

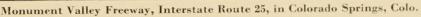
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VASHINGTON





IN THIS ISSUE:

A discussion of properties of highway asphalts. A new O-D survey technique—continuous sampling.



IN THIS ISSUE

Properties	of	Highway	Asph	alts—P	art	II,	
Various	Pene	etration Gr	rades, t	by J. Y.	Welb	orn,	
W. J. He	ılstead	, and J. G.	Boone				73
Trans-Hu	lson i	River Vehi	cular ()rigin a	nd D	es-	
tination	Surv	ey, by W. 1	Lovejoy				86
New Publ	icatio	ons					100

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Properties of Highway Asphalts—Part II, Various Penetration Grades

BY THE DIVISION OF PHYSICAL RESEARCH BUREAU OF PUBLIC ROADS

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This article is the second in a general study of asphalt cements produced in the United States for highway construction. A total of 323 samples from 105 refineries were received and tested. Results of the tests on 146 samples of the 85–100 penetration grade were reported in the first article, part I.² This article, part II, includes the results of the tests on the other penetration grades—namely, the 60–70 grade asphalts, the 70–85 grade, 120–150 grade, and 150–200 grade asphalts. Comparisons of the characteristics of several grades including the 85–100 grade reported in part I are also included.

These data establish the range for test values that may be expected for asphalts on a nationwide basis. The significance of the wide differences existing in materials meeting the same specifications, and the need for further research to establish more adequate test methods and specifications, are discussed in the article.

IN 1954, a comprehensive study of asphalts was undertaken by the Division of Physical Research to provide current data on a national cale that would show the properties of asphalt produced from the various crude sources and by the different methods of refining in current use in the United States. The samples were obtained by the regional offices of the Bureau of Public Roads with the full cooperation of the States and refineries in each area of the Vation

Nearly all producers supplying asphalt for he study included samples in the 85–100 penetration grade. Since this group was considered the most representative of all sphalt cements produced and used, it was elected for the initial study. The results of hose tests were reported in Public Roads as part I of the extensive study.² This rticle, part II, presents the results of the tests nade on the other penetration grades.

A total of 323 samples were received during .954 and 1955 from 105 refineries or storage erminals. Of these, 146 were in the 85–100 renetration grade which was reported in art I. This report includes the results of ests on the following asphalt cements: 60–70 rade, 58 samples; 70–85 grade, 30; 120–50 grade, 60; and 150–200 grade, 16 samples. It may be noted that the numbers of amples tested in each grade differ slightly rom the numbers given in the first report.

This is due to the elimination of a few samples because of the lack of proper identification of their origin. Also, since only 7 samples of the 100–120 grade were received, they have been omitted from the discussion.

Data in this report, as in the earlier report, include only those test characteristics in general use as specification requirements or those that are being used by some agencies in an effort to obtain better materials. All testing was performed according to ASTM or AASHO standard methods of test.

Tables 1 through 4 show the results of the tests for the 60–70, 70–85, 120–150, and 150–200 penetration grades, respectively. In each table refineries are identified by code number, the same number applying to the same refinery for all grades. To avoid possible confusion in sample identification all samples are numbered consecutively beginning at 120. Numbers 1–119 were those used for the 85–100 grade reported in part I.

Tables 1 through 4 also include information on the crude source and method of refining used to produce the asphalt cements. Although in many cases more precise information as to the geographical location of the crude sources and details of methods of refining were provided, only general terms are given in this report.

The 85–100 grade materials reported previously represent the majority of the samples submitted and is the grade most widely produced and used. In the earlier report of this penetration grade, frequency distribution graphs in the form of frequency polygons were used to show the range in properties. This

report, part II, presents the data in a similar manner and directly compares the test results for the 60-70, 85-100, and 120-150 penetration grades. Since the number of asphalts received varied for the different grades, the percent of samples was used for the unit of the vertical axis rather than the actual number of samples. The percentages of values in each class interval were then plotted at the midpoint of the respective class interval and connected to form the polygon. Values exactly equal to the limit dividing two class intervals are included with the upper interval. Where they are believed to be significant, median values for the test results are shown on the graphs for purposes of comparison.

The data used for the graphs are the test values given in table 1 (for 47 of the 60-70 asphalts), table 3 (for 56 of the 120-150 asphalts), and table 1 of part I (for 119 samples of 85-100 penetration grade asphalts). Sample identification numbers appearing in tables 1 and 3 having a suffix a, b, or x represent duplications of materials from the same refinery and the tests values for these materials were not included in the analysis. It is recognized that the difference in representation of the number and source of samples in each grade may have some influence on the distribution polygons. However, since the samples were submitted as being representative of the asphalts used in the various States, the graphs should be generally indicative of properties of the available asphalts of these grades. Because the number of samples tested in the 70-85 grade and the 150-200 grade are limited and represent only a relatively small portion of the asphalts used in only a few areas of the Nation, they are not included in this analysis. However, the test data given in tables 2 and 4 should be of interest in those areas where such asphalts are used.

In order to obtain a direct comparison of the properties of the asphalt cements of different penetration grades from the same refinery source, some of the test data shown in tables 1–4 of this report and in table 1 of part I are repeated in table 5. The samples selected for this comparison are asphalt cements of three or more grades refined from the same crude

¹This article was presented at the Association of Asphalt 'aving Technologists, Memphis, Tenn., January 1960.

² Properties of highway asphalts—part I, 85-100 penetration rade, J. York Welborn and Woodrow J. Halstead, Public toads, Vol. 30, No. 9, Aug. 1959, pp. 197-207.

Table 1.—Test characteristics of 60-70 penetration grade asphalts

lm at		Percent of original penetra- tion	25 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	66 65 72 73 66 66 73 73 73	19 49 49	1888888837858888888888888888888888888888	18334348468	68 66 72 72
/s-inch fil	Tests on residue	Penetration at 77° F.	48.488.48 27.7.7.7.7.8	2283483483	8 22 88	884848484884884488488488488488488488488	4894444444 48944444444	39 41 40 38
Thin-film oven test, ½-inch film at 325° F., 5 cours	Tests o	Ductil- ity, 5 cm. per min., 77° F.	Cm. 168 100 250+ 47 47 162 223 223 218	183 140 113 250+ 250+ 115 81 39	137 143 250+	28.53 28.53	203 176 181 181 64 245 157 10 10 10 165	65 131 74 181
n-film o		Soften- ing point	°F. 133 140 129 142 135 135 135	133 138 138 138 138 138	132 136 132	221 221 221 232 233 233 233 233 233 233	132 132 133 134 134 134 134 135	142 134 130 134
Thi		Loss	Pct. 0.10 + .07 + .07 .00 .18	++ 86.000000000000000000000000000000000000	44.	######################################	+++++++	+.02 03 .01
t test at	Penetration of residue	Percent of orig- inal	25 25 25 25 25 25 25 25 25 25 25 25 25 2	8888888888	88 86	\$	888888888888888888888888888888888888888	84 90 85 91
Standard oven test 325° F., 5 hours	Penetra	Value	652888538 85388538	72284888888888	56 48 51	\$46866428688622248834848888	22322432223	52 56 48 48
Standa 325°		Loss 4	Pcc. 0.03 .066 .04 .02 .03 .00	100010000000000000000000000000000000000	00.00.00.00	£882288828888888999998888	400000000000000000000000000000000000000	+: 00
	Soluble in CC14		Pet. 99. 73. 99. 84. 99. 87. 99. 87. 99. 87. 99. 87.	99 99 99 99 99 99 99 99 99 99 99 99 99	99.83 99.82 99.77	\$2888888888888888888888888888888888888	999 999 999 999 999 999 999 999 999 99	99. 72 99. 82 99. 81 99. 67
Flash point		land open cup	540 545 545 640 580 580 560	540 505 575 575 585 585 630 631 615	515 580 580	25.5 26.6	585 630 630 630 630 630 630 630 630	520 600 565 630
Flash		Martens closed cup	6 F. 500 480 500 500 490 530 480 480	44440044004000 6778000117044000 6780001170400	450 440 495	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	480 5330 5350 556 556 580 400 495 515	475 535 475 540
	Specific gravity at		1. 032 1. 039 1. 011 1. 018 1. 021 1. 021 1. 021	1.035 1.033 1.038 1.024 1.024 1.020 995 996 1.010	1. 038 1. 033 1. 024	1. 035 1. 035 1. 034 1. 034 1. 024 1. 024 1. 025 1. 016 1. 016 1. 016 1. 030 1.	1.033 1.033 1.033 1.030 1.030 1.003 1.017 1.034 1.006	1. 032 1. 034 1. 016 1. 027
	Furol viscosity at	275° F.	Sec. 283 431 247 247 255 279	358 344 344 334 222 222 222 262 339	365 293 272	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	288 253 343 304 373 356 379 165	366 245 256 337
	Soften- ing point		° F. 124. 127. 128. 128. 128. 128. 128. 128. 128.	122 122 122 122 123 123 123 123 123 123	126 127 124	<u> </u>	122 122 123 124 128 128 128 128 128	129 121 127 127
Ductility	1 cm.	39 39	Cm. 111.35.0 50.0 88.00 88.00	98 4 0 4 0 6 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9	13.2 7.3 9.5	ಷ್ಟರ್ಗವ ಜನ್ನಾರ್ ಕ್ಷನ್ ಹನ್ನಾನ ಈ ಗ್ರೀ ಹೆಡ್ಡ ಚಿಕ್ಕ ಹತ್ತಾರ್ಗನ ಕಾರ್ಯ ಹಣ್ಣ ಹಣ್ಣ ಹಣ್ಣ ಹತ್ತಾರ್ ಕಾರ್ ಹಿಡಿದ ಹಣ್ಣ ಹಣ್ಣ ಹಣ್ಣ ಹಣ್ಣ ಹಣ್ಣ ಹಣ್ಣ ಹಣ್ಣ ಹಣ್	ಯಪ್ಪಡೆಗಳ್ಳಡ್ಡಡ್ಡ ಇರಾಯದಲ್ಲಿ ಚಿತ್ರಗಳು ಅ	7,7,8,5
Duct	10	per minute 77° F.	250+ 250+ 250+ 250+ 250+ 250+ 250+	250 250 215 215 250 4174 1147 1147	250+ 250 250+	+ + + + + + + + + + + + + + + + + + +	203 212 250+ 170 + 250+ 250+ 142 237 210	172 209 242 204
ų.	Pene- tration	ratio, 39.2°/ 77° F.	844888844 644888844	%4%%22%%444 8444	98 45 88	888888888888488884844888848	39 255 39 39 39 35 55 35	54 4 4 54
Penetration	200 g.,	60 sec. at 39.2° F.	2222222	2882828888	22.22	288288888888888888888888888888888888888	82228288	24 28 28 28 28
	100 g.,	5 Sec. at 77° F.	66 64 64 64 64 64 64 64 64 64 64 64 64 6	460400 66	62 55 59	20000000000000000000000000000000000000	66 66 66 66 66 66 66 66 66 67	62 62 53
	Method of refining 3		8, 8, 8, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,	ω	8, V V, V		0	V, S.
	Source of crade		Venezuela Maxico Colombia Venezuela Venezuela Gulf Coast	Venezuela do. Venezuela, Mississippi	Venezuela, Mexico Texas	do. Toxas. Midcontinent Wyoming. Arkansas. Texas, Wyoming. Go. Texas, Wyoming. Womeracia. Wyoming. Midcontinent. Wyoming. Wyoming. Wyoming. Wyoming. Wyoming. Wyoming. Wyoming. Wyoming. Wyoming.	Texas. do Midcontinent. Texas. Wyoning. Oklahoma Arkansas. Mississippi Gull Coast, Midcontinent	ippi oastaas
,	Sample iden- tification ²		(120 (2) 121 (22) 122 (30) 123 (32) 124 (77) 125 (86)	127 (1) 128 (3) 129 (3) 130 (14) 131 (17) 133 (31) 134 (82) 134 (82) 135 (109)	(136 (10) 137 (11) 138 (33)	(138 (139 (139 (139 (139 (139 (139 (139 (139		163 (65) 164 (87) 165 (104) 166 (111)
	B.P.R. s		Region 1	Region 2	Region 3	Region 4	Region 6	

¹ For States included in each of the Bureau of Public Roads regions, see inside front cover. No samples of 60–70 grade asphalts received from regions 5, 7, 8, and 9.

Summers in parentheses indicate refinery code number.

Substant distillation, Sastem distillation, O = blowing (oxidation), B = blending (different grades of asphalt), P = propane fractionation, and F = fluxing (heavy oils).

Plus values represent gains rather than losses.

Table 2.—Test characteristics of 70-85 penetration grade asphalts

llm at		Percent of original penetra- tion	69	\$25 8 88 88 88 88 88 88 88 88 88 88 88 88
1/8-inch fi	Tests on residue	Penetration at 77° F.	94 84	455455445554554554554554554554554554554
Thin-film oven test, ½-inch film at 325° F., 5 hours	Tests on	Ductil- ity, 5 cm. per mir., 77° F.	Cm. 115 178	250 + 250 +
in-film o		Soften- ing point	°F. 136 132	128 98 98 128 128 128 128 128 128 128 128 128 12
Th		Loss	Pet. +0.05	++ ++ ++ ++ ++ ++ ++ ++ ++ ++ ++ ++ ++
Standard oven test at 325° F., 5 hours	Penetration of residue	Percent of original	88	\$\$\$\$ 88 252 88 8888888888888888888888888
undard oven test 325° F., 5 hours	Penetr	Value	588	F1788136231688383838
Stand 325		Loss	Pet. 0.01 .03	928201289820104824820100 86 88 4 41088
	Soluble	in CCl	Pct. 99.82 99.83	\$25.55.55.55.55.55.55.55.55.55.55.55.55.5
Flash point	Cleve-	land open cup	° F. 630 575	570 689 689 689 680 680 680 680 680 680 680 680 680 680
Flash	Pensky-	Martens closed cup	°F. 540 500	473 473 473 473 473 473 473 473 473 473
	Specific	at 77° F.	0.995	1,038 1,038 1,038 1,039 1,009 1,009 1,009 1,000 1,010 1,010 1,010 1,000
	Furol	at 275° F.	Sec. 240 222	266 175 175 176 180 180 180 180 180 180 180 180 180 180
	Soften- ing	point	°F. 125 123	25222222222222222222222222222222222222
Ductility	1 cm.	per minute 39.2° F.	Cm. 6.8 10.8	ಹಣ್ಣಾಗಳಗಳುಗಳುವುದುಗಳುವುದು ಗುಪ್ಪು ಹುಗುವ ಗುಗಳುಗಳುವುದು ನಿರ್ವಹಣ್ಣು ಪ್ರವಹಣ್ಣು ಹಣ್ಣಾಗಿ ಪ್ರವಹಣ್ಣು ಪ್ರವಹಣ್ಣ ಪ್ರವಹಣ್ಣು ಪ್ರವಹಣ್ಣ ಪ್ರವಹಣ್ಣ ಪ್ರವಹಣ್ಣ ಪ್ರವಹಣ್ಣ ಪ್ರವಹಣ್ಣು ಪ್ರವಹಣ್ಣ ಪ್ರವಹಣ್ಣು ಪ್ರವಹಣೆ ಪ್ರವಣಿ ಪ್ರವಹಣೆ ಪ್ರವಣೆ ಪ್ರವಣೆ ಪ್ರವಣೆ ಪ್ರವಹಣೆ ಪ್ರವಣೆ ಪ್
Duc	5 ст.	per minute 77° F.	Cm. 174 250+	243 243 1174 1174 1179 1179 1100 1100 1100 1100 1100 1100
uc	Pene-	tration ratio, 39.2°/ 77° F.	40 44	\$\$\$\$14888888888888888888888888888888888
Penetration	200 g	ಯ	31	\$35555532555555555555555555555555555555
	100 g	5 sec. at 77° F.	67	6. 28. 5. 25. 25. 25. 25. 25. 25. 25. 25. 25.
	Method of refining 3		VV	V V V V V V V V V V V V V V V V V V V
	Source of crude		Gulf Coast	Texas Mideontinent Wyoming Louisiana, Wyoming Mideontinent Wyoming Canada Mideontinent Wyoming, Texas Mideontinent Wyoming, Oklahoma Kansas Mideontinent Texas Myoming Mideontinent Myoming Mideontinent Texas Myoming Mideontinent Texas Myoming Texas Arkansas
1-9	Sample iden- tification ²		(88)	1 (1) (2) (2) (3) (3) (4) (4) (4) (4) (4) (4) (4) (4) (4) (4
	Sampl		167	169 172 173 174 175 176 176 188 188
	B.P.R.		Region 2	Region 6

1 For States included in each of the Bureau of Public Roads regions, see inside front cover. No samples of 70–85 grade asphalts received from regions 1, 3, 6, 7, 8, and 9.

2 Number in parentheses include refinery code number.

3 Number in parentheses included a seem distillation, 0=blowing (oxidation), B=blending (different grades of asphalt), P=propane fractionation, and F=fluxing (heavy oils).

4 Plus values represent gains rather than losses.

75

Table 3.—Test characteristics of 120-150 penetration grade asphalts

film at		Percent of original penetration	50 47 67 56	124423018	221122244200238820224	200000000000000000000000000000000000000	\$27.5000000000000000000000000000000000000
1/8-inch fil	Tests on residue	Penetration at 77° F.	68 61 72	246 246 257 267 267 267 267 267 267 267 267 267 26	4522482485588888	177 178 178 178 179	2827288882882888288822883
Thin-film oven test, ½-inch 325° F., 5 hours	Tests of	Ductil- ity, 5 cm per min., 77° F.	Cm. 235 186 120 229	190 192 213 227 114 210 250+	240 240 217 217 217 218 218 218 218 218 218 218 218 218 218	229 234 204 173 150	182 125 125 126 127 127 127 128 128 128 128 128 128 128 128 128 128
n-film o		Soften- ing point	°F. 129 114 119	123 123 117 117 119 119	122 122 123 125 126 127 127 127 127 127 127 127 127 127 127	119 117 120 121 117 121	122 122 123 124 125 125 125 125 125 125 125 125 125 125
Thi		Loss 4	Pet. 0.93 1.12 +.0673	1.18 1.10 1.02 0.02 0.04	86. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4.	27.54	++++++++++++++++++++++++++++++++++
oven test at	ation of due	Percent of original	84 84 86 86	20 20 20 20 20 20 20 20 20 20 20 20 20 2	42427272222222222	8288888	1388258388888888888888888888888888888888
rd oven	Penetration residue	Value	110	120 105 113 113 107	122 123 124 125 125 125 125 125 125 125 125 125 125	108 107 107 108 110	1112 122 123 123 123 123 123 123 123 123
Standard 325° F.		Loss 4	Pct. 0.22	03272210	0.994942899999999999999999999999999999999		94.9388824444 94.9388824444 94.9388824444 94.938888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.93888 94.9388
	Soluble		Pct. 99. 67 99. 72 99. 74 99. 68	99, 74 99, 59 99, 82 99, 71 99, 62 99, 75	99.99.73 99.99.73 99.99.73 99.99.73 99.99.73 99.99.73 99.73 99.73 99.73	99.68 99.90 99.77 99.68 99.68	88.88.88.88.88.88.88.88.88.88.88.88.88.
Flash point		land open cup	° F. 495 490 635 530	490 475 500 550 640 555 575	485 500 655 620 630 640 630 630 630 630 630 630 630 630	565 555 665 615 535	5880 5570 5570 5570 5650 5650 5600 5600 560
Flash	Pansky.	Martens closed cup	°F. 430 430 500 405	455 420 420 495 410 480 495	4475 570 570 570 570 570 570 570 574 574 574 574 574 574 574 574 574 574	390 380 500 425 470	5
		at 77° F.	1, 034 1, 029 999 1, 018	1, 030 1, 026 1, 020 1, 020 1, 014 1, 014	1,028 1,029 1,099 1,003 1,016 1,016 1,010 1,010 1,010 1,010 1,010 1,010 1,010 1,010 1,010	1. 021 1. 025 1. 025 1. 028 1. 022	1, 023 1, 023 1, 023 1, 023 1, 023 1, 023 1, 013 1,
	Furol	at 275° F.	Sec. 250 246 156 152	175 158 231 125 163 160	122 123 123 123 124 125 127 127 127 127 127 127 127 127 127 127	113 97 128 105 145	28.88.88.88.88.88.88.88.88.88.88.88.88.8
	Soften-	point	°F. 113 110 108 110	111 108 109 109	110 100 110 110 110 110 110 110 110 110	1110000	000000000000000000000000000000000000000
Ductility	1 em	613	Cm. 250+ 96 124 182	250+ 173 250+ 173 250+ 15.5 232	173 154 10 10 10 10 10 10 10 10 10 10 10 10 10	117 132 182 250+ 22 250+	135 68.18 68.18 14.25 14.25 15.20 16.20 16.20 17.20 18.20 19.2
Duct	FC 10	per minute at 77° F.	Cm. 184 229 132 141	162 182 185 172 145 190 218	200 141 141 141 110 1124 1128 1128 1128 1138 118	141 155 155 144 141 125	110 110 110 110 110 110 110 110 110 110
u		39.2°/	34 34 33 33	330 430 430 430 430 430	888888888888888888888888888888888888888	£ 25 24 24 28 24 24 24 28	\$558843584845488888888888888888888888888
Penetration	200 g.,	39.2°F.	61 47 45 45	55 44 51 53 53	\$44\$\$4\$	24 52 45 83 50 44 53 45 84 83 50 44 53 45	4422834884545844428844548 4 448
H	100 g.,	at 77° F.	135 131 132 132 128	142 127 135 128 126 135 121	25 25 25 25 25 25 25 25 25 25 25 25 25 2	135 152 125 120 130	2288748874487828287828832222
	Method of	i de l'internation de l	× × ×	, w , w		XXX V XX X X X, X B	
	Source of crude		Mexico do Colombia. Venezuela, Texas	Venezuela do. Venezuela	Venezuela do do Mideontinent Illinois Wyoming, Texas Wyoming, Texas Mideontinent Wyoming, Texas Mideontinent Mideontinent Mideontinent Mideontinent Mideontinent Mideontinent Mideontinent Wyoming	00 00 00 00 00 00 00	Texas, do do Midoontinent Pexas, do Arkansas, Arkansas, Arkansas, Arkansas, Arkansas, Arkansas, Arkansas, Arkansas, Arkansas, Gulf, Macontent, Texas, Kansas, Gulf, Maxico Gulf, Coast, Texas, do Oklahoma do Arkansas, Gulf do do California, do California, do do do do do do do do do d
	Sample iden-	2 HOURS	13883	5884588	8 8 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9	835558 835558	5.50
	Sami	HI I	198	2002 2003 2004 2004 2005	207 208 208 209 209 209 2010 2011 2011 2011 2011 20	218 220 221 222 222 223 223 223 223 223 223 223	28.4 28.4 28.4 28.4 28.4 28.4 28.4 28.4
	B.P.R.	region	Region 1	Region 2.	Region 4	Region 5	Region 6

1 For States included in each of the Bureau of Public Roads regions, see inside front cover. No samples of 120-150 grade asphalts received from regions 3, 7, 8, and 9.
2 Numbers in parentheses indicate code designation of refinery.
3 V=vacuum distillation, S=steam distillation, O=blowing (oxidation), B=blending (different grades of asphalt), P=propane fractionation, and F=fluxing (heavy oils), Plus values represent gains rather than losses.

76

Table 4.—Test characteristics of 150-200 penetration grade asphalts

ilm at	9	Percent of original penetra- tion	5444554855944 6444554855944	43	43 49 49
Thin-film oven test, ½-inch film at 325° F., 5 hours	Tests on residue	Pene- tration at 77° F.	288 200 100 100 100 100 100 100 100 100 100	83	65 94 65 87
oven test, 25° F., 51	Tests	Duetil- ity, 5 cm. per min., 77° F.	Cm. 156. 178. 175. 175. 176. 176. 178. 178. 178. 179. 179. 179. 179. 179. 179. 179. 179	165	200 123 77 178
hin-film o		Soften- ing point	°.F. 122 125 117 111 120 120 120 121 121 122	115	116 113 127 115
		Loss	Pet. 1.488 30 3	09.	+.12 1.17 1.17
Standard oven test at 325° F., 5 hours	Penetration of residue	Value of original	82523223888855	71	822.28
undard oven test 325° F., 5 hours	Penet	Value	135 141 141 154 152 152 152 153 131 131	137	124 134 123 147
Stanc 32	0) 7	Loss	Pct. 0.155 0.08 .	. 45	+.03 .37 .06
	Soluble in CCl4		Pct. 999.65 999.671 999.64 999.64 999.74 999.74 999.74 999.74 999.74 999.74 999.75 999.86 999.87	99.73	99. 47 99. 72 99. 81 99. 81
Flash point		land open cup	0 P.	019	500 625 470 560
Flash	Pensky-	Martens closed cup	$^{\circ}F_{\bullet}$	325	420 590 390 465
	Specific gravity at	77° F.	1,028 1,031 1,028 1,025 1,024 1,029 1,002 1,029 1,029 1,029	1,022	1,068 1,022 1,024 1,028
	Furol viscosity at	275° F.	Sec. 1764. 1733. 135. 135. 144. 114. 114. 114. 114. 114. 114. 11	79.4	83.0 114 95.2
	Soften- ing point		° F. 107 107 106 106 109 103 107 107 108	101	104 106 110 102
ility	1 cm.	minute at 39.2° F.	2000 2000 2000 1030 1030 157 157 157	+002	40 44 200+
Ductility		minute at 77° F.	Cm. 167 147 113 119 119 160 160 183 188 188 198	112	146 150 94 89
n	Pene- tration	ratio, 39.2°/ 77° F.	888888888888888888888888888888888888888	34	20 38 31 31
Penetration	200 g.,	60 sec. at 39.2° F.	8.867.558.88 8.765.758.88 8.765.758	65	30 57 54
d.	100 g.,	5 sec. at 77° F.	153 175 175 181 182 158 165 166 166	193	151 164 151 176
	Method of refining 3		නුනනුනුනුන සනුනුන න ව ප ව ව ව ව ව ව ව ව ව	V	A
	Source of crude		Venezuela, Mississippi. Venezuela, Mexico Texas Texas Mississippi Venezuela Nississippi Mississippi Go do do do	Wyoming	Wyoming
	Sample iden- tification ²		a 6000000000000000000000000000000000000	(18)	38623
	Sampl		255 254 255 255 256 258 258 258 258 258 258 258 258 258	262	263 264 265 266
	B.P.R. region 1		Region 3	Region 5	Region 8

1 For States included in each of the Bureau of Public Roads regions, see inside front cover. No samples of 150–200 grade asphalts received from regions 1, 2, 4, 6, 7, and 9.

Sumbers in parentheses indicate code designation refinery.

Sumbers in parentheses indicate code designation refinery.

**Parentheses indicate represent a similar of the state of the sta

Table 5.—Test characteristics of asphalts of different grades from same refinery source

						ration		tility	6		om sar		point		film over	n test, ½-in F., 5 hours	ch film at
Sam	ple	Grade	Source of crude	Method of refining ²		Pene-		1 cm. per	Soften-	Furol viscos- ity at	Specific gravity	Pensky-	Cleve-			ests on res	
catio		Grade	bource of crude	Tenning	100 g., 5 sec. at 77° F.	tration ratio, 39.2°/ 77° F.	5 cm. per minute at 77° F.	minute at 39.2° F.	point	at 275° F.	at 77° F.	Martens closed cup	land open cup	Loss 3	Soften- ing point	Ductility, 5 cm. per min., at 77° F.	Percent of origi- nal pene- tration
127 13 200 128 14 201	(1)	60-70 85-100 120-150 60-70 85-100 120-150	Venezuela	[V, S	64 89 142 60 92 127	36 36 39 42 35 36	Cm, 250+ 175 162 250+ 185 182	Cm. 9.8 18.3 173 8.5 20.5 250+	° F. 122 120 110 125 117 111	Sec. 358 255 175 346 232 158	1, 035 1, 033 1, 030 1, 033 1, 030 1, 026	° F. 495 480 455 475 440 425	° F. 540 530 490 515 490 475	Pct. 0.05 .18 .54 .36 .70 1.10	° F. 133 132 123 139 130 123	Cm. 183 175 190 140 182 192	66 62 51 62 57 49
153 169 67 224 141 170 38 208	(8)	60-70 70-85 85-100 120-150 60-70 70 85 85-100 120-150	Texas	V	67 66 90 121 67 73 90 152	39 39 38 36 37 38 36 26	203 243 201 170 87 111 170 87	8. 3 8. 3 20. 8 135 3. 8 5. 8 6. 3 10. 0	125 125 122 112 129 123 120 108	288 266 243 187 236 175 189 105	1, 033 1, 038 1, 029 1, 024 . 996 . 996 1, 000 . 992	480 475 460 500 515 540 510 510	585 570 575 580 640 625 605 655	. 07 . 21 . 08 . 02 . 02 . 03 . 00 . 04	132 133 129 122 137 130 129 115	203 250+ 192 182 44 58 120 126	63 62 61 60 69 75 62 60
129 15 202 130 17 203	(9)	60-70 85-100 120-150 60-70 85-100 120-150	Venezuela	\{\sum_{\sum_{\color}} \\ \sum_{\color}	64 96 135 60 93 128	36 35 40 33 37 37	215 189 185 250+ 196 172	24. 0 33. 5 173 10. 5 63 250+	126 118 111 122 116 108	347 249 231 213 166 125	1, 038 1, 036 1, 030 1, 024 1, 022 1, 020	455 420 420 500 535 495	505 490 500 575 565 550	. 46 . 64 1. 18 . 00 +. 04 . 10	137 132 123 131 123 117	113 176 213 250+ 205 227	59 55 47 67 66 62
131 18 204 121 3 196	(22)	$\begin{array}{c} 60-70 \\ 85-100 \\ 120-150 \\ 60-70 \\ 85-100 \\ 120-150 \\ \end{array}$	} Mexico	S	61 87 126 57 87 135	52 46 40 44 45 45	61 116 145 250+ 248 184	4. 5 7. 3 15. 5 11. 3 51. 5 250+	135 124 112 128 121 113	337 239 163 431 318 250	. 993 . 992 . 989 1. 039 1. 037 1. 034	415 425 410 480 445 430	625 630 640 545 500 495	.08 .08 .02 .29 .55 .93	146 135 123 140 134 129	11 29 114 100 138 235	72 66 60 65 60 50
154 69 226 155 70 227	(23)	$\begin{array}{c} 6070 \\ 85100 \\ 120150 \\ 6070 \\ 85100 \\ 120150 \end{array}$	Texas Midcontinent	\begin{cases} \b	63 87 126 60 87 127	25 23 29 37 32 29	212 112 118 250+ 150 150	6, 0 12, 5 68, 5 5, 8 6, 8 14, 3	122 116 109 124 120 109	144 120 86 253 208 150	1. 033 1. 029 1. 023 1. 005 1. 005 1. 000	530 535 500 535 570 445	600 585 570 630 635 655	. 04 +. 02 . 01 +. 01 +. 03 . 09	127 125 118 131 127 118	176 178 120 181 250+ 154	62 61 57 67 61 61
122 6 198 138 27 255	(30)	$\begin{array}{c} 6070 \\ 85100 \\ 150200 \\ 6070 \\ 85100 \\ 150200 \end{array}$	Colombia	\begin{cases} \{\begin{align*} \text{V, S} \\\\\\\\\\\\\\\\\\\\\\\\\\	64 86 132 59 93 181	34 35 34 38 37 39	187 180 132 250+ 195 119	10. 0 30. 5 124 9. 5 88 200+	121 115 108 124 113 104	247 200 156 272 153 122	1. 011 1. 005 . 999 1. 024 1. 025 1. 015	500 525 500 495 495 495	640 580 635 580 545 545	+. 07 +. 10 +. 06 . 10 . 13 . 12	129 122 114 132 123 115	250+ 250+ 120 250+ 221 175	73 71 67 64 66 56
156 73 228 157 74 229	(35)	60-70 85-100 120-150 60-70 85-100 120-150	}Texas	V, S V, S V, S V, P, O P, O P, O	67 93 140 61 94 130	40 35 41 30 23 22	170 234 154 250+ 250+ 126	6. 5 10. 3 26. 5 7. 3 4. 3 94	125 116 113 120 117 109	343 239 156 210 263 167	1. 030 1. 025 1. 021 . 999 1. 005 . 998	550 465 520 575 525 535	615 625 585 650 640 645	+. 09 . 05 +. 04 +. 09 +. 03 +. 06	135 125 124 124 127 113	64 209 109 245 181 145	69 64 59 75 68 69
159 76 231 160 77 232	(48)	60-70 85-100 120-150 60-70 85-100 120-150	Oklahoma	V, O	66 93 141 59 94 140	61 52 48 47 37 34	62 107 195 237 213 142	5, 3 7, 5 20, 3 5, 3 9, 8 250+	134 125 113 128 117 109	373 257 165 356 238 174	1. 003 1. 002 1. 001 1. 017 1. 016 1. 013	400 425 430 560 550 580	485 475 485 630 635 630	. 68 . 70 . 74 +. 06 . 06 +. 12	147 138 127 137 124 117	10 20 91 54 185 175	67 61 55 73 69 66
161 78 233 162 79 234	(54)	60-70 85-100 120-150 60-70 85-100 120-150	MississippiGulf Coast, Midcontinent.	S	62 92 125 68 90 140	55 52 54 35 26 34	142 139 177 210 145 109	5. 5 9. 3 20. 5 4. 8 6. 0 9. 3	131 122 116 124 118 109	379 240 180 165 123 95	1. 034 1. 030 1. 026 1. 006 1. 001 . 995	495 400 415 515 485 485	525 490 500 605 565 585	. 25 . 42 . 63 . 12 . 20 . 15	144 138 133 129 127 122	37 36 90 165 114 93	65 58 50 71 70 60
146 47a 211a 163 80 235	(59) (65)	$\begin{array}{c} 6070 \\ 85100 \\ 120150 \\ 6070 \\ 85100 \\ 120150 \\ \end{array}$	Texas, Wyoming	\begin{cases} \{S, \ O \\ S, \ B \\ S, \ B \\ \end{cases} \]	60 88 121 62 90 127	38 32 35 42 52 48	133 202 141 172 180 166	6. 5 24. 0 67. 5 5. 8 17. 0 34. 5	123 118 111 129 114 114	195 160 122 366 246 186	1. 029 1. 021 1. 018 1. 032 1. 030 1. 025	500 515 500 475 445 410	580 575 560 520 520 510	. 06 . 03 . 04 . 17 . 20 . 41	133 126 119 142 128 127	188 190 191 65 102 111	63 59 60 63 63 55
147 179 50 214 124 9 199	(67)	60-70 70-85 85-100 120-150 60-70 85-100 120-150	Texas Venezuela and Texas	\begin{cases} \begin{picture}	59 64 98 138 64 93 128	31 33 30 26 39 38 33	228 192 140 124 228 150 141	4. 8 6. 3 8. 0 45. 0 8. 0 22. 3 182	123 119 114 113 122 116 110	185 176 135 107 295 198 152	1. 017 1. 016 1. 015 1. 010 1. 021 1. 022 1. 018	505 480 435 440 435 415 405	610 600 610 605 580 540 530	. 10 . 08 . 09 . 10 . 45 . 50 . 73	130 127 125 117 135 130 119	248 250+ 166 163 162 226 229	63 61 52 55 58 57 56
164 23 238 150 183 53 215	(87) (89)	60-70 85-100 120-150 60-70 70-85 85-100 120-150	Gulf Coast Midcontinent	V	62 81 128 60 69 86 117	42 46 34 42 45 40 35	209 190 157 223 167 151 128	8. 5 11. 3 144 6. 3 7. 5 7. 3 75. 5	121 119 110 127 123 119 113	245 198 169 268 253 195 135	1. 034 1. 016 1. 020 1. 000 . 994 . 995 . 993	535 530 540 540 540 545 545	600 585 590 650 630 635 630	+. 02 . 04 . 04 +. 05 +. 01 +. 03 +. 02	134 127 119 133 133 126 122	131 208 250+ 208 146 150 125	66 70 65 73 75 69 71
165 87 242 166 195 89 244		60-70 85-100 120-150 60-70 70-85 85-100 120-150	Oklahoma	V, S V, S V, S V, S V, S V, S V, S V, S	61 88 120 53 73 89 127	43 39 37 45 40 34 40	242 166 158 204 237 138 170	7. 5 12. 3 19. 8 7. 5 20. 8 11. 0 99. 0	127 118 111 127 120 116 110	256 180 136 337 249 236 181	1. 016 1. 012 1. 010 1. 027 1. 024 1. 021 1. 020	475 515 485 540 540 560 550	565 570 560 630 630 660 650	+. 03 +. 05 . 01 . 01 + 02 . 09 . 00	130 128 122 134 127 124 116	74 162 195 181 250+ 160 169	66 63 59 72 71 72 69

¹ Numbers in parentheses indicate refinery code number. ² V=vacuum distillation, S=steam distillation, O=blowing (oxidation), B=blending (different grades of asphalt), P=pro pane fractionation, and F=fluxing (heavy oils). ³ Plus values represent gains rather than losses.

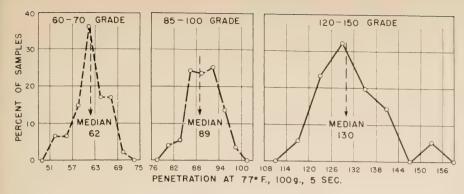


Figure 1.—Distribution of penetration.

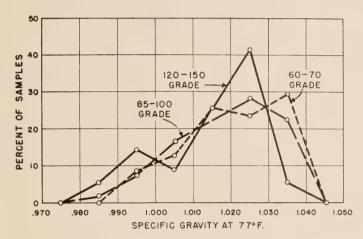


Figure 2.—Distribution of specific gravity.

source or combination of sources by a specific refinery and are assumed to represent concurrent production.

Results of Tests

The following discussion of the frequency distribution graphs for the 60-70, 85-100, and 120-150 penetration grades points out the range and variations in some of the test characteristics. The relation of the penetration grades to the changes in some of the test characteristics are also discussed.

Penetration at 77° F.

Figure 1 shows the range and distribution of the results of the penetration test at 77° F. for the three grades. Thirteen, or approximately 28 percent, of the 47 samples of the

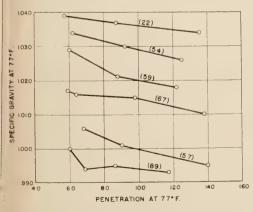


Figure 3.—Relation of penetration at 77° F. to specific gravity at 77° F.

60–70 asphalts had penetration values of less than 60; none exceeded the upper limit of 70. In part I, 12 samples, or approximately 10 percent, of the 85–100 grade had values below 85; and, there also, none exceeded the upper limit of 100. Of the 56 asphalts of the 120–150 grade, I sample was less than 120 and 3 exceeded 150, which constituted about 7 percent of the samples. There is a general trend for the median value for all of these grades to approach the lower limit of the specification rather than the upper. The medians were 62, 89, and 130 for the respective grades.

Specific gravity at 77° F.

The specific gravity of asphalt cements is seldom used as a specification requirement, but it is often needed to establish design criteria for bituminous mixtures. It may also be used to control the uniformity of the supply of asphalt from a refinery for a specific project. The general distribution data plotted in figure 2, indicate that the differences in the overall range of specific gravities for the three grades were not significant. The effect of penetration on specific gravity is better illustrated in figure 3 where some of the data given in table 5 are plotted to show the relation between these characteristics for the various grades of asphalt from the same sources. These graphs also show that for materials from the same source the specific

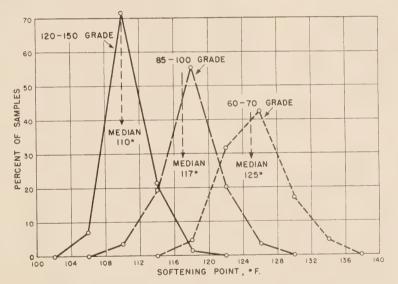


Figure 4.—Distribution of softening points.

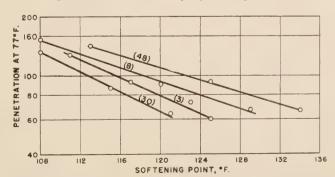
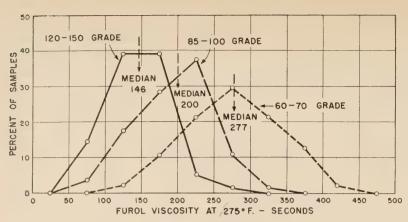


Figure 5.—Relation of penetration at 77° F. to softening point.



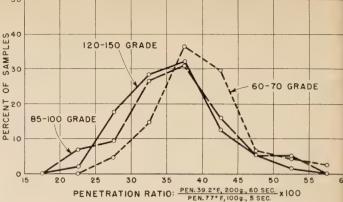


Figure 6.—Distribution of Furol viscosity.

Figure 8.—Distribution of penetration ratios.

gravity decreased slightly as the penetration increased. (Note: The numbers in parentheses shown in this figure and subsequent figures illustrating the relation of penetration to other properties are the refinery code numbers.)

Softening point

Figure 4 shows that there was considerable overlapping of values of softening point for the asphalts of the 60–70, 85–100, and 120–150 grades but there was a definite difference in the point at which the peak occurred for each grade. The values of the medians were 125°, 117°, and 110° F. for the three grades. Data selected from table 5 and plotted in figure 5 illustrate the relation between softening point and penetration for different grades from the same refinery. It will be noted that the differences between values for different grades from the same source are essentially the same as the differences in the median values for all asphalts in each grade.

Furol viscosity at 275° F.

There was considerable overlapping of values for the Furol viscosity of the three penetration grades, as shown in figure 6. However, there was a distinct difference in the median values for the three grades—being 277 seconds, 200 seconds, and 146 seconds for the 60–70, 85–100, and 120–150 grades. The ranges in viscosity values for the three penetration grades were 144 to 431, 85 to 318, and 71 to 250 seconds, respectively.

The relation of penetration at 77° F, to Furol viscosity at 275° F, for asphalts of the different penetration grades from the same

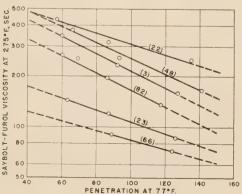


Figure 7.—Relation of penetration at 77° F. to Furol viscosity at 275° F.

sources are illustrated in figure 7. The upper and lower lines represent the extreme viscosities for the asphalts. The upper line shows the penetration-viscosity relation for the three grades of asphalt refined from a Mexican crude by refinery 22. The asphalts from refinery 23 had the lowest viscosity for equivalent penetration of those represented by three or more grades given in table 5 but there were a few asphalts from other sources that had lower viscosities. The two asphalts refined from a California crude by refinery 66 had the lowest Furol viscosity for equivalent penetration for all the materials tested and therefore they were used to establish the lower viscosity line. Other penetrationviscosity relations shown are believed generally typical of materials from other sources.

The data selected for presentation in figure 7 generally fall into a straight line, but it should be noted that some of the asphalts of different grades from the same refinery did not show this straight-line relation. It was also not possible to determine from the data the extent to which the linear relation would be applicable to softer or harder materials than those tested. However, the data serve to show the wide difference in the high temperature viscosities of asphalts of the same grade. The significance of these differences are discussed later.

Penetration ratio

Values for the ratio of the penetration at 39.2° F., 200 g., 60 sec., to the penetration at 77° F., 100 g., 5 sec., as shown in figure 8, did not vary significantly for the 85–100 and 120–150 grades. The minimum and maximum values and the relative position of the distribution curve for the 60–70 asphalts indicated slightly higher penetration ratios than for the 85–100 and 120–150 asphalts.

Figure 9 illustrates the relation of the penetration at 77° F. to the penetration ratio for asphalts of different grades from the same refinery source. Some series of asphalts from the same source showed little change in penetration ratio for different grades. Others showed a decrease in penetration ratio with increase in penetration, while still other results were quite erratic and no uniform trend could be determined. In some cases this could have been attributed to the greater amount of blowing to reduce the lower penetration materials to grade.

A relation between the Furol viscosity at 275° F. and the penetration ratio might be expected since both values are assumed to be dependent on the viscosity-temperature relationship of the asphalt. However, when asphalts of different grades and sources were compared, no definite trend was established. This could have been attributable to differences in the plastic flow properties of various materials, or possibly due to the empirical nature of the penetration ratio.

Ductility at 77° F., 5 cm. per minute

Because of the influence of consistency, it is difficult to evaluate trends in the ductility results for asphalts from different penetration grades. Figure 10 shows that 38 percent of the 60–70 asphalts and 6 percent of the 85–100 samples had ductility values greater than 250 cm., the capacity of the ductility machine. All of the 120–150 grade materials had values less than 250 cm. Even though more 60–70 asphalts exceeded 250 cm. than the other grades, there were also a greater number of samples of this grade that had ductility values less than 100 cm., the minimum requirement most commonly found in specifications.

Ductility at 39.2° F.

The frequency distribution for the ductility at 39.2° F., 1 cm. per minute is shown in figure 11. While there was similarity in the polygons for the 60-70 and 85-100 grades, the polygon for the 120-150 grade was radically different. The ductility ranges were 2.8 to 24.0 cm. for the 60-70 grade, 3.5

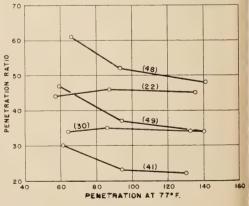


Figure 9.—Relation of penetration at 77° F. to penetration ratio.

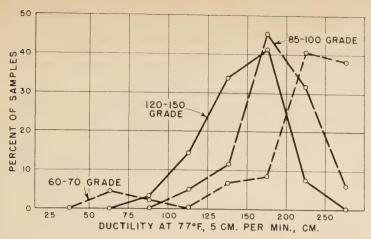


Figure 10.—Distribution of ductility.

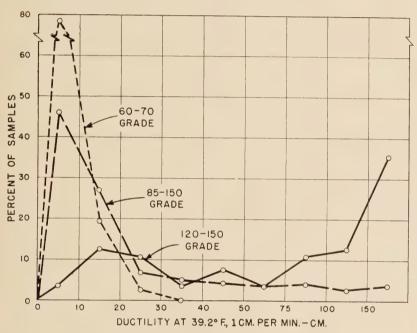


Figure 11.—Distribution of ductility at 39.2° F.

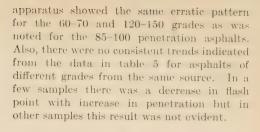
to 250+ cm. for 85-100 grade, and 6.3 to 250+ cm. for the 120-150 grade. The percentage of samples that had ductilities less than 10 cm. were 69 for the 60-70, 47 for the 85-100, and 4 for the 120-150 asphalts.

While it has been established that the ductilities of asphalts from different sources and methods of refining vary greatly, it also has been established that consistency as measured by penetration has a pronounced effect on ductility values at low temperatures. The influence of penetration on ductility at 39.2° F. and variability in ductility of asphalts from different sources is illustrated in figure 12. The wide difference in the ductilities of asphalts from various sources when compared on an equipenetration basis as well as the large decrease in ductility with decrease in penetration for materials from the same source are shown.

Flash points

Figures 13 and 14 respectively, show the distribution of flash points determined by the Pensky-Martens closed cup and the Cleveland open cup apparatus. Very little difference points of the 85-100 and 120-150 grades, but the shift toward higher values for the 60-70 grade was appreciable. The relation between

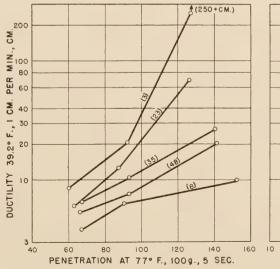
was apparent between the results of flash the results for the closed cup and open cup



Thin-film oven test, loss in weight

The change in weight during the heating of the asphalts in the 1/8-inch film for 5 hours at 325° F, is shown in figure 15. The positive values on the horizontal axis indicate a gain in weight and the negative values indicate a loss. Eighty-five percent of the 60-70 asphalts, 65 percent of the 85-100, and 66 percent of the 120-150 grade materials had slight gains or losses in weight less than 0.20 percent. Although not shown, the median values for the three grades were approximately the same. The most significant fact shown is that there were some asphalts of all three grades that had relatively high loss in weight.

Two percent of the 60-70 grade samples, 13 percent of the 85-100 grade samples, and 21 percent of the 120-150 grade samples had losses greater than 0.50 percent. However, where series of asphalts of different penetration grades from the various sources were compared the trend for higher losses in higher penetration asphalts was not consistent. evident in figure 16 where the change in weight is plotted against penetration for the different grades from the same source. It is of interest to note the two distinctive patterns of behavior. Generally, the materials that had significant losses showed a very definite trend toward higher loss for the increasing penetration, the relation being essentially linear. However, where a refinery produced asphalts with very little losses or with gains in weights, variation with grade was erratic and of little significance. The major exception to this trend was found in the analysis of the asphalts from refinery 48. The 60-70 grade asphalt from this refinery had a fairly high loss and the loss increased only slightly for the 85-100 and 120-150 penetration grades.



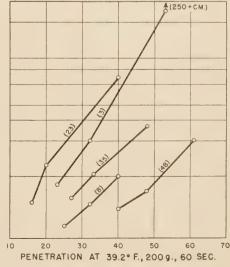


Figure 12.—Relation of penetration at 77° F. and 39.2° F. to ductility at 39.2° F.

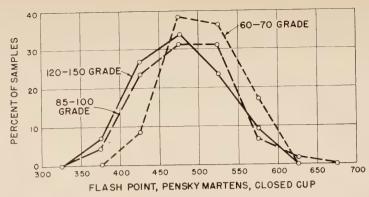


Figure 13.—Distribution of flash points (closed cup).

SAMPLES 60-70 GRADE 30 120-150 GRADE H 20 PERCENT 10 85-100 GRADE 0 └ 350 650 700 750 400 450 550 FLASH POINT, CLEVELAND OPEN CUP, °F.

Figure 14.—Distribution of flash points (open cup).

Retained penetration, thin-film oven test

In conjunction with the comparisons of loss in weight in the thin-film tests, there also was a significant difference in the percentage of the original penetration retained by the residues from the thin-film oven test for the 60-70, 85-100, and 120-150 penetration grades of asphalt. In general, the higher the penetration of the original asphalts the lower the percentage of retained penetration. The frequency distribution graph for the three grades is shown in figure 17. All of the 60-70 penetration asphalts retained more than 55 percent, while 10 percent of the 85-100, and 25 percent of the 120-150 penetration asphalts retained less than 55 percent. The median value for retained penetration was 66 percent for the 60-70 grade, 61 percent for the 85-100 grade and 58 percent for the 120-150 grade.

The effect of the original consistency on retained penetration is illustrated further in figure 18 for some of the asphalts of various grades from the same sources given in table 5. This graph shows that although there was a wide range in retained penetration for asphalts within each grade, there also was a fairly uniform decrease in retained penetration as the original penetration increased. These conclusions support the decision of the various specification writers to establish limits for percentage of retained penetration on a sliding scale for different penetration grades.

Softening point of thin-film residues

The softening points of the residues from the thin-film oven tests, figure 19, show considerable overlapping of the values. The overall range of the softening point of the residues for the three grades of asphalt was 111° F. to 147° F. The range for the 60-70grade was 126° F. to 147° F., for the 85-100 grade 118° F. to 140° F., and for the 120-150 grade 111° F. to 133° F. The increase in the softening point for individual samples during the test varied considerably. Increases for the 60-70 grade varied from a minimum of 3° F, to a maximum of 17° F. For the 85-100 penetration asphalts individual increases varied from 5° F. to 17° F. The 120-150grade showed increases varying from 3° F. to 22° F. The median increase for all grades was in the range from 8 to 10 degrees.

Ductility of thin-film residues

The distribution of the ductility of the thinfilm residues for the 60–70, 85–100, and 120–150 penetration asphalts is shown in figure 20. Initially 3 of the 60–70 asphalts and 2 of the 120–150 asphalts had ductility values of less than 100 cm. There were no ductility values of less than 100 cm, for the 85–100 penetration asphalts. After the thin-film test the percentages of asphalts of each grade that had ductility values less than 100 cm, were approximately 23, 18, and 7, respectively, and 15, 7, and 0 percent of the residues had ductility values less than 50 cm.

It is of interest to note that the ductilities of many of the thin-film residues were higher than the original asphalt. Nineteen percent of the 60–70 asphalts, 42 percent of the 85–100 asphalts, and 71 percent of the 120–150 asphalts increased in ductility during heating in the thin-film test. This was accounted for by the hardening during heating that put these asphalts within the range of consistency for higher ductility.

Standard oven-loss tests

Plotted data for the standard loss tests have not been included since there was little significance to the results obtained. All of the 60–70 penetration materials had losses of

less than 0.20 percent, while 97 percent of the 85–100 materials, and 89 percent of the 120–150 materials had losses of less than 0.20 percent. Maximum loss values for the 60–70, 85–100, and 120–150 grades were 0.18, 0.58, and 0.27 percent, respectively. The minimum percentages of retained penetration for the oven-loss residues for the above grades were 78, 75, and 77, respectively.

The low values for loss and the high values for retained penetration in the standard ovenloss test for all grades of asphalts covered by this report further confirms the inadequacy of the test for evaluating the hardening characteristics of asphalt cements.

Oliensis spot test

Oliensis spot tests using standard naptha were made on all of the 60–70 and 120–150 penetration grade asphalts. (Results of the spot tests on the 85–100 penetration grade asphalts were reported in part I.) Only 3 asphalts of the 60–70 and 120–150 asphalts were found to have a positive reaction. These were samples Nos. 154 (60–70 grade) and 226 (120–150 grade) from refinery 23. Sample No. 69, the 85–100 grade asphalt from the same refinery, also showed a positive spot. Asphalts from this source refined from a West Texas crude, have been known to produce positive spots even when vacuum or steam

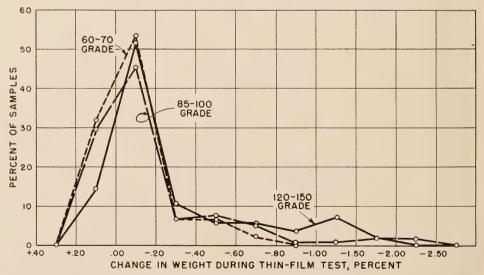


Figure 15.—Distribution of change in weight during thin-film test.

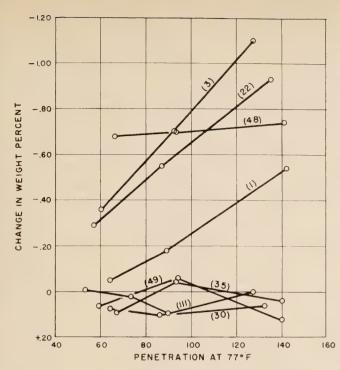
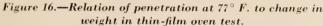


Figure 18.—Relation of penetration at 77° F. to retained penetration of thin-film residues.

100

PENETRATION AT 77°F



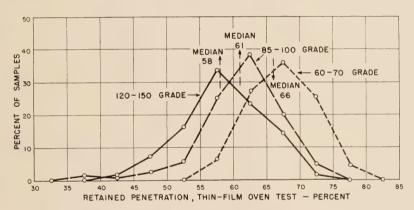


Figure 17.-Distribution of retained penetration of thin-film residues.

distillation are used. Sample No. 218 (120–150 grade) from refinery 38 also showed a positive reaction as did sample No. 98 in the 85–100 grade from the same source. No 60–70 asphalt was received from this producer.

Thus the results of spot tests on all grades of asphalts reported here and in part I indicate that very few of the present day asphalts would fail such requirements in specifications, particularly if small amounts of xylene are used with the standard naptha as is generally specified.

General Discussion of Data

The test results reported here and in part I were obtained primarily to provide current information on the properties of asphalt cements used in highways in the United States. The groups of samples in the 60–70, 85–100, and 120–150 grades were believed to represent nearly all asphalts of these grades produced and used at the time of sampling. While there may have been some changes in crude sources or methods of refining since 1955 when

the samples were collected, the results of tests are believed to be indicative of the properties of asphalts produced today.

70

RETAINED PENETRATION

60

55

50

4540

60

80

It was pointed out in part I that 12 of the 119 samples of the 85-100 penetration grade asphalts, or about 10 percent, failed to meet the standard specifications employed by the majority of the States. The test data for the other grades reported here show that 13 samples, or about 28 percent, of the 60-70 grade, and 4 samples, about 7 percent, of the 120-150 grade also were outside the required penetration limits. However, while this appears to be a rather high number of samples outside specifications, it should be noted that of the 29 samples of all grades that failed to meet the penetration range, 21 of these were within the current precision requirement for reproducibility of the penetration test.

Other than the penetration test, only 3 of the 85–100 grade asphalts failed to meet any standard AASHO specification requirement. There also were 3 samples of the 60–70 asphalts and 2 of the 120–150 asphalts that had ductility values less than 100 cm., and a single 120–

150 asphalt that did not meet the requirement for solubility in carbon tetrachloride.

120

160

It was brought out in the first report that many of the current specifications, such as those of the AASHO, fail to measure the relative quality of asphalt and that asphalt materials meeting these specifications may actually show poor performance in service. Consequently during the past four years, a number of agencies have revised their asphalt specifications in an effort to raise the overall quality of asphalt. Several of the Western States followed California's lead and adopted specification requirements based on the Pensky-Martens closed cup flash test, the penetration ratio, the Furol viscosity at 275° F., and the thin-film oven test. The requirements adopted for these specifications were cooperatively developed by conferences of western producers and consumers and undoubtedly have been of value in eliminating some poor materials and in upgrading others. However, tests made by the Bureau of Public Roads show that the western requirements for the Pensky-Martens flash point, the penetration ratio and the Furol viscosity at 275° F. would not be applicable on a national basis.

Flash point requirements in specifications are intended to indicate the temperature at which asphalts may be safely heated. It was found that the presence of silicones in asphalts, which had been added during refining or storage to reduce foaming tendencies, often caused erroneous flash points by the Cleveland open cup method. The Pensky-Martens closed cup method was adopted by some of the Western States to avoid this error. The States set minimum specification limits for the 60-70, 85-100, and 120-150 penetration grades at 450° F., 440° F., and 425° F., respectively. The percentage of asphalts in the above grades that failed to meet the requirements were 9, 22, and 18.

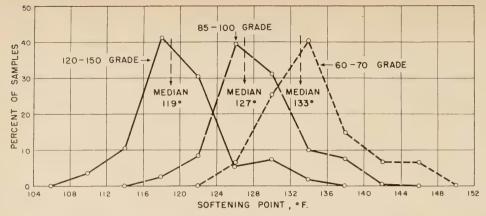


Figure 19.—Distribution of softening point of thin-film residues.

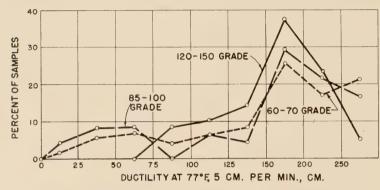


Figure 20.—Distribution of ductility of thin-film residues.

While the Pensky-Martens closed cup test may serve a useful purpose in obtaining truer flash points where silicones are present in the asphalt, the specification limits should not be set so as to exclude asphalts having good service records. Since many of the asphalts that failed the western requirements apparently were of good quality, those limits should not be adopted on a nationwide basis.

The ratio of the penetration at 39.2° F., 200 g., 5 sec., to the penetration at 77° F. 100 g., 5 sec., may serve a useful purpose in restricting the use of highly temperaturesusceptible asphalts. However, the minimum requirement of 25 adopted by the Western States would have little significance if applied on a national basis. A discussion of the penetration ratios of the 85-100 asphalts in part I of the study pointed out that there was a general trend for the asphalts from the eastern sources to have the highest penetration ratios and those from western sources the lowest. The asphalts from the central areas had intermediate values. Similar trends were found for the other grades reported here. The variability in penetration ratios of asphalts throughout the country again stresses the need for the extreme caution that must be used to establish significant specification requirements.

Penetration ratios employing differences in time of penetration and loading, such as that used in the western specifications, are not believed to be true indexes of susceptibility because of the combination of test variables other than temperature. In the report on the properties of asphalts produced in the midthirties it was shown that the slope of the log-penetration temperature curve repre-

sented a useful measure of susceptibility that was more nearly related to the rheological properties of the material than any of the empirical ratios (1).³ It is believed that if a temperature-susceptibility index is a needed requirement in asphalt specifications, consideration should be given to the use of the slope of the penetration curve or values based on fundamental measurements of consistency.

Another feature of the quality specification that serves to limit the viscosity temperature relation is the furol viscosity at 275° F. This requirement is, in effect, also a control of the temperature susceptibility of asphalt. The western specifications have established limits of 100 to 325, 85 to 260, and 70 to 210 seconds, respectively, for the 60–70, 85–100, and 120–150 grade asphalts. All of the penetration asphalts exceeded the lower limits of these requirements, but there were a number of asphalts of each grade that had viscosities higher than the maximum, requirement. Approximately 30 percent of the 60–70, 8

percent of the 85–100, and 7 percent of the 120–150 asphalts had viscosities above the upper limits. Many of these asphalts are used extensively in the Eastern States and are considered to be satisfactory materials. It is again evident that if high-temperature viscosity requirements are desirable for adoption in specifications on a nationwide basis a further study should be made to establish proper limits.

To date no detailed study of the viscosity-temperature characteristics of the asphalts of this study has been made. The wide range in the viscosities values at 275° F. of the asphalts in each grade shows the necessity for giving more consideration to establishing temperatures for plant mixing and spray applications on the basis of viscosity. The difference in viscosity of the asphalts at this high temperature also indicates that there may be considerable variation in the viscosities in the temperature range to which the asphalt is subjected in the pavement. Such variations may be significant from the standpoint of the essential engineering properties.

During the past year the thin-film oven test, which was developed by the Bureau of Public Roads, received greater recognition when it was adopted by the Asphalt Institute as a specification test. The AASHO (American Association of State Highway Officials) Committee on Materials also approved the test and asphalt cement specifications have been adopted which include the thin-film oven test with suggested limits. While the Asphalt Institute specifications include requirements for retained penetration only, the AASHO specifications include the additional requirements for a maximum loss in weight and a minimum ductility of the residue. The test limits are similar to those currently in effect in a number of State specifications. Table 6 gives a summary of the test limits used by the AASHO, the Asphalt Institute and the Western States.

The limits for retained penetration suggested by the AASHO and those used by the Asphalt Institute are shown graphically in figure 21 together with the results and an analyses of the test data on the 3 penetration grades of asphalts.

The Asphalt Institute requirements for retained penetration are slightly lower than those in the AASHO specification for the lower penetration grades and this difference decreases as the penetration grade increases. In order to compare these specification limits with the results of the retained penetration of the asphalts reported in this study, two sets of

Table 6.—Specification requirements for the thin-film oven test

Penetration grade	Loss in w	reight not than—		penetration ot less than-	Ductility of residue at 77° F., not less than—		
	AASHO 1	Western States ²	AASHO	Western States	Asphalt Institute	AASHO	Western States
40-50 60-70 85-100 120-150 200-300	Pct. 0.8 .8 1.0 1.3 1.5	Pct. 0.75 .8 .85 1.0 1.5	Pct. 55 52 47 42 37	Pct. 52 50 47 44 40	Pct, 52 50 45 42 37	75 100 100	Cm. 50 50 75 75 75

¹ Limits suggested for AASHO specification M 20-59, ² Limits used by Western States,

 $^{^3}$ Italic numbers in parentheses refer to the list of references on p. 99.

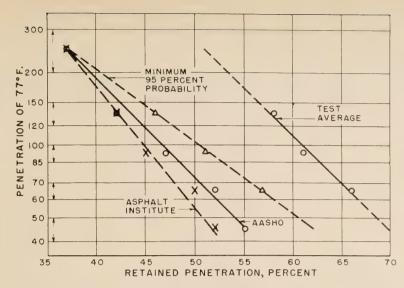


Figure 21.—Specification requirements for retained penetration, thinfilm oven test.

values are also shown in figure 21. These are the average values for the 60-70, 85-100, and 120-150 grades and the minimum values established for 95 percent probability (the $average - 1.96 \times standard deviation)$, for the same grades. Although there is no particular significance to be attached to the 95 percent probability limits with respect to asphalt quality, the values are used here as a gage of the applicability of the specification limits that are proposed or in use. The Asphalt Institute limits are approximately parallel to the line shown for the average values and are about 15 percentage-points lower. AASHO limits are nearer those established by the 95 percent probability line but are still 7 percentage-points lower in retained penetration for the 40-50 grade asphalts and the differences decrease for the higher penetration grades.

It should be emphasized that the asphalts, with possibly a few exceptions, were not produced to meet the requirements for the thin-film test. Even with this consideration there were very few asphalts that would be penalized by the AASHO limits for retained penetration which allows for differences in the reproducibility of the test. None of the 60–70 asphalts failed the requirement for retained penetration of 52 percent. Four of the 85–100 penetration asphalts were less than 47 percent, and none of the 120–150 asphalts were less than 42 percent.

The data indicate that there was no exact correlation between the amount of loss and the hardening, but where the loss was appreciable it affected hardening to a considerable degree. The relation between loss and hardening also gave some indication as to the type of hardening that occurred. Therefore, loss values are considered significant and should be determined.

All of the 60–70 asphalts had losses less than 0.8 percent, the maximum requirement suggested by the AASHO. Five of the 85–100 asphalts failed the requirement of 1.0 percent and 3 of these also failed to meet the minimum requirement for retained penetration. One

sample of the 120-150 asphalts did not pass the requirement of 1.3 percent. This asphalt had a retained penetration of 42 percent, the minimum requirement of that grade.

Even though the amount of change in the ductility during the thin-film tests may not be indicative of quality, it is believed that the actual ductility of the residue is significant. Data obtained show that some asphalts retained a high percentage of penetration and yet became relatively nonductile.

The minimum requirements for ductility of the residues from the thin-film test suggested by the AASHO specification for the 60–70, 85–100, and 120–150 grades are 50, 75, and 100 cm. respectively. A minimum ductility of 100 cm. was also specified for the 200–300 grade asphalts. No requirement was specified for the 40–50 grade asphalts. Of the asphalts that did not meet the minimum ductility requirements, 6 were samples in the 60–70 grade, 17 were in the 85–100 grade, and 4 were in the 120–150 penetration grade. These are equivalent to 13, 14, and 7 percent of the asphalts included in each grade.

The most suitable limits for ductility requirements on the thin-film residues are debatable. It was pointed out in the report on the 85–100 asphalts that a minimum limit of 75 cm. appeared to be suitable for the Western States but that this requirement might be somewhat severe for the asphalts produced in the midcontinent area. The minimum requirement of 50 cm. for the 60–70 asphalts also might be somewhat severe for the asphalts produced in the midcontinent area. However, the minimum requirement of 100 cm. for the 120–150 grade appears to be satisfactory.

Summary

It is believed that the greatest value of these studies are the test results themselves. While the data reported include primarily the results of the tests used in current specifications, they serve to establish the range and medians for test values that may be expected on a national basis. Thus, they provide background information that may be used as a guide for evaluating the older specifications for asphalt cements and for judging the applicability of new tests and requirements.

It is recognized that the data offer many possibilities for analyses other than those presented here and in part I. It is believed that various trends and interrelations of the data will be of varying degrees of interest to producers, engineers, and researchers and that each may want to analyze the data in a different manner to obtain the desired information.

The analysis and discussion of the test results have served to show the inadequacy of many of the current specification requirements for asphalt cements and to illustrate the wide differences that exist in asphalts meeting the same specifications.

The need for additional studies to evaluate the significance of the differences in asphalts should be emphasized. The differences in the temperature susceptibility of asphalts have received recognition by some agencies to control plant mixing temperatures on an equi-viscosity basis. Some consideration is also being given to the effect of the differences in viscosity of asphalts on the compaction of paving mixtures on the road. However, the full significance of these differences needs to be established. The wide range in viscosities at the high temperatures raises a question as to amount of variation in viscosities of asphalts in the temperature range they are subjected to in the pavement. Research also is needed to evaluate the significance of these differences.

More information is needed concerning the hardening characteristics of asphalts. It is generally recognized that hardening during the fabrication of pavements should be limited and that this can be done effectively by the proper use of specification requirements for the thin-film oven test together with proper temperature control during plant mixing. However, studies are needed to evaluate the hardening of asphalts in pavements at road temperatures and the effect of the many variables on the hardening, such as mixture composition, design, and density of pavement.

There are some areas of research that are not a part of these studies that should be kept in mind in relation to the improvement of quality of asphalts and the development of better tests and specifications. For example, there is some concern among the States with the variability of the wetting and adhesion characteristics of asphalts. There have been some reports that modifications made to reduce hardening tendencies have resulted in poorer adhesion. This factor needs to be studied.

Asphalt technology is still based primarily on empirical tests and relations developed by trial and error methods and these will undoubtedly be continued in use for a long time. Nevertheless, the interest in developing truly fundamental data and information on rheological properties is increasing rapidly and new tools such as the Zeitfuchs capillary viscometer and the sliding plate microviscometer have been developed to make the task

(Continued on page 99)

Trans-Hudson River Vehicular Origin and Destination Survey

By The Port of New York Authority Port Development Department Planning Division

Reported by WARREN LOVEJOY, Transportation Economist

A new origin and destination survey technique, called "continuous sampling," is described in this article. The big gain in this technique is the better quality of results obtained by interviewing, spread over a whole year, with approximately the same number of interviews that would be conducted in a "one-shot" survey concentrated in a small period of time. The continuous sampling technique, based on probability samples, spreads the interviewing over a long period of time and thus obtains a full variety of daily, weekly, and seasonal traffic patterns. The method is efficient and economical since it enables a small number of experienced interviewers to do all the interviewing and coding, and avoids intensive activity at any facility at any one time. In addition, the technique permits periodic analyses and provides up-to-date information, since the data are continuously obtained, and makes possible calculation of measures of sampling variability. All of these features of the continuous sampling technique tend to result in better representation of changing traffic patterns.

By using the continuous sampling technique, the Port of New York Authority, which devised the procedure, obtained statistically reliable information as to the origins and destinations of automobiles and trucks using the three major Hudson River crossings between New York City and New Jersey—the George Washington Bridge and the Holland and Lincoln Tunnels.

In 1958, a total of 80.7 million motor vehicles used the three crossings—an average daily traffic of 221,000 vehicles. Ninety-seven percent of these vehicles had at least one terminus in the 18-county New York-New Jersey metropolitan area. The largest share of weekday traffic, 34 percent, either originated in or was destined to the Manhattan central business district.

Classified by trip purposes for the year, 47 percent of the automobiles crossed the trans-Hudson facilities for work, 51 percent for recreation and personal reasons, and only 2 percent for shopping purposes. Classified according to the day of the week, automobile trips for business purposes accounted for 63 percent of the weekday trips, 24 percent of the Saturday trips, and 11 percent of the Sunday trips. Recreation and personal trips increased from 35 percent on weekdays to 73 percent on Saturdays and 88 percent on Sundays. Seasonally, the proportion of weekday business trips by automobiles varied from 57 percent in the summer to 68 percent during the winter.

On an hourly basis, during the 7-10 a.m. period 87 percent of the eastbound and 86 percent of the westbound weekday automobile trips were for work purposes. In the 4-7 p.m. period, however, only 68 percent of the eastbound trips and 72 percent of the westbound trips were for business purposes.

Traffic patterns of 1958 compared with those of 1949 are also reported in this article. The comparisons showed that traffic originating and terminating in each of the counties in the metropolitan area increased substantially over the 9-year period, with the greater percentage increases being most pronounced in the outlying counties. This and other indications appear to emphasize the growing importance of peripheral facilities and routings.

Changes in truck traffic patterns from 1949 to 1958 were less pronounced than those shown for all vehicle trips combined. Truck traffic increased only 23 percent over the 9-year period, whereas total trans-Hudson traffic increased 59 percent over the same period.

THE SURVEY TECHNIQUE

REASONABLY ACCURATE and up-todate origin and destination information is one of the primary tools used in the planning of vehicular facilities. Since 1930, the Port of New York Authority, in connection with its planning for future vehicular facilities across the Hudson River in the metropolitan New York-New Jersey area, has conducted periodic roadside interview surveys of origins and destinations of vehicles using its tunnels and bridges. Included in the surveys were the Port Authority's vehicular facilities that connect New York City with the mainland to the west: the Holland and Lincoln Tunnels and the George Washington Bridge, the three vital Hudson River crossings between the island of Manhattan and New Jersey; and the Outerbridge, Goethals, and Bayonne Bridges, which connect Staten Island with New Jersey.

In the past, so-called "one-shot" surveys have been employed in which the traffic of 1 to 3 days has been surveyed as representative of the entire year's traffic. These surveys were made approximately at 3-year intervals. While these short-term surveys provided a substantial body of origin-destination information over the years, it was felt that improved methods of obtaining the desired information were possible. A study was consequently undertaken, which resulted in the adoption in January 1958 of a new sampling technique called "continuous sampling."

Briefly, this technique is based on a carefully designed and controlled probability sample which builds up the interviews obtained to the required number for any desired degree of reliability by sampling over a considerable length of time rather than by sampling heavily in a short period of several days. Thus, in the continuous survey, by obtaining a few hundred interviews each day, a substantial number of interviews were accumulated over the course of the year 1958. These were obtained under all of the varying conditions that existed in the field throughout the year. Some of the resulting 1958 data for the three Hudson River crossings are presented later in this article. The Port Authority has continued the sampling survey throughout 1959 and in 1960.

Advantages of continuous sampling

There are a number of advantages to be derived from the use of the new traffic survey technique. Among the more important of these, as they apply to the Port Authority's origin and destination survey problem, are the following:

1. By spreading the sampling over a long period of time, this system avoids the possibility inherent in "one-shot" surveys that seasonal or other variations might make traffic on the day or days surveyed unrepre-

¹Paul Rackow, Economic Analyst with the Port of New York Authority, was responsible for much of the work in this survey.

ntative. In continuous sampling, a sufficient ariety of traffic patterns are covered throughet the year so that the survey results are apresentative of normal traffic patterns.

2. Up-to-date information on origin and estination patterns is provided at any time cause the survey data are continuously ring obtained and can be analyzed periodially, as necessary.

3. Building the size of the sample over a ng period, rather than by intensive sampling aring just a few days, has eliminated the cessity of hiring large numbers of unskilled, mporary employees as interviewers and oders. For the continuous sampling, four gularly employed interviewers did all of the interviewing as well as the coding. These en were well trained in interviewing methols, had a thorough knowledge of the geogphy of the metropolitan area, and were illed in the coding of the data.

4. Since continuous sampling avoids intenve activity at any facility at any one time, ere was less chance of causing traffic constion while obtaining the origin and destination information. Furthermore, skilled interewers greatly reduced the time required reach interview and were thus able to get implete information on each interview ithout undue delay to the motorist.

5. By using a probability sample, it is is saidle to compute mathematically the gree of reliability achieved by the survey at thus keep a constant check of the accuracy the results.

ata obtained

During 1958, a total of 92,329 interviews are obtained at six river crossings. This imber of interviews represented 0.1 percent the 85.7 million automobile and truck trips 1958 actually recorded at these crossings. hile the proportion of sampling may appear be remarkably small, the results were und to be statistically reliable.

An interview response rate of nearly 99 pernt was achieved and in all cases the full nount of information covered by the interew form was obtained. This exceptionally gh rate of response represented a conderable improvement over the level achieved the "one-shot" surveys conducted in the ust, thus ensuring a high degree of reliability this phase of the survey results.

While the basic purpose of the survey was obtain information as to the origins and estinations of automobiles and trucks using the Port Authority bridges and tunnels, applementary information was obtained containing purpose of trip, State in which the chicle was registered, number of passengers, pe of vehicle, and time, day, and direction trip. Buses were not included in the survey, because information on bus routes was callable from other sources.

Survey Design

The sample design for the 1958 survey had be both economical and practical in order meet the necessary restrictions as to reasonble work loads and the various working conditions which would be encountered in the field. The experience of the first year of operation (1958) and analysis of the survey results have indicated that the sample design did, in fact, meet these requirements.

There were a number of specific requirements which the survey had to be designed to accomplish:

1. Sample estimates had to be produced for a great variety of specified lines of travel with many different levels of traffic volume. A line of travel was defined as traffic moving between two geographically specified zones. These estimates had to be provided not only for the Port Authority vehicular crossings combined, but for each facility separately, on an annual basis as well as for each of the four seasons of the year.

2. The statistical population from which the sample was to be taken included all revenue (toll-paying) automobiles, trucks, and tractor-trailers using the Port Authority vehicular crossings on weekdays, Saturdays, and Sundays

3. The sample was to be a probability sample requiring that every vehicle trip made during the year have a known probability of selection. This was to be achieved by a randomized-selection procedure at every stage of the sample design.

4. The level of reliability required in the survey specified that with the expected overall sample size of 100,000 interviews, a sample estimate of 1 percent, or 1,000 interviews, would have a coefficient of variation (maximum margin of error) of the order of 3 to 6 percent.

5. There had to be built into the sample design a procedure for developing proper measurements of the standard errors of the various sample estimates.

Development of general sample design

The general sample design had to be such that all of the statistical requirements for a probability sample were met. The design also had to accommodate the practical problems encountered in the actual conduct of the survey. These two considerations necessitated development of a number of procedures, which are outlined in the following paragraphs.

The survey budget permitted the assignment of four permanent field survey interviewers to this project. These interviewers were to be responsible for both the field work and the office work in connection with the survey. Since this was the limit of the manpower available, it was determined that the field work had to be restricted to 11 tours of duty per week.

Reasonable working hours and the necessity of minimizing fluctuations in hourly traffic volumes within shifts were used as the criteria in designating the hours for shifts, which became the primary sampling units. The shifts were set up as 8-hour periods to be spent at one facility on any one of the seven days of the week. The hours of the shifts decided upon were 11 p.m.—7.a.m., 7 a.m.—3 p.m., and 3 p.m.—11 p.m.

To insure good coverage of origin and destination patterns, directional flows, vehicle types, and traffic volumes at each facility dur-

ing each 8-hour period, the design provided that the interviewer would move from one lane to another each hour in a prescribed pattern of rotation. Specified relief periods were included in each hour, but it was assumed, with good reason, that the traffic patterns during these relief periods would not be different from those sampled during the interviewing periods.

Because of the distances involved in covering the entire toll plaza of a facility, which ranged from 14 to 26 lanes, and were in some cases geographically separated, it was necessary to subdivide each facility into two or more "locations." These locations were selected in such a manner as to allow an interviewer to enumerate the number of lanes open within a location in each hour. The interviewer would rotate among the lanes at one specified location for 4 hours and then move on to another location.

Experience with previous "one-shot" surveys, supplemented by field testing, indicated that an average work load of 40 interviews per hour could be achieved by an interviewer. This does not mean that in very busy periods more than 40 interviews per hour could not be obtained. It does mean that throughout the conduct of the survey an average rate of about 40 interviews per hour was achievable.

A knowledge of hourly traffic variations at each facility on the different days of the week was utilized in selecting uniform sampling rates during each shift that would allow for reasonable work loads for the interviewers. The possibility of selecting any shift at any facility was proportionate to the amount of traffic volume expected to occur during that shift.

To assure a self-weighting sample, a procedure of balancing actual interviews against expected interviews was introduced. Thus, interviews could be duplicated or omitted, depending on such factors as the ratio of actual to expected lanes open, loss of interviewing time due to bad weather, and nonresponse.

A detailed description of the development of the four stages of the sample design, together with a discussion of the mathematical expressions involved, is included in appendix A. A discussion of the reliability of the results in included in appendix B.

In developing the statistical sample design the Port Authority enlisted the aid of Mr. S. T. Hitchcock and Mr. Nathan Lieder of the Bureau of Public Roads and Dr. Leslie Kish of the Survey Research Center of the University of Michigan. Dr. Kish served as statistical consultant during the entire conduct of the 1958 survey.

Field interview form

The field interview form was designed to accomplish two basic purposes: To facilitate quick and accurate recording of information obtained in the interviews; and to simplify the coding of this information for punch-card processing. After considerable field testing a form was developed which provided ample space for recording eight interviews on each sheet but also permitted a considerable amount of the required information, common to all eight interviews, to be recorded only once.



Figure 1.—The interview questionnaire.

The top and bottom of the form are shown in figure 1. The actual form had eight sections for recording individual trip information.

THE 1958 SURVEY RESULTS

Average Daily Traffic

The average daily traffic volumes carried by the three Hudson River crossings of the Port of New York Authority—the Holland and Lincoln Tunnels and the George Washington Bridge—are shown in table 1, classified by facility, type of day, and type of vehicle. These figures, recorded as actual counts at the facilities, reached an impressive grand total of 80.7 million motor-vehicle crossings in 1958.

The 1958 origin and destination survey included automobiles and trucks using the three trans-Hudson crossings, but did not cover buses. It must be kept in mind, therefore, that the percentage figures shown in the tables and charts that follow apply to automobiles and trucks only, and cannot be applied to bus traffic.

Trip Origins and Destinations

The great majority of vehicles crossing the Hudson River in 1958 via the 3 Port Authority facilities had at least 1 terminus of their journey in the 18-county New York-New Jersey metropolitan area. This area included the 5 boroughs of New York City, 9 counties in northern New Jersey, and 4 counties in "upstate" New York and Long Island. Their locations appear in figure 2. The relative locations of the three trans-Hudson crossings are shown in figure 4 on page 92.

As shown in table 2, on weekdays 83 percent of the trans-Hudson vehicle trips were wholly within the metropolitan area, 14 percent either began or ended within the area, and only 3 percent passed through on the way to and from points outside of the area. On Sundays the intra-metropolitan area trips dropped to less than 78 percent of the total, with corresponding increases to 19 percent in trips with one terminus outside of the area and to 4 percent in the through traffic.

On both weekdays and Sundays the George Washington Bridge handled the major share of the trans-Hudson trips which had both ends outside of the metropolitan area. Pre-

sumably this reflects the role of the New Jersey Turnpike in handling this traffic, most of which is oriented in a southwest-northeast direction. There was a very close similarity in the distribution of trips through the Lincoln and Holland Tunnels, as between these three basic categories of origins and destinations. The two tunnels handled very little of the traffic passing entirely through the metropolitan area on weekdays.

A segregation of the total trans-Hudson vehicle trips into those originating or terminating in each county, as shown in figure 2 indicated that the Manhattan CBD (central business district) accounted for the largest share of the traffic in 1958—a third of the weekday total. It also showed that west of the Hudson River three counties—Bergen Hudson, and Essex—produced nearly two-thirds of the total trans-Hudson weekday trip origins and destinations.

The analysis also illustrated the significant differences that existed between weekday and Sunday traffic patterns. East of the Hudson River, 49 percent of the total trans-Hudson vehicle trips began or ended in Manhattan or the average weekday in 1958; on Sundays however, this proportion dropped sharply to 38 percent. Without exception, all of the other areas east of the Hudson River ac counted for larger proportions of the tota traffic on Sundays than on weekdays. Wes of the Hudson River, the traffic patterns also shifted toward the periphery on Sundays Traffic to and from the core area, particularly Hudson County, dropped sharply on Sundays whereas both the northern area and souther area experienced large Sunday increases a compared with their weekday share of the traffic.

Lines of travel

In order to get a reasonably accurate pictur of the primary lines of travel of the 125 trans-Hudson traffic and to array the man different trip origins and destinations covere in the survey into patterns that would aid i determining the points of greatest trans Hudson traffic demand, the trips were segregated into 30 different lines of travel between

Table 1.—Average daily motor-vehicle traffic in 1958 at 3 Hudson River crossings, by day of week and type of vehicle 1

Type of day at crossing	Automobiles	Trucks	Total auto- mobiles and trucks	Buses	Total all vehicles
George Washington Bridge: All days. Weekdays. Saturdays Sundays.	84,002	6, 843 8, 966 2, 880 1, 241	95, 683 92, 968 97, 238 106, 936	1, 754 2, 008 1, 236 1, 129	97, 437 94, 976 98, 474 108, 065
Lincoln Tunnel; All days Weekdays Saturdays Saturdays Sundays	50, 343 58, 100	9, 053 12, 239 2, 665 1, 068	61, 942 62, 582 60, 765 61, 182	6, 236 6, 740 5, 416 4, 766	68, 178 69, 322 66, 181 65, 948
Holland Tunnel; All days. Weekdays Saturdays Sundays.	37, 581	13, 701 18, 660 3, 184 1, 954	55, 224 56, 241 51, 160 55, 529	357 347 412 345	55, 581 56, 588 51, 572 55, 874
Three crossings combined; All days. Weekdays. Saturdays Sundays.	200, 434	29, 597 39, 865 8, 729 4, 263	212, 849 211, 791 209, 163 223, 647	8, 347 9, 095 7, 064 6, 240	221, 196 220, 886 216, 227 229, 887

¹ From actual counts at the facilities.

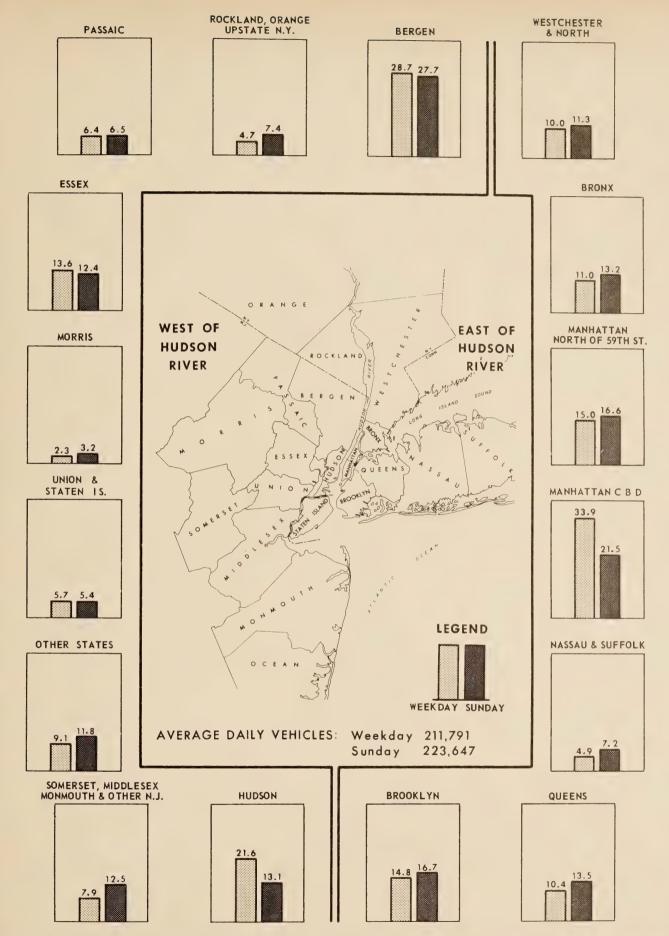


Figure 2.—Percentage distribution of origins and destinations of trans-Hudson traffic by counties on average weekdays and Sundays in 1958.

IBLIC ROADS • Vol. 31, No. 4

Table 2.—Percentage distribution of trans-Hudson vehicle trips in 1958 by facility and by trip origin and destination in relation to the metropolitan area

	Percents	ge distri-	Percentage distribution according to trip end							
Crossing		y facility		Weekdays			Sundays			
	Week- days	Sundays	Both ends in area	One end outside	Both ends outside	Both ends in area	One end outside	Both ends outside		
George Washington Bridge Lincoln Tunnel Holland Tunnel Three crossings combined	43. 9 29. 6 26. 5 100. 0	47. 8 27. 4 24. 8 100. 0	80. 4 86. 0 85. 1 83. 4	13. 5 13. 6 14. 3 13. 7	6.1 .4 .6 .2.9	77. 1 78. 2 77. 1 77. 5	15. 8 21. 2 22. 3 18. 8	7.1		

6 zones west of the Hudson River and 5 east of the river. This is shown in table 3.

Examination of the data indicates again the considerable difference between weekday and Sunday traffic patterns in many cases. Weekday patterns are oriented much more closely around the Manhattan CBD and the central area of New Jersey. For example, trips between the Manhattan CBD and Hudson County, which comprised 9 percent of the total trans-Hudson trips on weekdays, dropped to 4 percent on Sundays. Sunday patterns tend more toward the outlying areas, emphasizing the desirability of peripheral routes. If peripheral traffic is considered as those vehicles which have neither origin nor destination in the Manhattan CBD or in Hudson County, the peripheral traffic accounted for 53 percent of the total on weekdays and 69 percent on Sundays.

Choice of crossing

In figure 3, trans-Hudson traffic of an annual average day in 1958 has been further grouped into nine basic lines of travel in order to show how traffic over each of the nine lines was distributed among the three Hudson River crossings. The origin-destination groupings used were as follows: Northwest—Bergen, Passaic, and north; Central West—North Hudson, Essex, and Morris; Southwest—Union, South Hudson, and south; Northeast—Manhattan north of 59th St., Bronx, and north; Central East—Manhattan from Houston St. to 59th St., Queens, Nassau, and Suffolk; Southeast—Manhattan south of Houston St., and Brooklyn.

It can be seen that most of the traffic over the three east-west lines of travel (northwestnortheast, central west-central east, and southwest-southeast) used the closest facility. The choice of facility made by vehicles traveling over the diagonal lines of travel, however, reflected to a considerable extent the highways available. Thus, 66 percent of the traffic moving over the southwest-northeast line used the George Washington Bridge—the most northerly of the three crossings—and proceeded up and down the Hudson River on the west side, probably utilizing the New Jersey Turnpike. On the other hand, over the northwest-southeast line of travel, 56 percent used the George Washington Bridge and only 44 percent traveled west of the river, a reflection of the fact that there was no really good express route west of the river oriented in a northwest-southeast direction.

Truck origins and destinations

Truck traffic over the three Hudson River crossings in 1958 constituted about 18 percent of the total weekday traffic. At the Holland Tunnel approximately one-third of the weekday vehicles were trucks. Since the average truck uses as much crossing capacity as at least two automobiles, it can be said that nearly 50 percent of the Holland Tunnel weekday capacity and 30 percent of the combined weekday capacity of the three Hudson River crossings was utilized by trucks.

In view of the importance of these truck movements from a capacity standpoint, a separate analysis was made of weekday truck origins and destinations. The analysis showed a considerably greater concentration of truck origins and destinations than was the case for automobiles. East of the river, nearly 41 percent of the trans-Hudson truck trips began or ended in the Manhattan CBD, with 15 percent destined to or from the area below Houston Street, 15 percent from

Houston to 34th Street, and 11 percent from 34th to 59th Street. Another 20 percer had origins or destinations in Brooklyn an 15 percent in Queens and Long Island.

West of the Hudson River, Hudson Count was the largest generator of truck traffic nearly 36 percent of the weekday trans-Hudson truck trips started or finished in the county and Staten Island. More than ha of these trips were destined to or from the Manhattan CBD, with a fairly even split is route between the Holland and Lincoln Tunnels. Approximately 15 percent of the truck trip origins and destinations west of the rive were found in Essex County, with a concentration of these in Newark.

There is a certain amount of ambiguity i these Hudson and Essex County truck tri figures. A number of over-the-road true terminals located in those counties were use as break-bulk points or places where a chang was made from an over-the-road to a loca driver. Thus, an undetermined number of truck trips which appeared to originate of terminate in Hudson and Essex Countie probably were over-the-road trips or wer carrying over-the-road freight. In this cor nection, it is interesting to note that 20 per cent of the truck trips over the three Poi Authority Hudson River crossings in 195 had either their origin or destination out side of the Port District, and about 3 per cent were through trips having both origi and destination outside. Comparative fig ures for automobiles were 12 percent and percent, respectively.

The geographic distribution of truck tri movements is shown in figure 4.

Trends in Origin-Destination Patterns

To utilize origin-destination informatio in planning for future vehicular needs, it i necessary to obtain not only a knowledg of current traffic patterns but also an under standing of the trends that have developed i these patterns, particularly in the postwayears. These trends can then be related the shifts in the geographic concentrations of population and industry which have take place in the past within the metropolita area and are expected to occur in the future and forecasts of future origin and destinatio patterns can thus be produced.

Table 3.—Percentage distribution of trans-Hudson vehicle trips in 1958 among 30 primary lines of travel

					To or fro	m zones ea	st of Huds	on River				
To or from zones west of Hudson River	Broo	klyn	Manhat	tan CBD	Upper M	anhattan	Queens, Suff		Bronx, W upper New E			east of 1 River
	Weekday	Sunday	Weekday	Sunday	Weekday	Sunday	Weekday	Sunday	Weekday	Sunday	Weekday	Sunday
West Bergen, Passaic, Rockland, Orange, other north. East Bergen. Morris, Essex North Hudson. South Hudson, Staten Island. Union. Somerset, Middlesex, Monmouth, other N.J., other States Total west of Hudson R.	3. 2 1. 1 2. 9 1. 6 2. 9 3. 5 15. 2	5. 2 1. 5 2. 9 1. 1 1. 3 4. 9 16. 9	7. 7 4. 5 6. 0 4. 3 4. 7 6. 3 33. 5	5. 2 2. 6 3. 9 1. 8 2. 3 5. 3 21. 1	5. 0 4. 1 1. 8 1. 0 1. 1 2. 0 15. 0	5. 2 3. 9 2. 5 1. 0 1. 0 3. 5	3. 4 1. 6 2. 6 1. 6 1. 8 4. 2 15. 2	5. 1 2. 7 3. 4 1. 2 1. 4 6. 7 20. 5	5. 4 3. 8 2. 6 1. 4 1. 6 6. 3 21. 1	6. 1 4. 5 2. 9 1. 1 1. 4 8. 4 24. 4	24. 7 15. 1 15. 9 9. 9 12. 1 22. 3 100. 0	26. 8 15. 2 15. 6 6. 2 7. 4 28. 8 100. 0

In identifying trends in trans-Hudson trip rigin-destination patterns, through comparion of the 1958 continuous survey results ith the results of the "one-shot" surveys of 949 and 1956, it was necessary to keep in ind that certain statistical variations would ccur which do not reflect basic trend changes. The following discussion of trends, therefore, is concentrated primarily on those changes that showed up over the whole period from 1949 to 1958 rather than on changes that appeared only between 1956 and 1958. Because a substantial number of vehicles crossed the Hudson River by ferry in 1949, such

vehicle trips were included in the 1949 figures.

General changes

UNION

Comparison of the 1958 and 1949 traffic data, presented in table 4, showed that without exception traffic originating or ter-

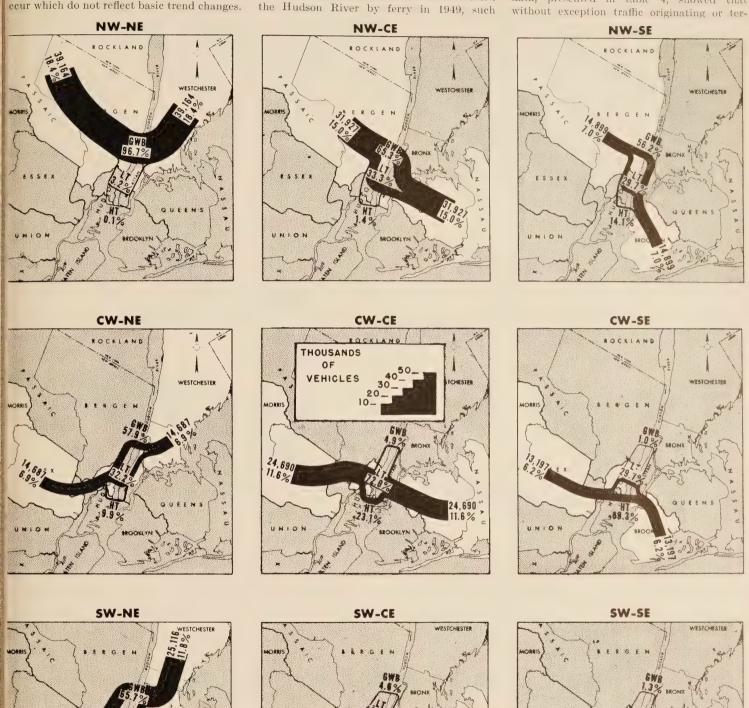


Figure 3.—Percentage distribution of trans-Hudson vehicular traffic in 1958 over nine primary lines of travel, by facility used.

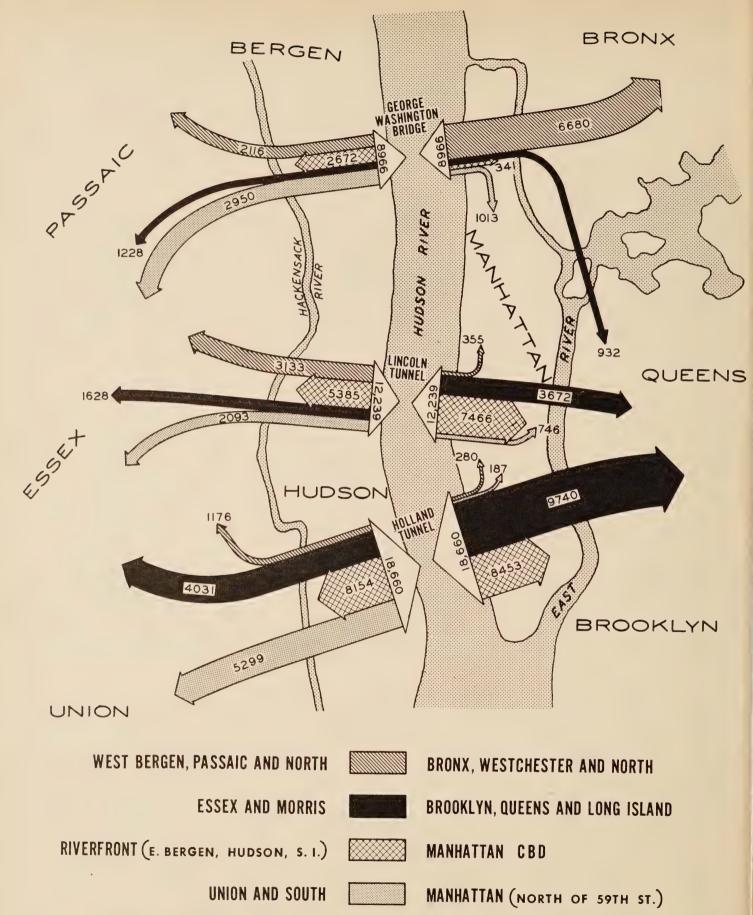


Figure 4.—Geographic distribution of trans-Hudson truck traffic on an average weekday in 1958.

Table 4.—Trends in trans-Hudson vehicular trips on weekdays and Sundays, 1949-58, according to origin and destination

		Nu	imber of v	vehicle tri	ps		Per	centage	distrib	ition
Area of origin or destination	Avei	age week	day	Ave	rage Sun	Weekdays		Sundays		
	1949 1	1958	In- crease 2	1949 1	1958	In- crease ²	1949 1	1958	1949 1	1958
Nassau, Suffolk Total east of Hudson R	13, 232 4, 544	71, 797 31, 769 103, 566 23, 297 21, 179 31, 345 22, 026 10, 378 211, 791	Percent 36.7 78.7 47.3 74.3 74.1 56.3 66.5 128.4 58.5	33, 697 24, 363 58, 060 22, 939 19, 143 27, 844 20, 883 9, 334 158, 203	48, 084 37, 126 85, 210 29, 521 25, 272 37, 349 30, 192 16, 103 223, 647	Percent 42.7 52.4 46.8 28.7 32.0 34.1 44.6 72.5 41.4	39. 3 13. 3 52. 6 10. 0 9. 1 15. 0 9. 9 3. 4 100. 0	33. 9 15. 0 48. 9 11. 0 10. 0 14. 8 10. 4 4. 9	21. 4 15. 5 36. 9 14. 1 12. 4 17. 7 13. 2 5. 7 100. 0	21. 5 16. 6 38. 1 13. 2 11. 3 16. 7 13. 5 7. 2
West of Hudson R.: Rockland, Orange, upstate N,Y Bergen. Passaie. Hudson. Essex. Morris Union, Staten Island. Middlesex, Monmouth and other N.J. Other States. Total west of Hudson R	7,752 27,800 10,291 35,016 24,057 2,673 7,084 8,955 10,024 133,652	9, 954 60, 784 13, 555 45, 747 28, 804 4, 871 12, 072 16, 731 19, 273 211, 791	28. 4 118. 6 31. 7 30. 6 19. 7 82. 2 70. 4 86. 8 92. 3 58. 5	16, 453 33, 222 12, 182 22, 939 24, 838 5, 379 9, 018 16, 611 17, 561	16, 550 61, 950 14, 537 29, 298 27, 732 7, 157 12, 077 27, 956 26, 390 223, 647	. 6 86.5 19.3 27.7 11.7 33.1 33.9 68.3 50.3	5. 8 20. 8 7. 7 26. 2 18. 0 2. 0 5. 3 6. 7 7. 5 100. 0	4.7 28.7 6.4 21.6 13.6 2.3 5.7 7.9 9.1 100.0	10. 4 21. 5 7. 6 15. 7 15. 4 3. 3 5. 6 10. 1 10. 4 100. 0	7. 4 27. 7 6. 5 13. 1 12. 4 3. 2 5. 4 12. 5 11. 8

¹ Includes vehicles on Hudson River ferries. 2 1958/1949.

minating in each of the counties in the metropolitan area had increased both on weekdays and on Sundays. There were, however, marked differences in the amount of growth produced in the different counties, particularly on weekdays and in those counties located west of the Hudson River. In general, as might be expected from the population and industry trends in the metropolitan area, the traffic growth was most pronounced in the outlying counties, with the areas in the core lagging behind. This, of course, emphasizes the growing importance of peripheral facilities and routings, especially since future population and industry growth in the metropolitan area is expected to be concentrated in the outlying areas.

West of the Hudson River, Bergen, Morris, and Union Counties and areas to the south and west of Union all have shown greater than average increases in weekday trans-Hudson trip origins and destinations since 1949. The older counties of Hudson, Essex, and Passaic, in contrast, recorded substantially less than average growth during this period. This is particularly significant because, next to Bergen County, these three older counties have been the largest trans-Hudson traffic generators west of the river.

Although average Sunday trans-Hudson traffic has risen more slowly since 1949 than has the average weekday traffic (41 percent for Sundays as compared with 59 percent for weekdays) substantially the same differential growth trends which occurred on weekdays in the counties west of the Hudson River also took place on Sundays. Thus, Sunday traffic volumes to and from the outlying areas, particularly Bergen, Middlesex, Monmouth, and the south, had high growth rates whereas Hudson, Essex, and Passaic had less than average increases.

East of the Hudson River, weekday traffic to and from the Manhattan CBD has increased considerably less than the average. This, too, is significant because in 1958 the Manhattan CBD still accounted for one-third of all weekday trans-Hudson trip origins and destinations. Traffic to and from Brooklyn and Queens experienced average growth; origins or destinations in Manhattan above 59th Street, the Bronx, Westchester, and north increased at a somewhat faster than average rate; and traffic generated in Nassau and Suffolk grew at a considerably faster than average rate. As to Sunday traffic, with the exception of Nassau and Suffolk which had increases of 73 percent, all of the other counties east of the Hudson showed about an average or less than average rate of growth. It is interesting to note that in contrast to its weekday trend, the Manhattan CBD Sunday trans-Hudson origins and destinations grew at a slightly higher rate than the average amount of 41 percent over the 10-year period.

A comparison of the 1958 data with the 1956 survey data (not included in this report) showed two interesting developments which, because of their consistency in all segments of the traffic, appeared to be bona fide trend developments rather than statistical variations. In 1956, traffic to and from Orange, Rockland, and upstate New York dropped sharply as a proportion of the total trans-Hudson traffic. Presumably this was occasioned by diversions to the newly-opened Tappan Zee Bridge, the Hudson River crossing of the New York Thruway located about 15 miles north of the George Washington Bridge. In 1958, however, this segment of traffic began to rise again, both on weekdays and Sundays. It may be expected that this traffic will continue to rise as motorists become more familiar with the advantages of the Palisades Interstate Parkway route (completed August 1958), running north from the George Washington Bridge on the west side of the Hudson River.

Average Sunday trans-Hudson traffic volumes in 1958 dropped below the 1957 totals and were virtually the same as those of 1956. Comparison of the 1958 with the 1956 survey results indicated that a major reason for this poor Sunday record was a substantial drop in traffic to and from points outside of the metropolitan area to the south and west and the northeast. After increasing from 15 percent of the Sunday traffic in 1949 to 21 percent in 1956, vehicle trips originating or terminating in areas south and west of the metropolitan area declined to 17 percent in 1958. Similarly, vehicle trips destined for New England and upstate New York east of the Hudson River accounted for 6.7 percent of total Sunday trans-Hudson traffic in 1949, 7.5 percent in 1956, but only 6.5 percent in 1958. It is very likely that both the recession and the abnormally poor weather in 1958 contributed to this drop in the relatively long-haul Sunday traffic, and it is to be expected that the 1959 survey results will show a resurgence in this traffic.

Truck trip trends

Weekday trans-Hudson truck traffic increased only 23 percent from 1949 to 1958, as compared with the 59 percent growth in total trans-Hudson traffic over the same period. Changes in truck origin and destination patterns since 1949 also were less pronounced than those shown for all vehicle trips combined. The dominant fact revealed by analysis of truck trends, however, is that, despite this relative stability, the truck trends show the same tendency found in the analysis of total trans-Hudson traffic volumes: a lessening of the importance of the core areas and increased importance of the outlying areas as traffic-generators.

The distributions of truck trip origins and destinations in 1949 and in 1958 are shown in table 5. East of the Hudson River, 47 percent of the weekday truck trips originated or terminated in the Manhattan CBD in 1949, but this figure had declined to 41 percent in 1958.

Table 5.—Trends in weekday trans-Hudson truck trips, 1949-58, according to origin and destination 1

Area of origin or destination		ge distribu in—
	1949 2	1958
East of Hudson R.: Manhattan CBD Manhattan, north of 59th St. Bronx Westchester Brooklyn Queens Nassau, Suffolk Upper N.Y. and N. Eng.	10, 6 2, 6 5, 1	40.6 5.0 7.7 3.8 20.2 11.1 4.2 7.4
Total east of Hudson R West of Hudson R.: Orange, Rockland, upper N.Y. Bergen	100.0 4.5 9.7 6.1 40.4 17.1 1.1 4.4 4.3 2.7 9.7	3. 1 12. 5 6. 0 35. 7 15. 5 1. 3 5. 6 4. 5
Total west of Hudson R		100.0

 $^{^{\}rm l}$ The average numbers of weekday truck trips were 33,446 in 1949 and 41,234 in 1958. $^{\rm 2}$ Includes trucks on Hudson River ferries.

Manhattan north of 59th Street also experienced a modest decline in its proportionate share of the traffic. The only areas whose share of the total traffic increased substantially were Nassau and Suffolk and upper New York and New England. West of the Hudson River, the total truck traffic originating or terminating in Hudson County declined from 40 percent in 1949 to 36 percent in 1958. The total truck traffic also dropped in Essex County, from 17 percent in 1949 to 16 percent in 1958. Bergen County, in contrast, produced less than 10 percent of the truck trips in 1949 but accounted for 13 percent in 1958.

The Tappan Zee Bridge diversions and the recession and bad weather in 1958 had much the same effect on west-of-the-river truck origin and destination patterns as was observed for the total trans-Hudson traffic. Truck trips to and from Orange, Rockland, and upper New York declined from 4.5 percent of the total in 1949 to 1.9 percent in 1956 but increased to 3.1 percent in 1958. Truck trips to and from points south and southwest of the metropolitan area rose from 12.4 percent of the total in 1949 to 19.7 percent in 1956 but dropped sharply in 1958 to 15.8 percent.

Lincoln Tunnel: Effect of third tube

The opening of the third tube of the Lincoln Tunnel in May 1957, which provided additional traffic lanes for this facility, eliminated the congestion and resulting delays which had been occurring there regularly during peak periods. The availability of this faster route through the Lincoln Tunnel resulted in both traffic-generation and diversion from other facilities, as shown by the fact that the tunnel's share of the total trans-Hudson traffic (including buses) increased from 27.7 percent in 1956 before the third tube's opening to 30.8 percent in 1958.

A special "before and after" study was made of the 1956 and 1958 survey results to measure the changes in trans-Hudson origin and destination patterns which were produced by the additional capacity at the Lincoln Tunnel. The study showed that each of the primary

Table 6.—Percentage of total trans-Hudson vehicular traffic which used the Lincoln Tunnel, 1956–58

Area of origin or destination	1956	1958
East of Hudson R.:	Pct.	Pct.
Manhattan CBD	1 42. 2	1 47. 5
Manhattan, north of 59th St	19. 2	22. 9
Bronx	5. 9	5. 1
Westchester	5.4	6.0
Brooklyn	17.0	22. 2
Queens	32. 3	39. 2
Nassau	25. 2	32. 7
Suffolk	23. 2	32. 2
Upper N.Y. and N. Eng	6. 5	4. 2
Total east of Hudson R	26. 1	29. 5
West of Hudson R.:		
Orange, Rockland, upper N.Y.	6. 7	11.3
Bergen	13. 1	15. 1
Passaic	42.9	47.1
Hudson	33. 6	40.4
Essev		35. 8
Morris		52. 7
Union and Staten Island	25. 1	26. 4
Somerset	26. 0	29. 6
Middlesex		34.3
Monmouth		35. 2
Other N.J. and other States	26. 7	30.3
Total west of Hudson R	26. 1	29. 5

 $^{^{\}rm 1}$ If buses were included these figures would be 46 percent in 1956 and 52 percent in 1958.

Table 7.—Percentage distributions showing seasonal variations of trans-Hudson weekday vehicular trips in 1958 among 30 primary lines of travel

		To or from zones east of Hudson River					
To or from zones west of Hudson River	Season 1	Brooklyn	Manhat- tan cbd	Manhat- tan north of 59th St.	Queens, Nassau, Suffolk	Bronx, Westchest- er, upper N.Y., New England	Total east of Hudson River
West Bergen, Passaic, Rockland, Orange, other north	1st 2d 3d 4th Year		8. 1 7. 7 7. 5 7. 6 7. 7	5. 1 5. 1 4. 6 5. 2 5. 0	3. 1 3. 4 3. 5 3. 4 3. 4	5. 3 5. 5 5. 4 5. 3 5. 4	24. 4 25. 3 24. 6 24. 3 24. 7
East Bergen	1st 2d 3d 4th Year	1. 0 1. 2 1. 1 1. 1 1. 1	5. 4 4. 7 4. 0 4. 2 4. 5	4. 5 3. 8 3. 9 4. 3 4. 1	1. 3 1. 7 1. 8 1. 6 1. 6	4. 0 3. 6 3. 6 4. 0 3. 8	16. 2 15. 0 14. 4 15. 2 15. 1
Morris, Essex	1st	2. 9 2. 8 2. 9 3. 0 2. 9	6. 5 6. 0 5. 5 6. 2 6. 0	1. 9 1. 7 1. 8 1. 6 1. 8	2. 2 2. 9 2. 8 2. 6 2. 6	2. 2 2. 8 2. 7 2. 5 2. 6	15. 7 16. 2 15. 7 15. 9 15. 9
North Hudson	1st 2d 3d 4th Year	1. 6 1. 4 1. 7	4. 5 4. 1 4. 0 4. 4 4. 3	1.3 .9 .9 1.1 1.0	1. 7 1. 4 1. 5 1. 7 1. 6	1. 3 1. 4 1. 5 1. 4 1. 4	10. 7 9. 4 9. 3 10. 3 9. 9
South Hudson, Staten Island	1st 2d 3d 4th Year	2. 7 2. 7 3. 1	5. 1 4. 6 4. 7 4. 8 4. 7	1. 4 1. 1 1. 0 1. 1 1. 1	1. 8 2. 0 1. 9 1. 6 1. 8	1. 6 1. 4 1. 5 1. 7 1. 6	12. 9 11. 8 11. 8 12. 3 12. 1
Union, Somerset, Mid- dlesex, Monmouth, other N.J., other States	1st 2d 3d 4th	4. 1 3. 2	6. 5 6. 5 6. 1 6. 3 6. 3	1.8 2.2 2.1 1.9 2.0	4. 0 4. 3 4. 6 3. 9 4. 2	4. 6 6. 1 7. 3 6. 7 6. 3	20. 1 22. 3 24. 2 22. 0 22. 3
Total west of Hudson R	1st 2d 3d 4th Year	15. 1 15. 8 14. 9	36. 1 33. 6 31. 8 33. 5 33. 5	16. 0 14. 8 14. 3 15. 2 15. 0	14. 1 15. 7 16. 1 14. 8 15. 2	19. 0 20. 8 22. 0 21. 6 21. 1	100. 0 100. 0 100. 0 100. 0 100. 0

¹ The seasons and the average weekday trips in each are: 1st, Jan.–Mar., 181,670 trips; 2d, Apr.–June, 217,545 trips; 3d, July–Sept., 230,889 trips; 4th, Oct.–Dec., 216,332 trips; annual average, 211,791 trips.

trans-Hudson traffic-generating areas increased its proportionate usage of the Lincoln Tunnel in 1958 but that certain of these areas experienced larger increases than others.

On an average weekday in 1958 about 41,000 automobiles, trucks, and buses destined to or from the Manhattan CBD passed through the Lincoln Tunnel. This amounted to 52 percent of the total weekday trans-Hudson vehicles with CBD origins or destinations, as compared with the 46 percent handled by the tunnel in 1956. Thus, one effect of the opening of the third tube has been to make the Lincoln Tunnel the major gateway to the central business sector of the region. As shown in table 6, the tunnel's 1958 share of the total trans-Hudson traffic (excluding buses) to and from Brooklyn, Queens, and Long Island also increased substantially over 1956. The opening of the third tube had little or no effect on the routing of traffic to or from the Bronx, Westchester, and

West of the Hudson River, the opening of the third tube seems to have resulted in a fairly even distribution geographically of the increases in the proportion of total traffic using the Lincoln Tunnel. All of the counties west of the river apparently were equally benefited by the elimination of delays at the Lincoln Tunnel. The primary consideration which produced variations in the amount of trans-Hudson traffic diverted to the tunnel in 1958 appears to have been the relative advantages afforded by the three-tube tunnel in serving the different points of origin or destination on the east side of the river.

Seasonal, Daily, and Hourly Traffic Variations

Information concerning average annua origin-destination patterns is important for many planning purposes. It is just as important, however, to obtain a knowledge opeak period patterns, which provide the basis for the development of design criteria upor which the physical plans for vehicular facilities are based. Seasonal and hourly variations are two important indicators of peak conditions. One of the great advantages of a continuous sampling type of origin-destination survey is its ability to develop such information.

Seasonal variations

The 1958 survey was designed to provide seasonal data by dividing the survey period into four 13-week quarters approximately equivalent to the four seasons of the year Framed within the calendar year, January-March was labeled the first season, April-June the second, July-September the third and October-December the fourth. Analysis showed that seasonal variations did, in fact exist, particularly in traffic to and from certain areas. The analysis also confirmed the previous belief that the first and third seasons (winter and summer) represent the extremes in seasonal variations in origindestination patterns and that the second and fourth seasons (spring and fall) are very similar to each other and generally fairly representative of the average annual pattern

The size of the 1958 sample on weekdays and the grouping of the many trips into the oprimary lines of trans-Hudson vehicular ravel provided sufficient data so that statistially reliable origin-destination information vas obtained for each season of the year. The sample size for Sundays, however, while ufficient to yield reliable data on annual Sunday patterns, was too small to permit a ine analysis of Sunday traffic patterns in ach season. The analysis, therefore, was oncentrated primarily on weekday seasonal ariations. It is expected that combining the 959 origin-destination survey Sunday data vith the 1958 Sunday data will permit a eliable analysis of Sunday seasonal variations.

As shown in table 7, traffic to and from Manhattan accounted for 52 percent of the otal trans-Hudson weekday vehicle trips ast of the Hudson River during the winter first) season but dropped to 46 percent during he summer (third) period. The area of the Bronx and north, on the other hand, experinced an increase in its share of the total raffic from a winter proportion of 19 percent o 22 percent in the summer. Traffic to and rom Brooklyn, Queens and Long Island also howed modest proportional gains in summer.

West of the river, the largest variation was observed in trips to and from Union County and the south, which accounted for 24 percent of all trans-Hudson trips in the summer out only 20 percent in the winter. Trips between this area and the area of the Bronx and north (east of the Hudson River) experienced the largest seasonal variation, producng 7.3 percent of the summer traffic but only 1.6 percent in winter. The areas adjacent to and west of the Hudson River—East Bergen, North and South Hudson, and Staten Islandall produced a smaller share of the total traffic n the summer than in the winter months. There was little noticeable seasonal variation n the other areas west of the river.

Further study of the data showed clearly hat traffic to and from Union County and he south varied seasonally even more on Sundays than on weekdays. Vehicles with rigins or destinations in this area accounted or almost one-third of the total trans-Hudson rips on summer Sundays as compared with approximately one-quarter of the winter Sunday trips. Sunday traffic between this area and the Bronx and north produced 9.5 persent of the summer totals and only 7.2 percent of the winter Sunday volumes.

It is presumed that the primary cause of hese observed seasonal variations is that the listribution between business and nonbusiness trips changes markedly from season to season. These changes in purpose of trip are shown later in this report. Trips with a business purpose tend to be concentrated in the core of the metropolitan area, and it is these areas which account for a higher proportion of the trans-Hudson trips in the winter and nonsummer months. Nonbusiness trips, on the other hand, are oriented toward the more peripheral areas which, as a result, show higher proportions in the summer when nonbusiness trips reach their highest level. Thus, it follows that the summer months and

Table 8.—Percentage distribution of weekday trans-Hudson automobile trips in 1958, showing peak-hour origin-destination patterns

Area of origin or destination	Both di- rections, 24 hours	Predomina	nt direction	Reverse direction	
		7-10 a.m. east bound	4-7 p.m. westbound	7-10 a.m. westbound	4-7 p.m. eastbound
East of Hudson R.: Bronx, Westchester and Manhattan north of 59th St. Manhattan CBD. Brooklyn, Queens, Long Island. Total east of Hudson R.: West of Hudson R:	29. 2	21. 9 18. 4 39. 3 20. 4	21, 5 18, 4 38, 9 21, 2 100, 0	25, 4 17, 8 17, 5 39, 3	23, 2 20, 7 24, 1 32, 0
Rockland, Orange, Passaic, West Bergen, up- state N.Y. Essex, Morris Union, south East Bergen, Hudson, Staten Island Total west of Hudson R.	26. 7 15. 7 21. 4 36. 2 100. 0	31. 1 12. 4 13. 3 43. 2 100. 0	30. 9 13. 3 17. 5 38. 3 100. 0	30. 0 15. 4 19. 5 35. 1	28. 4 17. 2 20. 1 34. 3

weekends will produce the peak conditions which peripheral facilities must be designed to handle but that facilities serving the core areas should be designed for commuter peaks during the nonsummer months.

Hourly variations

Analysis of the weekday origin-destination data for 1958 by hour of the day and by direction indicated that in the future more attention must be focused on peak-hour origin and destination patterns, particularly on the east side of the Hudson River. The survey revealed that large differences existed between the average pattern for an entire weekday in both directions and the patterns which occur during peak periods in each direction. This is shown, for automobile trips only, in table 8.

On an average weekday in 1958 about 32 percent of the trans-Hudson automobile trips originated or terminated in the Manhattan cbd. During the peak periods in the predominant direction (7–10 a.m. eastbound and 4–7 p.m. westbound) this figure rose to 39 percent. Conversely, for the reverse direction during the morning peak period, westbound from 7–10 a.m., only 18 percent of the trans-Hudson automobiles originated in the cbd, and in the reverse direction during the evening peak period, 4–7 p.m. eastbound, only 24 percent terminated in the cbd.

Just the opposite trend is evident for Brooklyn and Long Island traffic. On an average weekday, this area accounted for 29 percent of the total trans-Hudson automobile trips. However, in the predominant directions of travel—eastbound in the morning peak period and westbound in the afternoon peak-Brooklyn and Long Island accounted for only about 21 percent of the total. In the reverse direction, 7-10 a.m., this area accounted for 39 percent of the westbound trans-Hudson automobile trips, and in the evening peak, 4-7 p.m., 32 percent of those eastbound. Hourly variations in traffic to and from upper Manhattan and the area of the Bronx and north were much less pronounced, although the reverse flow in some instances, especially the 7-10 a.m. westbound movement to and from the Bronx and north, accounted for a higher proportion of the total traffic than was the case for the entire 24 hours.

These variations clearly indicate the necessity of using peak origin and destination

figures rather than average daily figures in planning ramps or approaches on the east side of the Hudson River. The tremendous differences in directional origin and destination patterns during the peak hours also suggest the possibility of utilizing reversible lanes on these ramps or approaches. Finally, the peak patterns show that the bulk of the New York automobile trips to New Jersey in the peak periods originate in areas outside of Manhattan whereas the majority of the peak-period New Jersey automobile trips are destined for Manhattan.

West of the Hudson River, the hourly origin and destination patterns did not generally vary as substantially from the 24-hour averages as they did east of the river. It is evident, however, that the area including Rockland, Orange, Passaic, West Bergen, and the north accounted for a higher proportion of the peak traffic volumes in both directions than it did over the entire 24 hours. In the predominant direction peak periods, eastbound in the morning and westbound in the evening, Essex and Morris Counties and the area of Union County and the south both generated a lower proportion of the trans-Hudson automobile trips than they did during the rest of the day. A plausible explanation is that relatively good commuter rail service exists in these areas. It is also worth noting that East Bergen and Hudson Counties, lying along the Hudson River, produced 43 percent of the eastbound 7-10 a.m. trans-Hudson automobile trips. This compares with a 24-hour figure for this area of 36 percent.

Purpose of Automobile Trips

The experienced interviewers used during the continuous sampling survey were able, in virtually all cases, to obtain complete information from each of the motorists interviewed. Thus, reliable survey data were obtained covering such travel characteristics as purpose of trip, occupants per vehicle, and residence (State) or license plate of each vehicle. Analysis of these data can contribute materially to an understanding of the various competitive factors that produce trans-Hudson travel and particularly factors motivating the choice of mode of transportation.

A question as to purpose of trip was included in the survey in order to obtain information concerning forces that generate trans-Hudson

Table 9.—Percentage distribution of trans-Hudson automobile trips by trip purpose

Trip purpose	Weekdays	Saturdays	Sundays	All days
George Washington Bridge: Work Recreation and other Shopping	62. 4 35. 7 1. 9	21. 7 75. 9 2. 4	9. 2 90. 3 . 5	46. 1 52. 2 1. 7
Lincoln Tunnel: Work Recreation and other Shopping		23. 5 72. 7 3. 8	14. 0 85. 4 . 6	46. 1 51. 6 2. 3
Holland Tunnel: Work. Recreation and other. Shopping.	67. 8 30. 6 1. 6	27. 1 70. 0 2. 9	10. 0 88. 2 1. 8	50. 1 48. 0 1. 9
Three crossings combined: Work Recreation and other Shopping	63. 1 34. 9 2. 0	23. 5 73. 5 3. 0	10. 8 88. 3 , 9	47. 0 51. 1 1. 9

automobile traffic volumes. The results, shown in table 9, indicate that of the total automobile trips during 1958 across the three Port Authority trans-Hudson facilities, 47 percent were made for business purposes, 51 percent were made for recreation and personal reasons, and a surprisingly small 2 percent for shopping purposes.

Of course, these figures varied according to the day of the week and the season of the year. Trips for work purposes accounted for 63 percent of the weekday trips, 24 percent of the Saturday trips, and 11 percent of the Sunday trips. Recreation and personal trips increased from a low of 35 percent on weekdays to 73 percent on Saturdays and 88 percent on Sundays. Shopping trips accounted for 2 percent on weekdays, 3 percent on Saturdays, and 1 percent on Sundays. Seasonally, the proportion of weekday trips made for work purposes varied from 68 percent during the winter months to 57 percent in the summer.

There were also variations in purpose of trips according to the trans-Hudson crossing used, particularly on weekdays. Nearly 68 percent of the weekday automobile trips through the Holland Tunnel had work as their purpose, compared with 62 percent for the George Washington Bridge and 60 percent for the Lincoln Tunnel. On Sundays 14 percent of the automobile trips through the Lincoln Tunnel had work as their purpose while only 10 percent of the Holland Tunnel and 9 percent of the George Washington Bridge trips were in connection with work. For each of the three facilities, the proportion

of trips made for shopping, whether weekday or weekend, never rose above 3 percent.

On an hourly basis, the survey showed that the usual assumption that most automobile trips made between 7 and 10 a.m. and 4 and 7 p.m. are work trips was substantially true in the morning but not in the afternoon. As shown in the upper half of table 10, during the 7-10 a.m. period 87 percent of the eastbound and 86 percent of the westbound weekday automobile trips were for work purposes. In the 4-7 p.m. period, however, only 68 percent of the eastbound trips and 72 percent of the westbound trips were for business purposes. The afternoon peak-hour volumes in both directions were swelled by a considerable amount of recreation and personal traffic. This was particularly true of the eastbound movement, and when this fact is related to the afternoon peak origin and destination information already described, it becomes apparent that substantial numbers of New Jersey people are attracted to New York during these hours by the cultural and recreational activities in Manhattan.

State of Automobile Registration

In order to acquire additional information as to the sources of trans-Hudson automobile traffic-generation, the State in which each automobile was registered, as shown on the license plate, was recorded. Approximately 50 percent of all of the automobiles crossing the Hudson River on Port Authority facilities on weekdays carried New Jersey license plates. Nearly 41 percent had New York plates and

Table 10.—Number and percentage distribution of weekday peak-hour trans-Hudson automobile trips in 1958 by purpose of trip and by State in which automobile was registered

	Predominant direction				Reverse direction			
Origin—purpose and State	7-10 a.m. eastbound		4-7 p.m. westbound		7-10 a.m. westbound		4–7 p.m. eastbound	
	Number	Percent- age	Number	Percent- age	Number	Percent- age	Number	Percent-
Purpose of trip: Work. Recreation and other Shopping.	15, 697 2, 012 251	87. 4 11. 2 1. 4	13, 616 4, 918 456	71. 7 25. 9 2. 4	12, 930 2, 010 60	86. 2 13. 4 . 4	13, 639 6, 022 279	68. 4 30. 2 1. 4
Total. State of registration: New Jersey. New York Other	17, 960 13, 973 3, 179 808	100. 0 77. 8 17. 7 4. 5	18, 990 13, 236 4, 292 1, 462	100. 0 69. 7 22. 6 7. 7	15, 000 2, 925 11, 010 1, 065	100. 0 19. 5 73. 4 7. 1	19, 940 6, 122 12, 303 1, 515	100. 0 30. 7 61. 7 7. 6
Total	17, 960	100. 0	18, 990	100.0	15,000	100. 0	19, 940	100.0

the remaining 9 percent were from all ove the country. On Sundays the proportion c New Jersey cars dropped to 46 percent, an New York and other cars rose to 43 percen and 11 percent, respectively.

New Jersey residents predominated amon users of the Lincoln Tunnel both on weekday and Sundays, as shown in table 11. Nev Jersey also had a high proportion of automo biles using the George Washington Bridg on weekdays but a majority of New York and other cars used the facility on Sundays. Us of the Holland Tunnel on weekdays was mor evenly balanced than the other two facilities Surprisingly enough, however, on Sunday more New Jersey than New York cars used the Holland Tunnel, probably because th New York commuters to New Jersey who make up a large part of the Holland Tunne weekday traffic volumes are missing of Sundays.

Analysis of the license plate data by hou and direction yielded important information on the characteristics of trans-Hudson auto mobile travel during the commuter hours, a shown in the lower half of table 10. In the predominant direction, eastbound 7-10 a.m and westbound 4-7 p.m., New Jersey cars were in the majority although this was more truof the morning traffic (78 percent of the total than in the afternoon (70 percent). The actual number of New Jersev automobile: moving eastbound in the morning was very close to the number moving westbound in the evening, indicating that the bulk of this traffic was composed of New Jersey resident: commuting to and from New York. In contrast, however, over 30 percent more Nev York cars traveled westbound in the evening peak than eastbound in the morning peak This imbalance was even more pronounced for the cars from other States.

In the reverse direction, New York car predominated during the peak hours although again this was more true in the morning that in the evening peak. The 4–7 p.m. east bound automobile traffic was augmented by a substantial number of New Jersey cars, most of which can be assumed from the preceding analysis on purpose of trip to be nonbusiness vehicles. As a result, the number of automobiles crossing the Hudson River eastbound during the 4–7 p.m. peak outnumbered the morning westbound flow by nearly one-third

Persons Per Automobile

The average automobile crossing the Hudsor River via the three Port Authority crossings it 1958 carried the driver and one passenger The number of persons per automobile varied considerably from the annual average figure of 2.05, however, depending on the day of the week, hour of day, direction of trip, and facility used. The average load was 1.84 persons per automobile on weekdays, but rose to 2.39 on Saturdays and 2.75 on Sundays.

Contrary to general impression, average loads during rush hours in the predominant direction (7–10 a.m. eastbound and 4–7 p.m westbound) tended to be somewhat less than nonrush-hour loads. Thus, at the George

Table 11.—Percentage distribution of trans-Hudson automobile trips in 1958 according to State in which automobile was registered

Crossing		Weekdays		Sundays			
		New York	Other	New Jersey	New York	Other	
George Washington Bridge Lincoln Tunnel. Holland Tunnel.	49. 6 56. 1 44. 4	40, 5 35, 4 48, 0	9, 9 8, 5 7, 6	40. 2 52. 5 49. 8	47, 2 36, 7 39, 8	12, 6 10, 8 10, 4	

Washington Bridge there was an average of 1.69 persons per automobile crossing east-bound between 8 and 9 a.m. compared with a nonrush-hour eastbound figure of 1.92. Similarly, at the Holland Tunnel an average of 1.70 persons per car traveled eastbound from 8 to 9 a.m. compared with 1.83 for the nonrush hours. The Lincoln Tunnel was the only crossing where the average rush-hour load, 1.91 persons per automobile eastbound, reached a level comparable with the nonrush-hour figure of 1.94. Presumably this is the effect of car pooling.

There is quite obviously a greater tendency for "blue-collar workers" commuting to the industrial areas in New Jersey to utilize car pools. Thus, at each Hudson River crossing, the westbound load per automobile from 7 to 8 a.m. (the peak morning hour westbound) was substantially higher than the nonpeak loads. For the three Port Authority crossings combined, there was an average of 2.04 persons per car from 7 to 8 a.m. westbound, higher than the 1.85 westbound for the nonrush hours. The fluctuations are shown in figure 5.

Appendix A

Statistical Model

The sample structure as finally designed was a four-stage probability sample in which the probability of selection of any vehicle in the universe was the product of the probabilities of selection in each stage. With this method the chance of selection of a vehicle at any one facility was the same as at any other facility. Thus, the survey results from each facility could be combined for analysis purposes. The four stages of selection were:

Shift.—An 8-hour work period at one of the facilities on a day of the week.

Location.—A geographically contiguous group of lanes at a facility at which the interviewer remains for 4 hours.

Lane.—One of the toll lanes within a location.

Vehicle.—An automobile, truck, or tractortrailer passing through a lane. (Buses were excluded from the survey.)

A total of 572 shifts per year, or 11 for each of the 52 weeks, were chosen. It was estimated that an annual total of about 100,000 interviews would be obtained, but only 92,329 were actually made, due primarily to less than expected traffic through the facilities in 1958. The interviews covered 0.1 percent of the total of 85.7 million vehicles which crossed the facilities in 1958.

Shifts were selected in groups of two seasons each or 286 shifts for each half year. There were three basic criteria which had to be met:

(1) Stratification to represent the different types of shifts properly and spread them as evenly as possible throughout each season; (2) random selection of shifts; and (3) roughly equal work loads per shift.

The first step in selecting the shifts (primary sampling units) to be covered was to construct a selection table. The table set down in orderly sequence a group of 84 strata consisting of each of the three tours of duty on each of the seven days of the week at each of the four facilities (the three Staten Island bridges were handled as one); thus, $3\times7\times4=84$.

Each stratum was represented by the measure f_i which was proportional to the expected hourly density of traffic flow in thousands for that stratum. Each f_i was made to be an integer for ease of selection and balance. The sum of the f_i 's for all strata was 286, divided into 143 for each of the 2 seasons. Systematic random sampling was used on the selection table to make up $13\frac{\pi}{4}$ weekly work loads of 11 shifts each, with each work load roughly spread across the strata.

The probability Pr of selecting a vehicle crossing a facility may be represented as:

$$Pr = \left(\frac{11f_i}{286}\right) \left(\frac{1}{24f_i}\right) \left(\frac{44}{60}\right) = \frac{1}{851}$$

The first term denotes the probability of selecting a shift. This was the first stage of selection. Eleven shifts were selected each week with probability $f_i/286$.

The third term reflects the fact that interviewing takes place only 44 minutes out of each hour, the balance of each hour being given to relief.

The middle term represents a composite of the last three stages of selection: Location, which is the second stage; lane within location, which is the third stage; and vehicle within lane, which is the fourth or ultimate stage of selection. Thus, Pr (selection within a shift)= $1/24f_i$ =Pr (location) \times Pr (lane within location) \times Pr (vehicle within lane).

Therefore the probability or the rate for selecting a vehicle within a lane may be expressed as:

$$\begin{split} \frac{1}{24f_i} \times \frac{1}{Pr~(\text{location})} \\ \times \frac{1}{Pr~(\text{lane within location})} = \end{split}$$

$$Pr$$
 (vehicle within lane) $=\frac{1}{t}$

where t is the sampling interval for a stated hour in the selected lane and is equal to the product of the denominators of the three expressions.

For example, at a facility where there were two locations and four lanes were expected to be open during a specified hour at the selected location, Pr (location) = $\frac{1}{2}$ and Pr (lane within location) = $\frac{1}{4}$. The sampling interval t is equal to $24f_1 \times \frac{1}{2} \times \frac{1}{4} = 3f_i$. If f_j for this shift was 4, then the interviewer would select every twelfth vehicle.

Locations were selected by random procedures and balanced for relevant factors over a season. Lane rotation systems at each location were defined for all facilities in advance. Fractional intervals for selection of vehicles within lanes were made into integers by a balanced process of randomization. At all stages of selection randomized procedures were always used and carefully specified.

Derivation of tables

In order to simplify the use of the tables contained in this report, where only percentages were desired, it was decided to use proportions derived from the sample estimates obtained from the punch-card tabulations of the survey results. The estimates used in this report are thus ratio estimates of the form, p=x/n

where: x= the total "self-weighting" card count of all vehicles with an "x" characteristic.

n= the card count in the entire sample or some subclass.

These proportions can be used to estimate numbers of vehicles for any desired character-

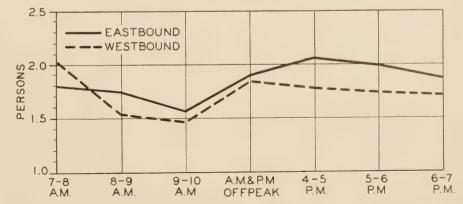


Figure 5.—Average weekday persons per auto during peak and offpeak periods for all three river crossings combined.

istic by application to known seasonal or annual data as follows:

$$X' = Np = N\frac{x}{n}$$

where N is the known total for the characteristic under consideration.

Appendix B

Reliability of Results

Two basic types of errors are encountered in all sample surveys, to a greater or lesser degree, which affect the reliability of the survey results. The first is sampling error, which arises from the fact that the population characteristics as pictured by the sample may not exactly coincide with the characteristics which would emerge if the population were sampled in its entirety. The second is non-sampling error, which arises primarily from errors in processing the survey results and from bias in the sample due to nonresponse.

Throughout the conduct of this survey, every effort was made to keep these errors to a minimum. Furthermore, at a number of periods throughout the survey, audits of certain results of the survey were undertaken in order to compare them with recorded information of total traffic volumes by direction, by type of day, by type of vehicle, and so forth. All of these comparisons revealed close agreement between the survey results and the recorded volumes.

Sampling error

The distinguishing feature of a sample based on the mathematical theory of probability is the fact that it makes possible the computation of a sampling error. This gives a measure of the degree of reliability that has been attained in any particular value derived from the survey. At a given level of confidence, 95 percent, for example, the sampling error is to be interpreted as a range of values about the sample estimate which includes the "true value" in the population 95 times out of 100.

Perhaps an example in the use of sampling error will clarify its meaning. During 1958, a total of 13,357 automobile trip interviews were made on weekdays at the Lincoln Tunnel. The survey indicated that 12.2 percent of these automobiles traveled between the Manhattan CBD and areas in northwestern New Jersey and upstate New York. The theory permitted the application of this sample proportion to the entire population which, in this case, was the 12.6 million automobiles that actually used the Lincoln Tunnel on weekdays in 1958 (50,343 on an average weekday). It is true, of course, that if the origin and destination patterns of every one of these 12.6 million movements via the Lincoln Tunnel on weekdays could have been ascertained, the proportion would probably be somewhat different from the 12.2 percent mentioned above. With a probability sample it is possible, for a given level of confidence, to estimate the maximum size of this difference by establishing a range of values about this sample estimate within which the true

value should lie. This range can be expressed as follows:

$$Ps \pm t_{\alpha} Ps(C.V.)s$$

where Ps = proportion of some sub-class for characteristics s.

(C,V,)s = coefficient of variation for characteristic s.

 t_{α} =Student's t value for four degrees of freedom and α error.

The coefficient of variation measures the standard deviation relative to the mean.² Thus in this survey,

$$(C.V.)s = \sqrt{\frac{\Sigma(Nh_1 - Nh_2)^2}{[\Sigma(Nh_1 + Nh_2)]^2}}$$

where Nh_1 =card count of characteristic for each of the four seasons in subsample group 1.

 Nh_2 =card count of characteristic for each of the four seasons in subsample group 2.

To make possible the use of the above formula for the computation of the coefficients of variation, the sample was drawn in two independent subsamples for each season.

In order to make sure that the sampling error computations did not overstate the reliability of the sample, a conservative formula was used which for most cases leads to estimates of error somewhat greater than actually exist for the ratio estimates developed in this survey. Thus, the results were probably a little better than the calculated errors would indicate.

In the example already given, Ps=12.2 percent and (C.V.)s=0.034. For a 68-percent level of confidence the t value is 1.14, and the true value in the population for the proportion of automobile trips on weekdays via the Lincoln Tunnel between the Manhattan CBD and northwestern New Jersey and upstate New York would be included in the range:

12.
$$2 \pm 1.14 \times 12.2 \times 0.034 = 11.7$$
 to 12.7 percent

For a 95-percent level of confidence the true value in the population lies in the range:

$$12.2 \pm 2.78 \times 12.2 \times 0.034 = 11.0$$
 to 13.4 percent

Table 12.—Standard deviations for selected sample estimates

Sample size	Proportion of selected subclass in sample, Ps	Standard deviation, Ps (C.V.)s
1, 353 918 2, 829 5, 462 11, 745 10, 355 13, 357 5, 707 10, 355 22, 444 13, 357 13, 357 6, 836	Percent 75.7 44.2 29.0 22.0 14.3 12.7 12.2 9.5 6.7 4.3 3.2 1.8 1.7 1.7	Percent 1.5 2.2 1.0 9 .7 6 4 4 .3 2 1 1 1 1

Table 12 shows the standard deviations for a series of representative estimates taken from this report. There were literally over a million estimates obtained in the course of table lating the survey results. The standar deviations shown are believed to be representative of the errors expected on any estimates in this report.

Nonsampling error

In sample surveys involving the questionin of people, a certain amount of nonresponse i bound to occur. The problem is to keep i within such bounds that the theory of probability still is valid.

As mentioned previously, there were 57: primary sampling units (8-hour shifts) picked at random throughout the year, segregated into the four seasons of 1958. Of the 572 shift originally scheduled, 60, or 10 percent, were missed and had to be rescheduled to a new date in the quickest possible time. All shifts missed were due to the interviewer's sickness or some other personal reason.

The proportion of shifts rescheduled for each facility did not vary considerably from the overall percentage (10 percent); hence there was no particular bias of rescheduling against any one facility. More important than this, most shifts were rescheduled within a few weeks, and it is fair to assume that the general patterns of travel on the rescheduled dates were not significantly different than on the originally scheduled dates. Of course, on a few occasions, rain or snowstorms occurred on either the original or rescheduled dates, thereby probably affecting the results to some small degree.

It was only in the fourth season (October-December) that any considerable rescheduling lag occurred, but even here almost 70 percent of the shifts were completed within 4 weeks of the original date. In the other three seasons at least half of the rescheduled shifts were completed within 1 week and all were completed within 4 weeks of the original date.

The number of interviews obtained on rescheduled shifts were, on the whole, greater than would have been taken on the original survey dates, the average loads being 178 and 162 interviews, respectively. However, since the procedure followed in this survey was to reweight the number of the interviews obtained on the rescheduled dates to the number which would have been obtained originally, this numerical discrepancy made no difference. The important assumption made was that the patterns of travel were not significantly different on the rescheduled dates than on the original ones. This seemed valid when the time lag for rescheduling was as small as that which occurred on the survey.

As in all sample surveys involving the questioning of people, there were some units for which no information was obtained due to refusal of motorists to answer and to other reasons. The latter, classified as non-interviewable, included those sampling units such as military vehicles, ambulances, and hearses which, although they were included in the sampling universe, could not be inter-

² Kish and Hess, On Variances of Ratios and Their Differences in Multi-Stage Samples, Journal of the American Statistical Association, June 1959.

riewed for obvious reasons. These two types of errors of nonresponse were treated by aking random selections from the obtained nterviews in an appropriate manner.

The overall nonresponse rate experienced n this survey was only 1.5 percent of the otal number of interviews taken. There was no significant difference by facility or season, the minimum being 1.0 and the

maximum 2.9 percent. This is considered excellent for this type of survey and is an indication of the training in interviewing techniques which each interviewer received, as well as their patience and fortitude on the job.

Another error that occurs in surveys of this nature is due to the miscoding of the information obtained in the field. Procedures based on valid techniques of quality control were applied in the verification of coded interviews to insure acceptable standards of coding efficiency. Most of those coding errors that evaded detection and were keypunched incorrectly were discovered on checking the listing of all detail cards. Overall, processing errors of all types amounted to less than 0.2 percent.

Properties of Highway Asphalts — Part II, Various Penetration Grades

 $(Continued\ from\ page\ 85)$

easier. Continued research is needed to relate the engineering properties of asphalts to these fundamentals and to determine if these techniques are suitable for specification purposes

Over the years attempts have been made to define the properties of the asphalts in terms of their chemical composition or component analysis, but often have resulted in uncertain results because of the complexity of the organic molecules present. However, research now being conducted in this area is encouraging (2, 3). New approaches with modern

techniques may do much to provide fundamental information that ultimately may assist the researcher in solving the problems associated with the production and utilization of asphalts of high quality.

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New Publications

The Bureau of Public Roads has recently published two new bulletins. One of the bulletins, Hydraulics of Bridge Waterways, is the first of a proposed series on hydraulic design of highway drainage structures. The 53-page illustrated publication by the Division of Hydraulic Research is available from the Superintendent of Documents, U.S. Government Printing Office, Washington 25, D.C., at 40 cents per copy.

Hydraulics of Bridge Waterways presents simplified methods for computing backwater caused by bridges, developed from extensive model tests and checked against actual measurements at bridge approaches. The empirical curves and methods of calculation contained in the new publication have been subjected to extensive field use during the past few years by State highway departments, consulting engineers, and the Bureau of Public Roads, and a number of improvements developed during this period are incorporated in the publication.

The nature of the new bulletin is indicated by the chapter titles: computation of backwater; extent of backwater; difference in level across approach embankments; dual bridges; abnormal stage-discharge condition; effect of scour on backwater; illustrative problems; and limitations of data.

As noted in the introduction to the publication, hydraulics should play an important role in establishing what the length and vertical clearance of a bridge should be, and where the bridge should be placed. Confining flood water unduly may well cause

excessive backwater with resultant damage to upstream land and improvements and overtopping of the roadway, or may induce excessive scour endangering the bridge itself. Too long a bridge may cost far more than can be justified by the benefits obtained. Somewhere in between is the design that will be the most economical to the public over a long period of years, and finding that design is the objective of the bridge engineer.

The new Bureau of Public Roads publication *Hydraulics of Bridge Waterways* is intended to provide, within the limitations described, a means of computing the effect of a given bridge upon the flow of the stream it is proposed to span.

Classification of Motor Vehicles, 1956-57, reporting detailed information on the numbers of vehicles registered in 1956, with an estimate for 1957, classified according to type of vehicle, kind of fuel weed gross vehicle weight, and

kind of fuel used, gross vehicle weight, and type of use—farm, nonfarm, for hire, and publicly owned—is also available from the Superintendent of Documents, U.S. Govern-

ment Printing Office.

The 123-page bulletin contains a wealth of detailed information that has not been available since the truck and bus inventory undertaken in 1941 as a wartime preparedness measure. It represents a considerable effort on the parts of the States, the Bureau of Public Roads, and the bus industry, since the information in the detail compiled in this study is not available in the regular registration records of many States.

In addition to a brief, explanatory text and summary tables and illustrations, the publication contains three extensive series of tabulations which will be extremely useful to highway engineers, administrators, and economists, as well as to others interested in transportation and government. The first of these reports, by States, the numbers of vehicles classified by type, by class of use, and by kind of fuel used. The second series reports, also by States, the numbers of trucks and combinations classified by types and by gross vehicle weight groups. The third series reports, by Census divisions, the numbers of trucks and combinations classified by type, class of use, kind of fuel used, and gross vehicle weight.

A fourth series of tables reports, by States, the farm registration rates and limitations on farm registrations, in those States that have a separate farm registration classification; and the numbers of trucks and combinations registered in that classification, by type of vehicle and gross vehicle weight.

One of the problems faced in the study was the fact that the States use varying bases for weight classification in their registration systems. To overcome this difficulty a series of conversion tables were devised, for relating known empty vehicle weight to probable gross vehicle weight. The conversion tables are included in the publication, since they should be of considerable use to many who use motorvehicle statistics in their work.

Another problem faced was that of duplication of registrations. While this occurs but little for most vehicle groups, it is a common practice for commercial buses. However, duplicate bus registrations were eliminated through the cooperation of the bus industry.

Classification of Motor Vehicles, 1956-57 may be purchased from the U.S. Government Printing Office, Washington 25, D.C., at 70 cents per copy.

PUBLICATIONS of the Bureau of Public Roads

A list of the more important articles in Public Roads and title heets for volumes 24-30 are available upon request addressed to tureau of Public Roads, Washington 25, D.C.

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nnual Reports of the Bureau of Public Roads: 1951, 35 cents. 1952, 25 cents. 1955, 25 cents. 1958, 30 cents. 959, 40 cents. (Other years are now out of print.)

PEPORTS TO CONGRESS

Report of Factors for Use in Apportioning Funds for the National System of Interstate and Defense Highways, House Document No. 300 (1958). 15 cents.

Consideration for Reimbursement for Certain Highways on the Interstate System, House Document No. 301 (1958). 15 cents.

actual Discussion of Motortruck Operation, Regulation and Taxation (1951). 30 cents.

ederal Role in Highway Safety, House Document No. 93 (1959). 60 cents.

First Progress Report of the Highway Cost Allocation Study, House Document No. 106 (1957). 35 cents.

lighway Needs of the National Defense, House Document No. 249 (1949). 50 cents.

nterregional Highways, House Document No. 379 (1944). 75 cents.

ocal Rural Road Problem (1950). Out of print.

Needs of the Highway Systems, 1955-84, House Document No. 120 (1955). 15 cents.

Progress and Feasibility of Toll Roads and Their Relation to the Federal-Aid Program, House Document No. 139 (1955). 15 cents.

Progress Report on the Federal-Aid Highway Program, House Document No. 74 (1959). 70 cents.

Public Utility Relocation Incident to Highway Improvement, House Document No. 127 (1955). 25 cents.

'hird Progress Report of the Highway Cost Allocation Study, House Document No. 91 (1959). Out of print.

PUBLICATIONS

Catalog of Highway Bridge Plans (1959). \$1.00.

Construction of Private Driveways, No. 272 MP (1937). 15 cents.

Criteria for Prestressed Concrete Bridges (1954). Out of print.

Design Capacity Charts for Signalized Street and Highway Intersections (reprint from Public Roads, Feb. 1951). 25 cents.

Financing of Highways by Counties and Local Rural Governments: 1942-51. 75 cents.

General Location of the National System of Interstate Highways, Including All Additional Routes at Urban Areas Designated in September 1955. 55 cents.

Highway Bond Calculations (1936). 10 cents.

Highway Capacity Manual (1950). \$1.00.

Highway Statistics (published annually since 1945): 1955, \$1.00. 1956, \$1.00. 1957, \$1.25. 1958, \$1.00.

Highway Statistics, Summary to 1955. \$1.00.

Highways of History (1939). 25 cents.

Legal Aspects of Controlling Highway Access (1945). 15 cents.

Manual on Uniform Traffic Control Devices for Streets and Highways (1948) (including 1954 revisions supplement). \$1.25.

Revisions to the Manual on Uniform Traffic Control Devices for Streets and Highways (1954). Separate, 15 cents.

Parking Guide for Cities (1956). 55 cents.

Public Control of Highway Access and Roadside Development (1947). 35 cents.

Public Land Acquisition for Highway Purposes (1943). 10 cents.

Results of Physical Tests of Road-Building Aggregate (1953). \$1.00.

Selected Bibliography on Highway Finance (1951). 60 cents.

Specifications for Aerial Surveys and Mapping by Photogrammetric Methods for Highways, 1958: a reference guide outline. 75 cents.

Standard Specifications for Construction of Roads and Bridges on Federal Highway Projects, FP-57 (1957). \$2.00.

Standard Plans for Highway Bridge Superstructure (1956). \$1.75.

The Role of Aerial Surveys in Highway Engineering (1960). 40 cents.

Transition Curves for Highways (1940). \$1.75

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