## .cil Staff:



## TECHNICAL PLANNING REPORT




# COUNCIL STAFF: TECHNICAL PLANNING REPORT <br> (October 1986) 

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## INTRODUCTION

This document accompanies the Council staff Issue paper on Salmon and Steelhead System Objective and Policies. As described in the Draft Amendment Document. Sections 203 and 204 (Northwest Power Planning Council, 1986b), the Council staff sees subbasin planning as the next logical step in implementing a system objective and policies. Many tools, such as existing data bases and plans, already are available for use in subbasin planning. The Council staff has compiled the information contained in this document to provide a basis from which to advance the discussions already initiated for subbasin planning. This document includes information that can be used to link the system policies to subbasin plans and is provided at this time to show this link. Comments and questions should be addressed to the Council staff at the central office by December 15. 1986.

This document includes estimates of production for the Columbia River Basin using the Council's data base and other sources. It also includes four tools to ald subbasin planning as outlined above. These are: 1) an overview of subbasin planning, 2) a preliminary analysis of production using the Council's planning model. 3) a list of mainstem passage survival parameters and assumptions. and 4) an outline for genetics risk analyses.

An analysis of production estimates for the basin is presented first. This analysis uses the Council's natural production data base, currently in a preliminary stage of development and under review for accuracy, as well as other sources. The analysis here provides estimates cited in the System Objective and Policies issue Paper and also demonstrates the utility of the data base for use in planning. In addition, it provides a demonstration of the effect of some of the hypotheses resulting from the model analysis.

An overview of subbasin planning also is presented. This overview suggests a process by which system and subbasin planning may be integrated. It also proposes means for coordinating this process.

The model analysis demonstrates the current capability of the Council's model as a planning aid for system and subbasin analysis. The analysis here demonstrates, in a preliminary fashion, how subbasin and system factors interact to affect natural productivity. and shows how the model might be used to provide information for decision makers.

A list of manstem passage parameters and assumptions, for potential use in the subbasin planning process. also is included. The list of passage parameters and assumptions is consistent with the Council's current policies related to mainstem passage. as described in the System Objective and Policies Issue Paper and in the Dratt Amendment Document. Passage parameters and assumptions listed for the eight federal lower Snake and Columbia river hydroelectric.projects were identified in 1985-86 by the Council's Mainstem Passage Advisory Committee. The parameters and assumptions listed for the five mid-Columbia public utility district projects were identified in study findings submitted to the Federal Energy Regulatory Commission by the Mid-Columbia Coordinating Committee. unless otherwise noted.

Finally, an outline for a genetic risk analysis is provided in this document. It is intended to further recognition of the importance of salmon and steelhead gene pools and the sense that genetic resources must be managed, not simply ignored because they are difficult or impossible to measure. The System Objective and Policies Issue Paper states that genetic risk is an important consideration in production planning.

## I. PRODUCTION ESTIMATES

In the System Objective and Policies Issue Paper. an interim objective of doubling current run sizes is proposed. This analysis of production provides an estimate of how much increased production may be feasible. based on the Council's current Columbia River Basin Fish and Wildlife Program (Program; Northwest Power Planning CouncIl 1984), the Draft Amendment Document, other firmly planned production, and natural production potential. These production estimates are provided to help assess whether an interim objective of doubling current runs is reasonable.

## A. PROGRAM CAPABILITY

## 1. Natural and Wild Production

An estumate of the current capability of the program to increase the wild and natural adult run size was calculated using the estımates of increases from habitat and tributary passage improvement measures provided by the fish and wildlife agencies. tribes and others when they proposed the projects to the Council for inclusion in the program. In general. estimates are available for most of the habitat and tributary passage improvement measures currently included in sections 704 and 904 of the program. ${ }^{1}$ These estimates covered about 50 percent of such projects currently in section 704 of the program and predicted an increase of about 200.000 adult fish. Since this estimate only accounted for 50 percent of the habitat and tributary passage measures, it was assumed that the other 50 percent of these measures could produce from one-half to an equal number of fish, hence a total range estimate of 300,000 to 400,000 adults. In addition, habitat and passage improvements in the Yakima basin program (section 904) could result in an additional 100,000 adult production there (United States Bureau of Reclamation - Washington Department of Ecology 1985). Overall adult production is then estimated as 400,000 to 500,000 adilt fish.

## 2. Hatchery Production

To estimate the increased hatchery production from the existing program the following assumptions were used:
a) Expansion and/or improvements of existing hatcheries to reach full production potentia I could result in increases of adult returns of 112.000 to 300.000 adult fish, depending on the rearing densities utilized. The lower figure assumes a rearing density of 0.3 pounds per cubic foot of rearing çapacity, while the higher figure is based on a 0.8 pounds per cubic foot density factor.
b) The current planning estımates for the Yakıma Outplantıng Facılity (section 704(i)(3)) estımate that, withın 10 years after completıon, the proposed Yakıma basin facilities could produce an adult return of approximately 43.000 and the proposed Klickitat

[^0]facilities could produce an adult return of 33,000 for a total of 76,000 returning adults (Scribner 1986). ${ }^{3}$
c) The Umatilla steelhead facility is planned to produce approximately 200.000 steeihead smolts with an estimated adult return of approximately 5.000 adult fish.

Summing the totals from a, b, and c above results in a range of 193,000 to 381,000 adult fish that could be produced from the existing program hatchery measures.

In addition to these measures currently in the program, the Draft Amendment Document (Section 704 (i)(5)) proposes development of a northeast Oregon spring chinook hatchery. This hatchery would produce 2.4 to 3.0 million smolts. Applying a 1 percent survival factor to these numbers provides an estimate of 24.000 to 30.000 returning adults. The addition of these numbers provides a total hatchery estimate of 217.000 to 441.000 adult fish.

## 3. Passage Improvements

It is estimated that mainstem passage measures in the current program could increase current run sizes by an estımated 48.690 to 57,330 adult fish. This is based on increasing mainstem system survival by about 3 percent over the current level, for existing production above Bonneville Dam ( 1.0 million adult fish) as well as for the estimated increases from hatcheries ( 223,000 to 411.000 adult fish) and habitat and tributary passage improvements ( 400.000 to 500.000 adult fish). (See Section II. C. of this documient.)

## 4. Total Existing and Proposed Program Capability

The total program capability (sum of 1.. 2.. and 3. above) is estimated to range from 665,690 to 968,330 adult fish

## B. OTHER FIRMLY PLANNED RATEPAYER-FUNDED PRODUCTION

Completion of the remaining Lower Snake River Compensatıon Plan hatcheries (Clearwater and Magic Valley) and bringing the existing Compensation Plan hatcheries to full production could increase runs by an estimated 45,000 to 50.000 adult fish. These estimates are based on planned rearing capacities provided by the Corps of Engineers (1985).

## C. SUMMARY OF PLANNED PRODUCTION

The estimate of total currently planned production is derived by summing all of the above estımates. This provides a total adult production range estimate of 710,690 to $1.018,330$ adult fish.

## D. NATURAL PRODUCTION CAPABILITY BEYOND THE PROGRAM

There is additional natural production capability in the Columbia River Basin beyond that accounted for in the current program (estimated in Section I. A. 1. above). Estimates of natural production potential involve much uncertainty. as exemplified in the model analysis described in part II. B.

3/ The Council has not yet approved the master plan for these facilities, as required before Bonneville implementation. See program section 704 (i) (3).

Estimates of the total existing and potential natural/wild production in the Col umbia River Basin were calculated in several different ways using the Council's Anadromous Fish Data Base and information on existing run sizes taken from the Council staff's Compilation of Information on Salmon and Steelhead Losses in the Columbia River Basin (Northwest Power Planning Council 1986a). To calculate the potential and existing adult run size. a computer program was developed that relied upon the existing and potential smolt density production estımates (smolts/square foot of habitat) for 27 subbasins and six stocks within the Columbia River Basın. The smolt density estimates were developed for the Council by Envirosphere Company (Envirosphere 1986). These density estimates were based on currently utilized habitat and do not consider areas above permanent blockages. For a listing of the smolt density estimates used. see Attachment 1.

The following algorithm was used to calculate estimated existing and potential adult returns to the spawning ground:

> Smolts/square foot $X$ Area of sutbasın occupied ty a given stock $X$ Downstream project survival rassed to the power of the number of dams below the subbasin $X$ Ocean survival $X$

To calculate the area of a subbasın occupied by a given stock only. those stream orders used for spawning by the stock in question were considered. Table 1 shows which stream orders (the mainstem Columbia and Snake rivers are first order streams) were used for each stock.

TABLE 1
ORDERS OF STREAMS FOR ESTIMATING AREA OCCUPIED BY A STOCK

## Stock

Spring chinook
Fall chinook
Summer chinook
Coho
Summer steelhead
Winter Steelhead

Stream Order
Third order and above Second order only Second order only for Columbia River; third order and above for Snake River Third order and above Third order and above Third order and above

4/ See Attachment 2 for an example of the computer output.

Adult spawning estimates were converted to run size using the ratio of ocean catch to inriver catch as described in the Compilation of Losses.

As a cross-check on the accuracy of the existıng natural run size estımate, a second calculation was performed using the data on current run sizes by stock presented in the Compilation of Losses. In this case, the run size estımates were multıplıed by the percent of each stock estımated to be of natural origin, with the exception of fall chinook for which numbers were already available. Table 2 shows the assumptions used for these calculations and the results of the calculations follow.

TABLE 2
PERCENTAGES OF STOCKS ASSUMED TO BE OF NATURAL ORIGIN

| STOCK | PERCENT HATCHERY | PERCENT NATURAL/WILD |
| :--- | :---: | :---: |
|  |  |  |
|  |  |  |
| Spring chinook | 80 | 20 |
| Summer chinook | 90 | 10 |
| Coho | 90 | 10 |
| Summer steelhead | 80 | 20 |
| Winter steelhead | 80 | 20 |

The results of the density-based potentıal and existing run size estımates are presented in Tables 3 and 4. The results show a total existing naturally-produced run size of approximately 650,000 adult salmon and steelhead. compared to an estimate of about 700.000 adults using the percent natural/ wild estimates referenced in Table 2. The potential naturally-produced run is estimated to be 1.75 million adult salmon and steelhead. Subtracting the existing run estımates from the potential estımate provides a run size range of 1 to 1.1 million additional salmon and steelhead that can be naturally produced within the Columbia River Basin.

## E. ARTIFICIAL PRODUCTION CAPABILITY

Activities to estimate the artificial production capability in the Columbia River Basın are in progress. Program Section $704(f)(1)$ calls on Bonneville to fund a survey of existing and potential sites for hatcheries. This survey is being completed (potential sites are being added) and reviewed. Program Section 704(j)(1) calls on Bonneville to fund development and testing of low-capital propagation facilities. Actıon Item 34.16 in the Draft Amendment Document would call on Bonneville to coordinate these studies by July 1987.

[^1]TABLE 3. EXISTING NATURAL RUN ${ }^{1}$

|  |  | Fall Chinook Run Existing | Spring Chinook Run Existing | Summer Chinook Run Existing | Coho Run Existing | Summer Steelhead Run Existing | Winter Steelhead Run Existing | Total Existing Run |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1. | Clackamas | 8,082 | 1,503 | 0 | 28,671 | 3.014 | 3,263 | 11,534 |
| 2. | Clearwater | 0 | 4,815 | 0 | 0 | 4.055 | 0 | 8,870 |
| 3. | Cowlitz | 63.206 | 774 | 0 | 3.879 | 0 | 1.495 | 69,354 |
| 4. | Deschutes | 52.364 | 444 | 0 | 0 | 1.987 | 0 | 54,795 |
| 5. | Entiat | 0 | 80 | 123 | 0 | 102 | 0 | 305 |
| 6. | Grande Ronde | 0 | 2,486 | 0 | 159 | 2.346 | 0 | 4,991 |
| 7. | Grays | 1.196 . | 0 | 0 | 861 | 0 | 348 | 2,405 |
| 8. | Hanford Reach | 279,598 | 0 | 0 | 0 | 0 | 0 | 279,598 |
| 9. | Hood | 0 | 0 | 0 | 219 | 326 | 1,012 | 1,557 |
| 10. | Imnaha | 0 | 557 | 0 | 0 | 658 | 0 | 1,215 |
| 11. | John Day | 840 | 1,102 | 0 | 0 | 2,424 | 0 | 4,366 |
| 12. | Kalama | 0 | 525 | 0 . | 0 | 0 | 0 | 610 |
| 13. | Klickitat | 0 | 913 | 0 | 0 | 184 | 0 | 1.097 |
| 14. | Lewis | 10.648 | 522 | 0 | 1,476 | 0 | 702 | 13.348 |
| 15. | Methow | 0 | 112 | 501 | 0 | 86 | 0 | 699 |
| 16. | Okanogan | 0 | 3 | 871 | 0 | 0 | 0 | 874 |
| 17. | Salmon | 0 | 7.384 | 3.427 | 0 | 4,856 | 0 | 15,667 |
| 18. | Sandy | 8,008 | 312 | 0 | 1,038 | 0 | 756 | 10,114 |
| 19. | Lower Snake | 0 | 103 | 0 | 0 | 311 | 0 | 414 |
| 20. | Tucannon | 0 | 367 | 0 | 0 | 515 | 0 | 882 |
| 21. | Umatilla | 0 | 0 | 0 | 0 | 709 | 0 | 709 |
| 22. | Walla Walla | 0 | 0 | 0 | 0 | 629 | 0 | 629 |
| 23. | Washougal | 0 | 0 | 0 | 444 | 0 | 250 | 694 |
| 24. | Wenatchee | 0 | 339 | 910 | 0 | 396 | 0 | 1.646 |
| 25. | Willamette | 36,863 | 5,505 | 0 | 9,510 | 1.504 | 4,585 | 57.967 |
| 26. | Wind/White Salmon | 0 | 115 | 0 | 0 | 552 | 38 | 705 |
| 27. | Yakima | $\underline{27,574}$ | 1,970 | $\underline{0}$ | $\underline{0}$ | 694 | $\underline{0}$ | 30,238 |
|  | TOTAL | 488,382 | 29,939 | 5,834 | - 46,257 | 25,348 | 12,534 | $608.295{ }^{2}$ |

[^2]|  |  | TABLE 4. EXISTING POTENTIAL RUN ${ }^{1}$ |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Fall <br> Chinook Run Potential | Spring Chinook Run Potential | Summer Chinook Run Potential | Coho Run Potential | Summer Steelhead Run Potential | Winter Steelhead Run Potential | Total Potential Run |
| 1. | Clackamas | 29.554 | 25,630 | 0 | 32,460 | 5.775 | 5,816 | 100,235 |
| 2. | Clearwater | 0 | 17,263 | 0 | 0 | 4.902 | - 0 | 22,165 |
| 3. | Cowlitz | 168.493 | 0 | 0 | 28,056 | 0 | 3.198 | 199,747 |
| 4. | Deschutes | 145.917 | 7,048 | 0 | 0 | 2.340 | 0 | 155,306 |
| 5. | Entiat | 0 | 0 | 1.808 | 0 | 92 | 0 | 1,900 |
| 6. | Grande Ronde | 0 | 12,412 | 0 | 2.307 | 2.569 | 0 | 17,288 |
| 7. | Grays | 4,382 | 0 | 0 | 6.237 | 0 | 640 | 11,259 |
| 8. | Hanford Reach | 286.000 | 0 | 0 | 0 | 0 | 0 | 286,000 |
| 9. | Hood | 0 | 0 | 0 | 3.177 | 564 | $\cdot 1,221$ | 4,962 |
| 10. | Imnaha | 0 | 2,777 | 0 | 0 | 779 | 0 | 3.556 |
| 11. | John Day | 93.812 | 18,005 | 0 | 0 | 2.458 | 0 | 114.276 |
| 12. | Kalama | 0 | 0 | 0 | 0 | 0 | 188 | 188 |
| 13. | Klickitat | 0 | 15.450 | 0 | 0 | 166 | 0 | 15.616 |
| 14. | Lewis | 41.654 | 3.823 | 0 | 10.686 | 0 | 1,222 | 57.386 |
| 15. | Methow | 0 | 1.621 | 7.383 | 0 | 184 | 0 | 9,188 |
| 16. | Okanogan | 0 | 51 | 12.799 | 0 | 0 | 0 | 12.851 |
| 17. | Salmon | 0 | 17,792 | 17.089 | 0 | 5,501 | 0 | 40,383 |
| 18. | Sandy | 56,262 | 5,262 | 0 | 7.512 | 0 | 1,204 | 70,241 |
| 19. | Lower Snake | 0 | 518 | 0 | 0 | 280 | 0 | 798 |
| 20. | Tucannon | 0 | 1,830 | 0 | 0 | 339 | 0 | 2,169 |
| 21. | Umatilla | 0 | 0 | 0 | 0 | 638 | 0 | 636 |
| 22. | Walla Walla | 0 | 0 | 0 | 0 | 754 | 0 | 754 |
| 23. | Washougal | 0 | 0 | 0 | 3.219 | 0 | 440 | 3.655 |
| 24. | Wenatchee | 0 | 4,975 | 13.376 | 0 | 642 | 0 | 18.993 |
| 25. | Willamette | 245,454 | 93,407 | 0 | 164,763 | 2.773 | 11,545 | 517,942 |
| 26. | Wind/White Salmon | 0 | 2,273 | 0 | 0 | 497 | 78 | 2.848 |
| 27. | Yakima | 46,244 | 37,843 | $\underline{0}$ | $\underline{0}$ | $\underline{2,962}$ | $\underline{0}$ | 87.049 |
|  | TOTAL | 1,117,776 | 267,988 | 52,456 | 258,417 | 24.2156 | 26,552 | $1.757 .405^{2}$ |

${ }^{1}$ Data compiled from Northwest Power Planning Council's Anadromous Fish Data Base.
${ }^{2}$ No density estimates were made for sockeye, but the Compilation of Information on Salmon and Steelhead Losses shows an estimated run size of 58.200 adults.

## II. TOOLS FOR SUBBASIN PLANNING

## A. AN OVERVIEW OF SUBBASIN PLANNING .

Figures 1 and 2 illustrate the concepts and relationships which the Council staff believes may underlie Columbia River Basın salmon and steelhead system and subbasin planning. Figure 1 represents the interrelationship of the three elements (passage, harvest, production) of system planning with a triangle. The Salmon and Steelhead System Objective and Policies Issue Paper discusses these elements and describes alternatives for the production corner of the triangle. The issue paper also proposes annual and five-year review cycles for evaluation of passage, harvest. and production actions.

Major elements of subbasin plannıng are proposed in the Draft Amendment Document, section 204 This overview proposes a process by which subbasin planning could proceed. The United States v. Oregon (1986) parties also may identify subbasin plannıng as an important. particularly with respect to allocating tributary harvest. The Council staff anticipates that concepts of subbasin planning will become more developed and refined. as a result of discussions of system objectives and policies. the Draft Amendment Document. this compilation of subbasin plannıng tools. and the United States v. Oregon discussion.

Subbasin planning could occur as outlined in Figure 2. In this process. each subbasin is analyzed to determine what, if any, production strategies will succeed in meetıng subbasin production goals. This analysis is aided by the system model (see part II.B.) and incorporates passage objectives (see part II.C.). mixed-stock harvest. and terminal harvest objectives and policies set by the fishery managers. The production strategy selected for any particular subbasin should reflect what is possible and desirable, given the system policies for passage. harvest and production. The sum of all subbasin production should reflect and be consistent with the system production objectives and policies.

An important question in subbasin planning is how to allocate a basinwide objective, such as doubling the current run size. among subbasins. A possible starting point for dividing the increased production necessary to double the basinwide run size would be to allocate one-third of this increase to each of three areas above Bonneville Dam (i.e., subbasins between Bonneville and McNary dams, midColumbia River subbasins, and Snake River subbasins). Then plans for subbasins within each area could address how each would contribute to the area objective.

## FIGURE 1:SYSTEM PLANNING




Subbasin planning could be coordinated by a management group composed of representatives of the fishery agencies. Indian tribes, and others (such as land and water managers). (Utility interests such as Bonneville, the Pacific Northwest Utilities Conference Committee. and others also could be involved in a review capacity.) The management group could include the following subgroups:

1. Subbasin group This group (supported by teams for individual subbasins) would oversee development of preliminary subbasin production strategies. It then would propose escapement goals needed to implement the preliminary production strategies, and submit these escapement goals to the system integration group. The subbasin group would prepare genetic risk analyses also to be submitted to the system integration group. The subbasin group also would develop tributary harvest allocations within subbasins.
2. System integration group. This group would review the proposed escapement goals submitted by the subbasin group, to determine whether the goals can be met given system harvest and passage constraints, whether there are conflicts among escapement goals for different subbasins, and whether the preliminary subbasin production strategies would produce sufficient fish to meet basinwide (or areawide) targets. The system integration group and the subbasin production group would work together until mutually satisfactory escapement goals could be developed.

The management group proposed here would be similar to that proposed in the Draft Amendment Document (Section 204), in which a planning work group, including a management subgroup (fishery agencies and Indian tribes) and review subgroup (land and water manager, utilities, and others). are proposed. Here the management group is further divided into subbasin and system integration groups. The only substantive difference between the two proposals is that here it is proposed that land and water managers be part of the management group rather than the review group.

Some United States v. Oregon parties have proposed establishment of a Production Advisory Committee, to coordinate information, review and analyze existıng and future artificial and natural production programs pertinent to the agreement, and submit recommendations to management entities. The subbasin and system integration groups should be closely aligned with this Production Advisory Committee, when formed.

## B. A MODEL-BASED ANALYSIS OF FACTORS AFFECTING NATURAL PRODUCTION OF SPRING CHINOOK IN THE COLUMBIA BASIN

As a contribution to the planning of salmon and steelhead production in the Columbia basin. the Council staff, along with the Modeling Work Group. ${ }^{6}$ has performed an analysis of factors affecting natural productivity of spring chinook in selected subbasins. This exercise was intended to provide insight into natural production processes. and investigate the results of uncertainty in our knowledge on the projected outcome of production measures. In addition, the intent was to experıment with ways modeling might be used to assist in the planning of salmon and steelhead production in the Columbia basin.

This section summarizes the methods, data. and results of the analysis to date. Not all important variables affecting production on a subbasin or system basis have been examined. In particular, the effect of harvest pattern and rate on the productivity of the subbasins was beyond the scope of this analysis. A

6/ The Council's Modeling Work Group includes Ted Bjornn, University of Idaho; Lars Mobrand. consultant; Phil Roger, Columbia River Intertribal Fish Commission; Ron Boyce, Oregon Department of Fish and Wildlife; and Chip McConnaha, Council Staff.
complete account of the methods and data used in the present analysis is included here as reference for future work.

The results of the analysis indicate that existing uncertainty in some key parameters. such as natural survival rate and carrying capacity. may produce considerabie uncertainty in the projections of management actions designed to increase fish production. In the sımulations, the importance of natural survival rates and carrying capacity in determining the natual production of spring chinook differed among subbasins depending on the survival rate of juvenile fish at mainstem hydroelectric projects. Natural production of spring chinook in the Methow River, which was sımulated with rather poor passage survival, was limited by the passage survival rate. In the Grande Ronde and Yakima rivers, production was limited by natural survival rates and the carrying capacity. These basins were simulated with relatively high passage survival rates.

## 1. Procedures

## a. Study Design.

The analysis used the Council's Production Planning Model ${ }^{7}$ to simulate the production cycle of spring chinook. The model simulates the salmonid life cycle from initial egg deposition to return as spawning adults. The fish production in a subbasin is simulated and passed through a set of generic variables that affect survival outside the subbasin. An important feature is the use of a Beverton-Holt type relationship to determine the fry-to-smolt survival rate as a functıon of fry abundance. The model provides the ability to manipulate subbasın-specific data such as juvenile survival rates, carrying capacity, initıal escapement and fecundity, as well as factors external to the subbasin such as survival rates at hydroelectric projects, natural survival rates, and harvest rates.

The variables studied in this analysis are shown in Table 5. Because of tıme and data constraints, the analysis was limited to the natural production of spring chinook. However, many of the key parameters used in the analysis are common to most anadromous salmonids in the basin. The general types of response, if not the magnitude, should apply to other species as well.

TABLE 5
VARIABLES EXAMINED FOR SPRING CHINOOK

| Subbasins | Subbasin | Generic <br> Parameters |
| :---: | :---: | :---: |
| Methow <br> Grande Ronde <br> Yakima | Egg-Smolt Survival <br> Terminal Harvest | Average Project Survivai <br> System Passage Survival |
| Carrying Capacity |  |  |$\quad$| Early Marine/Ocean Survival |
| :---: |

[^3]The three subbasins were chosen to represent the three major areas of the Columbia basin (Figure 3). The Methow River is located at the top of the mid-Columbla region above nine hydroelectric projects. The first five of these projects (Wells. Rocky Reach. Rock Island. Wanapum. and Priest Rapids) are owned and operated by nonfederal public utilities. Although these five projects have little or no juvenile passage facilities. an annual spill program occurs to pass juvenile migrants in accord with a stipulation from the Federal Energy Regulatory Commission. The four projects on the lower Columbia River (McNary. John Day. The Dalles, and Bonneville) are federal projects operated by the U.S. Army Corps of Engineers. The McNary project has an extensive facility for collectıng and bypassing juvenile fish prior to their entry into the turbines. Approximately 20 percent of the juvenile spring chinook collected by this facility are olaced in barges or trucks and transported to below Bonneville Dam. Similar bypass facilities exist at John Day Dam. but all fish collected are bypassed back to the river. The Dalles Dam has a sluiceway bypass system, while both powerhouses at Bonneville Dam contain bypass facilities.

The Grande Ronde River is a tributary to the Snake River, and is above eight hydroelectric projects. The first four dams are located on the Snake River (Lower Granite. Little Goose. Lower Monumental, and Ice Harbor dams). The four projects below the mouth of the Snake River are the same as the lower four projects described above for the Methow River. Lower Granite and Little Goose dams contain collection and bypass facilities similar to those of McNary Dam: Lower Monumental Dam does not have a turbine intake screening and bypass system, while a sluiceway at Ice Harbor Dam is used to bypass juvenile migrants. All of the fish collected by the Lower Granite facility and about 20 percent of the spring chinook collected by the Little Goose facility are transported to below Bonneville. Although fish from the Methow and the Grande Ronde rivers must pass a similar number of dams, the migratıon conditions are markedly different, particularly with respect to the proportion transported.

The Yakima River was chosen to represent the lower Columbia region. Fish from the Yakima pass the four lower Columbia River federal projects described above (McNary, John Day, The Dalles, and Bonneville).

The subbasins chosen also represent important differences in production capacity. The United States v. Oregon estimates of smolt carrying capacity of spring chinook in the Grande Ronde and Methow rivers were virtually the same: 450,000 and 440,000 respectively. The estimated smolt carrying capacity for the Yakima, in contrast, was $1,670,000$ spring chinook (Roger and Boyce, personal communication).

Parameters in the model that are unique to the subbasins and the generic parameters (those external or common to the subbasins) were chosen to represent key factors in each major life history stage, as well as areas of probable management action. The latter include actıons within the subbasins such as improvement and increase in habitat, while mainstem passage survival rate represents a management action outside the subbasin. Ranges used for these parameters and their derivation are explained below.

In the analysis. the subbasin and generic parameters in Table 5 were varied over a range and the effect on model output was observed. Because of the large number of permutations that would result from simulatıng all combinations of the parameters over their range, similar varıables were paired for analysis. Each parameter within a pair was examined individually. A number of simulations were made, each time varying the target parameter, while all other variables were held constant (Table 6). To focus on the effect of the target variables on model output, all sources of random variation in the model were turned off.

## MAP OF SUBBASINS USED IN MODELING ANALYSIS



Source: Northwest Power Planning Council Fish \& Wildlife Program

TABLE 6

Scenarı<br>A<br>B<br>Egg-Smolt Survival<br>Early Marine Survival<br>Carrying Capacity<br>Passage Survival

## Constants

Carrying Capacity

Passage Survival
Egg-Smolt Survival
Early Marıne/Ocean Survival

In Scenario A, the natural survival factors were balanced to result in a given level of productivity as measured by the recruit/spawner ratio (explained below). These factors are, for the most part, not subject to management action. Management action can affect the parameters in Scenario B. Smolt carrying capacity places an upper limit on the number of smolts that can be produced by a subbasin. It can be affected by increasing the available habitat by removing blockages. for example. Passage survival rate is determined by a suite of actions involving the amount of water released to augment flows, the levels of spill provided, and presence and operation of bypass facilities.

The range of the target parameter simulated two situations: first the uncertainty in knowledge of the value for the parameter. and second. the effect of management actions that might result from cha ge in the parameter. In terms of the analysis. these two situations are identical; observing the effect of a range of uncertainty on model output is procedurally no different than observing the effect of a range of management options. This procedural similarity also extends to the effect of the range which is ineasured as the slope of the response. The consequences of uncertainty or change in parameters that produce a curvilinear response will vary depending on whether the value is in a region of relatively flat response or one of rapid change. Linear variables. however, produce uniform change throughout a range and so have a predictable type of effect. although the exact value of the response may be uncertain. These differences in type of response can affect the risk involved in management actions, and ine importance of parameters to monitoring or research efforts.
b. Input Data.

Input data for the model is placed in three files: BASFILES contain informatıon specific to the subbasın. GENFILES contaın generic data, mainly for those variables affectıng survival outside the subbasins. and FLOFILES contann a 50 -year record of flows at The Dalles Dam. The latter is used by the model to provide annual variation in the mortality rate occurring in the reservoirs as a function of flow. Because all sources of random variation in the model were turned off. including variation in reservoir mortality, the FLOFILE was not important to this investigation.

Almost all parameters used as input to the model have a high degree of uncertainty. Indeed, one of the aims of this study was to examine how this uncertainty in key variables affected the model behavior. For data other than the target variables in Table 5. the intent was to use data representing a consensus among fishery managers and researchers to the extent possible. Even with a consensus, considerable uncertainty is present in most of the estimates. The best collection of consensus data regarding p-oduction in the basin was compiled by participants in the United States v. Oregon proceedings. This data is used whenever applicable. A second major source of data was the compilation by Howell et al. (1985). Other data were not available for some subbasins from any source, in which case the data was extrapolated from other basins or calculated from various sources. Printouts of the BASFILES and GENFILES used in this analysis and an explanation of data sources are provided in Attachment 3 .

The parameters listed as variables in Scenario A of Table 6 represent a balancing of natural survival rates. Despite their importance in determining the productivity of subbasins. very little is known regarding the value of these parameters. For this analysis. the best available estımates of the natural survival rates were used. To simulate the probable uncertainty in the estimates. an arbitrary range was set around these estimates. These ranges represent judgements regarding plausible ranges of uncertainty, as well as likely ranges resulting from management actions.

Although the value of these survival rates, particularly the adult survival rates, may be uncertain, it is possible to estimate the overall ratio between the number of spawners and the resulting number of recruits to fisheries and spawning escapement under relatively pristine conditions. This ratio provides a target for assigning combinations of juvenile and adult survival rates for use in the simulations.

Bjornn (personal communication) has estimated the recruit/spawner ratio for upriver spring chinook during the period 1939 to 1954 when relatively few dams were present. His analysis utilized fish counts at Bonneville Dam plus estimates of lower river harvest to estimate the number of recruits produced. This assumes that the ocean harvest of spring chinook was small relative to the population size. The parent spawners of these recruits were estımated by taking the Bonneville Dam count four year earlier and adjusting it for known harvest and assumed natural losses between the dams and the spawning grounds. Using the assumption that the parent-recruit relation for upriver spring chinook fit the Beverton-Holt model, two parameters were estimated. These are the survival rate to recruit at very low (theoretically one) spawner density and the maximum number of adult recruits produced. The survival rate needed is equivalent to the slope of the curve near the origin. While a variety of curves could be fitted to the available data (Figure 4), it was felt that a curve with the slope near the origin equal to 24 and recruit carrying capacity of 350.000 provided the most reasonable representation of the recruit/spawner relation fo $r$ upriver spring chinook. This is for a period when relatively few dams were present. and the quality of spawning and rearing habitat was degraded in some tributaries, but nearly pristine in others.

To provide a target for determining the natural survival rates. it was assumed that a 24:1 recruit/spawner ratıo was appropriate. Because the statıstical confidence of this estimate was unknown, and the uncertainty was assumed to be great, a range was established around the point estimate from 16 to 32 recruits per spawner at very low densities. Various combinations of egg-smolt, early ocean, and adult survival rates were used in the analysis to result in recruit/spawner ratios within this range. Ranges of survival rates were based on "most probable" point estimates from the literature.

The value of the juvenile (egg-smolt) survival rate is particularly important in the model because of its role in determining the shape of the Beverton-Holt function. In the model, the shape of this function is determined by the maximum fry-smolt survival rate at very (ow (theoretically one) fry densities. and the smolt carrying capacity in a manner similar to that described above for the recruit/ spawner relationship. Because this function is the major non-linear relationship in the model. the recruit/ spawner relationship in the model also follows the Beverton-Holt function. ${ }^{8}$
. In contrast to the early ocean and adult survival rates. the juvenile (egg-smolt) survival rate has been investigated in the Columbia Basin. Although all of these studies have been done at juvenile densities higher than that required by the Beverton-Holt function. extrapolation of these values should provide an estimate of the required parameter. Boyce (personal communication) has performed such an analysis

8/ A curvilinear response is also seen in the model as the result of the survival rate at the mainstem hydroelectric projects. Passage survival rate (adult and juvenile) acts as a power function based on the number of dams.

## COLUMBIA UPRIVER SPRING CHINOOK


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using estimates of egg-smolt survival rate from the Deschutes River (Jonasson and Lindsay, 1983), the John Day River (Knox et al.. 1984). the Yakıma River (Major and Mıghell, 1969), and the Lemhi River (Bjornn. 1978). The estimates from these studies are plotted against the percent seeding level in Figure 5. Percent seeding is the egg deposition of each brood during the studies as a percent of the maximum egg capacity (determined from the maximum in-river catch and escapement). The regression line through these points was highly significant $(r=0.766 . p=001)$ using the natural $\log$ of the seeding level. The $Y$ intercept of this line yields an estimate of the survival rate at very low densities of 22 percent.

Estimates of the early ocean (estuarine) and adult'ocean survival rate, are essentially nonexistent. However, if the egg-smolt survival provided by Boyce and the recruit/ spawner ratio from Bjornn are accepted as most probable estimates. the smolt to adult survival rate. the product of the two unknown survival rates, must be around 5.0 percent. This is within the range of 3.1 to 13.5 percent smolt-to-adult survival reported by Ebel et al. (1979). Because in the model the estuarine and adult ocean survival rates are used as simple linear functions. the exact value of each is not as important as the product. A value for adult ocean survival of 50 percent was fixed in the analysis. This value is similar to values used for ocean harvest modeling in the Pacific Salmon Treaty negotiations between the United States and Canada (Roger. personal communication). To obtain a range of smolt to adult survival rates. the estuarine survival rate was varied over a range to result in a smolt to adult survival rate of 3 to 15 percent, approximating the range estimated by Ebel et al. (1979).

For scenario B (Table 6) the natural survival rates were fixed at the most probable point estimates. These are: egg-smolt survival 22 percent, estuarine survival 10 percent. and adult ocean súrvival 50 percent. This results in a recruit/spawner ratıo of 24:1 assuming 2,200 eggs per spawner.

- The use of these survival rates makes the assumption that one set of values is appropriate for all three subbasins. Differences in productivity among subbasins (smolts out of the subbasin) are assumed to be largely the result of differing smolt carrying capacities. This in part was the result of a lack of data from the Methow and Grande Ronde rivers. There is some basis, however, to suppose that low density juvenile survival rates do not vary markedly between subbasins. For instance, the data points provided in Figure 5 from four different subbasins show a surprisingly good fit to a common function. Lacking data, there is also no reason to think that survival rates after entry into the mainstem Columbia vary between subbasins.

Smolt carrying capacity was estimated by the United States v. Oregon participants (Roger and Boyce, personal communication). In Scenarıo $A$ (Table 6) carrying capacity was assumed to be equal to the point estimates. To provide a range of uncertainty and to sımulate changes in carrying capacity for Scenario B. the United Statese v. Oregon estimates were arbitrarily varied from 0.75 to 2.0 times the point estimates.

Survival rates of smolts passing the mainstem hydroelectric projects were based on information compiled by the the Council's Mainstem Passage Advisory Committee (MPAC). In Scenario A. the passage conditions simulated present conditions using MPAC values when appropriate. A transportation benefit ratıo of approximately $2: 1$ was judged to be appropriate for spring chinook transported from Lower Granite Dam under average flow conditions. This is simulated in the model by applying an 80 percent survival to transported fish. Values for the other passage parameters can be found in the listing of GENFILE2 in

[^4]10/ The assumption was also made that natural production in the three subbasins was limited by smolt rearing habitat rather than spawning habitat (egg capacity). For this reason, egg capacity was not estimated but set at a very high level so that production would be limited first by the smolt capacity.


REGRESSION OF EGG-TO-SMOLT SURVIVAL RATE ON SEEDING LEVEL OF SPRING CHINOOK FROM FOUR SUB-BȦSINS OFZTHE COLUMBIA RIVER.

Appendix A. For Scenario B, the intent was to simulate a range of average per project survival rates without reference to a particular set of management actions. A range in project survival rates was obtained by manipulating the reservoir mortality. fish guiding efficiency. and the proportion of smolts transported.

## c. Output Variable.

The response of the model was measured in terms of the maximum sustained yield (MSY). MSY is the maximum number of fish produced by the population in excess of the number of fish required to spawn and reproduce the same population size. It results from density-dependent population increase and the maximum population size (carrying capacity) imposed by the environment. For this reason it is applicable only to natural production. In the model this density dependence is introduced by the use of a BevertonHolt type relation to determine the smolt survival rate as a function of fry density. The Beverton-Holt function is more conventionally viewed as the relationship between the number of spawners and the number of recruits or adults produced (Figure 6). In Figure 6 it can be seen that as the number of spawners increases. the population size (number of recruts) increases as well. initially at a very high rate. and then at a decreasing rate as the population size approaches the carrying capacity. For any population size. the number of spawners required to reproduce the same population size is given by the diagonal line ( $\mathrm{A}-\mathrm{B}$ in Figure 6). The area between the diagonal line (replacement line) and the curved line showing population size is the number of fish surplus to the needs of reproduction and available for harvest or other needs. Given constant environmental conditions and no harvest, the number of recruits will approach the carrying capacity and reach its maximum. This point of equilibrium is where the diagonal replacement line crosses the curve of population size (point A in Figure 6). It is termed the maximum equilibrium. run size. Although this is the maximum population size, the amount of surplus is zero-all recruits are required to maintain the population at this size. The greatest distance between the replacement line and the population line is the MSY (Figure 6). The number of spawners needed to produce the MSY is usually less than half the number needed to produce the maximum equilibrium population size. The number of recruits at MSY is termed the MSY population, and the number of spawners at this point is termed the MSY escapement.

Maintaining the population at the MSY level is frequently the goal of fisheries management, since, as the name implies, it is the population size that will produce that maximum yield or surplus on a sustained basis. MSY is an elusive target for fisheries managers. however, because it assumes constant environmental conditions. Landslides, floods, oceanographic conditions or other environmental changes will change the MSY. Nonetheless, the concept of MSY underlies most escapement goals and management practices. The very limitations of MSY as a management target make it an ideal model parameter for comparing production scenarios because it is sensitive to environmental and management changes, and because it reflects the scenario in terms applicable to fisheries management.

Harvest rate and harvest pattern (allocation) are also reflected in the MSY. Because the allocation of a particular MSY among the various fisheries involves social and legal issues outside the scope of the present analysis. the MSY was measured in terms of adult equivalents at the spawning grounds with no harvest. Adult equivalents is a term used by fisheries managers to express fish at any stage in their life cycle in terms of their potential to return as spawning adults. For instance 1.000 fish in the ocean is equivalent to 400 adult equivalents if the survival rate to spawning is 40 percent. Expressing all yield in terms of adult equivalents normalizes the MSY to a common point. In practice. the MSY was determined by shutting off all harvest in the model, allowing the population to equilibrate, and then increasing terminal harvest to some high rate and allowing the population to re-equilibrate. This data was fitted to a BevertonHolt function, from which the MSY was calculated.


In this analysis, three population statistics were used the MSY itself, termed the MSY surplus, the MSY as a proportion of the total population size. termed the MSY rate: and the maximum equilibrium run size (point A in Figure 6). The MSY surplus reflects changes in survival rates and the carrying capacity. Because it reflects the carrying capacity it is not comparable as an index of productivity between basins of differing size. MSY rate is independent of carrying capacity and so is comparable between basins. In addition, the MSY rate provides a statistic for comparıson of the relative capacity of subbasıns to accommodate harvest. The equilibrium run size was provided as a gauge of population size.

## 2. Results.

Results from the simulations were displayed on three dimensional plots. This allows two independent variables (e.g. natural survival rates. carrying capacity, or passage survival rate) to be displayed simultaneously with the dependent variable of MSY. This also displays the interaction between two independent variables in determıning the MSY. Because of the large uncertainties in most of the input data, the intent was to observe the pattern of response from the model rather than the exact value of the response. For this reason. it is best to focus on the topography of the response surface in the plots rather than the value of individual points. The "lay of the land" on these surfaces displays the type of response. For instance. a steeply rising surface indicates a strong response while a flatter surface indicates a weaker response.

The plots were generated using the 3DPLOT and 3DGRID routines in SAS ${ }^{11}$ located on the Council's VAX780 computer. The program used a matrix of data points supplied by the simulations and interpolated between them to create smooth surfaces. The model produces a smooth response; irregularities in the surfaces result from rounding errors or interpolations by the SAS program along the edges of the surfaces beyond the range of input data. It should also be noted in the plots that the program interpolates within a given range of the dependent variable and then truncates the surface. This results in the plateaus visible on the tops of some of the surfaces (e.g.. Figure 6).

Figures 7-15 display the results from scenario A (Table 6) sımulations using egg-smolt and early marine survival rate as the independent variables. Carrying capacity has been set at United States v. Oregon estimates and passage survival simulates present conditions. All harvest was turned off except terminal harvest as explained above to estimate the MSY.

In the Yakima, the value of the two natural survival rates had a marked effect on the MSY. Probably because of the importance of the juvenile survival rate in the Beverton-Holt function, the MSY rate (Figure 8) appeared more affected by the egg-smolt survival rate than by the early marine survival rate. The equilibrium run size, however, was more affected by the early marine survival rate than by the egg-smolt survival rate (Figure 9). This is because the shape of the Beverton-Holt function (Figure 6) produces a flattening of the effect of egg-smolt survival rate. The early marine survival rate is not so constrained since it operates as a simple multiplier in the model.

The results from the scenario A simulations of the Grande Ronde appeared similar in many respects to those of the Yakıma (Figures 10-12). The value of the egg-smolt and early ocean survival rates had a marked effect on the MSY and the equlibrium run size. An important difference, however, is in the size of the MSY surplus and the equilibrium run size in the Grande Ronde and the Yakima. Although the smolt carrying capacity in the Yakima was abou: four times the smolt carrying capacity in the Grande Ronde. the MSY surplus and the equilibrium run size in the Yakima was on the order of ten times the MSY surplus in the Grande Ronde.

11/ A statistical analysis software package from the SAS Institute. Cary N.C.

Spring Chinook MSY Surplus
GENFILE2 Data
BASIN=YAKIMA


Egg-Smolt $=$ Egg to smolt survival rate
Early Marine= Early marine survival rate


Spring Chinook Equilibrium Run Size

## GENFILE2 Dato

BASIN=YAKIMA


Egg-Smolt= Egg to smolt survival rate
Early Marine= Early marine survival rate


## Spring Chinook MSY Rate <br> GENFILE2 Dota <br> BASIN=G.RONDE



Egg-Smolt $=$ Egg to smolt survival rate
Early Marine= Early marine survival rate
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Spring Chinook MSY Surplus
GENFILE2 Dota
BASIN=METHOW


Egg-Smolt= Egg to smolt survival rate Early Marine= Early marine survival rate


Egg-Smolt $=$ Egg to smolt survival rate Early Marine= Early marine survival rate

## Spring Chinook Equilibrium Run Size GENFILE2 Data BASIN=METHOW



Egg-Smolt= Egg to smolt survival rate
Early Marine= Early marine survival rate

A different situation is seen in the Scenario A simulations of the Methow (Figures 13-15). The MSY and the equilibrium run size showed virtually no response to change in either of the survival rates (irregularities in the surface of Figure 14 result from errors in rounding very small numbers). Although the Methow and the Grande Ronde had similar smolt carrying capacittes. the MSY surplus and the equilibrium run size in the Grande Ronde was much higher than that in the Methow (Figure 13 and 15).

The differences between the simulations of the Methow and those of the Yakima and Grande Ronde rivers are the result of differences in juvenile passage survival rate. The Yakima and the Grande Ronde had similar passage survival rates in the Scenario A sımulations. The Yakima lies above only four dams, while most of the spring chinook from the Grande Ronde were transported in the simulations to below Bonneville at relatively low mortality rates. Fish from the Methow, in contrast. were passed through nine dams, and only a small portion were transported from McNary Dam. The passage survival rates appeared to form a "bottle-neck" in the survival of spring chinook from the Methow that overshadowed the effect of change in the juvenile or adult survival rates. Uncertainty (or change if such was possible) in the value of the natural survival rates does not appear to be an important factor in predicting the effect of management alternatives in the Methow as long as passage survival conditions resemble those simulated in this exercise.

The difference in the MSY surplus and the equilibrıum run size between the Yakıma and the Grande Ronde is the result of differences in adult passage survival rate. Like the juvenile passage survival rate, the adult passage survival rate acts as a power function, and decreases rapidly as the number of dams increases. This factor appeared to hold the size of the Grande Ronde population at a lower level, relative to the smolt carrying capacity. than occurred in the Yakima sımulations. Given that the two basins had similar juvenile passage survival rates (because of the effect of the assummed transport benefit ratio on survival of fish from the Grande Ronde) adult passage survival rate appeared to be more important than juvenile passage survival rate in determining the natural production in the Grande Ronde subbasin.

The results from the Scenario B simulations (Table 6) are shown in Figures 16-18. As noted above. the MSY rate is not affected by changing the carrying capacity, and so only the MSY surplus was used in this portion of the analysis. Juvenile and early ocean survival rates were set at the "most probable" estimates explained above, while carrying capacity and project survival rate were varıed over a range. Both of these variables represent change resulting from possible management actions or uncertainty in the value of the parameters. Harvest was set to zero except for terminal harvest as needed to determine the MSY as explained above.

The influence of the juvenile passage survival rate on MSY found in the Scenario A simulations also was present in the simulations of carrying capacity. In the Yakima. the MSY surplus was most affected by changing the carrying capacity. change in the average per project survival rate had little effect (Figure 16). In the Grande Ronde and the Methow. however. change in the carrying capacity at low values of average per project survival had very little effect on the MSY surplus, while the average per project survival rate did change the MSY surplus (Figures 17 and 18).

The effect of carrying capacity on the MSY increased as the project survival rate increased for the Methow and Grande Ronde subbasins. This was because the simulation utilized system survival rate; project survival rate relates to system survival rate as a power function based on the number of dams. At high juvenile passage survival rates, the effect of carrying capacity in the Methow and the Grande Ronde was similar to that seen in the Yakima. In Figures 16-18 the average per project juvenile survival rate used in the Scenario A simulations, which reflected present (1987) passage conditions, is marked with an arrow. Under the passage conditions assumed in Scenarıo A. the Grande Ronde responded to changes in carrying capacity in a manner similar to the Yakima. while the Methow remained insensitive to changes in carrying capacity. As was the case in the Scenarıo A sımulations. system passage survival rate limited

## Spring Chinook MSY Surplus

Scenario B Simulations
BASIN=YAKIMA


DAMSUR $=$ Average Project Survival Rate CARRYCAPaSmolt Carrying Capacity ( 1,000 s)

## Spring Chinook MSY Surplus

Scenario B Simulations
BASIN=GRONDE


## Spring Chinook MSY Surplus <br> Scenario B Simulations <br> BASIN=METHOW



DAMSUR= Average Project Survival Rate CARRYCAP=Smolt Carrying Capacity ( $1,000 \mathrm{~s}$ )
production in the Methow to a greater degree than did the natural productivity factors of juvenile and adult survival rate and carrying capacity.

Adult passage survival also appeared to affect the MSY in the upper subbasins as it did in the Scenario A simulations. Production (MSY surplus) was much less in the Methow, relative to the carrying capacity, than it was in the Yakima. This was true even at the higher levels of juvenile passage survival in the Grande Ronde. The cumulative effect of adult passage at eight dams forced a relatively lower equilibrium in the Grande Ronde.

## 3. Discussion.

This analysis was intended to provide some insight into system and subbasin factors affecting natural production of spring chinook in the Columbia basin. It utlized the Council's production planning model to organize the available data and simulate the effect of uncertainty and change in some key parameters.

The results have indicated that system parameters. specifically survival rates at mainstem hydroelectric projects. can influence how natural factors affect the productivity of the subbasins. This results in a different ranking of factors affecting production between subbasins. For this reason, differences may exist in the effect of uncertainty on the one hand and the effectiveness of some management measures on the other. Based on these simulations, the hypothesis is advanced that under presumed present (1987) levels of survival past mainstem hydroelectric projects. the effect of uncertainty in natural survival parameters and carrying capacity should have a strong impact on the projections of dutcomes from management actions in the Yakima and the Grande Ronde rivers. Projections for the Methow, however. should be relatively insensitive to uncertainty in the value of these parameters, although production measures in the Methow will be highly subject to juvenile passage survival rates. Similarly, change in the carrying capacity in the Yakima and the Grande Ronde rivers. by increasing or decreasing the available habitat. for instance. should strongly affect the MSY. whereas change in the carrying capacity in the Methow should have little effect. The differences result from differences in juvenile survival rate past the mainstem hydroelectric projects. In areas of the basin with poor juvenile passage conditions, passage survival rate, not natural productivity factors. appear to limit natural productivity of spring chinook. On the other hand, natural production of spring chinook in upper river basins that have relatively good juvenile passage conditions may be limited by adult passage conditions.

While these hypotheses are advanced based on the results of the simulations. they can only be tested by field research. Because the model is a summary of present theories of how natural production operates in the Columbia basin, field evidence refuting hypotheses generated by the model could indicate possible errors in our thinking that would require re-formulation of the model.

These hypotheses require that the values used in this analysis. particularly the mainstem passage survival rates. be correct. and that the variables examıned are of primary importance in determining natural production. However. in the case of the Methow. the conclusion appears to be robust. In Figure 17, even a reasonable amount of uncertainty about the point estımate of present juvenile passage survival rate would not change the conclusion. Similarly, the sımulations of the Yakima were not sensitive to juvenile passage survival rates. On the other hand. the conclusion regarding the Grande Ronde is highly dependent on the assumption that the transport benefit ratio for spring chınook from Lower Granite Dam is at least 2:1 on an average flow year. Data regarding the actual benefit ratio is extremely variable and inconclusive. The value used here was a judgment as to a "most probable" estimate. If the actual benefit ratio is appreciably less, the situation in the Grande Ronde is quite different than is portrayed here. In this case, the Grande Ronde would appear to be more similar to the Methow than the Yakıma with respect to the importance of natural survival rates and carrying capacity in determining production.

An ancillary purpose of this analysis was to experiment with ways of using modeling to aid systemwide planning of salmon and steelhead production. The results to date, indicate that, if used properly, the model can help organize our thinking and provide useful insights. In addition, the model can be used in an iterative process between hypothesis generation and field research. ${ }^{12}$ While on ref ection the conclusions from the analysis may seem self-evident. they have never been explicitly stated. ror has the available data been organized in a systematic fashion to demonstrate the processes shown here. Future work will continue along this vein, and include the effect of harvest rate and pattern, in a manne similar to that used here to examine the effect of mainstem passage conditions. The analysis will also be expanded to include other species. Refinement of the model and the simulation techniques will permit the analysis of hatchery production as well.

## C. INPUT PARAMETERS AND ASSUMPTIONS FOR USE IN SUBBASIN PLANNING FOR NAINSTEM PASSAGE SURVIVAL OF JUVENILE ANADROMOUS FISH MIGRATING IN AVERAGE WATER CONDITIONS:

The following tables (Tables 7 through 12) are listings of maınstem parameters and assumptions proposed for discussion and use in the subbasin planning process. These tables are consiste th with the Council's current maınstem passage policies, as described in the System Objective and Policies Issue Paper. Passage parameters and assumptions listed for the eight federal lower Snake and Columbia river hydroelectric projects were identified in 1985-1986 by the Council's Mainstem Passage Advisory Committee. The parameters listed for the five mid-Columbia public utlity district projects were icentified in study findings submitted to the Federal Energy Regulatory Commission by the Mid-Columbia Corrdinating Committee. unless otherwise noted. Note that Tables 7 through 9 provide parameters and assumptions for existing juvenile fish passage conditions at projects in the lower Snake, lower Columbia and mid Columbia river reaches. and Tables 10 through 12 represent parameters and assumptions for anticipated or attainable fish passage conditions in 1992 at projects in each of the three mainstem reaches, assuming juvenile fish bypass facilities are installed and operational at all projects.

[^5]
## TABLE 7 <br> EXISTING (1987) PASSAGE CONDITIONS (LOWER SNAKE RIVER) ${ }^{1}$ <br> MAINSTEM HYDROELECTRIC PROJECT

INPUT PARAMETERS
a. Spill Survival

| Yearling Chınook | .98 | .98 | .98 | .98 |
| :--- | :--- | :--- | :--- | :--- |
| Subyearlıng Chinook | .98 | .98 | .98 | .98 |
| Steelhead | .98 | .98 | 98 | .98 |

b. Bypass Survival

| Yearlıng Chinook | .98 | .98 | .98 | 98 |
| :--- | :--- | :--- | :--- | :--- |
| Subyearlıng Chinook | .98 | .98 | .98 | .98 |
| Steelhead | .98 |  | .98 | .98 |

c. Turbine Survival

| Yearling Chinook | 85 | 85 | 85 | 85 |
| :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | 85 | 85 | 85 | 85 |
| Steelhead | 85 | 85 | .85 | 85 |

d. Reservoir Survival ${ }^{2}$

Yearling Chinook
Subyearling Chinook Steelhead
e. Fish Guidance Efficiency

|  | .50 | .50 | .03 | $.51^{3}$ |
| :--- | :--- | :--- | :--- | :--- |
| Yearling Chinook | .50 | .50 | .03 | .51 |
| Subyearling Chinook | .74 | .74 | .03 | .51 |

${ }^{1}$ Same coefficients and parameters used by Mainstem Passage Advisory Committee (MPAC).
${ }^{2}$ Data not included because reservoir survival is a function of flow. Reservoir mortality was calculated based on a minimum reservoir mortality rate of 0.002 per mile for flows greater than 265 kcfs at The Dalles Dam and increasing linearly to a maximum reservoir mortality rate of 0.02 per mile at 0 flow at The Dalles Dam. Reservoir survival was determined by taking the complement of reservoir mortality to the power of the reservoir length.
${ }^{3}$ Sluiceway efficiency.
INPUT PARAMETERS

g. Transport Survival

| Yearling Chınook | .95 | .95 | N/A | N/A |
| :--- | :--- | :--- | :--- | :--- |
| Subyearlıng Chinook | .95 | .95 | N/A | N/A |
| Steelhead | .95 | .95 | N/A | N/A |

h. Transport Benefit Ratı0 ${ }^{5}$

Yearling Chinook
Subyearling Chinook
Steelhead
i. Average Daily Spill $\%^{6}$

| Yearling Chinook | 14.7 | 14.7 | 24.9 | 12.3 |
| :--- | ---: | ---: | :--- | :--- |
| Subyearling Chinook | 0.0 | 0.0 | 13.0 | 0.0 |
| Steelhead | 14.7 | 14.7 | 24.9 | 12.3 |

j. Spill Efficiency ${ }^{7}$

| Yearling Chinook | $y=x$ | $y=x$ | $y=x$ | $y=x$ |
| :---: | :---: | :---: | :---: | :---: |
| Subyearling Chinook | $y=x$ | $y=x$ | $y=x$ | $y=x$ |
| Steelhead | $y=x$ | $y=x$ | $y=x$ | $y=x$ |

[^6]TABLE 8 EXISTING (1987) PASSAGE CONDITIONS (LOWER COLUMBIA RIVER) ${ }^{1}$

MAINSTEM HYDROELECTRIC PROJECT
INPUT PARAMET
a. Spill Survival

| Yearling Chinook | .98 | .98 | .98 | .98 | N/A |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | .98 | .98 | .98 | .98 | N/A |
| Steelhead | .98 | .98 | .98 | .98 | N/A |

b Bypass Survival

| Yearling Chinook | .98 | .98 | .98 | .98 | .98 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | .98 | .98 | .98 | .98 | .98 |
| Steelhead | .98 | .98 | .98 | .98 | 98 |

c. Turbine Survival

| Yearlıng Chinook | .85 | .85 | .85 | 85 | .85 |
| :--- | :--- | :--- | :--- | :--- | ---: |
| Subyearling Chinook | .85 | .85 | .85 | 85 | .85 |
| Steelhead | .85 | .85 | .85 | .85 | .85 |

d. Reservoir Survival ${ }^{2}$

Yearling Chinook N/A
Subyearling Chinook N/A
Steelhead N/A
e. Fish Guidance Efficiency

|  |  | $.40^{3}$ | .76 | .19 |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Yearling Chinook | .74 | .72 | .40 | .72 | .24 |
| Subyearling Chinook | .38 | .20 | .40 | .78 | .35 |
| Steelhead | .76 | .85 | .40 | .78 |  |

${ }^{1}$ Same coefficients and parameters used by Mainstem Passage Advisory Committee (MPAC).
${ }^{2}$ Data not included because reservoir survival is a function of flow. Reservoir mortality was calculated based on a minımum reservorr mortality rate of 0.002 per mile for flows greater than 265 kcfs at The Dalles Dam and increasing linearly to a maximum reservor mortality rate of 0.02 per mile at 0 flow at The Dalles Dam. Reservoir survival was determined by taking the complement of reservoir mortality to the power of the reservoir length.
${ }^{3}$ Sluiceway efficiency.

TABLE 8--Continued

f. Separator Efficiency

| Yearling Chinook | 20 | N/A | N/A | N/A | N/A |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | 80 | N/A | N/A | N/A | N/A |
| Steelhead | 80 | N/A | N/A | N/A | N/A |
|  |  |  |  |  |  |
| Transport Survival $^{4}$ |  |  |  |  | N/A |
| Yearling Chinook | 99 | N/A | N/A | N/A | N/A |
| Subyearling Chinook | 99 | N/A | N/A | N/A | N/A |
| Steelhead | 99 | N/A | N/A | N/A |  |

h. Transport Benefit Ratio ${ }^{5}$

Yearling Chinook
Subyearling Chinook
Steelhead
i. Average Daily Spill \% ${ }^{6}$

| Yearling Chinook | 11.2 | 4.8 | 4.3 | $39.2^{\circ}$ | N/A |
| :--- | ---: | ---: | ---: | :--- | :--- |
| Subyearling Chinook | 3.2 | 11.0 | 3.6 | 35.0 |  |
| Steelhead | 11.2 | 4.8 | 4.3 | 39.2 | N/A |
|  |  |  |  |  |  |
| Spill Efficiency |  |  |  |  |  |
|  |  |  |  |  | N/A |
| Yearling Chinook | $y=x$ | $y=x$ | $y=x$ | $y=x$ | N/A |
| Subyearling Chinook | $y=x$ | $y=x$ | $y=x$ | $y=x$ | N/A |
| Steelhead | $y=x$ | $y=x$ | $y=x$ | $y=x$ |  |

${ }^{4}$ Short-term survival of transported juveniles to below Bonneville Dam in truck or barge.
${ }^{5}$ To be determıned in consultation with MPAC.
${ }^{6}$ To achieve at least 90 percent per project survival rate.
${ }^{7}$ Fish spill efficiency: $\mathrm{y}=$ percent fish spilled: $\mathrm{x}=$ percent river spilled (instantaneous). EXISTING (1987) PASSAGE CONDITIONS (MID-COLUMBIA RIVER) ${ }^{1}$

d. Reservoir Survival ${ }^{3}$

Yearling Chinook
Subyearling Chinook
Steelhead

[^7]e. Fish Guidance Efficiency

| Yearling Chinook | N/A | N/A | N/A | N/A | N/A |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | N/A | N/A | N/A | N/A | N/A |  |
| Steelhead | N/A | N/A | N/A | N/A | N/A | N/A |

f. Separator Efficiency
Yearling Chinook
Subyearling Chinook
Steelhead

| N/A | $N / A$ |
| :--- | :--- |
| N/A | $N / A$ |
| $\dot{N} / A$ | $N / A$ |

N/A
N/A
N/A

| N/A |  | N/A |
| :--- | :--- | :--- |
| N/A |  | N/A |
| N/A |  |  |

N/A
N/A
N/A
N/A
N/A
N/A
N/A
g. Transport Survival
Yearling Chinook
Subyearling Chinook

| N/A | N/A |
| :--- | :--- |
| N/A | N/A |
| N/A | N/A |

N/A
N/A
N/A
N/A
N/A
N/A

N/A
N/A
N/A
Subyearling Chinook
Steelhead
N/A
N/A
N/A
N/A
N/A
N/A
h. Transport Benefit Ratio

| Yearling Chinook | N/A | N/A | N/A | N/A | N/A | N/A |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Subyearling Chınook | N/A | N/A | N/A | N/A | N/A | N/A |
| Steelhead | N/A | N/A | N/A | N/A | N/A | N/A |
| Average Daily Spill \% ${ }^{4}$ |  |  |  |  |  |  |
| Yearling Chinook | 8.8 | 10 | 18 | N/A | 24 | 19 |
| Subyearling Chinook | 0 | 0 | 0 | N/A | 0 | 0 |
| Steelhead | 8.8 | 10 | 18 | N/A | 24 | 19 |

[^8]TABLE 9--Continued
MAINSTEM HYDROELECTRIC PROJECT


TABLE 10 - ATTAINABLE ${ }^{1}$ (1992) PASSAGE CONDITIONS (LOWER SNAKE RIVER)

# MAINSTEM HYDROELECTRIC PROJECT 

 LowerINPUT PARAMETERS
Lower Granite
Little Goose Monumental

Ice Harbor
a. Spill Survival

| Yearling Chinook | .98 | .98 | .98 | .98 |
| :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | .98 | .98 | .98 | .98 |
| Steelhead | .98 | .98 | .98 | .98 |

b. Bypass Survival

| Yearling Chinook | .98 | .98 | 98 | 98 |
| :--- | :--- | :--- | :--- | :--- |
| Subyearling Chınook | .98 | .98 | 98 | 98 |
| Steelhead | .98 | .98 | .98 | .98 |

c. Turbine Survival

| Yearling Chınook | 85 | 85 | 85 | .85 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | .85 | .85 | 85 | .85 |
| Steelhead | .85 | .85 | .85 | .85 |

d. Reservoir Survival ${ }^{2}$

Yearling Chinook
Subyearling Chinook
Steelhead
e. Fish Guidance Efficiency ${ }^{3}$

| Yearling Chinook | .74 | .74 | .75 | .70 |
| :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | .50 | .50 | 50 | .50 |
| Steelhead | .82 | .80 | .80 | .80 |

. Based on the assumptıon that juvenile fish bypass facilities are ınstalled and operating effic ently at all projects.
${ }^{2}$
Data not included because reservoir survival is a function of flow. Reservoir mortality was calculated based on a minimum reservoir mortality rate of 0.002 per mile for flows greater than 265 kcfs at The Dalles Dam and increasing linearly to a maximum reservoir mortality rate of 0.02 per mile at 0 flow at The Dalles Dam. Reservoir survival was determined by taking the complement of reservoir mortality to the power of the reservoir length.
${ }^{3}$ Based on prototype screen research by the Corps on attainable fish guidance efficiencies by the year 1992; assumes mınımum fish guidance efficiencies of 70 percent for yearling chinook, 50 percent for subyearling chinook and 80 percent for steelhead. unless test results have indicated higher guidance efficier cies.

TABLE 10-Continued

| INPUT PARAMETERS | MAINSTEM HYDROELECTRIC PROJECT Lower |  |  | Ice Harbor |
| :---: | :---: | :---: | :---: | :---: |
| f. Separator Efficiency ${ }^{4}$ |  |  |  |  |
| Yearling Chinook | . 55 | . 11 | N/A | N/A |
| Subyearling Chinook | ND | ND | N/A | N/A |
| Steelhead | ND | ND | N/A | N/A |
| g. Transport Survival ${ }^{5}$ |  |  |  |  |
| Yearling Chinook | . 95 | . 95 | N/A | N/A |
| Subyearling Chinook | . 95 | . 95 | N/A | N/A |
| Steelhead | . 95 | . 95 | N/A | N/A |
| h. Transport Benefit Ratı0 ${ }^{6}$ |  |  |  |  |
| Yearling Chinook |  |  |  |  |
| Subyearling Chinook |  |  |  |  |
| Steelhead |  |  |  | $\cdots$ |
| 1. Average Daily Spill $\%^{7}$ |  |  |  |  |
| Yearling Chinook | 3.4 | 3.4 | 3.4 | 11.6 |
| Subyearling Chinook | 0 | 0 | 0 | 0 |
| Steelhead | 3.4 | 3.4 | 3.4 | 11.6 |
| j. Spill Efficiency ${ }^{8}$ |  |  |  |  |
| Yearling Chinook | $y=x$ | $y=x$ | $y=x$ | $y=x$ |
| Subyearling Chinook | $y=x$ | $y=x$ | $y=x$ | $y=x$ |
| Steelhead | $y=x$ | $y=x$ | $y=x$ | $y=x$ |

[^9]${ }^{5}$ Short-term survival of transported juveniles to below Bonneville Dam in a truck or barge.
${ }^{6}$ To be determined in consultation with MPAC.
${ }^{7}$ Based on inadvertent spill only in average water conditions.
${ }^{8}$ Fish spill efficiency: $y=$ percent fish spilled; $x=$ percent river spilled (instantaneous).

## TABLE 11 .- ATTAINABLE ${ }^{1}$ (1992) PASSAGE CONDITIONS (LOWER COLUMBIA RIVER)

| INPUT PARAMETERS |  | MAINSTEM HYDROELECTRIC PROJECTJohn The |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | McNary |  |  |  |  |
|  | McNary |  |  | Bonnevile | Bonnevile |
| a. Spill Survival |  |  |  |  |  |
| Yearling Chinook | . 98 | . 98 | . 98 | . 98 | N/A |
| Subyearling Chinook | . 98 | . 98 | . 98 | . 98 | N/A |
| Steelhead | . 98 | 98 | . 98 | . 98 | N/A |

b. Bypass Survival

| Yearling Chinook | .98 | .98 | .98 | .98 | .98 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | .98 | .98 | .98 | .98 | .98 |
| Steelhead | .98 | .98 | 98 | .98 | .98 |

c. Turbine Survival

| Yearling Chinook | 85 | .85 | .85 | .85 | .85 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | 85 | .85 | 85 | .85 | .85 |
| Steelhead | 85 | .85 | .85 | .85 | .85 |

d. Reservoir Survival ${ }^{2}$

Yearling Chinook
Subyearling Chinook
Steelhead
e. Fish Guidance Efficiency ${ }^{3}$

| Yearling Chinook | .74 | .72 | .70 | .76 | 70 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | .50 | .50 | .50 | .72 | 50 |
| Steelhead | .87 | .85 | .80 | .80 | 80 |

${ }^{1}$ Based on the assumption that juvenile fish bypass facilities are installed and operating efficiently at all projects.
${ }^{2}$ Data not included because reservoir survival is a function of flow. Reservoir mortality was calculated based on a minimum reservoir mortality rate of 0.002 per mile for flows greater than 265 kcfs at The Dalles Dam and increasing linearly to a maximum reservoir mortality rate of 0.02 per mile at 0 flow at The Jalles Dam. Reservoir survival was determined by taking the complement of reservoir mortality to the power of the reservoir length.
${ }^{3}$ B
Based on prototype screen research by the Corps on attanable fish guidance efficiencies by the year 1992: assumes minımum fish guidance efficiencies of 70 percent for yearling chinook, 50 percent for subyearling chinook and 80 percent for steelhead. unless test results have indicated higher guidance efficiencies.

| 促 | MAINSTEM HYDROELECTRIC PROJECTJohn The |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |
| INPUT PARAMETERS | McNary | Day | Dailes | Bonneville I | Bonneville il |
| f. Separator Efficiency |  |  |  |  |  |


| Yearling Chinook | .18 | N/A | N/A | N/A |  | N/A |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | ND | N/A | N/A | N/A | N/A |  |
| Steelhead | ND | N/A | N/A | N/A | N/A |  |
| Transport Survival | 5 |  |  |  |  |  |
|  |  |  |  |  |  |  |
| Yearling Chinook | .99 | N/A | N/A | N/A | N/A |  |
| Subyearling Chinook | .99 | N/A | N/A | N/A | N/A |  |
| Steelhead | .99 | N/A | N/A | N/A | N/A |  |

h. Transport Benefit Ratıo ${ }^{6}$

Yearling Chinook
Subyearling Chinook
Steelhead

1. Average Daily Spill $\%^{7}$

| Yearling Chinook | 11.2 | 4.8 | 1.2 | 5.7 | N/A |
| :--- | ---: | ---: | :--- | :--- | :--- |
| Subyearling Chinook | 3.2 | 0.3 | 0 | 0.4 | N/A |
| Steelhead | 11.2 | 4.8 | 1.2 | 5.7 | N/A |

j. Spill Efficiency ${ }^{8}$

| Yearling Chinook | $y=x$ | $y=x$ | $y=x$ | $y=x$ | N/A |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chinook | $y=x$ | $y=x$ | $y=x$ | $y=x$ | N/A |
| Steelhead | $y=x$ | $y=x$ | $y=x$ | $y=x$ | N/A |

4 Based on collection and transportation of the same proportion of juveniles as in existing condition, given improved fish guidance efficiencies; or needs to be determined.
${ }^{5}$ Short-term survival of transported juveniles to below Bonneville Dam in a truck or barge.
${ }^{6}$ To be determined in consultation with MPAC
${ }^{7}$ Based on inadvertent spill only in average water conditions.
${ }^{8}$ Fish spill efficiency: $\mathbf{y}=$ percent fish spilled; $\mathrm{x}=$ percent river spilled (instantaneous).

TABLE 12 -- ATTAINABLE (1992) PASSAGE CONDITIONS (MID-COLUMBIA RIVER) ${ }^{1}$

| INPUT PARAMETERS | MAINSTEM HYDROELECTRIC PROJECT |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Wells | Rocky Reach | Rock Island I | Rock Island II | Wanapum | Priests Rapids |
| a Spill Survival |  |  |  |  |  |  |
| Yearling Chinook | 1.0 | 1.0 | 1.0 | N/A | 1.0 | 1.0 |
| Subyearling Chinook | 10 | 1.0 | 1.0 | N/A | 1.0 | 1.0 |
| Steelhead | 1.0 | 1.0 | 1.0 | N/A | 1.0 | 1.0 |
| b. Bypass Survival |  |  |  |  |  |  |
| Yearling Chinook | N/A | . 98 | 98 | 98 | 98 | 98 |
| Subyearling Chinook | N/A | . 98 | . 98 | 98 | . 98 | 98 |
| Steelhead | N/A | . 98 | 98 | 98 | . 98 | . 98 |
| c. Turbine Survival |  |  |  |  |  |  |
| Yearling Chinook | 85 | 85 | 89 | $.94{ }^{2}$ | . 89 | 89 |
| Subyearling Chinook | . 85 | . 85 | . 89 | . 94 | . 89 | 89 |
| Steelhead | . 85 | . 85 | 89 | . 96 | 89 | 89 |
| d. Reservoir Survival ${ }^{3}$ |  |  |  |  |  |  |
| Yearling Chınook |  |  |  |  |  |  |
| Subyearling Chinook Steelhead |  |  | - |  |  |  |

${ }^{1}$ Assumes juvenile fish bypass facilities are installed and operating efficiently at all projects; coefficients and parameters identified by findings of the MidColumbia Coordinating Committee submitted to FERC, unless otherwise noted.
${ }^{2}$ Point estimates of turbine mortality for Rock Island II based on a FERC administrative law judge's "Initial Decision Establishing Interim Procedures for Rock Island Project," (January 31, 1986).
${ }^{3}$ To be determined by Council staff at a future date.


TABLE 12--Continued

## INPUT PARAMETERS

Wells

## Rocky Reach

MAINSTEM HYDROELECTRIC PROJECT
e. Fish Guidance Efficiency ${ }^{4}$

| Yearling Chinook | .70 | .70 | 70 | .70 | .70 | .70 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chınook | .50 | .50 | .50 | .50 | .50 |  |
| Steelhead | 80 | .80 | .80 | 80 | .80 | .80 |

f. Separator Efficiency

| Yearling Chinook | N/A | N/A |
| :--- | :--- | :--- |
| Subyearling Chinook | N/A | N/A |
| Steelhead | N/A | N/A |


| N/A | N/A |
| :--- | :--- |
| N/A | N/A |
| N/A | N/A |

N/A
N/A

N/A
N/A
N/A
N/A
N/A
N/A
N/A
Steelhead
N/A
N/A
N/A
g. Transport Survival

| Yearling Chınook | N/A | N/A |
| :--- | :--- | :--- |
| Subyearling Chinook | N/A | N/A |
| Steelhead | N/A | N/A |

N/A
N/A
N/A
N/A
N/A

Steelhead
N/A
N/A
N/A
N/A
N/A
N/A
N/A
h. Transport Benefit Ratıo

| Yearling Chinook | N/A | N/A |
| :--- | :--- | :--- |
| Subyearling Chinook | N/A | N/A |
| Steelhead | N/A | N/A |

N/A
N/A
N/A

| N/A | N/A |
| :--- | :--- |
| N/A | N/A |
| N/A | $N / A$ |

N/A

| N/A | N/A |
| :--- | :--- |
| N/A | $N / A$ |

N/A
N/A
i. Average Daily Spill $\%^{5}$

| Yearling Chinook | 0.0 | 0.0 |
| :--- | :--- | :--- |
| Subyearling Chinook | 0.0 | 0.0 |
| Ster |  |  |

0.0
0.0
0.0
N/A
N/A
N/A

| 0.0 | 0.0 |
| :--- | :--- |
| 0.0 | 0.0 |
| 0.0 | 0.0 |

0.0

Subyearling Chinook
0.0

N/A
0.0
0.0
${ }^{4}$ Based on prototype screen research by the Corps on attainable fish guidance efficiencies by the year 1992; assumes minimum fish guidance efficiencies of 70 percent for yearling chinook, 50 percent for subyearling chınook and 80 percent for steelhead.

5 Based on inadvertent spill only in average water conditions.

|  | MAINSTEM HYDROELECTRIC PROJECTS |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | . |  | Rock Island |  |  |
|  | Wells | Rocky Reach | I\& II | Wanapum | Priest Rapids |
| Spill Efficiency ${ }^{6}$ |  |  |  |  |  |
| Yearling Chinook | 87\% fish/20\% spill $74 \%$ fish/20\% spill | $y=0.663 x$ | $y=\exp ^{.054}$ | $y=15.545 \ln (x)$ | $\ln (\mathrm{y})=0.819 \ln (\mathrm{x})$ |
| Subyearling Chinook | ND | $y=0.663 x$ | $y=\exp ^{.054}$ | $y=15.545 \ln (x)$ | $\ln (\mathrm{y})=0.819 \ln (\mathrm{x})$ |
| Steelhead | $87 \%$ fish/20\% spill $74 \%$ fish/20\% spill | $y=0.663 x$ | $y=\exp ^{.054}$ | $y=15.545 \ln (x)$ | $\ln (\mathrm{y})=0.819 \ln (\mathrm{x})$ |

k. Fish Passage Distribution

| Yearling Chinook | $2000-0400 / 80 \%$ | $2000-0600 / 43 \%$ | $1900-0700 / 74 \%$ | $2000-0600 / 55 \%$ | $2000-0600 / 57 \%$ |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Subyearling Chınook | $2000-0400 / 58 \%$ | $2000-0600 / 43 \%$ | $1900-0700 / 74 \%$ | $2000-0600 / 55 \%$ | $2000-0600 / 57 \%$ |
| Steelhead | $2000-0400 / 56 \%$ | $2000-0600 / 43 \%$ | $1900-0700 / 74 \%$ | $2000-0600 / 55 \%$ | $2000-0600 / 57 \%$ |

[^10]
## D. ELEMENTS OF GENETIC RISK ANALYSES

An integral part of subbasin planning is analysis of the genetic risks entailed by proposed production strategies. (Genetic risks associated with harvest and passage actions are not discussed here.) In section 204 of the Draft Amendment Document, it is proposed that subbasin plans include consideration of potential effects on wild or naturally-spawning runs and identification of genetics-based management opportunities. Although it is not possible to quantify genetic risks, it is possible to identify the most likely sources of risk, the rationale for accepting the risk in preference to less risky alternatives, and to propose ways of managing genetic risks. Genetic risk analyses would be prepared by subbasin production planners.

The genetic risk analyses would include the following elements:

1. Description of the proposed production strategy. A production strategy identifies how fish would be produced. including use of wild/natural production, use of hatcheries, hatchery management plans (e.g.. source of broodstock), etc
2. Identification of the sources of risk and their relative magnitude.

Sources of risk would include impacts on wild/natural stocks. Protecting wild stocks would be a lowrisk action, while releasing large numbers of hatchery smolts into a wild stream would be a high-risk action. (For example. see the scheme for evaluating genetic risks included in the Council's technical discussion paper, "Genetıc Consideratıons for Salmon and Steelhead Planning." May 1986.) The genetıc nature of the wild/ natural stock, as well as the genetic nature of possible hatchery stock genetic "donors" and the likelihood of interbreeding between wild/natural and hatchery stocks are important factors. Other risks to wild/natural stocks, such as competition between wild/ natural and hatchery smolts and removal of wild adults for hatchery broodstock, also need to be considered.

Sources of risk also include the plans for managing any artificial production, such as the source of broodstock (the specific run, numbers of males and females, and the segment of the run to be selected) and the rearing of juveniles.

General production approaches involving low, moderate and high risk follow: (See System Objective and Policies issue paper for background on genetic risks.)
A. Low-rısk approach. Only wild/ natural stocks: no artıficial production. This entails the least risk. because:

1. Wild/natural s tocks tend to be more adaptable to changing environmental conditions (both natural and human-caused) than stocks managed for artificial production.
2. Preserving wild/natural stocks does not foreciose future management optıons. because a wide variety of stocks is available to meet future needs.
3. Wild/ natural stocks survive better in the wild and have better disease resistance. This is because genetic traits needed for survival and disease resistance have not been lost as a result of intentional or unintentional breeding and selection for artificial production.
B. Moderate risk approaches. Limited and genetıcally careful use of artificial production. The following actions entail moderate genetıc risk:
4. Broodstock for hatchery production. Maximize retention of important genetic traits by:
a. Using local wild/natural broodstock for each brood year
b. Using large numbers of males and females for broodstock
c. Using fish for broodstock having varying run times and other characteristics
5. Disposition of juveniles. Maximize opportunity for natural selection and minimize opportunity for artificial selection to act upon juvenile fish by:
a. Releasing juveniles from the hatchery as early as is feasible
b. Varying the time of release consistent with the range of the natural migratory period
c. Outplanting juveniles in a variety of appropriate locatıons rather than in a single location

Protectıon of wild/natural stocks. Minımıze "dilutıon" of wild/natural gene pools by:
a. Minımızing interbreeding of wild and hatchery fish
b. When supplementation is desired.
i. Using outplants originating from wild/natural parents of the same stock
ii. Controlling the ratio of outplants to naturally-reared fish
iii. Monitoring the genetic characteristics of the supplemented stock
4. Other factors. Maximize ability to learn while undertaking some risk by:
a. Taking action at a slow enough rate that risk is minimized and learning can occur
b. Taking action in a limited number of locations and involving limited numbers of fish, so that the risk is not applied extensively
c. Providing for learning, through monitoring and evaluation of genetic characteristics to identify occurrence of significant genetic effects
C. High-risk approaches: Extensive, rapid and genetıcally disinterested use of artificial production.

1. Broodstock are selected without regard for genetic diversity. Hatchery returns and few parents of unequal sex ratio may be used.
2. Decisions on disposition of juveniles. such as time and location of release, are made without regard for natural selection.
3. Protection of wild/natural gene pool s from "dilution" is not attempted, is attempted to only a limited extent, or is attempted solely through techniques whose reliability is not proven.
4. Actions that may have genetic implications are undertaken extensively and at a rate that does not allow learning. Monitoring and evaluation of genetic characteristics are not a priority or do not receive sustained, stable funding over significant périods of time.
5. Alternative production strategies. One or two alternative production strategies that were proposed as part of subbasin planning but were considered less desirable than the preferred strategy.
6. Advantages of the preferred strategy. What are the advantages of undertaking the preferred strategy in preference to less risky alternatives (if less risky alternatives have been identified).
7. Monitoring and evaluation plan for identification of genetic impacts. How would genetic changes in the population be measures? What sampling strategies would be used? What criteria would be used to denote adverse genetic impacts?
8. Contıngency plans in the event of adverse genetıc impacts. Once adverse genetıc impacts are identified. what strategies would be adopted to halt or reverse the impacts?

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Attachments (3).

## DENSITY DATA BASE SUMMARY

One of the primary reasons for creating the Council's anadromous fish data base was to facilitate the generation of existing and potential smolt production estimates for the Columbia Basin.

The Council contracted with Envirosphere Company to assist in this process, which consisted of identifying the various methods currently in use by fish management agencies to estimate production, evaluate these methods for accuracy and data needs. and select and execute a method(s) for the area in question. Two conceptual groups of methods were identified by Envirosphere: 1) those based on densities of juveniles and 2) those based on escapement of adults. Based on a pilot study conducted in the Yakima Basın. Envirosphere suggested that escapement-based methods produced the most accurate results, because they relied on what was considered to be the most reliable data. Unfortunately, the data requirements for this type of analysis are quite specific and not widely available within the Columbia Basin. Therefore. the Council directed Envirosphere to use a habitat-based density method (smolt production per unit of available habitat). This method is less accurate than an escapement-based method but was the only method that could be applied consistently throughout the Columbia Basin. given the data constraints present in the area.

With the method chosen, Envirosphere developed existing and potential smolt density production estımates (smolts/square foot of habitat) for the Columbia Basın. These density estımates we re based on currently used habitat only and do not consider areas above permanent blockages. The density estimates are shown in Table 1. Density numbers appear as they would be applied in each particular basin but do not necessarily indicate that the species is present in the basin at this tıme. Those fall chınook fields marked with an $\left(^{*}\right)$ represent areas where an existıng density estımate was back-calculated from current values on escapement and harvest.

TABLE 1
DENSITY VALUES USED TO ESTIMATE EXISTING AND POTENTIAL SMOLT PRODUCTION VALUES FOR ANADROMOUS STREAMS

Abbreviations: $\mathrm{Su}=$ summer, $\mathrm{Sp}=$ spring. $\mathrm{W}_{1}=$ winter. $L E=$ less than. $\mathrm{GE}=$ greater than or equal to. $\mathrm{GT}=$ greater than

WILLAMETTE RIVER (EXCLUDING CLACKAMAS) ${ }^{1}$

| SPECIES | WIDTH | EXISTING | POTENTIAL |
| :---: | :---: | :---: | :---: |
| Su/Wi Steelhead | LE 33 | 0.0008/sq. ft. | 0.0027/sq. ft. |
|  | GT 33 LT 198 | 0.0008/sq. ft. | 0.0015/sq. ft. |
|  | GE 198 | 434/mile | 406/mile |
| Fall Chinook | LE 330 | 00061 /sq. ft. | 0.0223/sq. ft. |
|  | GT 330 | 10622/mile | 38624/mile |
| Sp/Su Chinook | LE 66 | 00020 /sq. ft. | 0.0334/sq. ft. |
|  | GT 66 | 676/mile | 11587/mile |
| Coho | GT 20 | 0.0015/sq. ft. | 0.0474/mile |
|  | GE 20 | 515/mıle | 3726/mile |


| CLACKAMAS RIVER |  |  |  |
| :---: | :---: | :---: | :---: |
| SPECIES | WIDTH | EXISTING | POTENTIAL |
| Su/Wi Steelhead | LE 33 | 0.0008/sq. ft. | 0.0027/sq. ft. |
|  | GT 33 LT 198 | 0.0008/sq. ft. | 0.0015/sq. ft. |
|  | GE 198 | 434/mile | 406/mile |
| Fall Chinook | LE 330 | 0.0061/sq. ft. | 0 0223/sq. ft. |
|  | GT 330 | 10622/mile | 38624/mile |
| Sp/Su Chinook | LE 66 | 0.0020/sq. ft. | 0.0334/sq. ft. |
|  | GT 66 | 676/mile | 11587/mile |
| Coho | GT 20 | 0.0065/sq. ft. | 0.0474/mile |
|  | GE 20 | 3291/mile | 3726/mile |

[^11]SANDY RIVER

| SPECIES | WIDTH | EXISTING | POTENTIAL |
| :---: | :---: | :---: | :---: |
| Su/Wi Steelhead | LE 33 | 0.0022/sq. ft. | 0.0027/sq. ft. |
|  | GT 33 LT 198 | 0.0006/sq. ft. | 0.0015/sq. ft. |
|  | GE 198 | 290/mile | 406/mile |
| Fall Chinook* | LE 330 | 0.0041/sq. ft. | 0.0223/sq. ft. |
|  | GT 330 | 0.0041/sq. ft. | 38624/mile |
| Sp/Su Chınook | LE 66 | 0.0020/sq. ft. | 0.0334/sq. ft. |
|  | GT 66 | 676/mile | 11587/mile |
| Coho | GT 20 | 0.0015/sq. ft. | 0.0474/mile |
|  | GE 20 | 515/mile | 3726/mile |


| SPECIES | WIDTH | EXISTING | POTENTIAL |
| :---: | :---: | :---: | :---: |
| Su/Wi Steelhead | LE 33 | 0.0022/sq. ft. | 0.0027/sq. ft. |
|  | GT 33 LT 198 | 0.0006/sq. ft. | 0.0015/sq. ft. |
|  | GE 198 | 290/mile | 406/mile |
| Fall Chinook* | LE 330 | 0.0057/sq. ft. | 0.0223/sq. ft. |
|  | GT 330 | 0.0057/sq. ft. | 38624/mile |
| Sp/Su Chinook | LE 66 | 0.0020/sq. ft. | 0.0334/sq. ft. |
|  | GT 66 | 676/mile | 11587/mile |
| Coho | GT 20 | 0.0015/sq. ft. | 0.0474/mile |
|  | GE 20 | 515/mile | 3726/mile |


|  | COWLITZ RIVER |  |  |
| :---: | :---: | :---: | :---: |
| SPECIES | WIDTH | EXISTING | POTENTIAL |
| Su/Wi Steethead | LE 33 | 0.0022/sq. ft. | 0.0027/sq. ft. |
|  | GT 33 LT 198 | 00006/sq. ft. | 0.0015/sq. ft. |
|  | GE 198 | 290/mile | 406/mile |
| Fall Chinook* | LE 330 | 00082/sq. ft. | 0.0223/sq. ft. |
|  | GT 330 | 0.0082/sq. ft. | 38624/mile |
| Sp/Su Chinook | LE 66 | 00020/sq. ft. | 0.0334/sq. ft. |
|  | GT 66 | 676/mile | 11587/mile |
| Coho | GT 20 | 0.0015/sq. ft. | 0.0474/mile |
|  | GE 20 | 515/mile | 3726/mile |

GRAYS. ELOCHOMAN, KALAMA, \& WASHOUGAL RIVERS ${ }^{2}$

| SPECIES | WIDTH | EXISTING | POTENTIAL |
| :---: | :---: | :---: | :---: |
| Su/WI Steelhead | LE 33 | 0.0022/sq. ft. | 0.0027/sq. ft. |
|  | GT 33 LT 198 | 0.0006/sq. ft. | 0.0015/sq. ft. |
|  | GE 198 | 290/mile | 406/mile |
| Fall Chinook | LE 330 | 0.0061/sq. ft. | 0.0223/sq. ft. |
|  | GT 330 | 10622/mile | 38624/mile |
| Sp/Su Chinook | LE 66 | 0.0020/sq. ft. | 0.0334/sq. ft. |
|  | GT 66 | 676/mile | 11587/mile |
| Coho | GT 20 | 0.0015/sq. ft. | 0.0474/mile |
|  | GE 20 | 515/mile | 3726/mile |

[^12]WIND. BIG WHITE SALMON. HOOD. KLICKITAT
UMATILLA. \& WALLA WALLA RIVERS ${ }^{2}$

| SPECIES | WIDTH | EXISTING | POTENTIAL |
| :---: | :---: | :---: | :---: |
| Su/Wi Steelhead | LE 33 | $00030 / \mathrm{sq}$. ft. | 0.0027/sq. ft. |
|  | GT 33 LT 198 | 0.0007/sq. ft. | 0.0015/sq. ft. |
|  | GE 198 | 386/mile | 406/mile |
| Fall Chinook | LE 330 | $00002 / \mathrm{sq}$. ft. | 0.0223/sq. ft. |
|  | GT 330 | 220/mile | 38624/mile |
| Sp/Su Chinook | LE 66 | 0.0020/sq. ft. | 0.0334/sq. ft. |
|  | GT 66 | 676/mile | 11587/mile |
| Coho | GT 20 | 0.0007/sq. ft. | 0.0474/mile |
|  | GE 20 | 257/mile | 3726/mile |


| SPECIES | WIDTH | EXISTING | POTENTIAL |
| :---: | :---: | :---: | :---: |
| Su/Wi Steelhead | LE 33 | 0.0030/sq. ft. | 0.0027/sq. ft. |
|  | GT 33 LT 198 | 0.0007/sq. ft. | 0.0015/sq. ft. |
|  | GE 198 | 386/mile | 406/mile |
| Fall Chinook* | LE 330 | 0.0080/sq. ft. | 0.0223/sq. ft. |
|  | GT 330 | 0.0080/mile | 38624/mile |
| Sp/Su Chinook | LE 66 | 0.0020/sq. ft. | 0.0334/sq. ft. |
|  | GT 66 | 676/mile | 11587/mile |
| Coho | GT 20 | 0.0007/sq. ft. | 0.0474/mile |
|  | GE 20 | 257/mile | 3726/mile |

JOHN DAY RIVER

| SPECIES | WIDTH | EXISTING | POTENTIAL |
| :---: | :---: | :---: | :---: |
| Su/Wi Steeihead | LE 33 | 0.0030/sq. ft. | 0.0027/sq. ft. |
|  | GT 33 LT 198 | 0.0007 /sq. ft | 0.0015/sq. ft. |
|  | GE 198 | 386/mile | 406/mile |
| Fall Chinook* | LE 330 | 0.0002/sq. ft. | 0.0223/sq. ft. |
|  | GT 330 | 00002/sq. ft. | 38624/mile |
| Sp/Su Chinook | LE 66 | 0.0020/sq. ft. | 0.0334/sq. ft. |
|  | GT 66 | 676/mile | 11587/mile |
| Cono | GT 20 | 0.0007/sq. ft. | 0.0474/mile |
|  | GE 20 | 257/mile | 3726/mile |

## YAKIMA RIVER

| SPECIES | WIDTH | EXISTING | POTENTIAL |
| :---: | :---: | :---: | :---: |
| Su/WI Steelhead | LE 33 | 0.0004/sq. ft. | 0.0027/sq. ft |
|  | GT 33 LT 198 | $0.0004 / \mathrm{sq}$. ft. | 0.0015/sq. ft |
|  | GE 198 | 415/mile | 406/mile |
| Fall Chinook* | LE 330 | 0.0116/sq. tt. | 0.0223/sq. ft |
|  | GT 330 | 0.0116/sq. tt. | 38624/mile |
| Sp/Su Chinook | LE 66 | 0.0012/sq. ft. | 0.0334/sq. ft |
|  | GT 66 | 1046/mile | 11587/mile |
| Coho | GT 20 | 0.0007/sq. ft. | 0.0474/mile |
|  | GE 20 | 257/mile | 3726/mile |

OKANOGAN. ENTIAT, METHOW, HANFORD REACH \& WENATCHEE RIVERS ${ }^{3}$

| SPECIES | WIDTH | EXISTING | POTENTIAL |
| :---: | :---: | :---: | :---: |
| Su, Wi Steethead | LE 33 | 0.0030 sq. ft. | 0.0027/sq. ft. |
|  | GT 33 LT 198 | 0.0007/sq. ft. | 0.0015/sq. ft. |
|  | GE 198 | 386/mile | 406/mile |
| Fail Chinook* | LE 330 | 0.037/sq. ft. | 0.0223/sq. ft. |
|  | GT 330 | 0.037/sq. ft. | 38624/mile |
| Sp/Su Chınook | LE 66 | 0.0023/sq. ft. | 0.0334/sq. ft. |
|  | GT 66 | 789/mile | 11587/mile |
| Coho | GT 20 | $00007 /$ sq. ft. | 0.0474/mile |
|  | GE 20 | 257/mile | 3726/mile |

GRANDE RONDE, TUCANNON. IMNAHA, SALMON, CLEARWATER, AND SNAKE RIVERS ${ }^{3}$

| SPECIES | WIDTH | EXISTING | POTENTIAL |
| :---: | :---: | :---: | :---: |
| Su/Wi Steelhead | LE 33 | 0.0030/sq. ft. | 0.0027/sq. ft. |
|  | GT 33 LT 198 | 0.0007/sq. ft. | 0.0015/sq. ft. |
|  | GE 198 | 386/mile | 406/mile |
| Fall Chinook | LE 330 | 0.0002/sq. ft. | 0.0223/sq. ft. |
|  | GT 330 | 220/mile | 38624/mile |
| Sp/Su Chinook | LE 66 | 0.0067/sq. ft. | 0.0334/sq. ft. |
|  | GT 66 | 2317/mile | 11587/mile |
| Coho | GT 20 | $0.0007 / \mathrm{sq}$. ft. | 0.0474/mile |
|  | GE 20 | 257/mile | 3726/mile |

3/ These basins were combined since the density estimates are the same.
$\because$

2

## Attachment 2

## Example of Fall Chinook Computer-Generated Production Estimates

FA_CHIN OCCUPY 16 STREAM MILES FOR THE 3RD ORFER ONLY STREAMS IN THE BASIN THE DOWNSTREAM SMOLT DAM MORTALITY IS 0.15 THE OCEAN MORTALITY IS 0.98
THE UPSTREAM ADULT DAM MORTALITY IS 0.05 THE PRE-MIGRATION EXISTING FA_CHIN SMOLT ESTIMATE IS: 91873 THE OCEAN ENTRY SMOLT ESTIMATE IS: THE SMOLT LOSS DUE TO DAMS IS:
THE ADULT ESTIMATE AT THE MOUTH IS:
THE ADULT SPAWNING GROUND EST. IS:
THE ADULT LOSS DUE TO DAMS IS:
91873
6

- 1837

1837
$\qquad$

THESE ARE THE RESULTS FOR THE COWLITZ
BASIN
FA_CHIN OCCUPY 52 STREAM MILES FOR THE 2ND ORDER ONLY STREAMS IN THE BASIN
THE DOWNSTREAM SMOLT DAM MORTALITY IS 0.15
THE OCEAN MORTALITY IS 0.98
THE UPSTREAM ADULT DAM MORTALITY IS 0.05
THE PRE-MIGRATION EXISTING FA_CHIN SMOLT ESTIMATE IS: THE OCEAN ENTRY SMOLT ESTIMATE IS: THE SMOLT LOSS DUE TO DAIMS IS:
THE ADULT EST IMATE AT THE MOUTH IS:
THE ADULT SPAWNING GROUND EST. IS:
THE ADULT LOSS DUE TO DAMS IS:
718233

0

THESE ARE THE RESULTS FOR THE DESCHUTES

## BASIN

FA_CHIN OCCUPY 87 STREAM MILES FOR THE 2ND ORDER ONLY
STREAMS IN THE BASIN STREAMS IN THE BASIN
THE DOWNSTREAM SMOLT DAM MORTALITY IS 0.15
THE OCEAN MORTALITY IS 0.98
THE UPSTREAM ADULT DAM MORTALITY IS 0.05
THE PRE-MIGRATION EXISTING FA_CHIN
THE OCEAN ENTRY SMOLT ESTIMATE IS:
THE SMOLT LOSS DUE TO DAMS IS:
THE ADULT ESTIMATE AT THE MOUTH IS:
THE ADULT SPAWNING GROUND EST. IS:
THE ADULT LOSS DUE TO DAMS IS:

### 0.65 0.05 SMOLT ESTIMATE $\underset{626389}{ }$ 110539 <br> 110539

${ }_{119528}^{1250}$
626
$\qquad$
$\qquad$
THESE ARE THE RESULTS FOR THE GRAYS
BASIN
FA_CHIN OCCUPY 13 STREAM MILES FOR THE 2ND ORDER ONLY
STREAMS IN THE BASIN
THE DOWNSTREAM SMOLT DAM MORTALITY IS 0.15
THE OCEAN MORTALITY IS 0.98 IS


```
these are the results FOR the lewis bASIN
FA_CHIN OCCUPY 20 STREAM MILES FOR THE 2ND ORDER ONLY
    STREAMS IN THE BASIN MM MORTALITY IS 0.15
THE DOWNSTREAM SMOLT DAM MORTALITY IS
0.05
THE OCEAN MORTALIIY IS MORTAG&
THE UPSTREAM ADULT DAM MORTALITY IS
THE PRE-MIGRATION EXISTING FA_CHIN
THE OCEAN ENTRY SMOLT ESTIMATE IS:
THE SMOLT LOSS DUE TO DAMS IS:
THE ADULT ESTIMATE AT THE MOUTH IS:
THE ADULT SPAWNING GROUND EST. IS
```



```
these are the results for the sandy baSIN
FA_CHIN OCCUPY 25 STREAM MILES FOR THE 2ND ORDER ONLY
STREAMS IN THE bASIN
THE DOWNSTREAM SMOLT DAM MORTALITY IS 0.15
THE OCEAN MORTALITY IS 0.98
THE UPSTREAM ADULT DAM MORTALITY IS 0.05
THE PRE-MIGRATION EXISTING FA_CHIN SMOLT ESTIMATE IS: 0.05 91006
THE PRE-MIGRATION EXISTING FA-CHIN SMOLT ESTIMATE IS
91006
THE OCEAN ENTRY SMOLT ESTIMATE IS:
THE SMOLT LOSS DUE TO DAMS IS:
THE ADULT SPAWNING GROUND EST. IS:
the ADULT lOSS DUE TO DAMS IS:
SMOLTS ESTIMATE IS:
120986
SMOLT ESTIMATE
120986
0 2420
2420
```



```
these are the results for the sandy
BASIN
FA_CHIN OCCUPY 25 STREAM MILES FOR THE 2ND ORDER ONLY Streams in the basin
THE DOWNSTREAM SMOLT DAM MORTALITY IS 0.15
THE OCEAN MORTALITY IS 0.98
SMOLT ESTIMATE IS:
91006
0
THE ADULT SPAWNING GROUND EST. IS:
1820
1820
```



```
these are the results for the willamette basin
FA CHIN OCCUPY 558 STREAM MILES FOR THE 2ND ORDER AND ABOVE
THE DOWNSTREAM SMOLT DAM MORTALITY IS 0.15
THE OCEAN MORTALITY IS 0.98
THE UPSTREAM ADULT DAM MORTALITY.
THE PRE-MIGRATION EXISTING FA_CHIN 0.05
THE OCEAN ENTRY SMOLT ESTIMATE IS:
THE SMOLT LOSS DUE TO DAMS IS:
THE ADULT ESTIMATE AT THE MOUTH IS:
THE ADULT SPAWNING GROUND EST. IS:
SMOLT ESTIMATE IS:
2316406
\({ }^{4} 4328\)
46328
46328
the adult loss due to dams is:
0
. . . . . . . . . . . . . . . . . . . . . . . . . . . . . . .
```

$\qquad$

```
these are the results for the willamette
BASIN
FA_CHIN OCCUPY 215 STREAM MILES FOR THE 2ND ORDER ONLY STREAMS IN THE BASIN
THE DOWNSTREAM SMOLT DAM MORTALITY IS 0.15
THE OCEAN MORTALITY IS O. 98
THE UPSTREAM ADULT DAM MORTALITY IS
THE PRE-MIGRATION EXISTING FA_CHIN
THE OCEAN ENTRY SMOLT ESTIMATE IS:
THE SMOLT LOSS DUE TO DAMS IS:
the adult estimate at the mouth is:
```



THE ADULT SPAWNING GROUND EST. IS THE ADULT LOSS DUE TO DAMS IS:


these are the results for the willamette
BASIN
FA_CHIN OCCUPY 197 STREAM MILES FOR THE 3RD ORFER ONLY STREAMS IN THE BASIN
THE DOWNSTREAM SMOLT DAM MORTALITY IS 0.15
THE OCEAN MORTALITY IS 0.98
THE UPSTREAM ADULT DAM MORTALITY IS 0.05
THE PRE-MIGRATION EXISTING FA_CHIN SMOLTT ESTIMATE IS:
418890
4188
THE SMOLT LOSS DUE TO DAMS IS:
THE ADULT ESTIMATE AT DAMS IS:
THE ADULT SPAWNING GROUND EST. IS:
the adult loss due to dams is:

## 8378 8378

${ }^{-}$
$\qquad$

STREAMS IN THE BASIN STREAM MILES FOR THE 2ND ORDER ONLY THE DOWNSTREAM SMOLT DAM MORTALITY IS 0.15
THE OCEAN MORTALITY IS 0.98
THE UPSTREAM ADULT DAM MORTALITY IS 0.05
THE PRE-MIGRATION EXISTING FA_CHIN SMOLT ESTIMATE IS: 384949
THE OCEAN ENTRY SMOLT ESTIMATE IS:
THE
THE SMOLT LOSS DUE TO DAMS IS: 352493
THE ADULT ESTIMATE AT THE MOUTH - IS:
THE ADULT SPAWNING GROUND EST. IS:
the adult loss due to dams is:
7699
THE ADULT LOSS DUE TO DAMS IS: 1428


418890

Attachment 3.

1. Description of Biologic Data Used in Fishery Planning Model
2. Generic and Basin Data File Listings for Fishery Planning Model

A DESCRIFTION OF BIOLQGIC DATA DN UPFER COLUMBIA RIVER NATURALLY FRODUCED SPRING CHINOOK STOCKS DEVELDPED FOR USE IN THE NORTHWEST FOWER FLANNING COUNCIL'S FISHERY MODEL

```
            prepared by
            Fhillip B. Roger
Columbia River Inter-Tribal Fish Commission
```

The fishery model developed by the Northwest Fower Flanning Council requires input data on the population structure of each stock of fish to be modelled. This report describes how these data were developed and some of the problems encountered during data assembly. Only occasionally were model input values reported directly in. the available literature. Most of the time input values had to be calculated or estimated from various technical reports. Data from available reports was insufficient in some cases to develop input data sets, even though it is probable that sufficient data was collected and exists in an unreported form in someone's files.

Table 1 presents the basic information used to develop model input values. Each column is labelled as to whether data was input from a documented source or was calculated from input data. Original input data sources are listed in Table 2 and formulas used for calculations are given in Table 3. The model required each stock be described in terms of its present size (number of fish), initial age structure (number of fish in each ocean age class), and average fecundity per fish for each ocean age class. Recent total stock size and age structure was usually available, although sometimes not for the same years. Average female fecundity was sometimes reported but fecundity by age or size was almost never available and, therefore, had to be calculated.

Herewith are some observations on specific data elements in Table 1.

## STOCK -

Snake River spring chinook exhibit a variety of population structures. Although Sawtooth and Rapid River are hatcheries, they probably adequately represent natural populations which are predominantly five and four years old, respectively. PERCENT FEMALES BY AGE -

The percentage of each age class composed of females was never reported directly but could often be calculated from reported tables of length frequency, for instance. Dther times the average percentage of females overall was reported. In these cases I assumed all 1-ocean fish were male and z-ocean and 3 ocean fish had the same percentage of females.

## AVERAGE FEMALE SIZE -

This parameter probably is the least accurate and has the greatest inconsistency between stocks. The type of length measurement, whether fork, hypural or total, was rarely reported and probably varied between stocks. If fork lengths were taken from spawned out carcasses, we should gmpect a large error because of tail erosion during spawning. The best that can be said is that the numbers reported are from observations on each stock, not extrapolated. from ariother area.

## AVERAGE FEMALE FECUNDITY -

Fecundity was calculated from an overall length-fecundity relationship for Columbia River chinook (Galbreath \& Ridenhour 1964). This may obscure differences between stocks but was the
only way to obtain fecundity by age. The total average female fecundity is a calculated value and was used as a cross check against reported average fecundity when available. These two values were usually within a few hundred eggs which indicates acceptable accuracy of this approach to developing model input values.

## RECENT POPULATION SIZE -

Where possible, the recent s-year average population size was input. This was not representative for the Yakima River where runs have been increasing rapidly in recent years. The value used for the Yakima (4000) is more nearly a recent median value rather than an average. Fopulation size by age was calculated by multiplying the total population size by the percentage age composition.
thle 1. Descriptive biologic data for five naturally produced spring chinook populations
(Power Council aodel input values $9 / 30 / 86$ )

| Stock <br> (ocean age) | Pop. age (Z) (input) | Percent fenale (input) | Avg fea size(in) (input) | Avg fen fecund. (calc.) | $\begin{array}{r} \text { Recent } \\ \text { pop size } \\ \text { (calc/inp) } \end{array}$ | Number fenales (calc.) |  | Axg pop fecund. (calc.) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Sawtooth |  |  |  |  |  |  |  |  |
| 1 | 16.20 | 2.30 | 20.00 | 1967 | 389 | 9 | 17590 | 45 |
| 2 | 20.50 | 16.00 | 29.50 | 4200 | 472 | 79 | 330585 | 672 |
| 3 | 63.30 | 70.60 | 36.00 | 5727 | 1519 | 1073 | 6142524 | 4043 |
| total | -- | -- | 35.44 | 5594 | 2400 | 1160 | 6490698 | 2704 |
| Rapid River |  |  |  |  |  |  |  |  |
| 1 | 10.70 | . 00 | . 00 | 0 | 482 | 0 | 0 | 0 |
| 2 | 70.70 | 56.30 | 28.50 | 3965 | 3182 | 1791 | 7101151 | 2232 |
| 3 | 18.60 | 56.30 | 33.20 | 5069 | 837 | 471 | 2388670 | 2854 |
| total | -- | -- | 29.48 | 4195 | 4500 | 2262 | 9489821 | 2109 |
| Methon |  |  |  |  |  |  |  |  |
| 1 | 2.00 | . 00 | . 00 | 0 | 60 | 0 | 0 | 0 |
| 2 | 66.00 | 62.00 | 29.50 | 4200 | - 1980 | 1228 | 5155306 | 2604 |
| 3 | 32.00 | 62.00 | 34.30 | 5328 | 960 | 595 | 3170928 | 3305 |
| total | - | - | 31.07 | 4568 | 3000 | 1823 | 8326234 | 2775 |
| Grande Rende |  |  |  |  |  |  |  |  |
| 1 | 10.00 | . 00 | . 00 | 0 | 100 | 0 | 0 | 0 |
| 2 | 67.00 | 63.00 | 27.20 | 3659 | 670 | 422 | 1544464 | 2305 |
| 3 | 23.00 | 81.00 | 31.90 | 4764 | 230 | 186 | 887440 | 3858 |
| total | - | - | 28.64 | 3997 | 1000 | 608 | 2431904 | 2432 |
| Yakima |  |  |  |  |  |  |  |  |
| 1 | 11.40 | 20.00 | 21.10 | 2226 | 456 | 91 | 202966 | 445 |
| 2 | 76.20 | 51.20 | 28.80 | 4035 | 3048 | 1561 | 6296924 | $206{ }^{\text {a }}$ |
| 3 | 12.30 | 57.90 | 36.20 | 5774 | 492 | 285 | 1644828 | 3343 |
| total | -- | -- | 29.53 | 4206 | 4000 | 1937 | 8144718 | 2036 |

Table 2. Description of data sources for five naturally produced spring chinook populations.
(Power Council acdel - docurentation of data sources 9/30/86)

| Stock <br> (ocean age) |  | Percent feazle <br> (input) | Avg fee size (in) (1nput) | $\begin{array}{r} \text { Recent } \\ \text { tot pop } \\ \text { size } \\ (\text { calc } / \mathrm{inp}) \end{array}$ |
| :---: | :---: | :---: | :---: | :---: |
| Sautooth |  |  |  |  |
| 1 | 1DF6 1981-85a | IDFG 1981-85a | IDF6 1981-85a | ----- |
| 2 | 1980-84 | 1980-84 | 1980-84 | --- |
| 3 |  |  |  | ----- |
| total | ----- | ----- | ---- | SAS p365 2.4 fish/redd |
| Rapid River |  |  |  |  |
| 1 | SAS p318 Table 2 | IDF6 1981-85b | IDFG 1981-85b | - |
| 2 |  | 1980-84 avg | 1983-85 coabined | ----- |
| 3 |  |  | used only CWT fish | ---- |
| total | $\cdots$ | ----- | ----- | SAS p319 77-81 avg |
| Hethom |  |  |  |  |
| 1 | Mullen, 1985 | Mullen, 1985 | Hullen, 1985 | - |
| 2 | as reported for | assume all 1's are sale | spaes coabined | ----- |
| 3 | Hinthrop hatchery | use pop ratio for 2, 3 | frow Winthrop hatchery | ----- |
| total | -- | ----- | --- | SAS: Mullen, 1985 |
| Grande Ronde |  |  |  |  |
| 1 | SAS p29\% Table 6 | Burck, 1969-72 | Burck, 1969-72 | ----- |
| 2 |  | 1968-71 avg | 1968-71 avg | ----- |
| 3 |  | from spawn survey | from spawn survey | ----- |
| total | ---- | ---- | --- | SAS p291 Table 3 |
| Yakiea |  |  |  |  |
| 1 | SAS p399 Table 5 | SAS p400 Table 7 | Hollowed, 1984 | ---- |
| 2 | Yakisa wid 0.66 |  | 1980-83 avg | ---- |
| 3 | Naches utd 0.34 |  | sexes conbined | ----- |
| total | ----- | ----- | --- | SAS p396 80-84 avg; |
|  |  |  |  | 1985-86 actual returns |

SAS $=$ Howell, et al. 1985.

Dashed lines indicate those values were calculated rather than input.

> Table 3. Formulas used to derive calculated values shown in Table 1.

1. Average fecundity per female, by ocean age $=$ (avg female size by age) (235) - 2733
2. Recent population size, by age $=$ (avg recent tot pop) (\% composition by age)
3. Number of females, by aqe $=$ (recent pop size by age) (\% female by age)
4. Tatal fecundity, by age. $=$
(No. females by age) (avg female fecundity by age)
5. Average fecundity per fish, by aqe $=$
(tot fecundity by age)/(recent pop size by age)
6. Average total female size $=$
$\sum$ (avg size by age) (no. fem by age)/(tot females)
7. Average total female fecundity $=$ (tot fecundity)/(tot no. females)
8. Total number of females $=$
$\Sigma$ (no. females by age)
9. Total population fecundity $=$
$\sum$ (tot fecundity by age)
10. Total population fecundity per fish $=$ (tot pop fecundity)/(recent tot pop size)

Burck, W. A. 1969-72. Lookingglass Creek summary reports. Fishery Research Reports nos. 21, 29, 34, 36. Fish Commission of Dregon, Portland, Oregon.

Galbreath, J. L. and R. L. Ridenhour. 1964. Fecundity of Columbia Fiver Chinook salmon. Research Briefs 10(1):16-27. Fish Commission of Oregon.

Howell, F., K. Jones, D. Scarnecchia, L. LaVoy, W. Kendra, and D. Ortmann. 1985. Stock assessment of Columbia River anadromous salmonids, Volume I: chinook, coho, chum and sockeye salmon stock summaries. U. S. Dept. Energy, Bonneville Fower Administration, Div. Fish and Wildlife, project 83-335.

IDFG. 1981-1985 a. Fish hatchery evaluations - Idaho. Lower Snake River compensation plan office. Idaho Dept. Fish and Game, Boise, Id.

IDFG. 1981-1985 b. Evaluation of spring chinook salmon emigration, harvest and returns to Rapid River Hatchery. Idaho Dept. Fish and Game, Boise, Id.

Mullen, J. W. 1985. Leavenworth Hatchery Complex spring chinook salmon escapement, 1985, and related information. U.S. Fish and WIdlf Serv. memorandum to John Miller dated 9/20/85, 7 pp.


```
Bypass Survival............ 7.0000 Spillway Survival........... 0.9800
Turbine Survival........... 0.8500 Transport Survival.......... 0.8000
Rel. Mating Hatch X Wild... 0.8000 Rel. Mating Hatch X Hatch.. 0.5000
Std Dev. Egg-Fry Surv...... 0.2000 Min Flow for Max Res Surv.. }26
Std Dev. Fry-Smolt Surv.... 0.2000 M1n Reservoir Surv Mult.... 10
Min Hatch Failure Factor... 0.5000
Ocean Age Relative Vulnerability to Ocean Fishing
    |
    2
    0.2000
    0.7000
    3
    1.0000
    4
    1.0000
```


## REMARKS / PURPOSE:

Scenario A GENFILE. Present (1986) passage conditions, spill equal to FISHPASS estimates of requirement for $90 \%$ per project survival. Harvest equal to zero.

For Scenario B simulations, same GENFILE was used except that reservoir survival, FGE, and proportion transported were manipulated to produce a range in survival rate.

File Name: GENFILE2

```
Species No
I
```



```
Estuary Harvest Rate....................... 0.00
Estuary and Early Ocean Survival.......... 0.10
Expl. Rate at Max Ocean Age................ 0.00
```



```
Initial Survival from Ocean to Spawning... 0.50
\begin{tabular}{lrrrr} 
Ages & 1 & 2 & 3 & 4 \\
Fraction Fems: & 0.00 & 0.50 & 0.50 & 0.50 \\
Eggs per Fem: & 0 & 4000 & 4000 & 4000 \\
& & & & \\
Inter-Species Competition & \\
& & Effect & & \\
& Species & 1 & 1.00 & \\
& Species 2 & 0.00 \\
& Species 3 & 0.00 & \\
& Species 4 & 0.00 & \\
& Species 5 & 0.00 & \\
& Species 5 & 0.00 & \\
& Species 7 & 0.00
\end{tabular}
```

Species No ..... 2
Species Name. BRIGHT FALL CHINOOK
Estuary Harvest Rate. ..... 0.00
Estuary and Early Ocean Survival ..... 0.10
Expl. Rate at Max Ocean Age. ..... 0.00
Ocean Survival Rate. ..... 0.50
Intital Survival from Ocean to Spawning... 0.40
Ages

12

23Fraction Fems:

| Eggs per Fem: | 0 | 4000 | 6.50 | 0.50 |
| :--- | ---: | :--- | :--- | :--- |
|  | 6000 | 6000 |  |  |

Inter-Species Competition Effect
Species 1 ..... 0.00
Species 2 ..... 1.00
Species 30.00Species 40.00
Species 50.00
Species $6 \quad 0.00$
Species 70.00

```
    Species No.............................................}
    -------
```



```
    Estuary Harvest Rate........................ 0.00
    Estuary and Early Ocean Survival........... 0.10
    Expl. Rate at Max Ocean Age................. 0.00
    Ocean Survival Rate................................... 0. 50
    Initial Survival from Ocean to Spawning... 0.40
```

| Ages | 1 | 2 | 3 | 4 |
| :--- | ---: | :---: | :---: | :---: |
| Fraction Fems: | 0.00 | 0.50 | 0.50 | 0.50 |
| Eggs per Fem: | 0 | 4000 | 6000 | 6000 |

Inter-Species Competition Effect
Species 10.00
Species 20.00
Species 31.00
Specres 40.00
Species 50.00
Specres 60.00
Species 70.00
Species No4
Species Name A+B SUMMER STEELHEAD
Estuary Harvest Rate. ..... 0.00
Estuary and Early Ocean Survival. ..... 0.20
Expl. Rate at Max Ocean Age. ..... 0.00
Ocean Survival Rate ..... 0.60Initial Survival from Ocean to Spawning... 0.50

| Ages | 1 | 2 | 3 | 4 |
| :--- | :---: | :---: | :---: | :---: |
| Fraction Fems: | 0.50 | 0.50 | 0.50 | 0.00 |
| Eggs per Fem: | 4000 | 5000 | 7000 | 0 |

Inter-Species Competition Effect
Specres 10.00
Species 20.00
Specres 30.00Specres $4 \quad 1.00$Specres 50.00Species 60.00Species 70.00

```
File Name: GENFILE2
```

Project No
Project Name.
Proportion Spilled................................ . . 0.3900
Min. Res. Mort/Mile............................. 0.0020
Reservoir Length in miles 46

|  | FGE | River | Uarv. Rate | Upstream <br> Survival |
| :---: | :---: | :---: | :---: | :---: |
| Species | Prop Bypass |  |  |  |
| 1 | 0.5600 | 0.00 | 0.90 | Transported |
| 2 | 0.5600 | 0.00 | 0.95 | 0.00 |
| 3 | 0.5600 | 0.00 | 0.95 | 0.00 |
| 4 | 0.5600 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |
|  |  |  |  | 0.00 |

Next Project Downstream 0

Project No. 2
----------
Project Name. . . . . . . . . . . . . . . . . . . . . . . . . . . . THE DALLES
Proportion Spilled. ................................0400
Min. Res. Mort/Mile............................... 0.0020
Reservoir Length in miles
24

|  | RGE | River | Upstream | Prop Bypass |
| :---: | :---: | :---: | :---: | :---: |
| Species | Rate | Survival | Transported |  |
| 1 | 0.4400 | 0.00 | 0.90 | 0.00 |
| 2 | 0.4400 | 0.00 | 0.95 | 0.00 |
| 3 | 0.4400 | 0.00 | 0.95 | 0.00 |
| 4 | 0.4400 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 6 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |

Next Project Downstream
1

## File Name: GENFILE2



```
----------
Project Name. . . . . . . . . . . . . . . . . . . . . . . . . .. . JOHN DAY
Proportion Spilled................................0400
Min. Res. Mort/M1le......................... 0.0020
Reservoir Length in miles.................. }7
```

|  | FGE | River | Harv. Rate | Upstream |
| :---: | :---: | :---: | :---: | :---: |
| Species | Survival | Prop Bypass |  |  |
| 1 | 0.7200 | 0.00 | 0.90 | Transported |
| 2 | 0.2000 | 0.00 | 0.95 | 0.00 |
| 3 | 0.2000 | 0.00 | 0.95 | 0.00 |
| 4 | 0.8500 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 6 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |
|  |  |  |  | 0.00 |

Next Project Downstream. ....................... 2

Project No......................................... 4
---------
Project Name. . . . . . . . . . . . . . . . . . . . . . . . . . . . MCNARY
Proportion Spilled............................... 0.1100
Min. Res. Mort/Mile............................. . 0.0020
Reservoir Length in miles..................... 61

|  | RGE | River | Upstream | Prop Bypass |
| :---: | :---: | :---: | :---: | :---: |
| Species | Harvate | Survival | Transported |  |
| 1 | 0.7400 | 0.00 | 0.90 | 0.20 |
| 2 | 0.3800 | 0.00 | 0.95 | 0.00 |
| 3 | 0.3800 | 0.00 | 0.95 | 0.00 |
| 4 | 0.7600 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 6 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |

Next Project Downstream. 3

## File Name: GENFILE2

Project No......................................... 5
---------
Project Name.
ICE HARBOR
Proportion Spilled. . . . . . . . . . . . . . . . . . . . . . . 0.1100
Min. Res. Mort/Mile
0.0020

Reservair Length in miles
32

|  | River | Upstream | Prop Bypass |  |
| :---: | :---: | :---: | :---: | :---: |
| Species | FGE | Harv. Rate | Survival | Transported |
| 1 | 0.5400 | 0.00 | 0.95 | 0.00 |
| 2 | 0.5400 | 0.00 | 0.95 | 0.00 |
| 3 | 0.5400 | 0.00 | 0.95 | 0.00 |
| 4 | 0.5400 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |

Next Project Downstream....................... 4

Project No 6

Project Name.
LOWER MONUMENTAL
Proportion Spilled. . . . . . . . . . . . . . . . . . . . . . . 0.2400
Min. Res. Mort/Mile............................... 0.0020
Reservair Length in miles.................... 29

|  |  | River | Upstream | Prop Bypass |
| :---: | :---: | :---: | :---: | :---: |
| Species | FGE | Harv. Rate | Survival | Transported |
| 1 | 0.0300 | 0.00 | 0.95 | 0.00 |
| 2 | 0.0300 | 0.00 | 0.95 | 0.00 |
| 3 | 0.0300 | 0.00 | 0.95 | 0.00 |
| 4 | 0.0300 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 6 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |

[^13]

|  | FGE | River <br> Harv. Rate | Upstream <br> Survival | Prop Bypass <br> Species |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 0.5000 | 0.00 | 0.95 | Tranported |
| 2 | 0.5000 | 0.00 | 0.95 | 0.20 |
| 3 | 0.5000 | 0.00 | 0.95 | 0.00 |
| 4 | 0.7400 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 |  |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |
|  |  |  |  | 0.00 |

Next Project Downstream........................ 5

Project No 8
----------
Project Name........................................ LOWER GRANITE
Proportion Spilled............................... . . . 0.0300
Min. Res. Mort/Mile............................... . 0.0020
Reservoir Length in miles...................... 53

| Species | FGE | River <br> Harv. Rate | Upstream <br> Survival | Prop Bypass <br> Transported |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 0.5000 | 0.00 | 0.95 | 1.00 |
| 2 | 0.5000 | 0.00 | 0.95 | 0.00 |
| 3 | 0.5000 | 0.00 | 0.95 | 0.00 |
| 4 | 0.7400 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |

Next Project Downstream....................... 7

File Name: GENFILE2

```
Project No.....................................................
----------
```




```
Min. Res. Mort/Mile......................... 0.0020
Reservoir Length in miles...................... }1
```

|  | River | River | Upstream | Prop Bypass |
| :---: | :---: | :---: | :---: | :---: |
| Species | Harvate | Survival | Transported |  |
| 1 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 2 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 3 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 4 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 6 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |

Next Project Downstream ..... 4
Project No. ..... 10
Project NameWanapum
Proportion Spilled ..... 0.2000
Min. Res. Mort/Mile. ..... 0.0020
Reservoir Length in miles. ..... 38

|  | FGE | River | Harv. Rate | Upstream |
| :---: | :---: | :---: | :---: | :---: |
| Species | Survival | Prop Bypass |  |  |
| 1 | 0.0000 | 0.00 | 0.95 | Transported |
| 2 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 3 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 4 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |
|  |  |  |  | 0.00 |

Next Project Downstream ..... 9

## File Name: GENFILE2

| Project <br> Proport <br> Min. Res <br> Reservo | ame.... <br> Spill <br> Mort/M <br> Length | mı |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | River | Upstream | Prop Bypass |
| Species | FGE | Harv. Rate | Survival | Transported |
| 1 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 2 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 3 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 4 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 6 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |

Next Project Downstream ..... 10
Project No ..... 12
Project Name. ROCKY REACH
Proportion Spilled. 0.1000
Min. Res. Mort/Mile. ..... 0.0020
Reservoir Length in miles ..... 42

|  |  | River | Upstream | Prop Bypass |
| :---: | :---: | :---: | :---: | :---: |
| Species | FGE | Harv. Rate | Survival | Transported |
| 1 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 2 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 3 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 4 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 6 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |

Next Project Downstream ..... 11

```
File Name: GENFILE2
```

Project No ..... 13
Project Name. ..... WELLS
Proportion Spilled. ..... 0.3000
Min. Res. Mort/Mile ..... 0.0020
Reservoir Length in miles.. ..... 29

|  |  | River | Upstream | Prop Bypass |
| :---: | :---: | :---: | :---: | :---: |
| Species | FGE | Harv. Rate | Survival | Transported |
| 1 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 2 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 3 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 4 | 0.0000 | 0.00 | 0.95 | 0.00 |
| 5 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 6 | 0.0000 | 0.00 | 0.00 | 0.00 |
| 7 | 0.0000 | 0.00 | 0.00 | 0.00 |

Next Project Downstream, ..... 12

# NORTHWEST FOWER FLANNING COUNCIL <br> Pace <br> Time: 08:53:52 

```
Generic File Name: GENFILEI
Basin File Name: YAKIMAl
```

First Proiect Downstream....... MCNARY
Number of Stock................... 3
Eaa-Frv Deviation................ 0.2000
Fry-Smolt Deviation.............. 0.2000
Hatcherv Failure Factor......... 0.5000
Rel. Matina Hatch $\times$ Wild....... 0.8000
Rel. Matina Hatch $\times$ Hatch...... 0.5000

REMARKS / PURPOSE:
Yakima River Spring Chinook

```
Generic File Name: GENFILEI
Basin File Name: YAKIMAI
```

```
Species No.....................................................
----------
Species Name.......................................... SPRING CHINOOK
Natural Production Factors
    Eqa-Frv Survival................... 1.0000
    Frv-Smolt Survival................. 0. 0. 2200
    Eqo-Smolt Survival.................. 0.2200
    Eqa Capacitv.................... 56000000
    Smolt Capacity................ 16.70000
Hatcherv Production Factors
    Eqg-Fry Survival................... 1.0000
    Fry-Smolt Survival.....................0.7200
    Eqa-Smolt Survival................ 0.7200
    Eqa Capacitv................... }13500
    Smolt Capacitv............... }9700
    Hatcherv Eag Take Policv......... 1 I=selective. D=random
    Max Wild Spawners Taken.......... 0.2000
    (calculated)
```

```
Generic File Name: GENFILEI
Basin File Name: YAKIMAI
```

Stock No....... 1
Soecies......... SPRING CHINOOK
Natural/Hatch.. $1 \quad$ ( $1=$ Natural , 2=Frv Plant.3-Smolt Plant)
Terminal Harvest Rate................. 0.00
Initial Adult Escapement

| Ocean Age | Number | Fraction | Eqas Per |
| :---: | ---: | :--- | :---: |
| 1 | 457 | Females | Female |
| 2 | 3050 | 0.20 | 2226 |
| 3 | 285 | 0.51 | 4035 |
| 4 | 0 | 0.58 | 5774 |
|  |  | 0.00 | 0 |


| Stock No....... 3 |  |  |  |
| :---: | :---: | :---: | :---: |
| Natural/Ha | 3 ( 1 = | Frv Plant. | Plant) |
| Terminal Harvest Rate.............. 0.00 |  |  |  |
| Initial Adult Escapement |  |  |  |
|  |  | Fraction | Egas Fer |
| Ocean Aae | Number | Females | Female |
| 1 | 114 | 0.20 | 2226 |
| 2 | 762 | 0.51 | 4035 |
| 3 | 123 | 0.58 | 5774 |
| 4 | - | 0.00 | 0 |

# NORTHWEST POWER PLANNING COUNCIL 

```
Generic File Name: GENFILEI
Basin File Name: METHOWI
```

```
First Proiect Downstream....... WELLS
Number of Stock.....................}
Eqa-Fry Deviation............... . 0.2000
Fry-Smolt Deviation.............. 0.2000
Hatchery Failure Factor........ 0.5000
Rel. Matina Hatch X Wild....... 0.8000
Rel. Mating Hatch X Hatch...... 0.5000
```

REMARKS / PURPOSE:
Methow River Spring Chinook

```
Generic File Name: GENFILE|
Basin File Name: METHOWI
Species No.............................................
-----------
Species Name...................................... SPRING CHINOOK
Natural Production Factors
    Eqa-Fry Survival.................... 1.0000
    Frÿ-Smolt Survival................. 0.2200
    Eaq-Smolt Survival................. 0.2200
    Eáa Capacitv................ 14600000
    Smolt Capacity................ 440000
Hatchery Production Factors
    Ega-Fry Survival.................... 1.0000
    Frÿ-Smolt Survival.................. 0.7200
    Eqa-Smolt Survival. . . . . . . . . . . . . 0.7200
    Eag}\mathrm{ Capacitv.................. 1667000
    Smolt Cadacity............... 1333000
    Hatchery Eqa Take Policy.......... I
    Max Wild Saawners Taken.......... 0.2000
    (calculated)
    l=selective, 0=random
(caiculated)
```

| Generic F <br> Basin Fil | GENFIL METHOW |  |  |
| :---: | :---: | :---: | :---: |
| Stock No....... 1 |  |  |  |
| Specres........ SPRING CHINOOK |  |  |  |
| Natural/Ha | ( $1=$ Natural, $2=$ Fry Plant,$\overline{3}=$ Smolt Plant) |  |  |
| Terminal Harvest Rate.............. 0.00 |  |  |  |
| Instial Adult Escapement. |  |  |  |
|  |  | Fraction | Eqas Fer |
| Ucean Ace | Number | Females | Female |
| 1 | 20 | 0.00 | 0 |
| 2 | 650 | 0.62 | 4200 |
| 3 | 320 | 0.62 | 5328 |
| 4 | 0 | 0.00 | 0 |

Stock No....... 3
Species......... SPRING CHINOOK
Natural/Hatch.. $3 \quad(1=$ Natural,2=Fry Flant. $3=$ Smolt Plant $)$
Terminal Harvest Rate................. 0.00
Initial Adult Escapement

| Ocean Age | Number | Fraction | Eqas Per |
| :---: | :---: | :---: | :---: |
| 1 | 30 | Females | Female |
| 2 | 990 | 0.62 | 2178 |
| 3 | 480 | 0.62 | 4200 |
| 4 | 0 | 0.62 | 5328 |
|  |  | 0.00 | 0 |

```
Genericc File Name: GENFILE1
Basin File Name: GRNDRNDI
```

First Prolect Downstream....... LOWER GRANITE
Number of Stock.................... 3
Eaa-Frv Deviation................. . . 0.2000
Fry-Smolt Deviation.............. . 0.2000
Hatcherv Failure Factor........ 0.5000
Rel. Matina Hatch $X$ Wild........ 0.8000
Rel. Matina Hatch $X$ Hatch...... 0.5000

## REMARKS / PURPOSE:

Grande Ronde River Spring Chinook

```
Generic File Name: GENFILEI
Basin File Name: GRNDRNDI
```

```
Species No..................................................
----------
Species Name....................................... SFRING CHINOOK
Natural Production Factors
    Eqq-Frv Survival.................... 1.0000
    Frv-Smolt Survival................. 0. 2200
    Eqq-Smolt Survival................. 0.2200
    Eqq Capacitv.................... 15000000
    Smolt Capacitv............... 450000
Hatcherv Production Factors
    Egq-Fry Survival................... 1.0000
    Fry-Smolt Survival................. 0.7200
    Eqq-Smolt Survival...............0.7200 (calculated)
    Eqa Capacitv................. 1250000
    Smolt Capacitv............... 1000000
    Hatcherv Eqq Take Policv......... 1 I=selective, 0=random
    Max Wild Spawners Taken........... 0.2000
        (calculated)
```

Generic File Name: GENFILEI
Basin File Name: GRNDRND

Stock No....... 1
Species......... SPRING CHINOOK
Natural/Hatch.. $1 \quad(1=$ Natural .2=Fry Plant. $3=$ Smolt Plant $)$
Terminal Harvest Rate.................. 0.00
Initial Adult Escapement

| Ocean Age | Number | Fraction | Eags Per |
| :---: | :---: | :---: | :---: |
| 1 | 100 | Females | Female |
| 2 | 670 | 0.00 | 0 |
| 3 | 230 | 0.63 | 3559 |
| 4 | 0 | 0.81 | 4764 |
|  |  | 0.00 | 0 |

Stock No....... 3
Specres......... SPRING CHINOOK
Natural/Hatch.. $3 \quad(1=$ Natural , 2=Fry Plant. $3=$ Smolt Plant $)$
Terminal Harvest Rate.................. 0.00
Initial Adult Escapement

| Ocean Aae | Number | Fraction | Eqas Per |
| :---: | :---: | :---: | :---: |
| 1 | 100 | Females | Female |
| 2 | 670 | 0.00 | 0 |
| 3 | 230 | 0.63 | 3659 |
| 4 | 0 | 0.81 | 4764 |
|  |  | 0.00 | 0 |


[^0]:    1/ Habitat and tributary passage improvement measures are included in program measures 704(d)(1), 904(b) and 904(d)

    2/ Estımates here are based on Bouck 1986 and GAIA 1985. The Bouck estimates are preliminary and under review. They assume increases in reáring volumes and densities, and appear to rely considerably on improving production at lower river hatcheries. The GAIA estimates also are undergoing review.

[^1]:    5/ Council Staff Compilation of Information on Salmon and Steelhead Losses in the Columbia River Basin at page 16 (data). Draft Amendment Document, in Technical Appendix 2.

[^2]:    ${ }^{1}$ Data compiled from Northwest Power Planning Council's Anadromous Fish Data Base.
    ${ }^{2}$ No density estimates were made for sockeye, but the Compilation of Informatiori on Salmon and Steelhead Losses shows an estimated run size of 58,200 adults.

[^3]:    7/ A description of the model and a discussion of the major assumptions was provided in a technical discussion paper: Columbia River Basin Fishery Planning Model, N.W. Power Planning Council. June 1986.

[^4]:    9/ 1 adult $\times 2.200$ eggs $\times 0.22$ survival rate $=484$ smolts. 24 adults $/ 484$ smolts $=0.0496$.

[^5]:    12/ An example of this would be the use of the model for system evaluation and monitoring and research planning (section 205 of the Draft Amendment Document).

[^6]:    ${ }^{4}$ Short-term survival of transported juveniles to below Bonneville Dam in truck or barge.
    ${ }^{5}$ To be determined in consultation with MPAC.
    ${ }^{6}$ To achieve at least 90 percent per project survival rate.
    ${ }^{7}$ Fish spill efficiency: $y=$ percent fish spilled; $x=$ percent river spilled (instantaneous).

[^7]:    ${ }^{1}$ Coefficients and parameters identified by findings of the Mid-Columbia Coordinatıng Committee submitted to FERC, unless otherwise noted
    ${ }^{2}$
    oint estimates of turbine mortality for Rock Island II based on a FERC administrative law judge's "Inıtial Decisıon Establishing Interim Procedures for Rock Island Project," (January 31, 1986).
    ${ }^{3}$ To be developed by Council staff at a future date.

[^8]:    ${ }^{4}$ Based on the annual interim juvenile fish passage plans specified in the 1984-87 FERC Mid-Columbia Settlement Agreement or, in the case of Rock Island Dam. as agreed to by Chelan County Public Utility District, the FERC and the fishery agencies and tribes. These spill levels are expected to achieve at least a 90 percent project survival rate.

[^9]:    ${ }^{4}$ Based on collection and transportation of the same proportion of juveniles as in existing condition, given improved fish guidance efficiencies: or needs to be determined.

[^10]:    ${ }^{6}$ Fish spill efficiency: $y=$ percent fish spilled; $x=$ percent river spilled (instantaneous)

[^11]:    1/ The Clackamas basin was excluded from the Willamette because of differences in coho density estımates.

[^12]:    2/ These basins were combined since the density estimates are the same.

[^13]:    Next Project Downstream

