the American government to the wishes of its ancient tyrants." Decree after decree was issued, calculated and intended to destroy American commerce. Neutral ships carrying enemy's property were to be captured, enemy's goods on neutral ships were to be confiscated, the treaty of 1778 was to be treated as modified so as to conform to the French interpretation of Jay's treaty. It was under such clouds that Washington's administration ended and John Adams's began.

With the approbation of both houses of Congress President Adams decided to make one more attempt to adjust our difficulties with France by negotiation. He appointed John Marshall of Virginia, and Elbridge Gerry of Massachusetts, to be associated with Mr. Pinckney as commissioners to treat with the French government.⁴ The three envoys met in Paris October 4, 1797, and on the 8th had an interview with Talleyrand, the Minister of Foreign Affairs. He told them that when he had finished a report on the United States which the Directors had ordered him to make, he would let them know what steps were to follow. Soon after three gentlemen, referred to in the despatches of the American ministers as X, Y, and Z,⁵

¹ *For. Rel.* i. 559, 579.

² Mr. Pickering in his letter recalling Monroe criticised him for not having pressed upon the Directory with promptness and vigor the arguments which Pickering had furnished in explanation of Jay's Treaty. Monroe on his return demanded of Pickering the reasons of his recall. When Pickering gave them, Monroe published a pamphlet entitled *A View of the Conduct of the Executive*, in which he defended his action and criticised the administration. [See further on

this matter the Editorial Notes following the present chapter. — Ed.]

³ Gardien, *Hist. Gén. des Traités de Paix*, vi. 118.

⁴ Francis Dana, Chief Justice of Massachusetts, was first appointed, but declined the place, and Gerry was named in his stead. The instructions of the Commissioners are found in *For. Rel.* ii. 156.

⁵ The name of Y is given by Gerry as M. Bellamy, that of Z as M. Hautval. The State Department has that of X. *Ibid.* 211.

began to visit them. These persons, professing to represent in some sense Talleyrand and the Directory, made certain extraordinary propositions. They said that before negotiations could be begun President Adams must apologize for language used in his message to Congress concerning the French government; that the United States must make a loan to France; and that the envoys must give money—they named £50,000 as a proper sum—to members of the Directory as a *douceur*, in other words, as a bribe.¹ After listening for a fortnight to these agents, the American envoys agreed on November 5th “to hold no more indirect intercourse with the government.” They renewed, but in vain, their efforts to begin negotiations with Talleyrand. On January 27, 1798, they addressed to him an elaborate review of the situation between France and the United States, and announced that if there was no hope of soon beginning negotiations they should wish to have their return home facilitated. After holding two unprofitable interviews with him they received a despatch from him, dated March 18, in which he made the remarkable statement that “the Executive Directory is disposed to treat with that one of the three whose opinions, presumed to be more impartial, promised in the course of the explanations more of that reciprocal confidence which is indispensable.”² Pinckney and Marshall at once withdrew from Paris; Gerry remained until July, but declined to enter into formal negotiations which Talleyrand requested him to undertake. Talleyrand disowned connection with the secret agents, but it is certain that they were employed by him.³ Gerry vindicated his course in remaining after his colleagues had gone by his fear of war, which Talleyrand threatened would be declared if he left. However patriotic his motives, his decision has been generally and justly condemned.⁴ The whole procedure of Talleyrand reflects the greatest discredit on him and on the Directory.

On hearing of the treatment the envoys had received, the American people were filled with indignation. Congress passed numerous Acts looking to preparation for war. Washington accepted the position of Lieutenant-General and Commander-in-Chief. The treaties with France were declared abrogated.⁵ The President expressed the general feeling when in his message in June, 1798, he declared: “I will never send another minister to France without assurance that he will be received, respected, and honored as the representative of a great, free, powerful, and independent

¹ The details of the interviews of the envoys with these French agents are given at length in *For. Rel.* ii. 158 *et seq.* See also Garden, vi. 120, who has only words of condemnation for the treatment of the envoys.

² *For. Rel.* ii. 191.

³ See Pickering's Report on the whole transaction, *Ibid.* 229.

⁴ See Austin's *Life of Gerry*, vol. vii. chaps. 7 and 8, for such defence as can be made of his action.

⁵ The constitutional and the international question whether the United States was at war with France in 1799 became important in determining the validity of claims of citizens for damages from French spoliations. The tenor of judicial decisions has been that the nations were not at war, although some engagements took place between the armed vessels of the two governments. See Wharton's *Int. Law Digest*, §§ 248, 333.

nation." But just as the clouds of war were gathering upon the horizon a ray of hope of continued peace broke in upon the country from an unexpected quarter. William Vans Murray, minister at the Hague, was approached by M. Pichon, the secretary of the French legation at that capital, who, doubtless by Talleyrand's direction, disavowed on the part



ELBRIDGE GERRY.*

of the Directory any purpose to make war, and intimated a desire to treat with a suitable envoy, particularly with such a man as Murray. Still later, Pichon was authorized to say that a minister from the United States "would undoubtedly be received with the respect due to the representative of a free, independent, and powerful nation." This was so obviously a response to President Adams's message that he nominated Murray as Minister to France. Afterward he named Chief Justice Ellsworth of Connecticut, and Gov. Davie of North Carolina, as associates with Murray.¹ But Ellsworth

¹ There was strong opposition, even by the Federalists, to the appointment of Murray alone. It was thought by some that after the indignities shown by France, overtures ought not to be accepted from her which did not come more directly and frankly. Washington was inclined to

* [Following an engraving in Austin's *Life of Gerry*, being by Longacre after a drawing by Vanderlyn. There was a large mezzotint engraving issued in 1811. (Copy in Am. Antiq. Soc.) Cf. cut in Gay, *Pop. Hist. U. S.* iv. 135. — Ed.]

and Davie were not to sail until assurances were given by France that they would be properly received. These assurances were given by Talleyrand.

The instructions to the Commission required them to demand indemnity for spoliations of our commerce and to negotiate a treaty.¹ The old treaties were not to be revived, especially the seventeenth and twenty-second Articles of the Treaty of Amity and Commerce of 1778 were not to be inserted in a new treaty unless with the understanding that they were not to derogate from the twenty-fourth and twenty-fifth Articles of Jay's Treaty.² A Claims Commission was to be established. There was to be no guaranty of French dominion, and no alliance, no aid, no loan, no consular judicial authority, and the treaty should not be of more than twelve years' duration.

On March 30, 1800, the envoys were presented to the First Consul, and soon entered upon their negotiations. On asking a provision for the settlement, according to the old treaties, of claims for damages inflicted on our commerce prior to July 7, 1798, the date of the abrogation of the treaties by Congress, they were met by the French contention that one party could not alone abrogate a treaty. The French added, however, that they were willing to consider the action of the United States as equivalent to war, and so working an abrogation of the treaties. But in that case, they argued, no indemnity could be claimed. A fresh start could be taken and a new treaty made. The alternative must be the acknowledgment of abrogation and no indemnity, or the continuance of the old treaties and a claim for indemnity. Our ministers decided to take the first horn of the dilemma, to abandon their instructions, to give up their claims for indemnity, and to regard the treaties as abrogated. In the place of indemnity they sought to get rid of the duty of affording asylum exclusively to French privateers and of guaranteeing French possessions in America. The French objected that this would leave the priority or preference of asylum to England. So the negotiations on this line broke down.

The Commission next proposed the negotiation of a temporary convention, which was speedily accomplished. It was very long, consisting of twenty-seven articles, and was very general in its stipulations. The most important features were provisions to avoid abuses in captures (especially the French demand for a *rôle d'équipage*), the recognition of the right of convoy, the placing of France on the most favored nation basis as to asylum for privateers, the acknowledgment of the doctrine of "free ships, free goods," and prohibition of the sequestration of debts. The second article, which held the treaties of 1778 and 1788 in abeyance and promised future

this view. See his letter to Pickering in *Life of Pickering* (by Pickering and Upham), iii. 437. A description of a spirited interview between leading Federalists and President Adams in respect to the appointment of Murray is found in the same volume, p. 439. The President then added the names of Ellsworth and Patrick

Henry. The latter declined on account of old age, and Davie's name was sent in.

¹ *For. Rel.* ii. 306.

² These articles in the two treaties pertained to the admission of prizes to ports, and to the fitting of privateers in ports.

negotiation, was stricken out at the instance of the Senate, and the duration of the treaty was limited to eight years.¹

The vindication of this treaty, like that of Jay's treaty, is to be found mainly in the fact that it delivered the United States from the perils of war. It may also be said that it made it possible to acquire Louisiana by purchase three years later, while if the unhappy difficulties with France had continued much longer, such a piece of good fortune would probably not have been within reach.

It is time for us to trace the early negotiations with Spain. John Jay, who remained long at Madrid during the Revolutionary period, failed even to obtain formal recognition as Minister. The attempt which as Secretary of State he afterward made to negotiate a treaty in Philadelphia with Gardoqui, the Spanish minister, also failed. In 1790 Jefferson, then Secretary of State, instructed Mr. Carmichael, the American chargé at Madrid, to intimate to Spain that the question of the right to navigate the Mississippi must be settled. But this led to no result. In 1791 Mr. Carmichael and Mr. Short, then chargé at Paris, were appointed commissioners to negotiate a treaty with Spain, in which provisions should be made for adjusting boundaries, for recognizing a claim to the right of navigating the Mississippi, and for settling the conditions of commercial intercourse. But Spain, shocked at the execution of Louis XVI, was turning with a friendly spirit towards England. The relations of the American government with England were strained, and nothing was effected by the commissioners. But by 1794 Spain and England had drifted apart, and Jaudenes, the Spanish minister to the United States, intimated to Randolph, the Secretary of State, that Spain would negotiate with a minister of proper dignity and position.

Accordingly, in November, 1794, Thomas Pinkney was transferred from London to Madrid to enter upon negotiations. He reached the Spanish capital at the end of June, 1795. He encountered so many difficulties in his discussions with the Spanish minister, the Prince of Peace, that on October 24th he demanded his passports, that he might return to England. The result was that a treaty was completed in three days.² Its terms were,

¹ The details of these negotiations are found in *For. Rel.* ii. 397 *et seq.* Napoleon in ratifying the convention with the amendment of the Senate, striking out the second article, added this proviso: "that by this retrenchment the two States renounce the respective pretensions which were the object of said article." The Senate accepted this, and ratifications were exchanged. "So died the treaties of 1778, with all the obligations which they imposed, and with them passed from the field of international contention the claims of American citizens for French spoliation," says the Court of Claims, May 17, 1886 (in the case of *William Gray, Administra-*

tor, v. The United States). Among the embarrassing obligations from which the United States were freed was that of guaranteeing the American possessions of France, an obligation which they did not meet when her West India Islands were taken by Great Britain. As the claims of American citizens against France were sacrificed to relieve the country from the obligations laid by the treaty of 1778, it has been long maintained with justice that those claims should be met by their own government.

² The correspondence between Pinkney and the Prince of Peace is found in *For. Rel.* i. 533 *et seq.* The chief difficulties arose from the fact that

on the whole, very favorable to the United States. The southern boundary line between the States and the Spanish territory was the line established by the Treaty of Independence with Great Britain, and a commission was to be appointed to run it. The navigation of the Mississippi was to be free only to Spanish subjects and citizens of the United States, unless Spain should extend the privilege to others by special convention. American citizens were permitted for three years to use New Orleans as a port of deposit and export without paying other duty than a fair rent for stores. This privilege was to be continued either at New Orleans or at some other point on the river. A claims commission was to be established, to sit at Philadelphia. The doctrine of "free ships, free goods," was recognized. Neither naval stores nor provisions were to be deemed contraband. Each state was to restrain the Indians within its borders, and was to refrain from making treaties with Indians beyond its territory.

But the ratification of the treaty by no means terminated the difficulties with Spain. The commissioners could not even make a beginning of running the boundary line. The Spanish governor would not withdraw the troops which were upon American territory until it was decided whether they should destroy their works, whether the property of Spanish residents remaining within American bounds would be safe, whether he could be sure that the Indians would be quiet, and whether there was not danger of a British invasion from Canada. The government of the United States told the Spanish authorities to do as they pleased about destroying their works, offered protection to Spanish residents, and cited the declaration of the British minister that the rumor of an intended invasion was groundless.¹ Spain, in 1797, when engaged in war with England, formally protested against Jay's Treaty. She complained that the placing of naval stores and provisions on the contraband list worked hardship and injustice to her; that the American government undertook to recognize the right of the English to navigate the Mississippi in accordance with the treaty of 1794, notwithstanding its agreement with Spain in 1795 that she alone could grant the privilege of navigation to any nation but the United States; and that by the explanatory article, added by England and the United States to Jay's Treaty in 1796, it had been provided that no stipulation or treaty concluded since then was to derogate from the right to free communication and commerce guaranteed by the third article of Jay's Treaty. The Secretary of State made answer to these complaints in a somewhat prolonged discussion. He also entered complaints of Spanish orders depriving American citizens of the right to store their goods at New Orleans, and filed claims for damages from that cause and from maritime spoiliations.²

In August, 1802, Mr. Pinkney succeeded in negotiating a treaty with Spain did not want to treat of commerce, wanted to limit the use of the Mississippi to Spain and the United States, and wanted the American claims settled on a basis which could not be accepted.

¹ The correspondence on these questions is found in *For. Rel.* ii. 20, 78.

² The papers setting out the position of each country in these controversies are given in *For. Rel.* ii. 440, 499.

Spain, setting up a commission for the settlement of the claims for damages which citizens of the two countries had filed. But provision was not made in it for the payment by Spain of damages inflicted in Spanish ports and waters on American commerce by French cruisers.¹ The Senate on this account was reluctant to approve the treaty, but finally did advise its ratification. Soon afterwards the two countries were involved in discussions concerning the extent of territory ceded to the United States by France in the Louisiana purchase, and the king of Spain withheld his signature from the treaty of 1802. We postpone the study of the negotiations which ensued upon this territorial question until we have considered the history of the Louisiana purchase which led to it.²

By the Treaty of St. Ildefonso, which was signed October 1, 1800, Spain ceded Louisiana to France, in return for the assurance by France that the Duke of Parma, son-in-law of the king of Spain, should be raised to the dignity of a king, and have his territory enlarged by the addition of Tuscany.³ Rumors of the purport of the treaty reached America in the spring of 1801, though its exact terms were not known here till some months later. The excitement which the news was calculated to arouse was greatly increased by a proclamation of the Spanish intendant at New Orleans, issued October 16, 1802, declaring that that place could be no longer used as a place of deposit. Nor did he announce any other place of deposit, although the treaty of the United States with Spain stipulated that one should be designated, if that port should be closed. Congress authorized the President to direct the governors of States to call out 80,000 militia, if needed, and appropriated two millions of dollars to purchase the Island of Orleans and adjacent lands.⁴ Early in January, 1803, the President decided to send James Monroe to France, to be associated with Robert R. Livingston, the minister in that country, as a commission to negotiate for the purchase of New Orleans and the Floridas.⁵ They were instructed, if France were obstinate about selling the territory desired, to open negotiations with the British government, with a view to prevent France from taking possession of Louisiana.⁶

Meantime, Bonaparte, who had been dreaming of building up a powerful French colony in Louisiana, saw the clouds of war gathering on the horizon, and began to consider the expediency of selling the entire province. On April 10th he had a long interview on the subject with M. Marbois, who had been in diplomatic service in this country, and General Berthier, who had

¹ *For. Rel.* ii. 475.

² *For. Rel.* ii. 506 *et seq.* Spain argued that she was not responsible for damages by French cruisers whose American prizes were brought into Spanish ports and then condemned by French consuls, because she was powerless to prevent the action.

³ Garden, *Hist. Gén. des traités de Paix*, viii. 15.

⁴ A motion was made in the Senate to send 50,000 militia and seize New Orleans, and to vote \$15,000,000. But more moderate counsels prevailed. The British and Spanish ministers both tried to induce the intendant to withdraw his proclamation.

⁵ Madison to Livingston, *For. Rel.* ii. 529.

⁶ *Ibid.* 555.

served in the French army in America during the Revolution, and who had negotiated and signed the Treaty of St. Ildefonso. The former warmly urged the cession of Louisiana; the latter as warmly opposed it. On the morning of the 10th, tidings came from London that the Peace of Amiens was ended and war was at hand. Bonaparte at once sent for Marbois, and ordered him to open negotiations immediately with Livingston, without waiting for the arrival of Monroe, whose appointment had been announced to the First Consul. He told Marbois not to accept less than fifty millions of francs for the province. Monroe reached Paris on the 12th of April, and the negotiations went on rapidly.¹

Marbois first fixed the price of the cession at 80,000,000 francs, and asked in addition that the United States should pay the claims due from France to American citizens, reckoned at 20,000,000 francs. The sum finally agreed on was 60,000,000 francs, and a sum not exceeding 20,000,000 francs to meet the claims of Americans.² The treaty made the cession. Two conventions were made: one fixing the amount to be paid and the mode of payment, the other the method of settling the claims due to American citizens. The treaty did not attempt a precise description of the boundaries of the territory ceded. It copied from the Treaty of St. Ildefonso the article which ceded the territory to France, and transferred that territory to the United States.³ An attempt to define the limits with exactness would probably have been unsuccessful. It was thought that there were advantages in describing the extent of the cession in these general terms. When Bonaparte's attention was called to the form of the stipulation, he said, "If it was not somewhat vague already, it would perhaps be politic to make it so."⁴ The treaty gave to the French and the Spaniards exclusive right for twelve years to bring into the ports of Louisiana the products of their countries or colonies on the same terms as Americans; it placed French vessels, after twelve years, on the most favored nation basis, and promised the admission of the French inhabitants to American citizenship at the earliest time practicable, and assured immediate protection of them in the enjoyment of liberty, property, and religion. The treaty and the two conventions were signed on the 30th of April, in less than three weeks after the commission began their work.⁵ It were superfluous to dwell upon

¹ Garden (viii. 56) gives a most interesting account of the interview of Bonaparte with his two counsellors, and of his decision.

² It is interesting to note that Livingston, in a letter, dated April 13th, to the Secretary of State, suggested that if the price necessary to secure the province seemed too great, the territory west of the Mississippi might be sold to some friendly power, and the American government be thus reimbursed. (*For. Rel.* ii. 554.)

³ The cession in the Treaty of St. Ildefonso uses general terms only in defining the territory. It speaks of it as of "the same extent that it now has in the hands of Spain, and that it had

when France possessed it, and such as it should be after the treaties subsequently entered into between Spain and other states." The treaty of 1803 cedes "the said territory, with all its rights and appurtenances, as fully and in the same manner as they have been acquired by the French Republic in virtue of the above-mentioned treaty concluded with His Catholic Majesty."

⁴ Garden. *Hist. des Traités*, viii. 75.

⁵ There was subsequently some unprofitable discussion between the friends of Livingston and those of Monroe concerning the relative credit due to each for the success of this negotiation. Even these two gentlemen allowed themselves

the vast and permanent political and economical consequences to the United States of the purchase of Louisiana.¹

While the negotiation of the Louisiana treaty was proceeding in France, Rufus King, minister to England, and Lord Hawkesbury were completing a convention for determining more accurately the northern boundary between American territory and that of Great Britain. The fifth article provided that the line between the Lake of the Woods and the Mississippi should be the shortest between the two points. But as their convention was signed May 12, 1803, twelve days later than the treaty with France, which gave to the United States the right to all the territory France was entitled to under the Treaty of Utrecht, the Senate feared that the fifth article of the convention with England might work a limitation of our rights under the French treaty, and so dropped it. The British did not agree to this alteration of the convention.²

After the completion of the treaty for the purchase of Louisiana, Monroe repaired to London to assume the duties of minister at the Court of St. James. He was specially charged with the task of securing a treaty which should bind Great Britain to abstain from the search of American vessels, from the impressment of seamen taken from such ships, from the abuses of blockade, and from other wrongs which were suffered at her hands.³

The downfall of the Addington ministry soon after Monroe had opened correspondence with Lord Hawkesbury, the accession of the Pitt ministry with Lord Harrowby in the foreign office, and the absence of Monroe on public business in Spain for several months, made progress impossible for two years. In May, 1806, William Pinkney was united with Monroe in a commission for negotiation with Great Britain. After the death of Pitt, the Fox-Grenville ministry showed so conciliatory a disposition that hopes for reasonable success in the negotiation seemed justified.⁴ But Fox soon became too ill to attend to affairs. Lord Auckland and Lord Holland were appointed commissioners. On December 31, 1806, a treaty, which was far from satisfactory to the American commissioners, was completed.⁵

to write upon the matter. See Livingston to Madison (*For. Rel.* ii. 573), and Monroe MSS, referred to in Gilman's *Monroe*, p. 84; Hunt's *Life of Edward Livingston*, p. 305. They worked with practical harmony during the negotiation, whatever feelings of rivalry, if any, they then cherished.

¹ The prolonged debates on the so-called French spoliation claims of Americans for damages done to their commerce by the French have created a somewhat voluminous literature of Congressional reports, memorials, etc., on the interpretation of the early treaties with France, and especially of the conventions of 1800 and 1803. An excellent summary of the discussions is found in Wharton's *Digest of Int. Law*, ii. 248 *et seq.*, where copious references are given to authorities. The subject has engaged the atten-

tion of many of the ablest statesmen and lawyers down to this day. No better succinct discussion of it can be found than that given in the opinions of the Court of Claims (through Judge John Davis), rendered May 17 and 24, 1886, and Nov. 7, 1887 (*Wm. Gray, Administrator, v. U. S.*, and *Wm. R. Hooper, Ad'r, v. U. S.*).

² *For. Rel.* ii. 584-591. See Monroe's interview with Lord Harrowby on the subject (*Ibid.* iii. 93). The latter objected with spirit to the procedure of ratifying a part of a treaty. Monroe reminded him that such a course in respect to Jay's treaty had proved satisfactory.

³ For project of the treaty drawn by the State Department, and the explanations of Mr. Madison, see *For. Rel.* iii. 82-89.

⁴ *For. Rel.* iii. 113, 116, 117.

⁵ The treaty is given in *For. Rel.* iii. 147.

The fundamental defect of the treaty was that it contained no concession from Great Britain on the important subject of the impressment of seamen. The British were willing to give assurance that impressment should be resorted to only on extraordinary occasions and under certain precautions, but they were fortified by the law officers of the crown in the stubborn



WILLIAM PINKNEY.*

maintenance of the doctrine that merchant vessels on the high seas were not neutral territory in such a sense as to forbid visitation and search by the British navy in the pursuit of British subjects. Finding all attempts to move the British commissioners on this point vain, Monroe and Pinkney reluctantly signed the treaty, which in their opinion had merits rendering it worthy of ratification. But it encountered severe criticism from Mr. Madison, and was so unacceptable to Mr. Jefferson that he did not even send it to the Senate.¹

¹ Madison's criticism may be found in *For. Rel.* iii. 106. Jefferson, in his annual message in 1807, justified his disapprobation of the treaty by saying: "Some of the articles might have been admitted on a principle of compromise, but others were too highly disadvantageous; and no sufficient provision was made against the principal source of the contentions and collisions which were constantly endangering the peace of

the two nations." After his return home, Monroe made an elaborate defence of the action of the commissioners, in a letter to Madison, dated "Richmond, Feb. 28, 1808." It is found in *For. Rel.* iii. 173 *et seq.* It was charged at the time, but doubtless without any ground, that Jefferson and Madison opposed the treaty in order to damage Monroe's prospects for the presidency. Wharton's *Digest of Int. Law*, § 150 *b*, says:

* [From the *National Portrait Gallery* (1830); engraved by E. Wellmore, after a painting by C. B. King. Rembrandt Peale's picture, engraved by A. B. Durand, is in Wheaton's *Pinkney* (1820). — Ed.]

The commissioners were instructed to renew efforts to reach a more satisfactory result. Hardly had they begun their conference with Mr. Canning, who had been placed in charge of foreign affairs, when the news of the attack of the British man-of-war "Leopard" on the United States frigate "Chesapeake," near to the American shore, and the capture of some of the latter's seamen, interrupted negotiations. The discussion of the question of reparation for that outrage was soon transferred to Washington, and Mr. Rose was sent out to represent Great Britain in the matter. His instructions forbidding him to offer any reparation until the repeal of the order which our government made immediately after the attack on the "Chesapeake," that our waters should be closed to all British men-of-war, his mission speedily terminated without any result.¹ Canning finally informed Monroe and Pinkney that it was impracticable to open a negotiation on the basis of a treaty which our government had refused to consider. Monroe therefore returned home towards the end of 1807, and left Mr. Pinkney alone to the thankless task of representing his country in fruitless protests against the outrageous Orders in Council, by which Great Britain was harassing American commerce, as France was ruining it by her decrees. Since the British Orders precluded neutrals from trading directly with France or her colonies, or from carrying French goods; and since the French decrees cut off neutrals in the same way from trading with Great Britain or her colonies, and from carrying English goods, the commerce of the United States was driven from the seas.² Congress, in self-defence, passed two notable acts: one (December 22, 1807) placing an embargo on all vessels in American ports, and the other (March 1, 1809), interdicting commercial intercourse with Great Britain and France and their dependencies.³ The relations between the States and the two great belligerent powers of Europe, who were harassing American citizens by an invasion of the rights of neutrals, became strained. All efforts to obtain relief or redress were long in vain. The limits of this narrative forbid a detailed report of the voluminous diplomatic correspondence which took place.⁴ The attempts to secure justice from Great Britain were so unsatisfactory that on June 18, 1812, a bill declaring war with Great Britain was signed by the President, and hostilities soon began.⁵

"Mr. Madison's private correspondence shows how reluctant he was to overrule it [the treaty]. Mr. Jefferson, in his subsequent letters to Mr. Monroe, speaks of his final non-acceptance of the treaty as an act peculiarly painful to himself. No one can study Mr. Monroe's unpublished writings without seeing that the scar remained with him through his whole life."

¹ Reparation was offered and accepted in November, 1811. See *For. Rel.* iii. 499, for the correspondence.

² For the British order, see *For. Rel.* iii. 263-284; for French decrees, see *Ibid.* 284-292.

³ These acts are found in *Statutes at Large*, ii. 451 and 528.

⁴ It can be largely found in *For. Rel.*, iii. The British ministers at Washington during this period were Erskine, Jackson, and Foster.

⁵ President Madison's message of June 1st, though it did not formally recommend war, was written in expectation of it. The report of the Committee on Foreign Relations, reciting the grievances and urging war, was written by Calhoun. It is given in *For. Rel.* iii. 567. The impressment of seamen, the orders in council, and various illegal blockades, are the chief causes dwelt on.

On June 26 the Secretary of State wrote to Jonathan Russell, who since the return of Mr. Pinkney had been left in charge of the legation at London, authorizing him to conclude an armistice with Great Britain, provided the latter would repeal the Orders in Council, abstain from illegal blockades, return impressed American seamen, and abandon the practice of impressment. In case this were done, it was promised that the United States would by law forbid the employment of British seamen on our vessels. The offer thus made through Mr. Russell was declined by Lord Castlereagh.¹

In March, 1813, the Emperor of Russia offered his services as mediator, and the United States accepted the offer.² John Quincy Adams, then minister at St. Petersburg, Albert Gallatin, Secretary of the Treasury, and James A. Bayard, were appointed commissioners. Their instructions, which were somewhat prolix, named the stipulation against impressments as the chief object to be sought in negotiation.³ Two methods were suggested for adjusting the difficulty. The first consisted in imposing restraints on the naturalization of the seamen of one country by the other, and in excluding from service on vessels all others not naturalized. The second proposed to prohibit the naturalization of seamen, and to exclude from the service of each country all the natives of the other. Either method would be accepted by the United States. The commissioners were to seek to obtain a better definition of neutral rights, and especially of blockade, and to ask for indemnity for our losses by illegal seizure. The American requests on these points were not, however, to be made indispensable conditions of peace. Assurance might be given that the non-importation act would be repealed by Congress in case of peace.

Gallatin and Bayard sailed on May 9, 1813, for St. Petersburg. On their way they touched at Gottenburg. From that place Gallatin wrote to Alexander Baring, asking him in effect to acquaint the British government with the purpose of the mission. Gallatin and the President had supposed that Great Britain would willingly accept the mediation of Russia. But Mr. Baring's reply to Gallatin's letter speedily undeceived that envoy. It informed him that the services of Russia had been declined by the British government, but also assured him that an offer would be made to treat directly, either at London or Gottenburg, and that there was in England a strong desire for peace. Though the commissioners were cordially received at St. Petersburg, they could, of course, in these circumstances, accomplish nothing there. While they were at the Russian capital, Gallatin learned that the Senate had refused to confirm his appointment. They objected to his holding at the same time the office of commissioner and that of Secretary of the Treasury. Castlereagh having offered, on November 4, 1814, to open direct negotiations, the President accepted

¹ See correspondence, *For. Rel.* iii. 585 *et seq.*

² The Emperor probably desired to relieve England of the burden of war in America, so

that her whole strength might be employed in opposing Napoleon.

³ *For. Rel.* iii. 695.

the offer, and added Henry Clay and Jonathan Russell, and subsequently Mr. Gallatin, to the commission. They were to meet the British commissioners at Gottenburg. Bayard and Gallatin had left St. Petersburg on January 25th, and travelled to Amsterdam, and in April they arrived in London. Soon after, they heard of the arrival of Clay and Russell at Gottenburg. Meanwhile, the abdication of Napoleon had produced a state of feeling in England far less auspicious for the success of the American commission. They saw the desirableness of transferring the negotiations to some place where the influence of Continental friends might be felt more strongly than it would be at so secluded a city as Gottenburg. In May, Lord Bathurst proposed Ghent as the place for the negotiations, and this was agreed to. Bayard and Gallatin had, on the fall of Napoleon, promptly reported to Monroe the state of public opinion in England, and had intimated clearly that if the renunciation by Great Britain of the right of impressment was the condition of peace, then peace could not be secured. Consequently, notwithstanding the stress which Monroe and Madison had laid on obtaining such renunciation, they found themselves constrained to yield; and on June 27th, Monroe wrote to the commissioners as follows: "On mature consideration it has been decided that, under all the circumstances alluded to, incident to a prosecution of the war, you may omit any stipulation on the subject of impressment, if found indispensably necessary to terminate it."¹

The British commissioners were Lord Gambier, a vice-admiral, Henry Goulburn, a secretary in the colonial department, and William Adams, an admiralty lawyer. They reached Ghent on August 6th, and the negotiations began on the 8th. The British commissioners were men of moderate ability, somewhat overbearing in manner, and entrusted by their government with almost no liberty of acting according to their own discretion. They were obliged to send home so constantly for instructions on every phase of the discussions that they seemed to play the part of clerks rather than of negotiators. The American commission contained much more talent than the British. Its case was presented with much more skill than that of Great Britain. But it was somewhat embarrassed by the difficulty of communicating with Washington, by the quick and fervid temper of Adams and Clay, and by those differences of opinion which generally manifest themselves in a commission composed of so many men.

The British commissioners announced at the outset, that they were instructed to treat: (1) Of the question of impressment of seamen; (2) of the pacification of the Indians, and the assignment to them of a definite

¹ *For. Rel.* iii. 704. These statements are added: "You will of course not recur to this expedient until all your efforts to adjust the controversy in a more satisfactory manner have failed. As it is not the intention of the United States, in suffering the treaty to be silent on the subject of impressment, to admit the British claim thereon, or to relinquish that of the United

States, it is highly important that any such inference be entirely excluded, by a declaration or protest, in some form or other, that the mission is not to have any such effect or tendency. Any modification of the practice to prevent abuses, being an acknowledgment of the right, is utterly inadmissible."

territory within the American domain ; (3) of the revision of the boundary line between the United States and the British colonies ; and (4) of the fisheries. They intimated that they had no special desire to discuss the first point. In respect to the second they made the astounding demand that the American government should not only fix the boundaries of the Indian domain, but should pledge itself not to deprive the Indians of it by purchase or otherwise, and declared that this concession was the *sine qua non* of a treaty. They disclaimed any intention, in fixing the boundary between the United States and the colonies, of gaining any new territory. The privileges enjoyed by Americans, under the treaty of independence, of fishing in British waters, they held, were lost by the war, and would be renewed only for an equivalent.

The American commissioners announced that they were instructed to consider the first and third points named by the British, and also to consider the subject of a definition of blockade, and, as far as might be agreed, of other neutral and belligerent rights, and to present claims for indemnities in certain cases of capture and seizure ; but they were not instructed to consider the subjects of Indian pacification and boundary and of fisheries, as these had not been the grounds of any controversy between the two countries, and had not been mentioned by Lord Castlereagh in his letter proposing the negotiation.¹

It soon appeared that in addition to the surprising request concerning the Indian domain, the British commissioners were directed to ask that the American naval force should be wholly removed from the Lakes, and that no fortifications should be erected by the United States on its shores of the Lakes, and that the territory in Maine lying between New Brunswick and Quebec should be ceded, to become a part of Canada. The American commissioners promptly replied that it was not necessary to refer such demands to their government for instructions. "They will only be a fit subject of deliberation," they said, "when it becomes necessary to decide upon the expediency of an absolute surrender of national independence."² There seemed at this stage of the negotiations no prospect of any result.³

Castlereagh, passing through Ghent, saw that his government had pitched its demands too high, and advised the modification of them. It was clear that if the negotiations were closed then, the Americans could not but be more strongly united in the prosecution of the war. Under instructions from Bathurst, the British commissioners announced, on September 19th, that they did not regard the exclusive military possession of the lakes as a *sine qua non*, but they still adhered to their demand on the Indian affairs.⁴ At the suggestion of Gallatin, who with some of his colleagues thought it not expedient to break off the negotiations avowedly on the Indian matter, even if it were decided to break them off, the American

¹ *For. Rel.* iii. 705.

² *Ibid.* 713.

³ Aug. 20, Mr. Gallatin wrote to Mr. Dallas :

"Our negotiations may be considered as at an end." *Adams's Gallatin*, 524.

⁴ *For. Rel.* iii. 718.

commissioners, while refusing to have the Indian tribes considered in any sense independent nations, offered, on September 26th, to provide that the Indians, being peaceable, should have all the rights, privileges, and possessions which they had at the commencement of the war.¹ The testy Mr. Goulburn wrote home that he considered this a rejection of the British demand. But his government, more sensible, recognized the advance of the Americans, and forwarded a proposition in harmony with their offer. With some reluctance, especially on the part of Mr. Adams, who was not unjustly offended at the language of the despatch, this was accepted by the Americans.² The first great obstacle being thus removed, the Americans asked the British to present a project of a treaty, promising to submit immediately after a counter-project. The British wished to treat concerning the boundaries on the basis of *uti possidetis*, but the Americans declined.³ The British government were disappointed and irritated, and at first thought the war must continue. They considered the advisability of sending Wellington to America to conduct the campaign. He gave them sound advice. He told them that their success in the war was not such as to justify their claim to American territory. Lord Liverpool and Mr. Vansittart, the Chancellor of the Exchequer, both saw that the treasury could ill afford to prolong the war. The condition of affairs at Vienna and in the interior of France, and the state of British finances, finally decided the government to try to end the conflict.

Meanwhile, the American commissioners, ignorant of this discussion among the British statesmen, were hard at work on their project of a treaty. Mr. Adams drafted the articles on impressment, blockade, and indemnities, and Mr. Gallatin those on the boundaries and the fisheries. A sharp difference of opinion soon appeared between Mr. Clay on one side and Mr. Gallatin and Mr. Adams on the other, in respect to an article drawn by Mr. Gallatin, and proposing to recognize the continuance of the right of the Americans to the fisheries and of the right of the British to the navigation of the Mississippi, as they were asserted in the treaty of 1783. Bayard voted with Gallatin and Adams on the adoption of the article, and Russell with Clay. The latter declared he would not sign the note communicating the article. The next day a suggestion of Clay was adopted, that the article be omitted, and a paragraph be inserted in the note, saying that they were not authorized to bring into the discussion any of the rights or liberties which the United States had enjoyed concerning the fisheries. This did, of course, recognize the right of the British to the navigation of the Mississippi as clearly as that of the Americans to the fisheries. The commissioners expressly declared their willingness to place the two coun-

¹ *For. Rel.* iii. 720.

² *Ibid.* 723.

³ Adams, in his *Life of Gallatin*, pp. 535-6, shows from the Castlereagh correspondence that Earl Bathurst's plan was, if the Americans should assent to the basis of *uti possidetis*, to give

to the United States Castine and Machias, then held by the British, and claim Michilimackinaw, Post Niagara with five miles circuit, and the northern angle of Maine. Of this, however, the Americans knew nothing. *Ibid.* pp. 539-40.

tries in all respects in the same state they were in at the commencement of the war.¹

The British commissioners at once pronounced inadmissible the articles on impressment, blockade, and indemnities, the article pledging each nation not to employ Indians in war, and the article exempting from prosecution persons in the dominion of one of the belligerents who had taken part with the other party in the war. But they abandoned the Indian boundary, the exclusive military possession of the lakes, and the claim of *uti possidetis*. A clause which they inserted, giving England the free navigation of the Mississippi, but saying nothing of the fisheries, led to prolonged discussions between the two commissions, and between the members of the American commission. The result of the debates was that all reference to the navigation of the Mississippi and to the fisheries was omitted from the treaty.² Under all the circumstances, it seems a just conclusion that Adams's view that the treaty of 1783 remained in force was thus sustained. Provision was made for commissions to determine to whom the islands in and near Passamaquoddy Bay belonged, and to fix and mark the boundary from the river St. Croix to the St. Lawrence, following in part the 45th parallel, and the boundary from the St. Lawrence at the 45th parallel to the northwestern point of the Lake of the Woods. Both parties stipulated to use their best efforts to suppress the slave-trade. Hostilities were to cease as soon as the treaty should be ratified, captured territory was to be restored, prisoners were to be exchanged, neither public nor private property was to be carried off, and dates were fixed beyond which captures at sea should not be valid.

Though not a single one of the objects for which the United States avowedly went to war was secured by the treaty, though the impressment of seamen and neutral rights were not so much as named, the return of peace was hailed with general joy in America, and the commissioners, somewhat to their own surprise, were warmly commended. The war was a heavy burden; in New England it was very unpopular; in comparison with the enormous demands of Great Britain at the opening of the negotiations, the stipulations of the treaty were extremely favorable; and the victory of New Orleans, which was won after the treaty was signed, had made for the Americans a glorious close to the war. The President, in communicating the treaty, declared that it "terminates with peculiar felicity a campaign signalized by the most brilliant successes."

In England the British commissioners and the government were severely criticised by the war party, who wished to humble the United States. But

¹ Clay thought this proposition ridiculous. He had also the idea that the acquisition of Louisiana impaired the right of the British to navigate the Mississippi. Gallatin inclined to the opinion that the war had abrogated the American right to the fisheries and the British right to navigate the Mississippi as secured by the treaty of 1783. *Memoirs of John Quincy Adams*, iii. 62-69.

² For detailed narrative of the discussions on this point, see *Memoirs of J. Q. Adams*, iii. 79-120. The Americans offered to insert clauses confirming the British right to navigate the river and the American right to the fisheries, or to omit mention of both. The British proposed to accept the latter alternative, but to provide for future negotiation. This the Americans refused to assent to.

Castlereagh, Liverpool, and Wellington were rejoiced to be well out of the war. No one can read the negotiations in detail, and recall the disadvantageous circumstances in which the American commissioners undertook their task, without recognizing the signal ability with which they brought it to a successful issue.

With Adams, who was appointed minister to England in February, 1815, Clay and Gallatin were associated for the purpose of negotiating a commercial treaty with Great Britain. The British commissioners were Charles Frederic Robinson, vice-president of the Board of Trade, afterwards Lord Goderich and Earl Ripon, and Mr. Goulburn and Dr. Adams. The negotiations began in May and continued till July. The British refused to take any action on the subjects of impressment and blockade and trade with enemies' colonies in war. Nor was any satisfactory arrangement about the West India and Canada trade found practicable. Reciprocal liberty of commerce between the United States and British territories in Europe was assured. An important stipulation, then made for the first time, but inserted in many treaties since, was agreed to, abolishing discriminating duties and charges. Direct trade with the East Indies was also continued. The convention was made for four years.¹ It was understood that the subjects not disposed of in this convention might be taken up at some later period.

Hardly were the ratifications exchanged when discussions upon various unsettled questions began, especially concerning the failure of Great Britain to return or pay for the slaves that her soldiers had taken away in contravention, as was claimed, of the Treaty of Ghent, and concerning the interference of British cruisers with our fishermen, who, they claimed, had no right to ply their industry within three miles of the coasts of the British colonies. John Quincy Adams, then minister at the Court of St. James, and Earl Bathurst were soon engaged in an elaborate discussion of the fishery question.² The former argued, with great ability, that the treaty of 1783 was in the nature of the case perpetual, including the grant to the British of the privilege of the navigation of the Mississippi and the recognition of the continuance of American fishermen in the enjoyment of the right previously had of fishing on the coasts of the British colonies. He reminded the Englishman that at Ghent the commissioners of the United States had offered to insert again the stipulations of 1783 on both these points, or to omit them both, since they held that they had not been abrogated by the war; but that they had refused the British proposition to negotiate at some

¹ The details of the negotiation are found in *For. Rel.* iv. 8 *et seq.*, and in *Memoirs of J. Q. Adams*, iii. 268 *et seq.* Mr. Gallatin, in a letter to Monroe (*Writings*, i. 665), expressed the opinion that the valuable part of the convention was that abolishing discriminating duties, "a policy which, removing some grounds of irritation, and preventing in that respect a species of commercial warfare, may have a tendency to lay the

foundation of a better understanding between the two nations on other points." J. Q. Adams successfully insisted, at the cost of some sharp words with Gallatin, that "the alternate" system should be followed in this convention in mentioning the contracting parties in the body of the treaty and in the order of signatures. The United States have since then insisted on it

² *For. Rel.* iv. 349-355.

future time for equivalents of them. Bathurst pressed with energy the fact that the treaty of 1783 recognized the American *right* to fish on the Banks of Newfoundland, that is, on the high seas, but granted only the *liberty* to dry and cure fish in certain places, since that was a privilege bestowed by Great Britain. This *liberty*, he argued, was revoked by the war. To this Adams made elaborate reply to prove that, in the circumstances, the terms *right* and *liberty* were practically synonymous. But argument did not bring the disputants to any common ground.

The attempt to settle the difficulty was entrusted to Bagot, the British minister at Washington. He was authorized to offer the liberty of in-shore fishing from Mount Joli in the Gulf of St. Lawrence to the Bay and Isles Esquimaux along the southern coast of Labrador, or a stretch on the south shore of Newfoundland, and finally to offer them both. All these offers were declined by Mr. Monroe, the Secretary of State, after conference with the New England fishermen.

Mr. Adams, meantime, had vainly attempted to induce Lord Castlereagh to treat on blockade or contraband, but had received from him an assurance of willingness to make slight concessions in the West India trade, and to consider the regulation of intercourse by land between the United States and their colonial neighbors on the north, and also to take up the vexed question of impressment. In view of British prohibition of American trade with the West Indies, Congress, in April, 1818, resorted to retaliatory legislation.

On April 28, 1817, an arrangement for regulating the naval force of the United States and Great Britain on the lakes was concluded by Richard Rush, Acting Secretary of State, and Mr. Bagot, the British minister. It was approved by the Senate April 16, 1818, and proclaimed by the President April 28th. Each power was permitted to keep on Lake Ontario one vessel, on the Upper Lakes two vessels, and on Lake Champlain one vessel. These vessels were not to be larger than one hundred tons burden, and were to be armed each with one eighteen-pound cannon.

Mr. Adams was called home in 1817 to take the office of Secretary of State in the cabinet of Monroe, and was succeeded at London by Richard Rush. The new administration decided in May, 1818, to appoint Mr. Rush and Mr. Gallatin, then minister to France, commissioners to negotiate a treaty of amity and commerce with Great Britain, if the latter power should be willing to join in the effort to adjust some of our difficulties with her. The British government assented to the proposition, and appointed again Robinson and Goulburn.

According to their instructions, Mr. Rush and Mr. Gallatin were charged to express a willingness to extend the treaty of 1815 eight or ten years, and were to ask for a relaxation of the restrictions on trade with the British colonies, the settlement of the question concerning the slaves carried away at the end of the war, the determination of the boundary line by fixing it on the 49th parallel, the recognition of the title to the settlement

at the mouth of the Columbia River, and the adjustment of the fishery difficulty.¹ On this last point a large concession — surprising to one who has followed the former arguments of the Adamses, father and son — was authorized. “The President authorizes you to agree to an article whereby the United States will desist from the liberty of fishing and curing and drying fish within the British jurisdiction *generally*, upon condition that it shall be secured as a permanent right, not liable to be impaired by any future war, from Cape Ray to the Ramea Islands, and from Mount Joli on the Labrador coast through the Strait of Belleisle indefinitely north, along the coast; the right to extend as well to curing and drying the fish as to fishing.”²

The commissioners were not to touch the subjects of blockade, or contraband, or impressment, unless the English should wish it.

Mr. Gallatin reached London August 16th, and the negotiations were begun at once. Lord Castlereagh showed, in his interviews with Rush and Gallatin, that he was decidedly in advance of public opinion in England in respect to relaxing trade regulations and to modifying the English contention on impressment. Could he have remained at home, it is possible the treaty might have proved more favorable to the United States, but he was called away on September 1st to the Congress of Aix-la-Chapelle. Still the negotiations proceeded with dispatch, and were completed on the 19th of October.

Upon the fisheries the Americans obtained somewhat better terms than their instructions authorized them to accept. They justly say: “We succeeded in securing, besides the rights of taking and curing fish within the limits designated by our instructions as a *sine qua non*, the liberty of fishing on the coasts of the Magdalen Islands and of the western coast of Newfoundland, and the privilege of entering for shelter, wood, and water in all the British harbors of North America.” These rights were also secured “forever,” in spite of the earnest objection of the British to the introduction of that expression. But for their insisting on that, Gallatin says, they might have gained access to a longer line of coast. They also laid stress on their formally renouncing the right to the fisheries they relinquished. They sought thereby to prevent any implication that the fisheries secured were a new grant, and to incorporate in the treaty the statement that the renunciation extended only three miles from the coasts. As they understood that in their time most of the fishing on the coast of Nova Scotia

¹ *For. Rel.* iv. 375.

² The following passage from Mr. Adams's journal (*Memoirs*, iv. 96), dated May 15, 1818, two months and a half before the instructions were written, indicates that the President or the cabinet decided on this concession, contrary to the views of the Secretary. In describing a conversation with the British minister, Mr. Bagot, Mr. Adams reports his own words thus: “It [the proposal] was founded on the principle of assuming a range of coast within given latitudes for our fishermen to frequent, and abandoning

the right to fish for the rest. . . . For my own part, I had always been averse to any proposal of accommodation. I thought our whole right, as stipulated by the treaty of 1783, so clear that I was for maintaining the whole; and if force should be applied to prevent our fishermen from frequenting the coast, I would have protested against it, and reserved the right of recovering the whole by force whenever we should be able. It had, however, been otherwise determined here, and a proposal had been promised.”

was off-shore and that on the coast of Labrador was in-shore, they hoped they had retained a large part of our ancient rights and liberties of fishing.

The 49th parallel was secured as the boundary from the Lake of the Woods to the Stony (now called the Rocky) Mountains. The urgent request of the British for an article securing British access to the Mississippi from the north and the right to its navigation was successfully resisted.

The British intimated that the Columbia River would be a proper boundary beyond the Rocky Mountains, and demanded the harbor at the mouth. The American commissioners, without asserting that our right was perfect, maintained that our claim was good as against Great Britain, because the Treaty of Utrecht had fixed the 49th parallel as the line between the British possessions and Louisiana, now a part of our territory. It was finally agreed that the country on the northwest coast claimed by either party should without prejudice to the claims of either be left open for ten years, for the purpose of trade to the inhabitants of both countries.¹

The question of indemnity for the slaves taken away by the British, it was agreed, should be left to some friendly sovereign.

In respect to commercial intercourse, it was decided to extend the convention of 1815 for ten years. The American commissioners agreed to refer to their government, but declined to adopt, an article proposed by the British, granting a considerable extension of commercial privileges with the West India islands, but still forbidding Americans to carry salted provisions there, and leaving the British free to impose higher duties on articles carried thither from the United States than on those sent from the British dominions.

An article presented by the British on the impressment of seamen, and one presented by the Americans on blockade, contraband, and certain other maritime matters, failed of adoption in the conferences.²

The treaty, though it improved in some respects the condition of the American relations with Great Britain, was only a qualified success. The rights of fishery which were enjoyed by the United States on the British colonial coasts under the treaty of 1783 were materially curtailed, and the stipulation has proved a fruitful source of controversy down to our day. Mr. Gallatin wrote to Mr. Adams, expressing regret at the concessions he felt called to make.³ The disposition of the questions concerning the boun-

¹ [See further on this subject in the appendix of the present volume. — Ed.]

² *For. Rel.* iv. 380 *et seq.*

³ "I will not conceal," wrote Gallatin, "that the subject caused me more anxiety than any other branch of the negotiations, and that, after having participated in the Treaty of Ghent, it was a matter of regret to be obliged to sign an agreement which left the United States in any respect in a worse situation than before the war. . . . But . . . if a compromise was to take place, the present time and the terms proposed ap-

peared more eligible than the chance of future contingencies. . . . With much reluctance I yielded to those considerations, rendered more powerful by our critical situation with Spain, and used my best endeavors to make the compromise on the most advantageous terms that could be obtained." (Cited in Adams's *Gallatin*, 572.) But the Nova Scotians were greatly distressed by the treaty. A report to the House of Assembly presents a most gloomy picture of the anticipated consequences. See Gesner's *New Brunswick* (London, 1847), 260.

dary, the northwestern coast, and the indemnity for the slaves was favorable to the United States. The discussions on impressment and commercial intercourse showed more liberal sentiments on the part of the English government than had before been evinced. Mr. Robinson made little effort to defend on principle the restrictions on our trade with the colonies, but argued that so great changes as were asked could not be suddenly made. Mr. Rush had the impression that the effort to reach an adjustment of the impressment difficulty would not have failed if Lord Castlereagh had been at home.¹

Various unsuccessful efforts were made to effect, through reciprocal legislation by Parliament and Congress and by diplomacy, some enlargement of commercial privileges in the British colonial possessions. By the convention of August 6, 1827, the provisions of the convention of 1815, which had been extended for ten years by the convention of 1818, were indefinitely continued.² But in 1829 Mr. McLane, the minister to Great Britain, found encouragement to renew negotiations, which resulted in securing a freer commercial intercourse. By a British Order in Council of November 5, 1830, and a proclamation of President Jackson of October 5, 1830 (authorized by an act of Congress of May 29, 1830), vessels of the United States were permitted to carry into all British possessions goods the produce of the United States, and to transport goods from the British possessions to any foreign country whatever; and British vessels and their cargoes, being the products of Great Britain or of British possessions in North or South America, were admitted to entry into the ports of the United States, and were allowed to clear for the colonial ports with such articles as vessels of the United States were allowed to carry; but if coming from the colonies, they were permitted to clear only for other ports than those in the colonial possessions.

By the tenth article of the Treaty of Ghent, the high contracting parties agreed to use their best endeavors to abolish the slave-trade. In February, 1818, Lord Castlereagh directed the attention of Mr. Rush to the treaties Great Britain had already formed with Portugal and Spain for the suppression of the slave-trade, and expressed the hope that the United States would co-operate with his government in this work. A correspondence on the subject continued for nearly two years. John Quincy Adams, Secretary of State, found it impracticable to effect co-operation with Great Britain, because the British plan proposed mixed tribunals for trying slave-traders, and subjected American vessels to visit and search by British cruisers.³ In 1824 the subject was again taken up, and a convention was signed on March 13th of that year, by Mr. Rush on the part of the United States, and by William Huskisson, then a member of the cabinet, and Stratford Canning, British minister to the United States.⁴ The Senate

¹ Rush's *Memoranda of a Residence at the Court of London*, 469.

² *For. Rel.* vi. 678-688.

³ *For. Rel.* v. 60, 111.

⁴ See Convention and accompanying papers, *Ibid.* 315 *et seq.*

made amendments to the convention before they ratified it. They objected to a clause which gave the British men-of-war the right to cruise on the coast "of America" as well as on the coast of Africa and of the West Indies. The British government refused to ratify it with those words omitted.¹

It was not until the negotiation of the Treaty of Washington, signed August 9, 1842, by Daniel Webster and Lord Ashburton, that the two powers joined in common action for putting an end to the slave-trade. By the eighth article of that treaty each party pledged itself to maintain a naval force on the coast of Africa. The two squadrons were to be independent of each other, but were to receive such orders from their respective governments as would enable them to co-operate effectively.²

That treaty also settled what was known as the Northeast Boundary Question, and made provision for more specific designation than had previously been made of the boundary line from the upper part of Lake Huron to the Rocky Mountains.³

The tenth article provided for the extradition of persons charged with the crime of murder, or assault with intent to commit murder, or piracy, or arson, or robbery, or forgery, or the utterance of forged paper.⁴ This article was to be terminated whenever either party desired. The article on suppressing the slave-trade was to remain in force five years, but was after that period terminable at the will of either party.

Mr. Webster had hoped that Great Britain, while joining with us, under the treaty of 1842, in efforts to put an end to the African slave traffic, would not attempt to exercise again, as she had done for so many years, in the face of our earnest protests, what she called the right of visit and search of vessels carrying the American flag. American Secretaries of State and ministers to England had never ceased to deny in the most emphatic terms that there was any such right.⁵ As British cruisers in earlier days often searched American vessels to take out of them British seamen, so now they claimed that it was necessary to go so far, at least, as to visit vessels carrying the American flag, to determine whether they were slavers. The British government attempted to set up a distinction between visit and search of a vessel. Disclaiming any purpose to search vessels bearing the American flag, the British claimed that it was their right to visit them and ascertain whether they had a right to carry our flag. This claim was consistently and constantly denied by the government of the United States, and in 1858 it was abandoned by Great Britain.⁶

¹ Both nations had meantime passed laws declaring, respectively, the slave-trade by their citizens piracy.

² France, in her treaty of May 20, 1845, with Great Britain, insisted on an arrangement like the American plan for co-operative action against the slave-trade.

³ [This part of the treaty is enlarged upon in the appendix of the present volume. — ED.]

⁴ "I may now state, I suppose without offence and without cavil, that since the negotiation of this treaty, containing this article, we have nego-

tiated treaties with other governments of Europe containing similar provisions, and that between other governments of Europe themselves treaties have been negotiated containing that provision. — a provision never before known to have existed in any of the treaties between European nations." (Webster's speech, in *Works*, v. 142.)

⁵ The American diplomatic correspondence so teems with despatches on this subject that special references seem superfluous.

⁶ For the announcement of this change of attitude by the British government, see President

We resume the sketch of our diplomatic relations with France. We have spoken of the injury caused to American commerce by the British

Buchanan's second annual message, 1858. For copious extracts from the correspondence of the State Department on this subject, see Wharton's *Int. Law Digest*, § 327. See also Lawrence on the *Right of Visitation and Search*, and Wheaton's *Inquiry into the Validity of the British Claim to the right of Visitation and Search, &c.*

During Lord Ashburton's visit, Mr. Webster corresponded with him on three other subjects of international interest. Brief reference to them should perhaps here be made:—

1. The case of the steamboat "Caroline" and of McLeod. In December, 1837, during the Canadian rebellion, some of the insurgents used a steamboat called the "Caroline" for hostile acts in Niagara River. Therefore a force of Canadians came over to Schlosser, in the territory of the United States, and seized her and destroyed her. In the capture an American citizen was shot and killed. When inquiry was made by the American government of the British minister at Washington concerning this invasion of its territory in time of peace, he replied that the British government assumed the responsibility for the act, and justified it as an act of self-defence. The American minister at London, in an official note to Lord Palmerston, pronounced the transaction an outrage upon the United States and a violation of United States territory. But the matter rested until November, 1840, when one McLeod, who came from Canada into the State of New York, boasted of having participated in the expedition against the "Caroline," and was arrested by the authorities of New York and charged with murder. The British minister demanded his release. No settlement was reached under Van Buren's administration, which ended March 4, 1841. The British request was promptly renewed to the Harrison administration, with the statement that McLeod's case could not properly be passed on by the state court of New York, but must be treated as an international question.

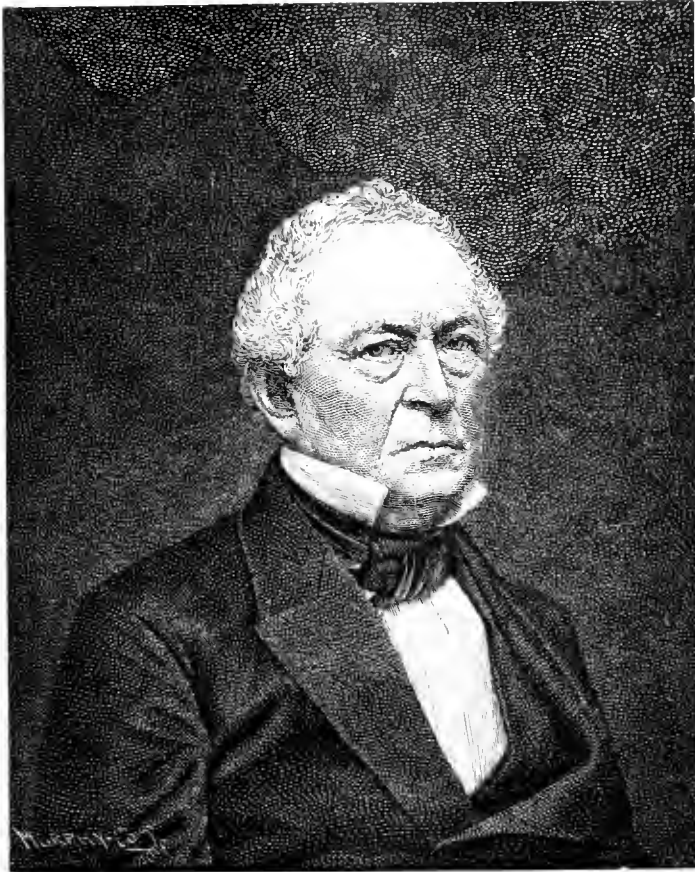
McLeod, after his arrest, was brought before the supreme court of New York by writ of *habeas corpus*, and his discharge was asked on the ground that, whatever he had done in the expedition against the "Caroline" he had done under orders of his government. The court refused to discharge him. He was tried and acquitted. But the Harrison administration thought that he could not be lawfully held to answer in the courts of New York for his offence, though the President had no power to arrest the proceedings in those courts. The Attorney-General of the United States was sent to attend the trial, and to see that the prisoner had skilful counsel. He was instructed that if the indictment were

pending in one of the courts of the United States, the President would direct a *nolle prosequi* to be entered. The case is reported in Wendell, xxv. 483. A review of the decision by Judge Tallmadge is found in Wendell, xvi. 663, App. Calhoun, in the Senate, opposed the positions taken by our government, maintaining that the attack on the "Caroline" was not justified by necessity, and that persons concerned in the enterprise were responsible to the State of New York (Calhoun's *Works*, iii. 618). Congress passed an act, August 20, 1842, by which cases like this of McLeod can be reached by the Federal courts (*U. S. Revised Statutes*, §§ 752-754).

In Mr. Webster's correspondence with Lord Ashburton, the former maintained that, to justify such an act as the seizure and destruction of the "Caroline," the British government must show "a necessity of self-defence, instant, overwhelming, and leaving no choice of means and no moment for deliberation," and that in accomplishing their end their agents "did nothing unreasonable or excessive." Ashburton accepted Webster's statement of principles as correct, maintained that the act was performed under such conditions, and expressed regret that explanation and apology for the occurrence was not immediately made." This declaration was accepted by Webster as satisfactory (Webster's *Works*, vi. 292-303).

2. The case of the "Creole." In 1841 a Virginia planter sailed from Richmond, Va., on the "Creole," with a hundred and twenty-five slaves on board, for New Orleans. While at sea the slaves killed the captain, gained possession of the vessel, and carried her into Nassau. The local authorities arrested nineteen of the slaves, and allowed the rest to go free. We had no extradition treaty with Great Britain. Mr. Webster maintained that the officers of the vessel, which was taken into the British port against their will, should have received all proper assistance in resuming their authority and continuing their voyage, and should have been protected from all interference with the character and condition of persons or things on board. As slavery did not exist in Nassau, the question was raised whether slaves reaching port under the above conditions were made free by coming into British waters. According to Mr. Webster's argument, they were not. Lord Ashburton was not empowered to consider the questions raised by Mr. Webster. The claim for damages in the case of the "Creole" came before the joint commission which sat in London under the convention of 1853. The commissioners being unable to agree, the claim was referred to Joshua Bates as umpire,

Orders in Council and the decrees of the Emperor Napoleon in the early years of this century. In 1819, a stable government having been set up in France, the United States began to press for the payment of the claims



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of their merchants whose vessels and cargoes had been seized unlawfully by the Emperor.¹ France, on the other hand, claimed damages for alleged violations of the eighth article of the treaty of 1803. That article provided

who sustained the American position, and awarded damages to the claimant (Webster's *Works*, vi. 303-318; Lawrence's *Wheaton*, 206, note 70, containing summary of an article by Wheaton in *Rev. Etr. et Fr.*, tom. ix. p. 345. Dana, in note 62, p. 165, of his edition of *Wheaton*, criticises Bates's findings).

3. Impressment. Lord Ashburton was not prepared to treat on this subject. But Mr. Web-

ster, in his speech on the Treaty of Washington, said that the correspondence did not leave the question where it found it, but that his declaration, that "in every regularly documented American merchant-vessel the crew who navigate it will find their protection in the flag which is over them," will stand (Webster's *Works*, v. 145-6).

¹ *For. Rel.* v. 17-21.

* [After a photograph taken 1861, constituting one of a group of the living presidents of Harvard College. He was United States minister in England, 1841-1845. — ED.]

that the ships of France should be "treated upon the footing of the most favored nation" in the ports of the Louisiana purchase. By a reciprocal compact, British vessels were admitted into American ports and American vessels into the ports of England on equal terms. British vessels thus entered Louisiana ports on more favorable terms than the French. It was contended by France that the treaty of 1803 compelled the United States to admit French vessels to those ports on the same terms as the British, and that such a course was not followed. The American government contended that, inasmuch as Great Britain paid a price, and so gave a special equivalent for the admission of her vessels to such ports by the admission of American vessels to hers without any discrimination against the United States, the treaty with France was not violated. France could gain the same privilege as the British enjoyed, on the same conditions. M. de Neuville, the French minister at Washington, and John Quincy Adams discussed the question at great length.¹ They reached no agreement on the matter, and made no arrangement for settling claims. But on June 24, 1822, they signed a treaty, which fixed a discriminating duty of twenty francs on each ton of merchandise, the produce of the United States, imported into France in United States vessels, and a discriminating duty of three dollars and seventy-five cents on each ton of merchandise, the produce of France, imported into this country in French vessels.²

At last, by the treaty of July 4, 1831, the question of claims and that of the interpretation of the eighth article of the treaty of 1803 were settled. France agreed to give twenty-five millions of francs in full payment of claims of our citizens; the United States agreed to pay a million and a half of francs to satisfy certain claims of French citizens and of the French government; the duty on French wines imported into the United States was to be reduced; the duty on our long staple cotton imported into France was to be the same as on our short staple cotton; and the French government abandoned its old claims under the eighth article of the treaty of cession of Louisiana.³

Congress promptly passed the acts requisite to carry the treaty into effect. But the French Chamber of Deputies, on April 18, 1834, declined to make the needed appropriations. The President, Andrew Jackson, in his message in the following December, announced that further negotiation on the subject was out of the question. In February, 1836, he directed Mr. Livingston to leave France. After a spirited debate in the House of Representatives, it was voted that the treaty should be maintained, and that preparations should be made for any exigency which might

¹ *For. Rel.* v. 152, 476, 640. The correspondence covers a period of several years. Gallatin exhaustively argued the question in France.

² This was a large reduction, nearly one half, of discriminating duties levied by the two nations on each other's commerce.

³ This treaty was signed by William C. Rives, then minister to France, and Horace Sebastiani,

the French Foreign Secretary. The limitation put upon "the favored nation clause" by Mr. Adams has been consistently adhered to ever since his time by this government. See MSS. Instructions by Clay, Livingston, Frelinghuysen, Evarts, and Bayard, in Wharton's *Int. Law Digest*, § 134.

arise from the difficulties with France. The French minister, Pageot, was recalled from Washington. Diplomatic relations between the two nations were suspended for nearly two years. Early in 1836 the British government tendered its services as mediator. But before its offer had been accepted, the French government undertook the payment of the sum due. The cordial relations of the two nations were thus restored.

In 1843 a treaty of extradition was concluded with France, and in 1845 an additional article was added.

We now proceed to consider our troubles with Spain, growing out of the purchase of Louisiana and of the attempt to annex Florida. The action of the intendant in forbidding the American use of New Orleans as a port of deposit¹ was in April, 1803, disavowed by the king of Spain, who ordered some place of deposit to be offered. But he declined to ratify the treaty of 1802, partly because payment was insisted upon for damages done to American commerce by French cruisers in Spanish waters, and partly because Congress, claiming that the new purchase of Louisiana gave to the United States the territory extending eastward to the Perdido River, had established a customs district which included the port and bay of Mobile. In 1804, Charles Pinckney, minister to Madrid, and Cevallos, the Spanish Secretary of State, discussed the questions at issue with much spirit for months, but reached no result.² Mr. Monroe was directed to join Pinckney, after ascertaining whether France would not sustain the American claim of territory from the Perdido on the east to the Rio Bravo del Norte on the west. The American negotiators were to press Spain for the recognition of the validity of the American claim, and to offer, not to exceed two millions of dollars for the Floridas, to be applied to payment of the claims of our citizens against Spain.³ If the whole of East Florida could not be purchased, an effort should be made to purchase as far as the Appalachicola. Talleyrand, speaking for France, sustained the Spanish position that the eastern boundary of the Louisiana purchase was the river Iberville and the lakes Maurepas and Pontchartrain. Spain also maintained that the western boundary was the river Mermentau, which

¹ See *ante*, p. 477.

² *For. Rel.* ii. 615-624. The correspondence of Yrujo, the Spanish minister to the United States, and Madison, Secretary of State, was equally fruitless. *Ibid.* 624-25. Yrujo, having procured the publication in a newspaper in Philadelphia, of an article attacking the administration, and having made himself otherwise offensive, the government of the United States asked for his recall. The Spanish government replied that, as he had already obtained permission to return home at the season suitable for the voyage, they desired the American government to permit his stay until then, and not to insist on a formal recall. This was assented to. But Yrujo

showed no intention of leaving the country. At the end of eight months, the Secretary of State, Jan. 15, 1806, wrote to him, informing him that his presence in Washington was "dissatisfactory" to the President. He wrote very impudent replies, and refused to go away. Cevallos attempted to defend his extraordinary course. (The history of Yrujo's controversy with our government in respect to his action is well given in the communication of Mr. Erving, minister to Spain, dated December, 1806, and found in Wharton's *International Law Digest*, 2d edition, § 106.)

³ The instructions are found, *For. Rel.* ii. 626-630.

is about halfway from New Orleans to the Sabine. Monroe and Pinckney, January 28, 1805, submitted a project of a treaty to Cevallos, providing for determining the boundary of Louisiana, and for the settlement of claims.¹ They discussed it at great length with him without any success, and the negotiations were stopped May 18th. Monroe returned to London, and Pinckney remained at Madrid.

There was no little friction, during the few years following, in the disputed territory. In 1808, diplomatic relations with Spain were suspended. Owing to turbulence among the inhabitants, the President, by proclamation, in 1810, took possession of the east bank of the Mississippi, to hold it provisionally for the benefit of Spain and of the United States. In 1812, the territory as far as the Pearl River was annexed to the new State of Louisiana, and the territory between the Pearl River and the Perdido was annexed to the Mississippi territory. General Wilkinson seized the fort at Mobile, April 15, 1813; Governor Mathews and Governor Mitchell of Georgia held East Florida for a time with their troops, though Congress refused to sanction their action. General Jackson, in 1818, having heard that Indians were to sally out from Pensacola into Alabama, seized that place, but our government offered to restore it at once. It was obvious that Spain could not long hold the Floridas without much expense and trouble, and without constant danger of most serious difficulties with the United States.

As early as 1815, diplomatic relations, which had been suspended for seven years, were resumed. Onís, the Spanish minister at Washington, opened his correspondence with Monroe, Secretary of State, by asking the restoration of West Florida, which was refused.² They continued discussion on the old lines for more than a year, when Monroe terminated it.³

Pizarro, having in July, 1817, succeeded Cevallos as Spanish Secretary of Foreign Affairs, proposed to our minister, Mr. Erving, to reopen negotiations. His plan was to exchange Florida for the territory west of the Mississippi, bringing the Spanish line eastward to the Mississippi. The Spanish claim on West Florida was to be waived. Erving replied that he was without authority to negotiate, and urged Pizarro to send favorable instructions to Onís. John Quincy Adams and Onís began negotiations in December, 1817. They reargued the boundary question in a very prolix correspondence, but came to no agreement.⁴ The offer of mediation by Great Britain was declined by the United States.⁵

In July, 1818, Pizarro took up the subject again with Mr. Erving, and the king of Spain, on the 9th of that month, ratified the convention of 1802. The correspondence between Pizarro and Erving consisted chiefly in a debate upon the meaning of an offer made by Pinckney in 1803, to guarantee to Spain her dominions beyond the Mississippi. And this, too, like

¹ *For. Rel.* ii. 638.

² *Ibid.* iv. 422.

³ *Ibid.* 422-441.

⁴ *Ibid.* 450 *et seq.*

⁵ J. Q. Adams's *Memoirs*, iv. 48-51. Great Britain having taken the part of Spain on some of the points in controversy, her services were not desired by us.

all the previous discussions between the representatives of the two nations, was fruitless.¹ The negotiations were again transferred to Washington. Onís, October 24, 1818, proposed to cede the Floridas and to fix the western boundary of Louisiana. But the terms were unacceptable to Mr. Adams. A second proposition, more liberal in respect to the western boundary, was also declined by Mr. Adams. Onís submitted a third proposition embodying a *projet*. Mr. Adams modified it in a counter-project, and at last, after long years of discussion, on February 22d, the treaty of 1819 was agreed on and signed.

The most important stipulations of this treaty were as follows: All Spanish territory between the Mississippi and the Atlantic, including both East and West Florida, was ceded to the United States. On the west of the Mississippi, the boundary between the territory of Spain and that of the United States was to run from the mouth of the Sabine River along its west bank to the thirty-second degree of latitude, thence due north to the Red River, then westward along that river to the one hundredth parallel of longitude (west from London), thence north to the Arkansas River, thence along its southern bank to latitude forty-two degrees north, and along said parallel to the South Sea.² The Spanish officers and troops were to evacuate the territory ceded within six months of the exchange of ratifications.

All grants of lands made before January 24, 1818, by Spain in the ceded territory were to be ratified and confirmed, provided the holders of the grants fulfilled the conditions of them according to the terms of the same; but all grants made after the above date were to be null and void.

Each party renounced all claims on the other for damages, and the United States agreed to pay five millions of dollars to their own citizens in satisfaction of their claims against Spain. The United States also certified that they had received no compensation from France for injuries done to them in Spanish waters. They also gave the exclusive privilege to Spanish vessels to bring in for twelve years Spanish goods to Pensacola and St. Augustine, without paying higher duties than our own vessels.

The ratifications were to be exchanged within six months, or sooner if possible.³

The President and Mr. Adams were much elated at the completion of the treaty, which secured so many advantages. But a little more than a fortnight after the signing of the treaty they were both exceedingly disturbed at hearing that on one important point they had been deceived.

¹ *For. Rel.* iv. 512. Pizarro, and afterwards Onís, tried to obtain a positive stipulation or a tacit promise that the United States would not recognize the independence of the South American colonies of Spain. *Ibid.* 674.

² [See Appendix at end of the present volume. — Ed.]

³ The details of the negotiation occupy a large part of vol. iv., *Memoirs of J. Q. Adams*. De

Neuville, the French minister, was most active, throughout the whole transaction, as an intermediary between Adams and Onís. There was a strong feeling in the United States that the government ought to claim westward to the Rio Grande. Monroe thought this unwise. Adams claims, with pride, the securing the line to the South Sea as his own idea.

The eighth article recognized the validity only of grants of lands in Florida made prior to January 24, 1818. Mr. Adams supposed that large grants to the Duke of Alagon, Count Puñon Rostro, and Señor Vargas were thus made invalid, and De Neuville and Onís had, by their language, left the impression on his mind that such was the effect of the eighth article. It was now reported that the grants were dated January 23, 1818, and so, unless there was fraud in the date, they were valid. Mr. Forsyth, minister at Madrid, was directed, in seeking an exchange of ratifications, to deliver to the king a declaration that the treaty was signed with the understanding on both sides that these grants were null and void, and that they would be so held by us.¹

The king, however, was not disposed to ratify the treaty. He took exception to our declaration concerning the grants, to the fitting out in our ports of privateers who attacked Spanish commerce, and to our obvious disposition to recognize the independence of the Spanish colonies in South America; and finally he alleged that the treaty had been changed after signature. This was not true. The question of taking possession of Florida was discussed both in the cabinet and in Congress. But the measure was not adopted. Fortunately, on October 24, 1820, in compliance with the advice of the Cortes, Ferdinand VII ratified the treaty, and appended to the ratification the statement that the three grants above referred to were invalid. The President and his cabinet, and especially Mr. Adams, were greatly relieved by this act of the king.²

¹ *For. Rel.* iv. 652. De Neuville declared that his understanding, and he believed that of Onís, was that the three grants were, under the treaty, invalid. *Ibid.* 653. The grants covered a large part of the territory of Florida, if Mr. Forsyth's description of them is correct. *Ibid.* 669.

² Mr. Adams's *Memoirs*, iv. and v., show that few matters of business ever troubled him so seriously as the discussion over these grants and the delay in the ratification of the treaty by Spain. He reproached himself with a certain carelessness in fixing the date for determining the validity of grants without having scrutinized more sharply the date of the three grants which came into dispute. He thought that he had been outwitted and duped by the dishonesty of Onís (*Memoirs*, v. 290), who had used De Neuville as a tool to perpetrate a fraud. He advised the President, and his colleagues in the cabinet joined him in the advice, to ask Congress for authority to take possession of Florida. Monroe decided on a more patient policy, and waited.

France and Russia asked him not to crowd Spain. The House Committee on Foreign Affairs, March 9, 1820, reported in favor of requesting the President to seize Florida (*For. Rel.* iv. 690). After the contest over the Missouri Compromise became hot, there grew up in the North

a strong feeling adverse to gaining possession of Florida, which would enter the Union, if at all, as a slave State. In the West and the South, the treaty was regarded by many with disfavor, because it did not make the Rio del Norte the western boundary. Mr. Adams had desired that boundary, believing with the Western men that Texas was of more importance to us than Florida. Henry Clay was active in his opposition to the treaty. But Monroe was in no haste to gain possession of Texas, which he was sure would come to us in due time. Adams was not then affected by the consideration that the acquisition of Texas would add a large slave territory to the Union. (For Monroe's opinions as manifested in his unpublished correspondence, see Wharton's *Int. Law Digest*, § 161 a.) Gen. Vives, envoy from Spain, arrived at Washington in April, 1820, but soon found himself unable to proceed with business because of a revolutionary movement in Spain, which restored the liberal constitution of 1812. He had to wait for new instructions. When these came, he announced that the king had sworn to the Constitution of 1812, and could not alienate any Spanish territory without consent of the Cortes, but would submit the treaty of 1819 to them when they met. He did so, and the ratification followed. The United States Senate, on Feb. 19, 1821, again

General Jackson was appointed governor of the Floridas. Congress, in 1821, passed an act setting up a commission to decide on the claims described in the eleventh article, and in 1843 an act to execute the ninth article, which provided for satisfying Spanish claims for injuries received from the operations of the American army in Florida.

In the war which Spain waged against her South American colonies to prevent their gaining independence, injuries were inflicted by her upon the commerce of the United States. The administration presented claims to her for reparation. After seven years of negotiation, it was agreed by the convention of 1834 that Spain should pay in full for all demands of the United States twelve millions of reals vellon, in inscription, interest at five per cent., to be paid every six months in Paris. The disturbed condition of Spain caused her to be very dilatory in fulfilling her engagements under this convention, and the United States waited for her with great patience.

With Portugal the United States have had one diplomatic controversy of importance. In September, 1814, the United States privateer "Gen. Armstrong" was destroyed in the harbor of Fayal by an English squadron. Damages were claimed of Portugal as responsible for permitting such a violation of her neutrality. A very prolonged correspondence ensued. Finally, by the treaty of 1851, the case was left to the arbitrament of a friendly sovereign. Louis Napoleon, President of the French Republic, was chosen arbiter, and decided against us, on the ground that the "Gen. Armstrong," by fighting without having first invoked the protection of the Portuguese authorities, violated the neutrality of the port.¹

The sympathies of the American people were naturally with the Spanish American colonies in their efforts to release themselves from the sway of Spain. The United States recognized their belligerent rights during the war, and sent agents to examine their condition and to report to the President.² The subject of determining relations with them repeatedly engaged the attention of Congress.³ On March 8, 1822, President Monroe sent in a message recommending the recognition of the colonies. He argued that they had fairly achieved their independence, and that Spain could not justly complain if the fact were recognized.⁴ On the 4th of May following, Congress appropriated a hundred thousand dollars for defraying the expenses of missions "to the independent nations on the American continent." An-

took action on the treaty, advising the President to ratify it. Mr. Adams says (*Memoirs*, v. 289), "I considered the signature of the treaty as the most important event of my life. It was an event of magnitude in the history of this Union."

¹ *Senate Ex. Doc. 24, 2d sess. 32d Congress*. The important part of this decision is translated in Davis's *Notes*, 1065.

² See the elaborate reports of C. A. Rodney, John Graham, and Theodoric Bland (*For. Rel.*

iv. 217 *et seq.*); of J. R. Poinsett (*Ibid.* 323); the letters of John M. Forbes and J. B. Prevost (*Ibid.* 820-827), and that of J. S. Wilcocks (*Ibid.* 836).

³ See *Annals of Congress*, from the 12th to the 17th Congress. Henry Clay was especially conspicuous in his efforts to hasten recognition.

⁴ *For. Rel.* iv. 818 *et seq.* gives the message and accompanying documents.

duaga, the Spanish minister at Washington, filed a spirited protest with the Secretary of State.¹ Mr. Adams, in his reply, said: "The United States confidently rely that the time is at hand when all the governments of Europe friendly to Spain, and Spain herself, will not only concur in the acknowledgment of the independence of the American nations, but in the sentiment that nothing will tend more effectually to the welfare and happiness of Spain than the universal concurrence in that recognition."² The earliest American republic was thus the first to extend the hand of friendship to the Spanish-American States.

In 1823, rumors reached America that the so-called Holy Alliance of European sovereigns, which had been engaged in suppressing attempts on the Continent to form popular and liberal governments, was considering a plan for crushing the Spanish-American States. Canning, the British Foreign Secretary, on September 18th, in an interview with Mr. Rush, our minister at London, earnestly inquired whether the United States and Great Britain could not together oppose this dangerous movement.³ Monroe, on hearing from Rush, communicated with Jefferson and Madison, who both strongly advised our opposing stoutly the project of the Holy Alliance.⁴

On December 2d, Monroe, in his annual message, gave utterance to what has ever since been known as the Monroe Doctrine. The two points which it embodies are an opposition to the extension of the political system of the Holy Alliance to the western hemisphere, and an opposition to the further colonization of the American continent by any European power. The last point was presented in connection with the consideration of a Russian claim to extend unduly its territory on the northwest coast.⁵ Although Great Britain was not yet ready to imitate our example in recognizing the independence of the Spanish-American States, the message of the President gave great satisfaction in England, and probably prevented an attempt on the part of the Allied Powers to interfere upon our continent. The

¹ "Who could think," he exclaims, "that in return for the cession of her most important provinces in this hemisphere, for the forgetting of the plunder of her commerce by American citizens, for the privileges granted to their navy, and for as great proofs of friendship as one nation can give another, this executive would propose that the insurrection of the ultramarine possessions of Spain should be recognized!" *For. Rel.* iv. 845.

² *Ibid.* 846.

³ For particulars of the interview, see Rush's *Court of London*, August and September, 1823.

⁴ See Jefferson's letter, in *Works*, vii. 315; and Madison's letters to Monroe and to Jefferson, *Madison's Writings*, iii. 339. For citations of earlier expressions of similar opinions by American statesmen in respect to European intervention on the American continent, see Gilman's *Monroe*, pp. 162-170.

⁵ Though often quoted, the most important sentences on these subjects may perhaps well be cited here from the message. In respect to the plan of the Allied Powers, Monroe said: "We owe it to candor and to the amicable relations existing between the United States and those powers to declare that we should consider any attempt on their part to extend their system to any portion of this hemisphere as dangerous to our peace and safety." In respect to the Russian scheme he said: "The occasion has been judged proper for asserting, as a principle in which the rights and interests of the United States are involved, that the American continents, by the free and independent condition which they have assumed and maintained, are henceforth not to be considered as subjects for future colonization by any European powers."

influence of the sentiments which inspired the Monroe Doctrine has been strong in all our subsequent history.

In 1825, the United States were invited by the Spanish-American States to join them in a congress at Panama to consider common interests. Mr. Clay advocated with his characteristic fervor acceptance of the invitation.¹ President Adams and his whole cabinet shared Clay's views, and in his annual message the President announced that ministers would be sent to the congress.

As opposition to the scheme at once manifested itself in Congress, the President explained in another message² that the chief objects the United States might properly seek in attending the congress were the adoption of liberal maritime usages in their intercourse with the new states, an agreement that each state would guard against the planting of a European colony in its domain, the exercise of influence to develop religious liberty and to guard American interests in case of war, and in general the manifestation of friendly regard for the sister states. Still the Senate Committee on Foreign Relations opposed the sending of ministers to the congress.³ They objected on the ground that the country might be drawn into an entangling alliance, that schemes were meditated by the Spanish-American States against Cuba and Porto Rico, in which we could not properly take part, and that all we ought to try to accomplish could be effected by treaties negotiated in the usual way. Doubtless a real, and perhaps the chief, objection in the minds of the committee, certainly in the minds of many slaveholders, was that it was proposed to discuss in the congress the entire abolition of the slave-trade, and also the formal recognition of Hayti.⁴ Still, the power of public enthusiasm for the plan of the President was so great that an appropriation for sending ministers to Panama was voted. Richard C. Anderson, minister to Colombia, and John Sargeant of Philadelphia, were appointed. Anderson soon died, and Joel R. Poinsett, minister to Mexico, was named in his stead. After a brief and fruitless session at Panama in June, 1826, the congress adjourned, to meet at Tacubaya the next year. But no meeting was held then. The whole undertaking brought no other result than this, that the Spanish-American States were assured anew of our good-will to them. Seldom has a scheme which so earnestly engaged public attention, and of which so much was expected by our ablest statesmen, so signally disappointed all the hopes of its friends.⁵

¹ The same sympathy for "oppressed nationalities" which led Clay and Webster, and indeed the people generally, to seek opportunities for expressing their interest in the South American republic between 1820 and 1830 also manifested itself towards Greece, which was striving to gain independence. Generous private contributions were sent to the Greeks, but Congress did not hasten to recognize her independence. In 1823 and in 1824 resolutions looking to formal recognition, and in 1827 a resolution to appro-

appropriate \$50,000 for their relief, failed in Congress. A treaty was negotiated with Greece in 1837.

² *For. Rel.* v. 834.

³ Their report is found *Ibid.* 857.

⁴ See letter of Mr. Salazar, Minister of Colombia, to Mr. Clay. *For. Rel.* v. 836.

⁵ Schouler's *United States*, iii. 358; Von Holst's *Const. Hist.* i. 409. The report of the Committee of the House of Representatives, answering *seriatim* objections to the mission to Panama, is in *For. Rel.* v. 900.

On the 3d of October, 1824, a treaty of peace, amity, navigation, and commerce between the United States and Colombia was signed. Treaties almost identical with this were in following years concluded with the other Spanish-American States. They secured mutually large maritime and commercial privileges. Only articles fitted especially for use in war were deemed contraband. The doctrine of "free ships, free goods," was embodied, with the stipulation that the flag should cover the property only of the powers who recognize this principle.¹ Generous principles concerning the visitation of vessels, the notice of blockade, and the exemption of private debts from sequestration in war, shaped the articles on these subjects. The whole group of treaties with the new states was intended and calculated to cement our friendship and strengthen our relations with them.²

The Republic of Colombia was in 1831 divided into the republics of New Grenada, Venezuela, and Ecuador. In 1846 a new treaty was concluded with New Grenada. While renewing in the main the stipulations of the treaty of 1824, this treaty gave us the free right of transit of persons and goods over the Isthmus of Panama, and secured to New Grenada "the guarantee, positively and efficaciously," by the United States, of "the perfect neutrality" of the isthmus.³

In 1825, there was made a treaty of peace, amity, commerce, and navigation with the Federation of the Centre of America (better known as Central America), consisting of the states of Guatemala, San Salvador, Honduras, Nicaragua, and Costa Rica. The part of the treaty concerned with commerce and navigation expired by the provisions of the treaty in 1838, and the rest of the treaty by the dissolving of the Federation in the same year.⁴ In 1832 a treaty was made with Chili, and in 1836 one was concluded with the Peru-Bolivian Confederation.

In 1825, Joel R. Poinsett of South Carolina, who had previously visited Mexico as an agent of his government to study the condition of that country, was duly commissioned as minister to Mexico. A treaty of amity and commerce which he negotiated was loaded with conditions by the Senate

¹ This provision is first found in article xii. of the treaty of 1819 with Spain.

² The spirit of the treaties is described by President J. Q. Adams in his message of Dec., 1824: "The basis of them all, as proposed by the United States, has been laid in two principles: the one, of entire and unqualified reciprocity; the other, the mutual obligation of the parties to place each other permanently upon the basis of the most favored nation."

In the years immediately following, a similar spirit of commercial liberality shaped the treaties of the United States with European powers. For example, in treaties with Denmark, 1826, the Hanseatic republics, 1827, and Prussia, 1828, it was agreed that goods of a foreign country could be imported on equal terms into the ports

of either of the contracting parties in the vessels of either.

³ In 1862, New Grenada became "the United States of Colombia." A treaty was concluded with Venezuela in 1836, and one with Ecuador in 1839. These expired by limitation, and later treaties were negotiated.

⁴ Treaties were concluded with Guatemala in 1849, San Salvador in 1850, Costa Rica in 1851, Honduras in 1864, and Nicaragua in 1867. By the last-named treaty, the right of transit between the Atlantic and Pacific oceans is secured to us. The United States agreed to protect the routes of communication, and to guarantee the neutrality and innocent use of them, and are allowed to transport troops and munitions across Nicaragua.

which were fatal to its ratification by Mexico.¹ In 1828, he concluded a treaty which recognized the boundary line on Mexico as it had been traced in the treaty of 1819 with Spain. In 1831, the American chargé, Anthony Butler, negotiated with Alaman and Mangino, respectively Secretary of State and Secretary of the Treasury of Mexico, the treaty of amity and commerce which has, in the main, since remained in force.² It differs in no important particulars from the other treaties with the Spanish-American States. In 1835, an additional article to the treaty of 1828 provided for commissioners to run the boundary line. In 1839 and in 1843, conventions were concluded for the determination and payment of claims.³ The latter convention provided that a new convention should be entered into for the settlement of claims not yet adjusted. A treaty for that purpose was concluded in Mexico, November 20, 1843, but certain amendments were made by the Senate to which the Mexican government would not agree, and so the treaty failed.⁴

The relations of the United States and of Mexico to Texas, both before and after that State declared her independence (March 2, 1836), was a fruitful source of diplomatic discussion between the two nations. The United States recognized her independence March 1, 1837. Mexico complained because, before the attempt to gain independence, American soldiers were sent into Texas to suppress Indian depredations upon the frontier, and because, after the declaration of independence, American citizens were allowed to go to Texas, and arms were sold to the inhabitants of that State. On account of the military expedition against the Indians, Gorostiza, the Mexican minister, withdrew from Washington in 1835; and soon after, Mr. Ellis, the American minister to Mexico, having failed to secure redress for injuries he had complained of, returned home, and diplomatic intercourse was for a time suspended. In respect to the other complaints of Mexico, the United States maintained that they had not violated the obligations of neutrality.⁵ In 1843, rumors were rife that the annexation of Texas was contemplated. Bocanegra, the Mexican Secretary of State, warned Mr. Thompson, the American minister, that Mexico would resist by force such a step.⁶ The Mexican government proceeded to prohibit foreigners from conducting

¹ *For. Rel.* vi. 579.

² But see note, *post*, p. 511.

³ John Forsyth, Secretary of State, negotiated the first of these conventions, and Waddy Thompson, minister to Mexico, the second. The commissioners appointed under the convention of 1839, owing to differences of opinion as to their functions and as to procedure, did not entirely complete their work.

⁴ The treaty provided that the commission on claims should meet at Mexico, and should consider any claims or complaints of one government against the other. The Senate substituted Washington for Mexico as the place of meeting, and limited the "claims and complaints" to pe-

culinary claims. President Polk charged (message, Dec. 8, 1846) that Mexico, by declining to ratify the amended treaty, had violated the treaty of 1843.

⁵ See Webster's reply to Bocanegra, the Mexican Secretary of State, July 8 and 13, 1842 (*Webster's Works*, vi. 441 *et seq.*). Bocanegra also issued a diplomatic circular to the representatives of the European powers, repeating his complaints against the United States. Thompson sent them a circular in reply, repeating Webster's arguments in vindication of the action of his government.

⁶ *Ex. Doc. no. 2, H. R., 1st sess. 28th Cong.*, 26

retail trade in Mexico, and closed her northern custom-houses. Mr. Thompson and Secretary of State Upshur maintained that these acts were in violation of treaty obligations.¹ Almonte, the Mexican minister at Washington, renewed the threats before made by Bocanegra.

On April 12, 1844, Mr. Calhoun, Secretary of State, negotiated with Mr. Van Zandt and Mr. Henderson, commissioners from Texas, a treaty of annexation, which, however, the Senate declined to ratify. France and England, through their ministers at Washington, remonstrated against the annexation. But on March 3, 1845, the joint resolution of the houses of Congress for the admission of Texas to the Union was approved by the President, and became law. Texas gave her assent July 4th. On March 10th, Almonte, the Mexican minister, withdrew from Washington, and soon after the American representative at Mexico, being denied communication with the Mexican government, returned home. But in October Mexico agreed to receive a commissioner from the United States on "the present contention." Mr. Slidell was at once sent, with regular credentials as envoy extraordinary and minister plenipotentiary. The Mexican government declined to treat with him because his powers were not limited to settling the Texas controversy.² Slidell withdrew. General Taylor was ordered to occupy positions on the east shore of the Rio Grande; the Mexican troops crossed that river to the Texas side, and hostilities began.³

In April, 1847, the President, hoping that victories already achieved by the American troops might incline the Mexican government to negotiations for peace, decided to send Mr. N. P. Trist to General Scott's headquarters, with powers to make a treaty. The draft of a treaty was furnished to him. He reached his destination in May. Personal controversies between him and General Scott delayed for some time the forwarding of a letter which he bore from Mr. Buchanan, Secretary of State, to the Mexican minister of foreign relations. It was not until August that it was possible for him to begin negotiations, and even then there was in Mexico a great popular clamor against treating at all.

The draft of the treaty which Mr. Trist, under his instructions, presented, provided that Mexico should abandon all claim to Texas, and fixed the following boundary, namely: the Rio Grande from its mouth to the southern line of New Mexico, thence a line running west and south along the boundary of that State to the first branch of the Rio Gila, along that stream to its junction with the Colorado, and along the Colorado and the Gulf of

¹ *Ex. Doc. no. 2, II. R., 1st sess. 28th Cong.*, 31, 40.

² *Ex. Doc. 106, II. R., 1st sess. 20th C.*, 19, 30.

³ In the preamble of the act of Congress providing for carrying on the war, it is affirmed that, "by the act of the Republic of Mexico, a state of war exists between that government and the United States." Taylor, being beyond the Nueces, was, as the Mexicans claimed, invading their territory. Polk and his supporters no

doubt expected and desired the war to be brought on by the advance of Taylor, yet in such a manner that the above declaration of Congress could plausibly be made. The diplomatic policy, like the general policy of the United States government, was for years largely under the direction of those who wished to extend the area of slavery by securing the annexation of Texas, and who were not over-scrupulous in their treatment of Mexico.

California to the Pacific. The right of way across the Isthmus of Tehuantepec was on certain conditions to be conceded to the United States. A sum of money, to be fixed by the commissioners, was to be paid to Mexico.¹

After deliberation, the Mexican commissioners reported Mr. Trist's propositions inadmissible. At their request, he agreed to waive the demand for Lower California, to yield such part of Upper California as would give Mexico free access to the head of the gulf, and to submit to his government the demand that the Nueces, and not the Rio Grande, should form the division line between Texas and Mexico. About forty-five days would be needed to get an answer from Washington, and during this time the armistice already existing was to continue.² But he insisted on retaining New Mexico. Finally the Mexicans, not satisfied with Trist's concessions, presented a counter-project of a treaty, giving to the United States all the territory east of the Nueces and north of the thirty-seventh parallel through to the Pacific. This Trist rejected, and the futile negotiations ended.

The President, on receiving Mr. Trist's reports of his negotiations, recalled him. But, with the most extraordinary persistence and audacity, Mr. Trist declined to go home, and devoted a letter, addressed to the Secretary of State, to a somewhat complacent defence of the policy he had pursued;³ and, what is quite as remarkable, he really did negotiate the treaty which terminated the war and gathered up the fruits of it for the United States.

The Mexican commissioners, Couto, Atristain, and Cuevas, learning through Trist that Scott would not agree to an armistice until a treaty was made, entered upon secret negotiations with Trist, and on February 2d he and they signed the Treaty of Guadalupe Hidalgo. It may well be believed that President Polk was surprised to receive a treaty signed by a man whose powers he had withdrawn. But since the Mexican commissioners had negotiated with Trist when they knew that he had been deprived of his powers, the President sent the treaty to the Senate on February 23d for their consideration; and, after warm discussion, it was ratified, with some amendments, on March 10, 1848, by the vote of thirty-eight to fourteen. Ambrose H. Sevier and Nathan Clifford were sent as commissioners to explain the amendments and exchange the ratifications. The amendments were accepted by Mexico,⁴ and on the 30th of May the ratifications were exchanged.

¹ *Ex. Doc. no. 52, Senate, 1st sess. 30th Cong.*, 85. The Mexican commissioners were Herrera, Mora y Villamil, Couto, and Atristain.

² Trist justified this proposed delay by asserting that it would be better for the soldiers to wait till cooler weather before resuming operations. But the army officers did not agree with him on this point. Even H. H. Bancroft, who is a warm advocate for the Mexicans in their controversy with the United States, admits that they prolonged the negotiations in order to gain time for completing military preparations (*Mexico*, v. 494).

³ *Ex. Doc. no. 52, Senate, 1st sess. 30th Cong.*, 231.

⁴ Articles 1 to 4 of the treaty relate to the restoration of peace, the evacuation of Mexico by our forces, etc. Article 5 fixes the boundary. This follows the Rio Grande up to the southern boundary of New Mexico, then follows that boundary to its western termination, runs up the west side of New Mexico to the first branch of the Gila, down that branch to the Colorado, and thence to the Pacific on the division line between Upper and Lower California. Article 6 gives free passage to Americans by the Gulf of Cali-

Our diplomatic relations with Oriental nations should receive notice. In 1832, the President dispatched the ship "Peacock" and the schooner "Boxer" to the southeastern coasts of Asia, to see how far our commerce was exposed to hostile acts in the Asiatic waters. Edmund Roberts, of Portsmouth, New Hampshire, sailed on the "Peacock," with a commission to make commercial treaties with Cochin China, Siam, and Muscat. He failed of success with Cochin China, but in 1833 he made treaties with the King of Siam and with the Sultan of Muscat, securing for us privileges of trade.¹

After some earlier and unsuccessful attempts at opening diplomatic relations with the Ottoman Porte, a treaty was negotiated in 1830 by Commodore Biddle, then in command of our Mediterranean squadron, David Offley, consul at Smyrna, and Charles Rhind of Philadelphia, on the part of the United States, and the Reis Effendi on the part of Turkey.² Privileges of trade and the sending of consuls were agreed on. A secret and separate article made provision for the building of ships and the purchase of ship timber by Turkey in the United States.³ This article was rejected by the Senate, though the treaty was approved.

When David Porter, who was appointed chargé d'affaires, arrived at Constantinople to exchange the ratifications, a discussion ensued, because

fornia and the Colorado River. Article 7 gives Americans free navigation of the Gila and of the Rio Bravo del Norte below the southern boundary of New Mexico. Article 8 gives to Mexicans remaining in the territory formerly belonging to Mexico the right to remain or to remove, and, if remaining, to become American citizens or to remain Mexican citizens, and guarantees respect for all their rights of property. Article 9 insures to such persons religious liberty. Article 11 promises the prevention of incursions of Indian tribes into Mexico. Articles 12 to 15 provide for payment by the United States of \$15,000,000 to Mexico for the territory she surrenders, and of the sums due from Mexico to American claimants. Article 16 reserves to each State the right to fortify any point in its territory. Article 17 revives the treaty of 1831 for eight years, so far as not incompatible with this treaty. Articles 18 to 20 provide for adjustment of customs-duties in the period of evacuation. Articles 21 and 22 provide for settlement of future questions by negotiation and arbitration, if possible, and for certain humane measures in time of war. The 6th and 7th articles were rendered for the most part nugatory by the treaty of 1853, annexing Arizona (Gadsden Purchase) to the United States. So also the 11th article of this treaty and the 31st of the treaty of 1831 were abrogated. The United States, moreover, procured the right of transit across the Isthmus of Tehuantepec.

II. H. Bancroft, in the volume cited (*Mexico,*

v.), gives copious lists of Mexican as well as of American authorities on the Mexican war. He writes with a strong spirit of condemnation of the policy of the United States. Ripley's *History of the War with Mexico*, two vols. (N. Y., 1849), is written in a fair spirit. We are indebted to it, especially for dates, in the above sketch. See also Von Holst, ii. and iii.

¹ The officials whom he met at the coast of Cochin China declined to take his letter to the Emperor until they had seen a copy. He refused to grant their request for a copy, and sailed for Siam. See *Embassy to the Eastern Courts of Cochin China, Siam, and Muscat* (N. Y., 1837), by Edmund Roberts, for details of his mission. He was cordially received in Siam and Muscat.

² A letter from Offley to Van Buren, 1830, cited by J. C. B. Davis in his *Notes* (p. 60), from the MS. in the State Department, states that before 1817, when efforts to secure a treaty began, American commerce in the Turkish territory had been "under the protection of the English Levant Company, for whose protection a consulate duty, averaging one and one fourth per cent. on the value of cargoes inward and outward, was paid."

³ Rhind, by agreement with his colleagues, went alone to Constantinople and conducted the negotiations, while they remained at Smyrna. On their arrival they disapproved of the secret and separate article, but nevertheless signed the treaty, and explained to the Secretary of State their reasons.

he had brought a translation made at Washington instead of the one signed at Constantinople. Four translations had been sent to America, and the French translation by the American negotiators was not the version sent to the Senate, ratified, and taken by Porter to Constantinople. He therefore signed a paper in Turkish, declaring that the Turkish original should be observed in case discussions concerning the meaning of the treaty should arise.¹

China was opened to us by the so-called opium war between her and Great Britain. On May 8, 1843, Caleb Cushing was commissioned as minister to China. He arrived at Macao in the frigate "Brandywine," February 24, 1844. He had prepared a draft of a treaty, and after brief negotiations this draft, without very important modifications, was signed at Wanghia on July 3d.² Perhaps, in all Mr. Cushing's diplomatic career, no work of his was more admirably executed than this. It formed the model which other nations followed in subsequent years in negotiating with China. Its prominent features were these: (1) It made needed arrangements for trading at five ports. (2) It provided for the trial of cases in which Americans were defendants in their consular courts. (3) It secured protection and aid for American seamen wrecked at other places than the five ports. (4) It contained the assent of the Chinese government to the employment of Chinese teachers, which had often been denied. (5) It secured the privilege of procuring sites for business, and also for hospitals, churches, and cemeteries. (6) It declared opium contraband, and left Americans dealing in it to the mercy of the Chinese authorities. (7) It fixed the tariff on imports and exports, of course following the rates secured by the English. (8) It gave the United States, under the most favored nation clause, the advantage of future concessions to any nation.

¹ "It appears from the archives of the Department of State that four translations were sent to America: (1) An English translation from the original Turkish, not verified; (2) a French translation from the original Turkish, verified by Navoni, the American dragoman; (3) another French translation in black ink, with annotations in red ink; (4) another English translation made from the French. The translation which went before the Senate and was acted on by that body was neither of these. No French version appears to have been transmitted to the Senate with the Turkish text, but a new English version, which, from internal evidence as well as from the tradition of the department, may be assumed to have been made in the Department of State, mainly from the French version No. 3." (J. C. B. Davis's *Notes*, 106t.)

In 1868, an important issue was raised under the fourth article of the treaty by the arrest and

imprisonment of two Americans by the Turkish authorities. By the American version of that article, the jurisdiction over the citizens of the United States is lodged in their minister or consul. When the American minister claimed the release of his two countrymen, the Turkish government asserted that in the Turkish copy of the treaty the words under which the minister made his claim were not found. This proved to be true. There has been much discussion between the two governments, in late years, to ascertain what is the true import of the Turkish original, and what is to be done in case American citizens commit offences in the Turkish dominions. Wharton's *Int. Law Digest*, § 165, gives copious extracts from the correspondence of Secretaries Fish, Evarts, Frelinghuysen and Bayard on these subjects.

² For brief sketch of negotiations, see Williams's *Middle Kingdom*, ch. 23.

In 1848, Congress completed the legislation necessary to confer judicial power on American ministers and consuls in China and in Turkey.¹

The visits of our trading-vessels to the northwest coast of America, early in this century, led to diplomatic correspondence with Russia, which caused the negotiation of the treaty of 1824 with that country. In 1808, the Russian government, through Count Romanzoff, the Secretary of Foreign Affairs, represented, at the instance of the Russian-American Trading Company, to Mr. Harris, American consul-general at St. Petersburg, that the citizens of the United States were carrying on a clandestine trade in fire-arms and powder with the natives on the islands of the northwest coast, and asked that Congress forbid this traffic.² Later, in 1810, Russia proposed that, as her vessels were not admitted into Canton, American ships should do the carrying-trade from the northwest coast, while the trade with the natives in fire-arms should be forbidden, but other trade with them should be open.³ Mr. Adams was not ready to enter into any such arrangement, because it was not clear what was the boundary of the Russian territory. Russia had made more or less distinct claims to territory as far south as the Columbia River.

On February 11, 1822,⁴ Poletica, the Russian minister at Washington, sent to Mr. Adams regulations adopted by the Russian-American Company and sanctioned by the Emperor, forbidding to foreigners all commerce, whaling, and fishery from Behring's Straits to the fifty-first parallel of latitude; also from the Aleutian Islands to Siberia, and along the Kurile Islands from Behring's Straits to the South Cape of the Island of Urup; that is, to 45° 50' north latitude. Foreign vessels were prohibited to approach within a hundred Italian miles of the shores. He explained, in answer to Mr. Adams's inquiries as to the grounds of this extraordinary decree of the Emperor, that the fifty-first parallel had been taken as the southern boundary of the Russian possessions in a spirit of moderation, as the line midway between the Russian establishment of New Archangel and the Columbia River; and that the control claimed over the seas was justified as necessary to restrain illicit trade, though the waters might fairly be considered as a *mare clausum*.⁵ Mr. Adams reminded him that in 1799 the Emperor Paul had fixed the fifty-fifth parallel as the southern limit of his possessions, and that "the close sea" was four thousand miles across.⁶ Poletica referred the subject back to his government.

At the suggestion of the Russian Emperor, Mr. Middleton, the minister of the United States at St. Petersburg, was instructed, on July 22, 1823,

¹ Extra-territorial jurisdiction is now lawfully exercised by consuls also in Japan, Siam, Borneo, Madagascar, Persia, Tripoli, Tunis, Muscat, and Morocco. *Revised Statutes U. S.*, §§ 4083-4129.

² *For. Rel.* v. 439.

³ *Ibid.* 456.

⁴ *Ibid.* iv. 856.

⁵ *For. Rel.* iv. 861-2.

⁶ *For. Rel.* iv. 863. In J. Q. Adams's *Memoirs*, vi. 93, it is stated that the Russians borrowed the idea of the one hundred miles' limit from the exclusion in the Treaty of Utrecht of the French fishing-vessels from the waters within thirty leagues of Newfoundland.

to open negotiations concerning the differences which had arisen on the ukase of 1821.¹ His instructions, drawn by Mr. Adams, directed him to deny the claims which Russia had made for territory down to the fifty-first parallel and for the control of the seas; to assert our right to trade with natives in places not occupied by Russia, and our right to fish in the Pacific Ocean and the South Sea; to agree that citizens of both countries should trade in each other's domain only by permission of the authorities; and to accept the fifty-fifth parallel as the boundary line. It was expected that Great Britain would unite with Middleton in forming a joint convention with Russia. But she decided to negotiate separately with Russia, chiefly because President Monroe had announced in his message that the American continent was not to be further colonized by any European powers.² The conference between Mr. Middleton and the Russians began February 9, 1824, and continued about two months. The treaty negotiated fixed 54° 40' as the boundary, the Russians having asked the substitution of that line for the fifty-fifth parallel in order to include in their territory one of the ports they had established. The liberty of navigation and fishing in the Pacific Ocean and the South Sea, and of freely trading with natives at the unoccupied points, and, by the permission of the authorities, at each other's settlements, was secured to both parties. For ten years unrestrained liberty of resorting to any point on the coast for trading was agreed to, but spirituous liquors, fire-arms, and munitions were not to be sold to the natives. Neither power was to search the vessels of the other.

The treaty gave great satisfaction in this country, and was commented on rather enviously in England.³ When the fourth article, securing liberty of trade for ten years, expired by limitation in 1834, Russia declined to renew it, and our vessels were excluded from the ports of Russian America.⁴

In 1832, a commercial treaty was negotiated between Russia and the United States by James Buchanan and Count Nesselrode.

Prolonged discussions with Denmark concerning American claims for spoiliations during the Napoleonic wars were terminated by the treaty of 1830, which that accomplished publicist, Henry Wheaton, negotiated with Count Schimmelmann. The Danish government justified its capture of American vessels under British convoy, and maintained that the condemnation of vessels in Danish prize tribunals must be taken as final. Mr. Wheaton argued with much learning and force against the Danish positions, and secured an indemnity of \$650,000, the first that was obtained of

¹ *For. Rel.*, v. 436.

² Letter from Mr. Rush, London, Jan. 9, 1824, in *For. Rel.* iv. 463. In J. Q. Adams's *Memoirs*, vi. 163, he says that when Baron Tuyl, the Russian minister, came, on July 17, 1823, to learn what instructions he was sending to Mr. Middleton, he told him "that we should contest the right of Russia to *any* territorial establishment

on this continent, and that we should assume distinctly the principle that the American continents are no longer subjects for *any* new European colonial establishments." That is an early statement of the Monroe Doctrine.

³ Schuyler's *American Diplomacy*, 301-2.

⁴ The cession of Alaska to us was made in 1867.

European powers for injuries inflicted on our commerce in the wars of Napoleon.¹ But article five of the treaty declares that this settlement is not to be taken as a precedent.

Mr. Wheaton concluded treaties with Hesse and Württemberg in 1844, and with Bavaria in 1845, all of which abolished the *droit d'aubain* and taxes on emigration.² In 1844, he also concluded a commercial treaty with the German Zollverein, by which duties in each country on certain products of the other were to be reduced. The treaty failed in the Senate. The reason assigned for opposing it was one which has of late years become familiar, — that tariffs should be changed by legislative acts of both houses of Congress, and not by treaty.³

When the kingdom of the Netherlands was set up after the Napoleonic wars, a discussion, which continued for years, was opened between the Dutch minister and the American government on the question whether its treaty of 1782 with the United Provinces was abrogated by the absorption of Holland in the French Empire. The Dutch claim, that it was no longer binding, was finally acquiesced in by the United States.⁴

During the period under consideration in this chapter, commercial treaties, in addition to those already named, were concluded by the United States with nearly all the civilized and semi-civilized nations.⁵

A survey of American diplomatic history from 1789 to 1850 shows that the broad and liberal spirit of the negotiators of the Revolutionary period was shared by their successors. A firm assertion of the rights of neutrals and of the responsibility of belligerents to neutrals; the persistent denial of the so-called right of visit and search of neutral vessels in time of peace, and especially of the exercise of it for the purpose of impressing into foreign service the seamen on board such vessels; the recognition of humane usages in war; efforts to suppress the slave-trade; wise doctrines of contraband and of blockade; advocacy of the abolition of privateering, combined with the exemption of private property on sea from capture, and the declaration that the neutral flag should protect the cargo; generosity towards semi-civilized nations; patient and skilful pressure of demands for justice on strong powers that the United States were not in a condition to coerce; vigilant watching for opportunities to expand the national commerce; just views of the functions of prize tribunals; provisions for the extradition of criminals; a rational interpretation of the "favored nation" clause; an American spirit which has striven to prevent European infringement on the autonomy of American States; a ready and sympathetic welcome to colonies and provinces which had fairly won their independence;

¹ Lawrence's *Wheaton* (ed. 1863), 858 *et seq.* Treaties to settle like claims were concluded with France in 1831, and with the Two Sicilies in 1832.

² A similar treaty was concluded with Saxony in 1845.

³ Lawrence, in his sketch of Wheaton, says that Calhoun, who favored the treaty, informed

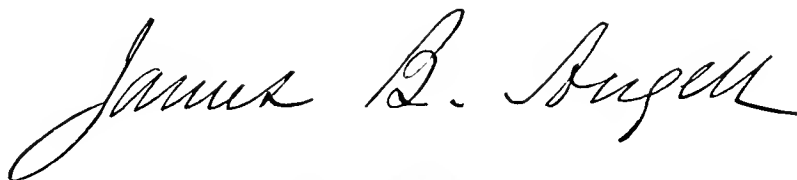
Wheaton that the treaty was defeated "from strictly party motives." Lawrence's *Wheaton* (ed. 1863), p. liv.

⁴ Davis's *Notes*, 648.

⁵ For list of abrogated treaties (except claims conventions), see Wharton's *Int. Law Digest*, § 137 *a.*

timely plans of enlargement of the nation's territory; — all these characterize the diplomatic work of the threescore years we have been reviewing. The beneficent effect of that work has been shared by all nations. The policy of the American people has helped make the international law of the world more humane and just and benign.

Nor are the men who have shaped this policy unworthy to be named with their predecessors of Revolutionary days. Jay, indeed, belongs to both groups. But William Pinkney and the Pinkneys of Carolina, Albert Gallatin, John Nelson, James Monroe, the Livingstons, John Quincy Adams, Henry Clay, Richard Rush, George W. Erving, Henry Wheaton, Caleb Cushing, and Edward Everett are men who need no eulogium to secure recognition of their merits. To this list we must add the names of such Secretaries of State as Thomas Jefferson, Edmund Randolph, Timothy Pickering, James Madison, Martin Van Buren, Daniel Webster, and John C. Calhoun, who did so much to determine the foreign policy of the country. One must close the study of this part of diplomatic history with the proud conviction that the American statesmen whose work we have been tracing have no occasion to fear comparison with the ablest European diplomats of their time. They rendered a worthy service to their country and to all mankind.



EDITORIAL NOTES ON THE SOURCES OF INFORMATION.

THERE is no sustained history of the diplomacy of the United States covering the whole period of the foregoing chapter. Theodore Lyman, Jr., produced *The Diplomacy of the United States, being an account of the foreign relations of the country, from the first treaty with France, in 1778, to the treaty of Ghent, in 1814, with Great Britain* (Boston, 1826), which in 1828 passed to a second edition, taking the narrative to 1828, embracing two volumes. William H. Trescot speaks of it as "an accurate, laborious, and useful book;" but disapproving Lyman's point of

view, Trescot published his *Diplomatic Hist. of the Administration of Washington and Adams* (Boston, 1857), and never carried the narrative farther. The particular subject of *American Diplomacy and the furtherance of Commerce* has been treated by Eugene Schuyler (N. Y., 1886).¹

The documentary resources for the study of the subject are ample, such as the *Messages of the Presidents* and the *Annual Reports of the successive Secretaries of State*; the *American State Papers, Foreign Relations*. The various treaties have usually been printed as ratified,²

¹ There is a condensed sketch of American diplomatic history by J. C. Bancroft-Davis in Lator, iii. 944; and his *Notes on Treaties* are of great importance.

The lives of the successive Presidents; those of the different Secretaries of State (Jefferson, Edmund Randolph, Pickering, Marshall, Madison, Robert Smith, Monroe, John Quincy Adams, Henry Clay, Van Buren, Edward Livingston, Louis McLane, John Forsyth, Webster, H. S. Legaré, A. P. Upshur, Calhoun, and Buchanan), of some of whom there are no extended accounts; and those of the successive ministers to the European courts, are essential sources of more or less of personal detail in the diplomatic history of their terms.

² A collection of *All the Treaties between the United States and Great Britain; from the Treaty signed at Paris, 1763, to the Treaty signed at Ghent, 1814*, was published by order of the House of Representatives of Massachusetts at Boston, 1815.

and the governmental collection covering the whole period is the *Treaties and Conventions between the U. S. and other powers, since July 4, 1776, with notes showing what treaties or parts of treaties have been abrogated, and decisions thereupon* (Washington, 1871; revised ed. 1873).¹

The present authoritative *Digest of the international law of the United States, taken from documents issued by presidents and secretaries of state, and from decisions of federal courts and opinions of attorneys-general*, is edited by Dr. Francis Wharton (Washington, 1886), in three volumes; 2d ed., with Appendix, 1887.²

Jefferson continued his mission in France³ until called home to take his place as Secretary of State in Washington's administration.⁴

Gouverneur Morris had gone to Europe before the organization of the new government (Sparks's *Morris*, i. ch. 18), and during 1789 we have his reports on affairs in France to Washington and to his other American correspondents (*Ibid.* ii. pp. 61, etc.). We find in John Trumbull's *Autobiography* a statement by Lafayette at this time (1789) respecting the condition of France, which was committed to Trumbull to be delivered to Washington.⁵

From January to September, 1791, Morris was in London as the private agent of the government, endeavoring to reach commercial conclusions with England.⁶ In 1792 he was sent to Paris as minister, and continued in that capacity till October, 1794 (*Ibid.* i. ch. 20, 21), when he had made himself objectionable to the French government, and Monroe was sent to succeed him.⁷

There are abstracts by Washington of the letters sent to Monroe (1794-1795) in Sparks's *Washington*, x. 474.⁸ A *Memoir of James Monroe, relating to his unsettled claims upon the people and government of the United States* (Charlottesville, Va., 1828), throws light upon Monroe's diplomatic service, largely through the documents included. Sparks (*Washington*, xi. 483) prints the opinions of the cabinet (July, 1796) advising Monroe's recall.⁹

We have various contemporary sketches of the progress of events as affecting the relations of the United States and France.¹⁰

We best trace the development and progress of the influence of the French Revolution on the politics of the Washington and Adams administrations in the writings and lives of Jefferson and Madison, on the side of the anti-Fed-

¹ For the principal collection of treaties, see *ante*, p. 82.

² Leone Levi's *International Law* (Intern. Scien. Series, N. Y., 1888) gives the principal works on the subject from Vasquez (1500-60) down; and he particularly groups the fishery clauses in treaties (ch. 12).

³ *Ante*, p. 235. His letters are in his *Writings* (vols. i.-iii.; cf. also Randall's *Jefferson*, i.).

⁴ The correspondence of Jefferson (1791-1793) as Secretary of State with George Hammond, the English envoy, was printed as *Papers relative to Great Britain* [Philad., 1793] and *Authentic copies of the Correspondence*, etc. (London, 1794).

⁵ Cf. Rosenthal's *America and France*, ch. 4, 5. Cf. *Ibid.* p. 264, for the influence of Joel Barlow in France at this time. He was made a citizen of France in Feb. 1793.

⁶ We have the attendant correspondence in Sparks's *Gouverneur Morris*, i. ch. 18; ii. 1-57. Cf. Sparks's *Washington*, i. ch. 19; Hildreth, iv. 133; Parton's *Jefferson*, ch. 45; Roosevelt's *G. Morris*.

⁷ McMaster, ii. 256; Schouler, i. 317; Hildreth, iv. 645.

⁸ Cf. Sparks's *Gouverneur Morris*, i. ch. 22; Rives's *Madison*, iii. 422, 527, 571; Gilman's *Monroe*, ch. 3, with bibliographical references, p. 258.

⁹ Cf. Hildreth, v. 97; McMaster, ii. 321. Monroe sought to vindicate himself in his *View of the Conduct of the Executive in the Foreign Affairs of the United States, connected with the Mission to the French Republic in the years 1794, '5, and '6. Illustrated with his Instructions and Correspondence and other Authentic Documents* (Philad., 1797; London, 2 eds., 1798). Washington's animadversions in his own copy (now in Harvard College library) are given in Sparks (xi. 228, 504), to be supplemented by his notes on Monroe's Appendix, given in Gilman's *Monroe*, App. iii. A pamphlet in response to Monroe, called *Scipio's Reflections on Monroe's View*, etc. (Boston, 1798), published "to promote the cause of federation and good government," was first printed in the *Federal Gazette* in Boston, and is usually ascribed to Uriah Tracy, of Connecticut (*Brintley Catal.*, iii. no. 4,836), though it has sometimes been assigned to Hamilton. Trescot (p. 168) holds that Monroe was not justified in such explanations, even in his own defence, but should have abided the due coming of vindication. Cf. McMaster, ii. 335. New light has been thrown on Monroe's term in Paris in the researches of E. B. Washburn in the *Foreign Relations of the United States*, 1876, p. 120. Cf. Rosenthal's *America and France*, p. 295.

¹⁰ William Duane's *Hist. of the French Revolution, with a free Examination of the Dispute between the French and American Republics* (Philad., 1798). J. Dennis's *Address on the Origin, Progress, and Present State of French Aggression* (Philad., 1798). S. C. Carpenter's "view of the rise and progress of French influence and principles" in his suppressed *Memoirs of Jefferson* (N. Y., 1800). Robert Walsh's *Enquiry into the Past and Present Relations of France and the United States* (London, 1811), reprinted from the *Amer. Review*, then edited by Walsh (cf. Allibone). Camillus's *Hist. of French Influence in the U. S.* (Phil., 1812).

For later treatment, see Trescot (ch. 3); Sullivan's *Pub. Men* (pp. 80, 102, 111); Schouler, i. 351, 357; Hildreth, vi. 215; McMaster, ii., with much detail; Upham's *Puckering*, vol. iii.; Parton's *Burr*, i. ch. 13, etc.

eralists; and we find the steady efforts at repressing this influence, in the writings and lives of Washington, Hamilton, and John Adams, — not to name others on either side.

The most virulent and probably effective pamphleteering was done on either hand by William Cobbett and Thomas Paine.¹

Washington submitted the question of his proclamation of neutrality to his cabinet,² and its publication led to a pamphlet controversy between Pacificus (Hamilton) and Helvidius (Madison).³

The official sources on the mission of Genet are the *Messages* of Washington, Dec. 5, 1793, with accompanying documents,⁴ and later *Messages*, with document, of Jan. 15 and 16, 1794; beside the correspondence between *Genet and*

the Federal Government, [with his] instructions (Philad., 1793).⁵

How far Jefferson's approval of Genet went is a question on which authorities differ.⁶

As the French and anti-French factions grew warm, Freneau's *National Gazette* became the favorite channel of the attacks on the administration,⁷ and Fenno's *Gazette of the U. S.* that of the defence. In this last paper, John Adams, then Vice-President, began the publication of a series of papers in 1790, calculated, as the rising Republicans then thought, to enforce the argument for monarchy. "They were stimulated mainly," says his biographer (Adams's *Works*, vi. 225), "by the manifest tendencies of the revolution in France; but mediately by the publication of the Marquis Condorcet, entitled *Quatre*

¹ The *Porcupine's Works* of Cobbett, as published in 1801, contain much of this sort of offensive warfare. Here we find (vol. iv.) his *Diplomatic Blunderbuss* (originally Philad., 1799), in which, in a preface, he arraigned the *Notes of Adet* and his cockade proclamation; his severities on Monroe's mission (vols. v., vi., vii.), and (vol. x.) Dr. Jedediah Morse's exposition of French intrigue. Cf. Morse's *Thanksgiving Sermon* (2d ed., Boston, 1790, App.).

See account of Porcupine in McMaster, ii. 206. Cobbett also published John Lowell's *Antigallican; or, the lover of his own country; in a series of pieces partly heretofore published and partly new, wherein French influence and false patriotism are fully and fairly displayed, by a citizen of New England* (Philad., 1797). There was nothing that was more incensing to the supporters of Washington's administration than the *Letter to George Washington on affairs public and private*, which Thomas Paine sent from Paris to be printed in Philadelphia in 1796 (also Baltimore, 1797, etc., and Paine's *Works*, Philad., 1834, vol. i.).

Among the replies are: P. Kennedy's *Answer to Mr. Paine's letter to General Washington, or Mad Tom convicted of the blackest ingratitude* (London, 1797; Philad., 1798). An American Citizen's *Letter to Thomas Paine*, N. Y., 1797. *A Five minutes' answer to Paine's Letter* (London, 1797). On Paine's influence in Paris, see Rosenthal's *America and France*, p. 269.

Washington denounced in his message to Congress, Nov., 1797, the Jacobin societies and their excesses. Cf. Jay's *Jay*; Schouler, i. 283; McMaster, ii. 205; and the note to Jedediah Morse's *Thanksgiving Sermon* (Boston, 1799).

² Sparks, x. 533. Cf. C. F. Adams's *Struggle for Neutrality in America* (N. Y., 1871, p. 11), and Dawson's adverse review in *Hist. Mag.*, Feb., 1871.

³ Ford's *Bib. Hamil.*, pp. 48, 49. Hamilton's paper was appended to the 1802, 1818, and other editions of *The Federalist*, and is of course in his *Works*. The Helvidius letters, beside being published separately (Philad., 1796), are included in Madison's *Letters*, i. 607. Cf. his letter to Jefferson in *Ibid.* i. 581; and Rives's *Madison*, iii. 325.

⁴ Philad., 1793; reprinted, London, 1794, without the documents; Philad., 1795; also Sparks, xii. 96.

⁵ Cf. Gibbs's *Administrations of Wash. and Adams*, i. ch. 4; Hildreth, iv. 411; Schouler, i. 241, etc.; Tucker, i. 504; McMaster, ii. 98, 141; Von Holst, i. 112, etc.; Hamilton's *Works*, iv. 560; Morse's *Hamilton*, ii. ch. 3; Sparks's *Washington*, x. 534; Marshall's *Washington*, ii. 270; Irving's *Washington*, v. 147; Wells's *Sam. Adams*, iii. 320; Madison's *Letters*, i. 506; *Life*, by Rives, ii. 322; Austin's *Gerry*, ii. ch. 5; *Hist. Mag.* x. 329; xii. 154; xix. (Feb. 1871); Sparks's *G. Morris*, ii. 288; Jay's *Jay*, i. 208.

⁶ Hildreth (iv. 413) and Randall (ii. 137). We have a comparison of judgments in Von Holst (i. 113). See Jefferson's own expressions in his *Works*, iii. 563; iv. 7-100; ix. 140-180, 438; and further, in Parton's *Jefferson*, ch. 40, 50 (with Parton in the *Atlantic*, xxxi., on Genet's exploits); C. DeWitt's *Jefferson*, tr. by Church, pp. 195, 414.

There are accounts of the banquet given to Genet in Philadelphia, in Westcott's *Philad.*, and in Chas. Biddle's *Autobiog.* (p. 253). We get a glimpse of the terrorism which Genet's upholders worked up in John Adams's letter to Jefferson, in 1813 (Adams's *Works*, x. 47). Cf. McMaster, ii. 169.

For the trials for fitting out privateers, see Wharton's *State Trials*, 40, 95, 93, 185. Cf. Judge Iredell's charge, June 2, 1794, in McKee's *Iredell*, ii. 410.

For Genet's efforts to induce the Kentuckians to invade Louisiana, see *Amer. State Papers, For. Rel.*, i. 454; Pitkin, ii. 350; McMaster, ii. 141; histories of Kentucky, by Marshall, ii. 69; Butler, 2d ed., 224, 524; Shaler, 128; Albach's *Annals*, 663; *Mag. Western Hist.* i. 373.

For something of Genet's later history, see Mrs. Bonney's *Hist. Gleanings*, i. 150, etc.

⁷ On the slanderous attacks on Washington at this time, see Hildreth, v. 43; McMaster, ii. 111, 289; Barry's *Mass.*, iii. 328.

lett. d'un Bourgeois de New-Haven sur l'unité de la législation."¹ Adams spoke, many years afterwards, of the courage he had to oppose his opinions to the universal opinions of America, and that no one man in America then believed that he had caught the true meaning of the revolution in France. He explained also the abrupt ending of the papers to be, because "the rage and fury of the Jacobinical journals increased as they proceeded, intimidated the printer, and convinced me that to proceed would do more hurt than good" (Adams's *Works*, vi. 272). Adams protested, years afterwards (*Ibid.*, x. 54), in a letter to Jefferson, that the *Discourses* contained not one sentiment which, by a fair construction, could favor the introduction of a hereditary monarchy into America. The papers called *Discourses on Davila* were in shape of comments on Henrico Caterino Davila's *Dell' Istoria delle Guerre Civili di Francia*,² a record of the civil convulsions in France in the sixteenth century. Meanwhile, Edmund Burke published in London his *Reflections on the French Revolution*, and it reached Philadelphia only to find a ready response in the minds of the Federalists. Tom Paine had answered it in his *Rights of Man*, and this rejoinder speedily followed across the Atlantic the essay which occasioned it, and found as ready a welcome among the Republicans, upon the first copy coming to Philadelphia in May, 1791. When it passed into the hands of a printer for republishing, a private note of Jef-

erson commending the publication accompanied it, and was used, without authority, as a sort of introduction to the republication; and by this means Jefferson was publicly committed, as his opponents held, to the approval of Paine and his principles.³ Another reply to Burke⁴ had publicly brought upon its author, Joseph Priestley at Birmingham (England), the indignation of his neighbors, which resulted in riots and the flight of the offender, who in 1794 found it most agreeable to come to America.⁵ Here the Republicans hailed him, but the Federalists looked gloomily askance, and he barely escaped another enforced migration under the alien and sedition laws. Peter Porcupine held him up to detestation, and he was not unconscious of the dangerous exposure to which his opinions subjected him.⁶ Priestley saw that Adams, in the height of the indignation against France, in answer to the numerous addresses made to him, went too far in their direction, and wrote to a friend (May 31, 1798) that "there cannot be any good understanding with France while he is President."⁷

As the dispute had deepened, the administration found no stronger defender than Robert Goodloe Harper of South Carolina, a State, as he said, "at one time the most devoted to the French interests of any in the Union;" and in explanation of his course of refusing longer to abide the dictation of France, he addressed his constituents in May, 1797, in *Observations on the dispute between the U. S. and France*.⁸ The Bos-

¹ Included in Mazzer's *Recherches historiques et politiques sur les Etats-Unis*, vol. i. Cf. Rosenthal, p. 157.

² Adams seems to have used a French translation made by the Abbé Maliet (Amsterdam, 1757), as a copy with his notes is in the library at Quincy, showing marks of consultation, which do not occur in Aylesbury's English translation (London, 1647) in the same collection.

Adams's *Discourses* were gathered in Boston in 1808, and published in a volume under the editing of some one unknown to Adams; and his copy of this edition has his own marginal notes, made in 1812-13, which have been used in annotating the text in Adams's *Works*, vi.

³ Garland's *Randolph*, i. 54. The implication in Jefferson's note, thus published, of his detestation of the views of the author of Davila inevitably drew very strongly the lines of division between the Vice-President and the Secretary of State. Jefferson protested to Adams, in a private letter, that he meant no reference to him; but he wrote to Washington that he did mean to refer to Adams, and the world now knows it (Adams's *Works*, i. 618).

The controversy was further complicated when John Quincy Adams, then a young lawyer in Boston, printed the letters of *Publius* in a Boston paper, giving a new blow to the Jeffersonian faction, and the influence of these papers increased as they were gathered and reprinted in New York, Philadelphia, and London.

⁴ *Letters to Edmund Burke* (Birmingham, 1791).

⁵ Parton's *Jefferson*, ch. 52.

⁶ He writes to George Thacher of Massachusetts that it is to him alone that he ventures to express his political views by letter, and Thacher was of quite the other party in politics. Even to him he says but little, as the letters printed in the *Mass. Hist. Soc. Proc.* (June, 1886) show. Cf. *Memoirs of Dr. Priestley to the year 1797, written by himself, with a continuation to the time of his decease, by his son* (Northumberland, 1800, in 2 vols. For references, see Allibone, ii.

⁷ *Mass. Hist. Soc. Proc.*, June, 1886, p. 21. There was much in the decided frankness of Adams that pleased Priestley. "I like him better than your late President. He is more undisguised. We easily know what he thinks and what he would do; but I think his answers to several of the addresses are mere intemperate railing, unworthy of a statesman." *Ibid.*, p. 26.

⁸ Philad., 1797, 1798; London, 1797, 1798; Boston, 1798; in French, Londres, 1798; and in Harper's *Select Works*, Baltimore, 1814.

ton edition had a preface aimed at Jefferson's subserviency to French influence, and included Harper's speech of March 2, 1798, on the Foreign Intercourse Bill, in which he had replied to Gallatin's speech of the previous day, which last we have in various editions.¹ Harper, a few months later, published *A Short Account of the principal proceedings of Congress in the late session, and a sketch of the state of affairs between the U. S. and France, in July 1798* (Philad., 1798).

The despatch of Fauchet, which had been sent to the French government, and which seemed to imply that Edmund Randolph, Washington's Secretary of State, was in French pay, and to intimate that the purpose of Washington was to establish a monarchy, having been intercepted by the British, was sent to Hammond, their minister in Philadelphia, to use as he best might.²

Randolph's *Vindication*³ of himself did not satisfy the Federalists, then in the midst of party passion, and is not altogether satisfactory to later cooler judgments; but Trescott (pp. 159, 161) claims that "the misconstruction of Randolph's conduct has not received historical sanction." He charges Gibbs (*Administrations of Washington, etc.*) in what he says of the matter, with "malicious ingenuity."⁴

Fauchet published, after his return to France, a *Coup d'œil sur l'état actuel de nos rapports politiques avec les États-Unis* (Paris, an V—1797), which, translated by William J. Duane, appeared

as *A sketch of the present state of our political relations with the United States* (Philad., 1797).⁵

Of the Jay treaty of 1794,⁶ the edition printed at the time: *Treaty of amity, commerce, and navigation, between His Britannic Majesty and the United States of America, conditionally ratified by the Senate of the United States, at Philadelphia, June 24, 1795* (Philad., 1795), has annexed "a copious appendix," intended to place before the public the leading arguments for and against it.⁷

A more extensive collection of the divergent criticism on the treaty was published at the same time by Matthew Carey as *The American Remembrancer; or, An Impartial Collection of Essays, Resolves, Speeches, etc., relative, or having affinity, to the Treaty with Great Britain* (Philad., 1795), in three volumes. The most important support came, however, from a series of papers, *A Defence of the Treaty* (N. Y., 1795), of which the introductory paper, signed Curtius, was probably written by Rufus King; but the signature of *Camillus*, attached to all the others, marks the author of most of them as Hamilton, though Jay is said to have given countenance to the series, if not to have had an actual share in the writing. Ames said of them, "Camillus holds up the ægis against a wooden sword."⁸

The speech of Madison was the one most conspicuous in disapproval. Ames (*Works*, i. 189) wrote of him, "Conscience made him a coward; he flinched from an explicit and bold creed of anarchy."⁹

¹ Cf. Addison's *Observations on Gallatin's Speech*, 1768; and lives of Gallatin by Adams and by Austin. Gallatin had printed *An Examination of the Conduct of the Executive of the U. S. towards the French Republic* (Philad., 1797), in which he denounced "the cloven foot of the British faction." The Thomas Paine of Boston, who later changed his name to Robert Treat Paine, to avoid being confounded with the English Tom Paine, took high Federalist views in his *Oration* at Boston, July 17, 1790, on the dissolution of the treaties with France.

² Sparks's *Washington*, xi. 52, 90; Upham's *Pickering*, iii. 210, 228; Garland's *Randolph*, i. 85; Sullivan's *Public Men*, 97; McMaster, ii. 231; Hildreth, iv. 357; Irving's *Washington*, v. ch. 28; *Mag. Amer. Hist.*, 1885, p. 589.

³ The *Vindication of Mr. Randolph's Resignation*, written by himself (Philad. 1795), was reprinted (Richmond, 1855), edited by P. V. Daniel, Jr. Randolph's defence gave occasion to *Political Truth . . . an inquiry into the charges against Mr. Randolph* (Philad., 1796), and to Cobbett's *Observations on Randolph's Vindication* (Philad., 1796).

⁴ Randolph has been freshly vindicated by M. D. Conway in his paper on "A Suppressed Statesman of our Early Republic," in *Lippincott's Magazine*, xl. 420.

⁵ An American merchant in Paris answered the ex-minister in *L'indépendance absolue des Américains des États-Unis prouvée par l'état actuel de leur commerce avec les nations européennes* (Paris, 1798).

⁶ *Treaties and Conventions*, p. 318; Martin, v. 641; *Annual Reg.*, 1795.

⁷ This contains Curtius's "Vindication of the Treaty," which was written by Noah Webster, except nos. 6 and 7, the production of James Kent; and as an offset a paper called "Features of Jay's Treaty," taken from the *Amer. Daily Advertiser*, which had been written by A. J. Dallas (*Life and Writings of A. J. Dallas*, p. 51). Dallas had also drawn up the antagonistic memorial of the citizens of Philadelphia. The Appendix further contained a "View of the Commerce of the U. S.," taken from the *Philad. Gazette*.

⁸ Ford's *Biblio. Hamiltoniana*, no. 58; Lodge's *Cabot*, 84; John Adams's *Works*, i. 485; ii. 105; J. C. Hamilton's *Hamilton*, vi. 273; Hamilton's *Works*, vii. 172; Morse's *Hamilton*, ii. ch. 5; Lodge's *Hamilton*, ch. 6; McMaster, ii. 251. An *Examination of the Treaty by Cato* (N. Y., 1795), though ascribed to Hamilton, is thought to have proceeded from R. R. Livingston.

⁹ The speech is in Moore's *Amer. Eloquence*. A long letter by Madison, Aug 23, 1795, analyzes the treaty (*Letters*, ii. 46; his opinion in 1823, *Ibid.* iii. 297). Cf. Rives's *Madison*, iii. 412, 490, 502, 504.

In Feb., 1796, Washington issued his proclamation that the treaty was in force, and on the question of appropriating money to carry out its provisions there was a protracted discussion in the House, touching the power of that body over treaties. Washington, to signify his sense of their unwarranted interference, retused (Mar. 30, 1796) to transmit to the House the instructions under which Jay had acted, though he made them public.¹

Timothy Pickering had become Washington's Secretary of State, Dec. 10, 1795, and he continued in Adams's cabinet till May, 1800, and upon him devolved the official intercourse with the French minister, Adet. Their respective letters are in the *Review of the Administration*

of the government since the year 1793, or Correspondence between the Secretary of State and the French minister on that subject (Boston, 1797).²

For the negotiations with France in 1798, the American case, in the form of a letter to Talleyrand, Jan. 17, 1798, was written by Marshall and revised by Gerry, and is given in Waite's *State Papers*, iii. 219.

Adams's *Message* to Congress, April 3, 1798, covers all the essential documentary proofs.³ Marshall kept a journal during the negotiations, and this was used by Austin in his *Gerry* (cf. ii. 203), and Pickering had it when writing his *Review of the Adams-Cunningham correspondence* (p. 118), where he examines the conduct of Gerry. Pickering made his *Report on the mission* as Secretary of State, Jan. 21, 1799, which,

The debates on the ratification are given in *Annals of Congress* (1795-96), pp. 4-6, 975; Benton's *Debates*, i. 630-754. Cf. Hildreth, iv. ch. 8; McMaster, ii. 215; Stevens's *Gallatin*, 113. Fisher Ames chronicles in his letters some of the phases of the discussion (*Works*, i. 183). The most effective speech was that of Ames, which has generally been considered as saving the treaty (*Speeches in Congress*, 116; *Works*, ii. 37; Frank Moore's *Amer. Eloquence*, vol. i.; Johnston's *American Orations*, vol. i.). The speech is said to have been written out from memory by Samuel Dexter, and corrected by Ames (*Life of Jeremiah Smith*, p. 97). On the effect of the speech, see Sparks's *Washington*, xi. 127; *Memoir of Jeremiah Mason*, 30; *Amer. Antiq. Soc.*, Proc., April, 1887, p. 374; McMaster, ii. 277; Schouler, i. 313; Rives's *Madison*, iii. 503.

¹ Sparks's *Washington*, xii. 112; Randall's *Jefferson*, ii. 280; Hildreth, iv. 585; McMaster, ii. 263. The discussion was published as *Debates on the constitutional powers of the house with respect to treaties and upon the British Treaty* (Philad., 1796), in two parts; called, in the second edition, *Debates upon questions involved in the British treaty of 1794* (Philad., 1808), in two vols.

For symptoms of the widespread dissatisfaction, see Hildreth, iv. 547; Schouler, i. 280; McMaster, ii. 247; Von Holst, i. 124; Randall's *Jefferson*, ii. 205; Rives's *Madison*, iii. 511, 511; Parton's *Jefferson*, 513; Sullivan's *Public Men*, 64, 102; *Memorial Hist. Boston*, iii. 204; Wells's *Sam. Adams*, iii. 350; C. F. Adams's *Struggle for Neutrality in America* (N. Y., 1871), p. 21. Cf. Jetterson's *Works*, iv.

The breadth of the opinions in defence of the treaty can be seen in Jay's *Life of Jay*, and in the lives of him by Flanders and Whitelock, and also in some letters sent by him to Washington (Sparks, xi. 481-82); R. G. Harper's *Address to his Constituents* (N. Y., 1799), and in his *Select Works* (Baltimore, 1814, p. 1); Gibbs's *Adm. of Washington*, etc., i. ch. 8-9; J. H. Moulson's *Jeremiah Smith*, ch. 4. Cobbett represents the extreme Federal pro-Anglican view (*Porcupine's Works*, ii.); and in his *Little plain English on the Treaty* (Lond. and Philad., 1795), in answer to *Letters of "Franklin"* (Philad., 1795). Cf. the younger James Bowdoin's *Opinions Respecting the Commercial Intercourse between the United States and Great Britain* (Boston, 1797), and Oliver Wolcott's *British Influence on the Affairs of the United States proved and explained* (Boston, 1804), in which it is claimed that it was the action of Virginia that earlier stood in the way of settling the question of the collection of British debts under the treaty of 1782-83.

In general, see Trescott, ch. 2; Schouler, i. 289, 309; McMaster, ii. ch. 9; Von Holst, i. 212; *Washington*, by Marshall, 2d ed., ii. 391; Sparks, xi. 32; Irving, v. ch. 27, 29; Sparks's *G. Morris*; Garland's *Randolph*, i. 79; *Life of Pickering*, iii. ch. 5; and the succinct sketch in Lalor, ii. 634. Col. John Trumbull was Jay's secretary in London, and afterwards a commissioner under the treaty. Cf. his *Autobiog.*, ch. 12, 14; and Wheaton's *Pinkney*. It is not easy, at this length of time, for comments on the treaty to be always in unison. Cf. J. K. Hosmer's *Sam. Adams*, 406; and S. H. Gay's *Madison*. There are in Harvard College library two volumes of the opinions (in MS.) given in 1797 by the commissioners under article vii. of the treaty, on the case of the "Betsy" and various other vessels.

² Cf. Upham's *Pickering*, iii. 353. This diplomatic fence also includes the *Notes adressées par le citoyen Adet au Secrétaire d'Etat des États-Unis* (Philad., 1796; and in English, Philad., 1796, and N. Y., 1796). Pickering's letter to C. C. Pinckney, then minister to France, in reply to Adet's charges, was republished in French at Paris, 1796, and Pickering's letter later gave occasion to C. C. Tanguy de la Boissière's *Observations sur la déf. he écrite le 10 Jan. 1797 à M. Pinckney* (Philad., 1797; and in English, Philad., 1797).

³ They are included in the *Executive Docs. Fifth Congress* (Philad., 1798), and in *Authentic Copies of the Correspondence of Charles Cotesworth Pinckney, John Marshall, and Elbridge Gerry, Esqres., Envoys Extraordinary and Ministers Plenipotentiary to the Republic of France, as presented to Congress April 3, 1795* (London, 1798). Cf. *State Papers, For. Rel.*, ii. 153.

with the *Message of Adams*, Jan. 18th, and the accompanying documents, constitute the final developments.¹

Austin (*Gerry*, ii. 301) says that it was in consequence of Adams's interviews with Gerry, during the summer of 1798, that the President determined to try a new mission to France, upon which followed the great outbreak in the Hamiltonian wing of the Federalists.² Adams was always strenuous in his defence of his course.³ The resulting convention of Sept. 30–Oct. 3, 1800, is in the *Statutes at Large*, viii. 178; *Treaties and Conventions*, 206.⁴

The claims of American citizens for losses inflicted by cruisers under the French Republic

were, under this convention, reserved for future settlement; but under the treaty for the purchase of Louisiana, three years later, they were assumed by the United States up to the amount of 20,000,000 livres.⁵

As regards the attempted treaty with England in 1806–1808, the instructions to Monroe and Pinkney, May 17, 1806, with supplemental instructions, Feb. 3 and May 20, 1807, are in *St. Papers, For. Rel.*, iii. 119, 153, 166.

Final instructions, March 23, 1808, accompany the President's message of that date, which conveyed to Congress the information that Canning had declined to reopen the negotiation.⁶

Monroe, on his return, drew up a defence in a

¹ Cf. *Ex. Docs. Fifth Cong., third session* (Philad., 1799); *Amer. State Papers, For. Rel.; Statesman's Manual*, i. 110. A history of the mission, in defence of Gerry, is given in Austin's *Life of Gerry* (ii. ch. 5–8). Prescott (p. 103) does not hold this defence satisfactory. Cf. Hildreth, v. 123, etc.; Schouler, i. 373; Magruder's *Marshall*, ch. 7; Jay's *Jay*; Parton's *Jefferson*, ch. 57; Randall's *Jefferson*, ii.; Jetterson's *Works*, iii., edit. 1830, p. 384; Morse's *John Adams*, ch. 11; C. F. Adams's *John Adams*, i. ch. 10; viii. 546–681; ix. 10–307; Lodge's *Hamilton*, 203; Lyman, i. ch. 8; Garland's *Randolph*, i. 102; Gibbs's *Adm. of Wash.*, etc., ii. 13, for the anti-Adams view. Johnston (Lalor, iii. 1122) gives a good succinct account. On the X Y Z letters, see particularly Hildreth, v. 203, 253; Schouler, i. 373, 387; McMaster, ii. 309; Von Holst, i. 138; Tucker, i. 507; ii. 71; Marshall's *Washington*, ii. 424; Garland's *Randolph*, i. ch. 19; Benton's *Debates*, ii. 225; Waite's *State Papers*, 2d ed., ii. 187; iii. 436; iv. i.

It was in reference to this attempted extortion on Talleyrand's part that C. C. Pinckney, at a dinner given to Marshall on his return, said: "Millions for defence; not a cent for tribute." Prescott (p. 186) speaks of the account of Talleyrand in the *Biographie Universelle* as being a more unscrupulous attack than is found in even American histories, on Talleyrand's character as developed in the correspondence of his creatures, Hottinguer, Bellamy, and Hautval.

² Cf. *Works of Hamilton*; the letters of Pickering (*Sparks's Washington*, xi. App. 21, — also Upham's *Pickering*, iii. 430) and McHenry (*Sparks*, xi. App. 21); the *Works of Fisher Ames* (i. 252, etc.), — not to name other of the Federalist leaders of that temper.

³ Cf. his *Boston Patriot* letters, reprinted in his *Works*, ix. 241; also *Ibid.* x. 113, 148; C. F. Adams's *Life of J. A.* in *Ibid.* i. 549; Morse's *John Adams*, 303, 308, and the same writer's view of the case in his *Life of Hamilton*, ii. 277. Cf. further in Lodge's *Hamilton*, 217; Lodge's *Cabot*, ch. 7; Hildreth, v. 255, 387; Schouler, i. 443, 479; McMaster, ii. 420. The President's messages to Congress during 1799 and 1800 show his attitude, as in those of Feb. 18, Dec. 5, 1799, and Dec. 15, 1800, with correspondence (the last is in *State Papers, For. Rel.*, ii. 205).

⁴ Cf., on the peace, John Adams in *Works*, x. 113, 115, 120, 148. The contemporary documentary repository of these prolonged negotiations with France, the *Actes et Mémoires concernant les négociations qui ont eu lieu entre la France et les Etats-Unis, 1793–1800* (Londres, 1807), in three vols., was reissued with an English title, *State Papers relating to the Diplomatick transactions between the American and French governments, 1793–1800. Collected by A. G. Gebhardt* (Lond., 1810). The documents of this collection are in the languages in which the papers were originally written.

⁵ The long struggle of claimants for indemnity for these and later losses has produced a mass of Congressional documents, speeches, pamphlets, etc., a large part of which are enumerated in a bibliography in the *Boston Public Library Bulletin*, 1885, pp. 303–402. A considerable part of them refer to claims for losses after 1806, under the Napoleonic wars, and coming within the treaty with France, signed July 4, 1831, by which France agreed to pay 25,000,000 francs. Cf. B. P. Poote's *Descriptive Catal.*, index, p. 1370; Lyman's *Diplomacy*, ii. ch. 7; Benton's *Debates*, and his *Thirty Years*, ch. 117, etc.; *Niles's Reg.*, xliiii. 6; xlvii. 455; Schurz's *Henry Clay*, ii. ch. 10; Parton's *Jackson*, iii. ch. 40; Sumner's *Jackson*, 170, 343; Curtis's *Buchanan*, i. 235–280; Hunt's *Edw. Livingston*, ch. 17.

One of the most important of the documentary depositories is Lewis Goldsmith's *Exposition of the Conduct of France towards America*, illustrated by *Cases [1793–1800] decided in the Council of prizes in Paris* (London and New York, 1810), which aimed to show that France was more hostile to America than England.

How Perry with a fleet collected the claims for spoils of Naples is told in Griffis's *M. C. Perry*, ch. 11.

⁶ The course of negotiations is followed in Hildreth, v. 053; Schouler, ii. 137; Gilman's *Monroe*, 66, 257; Wheaton's *Pinkney*; Pinkney's *Pinkney*, 136; Carpenter's *Jefferson* (1806). The treaty is contained in *All the treaties between the U. S. and Great Britain, 1753–1811, including the convention between Mr. King and Lord Hawkesbury, and Monroe and Pinkney's treaty re-acted by Jefferson* (Boston, 1815). It is also given with the accompanying papers in *State Papers, For. Rel.*, iii. 142–153.

letter to Madison, dated Richmond, Feb. 28, 1808.¹

The negotiations with Erskine, the British minister at Washington, can be followed in the *State Papers, For. Rel.* iii. 158, 209, where he exchanged views with Madison relative to the French decrees and the British Orders in Council. (Cf. Hildreth, vi. 167.) *The Correspondence of Erskine and Smith, accompanying the President's message of Nov. 20, 1809*, was issued "in anticipation of the mail" at the *Boston Gazette* office, Dec. 4, 1809, in a pamphlet. This was the message announcing the failure of the British government to approve the understanding reached by Smith and Erskine.²

On the burdens of the mercantile restraints at this time, Hildreth (vol. v., vi.) and Schouler (vol. ii.) are the only general historians worth the student's attention. Some of the contemporary spirit is easily traced in such works as the *Annual Register* and Carey's *Olive Branch*. Barry's and other histories of Massachusetts, and those of the other New England States, record the feelings under the commercial straits of the times, when they were felt most. A few leading biographies, like Curtis's *Webster* (i. 91), necessarily touch it. Of William Gray, the merchant most extensively engaged in commerce

of any, there is no adequate biography. He bravely stood up for the administration through it all, and became lieutenant-governor under Gerry (*Mem. Hist. Boston*, iv. 158).

The question of the rights of neutrals, whether in the abstract or in the circumstances then surrounding the question, and as finally complicated by the preposterous counteractions of Great Britain and France, had run down all the years from 1791 to the outbreak of the war in 1812. Jefferson, in his message of Dec. 23, 1808, had included copies of all the acts affecting the commercial rights of neutrals, from 1791 to that time; and for the same period Tench Coxe published *An Examination of the Conduct of Great Britain respecting neutrals since 1791* (Boston, 1808, a 2d ed. with corrections).

Perhaps the most important of the earlier expositions of the American view of neutral rights emanated from Marshall, as Secretary of State, in 1800, in his instructions to Rufus King, as minister to England, and in other papers (*State Papers, For. Rel.* ii. 486, etc.). It is worth while to compare Henry Brougham's speech in the Commons against the Orders in Council (London, 1808), which in a Boston edition (1808) is introduced by a preface holding that the American view had found an able defender.³

¹ *State Papers, For. Rel.* iii. 173. Cf. *Correspondence between the President and Monroe* (Boston, 1808) and as issued at Portland, Me., in 1813, *To all who are honestly searching after Truth. Mr. Monroe's Letter . . . also the treaty itself and documents connected with it.*

The despatches of Monroe and Pinkney, beginning April 15, 1804, are in the *State Papers, For. Rel.* iii. pp. 61, etc., where will also be found some of the correspondence between the American and British negotiators, as well as despatches of Secretary Madison to Monroe; Jefferson's message of Dec. 18, 1806, with accompanying correspondence (*Ibid.* iii. 202); and an account of the negotiations submitted April 22, 1807 (*Ibid.* iii. 166). Madison's comments on the treaty, May 22 and July 30, 1807, are also included (*Ibid.* iii. 183, 185).

Reference may also be made to Jefferson's message on British aggressions, Jan. 17, 1806; Madison's report on the oppressive conduct of the British government, Jan. 20, 1806; Jefferson's message of Oct. 27, 1807, in which he announced that the American envoys had exceeded their instructions; the correspondence of Madison and Monroe accompanying the message of March 23, 1808, printed separately as *Letters from Madison*, etc. (Washington, 1808, and again, 1808, with additional letters); later papers accompanying Jefferson's message of Nov. 8, 1808; and finally Pinkney's correspondence with Canning was transmitted with message of Jan. 17, 1809, and further matters in that of Jan. 30th.

The memorials of the different American cities at this time on the British aggressions are given in Carey's *Olive Branch*, ch. 11-17. Lord Holland, who was one of the commissioners appointed to negotiate with Monroe and Pinkney, says they were found to be "fair, explicit, frank, and intelligent" (*Whig Party*, ii. 100).

² Cf. Carey's *Olive Branch*, ch. 30; Quincy's *Life of Josiah Quincy*, 3, 105; Gay's *Madison*, ch. 18; Schouler, ii. Additional documents are found accompanying the messages of May 23, June 16, Dec. 15 and 18, 1809.

The English blue-books give us the correspondence of Lord Howick and Erskine (1807); the correspondence of Canning and Erskine on his exceeding his instructions (cf. *Parl. Debates*, xv. 324; *Ann. Reg.*, 1810, p. 255); and the correspondence of 1809-1810 in *Papers relating to America*. Compare further on attending developments the *Important State Papers: Corresp. between the British Minister and Mr. Smith* (Boston, 1806); A. C. Hanson's *Reflections upon the Correspondence*, etc. (Balt., 1810); *Speech of Josiah Quincy* (Balt., 1810); John Lowell's *Diplomatic Policy of Mr. Madison unveiled; on strictures upon the late correspondence between Mr. Smith and Mr. Jackson* (1809; reprinted in London, 1810), and his *Ten hints addressed to wise men, concerning the dispute which ended Nov. 8, 1809, in the dismissal of Mr. Jackson* (Boston, 1810). Cf. for the correspondence of Smith and Jackson the *Quebec Lit. and Hist. Soc. Docs.*, 3th series, p. 49.

³ Stevens (*Albert Gallatin*, p. 314) speaks of the report prepared by Gallatin, in 1808, for Mr. Campbell, chairman of the Com. on Foreign Relations, as covering the whole ground of the American argument. Cf. Secretary Monroe's letter, July 23, 1811, to the British minister, defending the rights of neutrals (*St. Papers, For. Rel.* iii.).

Again we find the British view somewhat violently set forth in J. Stephen's *War in Disguise, or frauds of neutral flags* (London, 1803; N. Y., 1806),¹ which drew out from Gouverneur Morris his *Answer to "War in Disguise," or remarks upon the new doctrine of England, concerning neutral trade* (N. Y., 1806).

We have the opinions of Pinkney, who endeavored with Monroe to settle the controversy in the rejected treaty of Dec., 1806, in the memorial of Baltimore merchants to Congress, which he drew up (Pinkney's *Pinkney*, 158-187). Madison embodied the studied views of the administration in the book which Randolph, in his new-fledged ardor of opposition, flouted at, and it was published in 1806 as *A memoir containing an examination of the British Doctrine which subjects to capture a neutral trade, not open in time of peace*, and which is now contained in his *Letters*, etc., ii. 227.²

The question of impressment of American seamen as a proximate cause of the war of

1812 is surrounded with a multifarious array of reports, messages, correspondence, and pamphlets. It may be well to present the testimony of each side in succession.

On the American side we find accompanying a message of Madison, dated July 6, 1812, a series of documents touching British impressments between 1792 and 1803; and the collection was republished in London as *Copies and Extracts of documents on the subject of British impressments of American seamen* (1812).³

Just before the end of the war of 1812, and in order to impart new vigor to the military movements by stirring the people, Alexander J. Dallas was employed by the government to review the causes of the war. The peace soon coming precluded the necessity of publication for the purpose intended, but his paper was not long afterward printed (April, 1815), both at Baltimore and Philadelphia, as *An Exposition of the Causes and Character of the late War with Great Britain*.⁴

On the British side, the Parliamentary blue

¹ Lord Holland, in his *Memoirs of the Whig Party* (London, 1854, ii. p. 48), speaks of the British view of neutral rights as "enforced in a popular but intemperate pamphlet of Mr. Stephen, 'War in Disguise,' adopted in some measure by the decrees of our Court of Admiralty, and highly relished by our navy, to whom it opened unexpected sources of wealth, or rather plunder."

Stapleton's *Canning and his Times* (p. 144) shows how strenuously that minister resisted any yielding of the principle which Great Britain had contended for as to neutral rights. For the debates in Parliament on the Orders in Council, see *Ann. Register*, 1808.

John Randolph, in his crazy leaps in the new harness of opposition, reiterated the views of Stephen in his *War in Disguise*, as ready-made argument just as good to toss about as any others (Schouler, ii. 109). Cf. also Randolph's speech on non-importation (1806, — in Moore's *Amer. Etiquette*, ii.), and a second speech, with Stephen's *Observations on Randolph's Speech* (London, 1809; N. Y., 1809).

² It also accompanied a *Letter from the Minister of the U. S. to Lord Mulgrave*, as published in London in two editions in 1806.

Other indicative tracts of this time are *An Inquiry into the present State of the Foreign Relations of the Union, as affected by the late measures of Administration* (Philad., 1806).

Gouverneur Morris's *British Treaty* (1806), with an App. of state papers, 2d ed. (London, 1808).

Wm. L. Smith's *American Arguments for British rights, being a republication of the celebrated letters of Phocion on the subject of neutral trade* (Charlestown, S. C., 1806).

An anonymous *Remarks on the British Treaty* (Liverpool, 1807).

Thomas G. Fessenden's *Some thoughts on the present dispute between Great Britain and America* (Philad., 1807), a more serious review than his Hudibrastic satire of *Democracy Unveiled*; Alexander Baring's *Inquiry into the Causes and Consequences of the Orders in Council, and an Examination of the Conduct of Great Britain towards the neutral commerce of America* (London, 1808, three editions; and N. Y., 1808, two editions).

T. P. Courtenay's *Observations on the American Treaty* (London, 1808), and *Additional Observations with some remarks on Mr. Baring's pamphlet . . . to which is added an App. of State Papers, including the treaty* (London, 1808).

C. J. Ingersoll's *View of the Rights and Wrongs, power and policy of the U. S.* (Philad., 1808).

³ These and other papers in the interests of the administration's views, are in *Facts and Documents relating to the State of the Controversy between America and Great Britain, and the dispositions of the two Cabinets to make peace* (Boston, 1813).

⁴ This document is well supplied with references to the mass of illustrative public documents by which the course of the controversy can be traced.

Schurz's *Henry Clay* has a good succinct account of the differences with England leading up to the war. The story is told by Morse in his *John Quincy Adams* with no softness of indignation. Cf. on impressment, particularly Garland's *Randolph*, i. ch. 30; Cooper's *Naval Hist.*, ii. 170; Ingersoll's *War of 1812* (ch. 1).

The *American State Papers and Correspondence* (1808-1812), which was printed at Philadelphia, was reprinted in London, 1812.

books afford most of the correspondence, English as well as American.¹ Lord Brougham made one of the leading speeches on the repeal of the Orders in Council.²

The most irritating events of these treacherous times were the affairs of the "Chesapeake" in 1807 and the "Little Belt" in 1811, and of

these untoward encounters there are abundant official records, though somewhat in conflict.³

The relations of the New England Federalists to all these foreign relations was not better calculated to inspire respect than the lingering sympathy for France in their opponents.

¹ *Correspondence of Wellesley, Liverpool, and Castlereagh with the American Ministers in London*, Jan., 1810—July, 1812 (London, 1813—*Pub. doc.*).

Correspondence between Wellesley and Mr. Morier, July 10, 1810—March, 1811 (London, 1813—*Pub. doc.*).

Correspondence between Wellesley and Mr. Foster, April, 1811—Dec., 1811 (London, 1813—*Pub. doc.*).

Correspondence between Castlereagh and Messrs. Foster and Baker, March—Aug., 1812 (London, 1813—*Pub. doc.*). These collections of papers include enclosures in the letters.

Correspondence relative to the French decrees and the Orders in Council subsequent to 20th May, 1812 (London, 1813—*Pub. doc.*). There are letters between Castlereagh and Jonathan Russell, after the declaration of war, as to propositions for further negotiations; and the letters of Castlereagh to Foster relative to the revoking of Orders in Council, before and after Foster left Washington.

On Aug. 1, 1812, Henry Brougham offered his mediatorial services to his government as minister to the United States (*Mem. and Corresp. of Castlereagh*, i. 119,—where will also be found some of Castlereagh's letters).

On July 12, 1813, Madison sent to Congress a *Message*, with the information relating to the repeal of the Berlin and Milan decrees (Washington, 1813).

² *Parliamentary Debates*, xxiii. Cf. Alison's *Lives of Castlereagh and Stewart*, i. 522; his *Hist. of Europe* (N. Y. ed.), iv. 453. This British historian calls the war "unseemly" on the part of the United States.

Stapleton (*Canning and his Times*), 148, contends that the Orders in Council were only a pretence, but that the right claimed by England of the impressment of its own subjects, wherever found, was the real instigation of the war, together with a purpose of the Americans to use the war for securing Canada.

³ We have for the earlier event of the "Chesapeake" and "Leopard," on the American side, the *Proceedings of the General Court-Martial, convened for the trial of Commodore James Barron, etc., Jan., 1808* (Washington, 1808, 1822); the summary given by Representative Thomas Blount, in his *Report on the President's Message, Nov. 17, 1807*; the *Proceedings of the Court of Inquiry*; and Secretary Robt. Smith, correction of inaccuracies in those proceedings, Nov. 23, 1807. Also, *British Papers relating to the U. S.* (London, 1810), as presented to the House of Commons in 1809; and the *Trial of Jenkin Ratford* (reprinted at Boston).

Jefferson, in his message of Dec. 8, 1807, gave the correspondence between his Secretary and the British government up to that time (*State Papers, For. Rel.*, iii. 24). Madison's letter, July 6, 1807, to the American minister in London led to correspondence and interviews between Monroe and Canning (*Ibid.* 183, 186, 101, 106); when further negotiation in London was interrupted by the sending of Mr. Rose by the British government to Washington, who at once (Jan. 26, 1808) demanded the recall of the President's proclamation of July 2, 1807 (*Ibid.* iii. 213). Madison's notes of his conferences with Rose in February, 1808, are in *Madison's Letters*, ii. 411. In March, Madison formulated the causes of complaint (*State Papers, For. Rel.*, iii. 214, 217), and the President (March 22) sent a message to Congress on the negotiations, and (March 23) he submitted the documentary records of the conferences, with a long report by Madison, of the same date, covering not only the Chesapeake difficulty, but those of impressments in general, and laying before Congress the documents of the negotiations of the ministers in London for a treaty. Senator Anderson, April 16, 1808, made a report on the progress of the negotiations; and Nov. 8, a message from Jefferson indicated continued indisposition on the part of Great Britain to make the necessary amends.

A convenient grouping of the documents is in a *Letter from the Secretary of State to Mr. Monroe on the . . . attack on the Chesapeake. The corresp. of Mr. Monroe with the British government; and also Mr. Madison's corresp. with Mr. Rose on the same subject* (Washington, 1808); and also in the British blue-book, *Papers relating to America presented to the House of Commons* (London, 1810).

For the effects of the attack on the country, see Carey's *Olive Branch*, ch. 20; and Kennedy's *Hist.*, i. ch. 15. Poore's *Descriptive Catal.* (pp. 68, 69, 71-75, 86) will guide to the official publications of the government respecting the affair. Cf. Hildreth, v. 679; Schouler, ii. 145; Cooper's *Naval Hist.*, ii. ch. 7; *Quebec Lit. and Hist. Soc. Docs.*, 5th series; L. M. Sargent's *Dealings with the Dead*, ii. 608.

For the "Little Belt" affair, there is a collation of the evidence, with the official accounts of both commanders, in Dawson's *Battles of the U. S.*, ii. ch. 13. These accounts are at variance as respects the ship which fired the first gun. Perry's diary is in W. E. Griffis's *Matthwe Calbraith Perry* (Boston, 1887), p. 75. The proceedings of the court-martial (Aug., 1811) of Com. Rodgers accompany the message of the President, Nov. 6, 1811. Cf. Poore's *Desc. Catal.*, p. 60; *Niles's Reg.*, May 16, 1811; Lossing's *War of 1812*, p. 184; Hildreth, vi. 245; *Quebec Lit. and Hist. Soc. Docs.*, 5th series, p. 72.

At home, the New England "British faction" had no stronger pamphleteer than John Lowell, and he produced tract after tract.¹ The overtures and rejections of the recent diplomatic intercourse with England and France served him as a text in his *Analysis of the late Correspondence between our Administration and Great Britain and France, with an attempt to show what are the real causes of the failure of the negotiations between France and America* (Boston, 1808), to which was added, shortly afterward, a *Supplement to the late Analysis*, etc. (Boston, 1808).² It was, however, the stand which Mr. Lowell took on the attack on the "Chesapeake" which, in its palliation or justification of the British conduct, expressed the extremity of his party feeling.³

It was these views of Lowell that were among the chief inducements which carried John Quincy Adams, who with Pickering was then Senator from Massachusetts, over to the side of the administration; while Adams's note in support of the embargo completed the breach between the senators. It was when Pickering addressed a letter to Governor Sullivan, *A View of the imminent danger of an unnecessary and ruinous war* (Boston, 1808), that the public first was made to comprehend the radical differences of the two senators.⁴

Adams's reply appeared in the shape of a *Letter to Harrison Gray Otis on our National Affairs*.⁵ Adams further exemplified his views in a *Review of the Works of Fisher Ames*, which originally appeared in the *Boston Patriot*, but was soon published separately as *American Principles* (Boston, 1809). In this he severely animadverted on Fisher Ames's justification of the British Orders in Council. Lowell at once replied in *Remarks on the Hon. John Q. Adams's Review of Mr. Ames's Works* (Boston, 1809), and rebuked what he called an insinuation on Adams's part that Ames meant to drive the country into revolution.

No one was more pained at the defection of Adams than Josiah Quincy of Massachusetts,

but he hesitated to carry with his political aversions to Adams's personal course the inimical relations which other leading Federalists manifested (Quincy's *Quincy*, p. 123). The life of Quincy and some of his speeches,⁶ which are occasionally found in their original pamphlet form, and of which parts of the most representative ones are quoted by his son and biographer, give us the picture of a wary and emphatic opponent of the administration in these troublous times.

John Lowell's *Appeal to the People on the causes and consequences of a war with Great Britain* (Boston, 1811) began his direct attacks on the war party. In his *Mr. Madison's War: a dispassionate inquiry into the reasons alleged by Mr. Madison for declaring an offensive and ruinous war* (Boston, 1812), he presented himself as the champion of those who would criticise the war, even after it was declared, and he urged the reversal of what he called a ruinous policy by a resistance at the polls; and in his *Perpetual War the policy of Mr. Madison* (Boston, 1812), in which he enlarged upon the fruitless efforts of Monroe and Pinkney to settle the impressment question, he claimed that the elections had shown a great revulsion of feeling, and had brought out a body of freemen "who are totally opposed to a war for the privilege of protecting British seamen against their own sovereign." His purpose was further to show that at the last moment the President managed the negotiations with England through Mr. Russell with the purpose of making them unsuccessful, and that the plea on the score of impressments was a pretence. His argument of the right of impressment, as one of long standing, was precisely that of the British themselves.

The progress of the negotiations at Ghent, as they reached Washington, was reported to Congress in the President's messages of Oct. 10 and 14 (with Monroe's instructions), Dec. 1, 1814, and the message of Feb. 20, 1815, laid the treaty⁷ before Congress. Much of the corre-

¹ Sabin, x. nos. 42, 442, etc.

² How its arguments were responded to in England became apparent in *American Candour in a Tract lately published at Boston, entitled An Analysis, etc.* (London, 1809). Madison at this time was accused of suppressing the evidence of "the insulting conduct of the Emperor of France," and of withholding the "most pacific expressions of Great Britain." Cf. *Further and still more important suppressed documents*, 1807-1808.

³ *Peace without Dishonor—War without Hope. A calm and dispassionate Enquiry into the Question of the Chesapeake* (Boston, 1807). *Thoughts upon the Conduct of an Administration in relation to Great Britain and France, . . . especially in reference to . . . the attack on the Chesapeake* (Boston, 1808).

⁴ J. Q. Adams's *Memoirs*, i. 522; Upham's *Pickering*, iv. 126. Cf. Timothy Pickering's *Letters addressed to the people of the U. S. on the conduct of the past and present administrations towards Great Britain and France*. London, 1812.

⁵ Boston, 1808; two eds.; Salem, 1808; London, 1808; Baltimore, 1824, with an app.-

⁶ The best known of these speeches were those on fortifying harbors (1806); on the Embargo (1807); on foreign relations (1808); on the extra session (1809); and on the Jackson imbroglio.

⁷ The treaty is found in *Treaties and Conventions; Statutes at Large*, viii. 218; Lossing's *War of 1812*,

spondence is preserved in the *State Papers, For. Rel.*, iii. 705, iv. 810; and in the *Documents relating to the negotiations for peace* (Philad., 1814). One of the commissioners at a later day published *The diplomatic letter, the Fisheries and the Mississippi; documents relating to transactions at the negotiations of Ghent, collected and published by John Quincy Adams* (Washington, 1822).¹

The diplomatic negotiations of Monroe's term centred mostly in those with Spain and with her colonies. The *Descriptive Catalogue of Poore* will direct the inquirer to the official publications of the American government, and the bibliography annexed to Gilman's *James Monroe* will be helpful.²

The enunciation of the Monroe Doctrine in the message of Dec. 2, 1823,³ was not wholly novel, though the threatened intervention of the Holy Alliance to subjugate the Spanish-American re-

publics for Spain first gave it a practical significance at this juncture. Gilman (*Monroe*, p. 162) points out instances of the thought going back to the time of the Revolution. Something like it had been uttered by Jefferson⁴ fifteen years earlier, and both Jefferson and John Quincy Adams, within a short time before Monroe published his message, had formulated the idea.⁵ Indeed, Adams had given shape to that part of the message which expressed it.⁶ Calhoun has given us his recollections of the origin of Monroe's expressions and his interpretation of the doctrine.⁷ Popular estimation has given a more defiant meaning to Monroe's language than was intended.⁸

A considerable bibliography of the Monroe Doctrine and its application is furnished by J. F. Jameson in the Appendix of Gilman's *James Monroe*.⁹

For the negotiations in London during Monroe's administration, beside the records in the

App. The fac-similes of the signatures are given in Gay's *Pop. Hist. U. S.*, iv. 241; and in Lossing's *War of 1812*, p. 1002. Cf. Daniel Chapman's *Crisis,—On the origin and consequences of our political discussions, to which is annexed the late treaty* (Albany, 1815).

¹ Madison (*Letters*, iii. 288), receiving a copy from its compiler, wrote to him: "Incidents elucidating the transaction cannot but be interesting; and they are made the more so by the eloquent strain in which they are presented." We gain more knowledge of the every-day aspects of the negotiations through Adams than in any other way. His *Memoirs* (vol. ii. and iii.) give us his daily jottings. Cf. J. T. Morse's *J. Q. Adams*, pp. 77-08.

Schurz (*Henry Clay*, i. ch. 6) gives us a very intelligible account of the negotiations. Cf. *Clay's Correspondence*. Gallatin, however, is the central figure. Cf. Adams's *Writings of Gallatin*, i. 618-640, for letters; and his *Gallatin*, book iv.; Stevens's *Gallatin*, ch. 8; C. F. Adams's *Struggle for Neutrality in America*, p. 43.

Among the general historians, see Hildreth, vi. 507; Schouler, ii. 433; Lossing's *War of 1812*; Ingersoll's *War of 1812* (2d ser. ch. 1).

On the English side, beside the regular Sessional Papers, see the *Castlereagh Correspondence*, x. 67-71, 89; 3d ser. ii.; Wellington's *Supplemental Despatches*, ix. Adams, in his *Gallatin*, gives the substance of these.

² Cf. particularly for territorial disputes, *The official correspondence between Don Luis de Onís and J. Q. Adams in relation to the Floridas and the boundaries of Louisiana* (London, 1818). On the Spanish side, see Luis de Onís's *Memoria sobre las negociaciones* (Madrid, 1822), which was translated by Tobias Watkins as *Memoir upon the negotiations between Spain and the U. S.* (Washington, 1821). In illustration of the protracted and at times dangerous tendencies of these negotiations, see Adams's *Memoirs*, iv., and Morse's *J. Q. Adams*, p. 111.

³ *Statesman's Manual*, i. 400; *State Papers, For. Rel.*, v. 245.

⁴ Randall, iii. 203; Schouler, ii. 202.

⁵ Randall, iii. 403; Morse's *J. Q. Adams*, 130.

⁶ *Works*, iv. 455.

⁷ *Memoirs*, xii. 218; *Cong. Globe*, xviii. 712; *Penna. Mag. Hist.*, vi. 358.

⁸ Benton's *Debates*, vii. 470; Morse's *J. Q. Adams*, 137; Lalor's *Cyclopædia*, ii. 000.

⁹ Cf. *Pool's Index*, p. 862; Rush's *Court of London*, ch. 23; Webster's exposition in *Works*, iii. 178, 201; and life of Webster by Lodge (p. 141); Gilman's *Monroe*, ch. 7; Schouler, iii. 287; Gay's *Pop. Hist.*, iv. 270; Von Holst, i. 410; Gorham Abbott's *Mexico*, p. 310; F. Kapp's *Aus und über Amerika* (Berlin, 1876), i. 130; G. F. Tucker's *Monroe Doctrine, a concise history of its origin and growth* (Boston, 1885); Joshua Leavitt's *Monroe Doctrine in The New Englander*, xxii. 720, and separately (N. Y., 1863); Dana's ed. of Wheaton, note 30; and *No. Am. Rev.*, Sept., Dec., 1881, by Kasson.

There is a partial bibliography of the Panama Congress in Gilman's *Monroe*, 273. For official Amer. documents, see Poore's *Descriptive Index*, p. 1330; and references under Panama Mission in *Pool's Index*. For personal relations, see Benton's *Debates*, vols. viii., ix.; his *Thirty Years*, i. ch. 25; Webster in *Works*, iii. 178; Levi Woodbury's *Writings*, i. 67; Winthrop's *Address*, 1878, etc., p. 47; Peleg Sprague's *Speeches* (Boston, 1858); Sumner's *Jackson*, 107; Garland's *Randolph*, ii. ch. 30; Curtis's *Buchanan*, i. 64; Schurz's *Clay*, i. 207; Schouler, iii. 350.

official publications of the two governments, we have a good personal exposition in Richard Rush's *Narrative of a residence at the Court of London, 1817-1825* (London and Philad., 1833).¹

Albert Gallatin was prominent at this period in the negotiations both in England and in France.²

For the negotiations of J. Q. Adams's term (1825-1829), we have the usual record in the *Foreign Relations*, vol. vi.,³ and for that of Jackson's administration (1829-1837) we must trust to the official documents as reached through Poore's *Descriptive Catalogue*.⁴

The negotiations for the treaty⁵ of 1842 with England are best followed in the documentary records of the two countries, which are enumerated in the Appendix of the present volume (*post*) in connection with the northern-boundary controversy.⁶

As respects the treaty of Guadalupe Hidalgo, we have the usual government publications, reached through Poore's *Descriptive Catalogue*, and for commentary a *Review of the diplomatic policy of the Executive of the United States to conquer a peace with Mexico* (Washington, 1847). The defence of the war and its diplomacy is traced in the *Report of C. J. Ingersoll*, in answer to deprecatory memorials, made for the House Committee on Foreign Affairs.⁷ The best key to the Mexican documents, and indeed to all the diplomatic papers of the subject, is in H. H. Bancroft's *Mexico* (vol. v.).

As regards the minor diplomatic relations, the government records, so far as made public, must be in the main sought in the official publications reached through Poore's *Descriptive Catalogue*, supplemented by the personal memoirs of the principal negotiators.⁸

¹ The second revised edition was called *Memoranda of a Residence at the Court of London* (1833); and to this was added a second series, *Memoranda of a Residence, etc., comprising incidents, official and personal, 1819-1825; including negotiations in the Oregon question, and other unsettled questions between the U. S. and Great Britain* (Philad. and London, 1845); again edited with occasional notes by his son, Benj. Rush (London, 1873). Cf. references in Allibone, ii. 1893. Rush's diplomatic papers are in *State Papers, For. Rel.*, iv., etc.

² For Gallatin's negotiations for commercial relations in England, see Adams's *Gallatin*, and A. G. Stapleton's *Polit. Life of Geo. Canning, 1822-1827* (London, 1831), iii. ch. 13. His correspondence as minister to France, 1819-1823, is in the *State Papers, For. Rel.*, v. 24, 284, 645. Cf. Stevens's *Gallatin*, 343; Adams's *Gallatin*. The medal struck to commemorate the convention with France, June 24, 1822, is given in Loubat, no. liii., showing the head of Louis XVIII.

³ Cf. also Adams's *Gallatin*; Stevens's *Gallatin*; Schurz's *Clay*, i. 209; Schouler, iii. 301.

⁴ Cf. also Benton's *Debates*; his *Thirty Years*, i. ch. 134; Sumner's *Jackson*, ch. 15; Parton's *Jackson* (titles i. pp. xviii. xx); Hunt's *Edw. Livingston* (France); Smith's *Lewis Cass*, ch. 22 (France); and J. Q. Adams in *Ann. Reg.*, vii. 16.

⁵ *Treaties and Conventions*, 360; Webster's *Works*, vi. 356 (with message communicating it to Congress, p. 347).

⁶ Cf. Webster's *Works*, vi. 202; Curtis's *Webster*, ii. ch. 28, 20; Lodge's *Webster*, 253; Benton's *Thirty Years*, ii. ch. 101-106; Roosevelt's *Benton*, ch. 12; L. G. Tyler's *Tylers*, ii. 201.

For the McLeod case, see Webster, v., vi.; *Labor*, ii. 822; S. G. Brown's *Rufus Choate*, and Choate's *Speech of June 11, 1841* (Washington, 1841). In general on the revolt in Canada, 1837, see Bonney's *Gleanings*, ii. ch. 4, 5; Gay, iv. 855; Benton's *Thirty Years*, ii. ch. 75, 76.

For operations on the African coast as the result of the treaty of 1842, see Griffith's *M. C. Perry*, ch. 19, 20.

⁷ June 24, 1840, 20th Cong., 1st sess. *Ho. Rept.* No. 752.

⁸ Thus J. Q. Adams's *Memoirs* serve us as to his mission to Holland in 1794 (i. ch. 2); as to those to Prussia in 1797 (vol. i.); to Russia in 1809-1813 (vol. ii.); to Great Britain in 1815 (vol. iii.). Curtis's *Webster* (i. 201) and his *Works*, as well as the lives and speeches of Clay, show us the feeling of the country on the Greek Revolution of 1823. (Cf. Schouler, iii. 303; C. K. Tuckerman in *Mag. Amer. Hist.*, Aug., 1887.) The *Life of John Randolph*, by Garland (ii. ch. 41), and Boullin's *Home Reminiscences of Randolph* (ch. 11), give us the personal aspects of the mission to Russia in 1830; and in Curtis's *James Buchanan* (i. ch. 7-9) we have the succeeding minister's experiences.

For the relations with the Barbary States, the lives of Joel Barlow, General Eaton, and the naval commanders supplement the official records. The *Life of Webster*, by Curtis (ii. 177), and Webster's *Works* help us in the history of the treaty with China.

For all these matters the feelings in Congress must be looked for in Benton's *Debates* and in his *Thirty Years' View*; and the general histories of Lyman, Tiescot, and Schuyler will not, of course, be overlooked.

APPENDIX.

I.

TERRITORIAL ACQUISITIONS AND DIVISIONS.

By the Editor, with the cooperation of Professor Edward Channing.

THE claim laid by Virginia, under her charter and by reason of the conquests of George Rogers Clark,¹ to so large a part of the country beyond the mountains² had early in the Revolutionary War been questioned by those States having no chartered extension to the west, on the ground that they had made common cause in securing independence, and that accordingly such results as might accrue from the confirmation to them, at the peace, of these unsettled lands ought to be shared in common by the States, since they had all been instrumental in acquiring them. Maryland, in discussing the Articles of Confederation in 1777, had ineffectually tried to curtail the States of this Western territory. On June 13, 1778, Rhode Island had pressed this consideration,³ and almost immediately New Jersey urged that the Confederation should have power to dispose of these lands for defraying the expenses of the war.⁴ Maryland finally took a bold and somewhat adroit stand, Dec. 15, 1778, in blocking the transition to the Confederation, by refusing to join in the votes establishing it until this question was settled, and on May 21, 1779, her protest was laid before Congress.⁵ Virginia, at whom the blow was principally aimed, rather arrogantly told the remonstrants that she could manage her own affairs, and proceeded to arrange for disposing of her lands through a land office,⁶ for it was apparent that the feeling was growing among the smaller States; and Delaware had also entered her protest in the previous January.⁷ By autumn Congress was brought to take action, and on Oct. 30 that body, by a resolution, asked Virginia to pause, and at least to refrain from issuing land warrants while the war lasted.⁸ All the delegates joined in this recommendation except those of Virginia and North Carolina.

The first movement came from New York. She had claims to the territory from the Lakes to the Cumberland Mountains, including Kentucky, which Virginia also claimed. New York professed to hold this territory, both north and south of the Ohio, under treaties which she had made with the Six Nations and their conquered tributaries; and on Feb. 19, 1780, she authorized the cession of these claims to the United States, under certain conditions.⁹ In the autumn, Congress went farther than in its resolution of the previous year, and (Sept. 6, 1780) recommended all the States holding such claims "to make a liberal surrender of a portion" of them in order to secure the stability of the entire Union; and a month later (Oct. 10th) it was determined, as the first step in the administration of the public domain, that all Western lands thus ceded should be disposed of for the common advantage, saving only that the reasonable expenses of any State thus ceding her right might be allowed to her, in case she had been at the cost of defending during the war the ceded parts; and furthermore, Congress provided for forming new States out of such cessions.¹⁰ At the same time, Connecticut made proposals for a cession, but with restrictions which compelled Congress to reject them. It was now that Thomas Paine, in his tract called *Public Good* (Philadelphia, 1780), attacked the justice of the Virginian claims, while he urged, with hardly the old vigor of his earlier days, that a new State should be formed of these Western lands, and the proceeds of the sales of land should be used to pay the debts of the war. Public opinion was ready for the support which such views gave it, and Maryland had put herself in the centre

¹ Vol. VI. ch. 4.

² Rives's *Madison*, i. 433. Cf. *Towle's Constitution*, p. 350.

³ *Journals of Congress*, ii. 601.

⁴ *Journals*, ii. 605; *Secret Journals*, i. 377.

⁵ *Journals*, iii. 281; *Secret Journals*, i. 433; Hening, x. 549; Donaldson's *Public Domain*, 61; Curtis's *Constitution*, i. 501; *Towle's Constitution*, 351. Maryland had assumed a similar position as early as 1779. Shosuke Sato's *Land Question*, 27, with references.

⁶ Hening's *Statutes*, x. 50-65, 357. Massachusetts and

Connecticut under their charters, and New York under her treaties with the Indians, disputed this claim of Virginia in large part. Virginia had reiterated her charter-claims of 1603 (*Laws of the U. S.*, Duane, i. 465) in her declaration of June, 1776 (Hening, ix. 118). She also, in the issuing of land warrants, ignored the claims of the earlier land companies to the same territory.

⁷ Story on the *Constitution*, i. 215.

⁸ *Journals*, iii. 184.

⁹ *Public Domain*, 65.

¹⁰ *Journals*, iii. 516, 535.

of the negotiations. There could be no confederation until she acceded, and the stand made by Virginia was in the way. The next year had just opened, when Virginia (Jan. 2, 1781), attaching certain conditions, signified her willingness to make a cession of the territory above the Ohio.¹ One of these conditions, looking to her retention of the present territory of Kentucky, called upon Congress to guarantee to Virginia all her other possessions. The price was too great, and Congress declined to accept the conditions, and Virginia yielded to New York the opportunity of assuming the lead in this great movement, as was done in March (1781), when Congress formally accepted the grant which New York had earlier proposed.² The principle was established. Maryland did not insist longer upon keeping aloof; on the same day she joined the Confederation; and the organization under the Articles was at once completed.³

To carry the war to a successful issue, and to reap the fruits of the victory at Yorktown, were measures just becoming too engrossing, and the Western projects made little development till the peace was assured. The army now looked to this great domain to recompense its sufferings. Gen. Rufus Putnam took a leading



RUFUS PUTNAM.

part in the petition to Congress for grants of land, and Washington urged the matter approvingly upon Congress.⁴ The scheme of a settlement there also engaged the attention of Timothy Pickering, who came forward with a plan of organization which should emphatically exclude slavery from the soil.⁵ Theodorick Bland, on June 3, 1783, with the support of Hamilton, offered in Congress the first pattern of an ordinance for the government of the region which it was proposed to accept conditionally from Virginia, and ultimately to divide into States, as soon as such sections of it should contain 20,000 inhabitants. Here it was also planned that the soldiers should receive lands, the civil list and navy should gain support, and seminaries of learning find an income.⁶ Congress some months later, on Sept. 13th, at last prescribed the conditions of the Virginia cession, and they were agreed to by all the States except Maryland and New Jersey.⁷ Virginia no longer resisted, and on Oct. 20th authorized her delegates to make the cession on the prescribed terms, and on March 1, 1784, the deed of cession was passed,⁸ and Virginia was shrunken to the limits contained in the present States of Virginia, West Virginia, and Kentucky. Some weeks later (April 23, 1784) an act, known as the ordinance of 1784, was, after some

amendments, passed in Congress for the temporary government of this ceded region, and it was proposed to divide it ultimately into ten States.⁹ The ordinance was inoperative, and nothing was done under it. Jefferson was trying to induce Virginia and the other Southern States to unite in ceding all lands west of the meridian of Kenawha.

The attempt of North Carolina to meet such expectations was not propitious. She passed a vote of cession in June, 1784,¹⁰ when the inhabitants of the region beyond the mountains, on the pretence of finding themselves thrown off from the protection of the parent State, took occasion to set up as a State by themselves, under

¹ *Journals*, iv, 265; Hening, x, 564; *Public Domain*, 67.

² New York placed her own western limits on the meridian of the extreme western end of Lake Ontario, which left the "Eric Triangle" at the northwest corner of Pennsylvania. This was included both in the New York and Massachusetts cessions; and the United States, in 1792, sold this to Pennsylvania, to give her a frontage on Lake Erie. The history of the Eric Triangle is told in the *Report of the Keegan's Boundary Commission upon the N. Y. and Penna. Boundary* (Albany, 1889, App. M, p. 438). Cf. *Penna. Archives*, xi, p. 104; *Col. Rec.*, xv.

³ *Journals*, iii, 582.

⁴ Walker's *Athens County, Ohio*, 30, for this and later correspondence of Putnam and Washington; also *Life of M. Cutler*, i, 152.

⁵ Pickering's *Pickering*, i, 509, 546.

⁶ Bancroft, final rev., vi, 81.

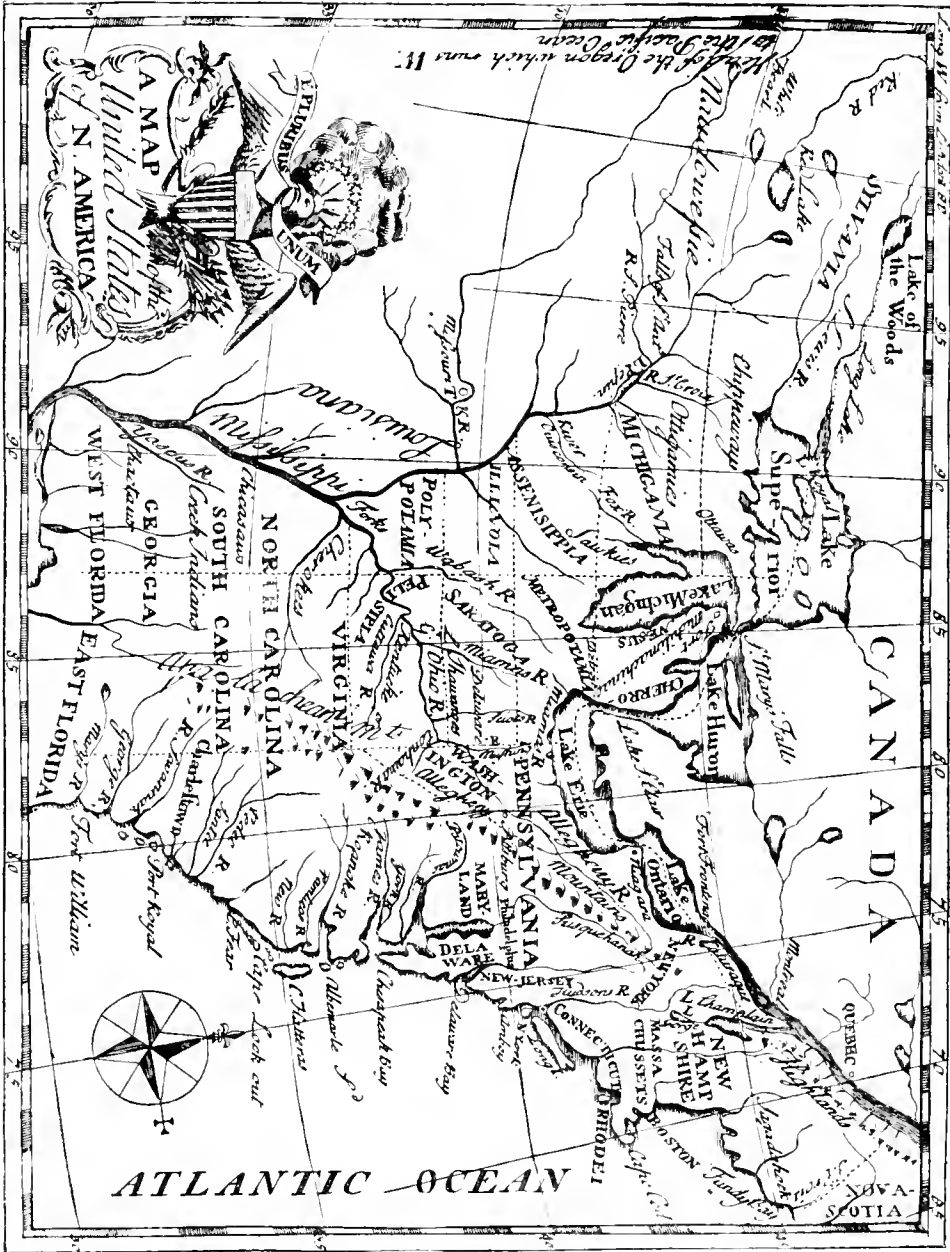
⁷ Cf. New Jersey's claim; *Journals*, iv, 341.

⁸ *Journals of Congress*, iv, 267, 342; Hening, xi, 336, 571; *Public Domain*, 68. The requirement which she imposed of divisions into States was modified, Dec. 30, 1788, by request of Congress. Her other requirement, that certain parts of the cession should be allowed to her soldiers in the war, was accepted by Congress. These military lands are shown in the map on a later page.

⁹ In the beginning of the discussion it had been proposed, somewhat fantastically, to call these divisions Sylvania, Michigania, Chersonesus, Assensisipia, Metropotamia, Illinoia, Saratoga, Washington, Polypotamia, Pele-sisipia. Cf. *Journals of Congress; Public Domain*, 147; Sparks's *Washington*, ix, 48; McMaster, i, 196; C. M. Walker's *Athens County*, 40; *St. Clair Papers*, ii, 604; Sato, p. 80; W. F. Poole in *No. Amer. Rev.*, 1876, p. 238; Cole's *History of the Ordinance*, 7; *Life of M. Cutler*, ii, App. D; Bancroft, final revision, vi, 116.

¹⁰ Cf. J. G. M. Ramsey in *Land and Sea*, iv, and v.

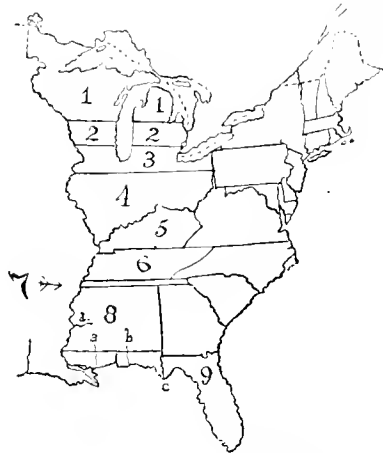
* After a cut in *Harper's Mag.*, lxxi, p. 553. Cf. *Hist. Washington County, Ohio*, p. 28.



DIVISION OF STATES, ORDINANCE OF 1784.*

* A folding map showing this division appeared in *Francis Bailey's Pocket Almanac*, for 1785, published at Philadelphia, with a copy of the ordinance in the body of the little book. The same plate, with Bailey's name erased, was used in John McCulloch's *Introduct. to the Hist. of America, designed to instruct American youth in the elements of the history of their own country* (Philad., 1787). It was reengraved in the *Reise durch einige der mittlern und städtlichen vereinigten nord Amerikanischen Staaten, in den Jahren 1782 und 1784, von Johann David Schöpf* (Erlangen, 1788), and from this last the above cut is reproduced. The only other map showing these divisions, which I have seen, is one on a much larger scale, crudely "engraved and printed by the author," John Fitch, and called *A map of the north west parts of the United States of America*. It bears this note: "The several divisions on the north west of the Ohio is the form

the name of Franklin,¹ with John Sevier, the hero of King's Mountain, as governor, where he and his legislature maintained a precarious existence for four years.² The people of the district were by no means unanimous in the scheme, and a considerable portion looked with distrust upon a tendency to ally the new government with Spain. The parent State supported this distrustful class by annulling the act of cession (Nov., 1784) before Congress could accept it, and reëstablishing its own sway. The country was for a while distracted with a conflicting allegiance. By 1786 the conservative party had gathered strength enough to give warning of the collapse to the premature State, which came in 1787. Sevier was tried for high-treason and convicted, but was subsequently pardoned. For two years more (1788-1790) the territory remained a part of North Carolina, till finally (April 2, 1790) ceded,³ when it became a territory of the Union, and in 1796 it was admitted to the Union as the State of Tennessee.⁴



SKETCH MAP OF CESSIONS.

It was on this trip that Washington first met Albert Gallatin, who, at the suggestion of Patrick Henry,

¹ It is sometimes given Frankland; but Franklin was assured by the movers in the matter that the State was named for him. Franklin's *Works*, x. 260, 269, 290; Hildreth, iii. 499, 530; Albach's *Annals*, 507.

² On Sevier, see "Knoxville in the Olden Time" in *Harper's Mag.*, lxxi. 69; Parton's *Jackson*, i. 230.

³ But subject to such conditions and claims as left no land for the public domain (Hildreth, iv. 205).

⁴ Gannett's *Boundaries of the U. S.*, 108; I. W. Andrews in *Mag. Amer. Hist.*, Oct., 1887, p. 306; Parton's *Jackson*, i. ch. 15; McMaster, ii. 285; Jameson, *Const. Convention*, 150; J. G. M. Ramsey's *Annals of Tennessee* (Charleston, 1853; Philad., 1853, 1860, — Sabin, xvi. no. 67, 729).

Field (*Indian Bibliog.*, nos. 670, 1261) finds that Ramsey adds greatly to original material, beyond what he took from John Haywood's *Civil and Political Hist. of Ten-*

nessee to 1700 (Knoxville, 1823, — now rare, and worth say \$30-\$50); W. H. Carpenter's *Hist. of Tennessee* (Philad., 1857); W. W. Clayton's *Davidson County, Tenn.* The history of the early Cumberland settlements is told in A. W. Putnam's *Hist. of Middle Tennessee, or the life and times of Gen. James Robertson* (Nashville, 1850). W. R. Garrett's paper on *The northern boundary of Tennessee* (Nashville, 1884) covers the question of the bounds on Kentucky.

J. R. Gilmore's *John Sevier as a Commonwealth builder* (New York, 1887) is founded in part on material gathered by Ramsey since he wrote his *Annals*. Isaac Smucker on the "Southwestern Territory" in the *Mag. Western Hist.*, Aug., 1887; Poole's *Index*, p. 1294. James D. Davis's *Hist. of Memphis* (Memphis, 1873) begins with the grants to John Rice and John Ramsey in 1780.

⁵ Cf. *Mag. Amer. Hist.*, Nov., 1887, p. 437.

which that country is to be laid off into according to an ordinance of Congress of May 20, 1785." Fitch dedicates the map to Thomas Hutchins, Geographer of the United States, and acknowledges his indebtedness to the surveys of Hutchins and William M. Murray. Whittlesey (*Fitch*, xvi.) says that Fitch took his impressions on a cedar press in Bucks County, Penn., where he sold his map at six shillings to raise money to follow his steamboat experiments. (Cf. Preble's *Steam Navigation*, p. 13.) There is a recognition of these proposed States in a legend across the country on the map in Winterbotham's *Amerka*; but no lines are defined.

The most convenient record of the subsequent actual division of this territory into States, with the consecutive changes, is in Gannett's *Boundaries of the U. S.* (Washington, 1885), and in Donaldson's *Public Domain* (p. 100). A series of sketch maps in Farmer's *Detroit and Michigan* (p. 86) show at a glance territorial changes, particularly as they affected the limits of the territory now known as Michigan at different times (1787, 1800, 1802, 1805, 1816, 1818, 1834, 1836).

Surveys of the Ohio and Mississippi (below the Ohio) were made by Andrew Ellicott in 1796, and are given in his *Journal* (Philad., 1803).

* The region 1, 1, was acquired under the specific bounds of the treaty of 1782-83, which gave to the United States all territory east of the Mississippi, supposing, however, that the Mississippi reached the 49° parallel, — the geographical error compelling the line to follow a meridian north till it struck that parallel. The tract 2, 2, was a continuation of the Massachusetts charter extreme bounds westerly, and was the region ceded by that State. Her claims to the lands in Western New York were based on the same rights, which the charter to the Duke of York for Eastern New York had not annulled. Region 3 was Connecticut's claim for similar charter rights, which also involved claims to the Susquehanna country in Pennsylvania, over which there was a long controversy (see Vol. VI p. 680). The small triangular region at the northwest corner of Pennsylvania was also in the Connecticut cession, and was later sold to Pennsylvania by the general government. The country northwest of the Ohio, marked 4, was the cession of Virginia; but this, as well as a portion of 5, was also ceded by New York, on the ground that treaties with the Iroquois had given that State rights over the lands of the tribes tributary to the Iroquois. Kentucky (5) is sometimes considered a cession of Virginia, but she parted with her jurisdiction over it only to let the territory become a State, and it never fell into the public domain. The North Carolina cession was Tennessee (6). The narrow strip (7) south of Tennessee was ceded by South

had crossed the mountains in search of a place to settle. Washington saw the danger of the trade of the new country tending by the easier water channels to Canada or Louisiana, if a passage for merchandise and peltries through the mountains could not be made. Jefferson was looking forward to the time when the valley of the Hudson might be the rival of that of the Potomac, as the readiest method of opening communication with these Western lands.¹ Washington urged his project of a canal upon Virginia and Maryland; and as a result the Potomac Company was formed, with Washington as its president, a position he held until he took the Executive Chair of the States in 1786.²



JOEL BARLOW.*

¹ Sparks's *Corresp. of the Rev.*, iv. 64.

² Cf. H. B. Adams on Washington's interests in the Potomac Company, in Johns Hopkins University Studies, 3d series, no. 1, developing material earlier used in Andrew Stewart's *Report on the Chesapeake and Ohio Canal* (1st sess., 10th Cong., no. 228, in 1826) and in John Pickell's *New Chapter in the early life of Washington in connection with the narrative history of the Potomac Company*

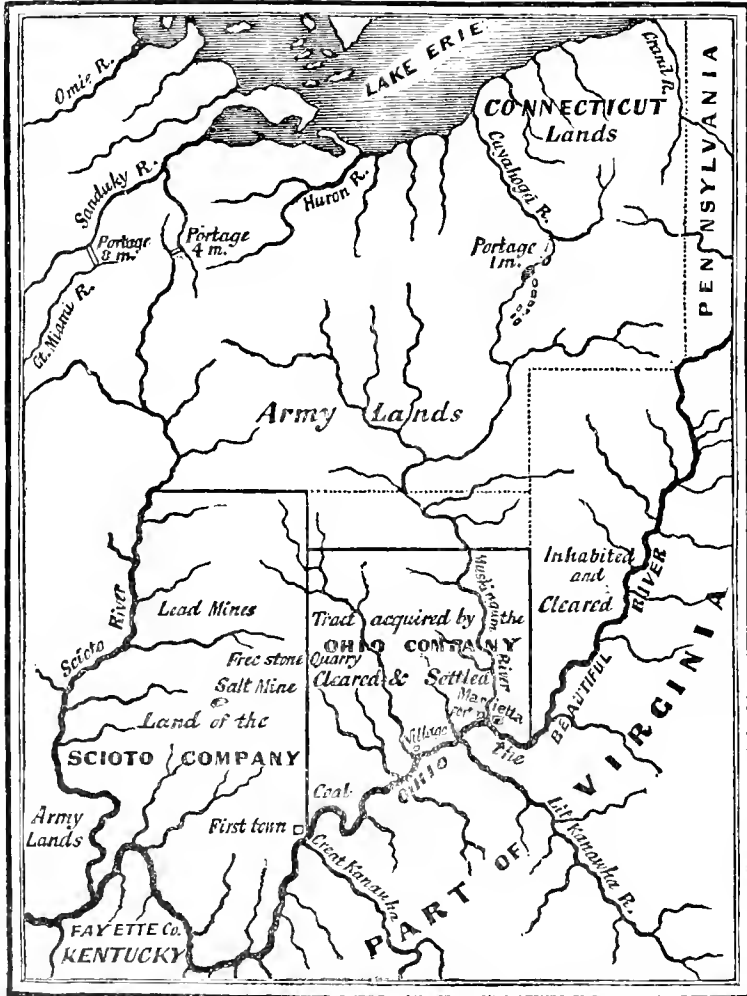
(N. Y. 1856). The charter of the Potomac Company was surrendered in 1828, when the Chesapeake and Ohio Canal Co. succeeded to its purposes and archives; and from these latter, with the help of Washington's private letters contributed by Sparks, Pickell wrote his book.

Cf. also the *Washington-Crawford Letters concerning Western Lands*, Ed. by C. W. Butterfield (Cincinnati, 1877), and Irving's *Washington*, iv. ch. 35.

Carolina, and was afterwards divided between Georgia, Alabama, and Mississippi. Georgia's cession was 8, but there was some question if the southern part of it, below the parallel of the Yazoo River (*d* 1), as having been joined to West Florida by England in 1768, was not added to the public domain by the treaty of 1782-83. The peninsula of Florida (*b*) as far west as the Appalachicola (*c*) was acquired by the treaty of 1819; but whether the westerly part to the Mississippi and Lake Pontchartrain was so acquired admits of argument. That treaty confirmed the United States in possession; but they had claimed that the Louisiana purchase extended to the Appalachicola. The present disposition of bounds carries the State of Louisiana easterly to Pearl River (*a*), and Florida westerly to Perdido River (*b*), — the intervening territory being divided between the States of Alabama and Mississippi.

* After a print by Edwin in the *Analectic Mag.*, Aug., 1814, with a memoir. It is the upper portion of a portrait by Robert Fulton, representing the poet sitting and holding a manuscript. This was engraved by A. B. Durand for the *National Portrait Gallery*, 1834; and it is also given by C. B. Todd in his *History of Reading, Conn.*, 1880, and in his *Life and Letters of Joel Barlow* (1886). Cf. Lossing's *War of 1812*, p. 94. A portrait by Barboier, engraved by Ruotte, appeared in the fifth corrected edition of his *Vision of Columbus*, at Paris, in 1793.

In 1785 (April 16) Massachusetts ceded the territory¹ which she claimed under her charter as extending westward beyond the county where her title had been extinguished by later grants, being a region wide enough to be bounded easterly by the southerly end of Lake Huron and its water passage to its outlet in Lake Erie, and so stretching westely across and beyond Lake Michigan till it reached the Mississippi River. The territory above the northern line of this strip (43° 43' 12" N. latitude) had come into the Union by the treaty with Great Britain, without being claimed by any of the States.



BARLOW'S MAP.*

¹ *Journals of Congress*, iv, 607; *Publ. Domain*, 71.

* Fac-simile of the sketch given in Howe's *Hist. Coll. Ohio*, 179, showing the essential parts of a map issued by Barlow in Paris, with his proposals to induce immigration, but the legends are turned into English from the original French. There is a copy of the original (Portfolio 3830) in Harvard College library, *Plan des Achats des Compagnies de l'Ohio et du Scioto*. "The map," says Howe, "is inaccurate in its geography and fraudulent in its statements." The country was a wilderness where the map calls it inhabited and cleared, "habité et défriché." This region corresponds to what was known as the Seven Ranges of Townships, which Congress, May 20, 1785, directed to be sold, under surveys by Thomas Hutchins; and this is the only foundation for the alleged settlement of them. There are various published maps of them, one, by Mr. Carey, without date, is on paper with the water-mark of 1794. They are shown, as surveyed, on Melish's map of Ohio, given in fac-simile on another page. The "first town," or the "première ville" of the original map, is the Fort Haven laid out by the Ohio Company. Gallipolis was built on higher ground, four miles below (McMaster, *ib. op. cit.* Howe, p. 179) as a view of the village as prepared for the reception of the French, drawing it from the description of a man who helped to build it. Cf. map of Ohio Company purchase in Walker's *Athens County, Ohio*.

Massachusetts also held claims under her charter to lands in Western New York, as being beyond the grants to the Duke of York in Eastern New York. An agreement was reached at Hartford, Dec. 10, 1786, by which the proprietary rights to this territory were divided, but the jurisdiction was yielded to New York.¹

In 1788 Massachusetts sold her pre-emptive rights in these lands to a company, whose territory thus acquired became known as the "Phelps and Gorham Purchase."² In 1791 this company surrendered to Massachusetts its title to lands west of the Genesee River, and that State resold it to Robert Morris in the same year, and he in turn sold the greater part of the tract in 1792-93 to an association of Dutch capitalists called the "Holland Land Company," while what he retained became known as the "Morris Reserve."³

Robert Morris, also in 1790, bought of the Phelps and Gorham Company a large tract, which he sold in 1791 to an English company, headed by Sir Wm. Pulteney, and this became known as the "Pulteney Estate."⁴

On May 20, 1785, Congress passed the first ordinance respecting the method of disposing of the Western lands.⁵

South of the Massachusetts claim lay a region of less extent north and south, which was bounded easterly by about one half of the western bounds of Pennsylvania, and which retained that width through to the Mississippi. This was the claim of Connecticut, as included in her chartered rights under similar circumstances, as determined the rights of Massachusetts,⁶ and on Sept. 14, 1786, that State ceded all this territory, except

¹ James Sullivan's *Report to the Mass. Legislature*, and T. C. Amory's *James Sullivan*, i. ch. 8; *Report of Regents of the N. Y. Univ. Boundary Commission*, Albany, 1889, App. L; Hildreth, iii. 531, 541.

² Cf. map in Jeremy M. Parker's *Rochester* (Rochester, 1884), p. 44. Also O'Reilly's *Sketches of Rochester* (Rochester, 1838); *Hist. Mag.*, xv. 371; Amory's *James Sullivan*, i. 173; J. H. Hotchkiss's *Hist. of the Purchase and Settlement of Western N. Y.* (N. Y., 1848). The Phelps and Gorham deed from the Six Nations is in F. B. Hough's *Proceedings of the Commis. of Indian Affairs*, i. 190.

³ Cf. O. Turner's *History of the pioneer settlement of Phelps and Gorham's purchase, and Morris's reserve; preceded by some account of French and English dominion, border wars of the revolution, Indian councils and land cessions, [etc.] [With Appendix]* (Rochester, 1851), and the same author's *Pioneer history of the Holland purchase of western New York; embracing some account of the ancient remains; a brief history of the confederated Iroquois — a synopsis of colonial history, and a history of pioneer settlement under the auspices of the Holland company; including reminiscences of the war of 1812, etc.* (Buffalo, 1849). The personal recollections of Thomas Morris, and how he carried out Robert Morris's obligations to extinguish the Indian title in 1797, are given in *Hist. Mag.*, 1890, p. 207. Cf. *Indian Treaties* (Washington, 1829); Stone's *Red Jacket* (Albany, 1860). A preliminary report of the Holland Company, Peter Stadnitski's *Voorafgaand Bericht*, was published at Amsterdam in 1792. The advantages of the region were also set forth alluringly in Capt. Van Pradelle's *Reflexions offertes aux Capitalistes de l'Europe* (Amsterdam, 1792). Several maps of the tract bought by the Holland Company were issued by J. and R. Ellicott in 1800. Frederik Muller & Co., Amsterdam, 1854, advertised these and some original drawn maps in their *Topographie et Cartographie Ancienne*, p. 70. Conover's *Early Hist. of Geneva* (Geneva, 1880) gives the detailed history of a part of this Massachusetts claim, called "The Gore."

⁴ Various early descriptions of the Genesee region are included in the *Doc. Hist. of N. Y.*, vol. ii., giving some that had been printed at the time, like Capt. Charles Williamson's *Description of the settlement of the Genesee Country* (N. Y., 1796, 1799), by an agent of the Pulteney Estate, and Robert Monro's *Description of the Genesee Country* (N. Y., 1804). Cf. also *Description of the Genesee Country, its rapidly progressive population and improvement* (Albany, 1798), and Judge William Cooper's *Guide in the Wilderness, or the History of the first settlements in the western counties of N. Y.* (Dublin, 1810). There is more or less about these early settlements in such early travellers as Crèvecoeur, Bigelow, Stansbury, Darby, and Dwight. (Cf. *The Library of Cornell University*, July,

1883.) There is a map of the Genesee lands, 1790, in *Doc. Hist. N. Y.*, ii. 1115. A map of Western New York in 1809 is given in the *Doc. Hist. N. Y.*, ii. 1188.

⁵ *Journals*, iv. 320; Duane's *Laws of the U. S.*, i. 593. The early cessions of the States, together with the later Louisiana purchase, and the Oregon region, and that portion of the northwest territory north of the Massachusetts cession, which was acquired by the treaty of 1782-83, without being within the limits of any of the original States, constitute what is known as the Public Lands, or Public Domain, west of the Alleghanies, prior to the conquests of the Mexican War. The essential cyclopædic treatment of all the methods of surveying, partitioning, granting, and administering all this property of the government is the large volume known as Donaldson's *Public Domain*. Under the heads of "Public Lands" and "Land" in the index of Poore's *Descriptive Catalogue of Government Publications*, indications will be found of the vast amount of official documentary material pertaining to the subject. The government have at different times codified its laws on the subject, as in *Laws, Treaties, and other documents* (1800); *Laws, resolutions, treaties, etc.* (1828); *General public Acts*, etc. (1835), to which may be added W. W. Lester's *Decisions in Public Land Cases*, etc. (Philad., 1860-70); H. R. Copp's *Public Land Laws* (Washington, 1875); J. B. Lewis's *Leading Cases on Public Land Laws* (Washington, 1890); and the references in Jones's *Index to Legal Periodicals*, p. 208. Further fundamental references are the *Amer. State Papers, Public Lands*; and the index of Benton's *Debates*. (Cf. his *Thirty Years*, i. ch. 35.) A condensed history of the public lands, by Worthington C. Ford, is in Lator's *Cyclopædia* (ii. 400-470), and he refers to the most complete record of legislation in the *Report of the Land Commission* (*Ex. Doc. 17, part iv., Ho. of Rep. 40th Cong., 2d session*); and to the principal views on disputed methods of management as embodied in the works of Hamilton, John Adams, Webster, Clay, and Calhoun. The first thirty or more years of the land system (1800-1832) is epitomized in Sumner's *Andrew Jackson* (ch. 1); and a general survey is given in Shosuke Sato's *Hist. of the Land Question in the U. S.* (Johns. Hopkins Univ. Studies). Cf. also Von Holt's *Constitutional Law*, 170-182; and Barrows's *United States of Yesterday and of Tomorrow*, ch. 7.

⁶ There was this difference, however: the Massachusetts original charter had been annulled by royal authority, and a new charter substituted, which did not include these western limits. It had not been done in the case of Connecticut, though similar abridgments had been imposed on all the other colonies claiming these Western lands. The States, after the Declaration of Independence, insisted on their original bounds.

the western end of it, which became known as the "Western Reserve,"¹ jurisdiction over which, to the general government, was not yielded till 1800. This concession of a reserve to the demands of Connecticut was strongly opposed at the time, and met the disfavor of Washington, but Congress seemed anxious to obliterate all claims for the rest of the territory, and acceded to the agreement.²

The cessions made by South Carolina and Georgia at the South finally brought, in 1802, all the territory beyond the mountains between Florida and the Lakes, and westward to the Mississippi, within the jurisdiction of the Union.³

There were two men among the surveyors appointed under the ordinance of 1785, General Rufus Putnam and General Benjamin Tupper,⁴ who were to do much towards shaping the future of these Ohio regions. It was a New England movement, which these two men directed in part, and associating with them some of the soldiers of the war, they organized a company in Boston, March 3, 1786,⁵ for the purpose of gathering Continental certificates and putting the scheme on a working financial basis. Later, Putnam, General Samuel H. Parsons, and Dr. Manasseh Cutler were chosen directors. Upon Cutler devolved the managerial duty, and he went to New York⁶ to negotiate for the purchase of a tract of land. A contract⁷ was made for lands on

¹ The first party to occupy the Western Reserve landed at the mouth of Connecticut Creek, July 4, 1799. John Bari, in *Nat. Mag.*, Dec., 1845; Howe's *Hist. Coll. Ohio*, 37. On Presque Isle, 1794, see 2 *Penna. Archives*, vi, 627.

² Sparks's *Washington*, ix, 178; *State Papers, Public Lands*, i, 97; Jas. A. Garfield's *Discovery and Ownership of the Northwestern Territory and Settlement of the Western Reserve* (no. 29, Western Reserve and Northern Ohio Hist. Soc. Papers, 1874); Col. Charles Whittlesey's *Origin of the title of the Western Reserve* (*Ibid.* no. 32); W. S. Kennedy's *Hist. of the Western Reserve* (Hudson, O., 1850); Harvey Rice's *Pioneers of the Western Reserve* (Boston, 1853); *Histories of Trumbull and Mahoning Counties* (Cleveland, 1852), ch. 7; of *Geauga and Lake Counties* (1878); of *Ashland County* (1878); a paper by J. H. Kennedy, "Ohio as a hospitable wilderness," in the *Mag. Amer. Hist.*, Dec., 1886 (vol. xvi, p. 526). Five thousand acres of this reserve being granted to citizens of Connecticut, whose houses and property had been destroyed by the British during the war, became known as the "Ohio Fire Lands." The rest of the reserve was sold in 1795 for \$1,200,000, to constitute an educational fund for Connecticut. *A map and description of Northeastern Ohio* (1796), by John Heckewelder, was edited by C. C. Baldwin in the *Mag. Western Hist.*, Dec., 1884; and was reprinted as *Tract 64* of the Western Reserve and Northern Ohio Hist. Soc. (Cleveland, 1884). Cleveland, the principal city in this region, was settled in 1796. For an account of it in 1886, see *Mag. Western History*, Dec., 1884; and in *Ibid.* Jan., 1885, p. 175, is an account of "General Moses Cleaveland and Cleveland City." Cf. *Harper's Mag.*, March, 1886. The latest publication on the Reserve is the following: "Conn. Land Co. Hist. of the original titles of the lands in that part of Ohio commonly called the Conn. Western Reserve, by J. Perkins" (*Mahoning Valley Hist. Soc. Coll.*, i, 142). Cf. also the "Origin of land titles in the Connecticut Reserve," by J. Sherman, in the *Firelands Pioneer*, 1 nos. 2, 5.

³ South Carolina made her cession Aug. 9, 1787. Cf. W. R. Garrett's *History of the South Carolina cession and the northern boundary of Tennessee* (Nashville, 1884, — being no. 1 of the papers of the Tennessee Hist. Soc.); *Journals of Congress*, iv, 721; *Public Domain*, 79. The Georgia cession, reported on July 15, 1788 (*Journals*, iv, 844) was not consummated till 1802, when her land disputes with the States were settled. Cf. Sato, p. 30; *Public Domain*, 79; Hildreth, v, 417, 473; Stevens's *Georgia*, ii, 468. Previous to this cession of 1802, Georgia had in 1795 given certain rights to land companies, which under them laid claims to large tracts of territory in the Yazoo country. The controversy over the validity of these grants took on political significance, and was finally settled in favor of the companies or their representatives, in the Supreme Court in 1804. A good condensed statement of the history of the "Yazoo Lands," as they were called, is given in Lalor's *Cyclopedia*, iii, 1127, and in A. H. Chappell's *Journals of Georgia* (Columbus, Ga., 1843, part iii, ch. 7). Cf. for

details, Hildreth, iv., v., vi.; Schouler, ii, 74; Tucker, ii, 186; Kennedy's *Wirt*, i, 218; Garland's and Adams's *Randolph* (he was a violent opposer of the claims); Benton's *Debates*, iii.; *Statutes at Large*, ii, 235; iii, 110; Crouch's *Reports*, vi, 87; Peters's *Reports*, ii, 328. A *Report* of the secretary of the So. Carolina Yazoo Company (Charleston, 1791) examines the title to these lands, and gives the acts of South Carolina and Georgia appertaining. It shows at that time the relations of Dr. O'Fallon and Gen. Wilkinson to these lands.

The whole question of all these land cessions can be followed in Herbert B. Adams's *Maryland's influence upon the land cessions to the United States*, in the Johns Hopkins University Studies, 3d series, part 1, and also in the *Maryland Hist. Soc. Fund Publications*, no. 11. For contemporary documents, see references in B. P. Boore's *Descriptive Catalogue of Govt. Publications*, p. 1348; *Journals of Congress*, iv, 20, 98, 82, 106, 229, 231, 241; *Madison Papers*, i, 90-130; *Land Laws of the U. S.* (1810, 1817, by Albert Gallatin; 1828, by Mathew St. Clair); *Laws of the U. S.* (1815, p. 452). Cf. also Curtis (i, 201) and Towle (350) on the *Constitution*; Bancroft, vi, 277; Lalor's *Cyclopedia*, iii, 917.

⁴ Rives's *Madison*, i, 257-266, 444-464; Perkins's *Annals*, 230; Gannett's *Boundaries of the U. S.* (Washington, 1883), ch. 2; Shosuke Sato's *Hist. of the Land Question in the United States*, in Johns Hopkins University Studies (4th ser., nos. 7-9).

⁵ The great repository of material, not always as accurately prepared as one could wish, is *The Public Domain, its history with statistics, with references to the national domain, colonization, acquisition of territory, the survey, administration and several methods of sale and disposition of the public domain, with sketch of legislative history of the land, states and territories, and references to the land system of the colonies*. By Thomas Donaldson (Washington, 1884, — Ho. Ex. Doc. 47, part iv., 40th Cong., 3d session; Misc. Doc. 45, part iv., 47th Cong., 2d session; third ed., pp. 1343).

⁶ Reservations under the cessions are grouped together in the *Public Domain*, 82; and statements regarding the area of the several cessions are in *Ibid.* p. 86. Cf. McMaster, ii, 479.

⁷ *Journals of Cong.*, iv, 547.

⁸ The articles are in Walker's *Athens County, Ohio*, 48, etc. Cf. *Life of M. Cutler*, i, ch. 5.

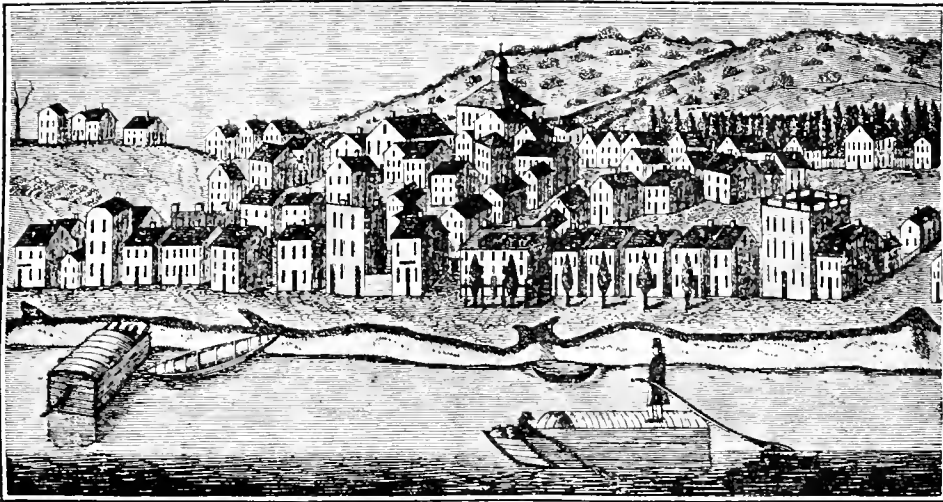
⁹ Cutler's journal to New York and his reports are used by Walker, p. 53, and by Foote in his *N. Amer. Rev.* article, 1876. The journal is printed in *Life of M. Cutler*, vol. i, ch. 6 and 7.

¹⁰ *Contract of the Ohio Company with the Hon. Board of the Treasury of the U. S. made by the Rev. Mr. Manasseh Cutler and Maj. Winthrop Sargent*, Oct. 27, 1787, pp. 4 (Thomson's *Bibliog. of Ohio*, no. 303; Sabin, v, 18, 173). Cf. *Public Domain*, 164; Bancroft, vi, 284; Matthews' *Washington County, Ohio*, ch. 5. See the act authorizing the grant, in *Life of M. Cutler*, ii, 479.

the Ohio and Scioto,¹ and these purchases, paid for in certificates and army land-warrants, were followed later by others made by John Cleves Symmes and associates on the Ohio and Miami rivers.²

In 1788 the State of Pennsylvania made the purchase already referred to, which gave her a harbor on Lake Erie. These were the only sales before the organization of the land offices.³

The settlement of the Ohio Company was begun in 1788, by a body of New Englanders, who, floating down the Ohio from above, turned into the Muskingum River, and on a point formed by the junction of that river with the Ohio, and opposite Fort Harmar, which the government had already erected on the lower peninsula of the junction, laid the foundations of a town, and built a fort, which they called the Campus Martius. It was proposed at first to call the place Castrapolis,⁴ for the site was that of an ancient fortification of the



CINCINNATI IN 1810.*

¹ The Scioto purchase was a sort of bribe, linked in the legislation of Congress for the purpose of affording opportunities for private speculation, which might insure the success of the Ohio Company's project (*Life of Manssah Cutler*, i, ch. 12). For this speculating organization, called the Scioto Land Company, in which Col. William Duer of New York was a leader, Joel Barlow went to Europe as an agent to induce immigration (cf. Todd's *Barlow*, ch. 5; Howe's *Hist. Coll. Ohio*, 574), and the excitement created in Paris is shown in caricatures, of which one is given in fac-simile in the *Mss. Hist. Soc. Proc.*, xiii, 82, called "Vente des déserts du Scioto par des Anglo-Américains."

Two of the advertising tracts of the company are noted in the *Brintley Catal.*, iii, 457-59. A party of French immigrants came over in 1790 and founded Gallipolis; but their experiences were not calculated to invite many followers. There is an account in Volney's *Tableau du climat et du sol des États-Unis* (Paris, 1803); and Brissot de Warville's *New Travels* helped the project on.

² These lands were parcelled out by Symmes to others (Ford's *Cincinnati*: Albach's *Annals*, 470); and to Matthias Duman, from New Jersey, thus fell the tract on which is now the city of Cincinnati, who shared it with Robert Patterson and John Tilson. The latter had been a schoolmaster, and his little learning enabled him to induce his associates for a while to adopt as a name of the settle-

ment a fantastic polyglot combination, to signify that it was a town (*vulgo*) opposite (*ante*) the mouth (*os*) of the Licking River (*L.*) — *Losintiville*. The newly formed Society of Cincinnati finally gave occasion for a less grotesque but hardly more satisfactory appellation. Cf. Francis W. Miller's *Cincinnati's beginnings: missing chapters in the early history of the city and the Miami purchase, chiefly from hitherto unpublished documents* (Cincinnati, 1886), where one of Symmes's land-warrants is given in fac-simile; Henry A. and Kate B. Ford's *Hist. of Cincinnati* (Cleveland, 1881); Burnet's *Notes*, 33, 47; *Cincinnati Pioneer*, 1873-1875, ed. by J. D. Caldwell; *Amer. Hist. Res.*, Nov., 1872; Henry B. Tector on "Israel Ludlow and the naming of Cincinnati," in *Mag. West. Hist.*, July, 1885, pp. 251, 314.

Daniel Drake's *Notice concerning Cincinnati* (Cinn., 1810) is a topographical account of no use for historical data, and is now very rare. Thomson (*Bibliog. of Ohio*, no. 343) says he knows of but three copies, — there is one in the Philadelphia Library, and another in Harvard College library. Drake's *National and Statistical View or Picture of Cincinnati and the Miami Country* is the chiefest early repository of material (Thomson, no. 346). Cf. references in McMaster, i, 51-2.

³ Cf. Howe's *Hist. Coll. Ohio*, 538.

⁴ *Belknap Papers*, i, 403.

* Fac-simile of a cut in Howe's *Hist. Coll. Ohio*, 217. There is a view in Lieut. Jervis Cutler's *Topog. Descrip. of Ohio* (Boston, 1812). Cf. cuts in Lossing's *War of 1812*, p. 476, and Ford's *Cincinnati*, p. 56, where is also (p. 37) a view of Fort Washington, built on the site of Cincinnati in the summer or early autumn of 1789; and a plan of the town in 1813 (p. 134).

mound-builders; but the settlers bore in remembrance the sanction of Marie Antoinette upon their recent struggles for independence, and compacted from her name that of Marietta for the town.¹

¹ The story of the inception and early growth of the settlement has been often told: in such general works as Bancroft, McMaster (i, 514), Blanchard's *North West*, Howe's *Hist. Coll. of Ohio*, p. 572, and the histories of Ohio, like James W. Taylor's *Hist. of Ohio, 1050-1757* (Cincinnati, 1854), etc.

Two contemporary tracts are of interest: Solomon Brown's *Oration at Marietta, Apr. 7, 1750, in commemoration of the settlement formed by the Ohio Company* (Worcester, 1780), and *Oration delivered at Marietta July 1, 1788, by the Hon. James M. Varnum; Speech of his Excellency Arthur St. Clair, and proceedings of the inhabitants* (Newport, 1788).

The earliest of the most successful local antiquaries was Dr. S. P. Hildreth (notice of him by Chas. Whittlesey in *Mag. West. Hist.*, ii, 81), who published at Cincinnati in 1848 his *Pioneer History: being an account of the examination of the Ohio Valley, and the early settlement of the North-Western Territory, chiefly from original Manuscripts, containing the papers of Col. George Morgan, those of Judge Barker; the Diaries of Joseph Biell and John Matthews, the records of the Ohio Company, &c.* In 1852 he published at Cincinnati his *Biographical and Historical Memoirs of [35 of] the early Pioneer Settlers of Ohio*; and he was a frequent contributor to the *American Pioneer*; and some of these papers relating to the early settlements in Ohio were printed separately (1844) as *Original Contributions of the American Pioneer* (Thomson's *Bibliog. of Ohio*, nos. 350, etc.). Another early and painstaking writer, of less local familiarity, was James H. Perkins, who published his *Annals of the West* at Cincinnati in 1840, which was revised and enlarged by J. M. Peck (St. Louis, 1850), and was again greatly extended by James K. Albach (Pittsburg, 1857, pp. 451, 461, 473). Cf. Thomson's *Bibliog.*, nos. 10017, 0211. Mr. Perkins printed his "Fifty Years of Ohio" in the *North American Review*, xvii, July, 1838 (also in *Hesperian*, iii, 295, and in *Memoirs and writings of J. H. Perkins*, ii, 360), where is also (ii, 320) a paper on the "Settlement of the North West Territory" from the *N. Amer. Rev.*, Oct., 1847.

For treatment more nearly monographic, see Walker's *Athens County*, p. 21; Israel Ward Andrews' *Washington County and the early settlement of Ohio*, and his paper on "The Beginning of the Colonial System of the United States in Ohio," in the *Archæological and Historical Quarterly*, vol. i, June, 1887; E. C. Dawes's *Beginning of the Ohio Company, read before the Cincinnati literary club, June 4th, 1881* (Cincinnati, 1882); *Western Reserve Hist. Soc. Papers*, no. 6; *Mag. West. Hist.*, Jan., 1887, by W. Barrows; and the list of the early settlers under Putnam, given in *Ibid.*, Jan., 1885, p. 233.

We have some records of travels in this region at the time of the settlement: Col. John May's journal in the *N. E. Hist. and General Reg.*, Jan., 1873, and Jan., 1876; Thomas Wallcut's journal in the *Mass. Hist. Soc. Proc.*, xvii, 174; journal of some emigrant families across the mountains from New England to Muskingum in 1788, in *American Pioneer*, ii, 112, etc.; letters from Capt. Lawrence Butler (1781-9) in the App. of the *Memoirs of Jos. Cradock*; and Cutler's journal, used in the *N. Am. Rev.*, Oct., 1841, and given in the *N. E. Hist. and General Reg.*, 1860-61, vols. xiv, 104, 234, 364, and xv, 45, and in the *Life of Cutler*, i, 301. The papers of Cutler were for a while in the hands of Dr. E. M. Stone of Providence, from whom they were recovered, and upon them was based an illustrated paper by Alfred Mathews on "The earliest settlement in Ohio" (*Harper's Magazine*, Sept., 1885), and the *Life, Journals, and Correspondence of Manasseh Cutler* (Cincinnati, 1888, two vols.), by his grandchildren, Wm. Parker Cutler and Julia Perkins Cutler. A son of Dr. Cutler gave a brief sketch of him in the *N. E. Hist. and General Reg.*, xvii, 297, and there are references in the *Mag.*

West. Hist., Oct., 1886, p. 856. Cf. A. P. Peabody on Cutler in the *New Englander*, xlv, 310. To Dr. Cutler is ascribed the authorship of an advertising tract, intended to entice immigrants to the Western lands, *Explanation of the map of the federal lands, confirmed by the treaties of 1784 and 1780* (Salem, 1787, — Brinley, iii, 4545); Thomson's *Bibliog.*, no. 201, who says the map itself is not known; but Salin, v, 18, 175, noticing a second ed., 1787, connects a map with it. Stevens (*Hist. Coll.*, i, 1405) says there was no map. The tract is reprinted in the *Life, etc. of Cutler*, ii, App. C. It was in this tract that Cutler predicted the navigation of the Ohio by steamboats. Cf. *Mag. West. Hist.*, 1885, p. 258, where the early history of navigation on the Ohio and the beginnings of the movements of produce from Fort Pitt to New Orleans is traced. The first successful steamboat on the Ohio was the "Orleans," of 200 tons, in 1811-12. *Amer. Pioneer*, i, 68, 156, and elsewhere. It was in 1786 that John Fitch, working on his idea of a steamboat, refused to sell his invention to Gardquoij, the Spanish envoy; and, with the aid of a Dutch watchmaker in Philadelphia, began his first practical experiments on the Delaware, and, in their recesses, the members of the Federal Convention looked on as the experiments continued. Cf. the references in McMaster, i, 435, where he notes something of the controversy of Fitch with James Rumsey, who was experimenting at the same time, in another way, on the Potomac. Cf. Scharf and Westcott's *Philadelphia*, iii, 2106; and the lives of Fitch by Thompson Westcott (Philad., 1807), and by C. Whittlesey in Sparks's *American Biog.*, xvi, 83. Cf. Collins's *Kentucky*, ii, 174; Shaler's *Kentucky*, 175; Parton's *Franklin*, ii, 550; Watson's *Annals of Philad.*, etc. See, on the Rumsey-Fitch controversy, Preble's *Steam Navigation*, and the note in Stevens's *Hist. Collection*, i, no. 756, upon a copy of Rumsey's *Short Treatise on the Application of Steam* (Philad., 1788). This tract is reprinted in the *Doc. Hist. N. Y.*, ii, 1012. It was originally published as *A Plan wherein the power of steam is fully shewn* (Jan. 1, 1788). There are in the same volume (*Doc. Hist. N. Y.*) the controversial pamphlet relating to the priority of Fitch, called *The Original Steamboat* (Philad., 1788).

Of the leader of the settlers there is, by Mary Cone, a *Life of Rufus Putnam; with extracts from his journal, and an account of the first settlement in Ohio* (Cleveland, 1886). Cf., by the same writer, the "First settlement of Ohio," in the *Mag. Amer. Hist.*, vi, 241; and a paper by Alfred Mathews, with a portrait of Putnam, in the *Mag. West. Hist.*, Nov., 1884, p. 32. There are other accounts of him in Hildreth's *Pioneer Settlers* (with portrait); in *Harper's Monthly*, lxxi, 532 (with portrait); in Temple's *North Brookfield*, pp. 308-431; and in Walker's *Athens County* (ch. 2). Sparks (*Sparks MSS.*, liv, 6) has a letter from Putnam (1810) to Gen. William Shepard, relating to the settlement. His letter to Fisher Ames, setting forth (1796) what he conceived to be the interest of the Western country to remain a part of the Union, is in Manasseh Cutler's *Life, etc.*, ii, App. A.

General Parsons is also commemorated in Hildreth's *Pioneer Settlers*.

The first white child born in Ohio is traced in the *Mag. West. Hist.*, Dec., 1884, p. 119; and the first house built, in *Olden Time*, i, 85. The first pioneers on the Ohio, the cabin and clearing of the Zane family, near the mouth of Wheeling Creek, 1790, are commemorated by Isaac Smucker in *Mag. Western Hist.*, ii, 326.

The name Ohio was eliminated by the French from the Indian name of the river, *Youghiogany* (Bancroft, final revision, vi, 123).

Jacob Burnet's *Notes on the Early Settlement of the North-Western Territory* (Cincinnati, 1847) relate to a period somewhat later than that we are now considering (Thomson's *Bibliog.*, nos. 142, 143). See portrait and sketch of

While the final cessions of the States were pending, Congress at intervals discussed the future of this great domain, but for a while little progress was made except to establish that Congress could divide the territory as might seem best. Nathan Dane came forward with a motion for a committee to plan some temporary scheme of government. A committee on this point reported (May 10, 1786) that the number of States should be from two to five, to be admitted as States according to Jefferson's proposition, but the question of slavery in them was left open.¹ Nothing definite was done till a committee — Johnson of Connecticut, Pinckney of South Carolina, Smith of New York, Dane of Massachusetts, and Henry of Maryland — reported on April 26, 1787, "An ordinance for the government of the Western territory," and after various amendments it was fairly transcribed for a third reading, May 10th.² Further consideration was now delayed until July.

It was at this point that Manasseh Cutler appeared in New York, commissioned to buy land for the Ohio Company in the region whose future was to be determined by this ordinance, and it was very likely, in part, by his influence that those features of the perfected ordinance as passed five days later, and which has given it its general fame, were introduced.³



RETURN JONATHAN MEIGS.*



MANASSEH CUTLER.†

Judge Burnet in the *Mag. West. Hist.*, April, 1887 (i. 467, 531). The *Notes* were enlarged upon letters originally published in the *Transactions of the Ohio Hist. Society* (vol. i. part 2). Cf. in the *Johns Hopkins University Studies*, a paper by John T. Short and Samuel C. Derby on the *Indian, French, and English towns in Ohio*.

¹ *Journals*, v. 79.
² This form of it was first published by Peter Force in *National Intelligencer*, Aug. 26, 1847. It is also given in *Western Law Journal*, v. 521; Donaldson's *Public Domain*, 1501; *St. Clair Papers*, ii. 608; and *Life of M. Cutler*, ii. App. D.

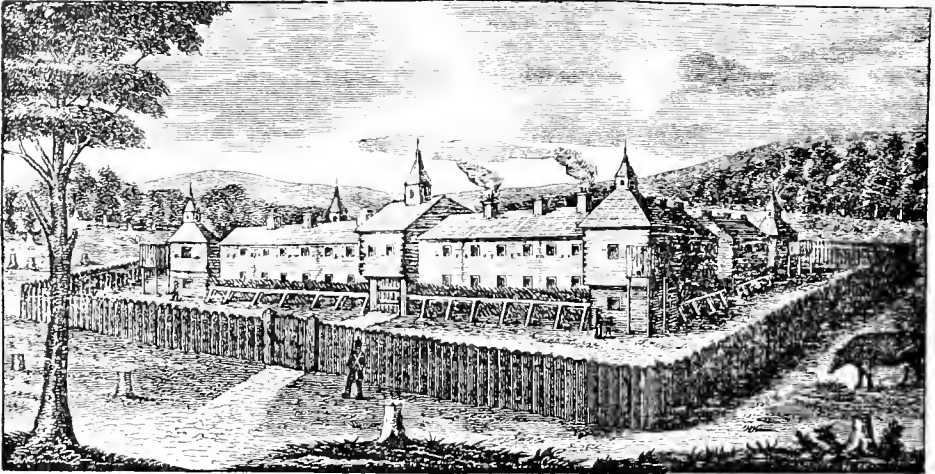
³ The question of the authorship of the ordinance had been made prominent by Webster in his speech on Foot's Resolution in 1850 (*Works*, iii. 294, 277; vi. 552), when he ascribed the drafting to Nathan Dane, and Dane in a letter, March 26, 1830, upheld Webster's statement (*Miss. Hist. Soc. Proc.*, x. 475). Cf. his *General Abridgment and Digest of Amer. Laws*, Boston, 1823-24; a letter (which has been held to settle the question in favor of Dane) to Rufus King in *N. Y. Tribune*, Jan. 31, 1852, or in Spencer's *United States*, ii. 202, and one to J. H. Farnham in

N. Y. Tribune, July 18, 1875). Benton and Hayne disputed Webster's assertions at the time, and Edw. Coles joined with them, in his paper (1856) on the ordinance, in supporting the claim of Jefferson. Meanwhile Peter Force, in 1847, had printed the ordinance as it was left May 10, 1787, showing it to be greatly different from the ordinance passed July 13th. In 1872, the Rev. Dr. Jos. F. Tuttle, in a paper (May 19, 1872) before the N. Jersey Hist. Soc. (*Proc.*, iii. 75), first presented the claims of Cutler for the introduction into the ordinance of the clauses for the exclusion of slavery and for the support of education. In sustaining this view, Dr. Tuttle produced extracts from Cutler's diary while in attendance upon Congress. Bancroft (vi. 286) points out some misconceptions of Cutler on the doings of Congress, then sitting with closed doors. More extensive and thorough use of the same diary was made by William F. Poole in a paper read before the Cincinnati Literary Club, Dec. 21, 1872, which was printed in the *N. E. Hist. and Gen. Reg.*, April, 1873, p. 101, as "The man who purchased Ohio." He later elaborated his views in the *No. Amer. Review*, April, 1876, and in the separate reprint of this last paper, *The ordinance of 1787, and Dr. Manasseh*

* After a cut in *Harper's Mag.*, lxxi. p. 560. He was one of the earliest settlers of Marietta.

† After a cut in *Harper's Magazine*, lxxi. p. 555. Cf. the engraving in the *Life of Cutler*, p. 1. A portrait of Cutler by Lakeman is in the Essex Institute, Salem, and another is owned by Dr. Forrey, of Beverly, Mass.

On July 6th the bill was referred to a new committee, of which a majority were Southern men, Carrington of Virginia taking the chairmanship from Johnson; Dane and Smith were retained, but Richard Henry Lee and Keam of South Carolina supplanted Puckney and Henry. This change was made to secure the Southern support; on the other hand, acquiescence in the wishes of Northern purchasers of lands was essential in any business outcome of the movement. "Up to this time," says Poole, "there were no articles of compact in the bill, no anti-slavery clause, nothing about liberty of conscience or of the press, the right of *habeas corpus* or of trial by jury, or the equal distribution of estates. The clause that 'religion, morality, and knowledge being necessary to good government and the happiness of mankind, schools and the means of education shall be forever encouraged,' was not there." These omissions were the New England ideas, which had long before this been engrafted on the Constitution of Massachusetts. This new committee reported the bill, embodying all these provisions except the anti-slavery clause, on the 11th, and the next day this and other amendments were made. On the 13th, but one voice was raised against the bill on its final passage, and that came from Yates of New York.¹



CAMPUS MARTIUS, 1768.²

Cutler as an agent in its formation (Cambridge, 1876). It may be a question if the influence of Cutler should stand so apart as Poole makes it (cf. Gay's *United States*, v. 110), and his critics have thought other influences combined with Cutler's New England views to give the ordinance its final shaping (*St. Clair Papers*, i. 122; H. B. Adams in *The Nation*, May 4, 1882). The latest presentation of the influence of Cutler is in the *Life, etc. of M. Cutler*, 3 (h. S. Bancroft (vol. vi. 287, etc.), with references to the original files in the State Department, does not mention Cutler's influence, but lets it appear that the new form was a growth by imitation of the Bill of Rights and other exemplars. It is to be remembered that all Massachusetts people had been familiar with the points of the ordinance in question, from their discussion and adoption of them in the constitutional convention of that State in 1780. Cf. Emory Washburn in *Mass. Hist. Soc. Proc.*, viii. 204; Charles Deane in *Phil.* xiii. 206; Alex. Bullock's *Centennial of the Mass. Constitution*.

¹ The ordinance is printed in the *Journals of Congress*, iv. 752; *Public Domain*, 1533; *U. S. Land Laws*, 350; *St. Clair Papers*, ii. 612; *Life of M. Cutler*, ii. App. D; Poole's *Federal and State Constitutions*, i. 420; *Mag. Western Hist.*, Nov., 1884, vol. i. p. 30; Allach's *Annals*, 46; Coomes and Fenton's *Amer. Politics*; Holmes's *Parties*; Curtis's *Constitution*, i. 302; Towle's *Constitution*, 360; Tucker's *United States*, i. App., etc. etc. Sato (p.

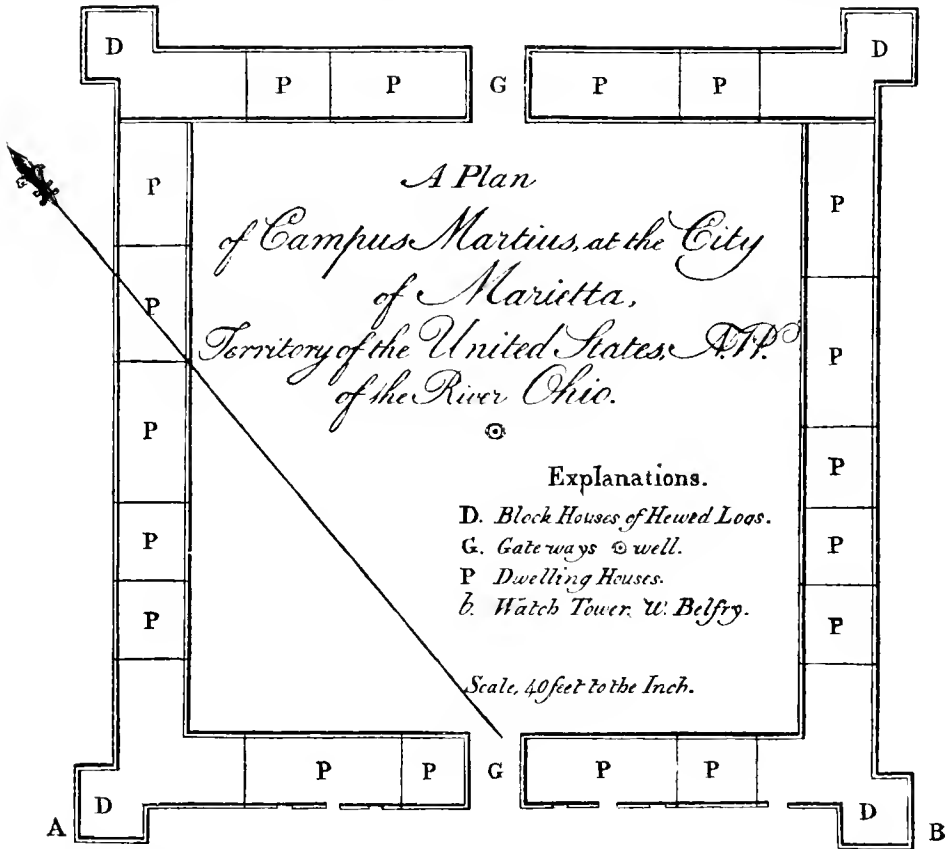
94) gives a condensed statement of its provisions. For treatment, beside the general histories, see Hildreth, iii. ch. 48; Judge Cooley's *Michigan*, p. 127; H. B. Adams's paper in the *Maryland Hist. Soc. Fund. Publ.*, no. 11, p. 107; F. W. Andrews in *Mag. Amer. Hist.*, Aug., 1886, and in the *Amer. Hist. Assoc. Papers*, ii. 38; John Eaton in *Education*, Feb., 1887, vol. vii.; Farmer's *Detroit*, p. 85; a paper by B. A. Hinsdale in *Ibid.*, July, 1887; Edward Coles's *Hist. of the Ordinance* in *Pro. Hist. Soc. Papers* (Philad., 1856); W. P. Cutler's *The ordinance of July 12, 1787, for the government of the territory north-west of the river Ohio. A paper read before the Ohio state historical and archaeological society, Feb. 23d, 1887* (Marietta, Ohio [1887]), and in the *Ohio Archeological and Historical Quarterly*, June, 1887; *Olden Time*, ii. 277; and references in Lator's *Cyclopaedia*, iii. 31; Poole's *Index*, and Thomson's *Bibliog. of Ohio*, no. 933. Sato (p. 68) quotes some of the chief eulogies of the document, like that of Webster (*Works*, iii. 203), Story (*Commentaries*, iii. 187), Curtis (*Constitution*, i. 300), etc. It gave Congress no power to dispose of lands and set up new States, but the power was assumed (*Federalist*, nos. 38, 42, 43; Story's *Constitution*, iii. 184, of first ed.). On its relation to slavery, see Wilson's *Rise and Fall of the Slave Power*, vol. i. ch. 3, and Madison's *Letters, etc.*, iii. 151. On its relation to education, see Barrow's *United States of Yesterday*, 687, ch. 6.

² Reduced in facsimile from a cut in the *American Pioneer*, March, 1842, where the fort is described. In *Ibid.*, May, 1842, is a view of the court-house and jail built in 1768. Cf. the view of the fort in the *Columbian Mag.*, ii. 649, Nov., 1837, and the compiled view in *Mag. West. Hist.*, Dec., 1884, with a paper by Alfred Mathews; others in Lossing's *Field-Book of the War of 1812*, p. 37, and in Howe's *Hist. Coll. Ohio*, 503.

Poole intimates that it was the promise of the governorship of the territory under the ordinance which induced St. Clair, then President of Congress, to lend it his countenance.¹ The promise, if such it was, was fulfilled, and St. Clair became the first governor of the territory.²

Not long after the war ceased, streams of disbanded soldiers, mainly from Virginia, were flowing into Kentucky across the mountains, and a few years later there were frequent flotillas of immigrants to the regions on both sides of the Ohio, floating down the river past Fort Harmar. Col. Higginson, in ch. 17 of his *Larger History*, pictures with his accustomed skill the great Western march of the people from the beginnings at Marietta.³

As early as 1784-85 there were the beginnings of a movement towards detaching the region south of the Ohio from Virginia, and giving it the organization of an independent State; and there being no printing-press beyond the mountains, the documentary appeals were circulated among the people in manuscript. The



cession by Virginia, consummated March 1, 1784, had embraced only the region north of the Ohio, and the territory of Kentucky, claimed both by Virginia and New York, never came into the public domain of the United States. The hardy frontier spirit of the people in this region soon impressed, by tale and amplification, the seaboard States as belonging to a people almost as savage as the Indians, whom they were trained to fight.⁴ But the attitude of the settlers was at first one of suppliance and caution, in their representations to the parent State. Virginia was inclined to throw the burden of decision upon Congress, and between the two

¹ This view is combated by the editor of the *St. Clair Papers*; and by W. W. Williams in his "Arthur St. Clair and the ordinance of 1787," in the *Mag. of West. Hist.*, Nov., 1884.

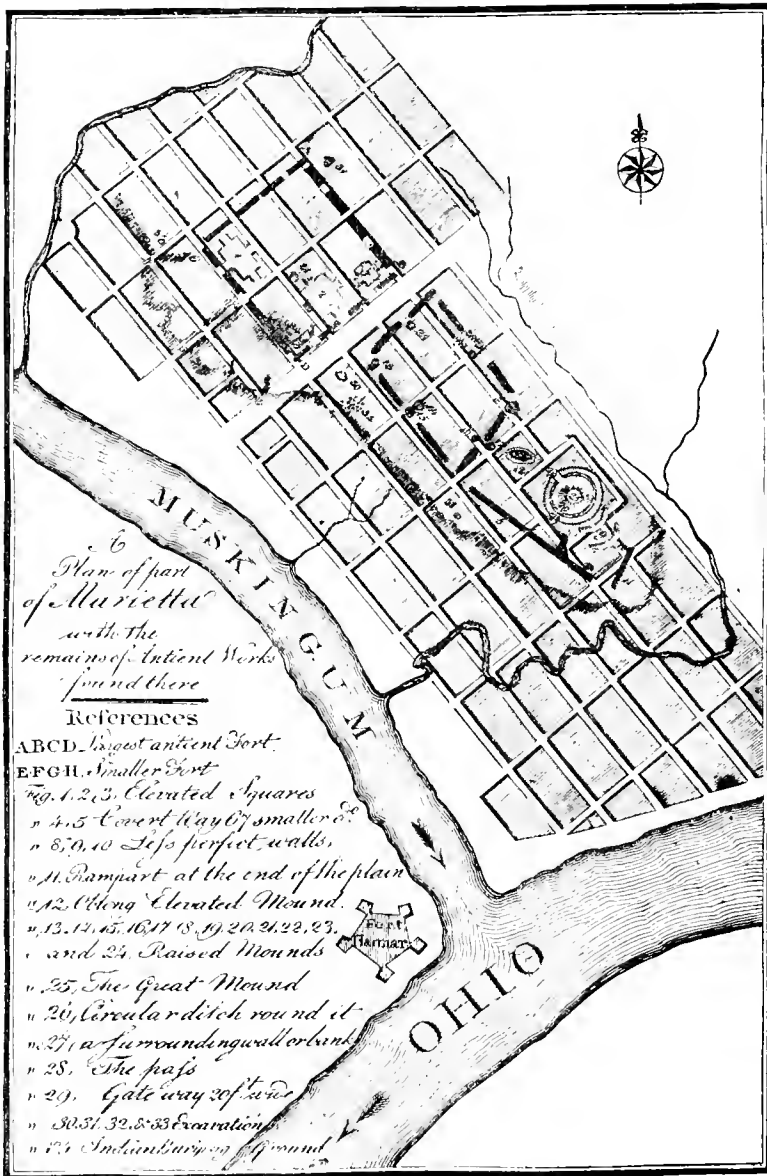
² Cf. Isaac Smucker (*Mag. of West. Hist.*, Jan., 1885, p. 297) on the successive administrations of the territory.

³ Cf. pictures in Schouler, i. 225; McMaster, ii. 573.

⁴ *Bellamy Papers*, i. 413.

NOTE TO ABOVE PLAN. — From a plate in the *Columbian Magazine*, November, 1783. It is described: "The fortification is all of hewn timber. It is more than thirty feet above the high banks of the Muskingum, and only 150 yards distant from that river, with a beautiful natural glacis in front. The city consists of one thousand house-lots, of ninety by one hundred and eighty feet, with spacious streets intersecting at right angles."

the movement proceeded slowly and gave time for a bold spirit to be aroused. There were some among the settlers, like James Wilkinson, who began to stir the popular mind with talk of secession and independence. The rumors of what Jay proposed as regards the navigation of the Mississippi River were good breezes to fan the flame. Wilkinson sought to see what he could do with Spain, and going down the river on a produce

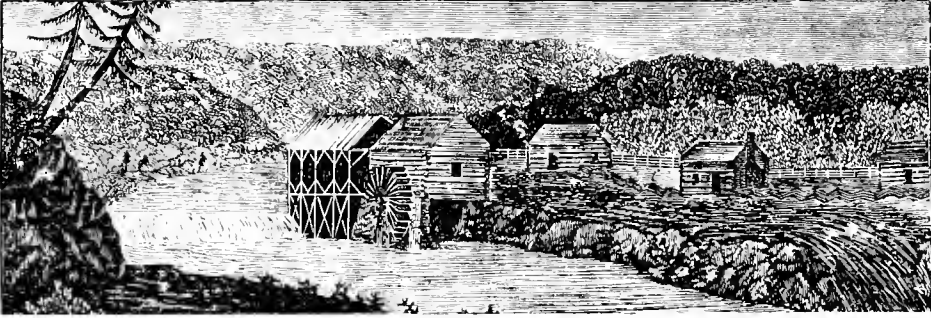


MARIETTA, OHIO, 1803.*

boat to New Orleans, he effected a private treaty of trade with the Spanish authorities. Attempts were made by threats of independence, to coerce Congress into signifying its willingness to receive the new State. If we may trust Wilkinson, General Carleton, who was become Lord Dorchester, and now commanded in Canada,

* From Harris's *Journal of a Tour in 1803*. The original plans of Marietta, as surveyed by Rufus Putnam, are in the college library at Marietta.

sent one Dr. Connally to the Kentuckians to offer assistance in wresting Louisiana from Spain, with the purpose that both Kentucky and Great Britain should share in the results. Wilkinson says he frightened the emissary off by a trick, and then organized a produce fleet of flatboats to move down the Mississippi, each flying the Kentucky flag and carrying a small cannon. In June, 1788, a committee of Congress finally reported a recommendation that Kentucky be set up as a State;¹ but there was a disposition to deter such a movement for better results until the new Federal Constitution went into operation. The correspondence of certain Kentuckians with the Spanish authorities went on, but probably without the concurrence of a majority of the settlers.² In Nov., 1788, Wilkinson and his friends were urging secession; but in a convention called to consider what action should be taken, any step of violence was not deemed wise, and gradually the coercive and independent party lost what hold it had on the people, and Kentucky waited as quietly as she could for the action of the first Congress in 1791.³



FIRST MILL IN OHIO.*

¹ *Journals*, iv. 813. Cf. Madison's reminiscences in 1819 (*Letters*, etc., iii. 131).

² The history of this Spanish imbrolio can be followed in Gayarré's *Louisiana under the Spanish Domination*; the histories of Kentucky and Tennessee; Gen. Wilkinson's *Memoirs*, including Clarke's deposition (ii. App. 51; Bishop Robertson's "Attempts made to separate the West from the American Union," in *Mag. West. Hist.*, March, 1885; Gilmore's *John Sevier*, ch. 6; Shaler's *Kentucky*, 132; Albach's *Annals*, 487, 730; Butler's *Kentucky*, ch. 11; Sparks's *Corresp. of the Rev.*, iv. 246; Warfield's *Kentucky Revolutions*, 28; Cable's *Crocles of Louisiana*, ch. 17; Claiborne's *Mississippi*, i. 247, etc.

³ Cf. I. W. Andrews in *Mag. Amer. Hist.*, Oct., 1887; Jameson's *Constitutional Conventions*, p. 157; Gamett's *Boundaries of the U. S.*, p. 104. Professor N. S. Shaler in the preface of his *Kentucky a Pioneer Commonwealth* (Boston, 1885, "Commonwealth series") considers the new edition of Luther Collins's *Historical Sketches of Kentucky* (Cincinnati, 1847), namely, *The History of Kentucky by the Late Lewis Collins, revised and brought down to 1874 by Richard H. Collins* (Covington, 1874, in two volumes), as the great storehouse of information concerning Kentucky history, with also accounts of the different books on Kentucky (i. 649). Filson's *Kentucky* has been elsewhere described (Vol. VI, p. 708). "It had," says Shaler, "the foundations of Boone's enduring reputation as a hero of Western life," as depicted, for instance, in such books as *The first White Man of the West* (Cincinnati, 1850); J. E. Jones's *Wild Western Scenes* (new ed., Philad., 1881), and popular magazine papers like J. M. Brown's in *Harper's Monthly*, lxxv. 48. (Cf. *People's Index* and *Index to Harper's Monthly*, pp. 41, 225, 327.) Col. Boone's *Life and Adventures*, written by himself, was published at Brooklyn (1823; 2d ed., 1824; Providence, 1824) — all from the same type, says *Brinley Crit.*, iii. 45-51. This pretended autobiography was first given by Filson (2d ed., Vol. VI, p. 708). It was also published as Boone's writing

in Cecil B. Hartley's *Life and Times of Boone* (Philad., 1860).

Alex. Fitzroy's *Discovery, Purchase, and Settlement of the Country of Kentucky* (London, 1776, — pp. 15, — Brinley, iii. 4502) is a rare tract.

Collins (i. 649) refers to William Pittell's *Political Transactions in and concerning Kentucky* (Frankfort, Ky., 1806, — Salin, x. 41, 506), copy in Boston Athenæum. The earliest history of current reputation is Humphrey Marshall's *History of Kentucky* (Frankfort, Ky., in 2 vols., the first in 1812; the first and second again in 1824, cf. Field, *Indiana Bibliog.*, no. 1018; R. Clarke's *Americana*, 1878, nos. 1086, 1081).

A more compact treatise is Mann Butler's *Hist. of Kentucky to the Close of the Northwestern Campaign in 1813* (Louisville, 1834; Cincinnati, 1870).

The principal local histories going back to the pioneer days are Ben. Casseday's *Louisville* (Louisville, 1852) from the first surveys (1770) in the vicinity of the falls of the Ohio; and George W. Kimmel's *Lexington* (Cincinnati, 1872; based on an historical address, 1871) — a town named by the earliest settlers in 1775, on hearing rumors of the fight at Lexington, in Mass. Cf. also James T. Morehead's *Address in commemoration of the first settlement at Boonesborough* (Frankfort, 1860, with an appendix of proofs and illustration); Wm. C. P. Breckinridge's *Centennial Address, Breckinridge Co., Ky., on the site of Harlan's Old Fort, near Harlan's Ferry, Nov. 2, 1882* (Frankfort, Ky., 1882); and Col. John Mason Brown's *Centennial Address at Frankfort, Ky., Oct. 6, 1880* (Louisville, 1880) — beginning with the early pioneers of 1775, and also Rives's *Life of Madison*, 79 for the early movement, and the *Memoirs of Gen. Jrs. Wilkinson*. Cf. Daniel Drake's *Pioneer Life in Kentucky*, series of *Reviews and Letters to his Children*, *Their Lives and Sketch of his Life*, by his son (Cincinnati, 1878; M. J. Spaulding's *Early Kentucky Settlements of Kentucky 1775-1827*) published at Louisville (Field, *Ind. Bibliog.*, no. 1477; and *People's Index*, p. 709).

* Fac-simile of the cut of the "Wolf Creek Mill" in 1786, about a mile above its junction with the Muskingum, given in the *Amer. Pioneer*, March, 1847.

The great territory of the Northwest thus embraced what is at present comprised in the States of Ohio, Indiana, Illinois, Michigan, Wisconsin, with that portion of Minnesota between the upper waters of the Mis-



NOTE.—From Joseph Scott's *United States Gazetteer* (Philad., 1795), the earliest of such books published. In his preface the author says, "The maps I have drawn and engraved myself."

The early maps of this northwestern region are those named in the note attached to the map showing the divisions under the ordinance of 1784.

Of the Kentucky region after the map of Filson (see Vol. VI. 708), we have early distinct treatment in Fitch's map (1780); in that attached to Henry Toulmin's *Description of Kentucky* (Nov., 1792); J. Russel's in Winterbotham (1794); and that in John Melish's *Travels* (Philad., 1812). Mr. F. D. Stone (Penna. Hist. Soc.) draws my attention to *A map of the Rapids of the Ohio, and of the countries on each side thereof so far as to include the routes contemplated for canal navigation*, which gives a curious view of Louisville from near Clarksville, and was published at "Frankford, Kentucky, 1806."

Mississippi and Lake Superior. Ohio was the first State carved out of this great domain in 1802,¹ but the definition of her bounds² was not so accurate that future complications were precluded. The Ohio act of 1802, in defining the east and west line in the northern bounds, was based on Mitchell's map of 1755, which placed the line too far north; and in the controversy with Michigan, Ohio insisted on a line from the south end of Lake Michigan to the most northerly cape of Miami Bay, thus overlapping the claims of Michigan under her bounds of 1805. It remained unsettled till Michigan was admitted as a State, when she gave up her claim to Ohio, and took in recompense the remaining part of the northern peninsula.³

When, in 1800, the Northwest territory was divided, nearly on the present western bounds of Ohio, the western part became the territory of Indiana, from which the northern portion in 1805 became the territory of Michigan; and again, in 1800, its western portion was made the territory of Illinois, including a region to the north, between the longitudes of Mackinaw and Vincennes.⁴ Indiana, as at present bounded, was finally admitted in 1810.

Illinois became a State in 1818,⁵ and after this her territory north of Mackinaw was annexed to Michigan. The north line of Illinois was made to strike Lake Michigan 61 miles down the lake, in contravention of the ordinance of 1787, in order that she might have some ports on the lake, which it was thought would serve to bind her to the Northern States in case there was any disruption of the Union.⁶ The bounds of Michigan have suffered various changes from her first territorial limits, for a part of Illinois territory was joined to her in 1818; she was extended to the Missouri River in 1834, deprived of Wisconsin territory in 1839, and finally bounded as at present on her admission as a State in 1837.⁷

In 1824 Senator Benton endeavored to get legislation setting up the territory of Chippewa, west of Lake Michigan. Judge Dotey, a leading advocate of a similar measure, was, in 1827, willing to call it Wisconsin. In 1830 some new efforts were made, with approval of the name of Huron. In 1830 that part of Michigan outside the present State of that name became the territory of Wisconsin,⁸ but in 1838 that part west of the Mississippi became the territory of Iowa. Some other slight changes of the bounds of Wisconsin were made on her admission as a State in 1847.⁹

The northern boundary of Florida by the royal proclamation of 1763 was the 31° N. lat., as at present.¹⁰ In 1768 West Florida was extended northward to the parallel (32° 25' N. lat.) of the mouth of the Yazoo River;¹¹ and when, by the treaty of 1782-83, England ceded Florida to Spain, the latter power contended, though no bounds were mentioned, that Florida had these extended English bounds of 32° 30', and not the original Spanish bounds of the 31° N. lat. The treaty of Madrid, Oct. 27, 1765, confirmed the line of 31° N. lat., — Spain yielding the point, and also agreeing to allow the people of the United States the rights of deposit for merchandise at New Orleans. Spain, however, did not withdraw her troops from the Yazoo country till 1768.

Between 1796 and 1800, Andrew Ellicott¹² was the American commissioner engaged in marking this line of the treaty of 1795.

The United States never abandoned the claim that, by the purchase of Louisiana in 1803, "with the same

¹ There has been some discussion over the exact date of the admission of Ohio to the Union. Cf. Israel W. Andrews in *Mag. Amer. Hist.*, Oct., 1887, and in *Ohio Sec. of State Rept.*, 1879, p. 43-52; J. Q. Howard in *Mag. Amer. Hist.*, Feb., 1887, p. 135; May, 1887; Isaac Smucker in *Mag. West. Hist.*, Feb., 1885, p. 308. Also see Hildreth, v. 445; Albach, 793; *Hist. Mag.*, xvi. 9.

² Gannett, p. 110.

³ Cf. on this controversy *Senate Docs.*, 1835-36, iii. no. 211; *Rept. of Com.*, 1835-36, ii. no. 380; papers by W. Duane in *Amer. Hist. Record*, i. 154; by W. Buell in *Mag. West. Hist.*, iii. 457; Cooley's *Michigan*, 214; Knapp's *Maumee Valley*, ch. 4.

⁴ These territorial bounds can be traced in Gannett, p. 111, etc. Cf. *Mag. West. Hist.*, Sept., 1889, p. 618.

⁵ Gannett, p. 113; *Legal Adviser*, vi. 101. On the Wabash country before 1800 see *Mag. Amer. Hist.*, May, 1887, p. 498, and the narrative of John Heckewelder's journey to the Wabash (1792) in *Pennsylvania Mag. Hist.*, xi. 466.

⁶ *Annals of Congress*, 1818, ii. 1677; Ford's *Illinois*, 22; Davidson and Struve, *Illinois*, 275. The northern line of Indiana had for a similar reason been put ten miles down the lake on the other shore.

⁷ Cooley's *Michigan*, ch. 8, and p. 219; Curtis's *Buchanan*, i. 358; Jameson's *Const. Conventions*, 185; Gannett, p. 113.

⁸ Gannett, 115; Reuben G. Thwaite's "Boundaries of Wisconsin" in *Mag. West. Hist.*, Sept., 1887.

⁹ Gannett, p. 115; M. M. Strong's *Hist. of the Terri-*

tory of Wisconsin, 1836-1848 (Madison, 1885). Minnesota territory was made out of the Iowa territory in 1849. Gannett, p. 116.

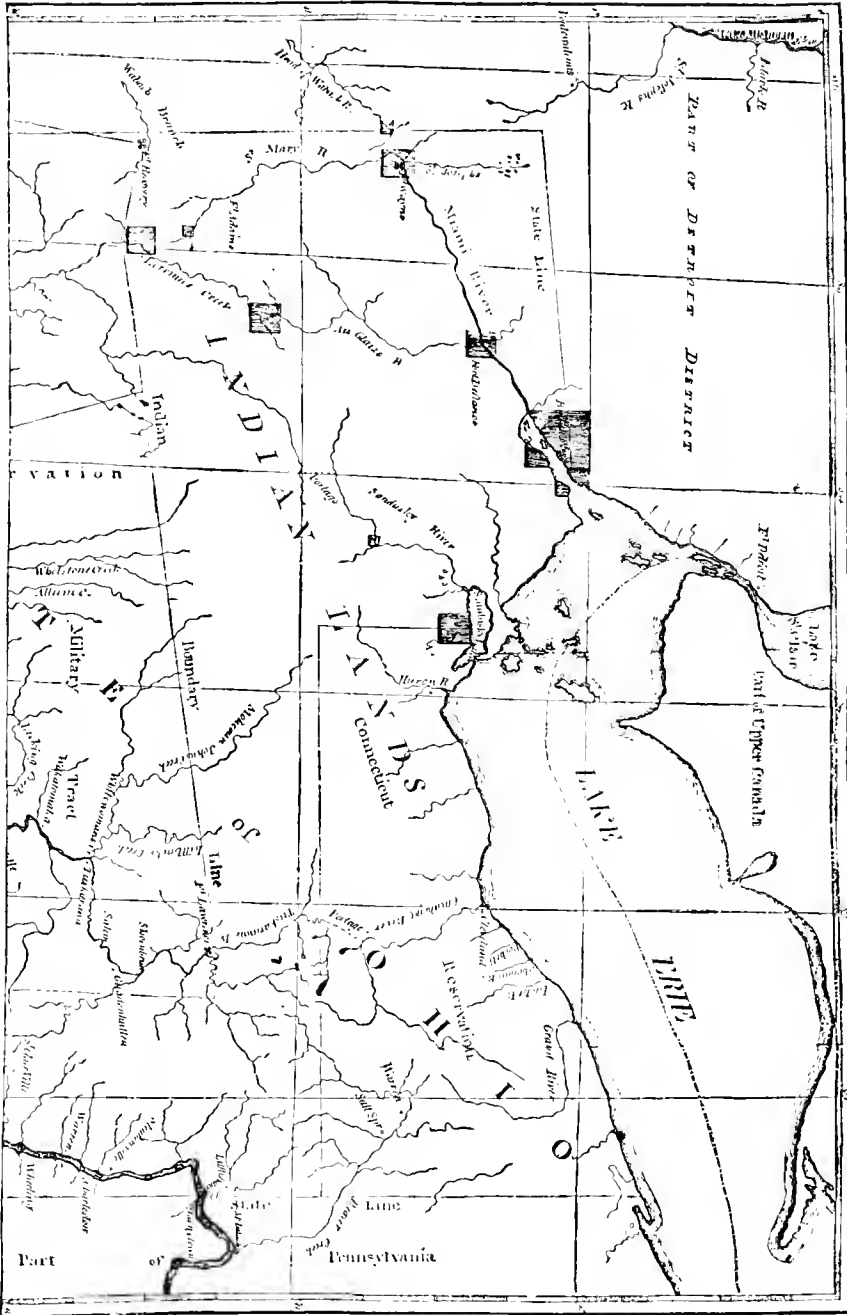
¹⁰ Cf. Vol. V. p. 615 for references; Fairbanks's *Florida*, p. 211.

¹¹ These were the bounds that England established for West Florida, when in 1767 she sent out Elliot to be the governor. Duane's *Laws of the U. S.*, i. 451.

¹² *Statutes at Large*, viii. 138.

Journal of Andrew Ellicott, late Commissioner of the United States, 1790-1800, for determining the Boundary between the United States and the possessions of His Catholic Majesty in America, containing occasional remarks on the Situation, Soil, Rivers, Natural Productions and Diseases of the Different Countries on the Ohio, Mississippi and Gulf of Mexico. 14 maps (Philadelphia, 1803). The line left the Mississippi on the 31° parallel, thence ran due east till it struck the Appalachian River, down that river to the mouth of the Flint River, and thence on a direct line to the source of the St. Mary's, and down that river to the ocean, thus embracing for Spain all the territory east of Mississippi and south of that line, except the island of Orleans (New Orleans), which belonged to the United States under the purchase of Louisiana. Ellicott pointed out how there was thus a part of the bank of the Mississippi alien territory, and how necessary the harbors of West Florida were to the United States. There had been a plan in John Adams's administration to annex Florida (Ellicott, p. 175); and Gallatin, in 1803, had advised the purchase of West Florida (Madison's *Letters*, ii. 179).





grant, the line established at the treaty at Greenville in 1795, together with the isolated cessions to the U. S. under that treaty along "the Maumee of the lakes," and in other places.

There was engraved by Weston, and published at Philadelphia, the surveys which Putnam made of the lands "north-west of the Ohio, and east of the Scioto River," which were "appropriated for military service." Cf. Hildreth, iii. 515; on the military posts in this region in 1788.

extent as when France possessed it," before her cession to Spain in 1763, she owned the Perdido (the present western bounds of Florida), which had been recognized as the limits of Louisiana up to 1793.¹

In 1804 Congress established a customs district in the territory of Mississippi, including within its limits a portion of West Florida. Spain protested, and interfered with traders passing up and down Mobile Bay and River through her territory. In 1805 Americans living in West Florida rebelled, but the rising was soon suppressed. A revolution in Spain (1810) at last incited one in West Florida, and the people, assembling at Baton Rouge, declared independence, and Madison issued a proclamation (Oct. 27), and sent Gov. Claiborne of Orleans territory to take possession under the American construction of the Louisiana purchase, which he did, with the exception of Mobile.² Congress now, Jan. 15 and March 3, 1811,³ on the suspicion that England was preparing to seize Florida, passed secret acts authorizing the President, in his discretion, to take "temporary possession" of East Florida. Certain irregular military aggressions followed, and there was some intrigue, but all overt acts were disowned by the President, as the threatened war with England rendered prudence necessary.⁴

General Wilkinson finally, in 1813, got possession of Mobile, and it had already been established (April 14 and May 14, 1812) that the territory between the Perdido and Pearl rivers should belong to the Mississippi territory, while all west of the Pearl (as at present) should belong to Louisiana. In November, 1814, Jackson, then commanding in Mobile, dashed upon Pensacola and drove out a British force, which had taken possession in August, and reinstated the Spanish power, and then retired. Four years later (1818) Jackson finding that the Seminoles, whom he was fighting, had obtained aid from the Spaniards, again crossed the line, captured Pensacola, and hanged Arbuthnot and Ambrister, two English subjects who were active against him. Spain was powerless, and her minister in Washington at last, Feb. 22, 1819, signed the treaty which gave the Floridas to the United States at a cost of about \$5,000,000 (to be paid to American citizens having claims against Spain). Spain tried to induce the United States to refrain from recognizing the independence of Spain's American revolted colonies as a price of ratification, but failed, and Spain finally ratified the treaty in 1821.⁵

This territory which had been in dispute, between 31° N. lat. and the latitude of the mouth of the Yazoo, and extending from the Mississippi to the Chattahoochee River (the present eastern bounds of Alabama), became the original Mississippi territory in 1768 (*Statutes at Large*, i. 540). In 1804 this territory was extended northward to the southern bounds of Tennessee (*Ibid.* ii. 305). In 1812 the territory was given a frontage on the gulf by annexing to it those portions of the present States of Mississippi and Alabama south of 31° N. lat. (*Ibid.* ii. 734). Of this compacted territory, the western portion became the State of Mississippi, Dec. 10, 1817; and the eastern portion the territory of Alabama, March 8, 1817, and a State, Dec. 14, 1819.⁶

While Spain, in 1782-83, occupied both sides of the Mississippi from 31° N. lat. to its mouth, the United States and Great Britain declared in the Treaty of Paris that the navigation of the Mississippi from its source to its mouth was free to both nations. Spain denied that such provisions could be binding on her, and sought to levy duties on merchandise. Judge Cooley, however, in his *Acquisition of Louisiana* (p. 8), argues that, as such a right was yielded to Great Britain by the treaty of 1713, it necessarily passed with the transfer of dominion to the United States, and that France inherited the obligations of Spain.⁷

The decision, however, was an inevitable one in the near future, when at the close of the Revolutionary War England's assent to bounds of the States on the Mississippi was obtained. — that the country west of that river, and its free possession to the mouth, should belong to the United States. Early proposals to that end are on record.⁸ It was of more pressing importance to secure at least the eastern side of the Mississippi

¹ See map, *ante*, p. 539.

² *The Impartial Inquirer, being a candid examination of the conduct of the President in execution of the powers vested in him by Act of Congress, May 1, 1810* [with *Reflections upon the invasion of West Florida. By a citizen of Massachusetts* (John Lowell)] (Boston, 1811).

³ *Statutes at Large*, iii. 471-472.

⁴ Fairbanks, p. 253.

⁵ The treaty is in *Statutes at Large*, viii. 252. Cf. *Public Domain*, 108; *Amer. State Papers, For. Rel.*, iv. 455, 530, 615. Poore's *Descriptive Catalogue* (1818-1819) will show various documents, including a Senate report, Feb., 1810, censuring Jackson, with accompanying papers, and a message of Monroe relative to the occupation by U. S. troops of Amelia Island. Benton opposed the treaty of 1810, because the Sabine was accepted as the bounds on Mexico, but he found little support (Benton's *Thirty Years*, i. ch. 6; also ii. ch. 42, 153). Cf., on the political aspects of the treaty, Hildreth, vi. 223, 628; Schouler, ii. 67; Sullivan's *Early Letters*, no. 30; Calhoun's *Works*, iv.; Von Holst, 303; Parton's *Jackson*, ii. 397; Claiborne's *Mississippi*, 200; and J. E. M. Curry in *Mag. Amer. Hist.*, Apr., 1888.

⁶ Florida, the nearest land to the tropics which the United States possessed, though there have been efforts from time

to time having in view the acquisition of the West Indies, or parts of them. Cf. John W. Johnston in *Mag. Amer. Hist.*, March, 1889.

⁷ Cf. Gannett, 102, 103, 104; A. J. Pickett's *Alabama*, (Charleston, 1850); W. Brewer's *Alabama*, (Montgomery, 1872).

⁸ Cf. *The speeches of Mr. Ross and Mr. Morris, in the Senate of the United States, the 24th of February, 1803, in support of Mr. Ross's resolutions relative to the free navigation of the river Mississippi and our right of deposit within the Spanish territories* (Philad., 1803), and Sparks's *Gouverneur Morris*, iii. 403; also Schuyler's *Amer. Diplomacy*, ch. 6.

⁹ Cf. *Mag. Amer. Hist.*, iii. 44; Bishop C. F. Robertson's *Amer. Rev. and the Acquisition of the Valley of the Mississippi* (St. Louis, 1884). The rival attempts of Spain after the Revolution to secure the upper Mississippi Valley are covered in the same author's *Attempts made to separate the West from the Amer. Union* (St. Louis, 1885). Cf. Judge Cooley's *Acquisition of Louisiana for a good outline of the events, during which the Western settlers played fast and loose with the Union over the question of the free navigation of the Mississippi. On Hamilton's scheme to wrest Louisiana from Spain, see Lodge's Hamilton*, 212.

from 31° N. lat. to its mouth. A portion of this, that is from 31° southward to the waterway which connects the Mississippi through Lake Pontchartrain with the Gulf, was held by Spain to be a part of Florida. The remaining portion constituted the island of Orleans, on which New Orleans was situated, and though also belonging to Spain was considered a part of Louisiana. The transfer of Louisiana by Spain to France by the treaty of San Ildefonso, Oct. 1, 1800, was a propitious act for the United States, as it turned out, though Jefferson had his misgivings at the time, in seeing Louisiana pass from a weak to a strong power. The first movement now suggested was to purchase of France this island of Orleans; and though Jefferson never pretended that the Constitution authorized an extension of the country by this means, the commercial necessities of the case were too overpowering to allow the purpose to be stayed by any constitutional disability.¹ Accordingly Monroe was sent to act with R. R. Livingston, then the accredited minister in Paris. The danger of war with England disposed Bonaparte, then first consul, to do more than sell the island of Orleans, and to offer the whole extent of the province. The money demanded would be helpful to France, and England, with her naval superiority, was more than likely to seize New Orleans if France retained it. Marbois, who had the confidence of Bonaparte, had been in the diplomatic service in America, and was now at the head of the French treasury. He was put forward to negotiate the sale, and he gives us reports of his interviews with the first consul. The price, 60,000,000 francs, and the satisfying of the French spoliation claims, estimated at \$3,750,000, was agreed upon. The treaty (April 3, 1803) was ratified by Bonaparte in May, 1803, and by the U. S. senate in the following October.²

¹ See examination of this point in Henry Adams's *John Randolph*, p. 85, etc.; Judge Cooley's *Acquisition of Louisiana*, p. 15, etc. The necessity of the purchase is set forth in Adams's *Gallatin*, p. 307. Webster at a later day, while holding it unconstitutional, acknowledged the necessity (*Works*, i. 353; ii. 551). It is somewhat curious that a college exercise of Webster, written in 1802, on the advantage of extending the territory of the United States, has been preserved (*Miss. Hist. Soc. Proc.*, Oct., 1884, p. 224). Cf. David Ramsay's *Orations on the Cession of Louisiana* (Charleston, 1804), and Wm. Duane's *Report of a debate in the U. S. Senate, Feb., 1803, on the Mississippi Question* (Philad., 1803). The purchase was in fact quite within the implied constitutional powers which the Federalists had always contended for, and Gouverneur Morris and Hamilton were quite ready to acknowledge this. The tergiversation of Jefferson, as a strict constructionist, was too good an object of attack to be neglected; and many Federalists seemed to think it incumbent on them to show Jefferson what true strict construction was. The Federalist opposition was therefore in many ways very violent. Fisher Ames (*Works*, i. 323) wrote: "Now by adding an unmeasured world beyond the Mississippi we rush like a comet into infinite space. In our wild career we may jostle some other world out of its orbit; but we shall in every event quench the light of our own." Many affected to believe in the worthlessness of the territory, and alleged that the purchase was but a means adopted by Jefferson to aid Bonaparte in a critical moment. For such and other views, see Sullivan's *Public Men*, 230; *Memoirs of Marquis de Castlereagh*, ii. 138; *Life of Wm. Plumer*, 202; those of Josiah Quincy in *Life* by Edmund Quincy, 86, 205, 213; Lovell's *Hundred Boston Orators*, 203. Cf. Hildreth, iii. 226. William Barrows, in his *United States of Yesterday*, etc. (Boston, 1855), gives some striking comparisons to induce an adequate conception of the acquired territory (ch. 1), and enlarges upon the Eastern jealousy of the West (ch. 11). Cf. Bishop Robertson's *Louisiana Purchase in its influence upon the American system* (Am. Hist. Assoc. Papers, vol. 1, N. Y., 1885); and *An Inquiry into the Present State of the Foreign Relations of the Union as affected by the late measures of Administration* (Philad., 1800).

² The text of the treaty is in *Statutes at Large*, viii. 200; *Treaties and Conventions*, 200-220; and in the App. of Marbois. The bibliography of the subject is given in Gilman's *Monroe*, p. 202, where, in ch. 4, there is a succinct narrative of the negotiations. The French side is told in Barbé-Marbois's *Histoire de la Louisiane et de la cession de cette colonie par la France aux États-Unis de l'Amérique* (Paris, 1820). There is an English translation, said to be by Wm. B. Lawrence (Philad., 1830). Monroe considered Marbois's book to be friendly, but to be in error on some points. The official American publications are the *Amer. State Papers, For. Relations*, ii. 525-544; and the documents which Jefferson put forth as an *Account of Louisiana, being an abstract of documents in the offices of the Departments of State and of the Treasury* (Philad., 1803). Cf. index to Boone's *Descriptive Catalogue*, and Benton's *Debates*, iii. On Jefferson's part, see his *Works*, iv. 431; Morse's *Jefferson*, ch. 14; Randall's *Jefferson*, iii. ch. 1 and 2; and Patton's *Jefferson*, ch. 64. A leading defence of his action is Algernon Sidney's (*psued.*) *Validation of the measures of the present administration* (Hartford).

For general references, see Lyman's *Diplomacy*, 107; Gayarré's *Louisiana, Spanish Dominion* (3d ed. of 1885, vol. iii); Monette's *Mississippi Valley*; Albach's *Annals*, 771; Hildreth, v. 446, 473; Tucker's *U. S.*, ii. 171; J. C. Hamilton, vii. 604; Schouler, ii. 37-45, 249; McMaster, ii. 120; Von Holst, i. 183; ii. 547.

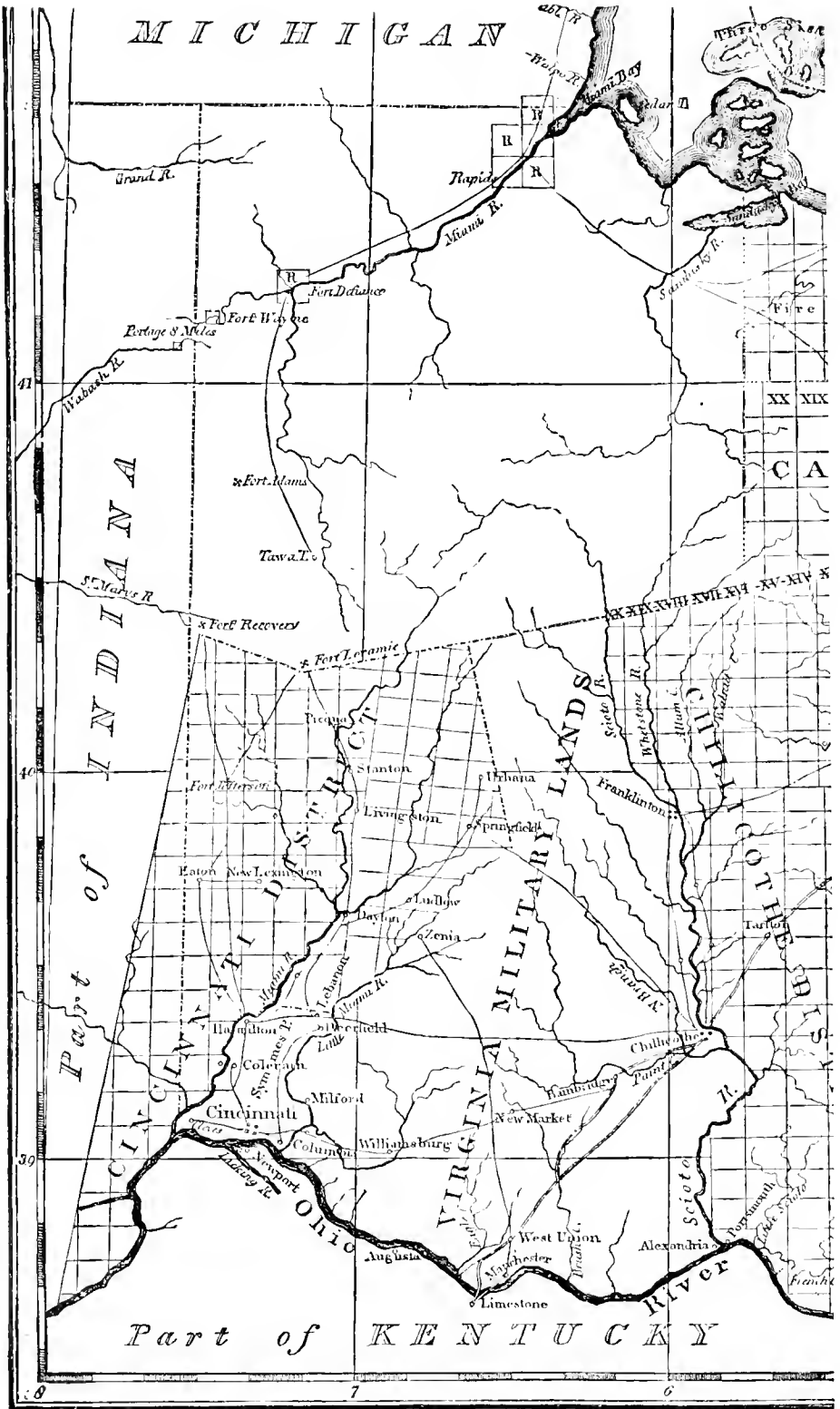
For more particular treatment of bounds, see Gannett, p. 10; Donaldson's *Public Domain*, 80-105, with documents; Sato's *Land Question*, 40, 45.

For more condensed monographic treatment, see Sparks's reviews of Marbois in *N. Amer. Rev.*, xxviii. 381, April, 1820, and xxx. 351, April, 1830; J. M. Peck in *Christian Rev.*, xvi. 553; *Atlantic Monthly*, xxxii. 301; Bishop Robertson in *Mod. West. Hist.*, i. 383; *Oreola Hist. Soc. Trans.*, 1881, p. 101; Judge T. M. Cooley's "Acquisition of Louisiana" in no. 3, *Indiana Hist. Soc. pamphlets* (Indianapolis, 1879); Labor, *Cyclopedia of Political Science*, i. 93. The right of annexation was henceforth considered by general acquiescence of all political parties to be within the powers of the federal government; and the movement could not fail to establish the opinion that there

NOTE TO MAP OF OHIO (next page). — From the map given in John Melish's *Travels in the United States, 1800-7, 1800-II* (Philad., 1812), showing the original grants. C. C. Baldwin (*Early maps of Ohio and the West*, Cleveland, 1875, p. 19) enumerates some of the earlier maps, like Finch's, 1780; those in Kitchin's *Universal Atlas*, 1766; a MS. map by John Heckewelder, 1766, showing the southern banks of Lake Erie (and given in fac-simile in the *Mid. West. Hist.*, i. 119); a MS. map of the Western Reserve by Seth Pease, 1777; by Joseph Scott of Philad., 1793; by Jedediah Morse in the *Amer. Geographer*, 1797.

Chillicothe was founded by people from Virginia and Kentucky in 1776, and was the seat of government, 1800-1810. (Cf. *Harper's Mag.*, lxiii. 555; and the *Pioneer Record of Ross County, Ohio*, by Isaac J. Finley and Rufus Putnam.) Columbus, the present capital, was not founded till 1812 (*Mid. West. Hist.*, March, 1825, p. 411).

MICHIGAN



Part of INDIANA

CINCINNATI

MICHIGAN MILITARY LANDS

Part of KENTUCKY

8 7 6



Huron R.
 Vermilion R.
 Beaver R.
 Black R.
 Rocky R.
 Seneca R.
 Niagara R.
 Grand R.

CONNECTICUT RESERVE

Lands

19 18 17 16 15 14 13 12 11 10 9 8 7 6 5 4 3 2 1
 XVIII XVII XVI XV XIV XIII XII XI X IX VIII VII VI V IV III II I

ANTON DIST

STEUBEN

VILLE

DIST

MARI

ETTA

DIST

PANHANDLE DISTRICT

ZANESVILLE

New Lancaster

Albans

Beaver

Little Kanawha

Troy

Bellville

Salisbury

Point Pleasant

PENNSYLVANIA

Meadowville

Part of

Part of

VIRGINIA

KENTUCKY

Point Pleasant

Point Pleasant

5

6

39

40

41

At the time of the Louisiana purchase there were joint claims of France and Spain to the territory lying west of the Sabine River.¹—France basing its rights upon the occupation by La Salle, and Spain upon the general extent of her Mexican possessions. The United States in acquiring Louisiana obtained the rights of France to regions west of the Sabine, which in the opinion of Jefferson were valid.² The question, however, remained in dispute till 1810, when the United States, in her treaty with Spain, using in the negotiations the map of John Melish, "improved to Jan. 1, 1818," abandoned all claims beyond the Sabine.³ This line was reaffirmed by a treaty with Mexico, then become (1821) independent, in 1828,⁴ and again after Texas acquired independence, by a treaty with that new commonwealth in 1838. Texas by this time was largely populated from the Southern States, and tenders to purchase the region had been made by the United States government in 1827 and 1829, but Mexico declined to sell.⁵ The American organizing spirit of the settlers finally made of that part of the joint territory of Texas and Coahuila, which was under American influence, a separate State of the Mexican dominion, and when Santa Anna in 1835 attempted to reduce its political con-

were powers implied, as well as expressed, in the Constitution.

Upon St. Louis and its relations to Louisiana, and its subsequent transfer under the treaty of 1803, see M. Tarver in *Western Journal*, ii. 71; *Illinois Monthly*, ii. 312, 355; *Mag. Amer. Hist.*, v. 204; F. L. Billon's *Annals of St. Louis* (St. Louis, 1856); O. W. Collet on Pierre Laclède Liguest and the founding of St. Louis, in *Mag. West. Hist.*, Aug., 1885; and on the transfer of Upper Louisiana, by Collet, in *Ibid.*, May, 1885, p. 95.

The acquisition of Louisiana was the opportunity of Edward Livingston. He removed to New Orleans, and proceeded to adjust the old laws of France and Spain to the new conditions, forming what is known as the Livingston Code. Cf. C. H. Hunt's *Life of Edw. Livingston* (N. Y., 1864), and L. L. Hunt's *Mrs. Edw. Livingston* (N. Y., 1886). Cable's *Crests of Louisiana* (N. Y., 1884, — ch. 26) describes the condition of New Orleans at the time of the acquisition. Wilkinson was now put in command at New Orleans (*Memoirs*, ii.; histories of Louisiana by Gayarré and Martin).

The principal descriptions of Louisiana during these years are:—

Baudry de Loriaux' *Voyage à la Louisiane, 1792-98* (Paris, 1802), and *Second Voyage* (Paris, 1803), in two vols.

In 1790, General V. Callot was sent by Adet, the French minister to the United States, to explore and report upon the territory watered by the Mississippi and its branches. When Callot died, in 1805, his results had been printed both in French and English, the latter translation being made under the author's eye. The sheets were unused till 1826, when most of them were destroyed; but such as were reserved were published as *Voyage dans l'Amérique septentrionale, avec un atlas de 36 cartes, etc.* (Paris, 1826), and as *A Journey in North America* (Paris, 1826, — see Sabine, iv. 14, 460-61).

F. M. Perrin du Lac's *Voyage dans les deux Louisianes et chez les nations du Missouri, par les États-Unis, l'Ohio et les provinces qui le bordent en 1801, 1802 et 1803; avec un aperçu des mœurs, des usages, du caractère et des coutumes religieuses et civiles des peuples de ces diverses contrées* (Paris, 1803); and abridged in English, *Travels through the Two Louisianas and among the Savage Nations of the Missouri* (London, 1807).

C. C. Robin's *Voyage dans l'intérieur de la Louisiane, etc.*, 1802-1806 (Paris, 1807).

Berquin-Duvallon's *Vue de la colonie espagnole du Mississippi, ou des provinces de Louisiane et Floride occidentale en l'année 1802* (Paris, 1801); and an English translation, *Travels in Louisiana and the Floridas, in the year 1802, giving a correct picture of those countries.* [Anon.] *Transl. from the French, with notes, &c., by John Davies* (New York, 1806).

H. M. Brackenridge's *Journal of a voyage up the Missouri in 1811* (Baltimore, 1816, 2d ed.), and his *Views of Louisiana, containing geog., statistical, and hist. notes* (Pittsburg, 1814; Baltimore, 1817).

Amos Stoddard's *Sketches hist. and descriptive of Louisiana* (Phil., 1812).

Wm. Darby's *Geog. Description of the State of Louisiana* (Philad., 1819).

In 1804, Congress had set up that portion of Louisiana south of 33° N. lat. as the territory of Orleans; and in 1812 its limits were restricted on the north to 31°, when it was admitted as the State of Louisiana, with the Pearl River as its bounds on Florida. The trans-Mississippi region north of 31° was for a while the district of Louisiana, an adjunct of the territory of Indiana; and so continued till 1805, when it became a separate territory, which after 1812 was called Missouri Territory. From this the region south of 32° 30' was taken in 1819 to constitute the territory of Arkansas, and, with somewhat curtailed limits, it became the State of Arkansas in 1836. The region still farther north became the State of Missouri in 1820. From this time till 1834 the more northerly parts were not under local jurisdiction, but at this last date they were added temporarily to the territory of Michigan, and remained so till the creation of the State of Michigan in 1836. The later divisions of the States bordering on the Mississippi River, in Wisconsin, Iowa, and Minnesota, has been already mentioned. Gannett's *Boundaries of the United States* gives the definite bounds of all these States.

¹ As to the counter-claims in the last century, see H. H. Bancroft's *Mo. Mex. States*, i. 925-29, with references.

² The western boundary of Louisiana was the Rio Bravo del Norte or Rio Grande, if we allow that La Salle, in taking possession at the Bay of St. Bernard, carried rights to the great river which was midway between his post and the nearest Spanish settlement at Panuco.

³ An attempt had been made to settle the dispute in 1805 (*President's Message*, Dec. 6, 1805; *Amer. State Papers, Foreign Rel.*, ii. 602-05). In 1818, J. Q. Adams, as Secretary of State, proposed for the first time to extend the line on the 41° parallel to the Pacific; but the terms finally agreed upon, Feb. 22, 1819, were to follow the Sabine River to 32° N. lat., thence due north to the Red River, thence up the Red River to 100° meridian, thence due north to the Arkansas River, thence to the source of that river. They were led to suppose that this carried the line to 42° N. lat., whence the line went due west to the Pacific. Later surveys showed that the source was far south of 42°; and so, by an alternative provision of the treaty, the line was run due north till it struck 42°. The line between the N. W. corner of the Indian Territory and the S. E. corner of Idaho is now obliterated (*Amer. State Papers, For. Rel.*, iv. 455, 530, 615, or Monroe's message, Feb. 22, 1819; Luis Onís's *Memoir upon the negotiation between Spain and the U. S.*, Balt., 1821). The correspondence of Secretary Adams and the Spanish minister, Don Luis de Onís, between July 9, 1817, and March, 1818, accompanies the President's message of March 14, 1818. Among these, the letter of Adams, March 12, 1818, presents fully the American claims as to boundaries, supported by historical evidence. Long was after this sent to explore this region, and his *Account* was published at Philad., 1823, in two vols.

⁴ *Statutes at Large*, viii. 374; *Treaties and Conventions*, 185.

⁵ H. H. Bancroft, *Mexico*, v. 155, and references.

dition to one of greater dependence on the central government, a revolution followed, and on March 1, 1836, a declaration of independence was promulgated.¹ Gen. Houston, in April, led a small band of Texans against Santa Anna's much larger force, captured the Mexican President, and wrung an acknowledgment of independence from him, and opened the way for regular diplomatic relations with the United States, which however, in 1837, refused to entertain the Texan proposals for annexation, while they acknowledged her independence.² The course of politics in the United States, however, soon built up a Southern party of annexation, which readily found Northern adherents: and the scheme was formulated, or, as its opposers contended, a plot was devised, which, after being defeated by the Senate of Tyler's time,³ was consummated in Polk's administration.⁴

The movement towards annexation had been for some time gathering impetus,⁵ and party lines became sharply drawn,—the South and its sympathizers deeming the representation that it would give them in Congress necessary to offset the growing preponderance of the North. In the North the opponents divided themselves into those who would preserve that preponderance, as destined to exterminate slavery, who held the measure to be beyond the powers granted to Congress by the Constitution, and who deprecated it as a step to war with Mexico and further conquest. Calhoun was the leader of the aggressive annexationists.⁶

The final annexation complicated the question of bounds. The successors of Santa Anna did not agree to his recognition of Texan independence, and it was a dispute in any event whether the limits of Texas on the Mexican side should be the Nueces River or the Rio Grande, still farther to the west.⁷ Beyond the

¹ Of the separate histories of Texas (on the name of Texas, see *Mag. Amer. Hist.*, Feb., 1882, p. 145; and H. H. Bancroft's *No. Mexican States*, 310), covering its pre-annexation days, the chief is H. Yoakum's *Hist. of Texas*, 1685-1846 (N. Y., 1850), in two vols. Others of less importance are by N. D. Maillard (London, 1842); J. M. Morrill (N. Y., 1874); and H. S. Thrall (N. Y., 1876). The best account of the disruption from Mexico is in H. H. Bancroft's *Mexico*, v. ch. 7. An address by an actor of the times, Ashbel Smith, *Reminiscences of the Texas Republic* (Galveston, 1870), makes no. 1 of the Publ. of the Hist. Soc. of Galveston. There are various papers on the history of Texas during its republican days in the *Texas Almanac* for 1859, and in the *Mag. Amer. Hist.*: vol. ii., the Alamo (p. 1) and Houston (p. 577); iii., in 1836 (May); iv., San Jacinto (p. 32); viii., San Jacinto (p. 53); ix., the colonization (p. 157); xi., the republic (p. 38); xi., Houston's Indian life (p. 401); xiii., the diplomacy leading to annexation (p. 101),—not to name others, and Keuben M. Potter is the author of many of them. The career of Sam. Houston is closely connected with the theme. Cf. C. Edward Lester's *Sam. Houston and his Republic* (1849), written with Houston's privity; a *Life of S. H.* (N. Y., 1855); William Cary Crane's *Life and select literary remains of Sam. Houston* (Philad., 1885), in two vols., formed with the aid of Houston's papers, and embodying his messages, etc., as President of Texas. Another personal narrative is Col. David Crockett's *exploits and adventures in Texas; together with a topographical, historical, and political view of Texas. Written by himself. The narrative brought down from the death of Col. Crockett to the battle of San Jacinto, by an eye-witness* (Philad., 1836). Other contemporary records are: Henry Stuart Foote's *Texas and the Texans* (Philad., 1841), in two vols., to the end of the Texan revolution; W. Kennedy's *Rise and Progress of Texas* (London, 1841; N. Y., 1844). Cf. also *A Texas scrap-book. Made up of the history, biography, and miscellany of Texas and its people* (New York [1875]); and Benton's *Thirty Years' View* (i. ch. 144-5). There are also contemporary observations in C. Newell's *Hist. of the Revolution in Texas* (N. Y., 1838), and in *The origin and true causes of the Texas insurrection* (Philad., 1830).

² Cf. the message of President Jackson, Dec. 21, 1836, on the political, military, and civil condition of Texas, in *Dec. 20, 24th Cong., 2d sess.* (Washington, 1836). Van Buren sent in a message on the subject, Sept. 30, 1837. The conservative revolt from any spirit of acquisition is well shown in Dr. W. E. Channing's *Letter to Henry Clay* (Boston, 1837), which the Mexican papers were glad to translate and publish (Mexico, 1837).

³ Cf. *Messages* of May 31 and June 3, 1844; and the *Report of Com. on Foreign Affairs*, Feb. 4, 1845; Theo.

Sedgwick's *Thoughts on the annexation of Texas* (N. Y., 1844); and C. J. Ingersoll's *View of the Texas Question* (Washington, 1844).

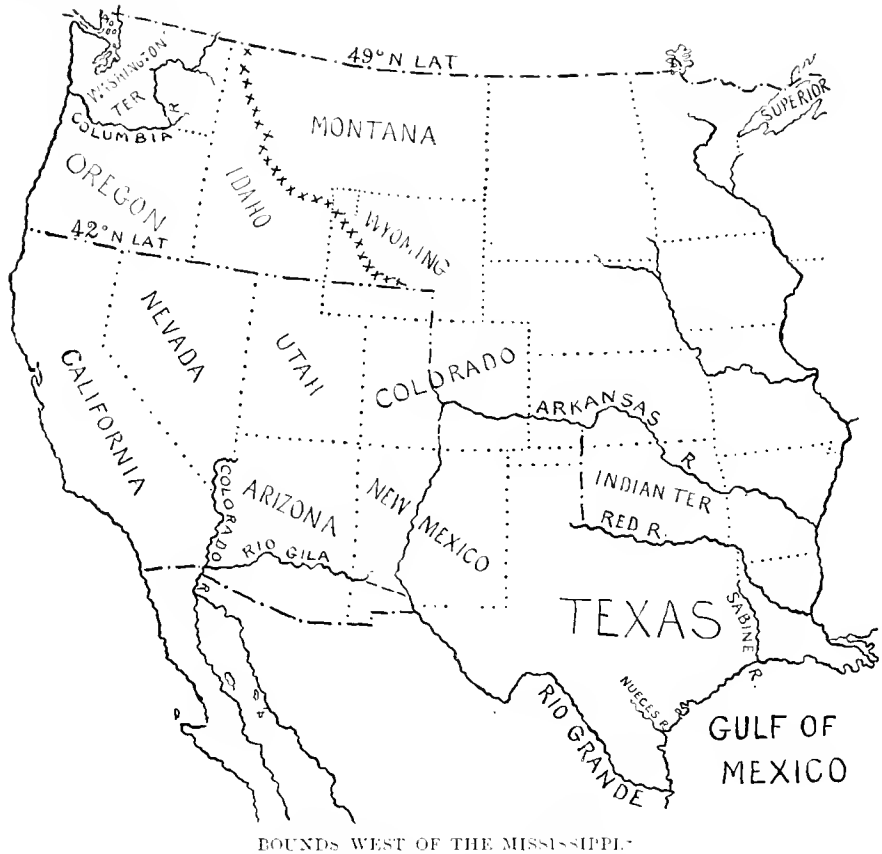
⁴ The varying views of the public at the time came out in the Congressional speeches of Archer, Bayly, Benton, Giddings, Kennedy, Merrick, Potter, Rives, Rusk, Tilden, Weller, Wiley, Woodbury,—not to name others, for which see Benton's *Debates*. For documents, see index to Poore's *Descriptive Catalogue*.

⁵ *Niles's Reg.*, lvii, 138; Benton's *Thirty Years*, ii, ch. 24; Von Holst's *History*, ii, ch. 7; Yoakum's *Texas*, ii.; Lester's *Sam. Houston*; Jay's *Review of the Mexican War*; S. J. May's *Reminiscences of the Anti-Slavery Conflict*; Goodell's *Slavery and Anti-Slavery*.

⁶ Cf. Benton's *Debates*, xii, 764; his *Thirty Years*, ii, ch. 135, 138-142, 145; and Roosevelt's *Benton*, ch. 13; Von Holst's *History*, ii, 531, 582, and his *Calhoun*, 222; Calhoun's *Works*, iv.; *Niles's Reg.*, lxxi, 172, 230; Tucker's *U. S.*, iv, 232, 327; L. G. Tyler's *Tylers*, ii, 250, and his paper in the *Mag. Amer. Hist.*, June, 1882, p. 377. The constitutional argument in opposition found its strongest presentation in Webster (Benton's *Debates*; Webster's *Speeches*; Curtis's *Webster*, ii, 233, 247, 253). J. Q. Adams had attacked the project early and late (Von Holst, ii, 603; *Debates*, xiii, xvi.; his *Memoirs*, xi). The anti-slavery front is depicted in such books as Wilson's *Slave Power*; Greeley's *Amer. Conflict*, ch. 12; George W. Julian's *Polit. Recoll., 1830-1852* (Chicago, 1884), and the numerous anti-slavery writings of that and of later days. In general, on the political aspects of the movement, see Benton's *Debates*, xv, etc.; *Niles's Reg.*, lxxi.; Von Holst, ii, and iii.; Draper's *Civil War*, i, ch. 22; Schurz's *Clay*, ii, ch. 24, 25; Parton's *Jackson*, iii, 654; Sumner's *Jackson*, 353; the speeches of all the leading political characters, like Clay, Calhoun, Choate (S. G. Brown's *Life of R. Choate*, 3d ed. 140), Winthrop (*Addresses*, i), not to name others. Tucker and Gay are the only considerable general histories of repute which come down late enough. The popular periodical treatment will be reached through Poole's *Index*, p. 1260; the large number of Congressional documents through Poore's *Descriptive Catalogue*, p. 1376. The resolutions in Congress to annex and admit Texas are in *Statutes at Large*, v, 707; ix, 108. Some of the more essential documents are in the *Statesman's Manual*, Donaldson's *Public Domain*, 121; and those bearing on constitutional relations are in Towle, p. 797, etc. There is no good key to the numerous pamphlets which the discussions elicited. One of the earliest surveys among such is Veto's *Thoughts on the proposed Annexation of Texas*, originally published in the *N. Y. Evening Post*, and later separately.

⁷ The Texas government, Dec. 13, 1836, had announced the Rio Grande as its bounds on Mexico.

Nueces, however, Texas had not established practical jurisdiction. The attempt of the United States to take military occupation of the country up to the Rio Grande brought on the Mexican War, as was evidently hoped that it would



BOUNDS WEST OF THE MISSISSIPPI.*

* The 49th parallel was, by the convention of 1818, made the northern boundary west of the Lake of the Woods to the Rocky Mountains, which are indicated by the line of crosses (XXXX); thence to salt water, by the treaty of 1847 and finally determined, as run among the islands, out to the ocean by the arbitration of the German emperor in 1872. The purchase of Louisiana (1803), as covering the territory west of the Mississippi to the Rocky Mountains, left the bounds on the Spanish possessions somewhat uncertain till the Florida treaty of 1819 settled the line as beginning at the Gulf of Mexico, thence passing up the Sabine River along the dotted line to the Red River, which it followed, and then turning due north on the line (— · — · —) to the Arkansas River, thence to its source, from which it ran due north to the 42° N. lat., and thence to the Pacific. The annexation of Texas (1845) included the territory between the Sabine and the Rio Grande, and up the latter to its source, and thence due north to an affluent of the Arkansas, out of which, by purchase from Texas, the United States added portions to other States, as the map shows. The narrow strip between the Indian Territory and New Mexico was retained as public lands. The treaty of Guadalupe Hidalgo (1848) added the region south of 42° and west of the upper Rio Grande and the meridian line from its source, and north of Rio Gila and the connecting lines from its mouth to the Pacific, and from its source to the Rio Grande. The strip between Rio Gila and the present bounds on Mexico (— · — · —), stretching from the Colorado to the Rio Grande, constituted the Gadsden purchase (1853). Maps showing the territorial acquisitions and changes in boundaries are numerous: in Donaldson's *Public Domain*, Walker's *Statistical Atlas, Census of 1870*; McMaster's *United States*, ii.; Fisher's *Outlines of Universal History*; H. E. Scudder's *Hist. United States*, 279; Alexander Johnston's *United States*, 167; *Mag. Amer. Hist.*, Oct., 1886, p. 333; *Journal Amer. Geog. Soc.*, xiv. (1882); *Bulletin*, no. 3, accompanying a paper by General E. L. Viele on the "Frontiers of the United States,"—not to name others. These maps not infrequently fail to correspond with each other in minor particulars, as in including Oregon in Louisiana (McMaster's is far wrong in the bounds of Oregon), the making of Kentucky a part of the Public Domain, the extension of Louisiana to the Perdido River; and unless the scale is large, the projection at the Lake of the Woods and the Pennsylvania triangle are often overlooked.

For general treatment of the subject see S. W. Stockton on "The areas and political divisions of the United States, 1770-1890," in the *Statistical Atlas of the North Census*, and B. A. Hinsdale's "Bounding the Original United States," in *Mag. Western History*, Sept., 1882, p. 412; and of course the government publications of Donaldson and Gannett.

Texas had undertaken her revolt from Mexico with little or no financial resources, except so far as her public lands could be pledged for revenue and she could collect import duties. To meet these wants she reserved these public lands at annexation, and losing her duties, the settlement of the future bounds which she should have as a State secured to her a sum of \$12,000,000 from the United States in compensation for lands which she claimed by a westerly extension of her territory, and which she abandoned under the Congressional Boundary Act of Sept. 9 (consummated Dec. 13, 1850). New Mexico had been occupied during the war by Gen. Kearny,¹ and was now set up as a territory, with bounds on the State of Texas fixed by the 32° parallel of N. latitude and the 103° meridian, while a strip between 30° 37' and 32° N. lat. and lying between the Indian Territory and New Mexico, was also included in the cession.

The annexation under the treaty of Guadalupe Hidalgo² added to the United States the territory of California, Nevada, Utah, Arizona, the westerly portions of New Mexico and Colorado, and the southwesterly part of Wyoming, and necessitated a new boundary line on Mexico, running from the Pacific easterly in an irregular way, mainly in the direction of the 33d degree of north latitude, till it reached the Rio Grande, then to the Gulf of Mexico.³

At the time of the acquisition of Louisiana there was no exact knowledge of the headwaters of the Mississippi. The French had best known the upper valleys, both of the Mississippi and the Missouri, and the original authorities are given by Margy.⁴ After the occupation of Louisiana, the United States government dispatched an expedition under Zebulon Montgomery Pike to discover the springs of the great river.⁵

¹ W. M. Gouge's *Fisler's Hist. of Texas*.

² This tended to complicate the boundary disputes with Texas. Cf. Webster's letter to Gov. Bell, Aug. 25, 1850, in *Works*, vi. 471.

³ *Public Domain*, 135, and Gannett, p. 195. The creation of Colorado in 1876 and of Arizona in 1893 has diminished its original territory.

⁴ Feb. 2, 1848. *Statutes at Large*, ix. 922.

⁵ The particular definition of this line (Gannett, p. 22) was marked on a copy of a *Map of the United Mexican States. Revised ed., published at N. Y., 1847*, by G. D. Bartlett, annexed to the treaty. What is called the Gadsden Purchase, being mainly the southern watershed of the Rio Gila (the Mesilla Valley), was also obtained from Mexico, Dec. 30, 1853, *Statutes at Large*, x. 1031, completing the bounds on Mexico as at present established. Cf. Gannett, 22; H. H. Bancroft's *Mexico*, v. 652; *Report of the secretary of war communicating the report of Lieut.-Col. (G. P.) Graham on the subject of the boundary line between the United States and Mexico* (Washington, 1852), and the *United States and Mexico boundary 1802-1853* (Washington, 1857-59), in 3 vols. The difficulties of running the new line are explained by General E. L. Velez in the *Amer. Geog. Soc. Bulletin*, 1882, no. 5, who states that, after its rectification by the Gadsden purchase, it was marked with extreme precision. Upon the line as marked by the treaty of Guadalupe Hidalgo, the commissioners of the two countries could not agree (*Public Domain*, p. 139).

The most useful of the maps of the New Mexico region, and on the routes from Fort Leavenworth to Santa Fe, thence to Fort Smith, is that in Josiah Gregg's *Commerce of the Prairie or a Journal of a Santa Fe trader* (N. Y., 1844). On the contributions to geographical knowledge by the early Santa Fe traders, see *Hist. of Kansas*, 4 (Chicago, 1873), p. 54, etc. Lt. W. H. Emory's *Map of Texas*, 1844, was published by the War Department.

George W. Kendall's *Texas Santa Fe Expedition* (N. Y., 1844) has a map of the region between the 101° and 103° parallels and the 31° and 32° meridian.

⁶ *Discoveries*, etc., vol. vi. McMaster (ii. 123) notes the condition of knowledge at the end of the eighteenth century.

⁷ His narrative was published as *An account of expeditions to the sources of the Mississippi, and through the western parts of Louisiana, to the sources of the Arkansas, Kans, La Platte, and Pierre Juan rivers; performed by order of the government of the United States during the years 1805, 1806, and 1807. And a tour through the interior parts of New Spain, in 1807. Illus. by maps and charts. With Appendices and Atlas* (Phila., 1810), in two volumes; and republished as *Exploratory Travels*, etc. (London, 1811). There are also French and Dutch versions (Paris,

1811-1812; Amsterdam, 1812). Cf. *Life of Pike*, by Henry Whiting, in Sparks's *Amer. Biog.*, 2d series; *Minnesota Hist. Coll.*, i. 182; and *Hist. of Kansas*, large quarto (Chicago, 1873), p. 59.

A later expedition is recorded in the travels of Giacomo Constantino Beltrami, *La scoperta de' sources de Mississippi et de la Riviere Sangante. Description des sources entre la Mississippi. Observations sur le marais et d. fleuves nationaux indiennes*, etc. (Nouvelle Orleans, 1824), or in the English version, *Pilgrimage in Florida and America, and on the discovery of the sources of the Mississippi, the Gulf and bloody rivers, with a description of the course of the former, and of the Ohio* (London, 1825). Cf. *Minnesota Hist. Soc. Collections*, 1897, p. 13.

Of later account are the books of Henry R. Schoolcraft: *Narrative Journal of travels through the northwestern regions of the United States, extending from Detroit through the great chains of American Lakes, to the sources of the Mississippi River in the year 1820* (Albany, 1823).

In an official expedition of the government, authorized in 1832, he traced the origin of the Mississippi in Itasca Lake, and published at New York, in 1834, his *Narrative of an expedition through the upper Mississippi to Itasca Lake, the actual source of the Mississippi River*. His final book was his *Summary Narrative of an Exploratory Expedition to the sources of the Mississippi River in 1820, resumed and completed by the discovery of its origin in Itasca Lake in 1832* (Phila., 1834-1835). Cf. his *Life of Pike*, i. 147, 148. The 1804 and map of Lieut. J. Allen, who accompanied Schoolcraft, is in *Ann. of the 22d Congress*, 1831, p. 223; *First session, 23d Congress*. Cf. Warren's *Pacific R. R. Rep.*, p. 270. See references in Aillibone, ii. 132; Duyckinck, ii. 132. Schoolcraft fantastically formed the name thus: ARIETAS CAPUT. The more detailed report (1845, with map dated 1831-32) of Jean N. Nicollet, on the hydrographical basin of the Upper Mississippi, shows that there are feeders of Lake Itasca, which may be deemed the ultimate sources of the great river. Nicollet's report and map is in *Senate Docs.*, no. 277, 26th Cong., 2d sess., 1838. The original larger map had been published the previous year; and Warren (p. 41) calls it "one of the greatest contributions made to American geography." Still further detailed examinations, as made (1841-50, and 1855-59) by the engineers of the U. S. lake survey and the survey-general of Minnesota, show that the principal feeder broadens into a small lake, called E.B. Lake, and it is this lake that Capt. Willard Glazier named at a later day (1881), and claimed to have first discovered in it the source of the Mississippi (*Royal Geog. Soc. Proc.*, Jan. 1884). The claim is considered audacious. Cf. *The Sources of the Mississippi, their discoveries, real and pretended, a report by James H. Baker*

The complications of the northern boundary of the U. S. from the Bay of Fundy to the St. Lawrence River have been elucidated in another place.¹

It seems to have been by ignorance rather than by the lack of current information that the treaty of 1782-83 carried the line from Lake Superior to the Lake of the Woods along a waterway, since it was fully known at that time that a height of land interrupted such a passage.² It was also before long suspected that the source of the Mississippi was not as high up as the 49° parallel, which was assumed by the treaty of 1782-83. Pickering, in a memoir which he presented to Jefferson (*Life of Pickering*, iv, App. B) after the Louisiana purchase, contended that the true line should be on the parallel of the said source. This would have been a surrender of a large territory to Canada. The Louisiana purchase, in 1803, broke up conclusions which the American agents in London had reached as to running this line.³ By the Treaty of Ghent (1814) commissioners were to decide, among other points, on the line intersecting the Great Lakes, running west from the point



ZEBULON MONTGOMERY PIKE.*

where the 45° parallel touched the St. Lawrence to Lake Superior; and this line, thus finally established by a decision dated at Utica, N. Y., June 18, 1822,⁴ was of importance as giving the islands along its course to one power or the other.

Commissioners appointed under the same treaty to continue the line across Lake Superior to the northwest corner of the Lake of the Woods failed to agree.

In 1818 a convention with Great Britain, recognizing the fact that the northwest corner of the Lake of the Woods might be distant from the 49° parallel, provided that the line from that corner should run due north or south, as was required, till it struck that parallel, and thence westward on that parallel to the crests of the Rocky, or Stony, Mountains.

By the Webster-Ashburton Treaty of 1842⁵ the line across Lake Superior and up that agreed upon among several waterways to a portage, and thence down a stream to the Lake of the Woods, and across that lake to a point fixed at the northwest corner (49°, 23', 55" N. lat.), and then south to the 49° parallel and along it westerly to the mountains, was settled upon.

to the Minnesota Hist. Soc. (St. Paul, 1857), with a list of books, documents, and maps illustrating the matter. See especially *Mag. West. Hist.* (March, 1887), by Alfred J. Hill; various papers in *Science*, vol. viii, and ix, 418; the *Bull. Amer. Geog. Soc.*, 1886, p. 113; and Henry D. Harrower's *Captain Glazier and his Lake* (N. Y., 1886).

¹ See *ante*, p. 171.

² This is shown on various maps of nearly that date, and had got into compilations, such as the map of the *Historisch-geographische Calendar für 1794*, published at Leipzig.

Cf. letter of Benjamin Frohisher, April 10, 1784, in *Miss. Hist. Soc. Proc.*, Oct., 1887.

³ *Brit. and Foreign State Papers*, 1510-20, p. 158. This treaty, which Jefferson discarded, provided for a line to be run the shortest distance between the N. W. corner of the Lake of the Woods and the source of the Mississippi. The map in the London ed. (1809) of Lewis and Clarke shows such a line. A British surveyor had as early as 1798 discovered that this source was below 45°.

⁴ Given in Gannett's *Boundaries of the U. S.*

⁵ Gannett, p. 17.

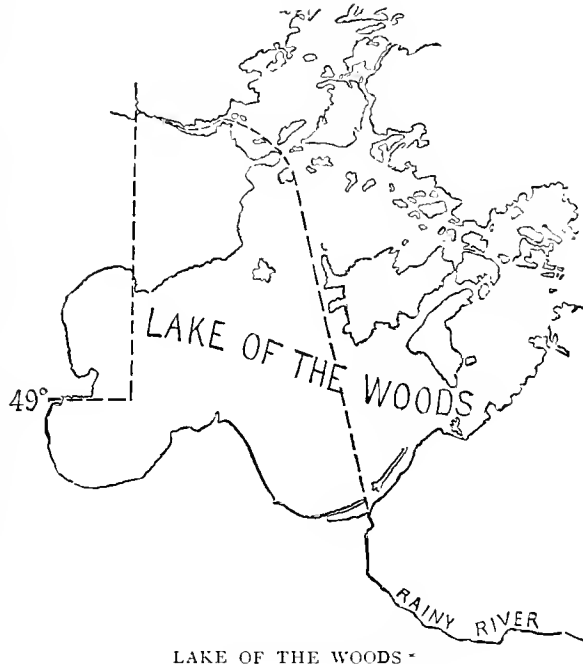
* From an engraving by Gimèrede, in the *Analytic Mag.*, vol. iv.

Thus after sixty years of delay and negotiation, the line was finally established from the Bay of Fundy to the Rocky Mountains.¹

A candid student must recognize the fact that what is known as the Oregon question,² or the controversy over the line from the Rocky Mountains to the Pacific, did not embody claims on the part of any nation that were beyond dispute,³ and that it reasonably invited a settlement by compromise.

The Spanish claim undoubtedly went back farthest, if we consider that the voyages of Cabrillo and his successors, beginning in 1543, and extending in some cases as high as 54° north latitude, can be counted as carrying the rights of discovery.⁴ The Spaniards made, however, no settlements above 42°, the present northern bounds of California. If the Spanish maritime explorations of 1774-1775 gave their real title to the coast,⁵ the voyage of Drake in 1580 must pass for only a transient affair, and the more accurate examinations of Captain Cook (1778) came too late to serve the English. Still, when the Spaniards seized British vessels in Nootka Sound in 1780, Spain, in becoming (Oct. 28, 1790) a party to the Nootka Convention,⁶ by which England and Spain agreed to trade on the coast side by side and to respect each other's settlements, recognized some sort of an English right, either of possession or of might.

This was the condition when the United States began to establish her claim, unless, as was rather whimsi-



LAKE OF THE WOODS -

¹ No necessity of marking this line from the Lake of the Woods westerly arose for many years, and it was not till 1872-74 that it was done by a joint commission of the two countries. The northwest corner of the Lake of the Woods as designated in the treaty of 1782 was established in 1825 by the commissioners under the Treaty of Ghent. At this point the line dropped due south, a distance of 26 miles, to a point in the lake where it struck the 49° parallel, and thence was run by the commissioners due west to the summit of the Rocky Mountains. The American documents appertaining are in the *44th Cong., 2d Sess., Senate Ex. Doc. no. 41*, and the large *Report*, with maps of A. Campbell & W. J. Twining (1878). The atlas is entitled *Joint maps of the northern boundary of the U. S. from the Lake of the Woods to the summit of the Rocky Mountains* (Washington, 1878). The history of the running of this line by the astronomer of the British commission, Capt. S. Anderson, Royal Engineers, is given by him in the *Journal of the Royal Geog. Soc.*, 1876, vol. xlvi. p. 228, with a map; and at less length in the *Geog. Mag.*, 1876, p. 139. Cf. *Globus*, xxv. (1876), p. 187.

² The bibliography of the Oregon question has not been treated monographically, but the material is centred in a few general records,—such as the list of authorities prefixed to H. H. Bancroft's *North West Coast*, vol. i.; in the foot-notes of vol. ii., particularly to ch. 15-17, with some comments on pp. 414-16; the index to Poore's *Descriptive*

Catalogue, the index of Benton's *Debates*, particularly for the numerous speeches, most of them delivered in 1845-46,—and there is a list of many such in H. H. Bancroft's list. The popular expression can easily be traced through the references in *Poore's Index*, p. 947. Barrow's *Oregon* gives a rather scant list of authorities. The various theories as to the origin of the name Oregon—Carver first using, and it being doubtful whether he invented it, or adapted it from some source, Spanish or Indian—are most succinctly stated in a long note, with references, in H. H. Bancroft's *Oregon*, pp. 17-25. The most elaborate development of any theory is by Prof. Josiah D. Whitney in his *Names and Places* (Cambridge, 1888), p. 28, where he contends that it is unquestionably the Spanish *orejon*, big-ear, as applied to Indians who stretch their ears in ornamenting them. Dr. J. H. Trumbull (*Mag. Amer. Hist.*, iii. 36) says it is not of Spanish origin. Cf. H. B. Staples's *Origin of the names of the States* (Worcester, 1832). There is a bibliography of Carver, by John Russell Bartlett, in the *Book Mart*, June, 1886, and in J. C. Pilling's *Siouan Languages*.

³ *No. Am. Rev.*, Jan., 1849; R. C. Winthrop's *Address*, etc.

⁴ Cf. Vol. II p. 444; Greenhow's *Oregon and California*, pp. 86-126.

⁵ H. H. Bancroft's *No. West Coast*, ii. 318.

⁶ Given in App. of Greenhow; *Annual Reg.*, xxxii. 285; *Recueil des Traités*, 2d ed., iv. 402.

* This is sketched from a *Map of Part of Keewatin, showing Dominion land surveys to 1870* (Ottawa, 1877). The international boundary, running down Rainy River, crosses the lake by the broken line, and then drops due south to the 49° parallel, which it strikes within the lake, and thence runs due west.

cally urged by D. C. Murdock in his *Our True Title to Oregon* (Georgetown, 1845), the American claim was



MERIWETHER LEWIS.*

¹ The first was in 1683 — *United Service*, Feb., 1883, p. 164; Preble's *American Flag*, 2d ed., 300.

² H. H. Bancroft's *No. West Coast*, i. ch. 7, S. 9, 10; his *Brit. Columbia*, pp. 6-15; *Mem. Hist. Boston*, iv. 208. The widow of Capt. Gray in 1840 presented to Congress a petition for a pension, because of his services. Cf. *20th Cong. 1st sess. Ho. Rept.*, no. 456.

³ H. H. Bancroft's *Brit. Columbia*, ch. 1; his *No. West Coast*, i.; George Vancouver's *Voyage of Discovery to the North Pacific Ocean*, 1796-95, London, 1798, in 3 vols.; and the leading British presentations of their claims.

* After a print in the *Analytic Mag.* (1818), vii. 320, engraved by Strickland from a drawing by St. Memin. It was believed at the time of its publication to be the only likeness of Lewis existing, and the drawing belonged to his companion, Gov. Clarke. There is a sketch of his life in Jefferson's Works, viii. 450. Cf. Albach's *Annals of the West*, 755.

really based on the territorial extension to the Pacific under the Charter of Virginia! In 1702 a Boston ship, the "Columbia," Captain Robert Gray, being then on the second voyage round the world made by an American vessel,¹ entered and explored the River of the West, and called it from his ship the Columbia River.² The United States interpreted this exploration of the river as giving, under the laws governing rights of discovery, a claim to the whole valley of the river; but for so vast a region there was needed the claim of farther exploration and occupation, — to come in due time. It was not till the next year (1793) that Vancouver, who was on the coast at the time of Gray's entry into the Columbia, made the explorations that the British held to increase their claim.³ In the same year (1793) Alexander Mackenzie crossed overland from the Canada side, touching the coast near where Vancouver had examined it, but all north of the Columbia River Valley; and the Hudson Bay Company were at about this time pushing their fur-traders through the region north of 49°, but they were not exploring in any public capacity.⁴

In 1805 Spain had ceded to France all her rights to the territory west of the Mississippi, and whether this included the country beyond the Rocky Mountains has been a question in dispute without much ground on the affirmative side; and whatever the claim that France acquired,⁵ she in turn ceded it, in 1803, by the name of Louisiana, to the United States.⁶

To make good the claim of discovery, and perhaps to connect it with the new purchase of Louisiana, the expedition of Lewis and Clarke (1804-1806) traversed the country from the Mississippi to the mouth of the Columbia.

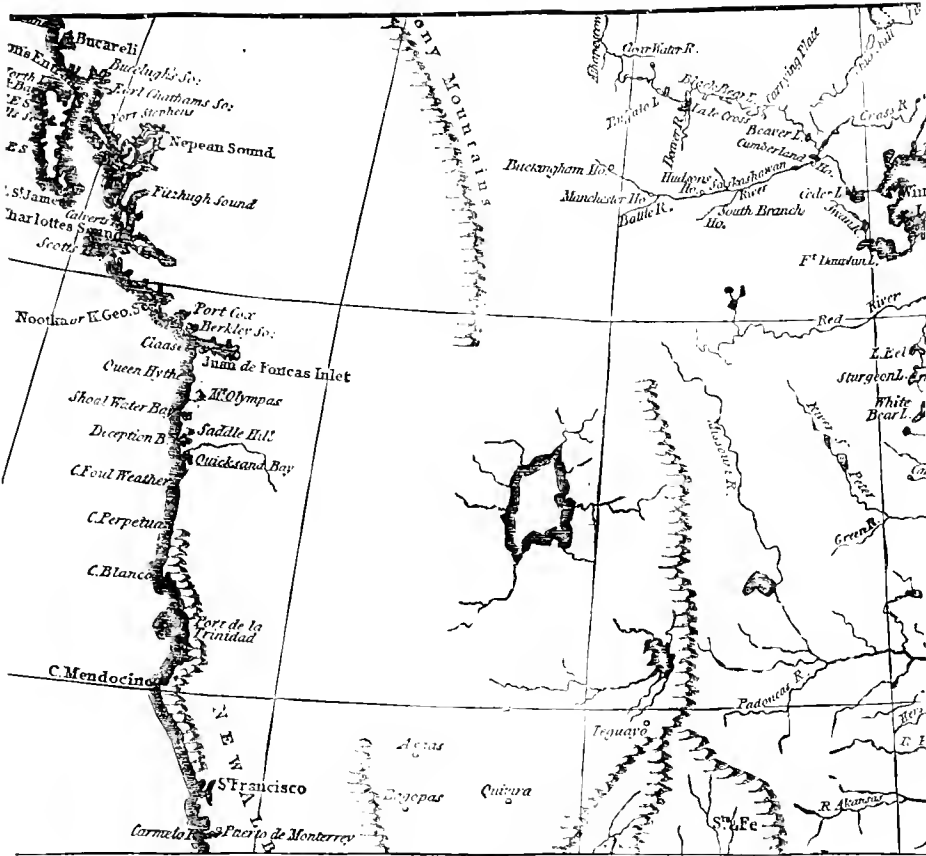
The authentic full narrative finally appeared in *History of the expedition*

⁴ John Dunn's *Oregon Territory* (London, 1844; Philad., 1845).

⁵ Cf. Parkman's *La Salle*, 289.

⁶ The map given by Marbois extends the same color over Oregon as over the territory of Louisiana, and bears as a legend, stretching from the Pacific to the Gulf of Mexico, "Accroissement des États-Unis par le traité et par ses effets." J. Q. Adams, in 1810, in securing his treaty with Spain, when he got the 42° parallel to be recognized by that power as extending the boundary to the Pacific, did not hold that the Louisiana purchase had secured it (*Memors*,

under the command of Captains Lewis and Clarke, to the Sources of the Missouri, thence across the R. by



J. RUSSELL'S MAP. From *Waterbootham's History*, 1795.*

iv. 275). Greenhow and J. J. Anderson in his tract "Did the Louisiana purchase extend to the Pacific Ocean?" (N. Y., 1881) have both contended to the same end. Barrows in his *Oregon*, 201, etc., sets forth the arguments and gives references. The authorities of the census of 1870 (Gen. F. A. Walker) held that it did; those of 1880 have abandoned that view. Johnston, in *Labor* (ii. 1040), takes the negative ground, and so does Gay (iv. 149). There are some controversial writings on the subject by different contestants in the *N. E. Journal of Education* (1880) and in *The Nation* (1881). W. A. Mowry takes the negative in a paper in the *Papers of the Amer. Hist. Assn.*, ii. 40, and in the *Mag. of Amer. Hist.*, xvi. 339. The map in the *Journal Amer. Geog. Soc.*, 1882, includes Oregon in Louisiana; and so does the map in Donaldson's *Public Domain*.

* Of the expedition of Lewis and Clarke we have a bibliographical *Account of the various publications relating to the travels of Lewis and Clarke*, by Elliot Cones, in the *Bulletin of the Geol. and Geographical Survey of the Territories*, no. 6, 2d series (Washington, 1876).

The earliest authentic account is a *Message from the President, communicating discoveries made in exploring the Missouri, Red river, and Washita*, by Captains Lewis and Clark, Dr. Sibley, and Mr. Dunbar, with a statistical account of the countries adjacent. Feb. 10, 1800 (Washington, 1800).

It contains a letter (April 17, 1805) from Lewis, reporting progress, with a statistical view of the Indian nations, historical sketches of the Indian tribes south of the Arkansas River, by J. Sibley, with an account of the Red River coun-

* A section, reproduced from the original, showing the knowledge of this region previous to Lewis and Clarke's expedition. The whole map is reproduced in Lieut. Warren's *Pacific R. R. Rept.*, vol. xi. p. 16.

A summary of the geographical knowledge previous to Lewis and Clarke is given in H. H. Bancroft's *North West Coast*, i. 505-612. On the supposed journey of Monchacht-Apé, see Vol. V. p. 77. The later maps during the pendency of the Oregon controversy are, among others, these: *Territory of Oregon*, by Washington Hood, under the direction of Col. J. J. Abert, 1838, and showing the country for 38° to 55° N. lat. (*Senate Doc.* 470, 2d sess. 25th Cong.), and reproduced with a few changes (but stretching only for 40° to 50°) in W. Robertson's *Oregon* (1840); the map in Samuel Parker's *Journal of an exploring tour beyond the Rocky Mountains* (Ithaca, N. Y., 1840); Greenhow's maps in his *Memoir and Oregon and California*; that in the account of the Wilkes U. S. Exploring Expedition, 1841, which stretches inland to long. 106°; Charles Preuss's, made by order of the Senate, after Fremont's and other surveys (Washington, 1848).

*Mountains*¹ and down the River Columbia to the Pacific Ocean, 1804-5-6. Prepared for the Press by Paul Allen (Philad., 1814), in two volumes. The work was begun by Lewis, and after his suicide was carried on by Nicholas Biddle, with the aid of Clarke, deriving some material from journals of subordinate officers,² and from George Shannon, one of the party. Paul Allen gave it its last supervision, and received from Jefferson a memoir of Lewis, to be added.³ Perhaps the best of the later summaries of the work of the expedition is in H. H. Bancroft's *North West Coast* (vol. ii. ch. 1-3), with a note on the site of Fort Clatsop, p. 55 and p. 65, a reproduction of the explorers' map. Cf. his *British Columbia*, p. 74, where he refers for maps of their route to Wheeler's *U. S. Geol. Survey, Progress Report, 1872*.⁴ Capt. Geo. M. Wheeler, in his *Report upon the Third International Geog. Congress at Venice, 1881* (Washington, 1885), p. 465, gives the long list of government explorations west of the Mississippi, which have been conducted since Lewis and Clarke, and down to 1870.⁵ The history of such explorations and surveys (1800-57) is told by Warren in the *Pacific R. R. Reports*, vol. xi.⁶ The Index to Poore's *Descriptive Catalogue* will guide to the different governmental publications. The best of the more detailed summaries will be found in H. H. Bancroft's *N. West Coast* (vol. ii.) and in his *British Columbia*.

The treaty which Monroe negotiated in London in 1806 would have confirmed the line westward on the 49° so far as the mountains only, if it had been confirmed. The delay was helpful to the United States.

The founding of the American fur-trading settlement of Astoria, in 1811, began to strengthen the American claim;⁷ for, though that post was taken by the British in the war of 1812, at the conclusion of peace the property was restored, with the special understanding that it carried no recognition of the American title to the country.⁸ The next step in the struggle for occupation was an agreement by the United States and Great

try; observations made in a voyage to the mouth of the Red River, and from thence ascending that river, the Black River, and the Washita River, . . . extracted from the journals of William Dunbar and Doctor Hunter. It was reprinted in New York (1806) and in London (1807) as *Travels in the interior parts of America* (Field, *Ind. Bibliog.*, no. 629; Poore's *Descriptive Catal.*). Cf. *Amer. State Papers, Military Affairs*, vol. i.

The official communication of Lewis, together with some private letters of Clarke, make up *The Travels of Captains Lewis and Clarke from St. Louis by way of the Missouri and Columbia rivers to the Pacific Ocean* (London, 1804). Cf. Field, no. 627. This publication was reprinted as *An interesting account of the voyages and travels of Captains Lewis and Clark in 1804, 1805, 1806*. By William Fisher, Esq. (Baltimore, 1812), and as *The Journal of Lewis and Clarke*, at Dayton, O., 1840. Fisher also published *New Travels among the Indians of North America, being a compilation, partly from Lewis and Clark, etc.* (Philad., 1812, = Brinley, iii. 4082).

¹ These mountains were early called the "Shining Mountains," or "Mountains of Shining Stones," or "Bright Stones" (Carver, 1778), and then the "Stony Mountains," the last being the designation on Arrowsmith's *Map of North America*; but he changed the name in his edition of 1802 to "Rocky." Jefferson in his instructions to Lewis and Clarke called them "Stony Mountains;" but those explorers in their *Report* (1804-1806) adopt the name "Rocky" (Josiah D. Whitney's *Names and Places—Studies in Geog. and Topog. Nomenclature*, Cambridge, 1888).

² Among them Patrick Gass's *Journal of the voyages and travels under Lewis and Clarke, through the interior parts of North America, 1804-6*. With notes. Editions were issued at Pittsburg, 1807, 1808; London, 1808; Philad., 1811, 1812 (Field, nos. 595-597). A French version was published at Paris, 1810.

³ It had an appendix, which was omitted in the English reprint, *Travels to the source of the Missouri River, etc.* (London, 1814), in one large quarto, and republished in three octavos (London, 1815). There was also an edition in two vols. at Dublin in 1817, with the English title. The edition in Harper's Family Library (1845-47 and later) purports to be revised by A. M. Vicar, with a map containing "a glaring error," as Lt. Warren says, "of a range of mountains running east and west between the Missouri and Yellowstone rivers."

⁴ There is a summary of the Oregon part in Bullfinch's *Oregon and El Dorado* (Boston, 1806), which H. H. Bancroft (*N. W. Coast*, ii. 31) rudely condemns. There is

more or less comment in the *Pacific R. R. Reports*. (i. 160; xi. 17; xii. 234).

⁵ Of later travels, mention may be made of those of Maj. Z. M. Pike, 1805-7 (Philad., 1810); Maj. Stephen H. Long, 1810-20 (Philad., 1823); Capt. B. L. E. Bonneville, 1832 (Washington Irving's *Rocky Mountains*, Philad., 1837); the parties sent out by John Jacob Astor (Washington Irving's *Astoria*, N. Y., 1849). Lt. J. C. Frémont made his explorations in 1842 (*Senate Doc. no. 245, 27th Cong., 3d sess.*); again in 1843-44 (*Sen. Doc. no. 174, 28th Cong., 3d sess.*), and again in 1845-46 (*Senate Misc. Doc. no. 145, 30th Cong., 1st session*), with a map at its date (1848), the most accurate of the region between the 104° meridian and the Pacific. Frémont has begun the publication of his *Memoirs of my life; including five journeys of western exploration, during the years 1842, 1843-4, 1845-6-7, 1848-9, 1855-4*. With a sketch of the life of Senator Benton, in connection with western expansion, by Jessie B. Frémont. A retrospect of fifty years, covering the most eventful periods of modern American history. Vol. i. (Chicago, 1887). The final explorations before the acquisition of California were those of Maj. Emory from Fort Leavenworth to San Diego, in California, in 1846-47 (*Sen. Ex. Doc. no. 7, 30th Cong., 1st sess.*), not without some hard fighting with the Mexicans; and various other military reconnaissances about this time increased our knowledge of the region of the Mexican borders (Warren's *Report*, p. 52, etc.). The great interior, closed Salt Lake basin was first made known by the explorations of Bonneville (1832-36), and confirmed by those of Frémont (1842-44). (Cf. J. D. Whitney's *Names and Places, Cambridge, 1888*, pp. 22, 45, who complains of Irving's editing of Bonneville; and Warren's *Report*, p. 34.)

⁶ *Reports of Explorations and Surveys to ascertain the most practicable and economical route for a railroad from the Mississippi River to the Pacific Ocean, 1837-50, vol. xi.* (Washington, 1841), which includes a *Memoir to accompany the map of the territory of the U. S. from the Mississippi River to the Pacific Ocean giving a brief acc. of the expeditions since 1800*, by Lieut. G. K. Warren, 1850. The maps accompanying the *Memoir* are reproductions of that in Winterbotham's *History* (1796), of Kector and Roberdeau's map (1818), of Finlay's map (1820), and of Bonneville's map (1836).

⁷ Cf., on the Winship settlement just before, H. H. Bancroft's *N. West Coast*, ii. 135.

⁸ Cf. H. H. Bancroft's *N. West Coast*, ii. 201, 335. Canning, then one of the British government, regretted the restoration (Stapleton's *Canning*, ii. 75). We have three accounts of this expedition, which was conducted by Astor, as the "Pacific Fur Company." The *Astoria* of Wash-

Britain, by a convention in 1818,¹ jointly to occupy the territory for ten years.² The United States government now sent out another exploring expedition under Long.³

The Florida treaty of 1810,⁴ by determining the bounds that have already been mentioned, had the effect of yielding to the United States all claims that Spain had to the Pacific coast above 42° N. latitude, including the rights which she had shared with England by implication in the convention of Nootka Sound. The President now, in 1822, in a message to Congress, examined the claims of foreign governments to territory upon the Pacific, as narrowed by the claims of Spain being thus ceded to the United States. The first of these foreign governments to come to an agreement with the United States was Russia, which in 1821 had claimed jurisdiction south to 54° 45' but later, by a treaty, April 5-17, 1824, agreed with the United States that she would not push settlements below 54° 45' N. lat., while the United States would not enter to occupy any position north of that latitude.⁵ This meant nothing more than that Russia withdrew from the contest, for the next year (1825) she came to similar terms with Great Britain.⁶

As the time approached for the expiration of the ten years' joint occupancy, both England and the United States prepared for a renewal of the controversy,⁷ and this resulted in a re-agreement on the old convention, Aug. 8, 1827 (*Statutes at Large*, viii, 306), with the provision that it could be terminated by either party giving twelve months' notice.

The policy which the United States soon after developed was one in which Great Britain could hardly compete, and this was to possess the country by settlers, as against the nominal occupancy of the fur-trading companies, directed from Montreal. By 1832 this movement of occupation was fully in progress.⁸

By 1838 the interest was renewed in Congress, and a leading and ardent advocate of the American rights, Congressman Linn of Missouri, presented a report to the Senate and a bill for the occupation of Oregon, June 9, 1838 (*Niles's Reg.*, lv, 130). A Report made by Caleb Cushing,⁹ coming from the Committee on Foreign Affairs, respecting the territory of Oregon, accompanied by a map, was presented in Jan. and Feb., 1839 (*Niles's Reg.*, lv, 130). It was not till 1842 that the movements of aggression began to become prominent in politics, and immigration was soon assisted by Frémont's discovery of the pass over the Rocky Mountains at the head of the La Platte. The Democratic party so pressed the extreme American view of carrying the territory as high as the Russian line of 54° 45', that it became a party rallying-ery, and was used strenuously in

ington Irving, who is charged by H. H. Bancroft (*No. West Coast*, ii, 138, 160, 222, 247) with having resorted to plagiarism and sycophancy to a plutocrat, with more or less of the adornment of fiction in telling the story. Bancroft (*No. West Coast*, ii, ch. 7, 16, with references, p. 276) tells the story of Astoria and the American fur trade. The subject also makes a chapter (viii.) in Barrows's and other general accounts of Oregon. On Astor himself, see Parton's *Life of Astor* (N. Y., 1863), and his *Famous Americans of recent Times*; Hunt's *Merchant's Mag.*, xi, 133; Hunt's *Amer. Merchants*, ii, 337.

The other narratives of the Astor enterprise are Ross Cox's *Adventures on the Columbia River* (London, 1831; N. Y., 1832); and Alexander Ross's *Adventures of the first settlers on the Oregon or Columbia River* (London, 1840), and as illustrated in his *Fur Hunters of the Far West* (London, 1855), in two vols. (Field, *Indian Bibliog.*, 377, 1325, 1326).

¹ *Statutes at Large*, viii, 248; *Amer. State Papers, For. Rel.*, iv, 348; Greenhow, App.

² Cf. references in H. H. Bancroft's *No. West Coast*, ii, 295, 338; and for the negotiations in London, Rich. Rush's *Residence at the Court of London, 1817-1825* (London, 1872).

³ S. H. Long's *Acc. of his exp. in 1819-20, compiled by E. James* (Philad., 1823), in two vols.; and H. H. Bancroft's *No. West Coast*, ii, 342. Pike in 1806, and Long in 1819, were the earliest to create that Great American Desert between the Valley of the Mississippi and the Rocky Mountains, where now are teeny States. Cf. Barrows in the *Mag. West Hist.*, June, 1885, p. 113; his *U. S. of Yesterday*, etc., ch. 6, and his *Oregon, 1761, 337*; and *Hist. of Kansas*, 4 (Chicago, 1883), p. 24. There is something of the old notion left in Gen. W. B. Hazen's "Great middle region of the U. S." in *No. Amer. Rev.*, Jan., 1875.

⁴ *Statutes at Large*, viii, 252; *Treaties and Conventions*, p. 788.

⁵ Stapleton's *Polit. life of Canning*, iii, 114.

⁶ *Amer. State Papers, For. Rel.*, v, 432, 471; *Federal and State Constitutions*, ii, 1482.

⁷ By this treaty the eastern bounds of Alaska were determined, and it was with these bounds that Alaska was

purchased for \$7,200,000 by the United States, under the treaty signed at Washington, May 20, 1867. Cf. H. H. Bancroft's *Alaska*; Donaldson's *Public Domain*.

The statement of the opposing claims at this time is given in H. H. Bancroft's *No. West Coast*, ii, 368. A special committee of Congress made a Report in 1826 (*10th Cong., 1st sess. Ho. Repts.*, no. 35). President Adams covered the points in a message of Dec. 12, 1827, with annexed documents. Albert Gallatin made the American counter-statement (*Amer. State Papers, For. Rel.*, v, 670); and Gallatin's later tracts, *Letters on the Oregon Question*, Washington, 1840, and *The Oregon Question*, N. Y., 1847, — the last reprinted in Henry Adams's *Gallatin's Writings*. The American case in 1826, as embodied in the second report to the House of Representatives, May 15, 1826, is also given in Stapleton's *Canning*, ii, 87-116, where will also be found (ii, 110) Aldington's presentation of the British case, May 10, 1826. Canning's instructions to the British commissioners, May 31, 1824, and the protocol of the twentieth conference of those commissioners, June 29, 1824, with Rush, is given in Stapleton's *Geo. Canning*, ii, 71-87. The British statement is in the *Amer. State Papers, For. Rel.*, v, 693. On May 17, 1826, Canning wrote to Liverpool: "It is a most perplexing question, and there are difficulties both in maintaining and abandoning our claim in argument." E. J. Stapleton's *Some official Correspondence of Geo. Canning* (London, 1887), ii, p. 55.

⁸ This early life of the pioneer settler is illustrated in the *Transactions of the Oregon Pioneer Association* (Salem, 1875, etc.), and in the *Proceedings of the Pioneer and Hist. Soc. of Oregon* (Astoria, 1875, etc.). Cf. F. P. Victor on the pioneers in the *Oregonian Monthly*, xiii, 38, 122, and the general histories. Two of the leading pioneers' experiences are told in J. B. Wyeth's *Oregon, or a short History of a long Journey to the Pacific* (Cambridge, Mass., 1833); and Hall J. Kelley's *Hist. of the Colonization of the Oregon Territory* (Worcester, 1860), and his *History of the settlement of Oregon* (Springfield, 1868). The map in Schoolcraft's *Indian Tribes*, iii, 200, shows the emigrants' road down the Lewis fork of the Columbia River.

⁹ Cf. also *No. Amer. Rev.*, Jan., 1840.

the election by which Polk became President and in the early years of his administration. Benton's *Debates*



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a settlement, and it was finally agreed to leave the dispute to the arbitration of the German Emperor, who in

¹ Fifty, and probably more, of these speeches were separately printed. H. H. Bancroft (*Oregon*, i, ch. 14) traces the Congressional aspects of the controversy during this period. The documentary sources most available are *Niles's Register*, vol. lxiv.-lxvi.; Benton's *Debates*, xv., xvi.; the *Statesman's Manual*, iii.; and *Correspondence relative to the negotiations . . . subsequent to the treaty of Washington, 1821* (London, 1849). Buchanan was Polk's Secretary of State. Cf. Curtis's *Buchanan*, i, ch. 20; Rufus Choate had arraigned (Mar. ch. 21, 1844) Buchanan's views before he took office (Choate's *Works*, ii, 127; S. G. Brown's *Life and Writings of R. C.*, 3d ed., ii, 110).

² Calhoun's *Works*, iv, 278, 290, 470, 517; v, 414, etc.; Von Holst's *Calhoun*, ch. 9. No settlement of the question

having been attempted by Webster in the treaty of 1842, he had been hastily accused of a willingness to trade off Oregon for the fisheries. Barrows (p. 231) vindicates him. His position is seen in his *Works*, ii, 322; v, 60, 63, 70, 204; *Private Correspondence*, i, 215, 230; Curtis's *Webster*, ii, 173, 252; Lodge's *Webster*, p. 205.

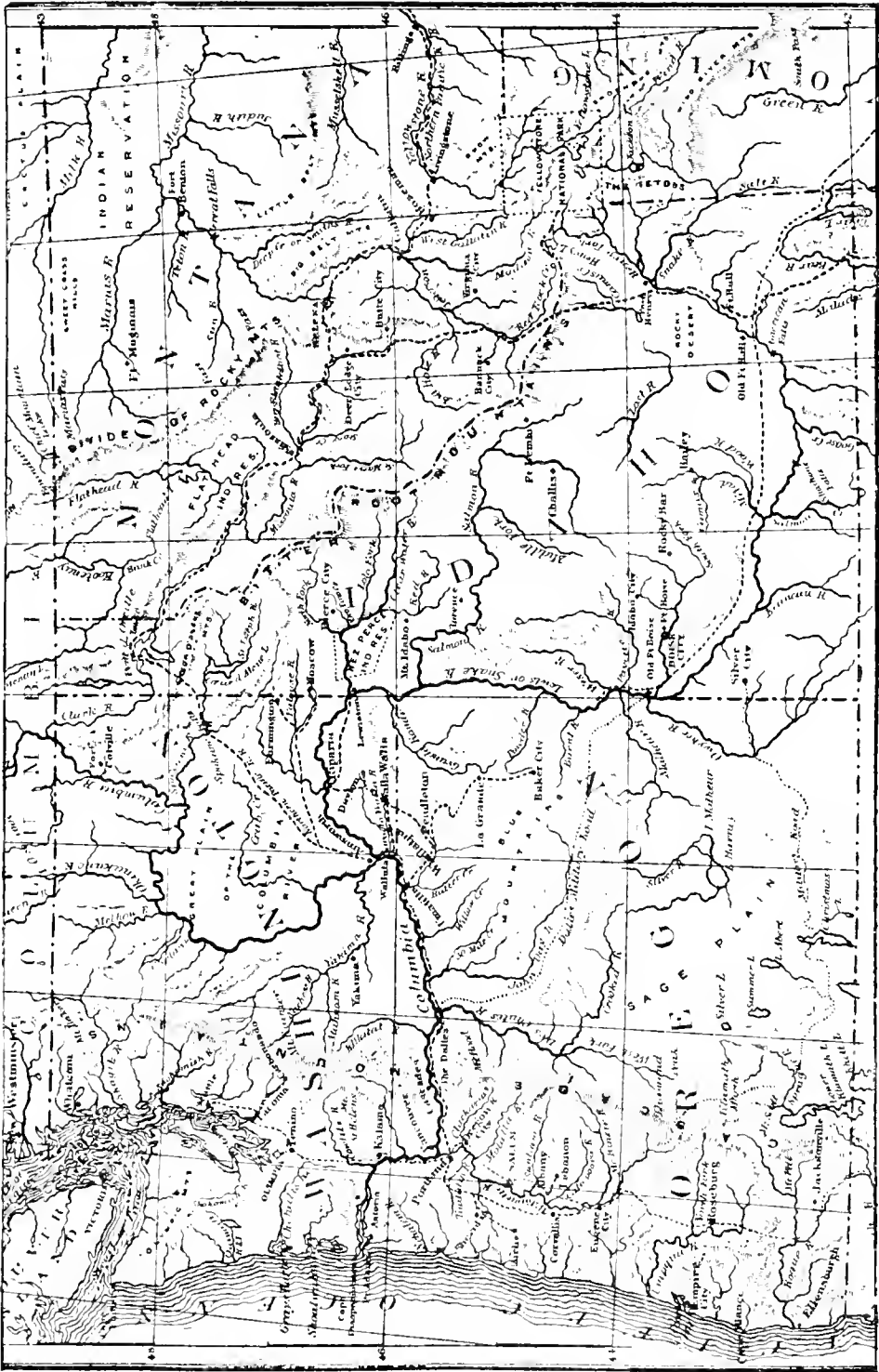
The political aspects and personal relations can also be followed in Schurz's *Clay*, ii, 280; Coleman's *Crittenden*, i, 270; L. G. Tyler's *Times of the Tyler*, ii, ch. 15; Smith's *Cass*, ch. 37; R. C. Winthrop's *Speeches*; Benton's *Thirty Years' Memoir*, ch. 5, 20, 37; ii, ch. 112, 114, 143, 151-156, 170; Roosevelt's *Benton*, ch. 12.

The treaty is in *Treaties and Conventions*, 375, and in *Federal and State Constitutions*, ii, 1484. Cf. H. H. Ban-

* From Barrows's *Oregon*.

and the regular records of Congressional proceedings are filled with speeches for and against a termination of the joint occupancy, with ulterior chances of conflict.¹ Calhoun, in 1845, took the position that the tide of immigration was solving the difficulty, and it was best to wait that issue, and not force a conflict.²

The close of the controversy came in the treaty of 1846, determining the 49th parallel from the mountains to the sea as the bounds, the British government yielding their claim that the Columbia should mark the bounds between the point of contact of that parallel with its upper waters.³ The treaty provided that the line on the 49th N. lat., having struck sea-water, should follow the middle of the channel dividing Vancouver's Island from the main, and thence proceed through the middle of Fuca Straits to the Pacific. There happened to be in the channel a cluster of islands, some of considerable size, with more than one navigable passage through them, and a dispute inevitably arose here as to the passage along which the line should be run. The Americans contended for the deepest and broadest, Canal de Haro, which gave them nearly all the islands. The British contended for the directest and most frequented, Rosario Strait, which gave them the same advantage. To avoid conflict, it was determined to occupy the principal island jointly, pending negotiations, and small military camps of both nations possessed in this way opposite ends of San Juan Island after 1859. After the American civil war was over the two countries addressed themselves to



1872 gave his award in favor of the American claim.¹ This was in 1872, ninety years from the treaty of 1782, involving nearly a century of contests, all along the line from the Bay of Fundy to the Pacific, — in which deceit, bravado, and an overreaching spirit characterized the negotiations more or less on both sides, during which open war was at times imminent. One thing is apparent through it all: that the British claims which caused trouble were almost invariably afterthoughts, — devices to recover compensation for something previously lost.

It remains now to characterize some of the principal treatments of the Oregon controversy, beginning with those that were made during the progress of the dispute.

The chief writer on the American side was Robert Greenhow, the librarian of the Department of State, who in the first place prepared, on the instigation of Mr. Linn, in 1840, a *Memoir historical and political of the No. West Coast* (20th Cong., 1st sess., Sen. Doc. 171), which was later elaborated into his *Hist. of Oregon and California*.² Perhaps the best condensed statement of the American claim, made at the time, is in a speech of John A. Dix.³

The official presentation of both sides is in *The claim of the United States as stated in the letters of Calhoun and Buchanan, with the counter-statement of Pakenham* (London, 1816).

On the British side, the public documents and *Hansard's Debates* give the guiding views; while a good condensed statement of the dispute is in the *Edinburgh Review*, July, 1845, and a Canadian view in Dent's *Last Forty Years* (ii. ch. 11); but the leading statement is that of Travers Twiss in *The Oregon Question examined in respect to facts and the law of nations* (London, 1849).⁴

Almost all the considerable historical treatments of the question have been on the American side. The fullest information can on the whole be got, with ample references, from H. H. Bancroft's *North West Coast and Oregon*, the former work going specially into the points in dispute, and the latter telling better the story of colonization. William Barrows's *Oregon, the struggle for possession* (Boston, 1884), one of the "Commonwealth Series," is given entirely to the varying aspects of the long contest, and he exactly formulates the American claim (p. 213, etc.), and in ch. 28 he summarizes the negotiations from 1803 down. The book is in parts graphically written, particularly in the portrayal of the efforts to acquire additional rights by colonization, though probably overwrought as to the influence of Whitman; but it is the best account we have of the potency of family life in conquering a wilderness. It is not altogether skilful in construction, and by repetition is made larger than was necessary, and in some respects his historical knowledge is open to criticism.⁵

croft's *No. W. Coast*, ii. 410; and on the reception of the treaty by the citizens of Oregon, his *Oregon*, i. ch. 21.

The English ministry seem to have accepted the proposition of Mr. Wm. Sturgis, of Boston, in a pamphlet, *The Oregon Question* (Boston, 1845), as a basis for the negotiations, and this proposition was to follow the 49° N. lat. to the sea, and thence, skirting the coast of Vancouver's Island, by the Fuca Straits to the Pacific.

¹ The American claim is amply set forth in a Senate document, no. 29, of Feb. 22, 1868, entitled *The Northwest boundary. Discussion of the water boundary question: geographical memoir of the islands in dispute: and history of the military occupation of San Juan island. Maps* (Washington, 1868), which was prepared for the Department of State by Archibald Campbell. A summary of its argument is given in *Putnam's Mag.*, Sept., 1870. Cf. also Barrows's *Oregon* (p. 304).

The British view is in the *Edinburgh Review*, April, 1864. Cf. Matthew Macfie's *Vancouver Island and Brit. Columbia* (Lond., 1865), p. 28.

² Boston, 1844, 1845, 1847; London, 1844; N. Y., 1845, — the ed. of 1847 having some important additions. The introductory portion was printed separately as *The Geography of Oregon and California* (Boston, N. Y., 1845). Tucker's *Hist. of Oregon* (Buffalo, 1844) is said by Bancroft to be largely based on Greenhow.

Greenhow was involved in some controversy with Thomas Falconer, on the British side. The *Quarterly Review* (1845-46) had questioned Greenhow's fairness. Falconer touched

the question in his *Discovery of the Mississippi and the South West, Oregon and North west boundaries of the U. S.* (London, 1844), and more pointedly in his *Oregon Question, or a Statement of the British Claims* (London, N. Y., 1845, — three eds.). Greenhow printed a brief *Answer to the Structures of Thomas Falconer on the History of Oregon and California*, which was followed by Falconer's *Reply to Greenhow's Answer, with Greenhow's Rejoinder* (Washington, 1845).

³ *Speeches*, i.; Morgan Dix's *Gen. Dix*, i. 157. Without enumerating the less important American presentation, it is enough to refer to Wyndham Roberison's *Oregon, our right and title* (Washington, 1846).

⁴ Reprinted as *The Oregon territory, its history and discovery; also the treaties and negotiations between the U. S. and Great Britain, for the settlement of a boundary line* (N. Y., 1846). Alexander Simpson printed *The Oregon Territory, claims thereto of England and America considered* (London, 1846).

⁵ Of less importance is W. H. Gray's *Hist. of Oregon, 1702-1849* (Portland, O., 1870). The more condensed statements of the question, by J. D. Woolsey in the *New Englander*, xxxii. 530, and by J. H. St. Matthew in the *Oregonian Monthly*, vi. 2-7, may be noted.

The most careful of the foreign examinations of the question, apart from the English, is by Von Holst (vol. iii. ch. 2, 6, 8, 10), who particularly follows the tortuous course of events in Congress.

NOTE TO MAP ON THE PREVIOUS PAGE. — Reproduced from Barrows's *Oregon*.

POSTSCRIPT. — Since this section was completed there has been published E. A. Hinsdale's *Old North West, with a View of the Thirteen Colonies as Constituted by the Royal Charters* (New York, 1858), which gives a succession of historical maps and a list of authorities cited.

II.

THE PORTRAITS OF WASHINGTON.

By the Editor.

THE earliest considerable study which was made of the subject was by Henry T. Tuckerman in *Putnam's Monthly* (vi. 337); repeated, with some enlargements, in *Ivings's Washington* (vol. v. App.); and still further extended in *The Character and Portraits of Washington* (New York, 1859).¹ Though not aiming at the exhaustive enumerations which have characterized later books, this treatise remains the only treatment of the subject done with literary skill. It includes an examination of the descriptive authorities on the personal appearance of Washington. — a study which may be supplemented by the account in G. W. P. Custis's *Recollections of Washington* (N. Y., 1860), ch. 25; by K. S. Greenough on the "Expression of Washington's Countenance," in *Old and New* (v. 221); by Wm. J. Hubbard on a "National Standard for a likeness of Washington," in the *Mag. of Amer. Hist.* (Feb., 1883); and by Isaac J. Greenwood's "Remarks on the Portraiture of Washington," in the *Mag. of Amer. Hist.* (ii. 39), which has particular reference to the effects upon Washington's expression from his false teeth. There is a running account of the Washington portraits in Griswold's *Republican Court*, p. 351, etc. Griswold supposes his own collection of over sixty engraved likenesses, published in Washington's lifetime, to be the largest then made. The favorite profile has been unquestionably Houdon's, with Stuart's canvases for the full face, and probably Trumbull's for the figure. G. W. P. Custis (*Recoll.*, p. 526) says that the figure in Trumbull's equestrian statue is "the most perfect extant," but in another place (p. 481) he says that Lozier's engraving of a picture by Cogniet, which follows Stuart's head, gives Washington's figure "best of all," but Mason objects to this figure. The peculiarities of Washington's figure were that he was shallow through the chest (as shown in Houdon's statue), did not grow small at the waist, had long legs, and very large hands. — Lafayette called them the largest he had ever seen on a human being. He weighed between 210 and 220 pounds, and measured six feet precisely when dead, but stood a little higher in his prime. Griswold (*Republican Court*, App.) groups together the descriptions of Washington's person, made "by some contemporary foreigners." An attempt was made in *Science* (Dec. 11, 1885) to run together the features of leading likenesses in a composite photograph.

In 1860 there was a chapter on the portraits included in Custis's *Recollections*, with an appendix by the editor of that book, Mr. Lossing. The most elaborate treatise, however, to include not only well-authenticated life portraits and sketches, but everything for which authentication has been claimed, is Elizabeth Bryant Johnston's *Original Portraits of Washington, including statues, monuments, and medals* (Boston, 1882). Incidental mention is made in this book of engravings of the more celebrated pictures or other representation; but the most extensive record of such memorials, excluding lithographs and woodcuts, is in William S. Baker's *Engraved Portraits of Washington, with biographical sketches of the Painters* (Phila., 1856). This has been supplemented by the same author's *Metallic portraits of Washington; with historical and critical notes, and a descriptive catalogue of the coins, medals, tokens, and cards* (Philadelphia, 1885).

The following condensed statement respecting the portraits of Washington is largely based on these books; they will be referred to by *T.* for Tuckerman; *J.* for Johnston; *B. eng.* and *B. med.* for Baker on engravings and medals respectively. The Johnston book is not in all parts free from obscurity and palpable errors.

There is only one pre-Revolutionary likeness whose claim is undisputed, and another about which there is much question. It has been sometimes mentioned that when Washington visited Boston in 1756 this latter likeness was made by Copley, but there is no positive proof of it.²

¹ Some points of the Washington portraiture are more fully treated by Mr. Tuckerman in his *Book of the Artists* (N. Y., 1867).

² A miniature on ivory, which has been said to represent him at twenty-five, is held by some to be this Copley likeness, and it is now among the W. H. Huntington collection in the Metropolitan Museum of Fine Arts in New York. It was first made known by an engraving by Demare in *Ivings's Washington*, in 1856, and is given also in *T.*, *J.*, pl. ii, Susan F. Cooper's *Mount Vernon* (N. Y., 1854),

and *Mag. of Amer. Hist.*, xii. 552. On the strength of the testimony of Rembrandt Peale that this miniature was painted by his father, C. W. Peale, in 1777, the early date connecting it with Copley has been questioned by *J.* and denied by *B.* (*Eng.*, 12, and *Med.*, 122), Lossing, and others. It is stated on the Irving engraving to have been given by Washington to his niece Harriet, and to have descended in her family. It will be mentioned again.

There is a picture in the possession of Mr. Geo. B. Chase, of Boston, of which he gives the following account: It

The pre-Revolutionary picture about which there is no doubt is one painted unquestionably by C. W. Peale, at Mount Vernon, in 1772, representing Washington in the uniform of a colonel of the Virginia militia, the small study for the head of which is now owned by Mr. Chas. S. Ogden, of Philadelphia, and shows that at some subsequent period the uniform of it had been changed to the Continental buff and blue. The large picture is now owned by Gen. G. W. C. Lee.¹ Rembrandt Peale remarks that the likeness of this picture resembles more strongly his own latest life-picture than any intermediate portrait.² A copy of this picture was made by A. Dickinson, from which an engraving was made in 1833, and this copy is also the original of the engraving given by Custis. It differs materially in expression from the heliotype, Sparks engraved from a copy by Chapman. C. W. Peale is said to have painted a miniature at the same time (*J.*, p. 17).

Charles Wilson Peale, an American, b. 1741, d. 1827, is said to have painted Washington fourteen times from life, beside making many copies of these originals, more or less varied in accessories. *B. eng.* gives forty eight different engravings after C. W. Peale, and the engraving in the *Columbian Mag.*, Jan., 1787, is said to



WASHINGTON.

(From the *Impartial History, etc.*, London ed., 1780.)

have been a combination of traits of the pictures by C. W. Peale and Pine, by the engraver I. Trenchard. C. W. P. is said to have painted some heads of Washington in the pictures of Chas. Peale Polk, his nephew, one of which was painted for Arthur Lee (*J.*, p. 16). The uncle's assistance is denied by Hart.

Peale joined the American camp under Washington in the summer of 1776, as a captain of volunteers, and at this time painted a miniature, and also a half-length of Washington for Hancock.³ It is only known through

hung for many years before 1876 in the St. James Theatre in London, at which date it was sold at auction as a portrait of Washington by J. S. Copley, and bought by Mr. Thomas Inglis. It was finally bought by Mr. Chase at a sale of Inglis's pictures in Boston in April, 1883. It has been considered to be in that painter's early style, by Mr. Augustus T. Perkins, the student of Copley, who learned from Miss Mary Copley, daughter of the painter, that her father had painted a picture of Washington. It shows the head and shoulders, and so nearly resembles in features the Valley Forge picture of C. W. Peale that it is at once sug-

gested that it is a copy — perhaps by Copley — of some one of Peale's copies of that painting.

¹ The gorget which Washington is represented as wearing is the same now owned by the Mass. Historical Society. (Cf. their *Proc.*, vol. iv, 45.)

² A large heliotype of it is given in *J.*; and *B. eng.* notes six different engravings of it, some of which will be found in Sparks's *Washington*, in Irving's *Washington*, in *T.*, in Lossing's *Washington*, and G. W. P. Custis's *Recoll. and Private Mem. of Washington* (N. Y., 1850).

³ Sparks's *C. cross. of the Rev.*, ii, 261, 267.

an engraving made of it by J. Norman, a Boston engraver, which represents a full-face bust, in uniform, surrounded by an oval, surmounting a pedestal inscribed "Temperance, Prudence, Fortitude, Justice," and showing emblems of war on either side. It is inscribed "B. Blyth, Del.," and was published, March 26, 1782, by John Coles, Boston (*B. eng.*, p. 29). The only copy noted is in Cambridge, Mass. (*Mass. Hist. Soc. Proc.*, xx, 188), and of this fac-similes have been made. The inscription says that Hancock then owned the original, but a statement has been made that Hancock gave a portrait of Washington to D'Estaing in 1779.¹ An engraving in the *Universal Mag.* (London), with the ribbon of the commander-in-chief across the breast, seems to have followed this Norman (1782), as does the outline print by Holder in Lavater's *Essay on Physiognomy*.²

There is another engraving by Norman in the Boston ed. (1781) of *The Impartial Hist. of the War in America* (vol. ii.), representing Washington at full length, leaning on a cannon, which is in most respects a reproduction of an engraving in the London ed. (1785) of the same book (p. 221), which is classed among the fictitious likenesses by *B. eng.* (p. 107). A copy in part of the London print is herewith given, as a specimen of the contemporary prints before authentic likenesses were commonly known in Europe.

It is stated by Lossing that the miniature already referred to as having been painted when Washington was twenty-five years old was really made for Mrs. Washington between October and December, 1777,—one of the sittings, at least, having been given at a farm-house near Skippack, in Pennsylvania, at the moment Wash-



WASHINGTON.
(From *Andrew's History*.)

ington received the news of Burgoyne's surrender. Of this, the same writer says, Peale made several copies. It may be a question if the pencil sketch by Peale, mentioned later as having been made in 1787, was not really connected with these sittings in 1777, and the date of 1787 an alteration, as it bears some appearance of being.

Peale began at Valley Forge in 1778, and finished in Philadelphia in 1779, a full-length for the State of Pennsylvania, which was wantonly destroyed in 1781. The artist made a mezzotint engraving of it, which was issued with some varieties of the plate. One is in the Huntington Collection in New York. Peale reproduced this picture several times, with some change in the posture and accessories. A first copy was made for Nassau Hall, at Princeton, and it still belongs to the college, within a frame in which there had been a picture of George the Second, which was destroyed by a cannon-ball during the battle of Trenton. It shows the battle

¹ Greene's *Greene*, ii, 144.

² There is a grotesque rendering of Peale's picture in *The Weatherwise and Bickerstaff's Almanac*.

of Princeton going on in the left distance, and just behind Washington, who stands towards the right of the picture, Gen. Mercer is represented as dying, while supported by his attendants.¹

In a second copy the attitude of Washington was changed, and he is made to lean on a cannon, and behind is a horse and servant, instead of the dying Mercer. This was sent (1780) to Europe in the same vessel with Henry Laurens, and was captured by the British, and the picture is now at Quidenham Park, Norfolk, the seat of the Duke of Albemarle.²

A third copy was sent by Lafayette to France, and this is said to be the picture now in the Smithsonian Institution, and it is also said that from this copy Wolff made his engraving. There seems to be discordant statement respecting a copy or copies associated with Lafayette, for a copy bought by the French government is said to be at Versailles, and to have been engraved by Wolff. There is in Harvard College library a bust engraving bearing the same general character, which is marked "Dessiné par Bonnicu d'après un tableau fourni par M. le Marquis de la Fayette. Gravé par Chevillot."³ It is reproduced in the *Mag. of Amer. Hist.*, Feb., 1888.

There are a fourth, fifth, and sixth examples of this 1778-79 picture: one of these (*Catal. Hist. Portrait Exhib.*, No. 445) is owned by H. P. McKean, of Philadelphia, bought out of the Peale Museum; and the last by the Boudinot family (*Mass. Hist. Soc. Proc.*, Dec., 1885, p. 150).

Peale painted in 1778 a miniature for Lafayette, which was sent abroad, and was the first (as *B. eng.* thinks) authentic likeness to become known in Europe. He made several copies, among which may be the one in Independence Hall, Philad., and one (heliotyped in *J.*, pl. ii), which shows the military garb and blue sash, which is said in one place in that book to belong to W. F. Gill, and in another to Mrs. Wm. F. Brand, of Emmon-ton, Md.

In 1783 he painted for the State of Maryland a full-length, representing also Lafayette and Tilghman and the army at Yorktown,—which has not been engraved. In the same year is placed the Harrison bust portrait, and one belonging to Admiral L. M. Powell, of Washington.⁴

In 1784, between July and November, he painted a whole-length for the State of Virginia, which is not now known,—being the one sent to Houdon. It was not used by that sculptor, as he preferred to come to America and model from life. That State owns, however, a bust portrait, which is in the Capitol library at Richmond.

In 1780 he is said to have painted a head for his own gallery, and the one now in the Patent Office Museum at Washington.

There is in the library of the Penna. Hist. Society an outline pencil sketch (said to have been made for reproduction in a mezzotint), which was sold by Rembrandt Peale to C. A. Smith, in 1848, and was given to the society in 1868 (*J.*, 13). There is some doubt about the third figure in the date "1787," and *B. eng.*, 12, gives it 1777, and thinks it resembles the miniature ("At the age of twenty-five"), which he assigns to that year. There is said to be but a few copies known of the consequent mezzotint, and this is reproduced by John Sartain in H. W. Smith's *Andreana* (Philad., 1865). As here given it closely resembles what *J.*, pl. v, gives as the James Peale picture, and has little resemblance to the engraving of the original pencil sketch, which is reproduced herewith. The cut which is given in Noah Webster's *Spelling Book* for 1780 is also said to follow this mezzotint.

C. W. Peale's last picture was made in Sept., 1793, when Washington gave a sitting, at which his sons Raphael and Rembrandt and his brother James all worked at the same time. C. W. Peale kept his canvas for his own gallery, and it now belongs to the Bryan collection in the New York Historical Society. Rembrandt Peale speaks of it as being good about the eyes, with a characteristic turn of the head, and adds that few copies of it have been made, though there is said to be more than one at Charleston, another in poor condition in the Department of State, and one belonged to Dr. W. K. Gilbert of Philadelphia. Of the picture or drawing made by Raphael Peale nothing is known.

I find mention made of other likenesses by C. W. Peale, copies of some of his originals, but of which of them is not stated. One of these was a bust portrait, which long hung at Mount Vernon, and was sent by Mrs. Washington to Mr. Van der Legen, and is now, or was recently, owned by Henry Van der Legen, of Crefeld. Another was given by John Quincy Adams to Botta, and belonged afterward to Frederick de Peyster of New York. One, in a dress of black velvet, was (?) in the National Museum at Philadelphia.⁵ James Peale, a younger brother of C. W. Peale, is said to have taken advantage of being present (*J.* says, in 1788) when Washington was sitting to his brother, and to have painted a miniature on ivory for a snuff-box, which now belongs to the Washington Grays, an artillery company of Philadelphia. H. B. Hall had made a private plate

¹ There are woodcuts of this copy in Lossing's *Field-Book*, ii, 37, or in earlier editions, ii, 241, and in *Potter's Amer. Monthly*, July, 1875.

² A copy of it was made in 1874, which is now in the Massachusetts Historical Society's gallery; and from this copy a heliotype is given in their *Proceedings*, xiv, 150. (*Cl. Bibl.* xlii, 324, 326.)

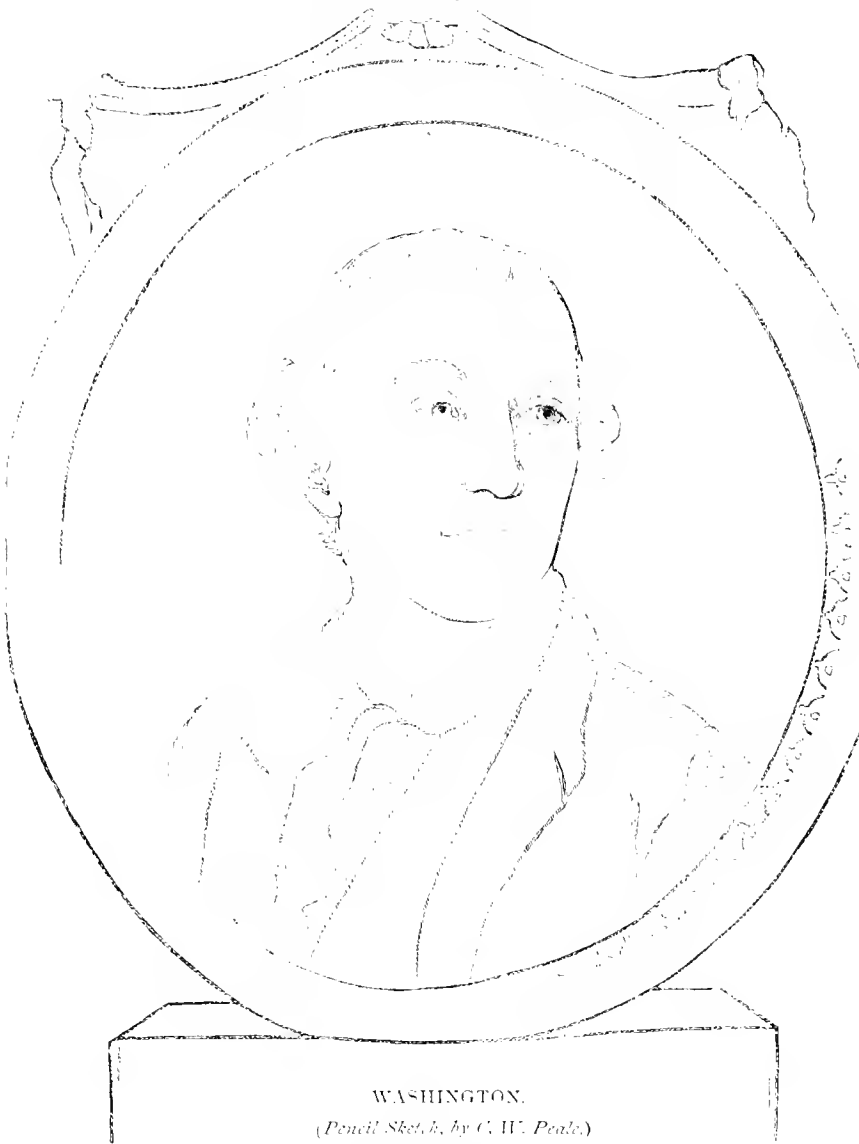
³ Another French picture by Le Paon, representing Washington before a tent, said in the engraving by Le Mire to have belonged to Lafayette, presents the same character-

istics as does a bust portrait engraved by Angus in 1785 for Andrews' *History of the War* (vol. ii., London), and a print in the *Historical Mag.*, vol. iv. (1792).

⁴ T. Hollowell engraved a military portrait, in an oval resting on a panel of the Yorktown surrender, and dated May 21, 1794, which professes to be "peint d'après nature à Philadelphie par N. Piche en 1783" (*Hist. Mag.*, viii, p. 501).

⁵ There is said to be a print in the *Monthly Military Repository*, N. Y., 1796-97, which I have not seen.

of this picture. James Peale painted also, about the same time (1788), a two-thirds picture from life for David C. Claypoole of Philadelphia, the editor who was selected by Washington to print his *Farewell Address* in the *Daily Advertiser*. This "Claypoole picture" represents Washington in uniform, with a black horse



behind him, held by a groom. It was bought by the late James Lenox, together with the manuscript of the address, and was engraved by John Sartain (private plate) for an edition of the *Farewell Address* printed by Mr. Lenox in 1850.¹ The picture painted by James Peale in 1793, already referred to, now hangs in Independence Hall.

The picture which Rembrandt Peale painted by his father's side in 1793 has not been engraved, unless H. B. Hall's engraving is from the original; but he is said to have painted ten pictures from it. He thought his

¹ The picture is now in the Lenox Library, and is shown, after Sartain's engraving in *?*, pl. v, and in the *Mag. Amer. Hist.*, Feb., 1888, p. 103.

own work was more faithful in the lower part of Washington's face, and his father's in the upper, and he says that there was an agreement for each to give special attention to these parts respectively, as the sitting was not to be protracted, to afford time for equal detail in all parts. Of the early productions, one is said to have been destroyed in the war of 1812; one was taken to France as a gift to Lafayette; a third was given to one of Washington's brothers, and is now owned by Dr. N. C. Washington, of St. Louis; and a fourth was given by Washington to H. W. de Saussure, of the U. S. mint, and this one has been engraved by Edwin.

After many attempts to paint a more satisfactory likeness by a combination of points or traits from his own or other pictures (and he is said to have made sixteen such eclectic portraits) this artist finally produced the picture which gives the Rembrandt Peale type of the Washington portraits, and which he frequently reproduced, with variations of costume, some in a civilian's and some in military dress. He was at work upon this prototype in 1823-24. G. W. P. Custis said of it that it gave Washington's complexion "rather more bronzed than his natural coloring, which was fair, though considerably florid." The first picture represented Washington in civic dress, and it is given in heliotype in *J.*, pl. xvii. Congress bought it in 1832 for \$2,000, and it is now in the Vice-President's room in the Capitol. The artist gave the head a military dress on another canvas, and this he frequently copied, and it seems to be the model of Chappel's equestrian picture of Washington. He made in the year before he died his seventy-fifth copy of this picture for John A. McAllister, of Philadelphia.¹

The military bust picture was repeated in his large canvas called "Washington before Yorktown," which he painted in 1825. The picture also includes portraits of Lafayette, Hamilton, Knox, Lincoln, and Rochambeau. It was offered to Congress, but the necessary appropriation was not made, and it was on the painter's hands when he died in 1800. After another failure to secure its purchase by Congress, it was given in 1873 to the Mount Vernon Ladies' Association, and now hangs in the Banquet Hall at Mount Vernon.²

Various letters of R. Peale on portraits of Washington, written in 1834, 1845, etc., are in the *Mag. of American Hist.*, v. 120, etc., and one written in 1854 is in the *Chicago Herald*, Jan. 22, 1888; and beginning in 1854, and repeating it in all the principal cities in later years, he delivered a lecture on his recollections of Washington and his portraits, which has not, I think, been printed, though given in part in *The Crayon* and in *B. eng.* He was the painter of Washington from life, the longest to survive.

John Trumbull was the only painter of Washington from life, beside C. W. Peale, who had served with him in the war; but Trumbull's pictures of him were made after the war, unless sketches of his person, which he is supposed to have made in Cambridge, were used by him, when he was in Amsterdam in 1780, in making a picture which is still preserved in that city, having been painted for De Neufville, who was Trumbull's host at the time. It represents Washington standing near a river, in a somewhat overwrought heroic attitude. It was engraved in 1781 at London by Valentine Green (J. C. Smith's *Brit. Mezzotint Portraits*, ii. 502), and was somewhat generally accepted as a picture of Washington, till later and better prints became known. Trumbull does not mention it in his *Autobiography*.³

Trumbull had his first sittings from Washington in 1790, when he painted the large picture, now in the City Hall, New York, which represents him in military dress, standing by a white horse, while in the distance the British are seen evacuating the injured city. It has not been engraved. At the same time he painted a full-length, of cabinet size, for Mrs. Washington, which is now owned by Edmund Law Rogers of Baltimore. Rembrandt Peale used to say that Trumbull's cabinet pictures were his best, though his likeness was "feeble."

What Trumbull called his best picture was one that was painted in 1792, at the instance of the municipality of Charleston, S. C., though they did not accept it, preferring to have a less spirited and more quiet likeness. This picture represents the evening before Trenton, just as the sun was setting, with Washington's attendant holding his white horse behind his own figure. Judging from the heliotype in *J.*, pl. x, the canvas must be in rather poor condition. It is in the gallery at Yale University. Trumbull took the picture with him to London, when he went there as the secretary of legation to John Jay; and while there, under Trumbull's supervision, it was engraved (1796) by Thomas Cheeseman, in what is held to be the best plate of any of Trumbull's pictures.⁴

The picture which Charleston finally secured also shows Washington at full length, with a white horse behind him, turned so that his tail is towards the spectator, and the city of Charleston is in the distance.⁵

¹ Engravings of one of the civic-costumed replicas (differing in detail from the heliotype in *J.*) are in Irving's *Washington*, vol. v., and in *J.* There is also an engraving in F. B. Hough's *Washingtoniana* (Roxbury, 1865). Both are by H. B. Hall. A. B. Walter made a large plate of it, and a large lithograph is in the *Monuments of Washington's Patriotism*.

² The original study for the picture is in Independence Hall. There is a heliotype of it in *J.*, pl. xviii. It has been engraved by R. Metzgeroth. Rembrandt Peale also himself drew this characteristic head twice on a lithographer's stone, once in 1827 and again in 1840, and A. B. Walter made a mezzotint engraving from the earlier one.

³ It was followed in the print, engraved by J. Le Roy, in D'Auberteuil's *Essais Historiques* (1781, vol. i. 214).

⁴ It was re-engraved by Daggett in the English translation of *Botta* (New Haven, 1831), and the bust is given as engraved by A. B. Durand in the *Nat. Port. Gallery*, and is also in Headley's *Washington*, and in the London ed. of Upham's *Washington*. There was a large mezzotint of the entire picture published in Philadelphia in 1845; and a French print of the head only by A. Blanchard, published at Paris. It has been reproduced on medals (*B. Med.*, pp. 111, 152). The bust is given in the engraving in *T.*, i.

⁵ It is described in Charles Fraser's *Reminiscences of Charleston*. It was restored in 1880, in Boston (*Miss. Hist*

Trumbull also painted, in 1793, the bust portrait in civic costume which is now at New Haven. It is engraved in *Harper's Mag.*, lxiii. p. 337, and in Johnston's *Yorktown Campaign*, p. 73. One of Trumbull's bust pictures was given by Andrew Craigie to Harvard College in 1794, and hangs in Memorial Hall. A cabinet picture of 1794 hangs in the Patent Office at Washington. A panel miniature is in the National Museum.

Washington appears also in portrait in Trumbull's historical pictures of "Trenton," "Princeton," "The Surrender of Cornwallis," and the "Surrender of his Commission at Annapolis," which are in the Trumbull Gallery at Yale, while the last two are repeated much larger in the rotunda of the Capitol at Washington. These pictures have been engraved,—that of the Annapolis scene is given in Irving's *Washington*. There are not over twenty-five prints after Trumbull's portraits, and some of them are very poor; those by Cheeseman and Blanchard are among the best.¹

There are two types of Washington's head more familiar to us than either of those which have been mentioned, and these are the full-face *presidential* head of Stuart and the *military* profile of Houdon. Stuart himself says that he painted two originals of Washington beside his first one, "rubbed out," as he said, and he made twenty-six copies; but his recollections of his reduplications were certainly inadequate, though some of the very many copies now existing and alleged to be Stuart's own, are very likely the work of other artists, for among others there may be mentioned Vanderlyn's full-length copy in the House of Representatives at Washington; J. W. Audubon's in the Department of State; Rembrandt Peale's in the possession of the Washington Grays of Philadelphia; and Walter Ingalls' in the State House at Concord, N. H. Of all Stuart's portraits, only two are in military dress,—that in the Museum of Fine Arts in Boston, and the original study for it in cabinet size; the others are in civic velvet with ruffles and sometimes with lace. The Stuart head has been frequently used in medals.²

Washington gave his first sitting to Stuart in 1795, and Stuart in 1823 said that after he had made five copies of it, which had passed out of his hands, he became dissatisfied with the original, and rubbed it out. This statement is not accepted by Rembrandt Peale, who says that Stuart sold it to a vagabond artist named Winstanley, who took the picture to England, where it was bought by Samuel Vaughan. Mason contends that there is no evidence of this, and that the Vaughan picture is a copy of the one destroyed. The picture in Vaughan's possession, whichever it may be, was engraved by T. Holloway for Hunter's translation of Lavater's *Physiognomy* (4th ed. in English). From Vaughan's hands it passed to Jos. Harrison, by whom it was returned to Philadelphia, and it is now in the possession of the Harrison family. It was also engraved by W. Ridley in the *European Mag.*, March, 1780, of which engraving there is a facsimile in the *Mag. of Amer. Hist.*, xi. 90 (Feb., 1884). There are engravings also by Ensom (London, 1822) and Mackenzie, which differ as much as those of Ridley and Holloway do.

Stuart records that at this time he had a great many orders for copies, but the only known copies of this 1795 picture, or of pictures resembling it, are these: one made for Col. John Eager Howard, of Baltimore, now belonging to Mrs. Benj. Chew Howard; one for J. (?) Vaughan, which was taken to England; one which now belongs to Mrs. Anna R. Reilly, of New Haven, great-granddaughter of Gen. Edward Hand; it was returning to Mr. F. R. Rives, of New York, which formerly belonged to Professor Tucker, of the University of Virginia; one which had been owned by Gen. Henry Lee, and is now the property of Thomas H. Morris, of Baltimore. This is the best statement to be made from the various data given by the authorities, and does not include the so-called Gibbs picture, which is called the best of the replicas, and has become from its merit the most famous of this first group of Stuart's portraits of Washington. It is said to have derived a part of its distinctive merit from having been touched from life. It was painted for Col. George Gibbs, of Rhode Island, who, after he acquired a set of the five Presidents painted by Stuart, sold it to his sister, the wife of William Ellery Channing, from whom it has passed to her son, Dr. Wm. F. Channing, of Newport. A. B. Durand is said to have pronounced it a better picture than the so-called Boston Athenæum head.³

All this first group of Stuart's pictures show the right side of the face; and unless there is some confusion in the names, Mason does not recognize the Howard, Rives, and Morris pictures as copies by Stuart. What is called Stuart's "first Washington," owned by the Rev. B. R. Betts, who received it from Michael Little, is engraved in *The Curio*, 1887, p. 34. It represents him standing, uncovered, in uniform, with one hand on the hip and the other on a spy-glass upon a table.

Stuart now successively painted, from sittings, two other portraits, which show the left side of the face,—one the full-length, known as the "Lansdowne," and the other the head merely, known as the "Boston Athenæum picture"; and all his later copies were made from one or the other of these paintings. The original of

Soc. Proc., xix. 247; R. C. Winthrop's *Addresses*, 1878, etc., p. 285; *Orderly book of Sir John Johnson*, p. 254; *Charleston Year-book*, 1883, p. 162, and is heliotype in *7*, pl. xi.

¹ A private plate by H. D. Hall of a bust-picture in uniform is given in Bushnell's *Crumbs for Antiquaries*. Trumbull's picture is also given in such popular books as Headley's *Washington and his Generals*, and in Lossing's *Life of Washington*.

² *B. Med.*, 41, 67, 68, 93, 102, 112, 130, 161, 174. Miss Jane Stuart, daughter of the artist, furnished a paper on her father's portraits of Washington to *Scribner's Monthly*, July, 1876.

³ There is a heliotype of it in *7*, pl. xv; a photogravure and a steel engraving by C. Burt in Mason's *Stuart*, and the latter is also in Gay's *Life Hist. of U. S.*, vol. iii. The original picture is at present in the library of the Long Island Hist. Society.

the full length is the one made for the Marquis of Lansdowne, and represents Washington standing by a table, with the right hand extended. This, with some variety in the accessories, constitutes the favorite full-length of the Presidential portraits. At the sale of the Lansdowne effects this original was bought by Samuel Williams, and it was exhibited at the Philadelphia Exhibition in 1876 by its owner at that time, Mr. J. D. Lewis, of England.¹

While Stuart had the Lansdowne in hand, he made a copy for Mr. William Constable, and a half-length (Stuart made few), which Constable gave to Gen. Hamilton. The Constable full-length is sometimes said to have been touched from life, and is in better condition than the Lansdowne, and is now owned by his descendant Henry E. Pierrepont, of Brooklyn, N. Y. It has been copied in oil, once in half-length (1841) for the city of Hudson, N. Y.; once at full-length (1845) for Salem, Mass.; and perhaps oftener. The head was engraved by Langier in 1836, as a part of a design by Cogniet, including a horse in the background, though the print says that it was made after the Boston Athenæum head.²

The Hamilton half-length, which represents Washington seated, with a river and vessels in the background, now belongs to Alexander Hamilton, of New York, who says that Washington gave it to Gen. Hamilton; but Stuart's bill to Constable shows that he paid for two pictures, one a full-length and one a half-length.

The Lansdowne was also reproduced by Stuart for Mr. Bingham. — the picture now in the Academy of Fine Arts in Philadelphia. It is signed *G. Stuart, 1790*, and Hart thinks it was originally painted for Bingham.

Respecting the Gardner Baker copy there is a strange story told. It was entrusted by Thomas Laing to Winstanley, the painter, to be sent to the White House in Washington; but he sent a copy instead, and this changeling is the picture now seen in the East Room. He is said to have taken the Stuart original to England, and there is held to be some ground for the belief that a full-length reproduction of the Lansdowne owned by the late Russell Sturgis, of London, is the pilloined picture.

Stuart, in 1822, made a picture of the Lansdowne type of head, but showing Washington seated at a table with papers, for W. D. Lewis (d. 1881), which is now, or was recently, at Florence Heights, New Jersey; and also one of three-quarters length, which is owned by Mrs. Joseph Tuley, of Winchester, Va.

A variation of the Lansdowne type, in which the figure is thought to be better drawn, is placed in a posture which has given it the name of the "Teapot picture." The prototype of this variety is the one painted for Peter Jay Munro, which in July, 1845, was bought by James Lenox, and is now in the Lenox library (Stevens's *Lenox*, p. 154), and has been engraved by Sartain for Lenox's edition of *The Farewell Address* (1850).³

Of this "Teapot" type are the replicas by Stuart in the state-houses at Newport, Providence, and Hartford. The one at Newport is considered the better of the two in Rhode Island (Mason's *Newport*, 289). The Hartford picture was engraved in the *Columbian Mag.*, and again by Illman and Pilbrow.

In 1816 Stuart used the Lansdowne head in a cabinet full-length in military dress, the ownership of which passed from Isaac P. Davis to Ignatius Sargent, and now belongs to the latter's heirs. It was the study of a large canvas which he painted for Samuel Parkman, who gave it to the town of Boston. It hung for many years in Faneuil Hall, where its place has been taken by a copy made by Miss Jane Stuart, while the original, for safer keeping, has been transferred to the Museum of Fine Arts. It is known as "Washington at Dorchester Heights," the background showing the British ships leaving Boston harbor.⁴

It is from a coarse copy of Nutter's engraving of the bust of the original Lansdowne that what is best known as "Pitcher Portrait of Washington" was produced. Various copies of these exist (*J.*, p. 105), and the picture is sometimes found cut from the front of the vessel and framed. It is reproduced in *J.*, pl. xxii, and in Lossing's *Home of Washington*, p. 394. There is a less known Pitcher picture, which was made from a small painting, as Miss Stuart says, sent over for this purpose by Stuart to his nephew, Edw. C. Newton, in England (*J.*, p. 106). The profile by Mrs. Wright, and other pictures of Washington, are also found on pitchers of the early part of the century.

The latest of Stuart's pictures from life is that known as the "Boston Athenæum head," which he painted in 1796, finishing the head alone. He is charged with not completing the picture in order to have an excuse for not surrendering it to Washington, who was content with, or perhaps accepted in lieu, a copy, which is supposed

¹ It was engraved in line by Heath, soon after it reached England, the legend on it crediting the original to *Gabriel Stuart*, and Heath's engraving has been the one usually followed by later engravers, and pl. xii. in *J.* is after this print. Heath also engraved in stipple the bust only, which is in Ramsay's *Washington* (London, 1807). The Lansdowne picture, either in full length or the bust only, is found in Marshall's *Washington* (London, 1804), French translation of Ramsay (Paris, 1805), Pitkin's *United States* (1828), Hinton's *United States* (1831 and Amer. ed. 1834), in C. R. Edmond's *Washington* (London, 1835), in the Philadelphia ed. (1858) of the *Farewell Address*, and in Mrs. C. M. Kirkland's *Washington* (N. Y., 1860), and very likely in various other books, where it may not be easy to say whether some copy of the Lansdowne, or even the Boston

Athenæum head, may not be the original of the engraving: Cf. *Mag. Amer. Hist.*, Feb., 1888.

² A later engraving of the head is in Irving's *Washington*; and in *T. Cf. Mrs. Hist. Soc. Proc.*, xi. 229; *Mag. of Amer. Hist.*, Feb., 1886, p. 143.

³ There is also an engraving by A. H. Ritchie in the same book, and Mr. Lenox's Appendix would lead one to infer that they are from the same picture, though the position of the sword hand is different in the two engravings. Cf. *Mag. Amer. Hist.*, Feb., 1888; John N. Norton's *Washington* (N. Y., 1866).

⁴ It was copied by M. A. Swett, and this copy was engraved on a large plate by Kelley, in 1836. There is a mezzotint by H. S. Sald (*B. eng.*, p. 141). There is a heliotype from the original in *J.*, pl. xiv, and a large woodcut from the original in the *Mem. Hist. Boston* (iii. 88)

to be the one bought of William Temple Washington in 1868 by the late Hon. J. V. L. Pruyn, of Albany. This is engraved in *The Curio*, Sept., 1887. The unfinished original was bought in 1832 by some gentlemen for the Boston Athenæum, and it is now on deposit in the Museum of Fine Arts in Boston.¹ Washington Allston called it "a sublime head." Rembrandt Peale considered the features inaccurately drawn, and the character "heavily exaggerated." In the many copies which Stuart made of it, he always completed the figure, and among these copies may be named one made for Josiah Quincy in 1810, now at Quincy; one for Mrs. C. H. Richardson, of Louisville, Ky., of which several copies exist in the West; one for Mrs. Peter, of Lexington, Ky.; one in the Corcoran Gallery of Washington, D. C., — formerly by Col. John Tayloe; and one for Daniel Carroll, of Doddington Manor. There is some question if Stuart himself copied the picture which belonged to Madison, and was later the property of Edward Coles, of Philadelphia.

Beside the copies already mentioned, made by Stuart of his leading pictures, he made many others, and mostly, if not altogether, of the Athenæum painting, which he kept in his possession. While the Lansdowne head looks away from the spectator, the eyes of the Athenæum head follow you. There may be errors in the following additional list of the Stuart presidential pictures: either that the Lansdowne type is followed in some, rather than the Athenæum head (Mason, p. 91, says that after 1791 Stuart copied only the Athenæum head), or that the copies were not made by Stuart, or that, in the confusion arising from change of ownership between the records, which have been depended on, the same picture may be mentioned twice. In public places, I find note of one in the old hall of the House of Representatives in Washington, which was painted for Gen. Chestnut of South Carolina; another, given by Thomas J. Bryan to the New York Historical Society; a third, belonging to the Philadelphia Club, and earlier owned by Peter A. Brown; a fourth, made for Solomon Etting, of Baltimore, and now in the Maryland Historical Society; one in the Penna. Academy of Fine Arts, given by Paul Beck in 1845; and two in the State library at Richmond, — one said to have been completed as to accessories by Dunlap, and the other painted for Samuel Myers.

Of those in private hands, I note the following: —

Mrs. William [Emily W.] Appleton, formerly belonging to her grandfather, Jonathan Mason.

William H. Appleton, of New York, painted for Charles Brown in 1800, and owned by Mr. A. since 1861, having previously been owned by Z. C. Lee, of Boston.

William Buchler, of Harrisburg, on panel, formerly owned by Samuel D. Frank.

George Blight, of Philadelphia, painted for James Oliver, of Canton, 1768; later owned by James Blight. This portrait was for a while in China, and is supposed to be the original of the Chinese glass copies. There are various copies of this Blight picture.

Col. J. Schuyler Crosby, formerly owned by Col. Henry Rutgers, and said to have been completed by another hand, and now owned by Mrs. J. T. Cooper.

T. Jefferson Coolidge, of Boston: one of a series of five Presidents, painted by Stuart, 1810-1815, for Col. Geo. Gibbs, — the Washington is on panel. Another set was partly burned in Washington in 1851.

Mrs. Dahlgren, widow of Admiral Dahlgren, of Washington, formerly the property of Robert Gilmor of Baltimore, and said to be the last copy painted by Stuart (1825).

Judge John Hoyer Ewing, of Washington, Pa.

Robert J. Fisher, of York, Pa., said to have been finished by Stuart upon a sketch by a pupil.

Nutter's engraving, published in London in 1798, purports to follow an original belonging to J. S. DeFrança.

Jas. Greenleaf, of Allentown, Pa., said to have passed to a Mr. Felton.

C. C. Pinckney, presented, it is said, to Washington, now owned by Judge Horace Gray.

Dr. Herbert Norris, of Philadelphia, once owned by William Rawle, and painted in 1798.

John T. Montgomery, of Philadelphia, earlier owned by Gilbert Robertson, and painted for John Simpson.

Peter McCall, perhaps once belonging to James Gibson, and now owned by Jane Byrd McCall; said to have been dimmed by cleaning.

F. Law Rogers, of Baltimore.

Edmund L. Rogers, formerly belonging to Robert Barry, of Baltimore.

Gen. Benjamin Smith, of North Carolina, now or lately owned by Mrs. Moore, of Wilmington, N. C.

A full-length cabinet picture, owned by George F. Meredith, of London.

Francis Lightfoot Lee, 1797, given to Lt.-Gov. Samuel Phillips, of Andover, Mass.

¹ There is a heliotype of this head in *Z.*, pl. xiii, and a photograph in Mason's *Stuart*, p. 101. Most of the line engravings give the bust complete and in civic costume. Such is one of the best of the smaller engravings, that of A. B. Durand for Sparks's *Washington* (vol. ii). The engraving by Joseph Andrews in 1843 was an excellent one; but the plate was destroyed in the Boston fire of 1852. Thomas B. Welch engraved it in 1852, under Thomas Sulby's superintendence. A good engraving by H. B. Hall is in Irving's *Washington* and in *Z.*, and an etching by him in the *Mag. of Amer. Hist.*, Jan., 1880, vol. iv. H. Wright Smith engraved the picture in 1870, published at Boston,

and there are imprints of his work at Philadelphia in 1855 and 1859. The most famous of the larger engravings is that by Marshall in 1802.

Other engravings are numerous, — such as G. R. Hall in Lossing's *Home of Washington*; and it is a pretty constant accompaniment of the popular lives and histories, like J. A. Spencer's *Hist. U. S.*; Patton's *Hist. Amer. People*, etc. The print of Giuseppe Longhi (1819), which has been a popular one in Europe, and a good deal followed, seems to have embodied traits of both Stuart's and Trumbull's heads. It was reproduced in Germany by Longhi's pupil, G. G. Felsing, in 1824. (Cf. Snowden's *Medals*, pl. iii.)

Col. Thomas H. Perkins, of Boston, owned by Augustus T. Perkins, and made for Cumberland Williams, of Baltimore.

Edward Shippen, of Philadelphia, inherited from Joseph Shippen.

S. M. Shoemaker, of Baltimore, 1798, painted for Moor Falls.

Gen. William P. Hunt, of New Jersey, now in the A. T. Stewart gallery. It is on an unusually large canvas, and was copied by Sully for the Penna. Hist. Society.

The late Russell Sturgis, of London, once owned by his uncle, James Sturgis.

Mrs. Wain, of Philadelphia, painted for Joseph Thomas.

Dr. Alfred Wagstaff, of New York.

Robert C. Winthrop, of Boston, formerly owned by Gen. William McDonald, of Baltimore.

Many of the engravings after Stuart have been already mentioned. Baker says that nearly one half of all existing engravings follow Stuart, and Johnston says that they are five times as numerous as those of any other painter. All of the standard and popular lives have adopted it, solely or with others, — Aaron Bancroft, Marshall, Weems, Corry, Ramsay, Sparks, Guizot, Irving, etc.¹

Stuart is said to have allowed that the Houdon bust was superior to his canvas, and the only representation that was better, and he traced the defects of his own to the poorly fitting false teeth which Washington wore, having first used such in 1789. Houdon was forty-four years old, when he came over in Sept., 1785, to see Washington, and spent two weeks at Mount Vernon. The story of the statue which he made is told in Sherwin McRae's *Washington, his person as represented by the artists: The Houdon statue, its history and value*. Published by order of the Senate of Virginia, 1873 (Pub. Doc., Richmond, 1873). This may be supplemented by a paper in the *Mag. of Amer. Hist.*, Feb., 1886, p. 101. The statue was completed in 1788, but not received in Richmond till the Capitol was ready to receive it, in 1796. Houdon took measurements of Washington's body and a mould of his face, — not of the whole frame, as sometimes said. From this mould he took a plaster mask, which he carried to France with him. He also left a plaster bust at Mount Vernon. This was entrusted to Clark Mills in 1849, who reproduced it and left the copy at Mount Vernon, appropriating the original, which in 1873 he gave to Wilson Macdonald, from whom it passed to his daughter. There is a photograph of this in *J.*, pl. xxv, and a woodcut in Lossing's *Home of Washington*, p. 177.

A shrunken gutta-percha bust, whitewashed, which is also preserved at Mount Vernon, is said by Lossing to have been run in the original plaster mould; but Johnston says it was made from the Houdon plaster by a German artist, and not by Houdon himself. A cut of the Houdon mask is in Lossing's *Home of Washington* (p. 368), and a careful copy is preserved in the mint at Philadelphia. Mills used the bust for his statue of Washington, and an "original combination portrait" was engraved in 1864, of which the features were said to have been taken from the Houdon bust. The mask taken by Houdon to Paris was in 1801 in the possession of Pettrick, a sculptor of Rome, having been bought at the sale of Houdon's effects. It is now owned by W. W. Story, who says that Houdon, though following it closely, made it weaker.²

Houdon is said to have designed a small equestrian statue, from which a German sculptor, perhaps Rauch, executed a model belonging to the Hon. George Young, of Edinburgh. There is a small model, thought to be by Houdon, in the Metropolitan Museum of Fine Arts, N. Y. (*Mag. of Amer. Hist.*, viii, 555).

The rest of the life portraits and sketches of Washington may be treated in classes: —

First of the large portraits: — William Dunlap, when scarce more than a lad, drew Washington in pastels at

¹ Before 1800, beside instances already referred to, the Stuart likeness was given in Scott's *U. S. Gazetteer*, 1795; in the Philadelphia continuation of Hume (1798). The earliest American engraving to attract notice was C. Tiebout's in 1800. A Doollittle engraved it in the *Connecticut Mag.*, January, 1801. It appeared in Bisset's *George the Third* (Philad., 1811); in Alden's *Epitaphs* (1814); in the first American edition of *Rees' Cyclopaedia* (1821). It was selected for the *National Portrait Gallery* in 1834, and has been repeatedly employed since. The characteristics are the favorite ones for the large wall-prints, like that of P. F. Rothermell (1852), engraved by A. H. Ritchie; the large military figure before Mount Vernon, painted by T. Hicks, and engraved by H. W. Smith; and the equestrian picture by John Faed. Baker must be consulted to complete the list of engravings. There is a large number of them in the Boston Athenæum.

² There are plaster casts of the Houdon statue in the Capitol at Washington, and in the Boston Athenæum. Casts in bronze were made in 1856, and later by W. J. Hubbard, and are in the Military Institute, Lexington, Va.; in North Carolina; in South Carolina; in the Central Park Museum, New York; in St. Louis; and in Richmond, Va. A bust of the Houdon mould was made for Rufus King, and, passing

through the hands of Oliver Wolcott and H. K. Brown (who used it in his statue), came about 1854 into the possession of Hamilton Fish. There are other copies by Miller, with drapery added. It was also used by Deville, a French artist in making busts, some of which were brought to this country by Lentze. A medallion by Houdon was brought many years ago from France by T. W. Griffith, and was recently in the family of D. B. Latimer, of Baltimore. The Houdon head has been the one usually followed in medals (*B. Med.*, 41, 51, 52, 61, 67, 68, 82, 86, 93, 102, 112, 123, 133, 134, 139, 149, 151, 152, 162, 174, 179, 199, 201), and the best use of it is considered to be the Independence medal struck about 1850. (*Ibid.*, p. 32. Cf. Snowden's *Medals of Washington*, p. 20.)

J., pl. xxiv, gives a heliotype of the entire statue. Baker notes but one engraving to show the full figure, and that is a poor print by Parker. The woodcut in Lossing's *Home of Washington* is poor. Of the profile bust there are several good engravings in Sparks's *Washington*, by A. P. Durand; in the abridged ed. by Storms; in Delaplaine's *Repository*, by Leney, 1814; in the *Nat. Port. Gallery*, 1834; in Irving's *Washington*, by Hall, repeated in Tuckerman.

his headquarters at Rocky Hill, near Princeton, in 1783. It is crude, and has little merit. Dunlap gave the original to John Van Horn, who had interceded for the sitting.¹

Another artist to paint Washington while at Rocky Hill was a Quaker, (?) Joseph Wright, who made on panel a cabinet picture, showing Washington with short, undressed hair and in military garb, which was owned by Francis Hopkinson, and finally passed to his great-granddaughter, Mrs. Annie H. Foggo, of Philadelphia. With this as a study, Wright, in 1784, painted a likeness which Washington presented to the Count de Solms. Of the same year is a half-length, in uniform, with the right hand on a sword, showing a full face, which is signed "J. Wright, 1784," and which formerly belonged to Mrs. Elizabeth Powell, and has been later owned by Sammel Powell and John Hare Powell, of Newport, R. I.² Another half-length by Wright (1784) was given to the Mass. Hist. Society by Israel Thorndike (*Proc.*, ii. 25). One which belonged to William Menzies was different in dress, and of this there is an engraving by J. A. O'Neill, in the privately printed *Addresses of the City of New York to George Washington* (N. Y., 1807).

Crawford was struck with what he thought must be the correct proportions of Wright's picture (Tuckerman's *Book of the Artists*, 309).

Robert E. Pine, an English artist, a pupil of Reynolds, came to America in 1783,³ and in 1785 spent three weeks at Mount Vernon and painted a picture, which is one of the least pleasing of the well-studied portraits of Washington, the head being, as Rembrandt Peale said, too small and badly drawn. The picture is now in Independence Hall, having been left to the city of Philadelphia by Benjamin Moran. Other accounts say that it is not now known, though it is said to have been in the possession of the Hopkinson family in Philadelphia (*Putnam's New Monthly Mag.*, Oct., 1855, vol. vi.). Pine painted at the same time a duplicate for himself, and this was bought, in 1817, in Montreal for Henry Brevoort, and now belongs to the estate of his son, the late James Carson Brevoort, of Brooklyn. It is said to have been retouched from life in 1787.⁴

When Washington visited Boston in 1780, as President, and attended an oratorio in King's Chapel, a Dane, Christian Gillagher, placed himself in a pew behind the pulpit, so that he got a fair view of Washington, and sketched his features. He is said later to have destroyed this sketch, but Johnston (p. 57) believes a picture exhibited in New York in 1832 to have been based upon it. As Washington proceeded on his journey to Portsmouth, Gillagher followed him, and succeeded in getting a sitting. The portrait thus made came into the hands of Dr. Jeremy Belknap, and in 1858 was the property of his grandson, Edward Belknap, who caused it to be engraved by Marshall for the *Mass. Hist. Soc. Proc.*, iii. 300. It is a stiff, unpleasing picture, and is reproduced in Lossing's *Diary of George Washington* (N. Y., 1860), and in *J.*, pl. ix.

Edward Savage, an artist of no great merit, induced the authorities of Harvard College⁵ to request Washington to sit for his portrait, which in Dec., 1780, he was engaged in painting in New York City (*Hist. Mag.*, ii. 247); and in Aug., 1791, Savage had already deposited it in the philosophical room of the college. It hangs at present in Memorial Hall. It is a bust picture, in military garb, with the badge of the Cincinnati on the lapel of the coat. The face is rather hard, with almost no sensibility, and as a likeness it met the approval of Josiah Quincy, who as a young man remembered Washington, and described him as having the look and air of a country gentleman whose life had been passed remote from cities (E. Quincy's *Life of J. Quincy*, p. 50). Savage engraved this portrait in stipple, and published it in London in Feb., 1792; and the same plate, slightly retouched about the hair, etc., accompanied *Washington's Monuments of Patriotism* (Philadelphia, 1800).⁶ Perhaps from life, but probably from his first picture, Savage painted, not long after, a cabinet picture, which he kept himself, and which is now owned by his descendants in Fitchburg, Mass. He also, in 1790, painted a Washington for John Adams, which is now at Quincy. If the engraving of it may be believed, he also painted a sitting Washington, holding a plan upon a table; for two plates of such a picture, much larger than those already mentioned, were published in London, one of them in 1793, known to be by Savage, and perhaps the other was. It professes to be the Harvard picture, but only the head is the same (*B. eng.*, p. 76). There were prints of this, large and small, issued at Providence in 1800 and thereabouts, and the same was used in Winterbotham's *View of the U. S.* (N. Y., 1799). It is very likely, however, that Savage, in saying, in the inscription under the original plate, that it followed the painting made for Harvard College, only intended to imply that the head followed that painting, which it resembles, but not closely. A picture, which, with an accompanying likeness of Martha Washington, has come down as the work of Joseph Wright, too closely resembles this Savage engraving in nearly every respect, except that the eyes follow the spectator, to have been an independent picture, unless, for everything but the head, the two artists followed a

¹ A mezzotint engraving by Augustus Robin, from the original then owned by Dr. Samuel C. Lewis, of New York, was published by Elias Dexter in 1802. A heliotype of this engraving is given in *J.*, pl. iii.

² Cf. Tuckerman; Mason's *Newport*, 291. It was engraved in *The Century*, Nov., 1887 (vol. xxxv. p. 1). The Rocky Hill picture is said to belong to Mrs. Wm. Biddle (*Philad. Exhib. Catal.*, 1858.)

³ Cf. account of him in *Putnam's New Mo. Mag.*, Oct., 1855 (vol. vi.).

⁴ This copy was engraved by H. B. Hall for Irving's *Washington*, and appears also in the Mt. Vernon ed. (1850) of Irving and in Tuckerman. A redrawing of it by Chapell was engraved by G. R. Hall, in 1856. It is given in heliotype in *J.*, pl. xiv. A letter of Washington, dated May 16, 1785, is given facsimile in J. A. Spencer's *Hist. U. S.*, vol. . . .

⁵ President Kirkland's letter and Washington's reply are in Sparks's *Washington*, x. 64.

⁶ There was an engraving of it also in the *Philadelphia Monthly Mag.*, 1798.

common prototype. This alleged Wright picture hung for a time in Gardner Baker's museum in New York. It then came into the possession of William Lang, and descending through his son, William Baily Lang, was recently sold by the latter's daughter to Mr. Clarence W. Bowen, of Brooklyn (*Mag. Amer. Hist.*, April, 1887, p. 352). It bears on the coat the badge of the Cincinnati Society, which the Savage engraving does not have, while the Harvard painting does have it. At the close of the last century there was hardly a picture more popular than Savage's, but its popularity suddenly ceased after the new century began.¹

Savage also engraved another plate, which was for a while popular, called "The Washington Family," representing the President, his wife, his adopted children, and his negro servant around a table, on which lies a plan of the new Federal city, which is engaging their attention. The original canvas has been in the Boston Museum since 1840. He issued a large print of the picture in London in 1798 (heliotype in *J.*, pl. vii), and made a second plate of it, with some difference in the rosette of the hat on the table.²

In December, 1791, Archibald Robertson, a Scotchman, spent some weeks in the executive mansion in Philadelphia, and made in the first place two miniatures, one on ivory and the other in water-colors, which the artist retained, and they are now owned by his granddaughters in Philadelphia and New York. There are photographs of them in the N. Y. Hist. Society, and Dudensing executed an engraving from the ivory one, which was published by Dexter in New York in 1866. Robertson used these as studies for a large picture, which he was commissioned to paint for Earl Buchan, and which in 1792 was sent to that nobleman. Robertson also painted in oils a half-length cabinet picture on a marble slab (1792), which was owned by Mrs. M. M. Craft, of New York, when the heliotype in *J.*, pl. iii, was made. In 1796 he painted from the same studies two miniatures, which were given by Washington as wedding gifts to his wife's granddaughters, and are now owned by E. L. Rogers, of Baltimore, and Mrs. Beverly Kennon. Baker gives four engravings, which resemble but do not profess to follow Robertson's head.

One Williams, in 1794, painted a disagreeable, feeble picture for the Washington Lodge of Freemasons at Alexandria, in which Washington is bedizened after a repulsive fashion.³

Adolph Eric Wertmüller, a Swede, is supposed to have had a sitting from Washington for a picture which he painted in 1795, though G. W. P. Custis seems inclined to discredit the story (*Recoll.*, p. 520). He was the painter of several pictures, which have a look different from all other supposed likenesses. Rembrandt Peale calls it a "German aspect," and said it made Washington a "dark-complexioned man," which he was not. His first picture, which was a bust portrait in civil dress, was given to Mr. Cazenove, of Switzerland, and was later owned by Chas. Augustus Davis, of New York.⁴ The same head, of cabinet size, and with a military dress, was given by Washington to Baron von Warhendoff, and is now, or was lately, owned by the widow of Dr. Cornelius Bogart, of New York. It has been engraved by Buttre. A third picture, in civil dress, was in Paris in 1858, when it was copied by Mrs. Archer Anderson, of Richmond. A fourth, in civil attire, was painted for Amos Slaymaker, and is now owned by the Penna. Hist. Society. A fifth, similarly dressed (1797), is in the Department of the Interior, having been bought by the United States in 1878 from the representatives of Mrs. Lawrence Lewis. A sixth, a full-size bust portrait, closely resembles the Davis picture, and is now owned by Benj. F. Beale. A seventh belongs to John Wagner, of Philadelphia.

Of the miniatures of Washington, not already named in speaking of artists who also painted large pictures, the earliest is one by Labatut (1782), now owned by Miss E. E. Watson, of New York, and given in *J.*, pl. xvi. It is on ivory, and was given by Washington to C. C. Pinckney. It is said to follow Stuart.

A miniature painted by John Ramnage in 1789 is not now known. He is said to have had a sitting of two hours, and that the picture was intended for Mrs. Washington.

Walter Robertson, in 1794, painted a miniature from life, though some doubt has been expressed upon his having had a sitting. It represents Washington in uniform, with a black neckerchief,—an unusual neck-cloth for him,—and was engraved by Robert Field in 1795.⁵

Mr. P. A. Peticolas painted a miniature on ivory in 1796, which, having been bought from the artist's grandson by John Taylor Johnston, passed in 1876 into the hands of F. C. Sayles, of Pawtucket, R. I.⁶

¹ During the short period of its favor, it was the picture selected for the *Official letters of Washington* (Boston, 1799); the *Domestic Letters* (N. Y., 1799); the spurious *Epistles* (N. Y., 1799); the *Philad. Monthly Mag.* (1798); the *Washingtoniana* (Baltimore, 1800); the *Legacies of Washington* (Trenton, 1800); the *Memory of Washington* (Newport, R. I., 1800). After this there was a long interval before Savage's head again attracted attention. It was engraved by O'Neill in 1895, for the *Washingtoniana*, published at New York by Dexter, and again by Buttre, the same year, for the *Washingtoniana*, published by Woodward (Roxbury, Mass.), and edited by F. B. Hough. The O'Neill print is also to be found in John G. Shea's facsimile edition of *An Address from the Roman Catholics of America to George Washington* (London, 1790). There is a heliotype of the Harvard picture in *J.*, pl. vi.

² It has been engraved of late years by Sartain. Two

other "Washington Family" pictures have since been more or less popularized by engravings: one by F. B. Schell, engraved by A. B. Walter; the other by Alonzo Chappel, engraved in 1867 by H. B. Hall.

³ It is engraved in Sidney Hayden's *Washington and his Associates' Compeers*, and in Lossing's *Home of Washington*, p. 307. There is a heliotype in *J.*, pl. xxii. (*Cl. Hist. Mag.*, viii, 44.)

⁴ While in his possession it was engraved by H. B. Hall, and can be found in Irving's *Washington*, Tuckerman, and Johnston (pl. viii).

⁵ Baker gives other engravings, including those in Winterbotham's *View of the U. S.* (N. Y., 1795); C. Smith's *Amer. Wars, 1775-1783* (N. Y., 1797); and the *Dublin University Magazine*.

⁶ It has not been engraved, but is given in heliotype in *J.*, pl. xvi. It is said to follow Stuart.

James Sharples, or Sharpless, an English painter, beside making some effective profiles, to be mentioned later, is also the author of other pictures (1796, etc.), and, unless there is a confusion in such descriptions as I follow, some are pretty certainly profiles: One for Col. James McHenry, owned by David Hoffman, of Maryland. A pastel made for Judge Peters. A full-face sold to Mr. Walker (?) of London. A picture in Independence Hall, Philadelphia. A cabinet painting owned by Mr. Nathan Appleton of Boston. One painted for Col. Jeremiah Wadsworth, and now in the Wadsworth Gallery at Hartford. A crayon owned by John R. Smith, of Philadelphia. A picture belonging to the rector of Wymington, Bedfordshire. A picture in the National Portrait Gallery, Kensington, is said to be by Mrs. Sharpless (*Mass. Hist. Soc. Proc.*, xiv, 160).¹

William Birch, an English artist, is sometimes said to have been present (1796) while the President was occupied in his cabinet, but Hart says he used Stuart's first picture, when he made a crayon sketch, from which he painted several miniatures. One in enamel was bought by James McHenry, and descended to J. Howard McHenry, and this is given in heliotype in *J.*, pl. xvi. A fac-simile of this, enamelled on copper, 1797, was owned by Chas. G. Burney, of Richmond, and engraved by H. B. Hall, and appeared in the *Mag. of Amer. Hist.*, vol. iii., Feb., 1876, after which the plate was destroyed. (Cf. *Ibid.*, Feb., 1886, p. 149.) W. Bone, in 1796, followed this Burney picture in an enamel mentioned in the *Mass. Hist. Soc. Proc.*, xi, 262.

An engraving of another picture, by J. G. Walker, purports to represent an original by Birch, belonging to I. G. von Staphorst, of Amsterdam.

Still another picture is said to have been drawn clandestinely by Birch while Washington was entertained at dinner, and this is owned by Mrs. Susan Washington Edwards, of Maryland; and a fourth was exhibited at the Academy of Fine Arts in Philadelphia, in 1876, as the property of a Mr. Lyle, of Dublin.²

F. Kisselman painted in oil a cabinet bust portrait in 1768, which is said to have belonged to Robert Morris, and is now, or was recently, owned by B. G. Smith, of Germantown, Pa. It has not been engraved.

There are miniatures (after Stuart), painted by R. Field, the original being drawn on ivory in 1768, at Mount Vernon, for Mrs. Lawrence Lewis, and is now owned by her grandson, Lawrence Lewis Conrad, of Baltimore. A second was given by Mrs. Washington, who had worn it in a locket, to Col. Tobias Lear in 1801, and is now owned by Mrs. Wilson Eyre, of Newport. A third, painted on ivory for Thomas Meredith, is owned by C. C. Moreau, and is given in heliotype in *J.*, pl. xvi. A fourth was sent by Bushrod Washington in 1825 to Bolivar, and is said to have been engraved.

Johnston (p. 144) also mentions an india-ink miniature made in 1799 by Charles Fraser, beside others without date.

The likenesses so far mentioned are wholly or in part front views, except the side aspects of the Houdon head. There is a large group of profile likenesses now to be noted.

The earliest we have was drawn by Eugène Pierre Du Simitière, a Swiss, who settled in Philadelphia in 1766, and is supposed to have made his studies of Washington in the winter of 1778-79, though we have no other evidence that Washington sat to him than the inscriptions on the early engravings of his profiles. His first drawings for these engravings are not known; but the first plate was executed by Brandt at Madrid in 1781. It was reproduced in London in 1783, engraved by B. Reading as one of the *Thirteen portraits of American legislators, patriots, and soldiers*, published in a small thin quarto by Wm. Richardson, without date; and again at London, in the same year (1783), published by R. Wilkinson, in no. 1 of *Heads of Illustrious Americans and others*, where the plate is somewhat larger than in the Richardson publication.³

There was a picture exhibited at the Philadelphia Exhibition in 1876, and called a Wertmüller. Johnston,



WASHINGTON.

(Du Simitière's *Thirteen Portraits*.)

¹ Some fraudulent pictures ascribed to Sharpless, and portrayed in an audacious book by James Walter, *Memorials of Washington* (N. Y., 1886), are exposed in a report made to the Mass. Hist. Soc. (*Proc.*, Jan., 1887).

² Birch did not engrave any of his pictures, but David Edwin engraved one in the *Amer. Artillerist's Companion* (1860), and there is a print in the Paris ed. of Barlow's *Columbia* (1813). Baker gives other prints.

³ It was also engraved in London in 1784; by A. W. Kuffner in 1783; by E. L. Prevost and by Bonneville in Paris; by Adam in Marbois's *Complot d'Arnold* (Paris, 1810). This was the first head used on American coins (1791), and it has been several times copied on medals (*Baker Med.*, 112, 122, 139).

who gives a heliotype of it (pl. iii), says it is a profile by Du Simitière, which once hung at Mount Vernon, and is now owned by J. P. McKean.¹ Chas. Henry Hart calls it a Wright picture.

While on a visit to Mount Vernon, in 1786, Miss De Hart, of Elizabethtown, N. J., cut a silhouette of Washington with scissors, from which (?) there is an engraving in Henry Wansey's *Journal of an Excursion to the United States in 1794* (Salisbury, 1796). It is also given in the large edition of Irving's *Washington*.



FROM WANSEY.

Madame de Bréhan, a sister of the Count de Moustier, the minister from France, drew a miniature from memory in 1787; and using this as a basis, she was favored by Washington with sittings at Mount Vernon in 1789, when she made a profile head, crowned with laurel, of which she made several copies. One fell to Mrs. Bingham in 1791. Another was sent to Paris, and was there engraved in 1790 by A. F. Séraunt. She sent a number of prints from this engraving to Washington, who gave them to his friends.²

A miniature by Madame Bréhan is mentioned in *Mass. Hist. Soc. Proc.*, vii. 300, as being in the family of Dr. Hosack. She also made heads of Washington and Lafayette in medallion on copper, of which there are engravings.³

Mention has already been made of the full-face portraits executed by Joseph Wright near the close of the war. In 1790, possibly for the purpose of sending an original sketch to his mother, who was then modelling in wax in London, Wright caught a profile likeness of Washington in church in New York, from a convenient pew which he occupied for two or three successive Sundays (G. C. Verplanck in *The Crayon*, August, 1857). He made an etching in military dress from this sketch, which he published on a card (1790), and it was afterwards reproduced in the *Mass. Mag.*, March, 1791. It attained a considerable popularity, and appeared,

more or less closely corresponding, in the *Literary Mag.* (1792), by Holloway; in the *Pocket Mag.*, October, 1795; in the *Amer. Universal Mag.*, February, 1797; in R. Bisset's *Hist. Biog. Lit. and Scientific Mag.*, London, July, 1799; with Benj. Trumbull's Eulogy (1800); and the names of Collyer, Chapman, Evans, Scoles, Murray, Roosing, as associated with it as engravers. Joseph Ames gave the drawing a civil dress, and in this shape it was republished in New York in 1863.

What is known as the "Goodhue portrait" (1790) is a drawing in profile which was owned by David Nichols, of Salem, and is considered as following the Wright etching.⁴ Another similar head is drawn in pen-and-ink on the back of a playing-card, and is marked J. Hiller, Jr., 1794. It is owned by the Mass. Hist. Society (*Proc.*, xiii. 243).

It is the opinion of Baker, though Johnston dissents, that a profile sketch purporting to be made by Nathaniel Fullerton was simply a drawing after Wright's etching, which it closely resembles. The Fullerton picture was engraved by G. G. Smith in 1851, which is given in the *N. E. Hist. and Geneal. Register*, January, 1857, and published as a picture of Washington while reviewing the troops on Boston Common, which would place the sketch in 1776, or at the time of his visit in 1780.⁵

A silhouette, taken in 1762 by Michel Benvenit Poitiaux, is given in E. M. Stone's *French Allies*, p. 387. (Cf. Johnston, p. 145.) A small cabinet picture in oil, showing Washington on a white horse, in Continental uniform, sketched while he was reviewing some troops at Cumberland, Md. (1794), belongs to Thomas Donaldson of Idaho. It has never been engraved.

There belongs to the Penna. Hist. Society a silhouette likeness which was sketched on some public occasion in 1795, and it bears the following inscription: "Presented to James Henry Stevens, Esq., by his friend, Col. William Washington, Sept. 6, 1800. Said to be a correct likeness taken from life of his excellency Geo. Washington. . . . S[amuel] Folwell p[ri]nted, 1795."⁶

A hasty pen-and-ink profile sketch of his head, as Washington was looking at a distant vessel on the Potomac, was made by H. B. Latrobe in 1799, and is owned by B. S. Ewell.⁷

¹ He has published a full-size colored lithograph of it.

² One of them came finally into the hands of Gen. McClellan, and while he had it it was re-engraved by Charles Burt, and this new plate is reproduced in heliotype in *J.*, pl. ii. Cf., for the same, *Mag. of Amer. Hist.*, xii. 150. A print in the first volume of Crèvecoeur's *Voyage dans la Haute Pensylvanie* (Paris, 1801) is "gravé d'après le camée peint par Madam Bréhan à New York en 1789." This engraving is by Roger.

³ In Lossing's *Field-Book*, ii. 412, and in his *Home of*

Washington, p. 199. A medal showing the heads of Washington, Lafayette, and Rochambeau is said to have been designed by her.

⁴ It is heliographed in *J.*, pl. ii (*Essex Inst. Hist. Coll.*, xvi. 161, and *Proc.*, iii. 229). C. H. Hart has other opinions.

⁵ There is a heliotype in *J.*, pl. iii.

⁶ It is engraved on wood in J. F. Watson's *Annals of New York*, and is reproduced in *J.*, pl. xxi.

⁷ Given in *J.*, pl. xvi, and in the *Mag. of Amer. Hist.*, August, 1881.

The work of Sharpless was exerted, as evidence goes, in profile. Of a few views of Washington's person mention has already been made. He executed a colored crayon profile of cabinet size in 1796, using the pantograph to assure an accurate outline, and this picture is now owned by Gen. G. W. C. Lee, and is given in heliotype in *J.*, pl. xix. He is said to have had several sittings, and G. W. P. Custis speaks of the results as giving "an excellent likeness with uncommon truthfulness of expression." He repeated several times in pastel this drawn profile. Among the works of Sharpless on this subject, it is not certain, from the way in which I



THE FOLWELL SILHOUETTE OF WASHINGTON.

find them enumerated, that I have not been mistaken in supposing the following to be certainly in profile: one presented by Washington to Col. Tallmadge, and engraved by H. B. Hall & Sons, in the *Mag. of Amer. Hist.*, February, 1883; one for James Hillhouse, of New Haven; a cabinet picture for Mrs. Morton Sears, of Bridgewater, Pa., which was given by Washington to Miers Fisher, of Philadelphia; one belonging to Mrs. William Greenleaf Webster. This profile picture was also engraved in a memorial design published to commemorate Washington's death, in 1800, but has never been engraved adequately in steel till cut in a private plate by H. B. Hall, in 1808.

The wife of the artist, Mrs. Elizabeth Sharpless, has left us a profile picture, in civil dress, which was owned recently, if not now [1888], by Mrs. Eliza M. Evans, daughter of Gen. A. W. White, of New Jersey.¹

During the last years of Washington's presidency (1790-97), Samuel Powell, by the aid of an argand lamp, just then invented, made a silhouette of Washington, which is now in the possession of the Mass. Hist. Society.² When Washington took his last leave of Congress, in June, 1798, W. Louterburg, from a favorable

¹ It is engraved by P. Hall in the *Mag. of Amer. Hist.*, June, 1884 (vol. xi, p. 513).

² It has been reproduced in J. J. Smith's *Amer. Hist. and Lit. Curiosities*, 2d series (N. Y., 1860, p. xiv, and

position in Christ Church, where the ceremonies were held, made the India-ink sketch, which was given by Washington to the wife of Major de la Roche, an aide of Lafayette.¹



WASHINGTON.
BY POWELL.

dress (Philadelphia, 1810).³
of Washington's death.

In 1708 Washington's adopted daughter, Eleanor Parke Custis, made a shadow picture, which is now preserved in the Everett schoolhouse in Boston.²

The last portrait made of Washington in his lifetime is the one known as the "Saint Memin picture," portrayed in 1708, for which he had a sitting, as would appear. Washington was at this time in Philadelphia, organizing the army, in view of threatened war with France. Jules Pévret de St. Memin used a machine for securing easily the outline of a profile, and, finishing it, reduced it for his coppers. He seems to have treated the head of Washington with more care than was bestowed on the eight hundred and more delicately engraved heads which we have of the better known Americans of the time, and of which there is a set in the Corcoran Gallery in Washington. His drawings of them are in part preserved, including Washington, which is in crayon on a tinted paper, about half life-size, in military dress. This original, which is rather striking and life-like, was owned by the late James Carson Brevoort, of Brooklyn. St. Memin engraved this at the time, but of different size from the rest of the series (as is another of his, professing to follow the Houdon bust), and this engraving was closely copied for Washington's *Valedictory Address*. St. Memin also made, for mourning-rings, six very small engravings at the time

Beside the bust of Houdon, already mentioned, it has been claimed, but without positive proof, that three other busts were moulded from life. This is alleged of a bust in wax by Mrs. Patience Lovell Wright, mother of Joseph Wright, who was a well-known modeller in wax; and from such a one, belonging to the estate of Paul Beck,⁴ she also modelled a bas-relief likeness.⁵

A similar claim is made for the well-known bust by Giuseppe Ceracchi, of which that Italian artist produced three copies in 1792-93. He gave it the severe aspect of a Roman general, and Rembrandt Peale speaks of it as having good points "in the flexible parts," but more or less failing in the rigid parts. One of the three he made for Congress, and it was destroyed in the burning of the congressional library in 1851. The second copy was sent to Spain, but was brought back to this country by Richard Meade, the minister to that court, and finally passed into the hands (1857) of Gouverneur Kemble, of Coldspring, and is, or was, on deposit in the Corcoran gallery at Washington.⁶ A third colossal copy was kept by the artist, and finally passed into Canova's hands, and was used by him. It is now the property of Williams Middleton, of South Carolina.

The third additional bust, for which the claim of being modelled from sittings is made, is a miniature work (1796) by John Echstein, of the United States mint, now owned by J. C. McGuire, of Washington.⁷

The earliest monumental effigy commemorative of Washington, to be erected after his death, is supposed to be the bust in Christ Church, Boston. All that is known of it is, that it was placed in the church in 1800, by Shubael Bell, a vestryman; and Johnston conjectures that it may have followed a bust by Joseph Wright, that sculptor having died in Philadelphia in 1793.⁸ Wright is said to have taken a mould of Washington's head at Rocky Hill in 1783, which was broken at the time, but he is thought to have taken another at Mount Vernon in 1784, from which he moulded a bust, as the beginning of an intended equestrian statue for Congress; but as nothing is now known of it, it is supposed to have been destroyed in the burning of the Capitol in 1814. The testimony of Elkanah Watson is explicit, that Wright made a bust (*Hist. Mag.*, vii. 65). Rembrandt Peale says that a mould preserved among Wright's descendants was taken from Houdon, the two halves being clumsily put together. There are in existence two medallions of Washington's head, both of which are reproduced in heliotype in Johnston, pl. xxvi. One is of wax, belonging to Benjamin G. Smith, of Germantown; the other of plaster, and is owned by Gen. G. W. C. Lee. Another medallion in plaster, which belonged to the family of the late George Homer, is supposed to have been made by Wright (*Mass. Hist. Soc. Proc.*, xiii. 254). He is also supposed to have modelled the so-called "Manly medal," which was struck in 1791.—

in the *Mass. Hist. Soc. Proc.*, xiii. 126 (1873-75). Cf. the paper on "The Home and Haunts of Washington," by Mrs. Burton Harrison, in *The Century*, Nov., 1887, p. 12.

¹ Reproduced in *J.*, pl. xxi.

² Reproduced in *Lossing's Home of Washington*, p. 399, and in *J.*, pl. xxi. (Cf. *Mass. Hist. Soc. Proc.*, ix. 356.)

³ The Brevoort drawing was again engraved by Dudenising, and published by Elias Dexter, in New York, in 1866. It was once more engraved by H. B. Hall's sons in the *Mag. of Amer. Hist.*, Feb., 1880, and is given in heliotype fac-simile in *J.*, pl. xx. The St. Memin head is also reproduced on the title of the edition of the *Everett Address*, published in Baltimore by the Washington Monument Association.

⁴ A photograph was published in 1865, and a heliotype is given in *J.*, pl. xxii.

⁵ *Hist. Mag.*, viii. 50; cf. Sparks's *Franklin*, viii. 343.

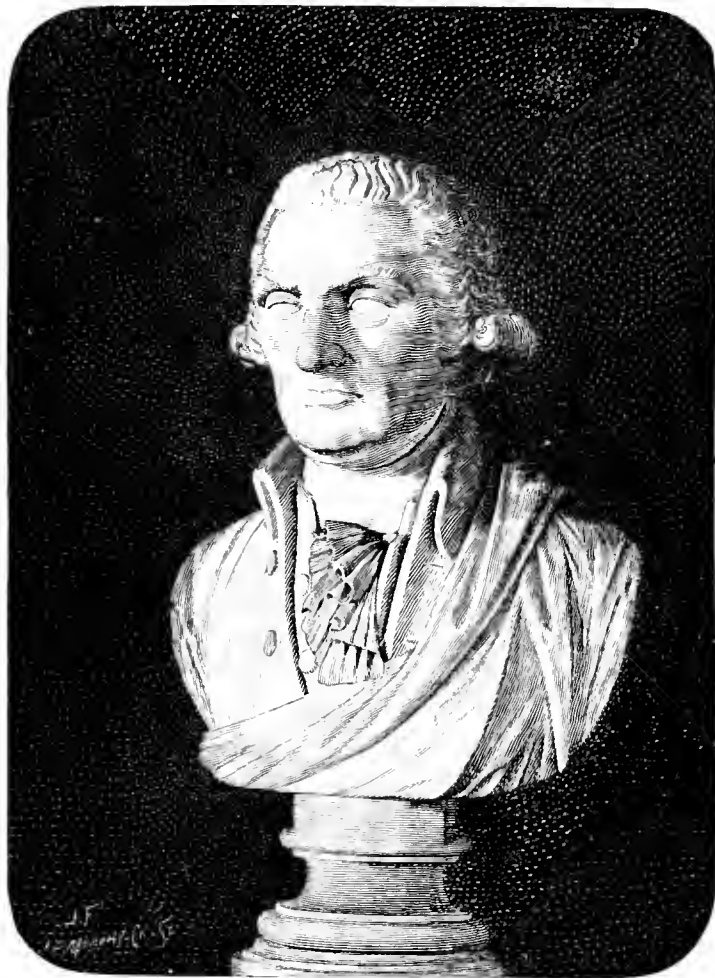
⁶ This copy was engraved by H. B. Hall for Irving's *Washington*, and for Tuckerman's *Portraits*. A heliotype of it as it stands in the Corcoran Gallery, is given in *J.*, pl. xxv. From a drawing of the bust by John G. Chapman, an engraving was made by G. F. E. Prud'homme, which appears in Paulding's *Washington*, in Harper's Family Library (1833).

⁷ There is a heliotype in *J.*, pl. xxiii.

⁸ It is given in heliotype in *Johnston*, pl. xxiii.

Manly being the publisher, — and was the earliest one issued in this country to bear Washington's head. The closest reproduction on a medal of the Wright type of head is one struck to commemorate the evacuation of New York (Baker, *Medals*, p. 185). Wright himself cut a die for a medal, which was broken after a few impressions had been taken. It was copied in the engraving in the broadside edition of the *Farewell Address*, in 1796. At the time of Washington's death, Wright's head seemed to be the favorite one for medals (Baker, *Medals*, 51, 78, 111, 131).

A somewhat effective standing figure in wood, leaning on a column, with a scroll in his hand, was placed before Independence Hall in 1814. It was cut by William Rush, and was originally intended for a ship's head, but was bought by the city of Philadelphia. It is given in heliotype in Johnston, pl. xxviii.



IN CHRIST CHURCH, BOSTON.

The figure of a sitting senator, holding a tablet of laws, was intended by Canova to pass for Washington, and was made for the State of North Carolina in 1814. It was destroyed with the State House in Raleigh, in 1831. There are engravings of it from different points of view by Aug. Bertini and Dom. Marchetti.¹

The English sculptor Chantrey followed Stuart's head in the figure, seven feet high, draped with a cloak, and holding a scroll, which in October, 1827, was placed in the State House in Boston.² André Causici was the maker of the statue upon the monument in Baltimore, which was erected in 1829.

¹ Both of which are reproduced in *Johnston*, pl. xxvii.

² It was drawn by H. Caribould for J. Thomson's engraving. *Johnston* (pl. xxviii) gives it in heliotype.

In 1832 Congress ordered a colossal statue, which was made by Horatio Greenough, at a cost of \$45,000. It was prescribed that it should have a head after Stuart, but other details were left to the artist. Alexander copied a Stuart head for the use of the sculptor, who also kept by him a cast of the Houdon head, at Fontainebleau. The statue was placed in the rotunda of the Capitol in 1841 (*House Docs.*, nos. 45, 53, 57, 219, 274 *Cong.*, 1st session). Greenough petitioned in 1843 that it should be placed before the western front of the building, as the vertical rays in the rotunda destroyed the effect of the face.¹ The first equestrian statue, after a design by H. K. Brown, was erected in New York in 1856. The head follows Houdon.²



WASHINGTON. (*Nürnberg*, 1777.)

In 1857, a standing figure, draped in a cloak, was made for Noah Walker, of Baltimore, by Edward Sheffield Bartholomew. It is to be found in heliotype in *Johnston*, pl. xxviii, and a plaster model is at Hartford.

Crawford's equestrian statue was unveiled in Richmond in 1858, making the crowning effigy of a monument of which the figures of Jefferson, Marshall, Henry, George Mason, Thomas Nelson, and Andrew Lewis make part of the base.³

¹ It has been often figured, and is given in *Johnston*, pl. xxviii. Cf. A. H. Everett in *Democratic Rev.*, xiv, 618; and *Niles's Reg.*, xliii, 141.

² A view of it, engraved by G. R. Hall, appeared in the account of the statue published at the time for James Lee,

and the same engraving is in Tuckerman. *Johnston* (pl. xxviii) gives a heliotype.

³ It is shown in Irving's *Washington* and in Tuckerman; as well as in *Johnston*, pl. xxiv.

The Houdon head was also followed by Clark Mills in the equestrian statue erected in Washington in 1800.¹ A marble statue, by Joseph A. Bailey, was placed in front of Independence Hall, in Philadelphia, in 1809.² Thomas Ball's noble equestrian statue of the commander-in-chief was placed in the Public Garden in Boston in 1869.³ The latest effigies are the standing figure, in military dress, executed by J. Q. A. Ward, and placed at Newburyport in 1876⁴ and a different figure by the same sculptor, erected in New York, on the spot where Washington first took the oath as President.⁵

In the first years of the Revolution, before correct likenesses of Washington became current, sundry engravings appeared in Europe, either with no pretence to accuracy of features, or at best indifferently made to conform to floating descriptions. The most common type of these heads is traceable to two prints issued in London in 1775, one a standing and the other a riding figure, which purported to have been drawn by Alexander Campbell, at Williamsburg, Va., but Washington disclaimed all knowledge of having sat to any



WASHINGTON. (Murray.)

such limner (*Sparks*, iii. 277). The standing picture shows a figure dressed in a cocked hat, with military garb, his left hand on his hip, while with his right he points behind to a battle going on in the distance.⁶ The legend says the print was published in London, September 6, 1775, by C. Shepherd; after which the plate seems to have passed to Germany, and in later impressions we read: "Ioh. Martin Well excud. Aug. Vind."⁷

Campbell published on the same day an equestrian print, in mezzotint, which was reproduced, with some variation in the horse, in a hue engraving in Germany (Baker, nos. 46, 47). The English print is reproduced in *Smith's Brit. Mezzotint Portraits*.⁸

Another mezzotint, with a French title (Baker's no. 50), purports to be "peint par Alexandre Campbell, à Williamsburg en Virginie. Le vend à Londres chez Thom. Hart." Still another French print of the Campbell type, bust only, published at Paris "chez Esnauts et Rapilly," and entitled, *George Washington, Le 1^{er} Général-en-chef de l'armée Anglo-Américaine, nommé Dictateur par le Congrès en Février*,

¹ Figured in *Johnston*, pl. xxviii.

² *Johnston*, pl. xxviii.

³ *Johnston*, pl. xxviii.

⁴ *Johnston*, pl. xxviii.

⁵ A photograph is given in G. W. Curtis's *Address on the dedication of the statue* (N. Y., 1883).

⁶ *Johnston*, pl. iii.

⁷ Baker, *Eng.*, no. 46, and no. 52, a French print reversing the posture.

⁸ The same type of head was followed in a German print of a three-quarters figure, which is found in the *Geschichte der Kriege in und ausser Europa* (Nürnberg, 1775). This is Baker's no. 48, who gives other German prints (nos. 51, 52, 53, 60). One is in the *Nord Amerika historisch und geographisch beschrieben* (Hamburg, 1778).

1777, appeared in the *Correspondance du Lord G. Germain avec les généraux Clinton, Cornwallis et les Amiraux dans la station de l'Amérique, avec plusieurs lettres interceptées du Général Washington, du Marquis de la Fayette et de M. de Barras* (Berne, 1782). This is Baker's no. 58. Still another of the Campbell type is called: *George Washington, Esqr. General and Commander-in-Chief [sic] of the Continental army in America. Joh. Lorenz Rugendus sculpsit et excud. Aug. Vind.* It represents him above the thighs, standing by cannon, holding a sword pointed upward, with a ship in the background.

It is observable that even during the later half of the war these questionable likenesses remained current. The medal which was struck in Paris in 1778 by order of Voltaire gave a fictitious head of Washington. It has already been mentioned that so late as 1780 and 1781 a head scarcely to be associated with any credited likeness of Washington appeared in the London and Boston editions, respectively, of *The Impartial History of the War*, and one equally at variance with the usual standards is in the *Brit. Mag.*, vol. i. (1800).

Campbell's head, with some modifications, appears to have furnished the type for the head in William Russel's *Hist. of America* (London, 1779), and for Murray's *History of the War* (London, 1782).

Baker has separate sections on the engravings of these Campbell and other fictitious heads (pp. 33, 193), and in his *Medallie Portraits* he enumerates the coins and medals bearing the head of Washington.¹

¹ The early coins (1783, etc.) gave only ideal heads, the first true profile appearing in the cent of 1791. Baker's enumeration is in excess of all previous ones; W. S. Appleton, in the *Numismatic Journal*, 1873 and 1876, gave 344 numbers; James Ross Snowden, in his *Medals of Washington* (Philad., 1861), enumerated the 138 pieces in the United States mint; W. Elliot Woodward, in a privately printed list of those commemorating Washington's death, gave 48 numbers, and there are 49 in the list ap-

pended to Tuckerman's *Portraits*. Cf. also Dickeson's *Amer. Numismatic Manual*; S. S. Crosby's *Early Coins of America*; *Catalogue of the Amer. Numismatic and Archaeological Society* (N. Y., 1883), p. 30; *N. E. Hist. and Geneal. Reg.*, 1808, p. 190; *Hist. Mag.*, iv. 53, etc. See the *U. S. 19th Cong., 2d sess. House Rept.*, vi. (1827), for the medals of the Revolution belonging to Washington, which were purchased for the library of Congress.

POSTSCRIPT. — Some of the statements of Miss Johnston, which are followed in this paper, are controverted, on the ground of opinion or other information, by Mr. Charles Henry Hart in a review of her book in the *Amer. Architect*, June 10, 1882. Mr. Hart says that a profile, perhaps original by Vallée, belongs to himself. In the *Mag. of Amer. Hist.*, April, 1888, there are engravings of miniatures by Robertson, and of a bust-portrait by C. W. Peale.

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